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## Short course gamma tocopherol did not mitigate effects of ozone on airway inflammation in asthmatics

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### Abstract

**Introduction:** Exposure to elevated ambient ozone levels has been associated with exacerbation of asthma, likely mediated by oxidative stress. We have shown that supplementation with the antioxidant vitamin E isoform, gamma tocopherol, mitigates the inflammatory effects of inhaled endotoxin exposure, a key component of ambient air particulate matter.

**Objective:** The objective of the current study was to assess the efficacy of gamma tocopherol for mitigating pulmonary effects of ozone, using an abbreviated dosing regimen that could be rapidly begun when high ozone days are anticipated.

**Materials and Methods:** We conducted a randomized, double blind, placebo-controlled crossover study of adults with mild asthma who were pre-treated with gamma tocopherol-enriched supplement and exposed to 0.25 ppm ozone for 3 hours. Induced sputum samples were obtained before and after ozone exposure to measure airway inflammation. Mucociliary clearance was estimated using gamma scintigraphy.

**Results:** With the short course of gamma tocopherol pre-treatment, we found no significant effect on ozone-induced airway inflammation. A transient slowing of clearance in the large airways was seen following ozone exposure in the placebo treatment period that was not present during gamma tocopherol treatment.

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**Disclosure of Interests:** The authors declare that they have no competing interests.

Declarations

**Ethics approval and consent to participate:** The University of North Carolina Institutional Review Board Biomedical committee approved the study (#15–1938). Written informed consent was obtained from all participants. This study was registered on [clinicaltrials.gov](https://clinicaltrials.gov) under identifier [NCT02911688](https://clinicaltrials.gov/ct2/show/study/NCT02911688).

**Availability of data and materials:** The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Discussion:** Short course gamma tocopherol did not protect against ozone-induced airway inflammation. Future work will focus on the efficacy of longer courses of gamma tocopherol supplementation for mitigating pollutant-induced health effects. The early transient ozone effect on airway clearance as well as the impact of gamma tocopherol on this effect will be further explored in future studies.

### Keywords

Ozone; air pollution; asthma; gamma tocopherol; mucociliary clearance

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### Introduction:

Ozone (O<sub>3</sub>) is a commonly encountered oxidant pollutant, which is a frequent trigger of asthma exacerbations (Bromberg 2016). We and others have shown that short term O<sub>3</sub> exposure results in lung function decrements and neutrophilic airway inflammation (Hernandez et al. 2010; Lay et al. 2007). We are assessing the vitamin E isoform, gamma tocopherol ( $\gamma$ T), as an intervention for pollutant-induced respiratory health effects. In preclinical studies,  $\gamma$ T inhibited O<sub>3</sub>-induced exacerbation of allergic airway inflammation and mucus production (Wagner et al. 2007). We recently reported that 14 days of supplementation with  $\gamma$ T in asthmatics reduced baseline sputum eosinophilia and pathogenic mucin Muc5AC, as well as neutrophilic airway inflammation and slowing of mucociliary clearance (MCC) following inhaled endotoxin challenge (Burbank et al. 2018). We next set out to test a shorter course prophylactic regimen of  $\gamma$ T that could be implemented on high pollutant exposure days that occur with little warning such as pollutant increases associated with wildfires, in which both particulate matter and O<sub>3</sub> are increased. To determine if a shorter course of  $\gamma$ T could have similar anti-inflammatory activities as longer courses, we simultaneously assessed the effect of a 2-day, 4 dose regimen on inflammatory cytokine secretion in lipopolysaccharide (LPS)-treated peripheral blood mononuclear cells obtained from treated volunteers. We reported a reduction of cytokine secretion similar to that observed following longer  $\gamma$ T treatment regimens (Burbank et al. 2017). Guided by these results, we focused on the effect of this short course of  $\gamma$ T pre-treatment on baseline airway eosinophilia and O<sub>3</sub>-induced neutrophilic airway inflammation and changes in MCC.

### Materials and Methods:

In this protocol, adults with mild intermittent allergic asthma were treated with  $\gamma$ T (or placebo) followed by exposure to O<sub>3</sub> using a randomized, double blind, placebo-controlled crossover study design. Participants consumed two  $\gamma$ T-enriched geltaabs containing approximately 600 mg of  $\gamma$ T each (d-gamma tocopherol 89.5%, total tocopherols 93.2%, canola oil 10%) or matching placebo (safflower oil 700 mg per capsule) every 12 hours for 4 doses, with the final dose administered the morning of O<sub>3</sub> exposure. Participants then entered an exposure chamber where they were exposed to 0.25 ppm O<sub>3</sub> for three hours. During exposure, participants alternated 15 minutes of rest with 15 minutes of treadmill exercise (at a level sufficient to achieve minute ventilation of 20 Liters/minute/meter<sup>2</sup> body surface area). Induced sputum samples were obtained before and 6 hours after O<sub>3</sub> exposure

