



Published in final edited form as:

Ann Epidemiol. 2021 April ; 56: 61–69.e3. doi:10.1016/j.annepidem.2020.11.007.

Prevalence and Mortality among Children with Congenital Diaphragmatic Hernia: A Multi-Country Analysis

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Disclaimer: The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

This study has been replicated by Suman Maity, the epidemiologist at the Arkansas Center for Birth Defects Research and Prevention.

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Abstract

Importance—Congenital diaphragmatic hernia (CDH), a severe birth defect characterized by a diaphragmatic malformation allowing herniation by abdominal organs into the thorax, is associated with high mortality.

Objective—The purpose of our study was to examine (1) the overall CDH prevalence and (2) mortality and survival trends of infants with CDH using data collected by hospital- and population-based birth defects surveillance programs from multiple countries affiliated with the International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR).

Design, Setting, and Participants Methods—Twenty-five hospital- and population-based surveillance programs in 19 countries from members of the ICBDSR provided birth defects mortality data between 1974 and 2015. Prevalence estimates and mortality rates from 2001 to 2012, a period in which the majority of the programs had the most complete data, were further examined. Included were CDH cases involving live births, stillbirths, or elective termination of pregnancy for fetal anomalies.

Main Outcomes and Measures—Prevalence and 95% confidence intervals (CI) from Poisson regression and cumulative mortality rates and 95% CI from the Kaplan-Meier Product-Limit method were calculated for each country and registry type. Joinpoint regression analyses were conducted to assess time trends.

Results—Overall, the prevalence of CDH from all countries combined was 2.6 per 10,000 total births (95% CI: 2.5–2.7), slightly increasing between 2001 and 2012 (average annual percent change [AAPC]=0.47%). The overall percent mortality of CDH was 37.7%, with hospital-based

registries having more deaths involving live births than population-based registries (45.1% compared to 33.8%). Mortality rates decreased over time (AAPC=-2.43%). Infants with multiple congenital anomalies and syndromes had higher 1-week mortality rates (45.2% and 40.8%) than those with isolated defects (28.6%) overall. Most deaths due to CDH occurred among 2- to 6-day-old infants for both registry types (36.3%, hospital-based; 12.1%, population-based).

Conclusions and Relevance—The prevalence of CDH has increased over time; although the mortality rate has slightly decreased, it remains high especially during the first week of life and varied by registry type. Further research is needed to inform development of measures and interventions to decrease deaths among infants with CDH.

INTRODUCTION

Congenital diaphragmatic hernia (CDH) is a severe birth defect characterized by a diaphragmatic malformation allowing protrusion of lower abdominal organs into the thoracic cavity.¹ Worldwide, CDH occurs in approximately 1 in every 3,000 live births.² Respiratory failure, due to pulmonary hypertension and pulmonary hypoplasia, is the leading cause of CDH-related mortality.^{3,4} Approximately 64% of CDH cases are isolated and 36% have multiple anomalies.¹ Infants with CDH have significant morbidity and mortality, with a mortality rate between 30% and 60% or as high as 89% when additional chromosomal or structural anomalies are present.^{2,5-8} Approximately 30% of infants with CDH have additional anomalies, which leads to a higher morbidity rate compared to infants with CDH only.⁹

The pre- and postnatal diagnosis, clinical management, and treatment of infants with CDH has significantly improved in recent years.¹⁰⁻¹² Despite these advances, the overall mortality rate has remained high over the last three decades.¹³⁻¹⁶ Many studies have examined specific treatments and their associated mortality rates in single tertiary centers but have shown little to no significant improvements in survival rates.^{17,18} Additionally, estimates of mortality may vary among registries and single institutions due to differences in case ascertainment and reporting.¹⁹

Worldwide, CDH mortality and survival trends are not well studied; this study provides the opportunity to use aggregated data from multiple countries to further explore these topics. The purpose of our study was to examine (1) the overall CDH prevalence and (2) mortality and survival of infants with CDH using data collected by population- and hospital-based birth defects surveillance programs from countries affiliated with the International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR). We examined the total prevalence, survival probabilities, time trends, and mortality among birth outcomes and clinical presentation.

METHODS

Study Design and Setting

The ICBDSR, affiliated with the World Health Organization, is a voluntary, non-profit organization established in 1974 (<http://www.icbdsr.org/>), the aim of which is to prevent birth defects and reduce the related burden of their consequences by assembling birth defect

surveillance and research programs from around the world. Currently, 42 surveillance programs with birth defects registries (either hospital- or population-based) from 36 countries are members, with 27 contributing data annually. Each registry provides the ICBDSDR with aggregated data on children and fetuses affected with any of 39 different birth defects for surveillance purposes. Data are collected on the total annual number of live births and stillbirths for each of the surveillance years to assist in the prevalence estimation. Summaries of these data can be found at http://www.icbdsr.org/wp-content/annual_report/Report2014.pdf.

The study period for this analysis was birth years 1974 to 2015. We further examined the prevalence estimates and mortality rates from 2001 to 2012, a period in which the majority of the programs had the most complete data. We used data from 25 ICBDSDR member programs, representing 19 countries in the Middle East, Europe, North America, Central America, and South America (Appendix Table 1). We included programs that collected data on both CDH and associated mortality. We examined the type of surveillance method (hospital-based vs. population-based registries), year that surveillance began, surveillance period for CDH, criteria used to define a stillbirth, national legislation pertaining to elective termination of pregnancy for fetal anomalies (ETOPFA), and prenatal screening service availability (Table 1a).

Congenital Diaphragmatic Hernia Case Definition

ICBDSDR defines CDH as “a congenital malformation characterized by herniation into the thorax of abdominal contents through a defect of the diaphragm. Includes: total absence of the diaphragm. Excludes: hiatus hernia, eventration of the diaphragm, and phrenic palsy.” CDH corresponds to ICD-10 code “Q79” and ICD-9 code “756.6”. Each program provided information on the number of CDH cases and the pregnancy outcomes (live birth, stillbirth, or ETOPFA) per year. Each case was also classified based on clinical presentation for 18 programs (72%). Isolated cases were defined as infants or fetuses with CDH, but no other unrelated major birth defects. Cases with multiple congenital anomalies (MCA) were defined as infants or fetuses having two or more unrelated major anomalies. Syndromic cases were defined as having CDH as part of a recognized syndrome or a genetic disorder.

Mortality

Table 1b presents the methods of each program for follow-up of live born cases. Each program provided information on mortality based on their follow-up methods. The different methods included follow-up until discharge from the maternity hospital (20 of 25 programs), follow-up by a clinician or registry staff (9 of 25 programs), or follow-up by linkage with death certificates (12 of 25 programs). Mortality was examined by age at death using six categories: < 1 day, 2–6 days, 7–27 days, 28–364 days, 1–4 years, and ≥ 5 years.

Statistical Analysis

The total CDH prevalence was calculated for each program and registry type (hospital- vs. population-based). Prevalence was calculated as the total number of CDH cases (live births + stillbirths + ETOPFA) divided by the total number of births (live births + stillbirths). ETOPFA was not included in the denominator of the prevalence formula because of the lack

of information on the total number of terminations for each program. A Poisson approximation of the binomial distribution was used for prevalence estimation and associated 95% confidence intervals (CI). The proportion and 95% CI of CDH resulting in a live birth, stillbirth, or ETOPFA was also calculated.

Age-specific mortality was calculated for each of the six age at death categories as the number of deaths among the live born cases divided by the total number of live born CDH cases. The cumulative percent mortality and corresponding CIs were calculated using a Kaplan-Meier Product-Limit method for each program, registry type, and the total to account for censoring. Mortality was examined by clinical presentation (isolated, MCA, syndromic) when available.

Three-year rolling averages of the total prevalence were calculated and graphed for each registry type and geographic region of the participating programs from 2001 to 2012. Joinpoint regression analysis was used to identify statistically significant temporal trends in CDH prevalence and mortality by registry type. Iran-TROCA was excluded from the Joinpoint regression analysis since its prevalence rates over time were outliers compared to the other registries. Survival probability of the live births was calculated and graphed for North American and European programs, which had the highest number of participating programs and a follow-up period of 5 years or more. Survival probability was calculated as the cumulative proportion of cases that died at different time periods after birth subtracted from the total number of live births with CDH.

Each program has locally approved ethics procedures, and because this study was conducted using aggregated data, no additional ethics committee approval was required.

RESULTS

Of the 25 ICBDSR member programs we obtained data from (Appendix Table 1), 8 were hospital-based and 17 were population-based. Most population-based programs had regional coverage (n=9) (national coverage [n=5] and state coverage [n=3]). The ascertainment period and criteria to define stillbirth varied among programs. Six of the 25 countries or regions did not allow ETOPFA. Most healthcare programs in the regions included in the registries offered prenatal screening services in recent years (Table 1a).

Prevalence

Supplementary Table 1 presents the overall CDH prevalence for all registries from 1974 to 2015. A total of 28,701,270 births and 7,581 total CDH cases were reported by all programs combined, resulting in an overall CDH prevalence of 2.6 per 10,000 births (95% CI: 2.5–2.7).

The program specific CDH prevalence (per 10,000 births) and types of pregnancy outcomes (live births, stillbirths, and ETOPFA) by registry type for the years 2001–2012, when the majority of programs had the most complete data, are presented in Table 2. Overall, from 2001–2012, the average CDH prevalence was 2.8 per 10,000 births (95% CI: 2.7–2.9). Hospital-based registries had an average CDH prevalence of 2.8 per 10,000 births (95% CI:

2.6–2.9), similar to population-based registries (2.8 per 10,000 births; 95% CI: 2.7–2.9). Iran-TROCA and Malta-MCAR had the highest CDH prevalence (5.7 and 5.4 per 10,000 births, respectively), whereas the programs with the lowest CDH prevalence were hospital-based registries (Spain-ECEMC, Mexico-RYVEMCE [1.1 and 1.1 per 10,000 births, respectively]). The average proportion of stillbirths for all registries was 3.7% (95% CI: 3.2–4.3), similar to the proportion of stillbirths among population-based registries (3.0% [95% CI: 2.5–3.6]), whereas hospital-based registries had a higher proportion of stillbirths (5.6% [95% CI: 4.4–7.0]). Ukraine-OMNI-Net and Italy-Lombardy, had the highest proportion of stillbirths (16.2% for both). Population-based registries were more often from countries that allowed ETOPFA and, therefore, had a higher proportion of ETOPFA (10.2%) compared to only two hospital-based registries in regions where ETOPFA is allowed (2.8%). France-Paris (30.5%) and Sweden (28.7%) had the highest proportion of ETOPFA among all the programs.

Figure 1 displays the three-year rolling averages of total CDH prevalence by type of registry and region from 2001 to 2012. Population-based registries had the highest averages, hospital-based programs had the lowest, with the total average in the middle. Among the regions, Central and South America showed an increase in the three-year rolling average prevalence. Joinpoint regression showed an increasing linear trend in prevalence between 2001 and 2012, with an average annual percent change (AAPC) of 0.47% (data not shown). Time trends also differed by registry type. Population-based registries had a greater AAPC during this period than hospital-based registries (0.91% vs –0.17%) (data not shown).

Data on birth defects co-occurring with CDH were provided by 18 programs (72%) (Table 3). The percentages of isolated cases of CDH were similar between hospital-based and population-based programs. Overall 63.8% of CDH cases were isolated. For CDH cases that were determined to be MCA or syndromic, the differences between hospital-based and population-based programs were larger. Hospital-based registries had higher percentages of CDH cases with MCA compared to population-based registries (32.2% and 27.9%, respectively), whereas proportions of syndromic cases were higher among population-based registries (10.0%) compared to hospital-based registries (2.1%). The highest percentage of stillbirth cases among all total stillbirths were MCA and syndromic cases identified from hospital-based registries (13.5% and 13.0%, respectively).

Mortality

Table 4 displays mortality among live births with CDH by age of death. About 37.7% of live births with CDH resulted in death among all registries between 2001 and 2012. Hospital-based registries had a higher cumulative percent mortality (45.1%) compared to population-based registries (33.8%). The programs with the highest cumulative percent mortality were South America-ECLAMC (56.7%), Costa Rica-CREC (54.8%), and Israel-SMC (53.8%), with the lowest being Iran-TROCA (2.2%). Time trend analyses showed that overall mortality rates during 2001–2012 decreased linearly by a statistically significant AAPC of –2.43% (data not shown). However, time trends in mortality rates varied by registry type. For population-based registries, mortality rates decreased almost imperceptibly with an

AAPC of -0.34% , while hospital-based registries had a higher decrease in mortality with an AAPC of -0.73% (data not shown).

The overall mortality for the first 24 hours of life was 7.4% and for the first week of life was 26.4% (data not shown). MCA cases had higher first week mortality than isolated cases in both hospital-based registries (58.8% vs 36.2%) and population-based registries (29.4% vs 21.3%); however, syndromic cases in population-based registries had a higher first week mortality than hospital-based registries (46.7% vs 18.8%) (data not shown). The highest proportion of death occurred among infants aged 2 to 6 days (19.0%) among all the programs, with the hospital-based registries having a higher proportion of death compared to population-based registries (36.3% vs 12.1%). Infants with MCA and syndromes had higher 1-week mortality rates (45.2% and 40.8%) than those with isolated defects (28.6%) overall (data not shown). The overall mortality rate during the 27-day neonatal period (31.8%) was only slightly higher than the overall 26.4% in the first week of life. Registries in countries or regions where ETOPFA was not allowed had higher first week mortality compared to the countries or regions where ETOPFA was allowed. The cumulative 5-year mortality rate was 37.7% overall. The cumulative 5-year mortality rate was 45.1% among hospital-based registries and 33.8% among population-based registries.

Figure 2 presents survival probabilities from 2001 to 2012 for programs located in North America and in Europe with complete data for all age categories. The survival probabilities in North America ranged from 64.6% to 75.8% , with USA-Atlanta having the highest survival probability and USA-Texas the lowest. In Europe, survival ranged from 63.9% to 76.6% . Sweden had a survival probability of 76.6% at 5 years or older, yet also had the highest percentage of ETOPFA (28.7%) among the European programs.