and analyzed for inflammatory cells using methods previously described (Hernandez et al. 2010). Sputum samples were analyzed with the V-PLEX Human Proinflammatory Panel II kit (MesoScale Diagnostics, Rockville, MD). MCC was measured using gamma scintigraphy techniques which have been described in detail previously (Bennett et al. 2011; Bennett et al. 2014). Briefly, participants inhaled an aerosol of technetium 99m sulfur colloid ( $^{99m}\text{Tc-SC}$ ), then a gamma camera was used to obtain continuous 2-minute images at 10-minute intervals for a period of 2 hours to monitor clearance of  $^{99m}\text{Tc-SC}$  particles from the airways. Whole lung retention was calculated as a fraction of the initial counts in the lung over the 2-hour measurement period. Images of the lung were then divided into regions of interest: central (C) and peripheral (P), where C is enriched and P is absent of the large proximal airways (Bennett et al. 2015). Whole lung and regional MCC was expressed as average clearance in percent over time. Baseline MCC measurements were established at the first study visit prior to receiving any study drug. MCC was measured again one hour after exiting the O<sub>3</sub> chamber. After a minimum 3-week washout period, participants returned for the second period of study and were crossed over to the alternate treatment group.

O<sub>3</sub>-induced changes in outcome variables from baseline were analyzed using paired t-test or Wilcoxon signed rank test depending on normality of the data. Linear regression models were fitted that accounted for the initial regional lung deposition of radioaerosol (the central-to-peripheral, or C/P, deposition ratio) as a covariate to determine if  $\gamma\text{T}$  treatment impacts O<sub>3</sub>-induced changes in MCC compared to placebo. The local university Institutional Review Board approved the study. Written informed consent was obtained from all participants. This study was registered on [clinicaltrials.gov](https://clinicaltrials.gov) under identifier [NCT02911688](https://clinicaltrials.gov/ct2/show/study/NCT02911688).

## Results:

Twenty adults with mild intermittent allergic asthma were enrolled, with 15 volunteers completing all study visits, though one volunteer was unable to complete all MCC measurements for logistical reasons. Demographic information is summarized in Table 1. No participant was actively using controller therapy for asthma at any point during the study, and participants had not used short acting beta agonists for at least 12 hours prior to arriving for study visits. Due to variability in quality of induced sputum samples, paired assessments of pre and post-O<sub>3</sub> challenge sputum endpoints were limited to 7 volunteers. We found that, in contrast to our results with 14 day dosing, the shortened treatment course of  $\gamma\text{T}$  did not significantly reduce pre-O<sub>3</sub> eosinophilic inflammation compared to placebo (mean of 2.2% sputum eosinophils [ $\pm$  3.7 SD] following placebo treatment and 3.4% sputum eosinophils [ $\pm$  4.3] following  $\gamma\text{T}$  treatment,  $p=0.56$ ). O<sub>3</sub> exposure significantly increased sputum percentage of neutrophils during both the placebo and  $\gamma\text{T}$  treatment periods (Figure 1A), with no significant effect on sputum percentage of eosinophils (data not shown). However,  $\gamma\text{T}$  supplementation did not attenuate O<sub>3</sub>-induced neutrophilic airway inflammation compared to placebo (mean increase in sputum %PMN of 36.5 percentage points [ $\pm$  20.2] following placebo versus 34.1 percentage points [ $\pm$  20.2] following  $\gamma\text{T}$ ,  $p>0.9$ ) (Figure 1B). We found no significant change in sputum inflammatory cytokines (Interleukin (IL)-1 $\beta$ , IL-6, IL-8 and TNF $\alpha$ ) from baseline following O<sub>3</sub> exposure and no difference in sputum cytokines following  $\gamma\text{T}$  supplementation compared to placebo (data not shown).

With regard to MCC measures, we found no detectable O<sub>3</sub>-related change from baseline in whole lung clearance of <sup>99m</sup>Tc-SC particles over the 120 minute measurement period in either treatment period, with mean average 120 minute clearance (Ave120Clr) of 21% ( $\pm$  7% SD) at baseline, 21% ( $\pm$  7%) post-O<sub>3</sub> during placebo, and 23% ( $\pm$  7%) post-O<sub>3</sub> during  $\gamma$ T treatment. Assessment of the central region (enriched with large proximal airways) demonstrated an early and transient decrease in clearance following O<sub>3</sub> exposure during the placebo period, which was most pronounced during the first 30 minutes of the scan (central Ave30Clr: 12.6% [ $\pm$  9.2% SD] baseline vs 9.8% [ $\pm$  8.5%] post-O<sub>3</sub>, p=0.07), though the decrease did not reach statistical significance. Interestingly, this finding was not seen during  $\gamma$ T treatment (12.6% [ $\pm$  9.2%] baseline vs 12.1% [ $\pm$  6.8%] post-O<sub>3</sub>, p=0.86).