DISCUSSION

Ours is one of the first studies to examine CDH mortality across multiple countries. The overall CDH prevalence from 1974 to 2015 was 2.6 per 10,000 total births. The majority of CDH cases were isolated (63.8%). We found that CDH-related infant mortality, especially in the first week (26.1%), is a concern in many countries. The average survival probability for children 5 years old or greater with CDH varied between 64% and 77% .

The overall CDH prevalence (2.6 per 10,000 total births from 1974 to 2015) is similar to previously published estimates. In a large population-based study among registries in the European Surveillance of Congenital Anomalies, the overall prevalence was 2.3 per 10,000 births for the period between 1980 and 2009.²⁰ Among other population-based registries outside of Europe, the prevalence ranged from 2.5 to 3.8 per 10,000 births.^{21,22}

Our overall mortality results are similar to previously published studies, which showed CDH-related infant mortality rates ranging from 20% to 50% .^{23–26} In a United States population-based study, the authors reported a mortality rate of 28% for infants with CDH up to the first week of life, similar to the total mortality rate for the first week of life in our study (26.1%) for surveillance years 2001–2012.²⁷ ‘Hidden mortality’ (unreported CDH cases involving death during gestation, shortly after birth, or before surgery) may exist

among hospital-based registries and referral institutions.²⁸ Many of the outcomes derived from population-based studies have shown lower survival than studies from single institutions.^{21,29,30} Our study contrasts with this concept, with population-based registries showing a lower mortality rate than hospital-based registries. This may be due to the fact that only two of the seven hospital-based registries included ETOPFA, and none of the registries reported treatment type. Additionally, Israel-SMC was the only single-hospital registry. The other hospital-based registries contained from 3 to 70 hospitals in their programs. Many other factors such as geographic regions, socioeconomic status, case ascertainment, and case selection biases need to be studied to examine the differences in mortality among hospital- and population-based registries. Overall prevalence rates were similar among the hospital- and population-based registries; however, hospital-based registries had higher cumulative percent mortality than population-based registries. Both registry types had the highest mortality among infants with CDH aged 2 to 6 days, with hospital-based registries having double the mortality rate of population-based registries. Currently, there is no common protocol in the treatment and management of infants with CDH. The use of early versus delayed surgical correction is not clearly defined for infants with CDH; however, there is a general trend towards delaying repair until after a period of stabilization.^{31–34} Often, the period of stabilization is supported by an effort to reduce the risk of pulmonary hypertension.¹⁷ Gentili *et al.* found a stabilization interval of 43.9 ± 38.7 hours (range 22–168 hours) before patients underwent surgical correction.³⁵ It is possible that the lack of a standardized treatment protocol before surgical repair might contribute to infant mortality within the first week of life.^{33,36} Additionally, many of the hospital-based registries are in developing countries. The higher mortality rate during the first week could be explained by fewer resources, underreporting, and less healthcare access of the countries with registries in more resource-constrained settings compared to the higher-income countries that have population-based registries.

We observed higher proportions of ETOPFA among population-based registries and higher proportions of stillbirths among hospital-based registries. This association may be due to the higher number of programs that include ETOPFA belonging to population-based registries, whereas the higher stillbirth rates among the hospital-based registries may be due to the fact that only two programs reported ETOPFA, leading to a relative increase in stillbirths registered. Among the hospital-based registries, Mexico-RYVEMCE had the highest proportion of stillbirths, yet the lowest prevalence of CDH among all the programs. This program was also the only program that did not offer prenatal screening services, which may affect a mother's decision on the outcome of the pregnancy if CDH is detected early. Most countries or regions that allowed ETOPFA had higher proportions of ETOPFA than stillbirths, especially in the European countries. The proportion of cases resulting in live births, stillbirths, and ETOPFA for population-based registries was similar to McGivern *et al.*'s study, which found 10.0% of cases resulted in an ETOPFA and 3.6% of cases resulted in a stillbirth (compared to 10.2% and 3.0%, respectively, in our data). Additionally, mortality was higher among the countries or regions that allowed ETOPFA, which may be due to the most severe cases surviving until birth but dying soon after.

In our study, MCA/syndromic cases of CDH had higher 1-week mortality rates than isolated cases. In general, prognosis of isolated CDH cases is better than CDH cases with multiple

anomalies.³⁷ This finding is similar to prior studies, which have reported higher mortality rates among MCA/syndromic cases than isolated CDH cases.^{1,18,38} We found an overall higher survival rate among all registries for isolated cases at 1 week (71.4%; data not shown), similar to the recent finding by McGivern *et al.* that 72.7% of isolated cases survived the first week of life. CDH cases are more likely to be terminated when other anomalies are present compared to isolated CDH cases.¹⁸

A major strength of our study is its large sample size and inclusion of registries from multiple countries. Additionally, it included stillbirths and ETOPFA as well as live births and reported prevalence and mortality rates for each outcome and clinical presentation. Despite these strengths, there are some limitations. First, our study is based on aggregated data and not individual data; therefore, it does not include information on prenatal diagnoses or post-birth treatment and management. In addition, some surveillance programs did not contribute data on clinical presentation and due to differences in surveillance procedures, not all of the programs were able to link to death certificates; therefore, some deaths may be missing due to administrative data linkage limitations. Furthermore, there are limitations with the consistency in data collection for this many registries across multiple countries, leading to variability in the data. However, we describe the characteristics of each registry, and our results are similar to other studies previously published.

Our study provides prevalence and mortality estimates for infants with CDH using registries from 19 countries. The overall mortality rate for CDH remains high, especially during the first week of life, but it has decreased slightly over the study period. Clinical presentation of CDH and its association with other anomalies is a major concern and may indicate a specific etiologic or genetic cause. Further research is needed to examine the differences between population- and hospital-based registries and the 'hidden mortality' that might be present. Additional data on treatment procedures and prenatal diagnostic services would be useful to further examine the differences in mortality among the countries and programs. Our study provides data regarding mortality among CDH cases, which can be used to inform development of measures and interventions to decrease deaths among infants with CDH.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgements

We would like to acknowledge each ICBDSDR member program's staff for providing data and information on the characteristics of their program.

Members of ECEMC's peripheral group are greatly acknowledged.

Funding Source: This study received support from the CDC National Center on Birth Defects and Developmental Disabilities (#5U01DD000491) and the Arkansas Biosciences Institute (#037062).

Appendix

Appendix Table 1.

Congenital diaphragmatic hernia surveillance period, by country, registry, and type of registry, International Clearinghouse for Birth Defects Surveillance and Research (ICBDSR).

Country	Registry	Surveillance Years (1974 – 2015)															N ³												
		74-77	78-79	80	81-85	86-90	91	92	93	94	95	96	97	98	99	00		01	02	03	04-08	09	10	11	12	13	14	15	
Hospital-Based Registries																													
Argentina	RENAC																											6	
Colombia	Bogotá																												15
Colombia	Cali																												4
S. America	ECLAMC																												20
Spain	ECEMC ¹																												28
Mexico	RYVEMCE																												36
Iran	TROCA																												9
Israel	SMC																												15
Population-Based Registries																													
Costa Rica	CREC																												15
Czech Rep.	National																												21
France	Paris																												34
Germany	Saxony Anhalt																												35
Italy	Lombardy																												10
Italy	Tuscany																												22
Malta	MCAR																												19
Netherlands	Northern																												34
Slovak Rep.	National																												14
Sweden	National ²																												28
Ukraine	OMNI-Net																												14
UK	Wales																												17
Mexico	Nuevo León																												5
USA	Arkansas																												20

Country	Registry	Surveillance Years (1974 – 2015)																		N ³									
		74–77	78–79	80	81–85	86–90	91	92	93	94	95	96	97	98	99	00	01	02	03		04–08	09	10	11	12	13	14	15	
USA	Atlanta	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	39
USA	Texas	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	17
USA	Utah	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	14

¹ Spain included information on elective termination of pregnancy for fetal anomalies from 1995–2014
² Sweden included information on elective terminations of pregnancy for fetal anomalies from 1999–2014
³ Number of surveillance years.

CREC=Costa Rican Birth Defect Registry; ECEMC=Spanish Collaborative Study of Congenital Malformations; ECLAMC=Latin American Collaborative Study of Congenital Malformations; MCAR=Malta Congenital Anomalies Registry; OMNI-Ne=Ukraine Birth Defects Prevention Program; RENAC=National Network of Congenital Anomalies of Argentina; RYVEMCE=Mexican Registry and Epidemiological Surveillance of External Congenital Malformations; TROCA=Tabriz Registry of Congenital Anomalies; SMC=Soroka Medical Center; UK=United Kingdom; USA=United States of America.

Abbreviations

CDH	Congenital Diaphragmatic Hernia
CI	Confidence Interval
ETOPFA	Elective Termination of Pregnancy for Fetal Anomalies
ICBDSR	International Clearinghouse for Birth Defects Surveillance and Research
MCA	Multiple Congenital Anomalies

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Key Points

Question

What are the age-specific mortality rates among infants with congenital diaphragmatic hernia (CDH), based on registries from multiple countries?

Findings

The overall prevalence of CDH was 2.6 per 10,000 births from the time period 1974 to 2015, but varied by registry type (2.5 per 10,000 births for hospital-based and 2.7 per 10,000 births for population-based registries). The 5-year survival probability varies between 64% and 77% from 2001 to 2012.

Meaning

The prevalence of CDH has increased over time, and rates of mortality have decreased; however, mortality remains high especially during the first week of life.

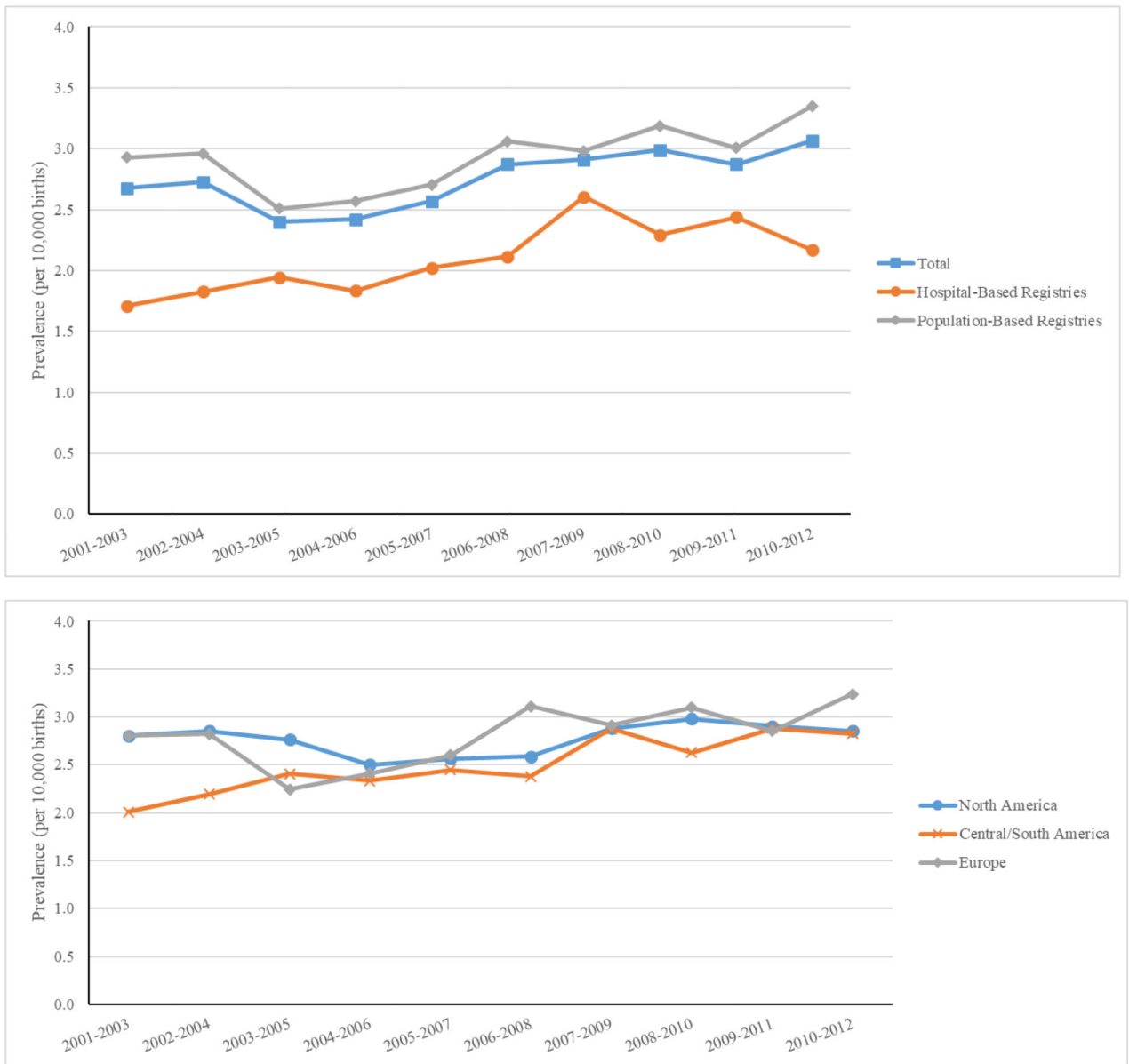


Figure 1. Three-year rolling averages of congenital diaphragmatic hernia prevalence by registry type and continent, 25 surveillance systems in 19 countries, 2001–2012.¹

¹ Iran-TROCA and Israel-SMC are not included in these graphs.

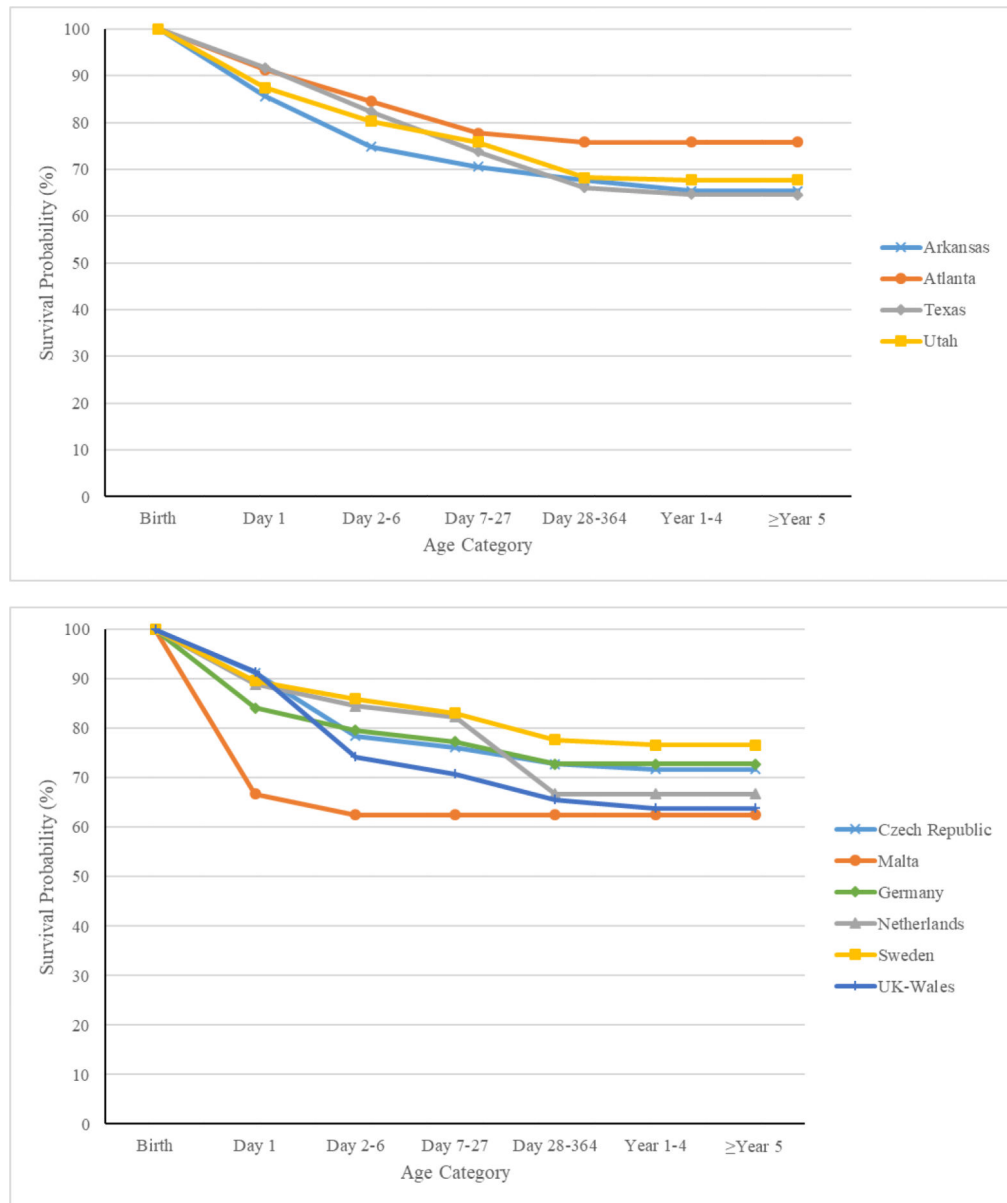


Figure 2. Survival by age for children with congenital diaphragmatic hernia in North American and European surveillance systems, 2001–2012.

Table 1a.

Description of birth defects registries included in the congenital diaphragmatic hernia mortality study by type of registry: surveillance period, coverage, ascertainment period, stillbirth definition, ETOFPA allowed, and availability of prenatal screening services.

Country-Registry	Surveillance Period (n)	Coverage	Ascertainment Period	Stillbirth Definition	ETOFPA Allowed	Prenatal Screening Services
Hospital-Based Registries						
Argentina-RENAC	2009–2014 (6)	N	hospital discharge	>500 g	No	Yes, no official program
Colombia-Bogotá	2000–2014 (15)	R	1st day	>500 g	Yes, since 2006	Yes
Colombia-Cali	2011–2014 (4)	R	1st day	>500 g	Yes, since 2006	Yes
South America-ECLAMC	1995–2015 (21)	R ¹	hospital discharge	>500 g	No ⁵	Yes
Spain-ECEMC	1986–2013 (28)	R ²	3 days	24 weeks or 500 g ⁴	Yes, since 1985	Yes
Mexico-RYVEMCE	1978–2013 (36)	R	3 days	20 gestational weeks or 500 g	No	No
Iran-TROCA	2004–2012 (9)	R	1 year	20 weeks	Yes, restrictions since 2013	Yes
Israel-SMC	2000–2014 (15)	R ³	hospital discharge	Not included	Yes, but not registered	Yes
Population-Based Registries						
Costa Rica-CREC	2000–2014 (15)	N	1 year	20 weeks or >500 g	No	Yes, only high risk pregnancies
Czech Republic	1993–2014 (21)	N	15 years	22 weeks or >500 g	Yes	Yes
France-Paris	1981–2014 (34)	R	28 days	22 weeks	Yes	Yes
Germany-Saxony Anhalt	1980–2014 (35)	R	1 year	>500 g	Yes	Yes, since 1990
Italy-Lombardy	2003–2012 (10)	R	6 years	23 weeks	Yes	Yes
Italy-Tuscany	1992–2014 (22)	R	1 year	20 weeks	Yes	yes
Malta-MCAR	1995–2013 (19)	N	1 year	22 weeks	No	Yes, gradually introduced
Netherlands-Northern	1981–2014 (34)	R	10 years	24 weeks	Yes	Yes, since 2007
Slovak Republic	2001–2013 (14)	N	hospital discharge	>500 g	Yes	Yes
Sweden	1987–2014 (28)	N	before '87 1 month, after '87 1 year	until 2006: 28 weeks, 2007 and after: 22 weeks	Yes, registration since 1999	Yes, since early 1980's
Ukraine-OMNI-Net	2000–2013 (14)	R	1 year	until 2006: 28 weeks/>1000 g 2007 and after: 22 weeks/>500 g	Yes	Yes
United Kingdom-Wales	1998–2014 (17)	R	18 years	24 weeks	Yes	Yes, since 2003

Country-Registry	Surveillance Period (n)	Coverage	Ascertainment Period	Stillbirth Definition	ETOPEA Allowed	Prenatal Screening Services
Mexico-Nuevo León	2011–2015 (5)	R	6 days	Not included	No	Yes, only US
USA-Arkansas	1993–2012 (20)	S	2 years	20 weeks	Yes, until 20 weeks	Yes
USA-Atlanta	1974–2012 (39)	R	6 years	20 weeks	Yes ⁶	Yes
USA-Texas	1996–2012 (17)	S	1 year	20 weeks	Yes, until 20 weeks	Yes
USA-Utah	1999–2012 (14)	S	2 years	20 weeks	Yes	Yes

¹ Several regions in SA

² several regions in Spain currently covering around 18% of total births

³ referral area of one hospital

⁴ if gestational age of death is not determined (since 1980)

⁵ except for anencephaly

⁶ Elective terminations were ascertained from prenatal diagnostic sites beginning in 1994, prior to that they were only rarely ascertained from hospital records.

n=Total number of years; N=National, R=Regional, S=Statewide; CREC=Costa Rican Birth Defect Registry; ECEMC=Spanish Collaborative Study of Congenital Malformations; ECLAMC=Latin American Collaborative Study of Congenital Malformations; ETOPEA=Elective Termination of Pregnancy for Fetal Anomalies; MCAR=Malta Congenital Anomalies Registry; OMNI-Net=Ukraine Birth Defects Prevention Program; RENAC=National Network of Congenital Anomalies of Argentina; RYVEMCE=Mexican Registry and Epidemiological Surveillance of External Congenital Malformations; TROCA=Tabriz Registry of Congenital Anomalies; SMC=Soroka Medical Center; USA=United States of America.

Table 1b.

Description of program follow-up method for live births by registry type.

Country-Registry	Follow-up until discharge from the maternity hospital	Follow-up by a clinician or registry staff	Linkage with death certificates	Maximum follow-up period reported in study
Hospital-Based Registries				
Argentina-RENAC	Yes	Yes	No	2–6 days
Colombia-Bogotá	Yes	Yes	No	1 day
Colombia-Cali	Yes	Yes	No	No mortality reported for livebirths
South America-ECLAMC	Yes	Yes	No	28–364 days
Spain-ECEMC	Yes ¹	No	No	2–6 days
Mexico-RYVEMCE	Yes	No	No	2–6 days
Iran-TROCA	Yes	Yes ³	No	2–6 days
Israel-SMC	Yes	No	Yes, up to 2014	28–364 days
Population-Based Registries				
Costa Rica-CREC	No	No	Yes ⁵	5 years
Czech Republic	No	No	Yes	5 years
France-Paris	Yes	Yes	No	7–27 days
Germany-Saxony Anhalt	Yes	Yes ⁴	No	5 years
Italy-Lombardy	No	No	Yes, up to 2015	7–27 days
Italy-Tuscany	No	No	Yes, up to 2015	28–364 days
Malta-MCAR	Yes ²	No	Yes ⁶	5 years
Netherlands-Northern	Yes	Yes	No	5 years
Slovak Republic	Yes	No	No	7–27 days
Sweden	No	No	Yes, up to April 2016	5 years
Ukraine-OMNI-Net	Yes	Yes	No	28–364 days
United Kingdom-Wales	Yes	No	Yes, to GP system, till 18 years	5 years
Mexico-Nuevo León	Yes	No	No	5 years
USA-Arkansas	Yes	No	Yes, up to 2015	5 years
USA-Atlanta	Yes	No	Yes, up to 2008	5 years

Country-Registry	Follow-up until discharge from the maternity hospital	Follow-up by a clinician or registry staff	Linkage with death certificates	Maximum follow-up period reported in study
USA-Texas	Yes	No	Yes, up to 2013	5 years
USA-Utah	Yes	No	Yes, until age 2	5 years

¹ the participating physicians in the program are especially focused on the ascertainment of birth defects

² babies are followed up until discharge and their hospital files are again seen at 1 year of age, linkage with mortality data continues indefinitely

³ children in university hospital(s)

⁴ until 18 years

⁵ just for reported cases

⁶ continuous linkage with mortality register, for this study data has linkage up to 2015.

CREC=Costa Rican Birth Defect Registry; ECEMC=Spanish Collaborative Study of Congenital Malformations; ECLAMC=Latin American Collaborative Study of Congenital Malformations; GP=General Practitioner; MCAR=Malta Congenital Anomalies Registry; OMNI-Net=Ukraine Birth Defects Prevention Program; RENAC=National Network of Congenital Anomalies of Argentina; RYVEMCE=Mexican Registry and Epidemiological Surveillance of External Congenital Malformations; TROCA=Tabriz Registry of Congenital Anomalies; SMC=Soroka Medical Center; USA=United States of America

Table 2.

Total number of births, total number of CDH cases, prevalence per 10,000 births, live birth proportion, stillbirth proportion, and ETOPEA proportion by registry type for surveillance period 2001–2012.

Country-Registry	Surveillance Period	Total Births	Total Cases of CDH	Total Prevalence per 10,000 total births (95% CI)	Live Birth % (95% CI)	Stillbirth % (95% CI)	ETOPEA % (95% CI)
Hospital-Based Registries							
Argentina-RENAC ¹	2009–2012	422,173	139	3.3 (2.7, 3.9)	95.7 (90.8, 98.4)	4.3 (1.6, 9.2)	-
Colombia-Bogotá ²	2001–2012	356,454	72	2.0 (1.6, 2.5)	97.2 (90.3, 99.7)	2.8 (0.3, 9.7)	-
South America-ECLAMC ¹	2001–2012	1,847,181	716	3.8 (3.4, 4.2)	92.6 (90.4, 94.4)	7.4 (5.6, 9.6)	-
Spain-ECEMC	2001–2012	1,195,025	130	1.1 (0.9, 1.3)	72.3 (63.8, 79.8)	1.3 (0.5, 6.6)	25.4 (18.2, 33.8)
Mexico-RYVEMCE ¹	2001–2012	264,306	30	1.1 (0.8, 1.6)	90.0 (73.5, 97.9)	10 (2.1, 26.5)	-
Iran-TROCA	2004–2012	160,755	92	5.7 (4.6, 7.0)	97.8 (92.4, 99.7)	1.1 (0.0, 5.9)	1.1 (0.0, 5.9)
Israel-SMC ³	2001–2012	157,544	39	2.5 (1.8, 3.4)	100.0 (91.0, 100.0)	0.0 (0.0, 9.0)	-
TOTAL	2001–2012	4,403,438	1,218	2.8 (2.6, 2.9)	91.6 (89.9, 93.1)	5.6 (4.4, 7.0)	2.8 (1.9, 3.9)
Population-Based Registries							
Costa Rica-CREC ¹	2001–2012	876,607	137	1.6 (1.3, 1.8)	98.5 (94.8, 99.8)	1.5 (0.2, 5.2)	-
Czech Republic	2001–2012	1,273,386	326	2.6 (2.3, 2.9)	81.3 (76.6, 85.4)	0.0 (0.0, 1.1)	18.7 (14.6, 23.4)
France-Paris	2001–2012	319,636	85	2.7 (2.1, 3.3)	67.1 (56.0, 76.9)	2.4 (0.3, 8.2)	30.5 (21.1, 41.5)
Germany-Saxony Anhalt	2001–2012	208,108	58	2.8 (2.1, 3.6)	75.9 (62.8, 86.1)	3.4 (0.4, 11.9)	20.7 (11.2, 33.4)
Italy-Lombardy	2003–2012	133,182	37	2.8 (2.0, 3.8)	67.6 (50.2, 82.0)	16.2 (6.2, 32.0)	16.2 (6.2, 32.0)
Italy-Tuscany	2001–2012	352,844	76	2.2 (1.7, 2.7)	78.9 (68.1, 87.5)	1.3 (0.0, 7.1)	19.8 (11.5, 30.5)
Malta-MCAR ¹	2001–2012	48,202	26	5.4 (3.5, 7.9)	92.3 (74.9, 99.0)	7.7 (0.9, 25.1)	-
Netherlands-Northern	2001–2012	221,846	60	2.7 (2.1, 3.5)	75.0 (62.1, 85.3)	10.0 (3.8, 20.5)	15.0 (7.1, 26.6)
Slovak Republic	2001–2012	667,992	119	1.8 (1.5, 2.1)	97.5 (92.8, 99.5)	1.7 (0.2, 5.9)	0.8 (0.0, 4.6)
Sweden	2001–2012	1,230,002	397	3.2 (2.9, 3.6)	70.0 (65.3, 74.5)	1.3 (0.4, 2.9)	28.7 (24.3, 33.4)
Ukraine-OMNI-Net ⁴	2001–2012	347,418	105	3.0 (2.5, 3.7)	59.0 (49.0, 68.6)	16.2 (9.7, 24.7)	21.9 (14.4, 31.0)
United Kingdom-Wales	2001–2012	404,385	160	4.0 (3.4, 4.6)	72.5 (64.9, 79.3)	0.6 (0.0, 3.4)	26.9 (20.2, 34.5)
USA-Arkansas ⁴	2001–2012	470,593	144	3.1 (2.6, 3.6)	96.5 (92.1, 98.9)	2.8 (0.8, 7.0)	0.0 (0.0, 2.5)

Country-Registry	Surveillance Period	Total Births	Total Cases of CDH	Total Prevalence per 10,000 total births (95% CI)	Live Birth % (95% CI)	Stillbirth % (95% CI)	ETOPEA % (95% CI)
USA-Atlanta ⁴	2001–2012	609,837	208	3.4 (3.0, 3.9)	77.4 (71.1, 82.9)	3.8 (1.7, 7.4)	9.1 (5.6, 13.9)
USA-Texas	2001–2012	4,668,071	1,289	2.8 (2.6, 2.9)	96.2 (95.0, 97.2)	2.6 (1.8, 3.6)	1.2 (0.7, 2.0)
USA-Utah	2001–2012	624,990	217	3.5 (3.0, 4.0)	91.2 (86.7, 94.7)	6.0 (3.2, 10.0)	2.8 (1.0, 5.9)
TOTAL	2001–2012	12,457,099	3,444	2.8 (2.7, 2.9)	86.1 (84.9, 87.2)	3.0 (2.5, 3.6)	10.2 (9.2, 11.3)
ALL REGISTRIES	2001–2012	16,860,537	4,662	2.8 (2.7, 2.9)	87.5 (86.6, 88.5)	3.7 (3.2, 4.3)	8.3 (7.5, 9.1)

¹ ETOPEA not allowed

² ETOPEA not registered

³ data on live born children with congenital diaphragmatic hernia from one hospital

⁴ percentages of live birth, stillbirth, and ETOFA do not add up to 100% due to unknown pregnancy outcome of some cases.

CI=Confidence Interval; CREC=Costa Rican Birth Defect Registry; ECEMC=Spanish Collaborative Study of Congenital Malformations; ECLAMC=Latin American Collaborative Study of Congenital Malformations; ETOPEA=Elective Termination of Pregnancy for Fetal Anomalies; MCAR=Malta Congenital Anomalies Registry; OMNI-Net=Ukraine Birth Defects Prevention Program; RENAC=National Network of Congenital Anomalies of Argentina; RYVEMCE=Mexican Registry and Epidemiological Surveillance of External Congenital Malformations; TROCA=Tabriz Registry of Congenital Anomalies; SMC=Soroka Medical Center; USA=United States of America.

Table 3.

Pregnancy outcome of infants affected with CDH according to clinical presentation 2001–2012.

Country- Registry	Isolated CDH						MCA CDH						Syndromic CDH								
	Total Cases		Pregnancy Outcome		Pregnancy Outcome		Total Cases		Pregnancy Outcome		Pregnancy Outcome		Total Cases		Pregnancy Outcome		Pregnancy Outcome				
	N	%	LB %	SB %	ETOPEA %	N	%	LB %	SB %	ETOPEA %	N	%	LB %	SB %	ETOPEA %	N	%	LB %	SB %	ETOPEA %	
Hospital-Based Registries																					
Argentina-RENAC ¹	100	71.9%	99.0%	1.0%	0.0%	35	25.2%	91.4%	8.6%	0.0%	4	2.9%	50.0%	50.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	
Colombia-Bogota ²	58	80.6%	98.3%	1.7%	0.0%	12	16.7%	91.7%	8.3%	0.0%	2	2.7%	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	
SA-ECLAMC ^{1,3}	443	61.9%	91.5%	2.5%	0.0%	273	38.1%	84.6%	15.4%	0.0%	-	-	-	-	-	-	-	-	-	-	
Spain-CEMCC	84	64.6%	75.0%	0.0%	25.0%	32	24.6%	68.8%	6.2%	25.0%	14	10.8%	64.3%	7.1%	28.6%	0.0%	0.0%	0.0%	0.0%	0.0%	
Mexico-RYVEMCE ¹	19	63.3%	89.5%	10.5%	0.0%	8	26.7%	87.5%	12.5%	0.0%	3	10.0%	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	
Israel-SMC ⁴	36	92.7%	100.0%	0.0%	0.0%	3	7.3%	100.0%	0.0%	0.0%	0	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	
TOTAL	740	65.7%	95.1%	2.0%	2.9%	363	32.2%	84.3%	13.5%	2.2%	23	2.1%	69.6%	13.0%	17.4%						
Population-Based Registries																					
Costa Rica-CREC ^{1,5}	95	69.3%	100.0%	0.0%	0.0%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Czech Republic ⁶	-	-	-	-	-	-	-	-	-	-	7	2.1%	57.1%	0.0%	42.9%						
France-Paris	53	62.4%	86.8%	1.9%	11.3%	18	21.2%	50.0%	0.0%	50%	14	16.4%	14.3%	7.1%	78.6%						
Germany-Saxony Anhalt	37	63.8%	89.2%	2.7%	8.1%	14	24.1%	50.0%	0.0%	50%	7	12.1%	57.1%	14.3%	28.6%						
Italy-Lombardy	16	43.2%	81.3%	12.5%	6.2%	21	52.5%	57.1%	19.0%	23.8%	3	7.5%	33.3%	0.0%	66.7%						
Malta-MCAR ¹	17	65.4%	94.1%	5.9%	0.0%	6	23.1%	100.0%	0.0%	0.0%	3	11.5%	66.7%	33.3%	0.0%						
Netherlands-Northern	41	68.3%	82.9%	7.3%	9.8%	8	13.3%	62.5%	12.5%	25%	11	18.4%	54.5%	18.2%	27.3%						
Slovak Republic ³	81	68.1%	97.6%	1.2%	1.2%	38	31.9%	97.4%	2.6%	0.0%	-	-	-	-	-						
Sweden	224	56.4%	83.5%	0.9%	15.6%	137	34.5%	62.0%	1.5%	36.5%	36	9.1%	16.6%	2.8%	80.6%						
Ukraine-OMNI-Net	68	64.8%	64.7%	13.2%	20.6%	33	31.4%	45.4%	24.2%	24.2%	4	3.8%	75.0%	0.0%	25.0%						
United Kingdom-Wales	86	54.0%	82.5%	1.2%	16.3%	47	29.6%	72.3%	0.0%	27.7%	26	16.4%	50.0%	0.0%	50.0%						
USA-Utah	132	60.8%	96.2%	2.3%	1.5%	59	27.2%	88.1%	10.2%	1.7%	26	12.0%	73.1%	15.4%	11.5%						

Country- Registry	Isolated CDH						MCA CDH						Syndromic CDH					
	Total Cases		Pregnancy Outcome				Total Cases		Pregnancy Outcome				Total Cases		Pregnancy Outcome			
	N	%	LB %	SB %	ETOPEA %	N	%	LB %	SB %	ETOPEA %	N	%	LB %	SB %	ETOPEA %			
TOTAL	850	62.1%	87.6%	2.8%	9.4%	381	27.9%	68.8%	5.8%	24.9%	137	10.0%	43.8%	7.3%	48.9%			
ALL REGISTRIES	1,590	63.8%	91.1%	2.5%	6.4%	744	29.8%	76.3%	9.5%	13.8%	160	6.4%	47.5%	8.1%	44.4%			

¹ ETOPEA not allowed

² ETOPEA not registered

³ data only provided for isolated and MCA cases

⁴ data on live born children with congenital diaphragmatic hernia from one hospital

⁵ data only provided for isolated cases

⁶ data only provided for syndromic cases.

CREC=Costa Rican Birth Defect Registry; ECCEMC=Spanish Collaborative Study of Congenital Malformations; ECLAMC=Latin American Collaborative Study of Congenital Malformations; ETOPEA=Elective Termination of Pregnancy for Fetal Anomalies; LB=Live Birth; MCA=Multiple Congenital Anomalies; MCAR=Malta Congenital Anomalies Registry; OMNI-Net=Ukraine Birth Defects Prevention Program; RENAC=National Network of Congenital Anomalies of Argentina; RYVEMCE=Mexican Registry and Epidemiological Surveillance of External Congenital Malformations; SA=South America; SB=Stillbirth; SMC=Soroka Medical Center; TROCA=Tabriz Registry of Congenital Anomalies; USA=United States of America.

Table 4.

Mortality in CDH affected live births for surveillance period 2001–2012.

Country-Registry	Surveillance Period	Live Births with CDH	Age at Death						Kaplan-Meier Mortality Estimate (95% CI)
			Day 1	Day 2-Day 6	Day 7-Day 27	Day 28-Day 364	Year 1-4	Year 5 and Above	
Hospital-Based Registries									
Argentina-RENAC ¹	2009–2012	133	48.9% ⁴	-	-	-	-	-	48.9% (40.4, 57.4)
Colombia-Bogotá ²	2001–2012	70	20.0%	-	-	-	-	-	20.0% (10.6, 29.4)
South America-ECLAMC ¹	2001–2012	663	0.2%	50.1%	5.6%	0.9%	-	-	56.7% (52.9, 60.5)
Spain-ECEMC	2001–2012	94	7.4%	4.3%	-	-	-	-	11.7% (5.2, 18.2)
Mexico-RYVEMCE ¹	2001–2012	27	3.7%	3.7%	-	-	-	-	7.4% (0.0, 17.3) ⁵
Iran-TROCA	2004–2012	90	2.2%	0.0%	-	-	-	-	2.2% (0.0, 5.3) ⁵
Israel-SMC ³	2001–2012	39	23.1%	7.7%	17.9%	5.1%	-	-	53.8% (38.2, 69.5)
TOTAL		1,116	3.0%	36.3%	3.9%	0.7%	-	-	45.1% (42.0, 48.1)
Population-Based Registries									
Costa Rica-CREC ¹	2001–2012	135	6.7%	37.0%	6.7%	2.2%	2.2%	0.0%	54.8% (46.4, 63.2)
Czech Republic	2001–2013	265	8.7%	12.8%	2.3%	3.4%	1.1%	0.0%	28.3% (22.9, 33.7)
France-Paris ²	2001–2012	57	5.3%	22.8%	5.3%	-	-	-	33.4% (21.1, 45.6)
Germany-Saxony Anhalt	2001–2012	44	15.9%	4.5%	2.3%	4.5%	0.0%	0.0%	27.2% (14.1, 40.4)
Italy-Lombardy	2003–2012	25	0.0%	16.0%	8.0%	-	-	-	24.0% (7.2, 40.7)
Italy-Tuscany	2001–2012	60	6.7%	8.3%	8.3%	0.0%	-	-	23.3% (12.6, 34.0)
Malta ¹	2001–2012	24	33.3%	4.2%	0.0%	0.0%	0.0%	0.0%	37.5% (18.1, 56.9)
Netherlands-Northern	2001–2012	45	11.1%	4.4%	2.2%	15.6%	0.0%	0.0%	33.3% (19.5, 47.1)
Slovak Republic	2001–2012	116	0.0%	41.4%	3.4%	-	-	-	44.8% (35.8, 53.9)
Sweden	2001–2012	278	10.4%	3.6%	2.9%	5.4%	1.1%	0.4%	23.8% (18.7, 28.7)
Ukraine-OMNI-Net	2001–2012	62	16.1%	21.0%	0.0%	6.5%	-	-	43.6% (31.2, 55.9)
United Kingdom-Wales	2001–2012	116	8.6%	17.2%	3.4%	5.2%	1.7%	0.0%	36.1% (27.5, 44.9)

Country-Registry	Surveillance Period	Live Births with CDH	Age at Death						Kaplan-Meier Mortality Estimate (95% CI)
			Day 1	Day 2-Day 6	Day 7-Day 27	Day 28-Day 364	Year 1-4	Year 5 and Above	
USA-Arkansas	2001-2012	139	14.4%	10.8%	4.3%	2.9%	2.2%	0.0%	34.6% (26.6, 42.4)
USA-Atlanta	2001-2012	161	8.7%	6.8%	6.8%	1.9%	0.0%	0.0%	24.2% (17.6, 30.8)
USA-Texas	2001-2012	1,240	8.3%	9.4%	8.5%	7.7%	1.4%	0.1%	35.4% (32.7, 38.0)
USA-Utah	2001-2012	198	12.6%	7.1%	4.5%	7.6%	0.5%	0.0%	32.3% (25.8, 38.8)
TOTAL		2,965	9.1%	12.1%	5.9%	5.5%	1.1%	0.1%	33.8% (32.1, 35.5)
ALL REGISTRIES	2001-2012	4,081	7.4%	19.0%	5.4%	4.9%	0.9%	0.1%	37.7% (36.2, 39.2)

¹ ETOPEFA not allowed

² ETOPEFA not registered

³ data on live born children with congenital diaphragmatic hernia from one hospital

⁴ Percentage refers to first week mortality

⁵ Lower limit confidence intervals fitted to zero.

CI=Confidence Interval; CREC=Costa Rican Birth Defect Registry; ECEMC=Spanish Collaborative Study of Congenital Malformations; ECLAMC=Latin American Collaborative Study of Congenital Malformations; MCAR=Malta Congenital Anomalies Registry; OMNI-Net=Ukraine Birth Defects Prevention Program; RENAC=National Network of Congenital Anomalies of Argentina; RYVEMCE=Mexican Registry and Epidemiological Surveillance of External Congenital Malformations; TROCA=Tabriz Registry of Congenital Anomalies; SMC=Soroka Medical Center; USA=United States of America.