## Discussion:

Overall, this short course of  $\gamma$ T did not reduce baseline eosinophilic airway inflammation nor O<sub>3</sub>-induced neutrophilic inflammation in mild asthmatics, unlike our observations of the effect of 14-day treatment on endotoxin-induced inflammation. As our preclinical studies demonstrate that  $\gamma$ T reduces both O<sub>3</sub> and endotoxin-induced inflammation, it seems most likely that the lack of anti-inflammatory action we observed in this study is due to shorter treatment duration, rather than a differential effect of  $\gamma$ T on O<sub>3</sub> vs. endotoxin-induced inflammation in humans. Future work examining asthma-specific pollutant effects will incorporate the use of longer treatment courses of gamma tocopherol.

While we did not detect an O<sub>3</sub>-mediated effect on whole lung MCC in mild asthmatics, our *post-hoc* analyses suggest that O<sub>3</sub> may promote an early transient slowing of MCC within the large bronchial airways specifically, the major site of airway disease in asthma. Prior studies evaluated O<sub>3</sub> effects on MCC in healthy non-asthmatics with mixed results, showing no effect when measured 2 hours *after* O<sub>3</sub> exposure (Gerrity et al. 1993) but a temporary speeding of clearance when measured *during* O<sub>3</sub> exposure (Foster and Stetkiewicz 1996). Interestingly in our study, transient slowing of clearance was not observed following short course  $\gamma$ T treatment. This effect will be further examined in future studies to determine whether  $\gamma$ T has a protective effect against O<sub>3</sub>-mediated slowing of MCC in the central airways. We hypothesize that asthmatics may be uniquely vulnerable to the impact of oxidative stress on airway inflammation, mucin secretion and ciliary function, factors which would impact MCC.

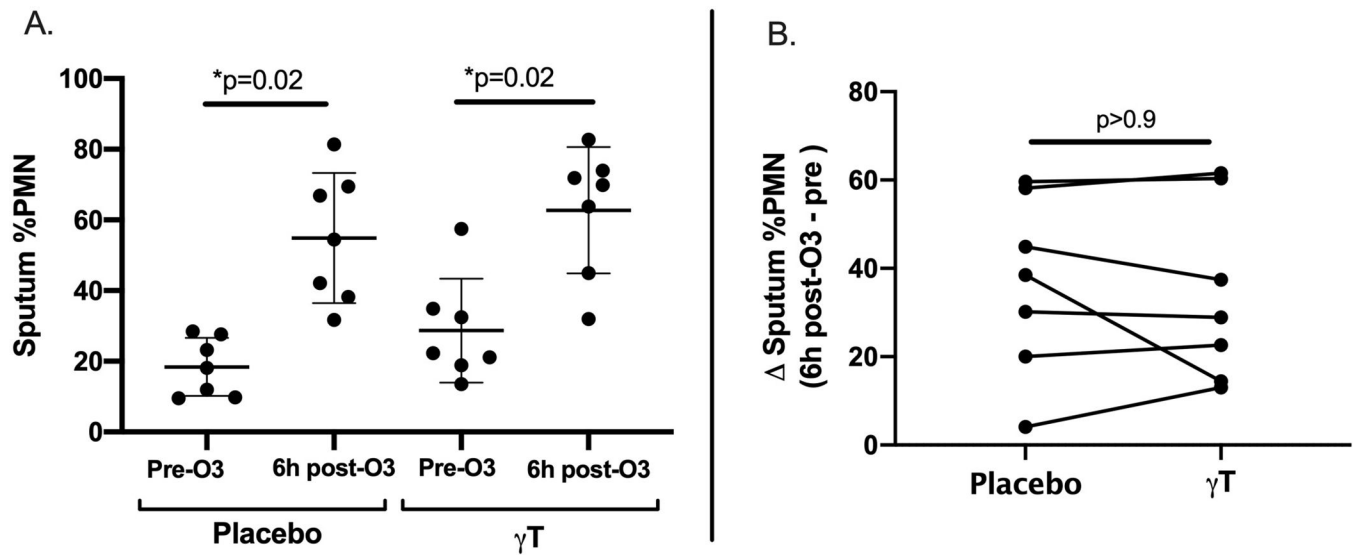
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**Figure 1. O<sub>3</sub>-induced neutrophilic airway inflammation following  $\gamma$ T and placebo treatment (N=7).**

A) O<sub>3</sub> exposure resulted in significantly increased sputum neutrophil burden in both treatment groups. B) No significant difference in O<sub>3</sub>-induced sputum neutrophilia was seen following  $\gamma$ T treatment compared to placebo treatment. (Figure 1A data presented as mean and standard deviation. All p values generated from Wilcoxon signed rank tests). Neutrophils (PMN); Ozone (O<sub>3</sub>); Gamma Tocopherol ( $\gamma$ T).

**Table 1.**

## Characteristics of Study Participants

Clinical Characteristic	N = 15
Age (years), median (range)	23 (20–45)
Sex	11 Female/ 4 Male
Race	10 Caucasian 3 African-American 1 Asian 1 Other
Ethnicity	2 Hispanic/Latino 13 Non-Hispanic/ Latino
Baseline FEV1 (Liters), Median (range) % predicted, Median (range)	3.2 (2.3–4.8) 92 (78–109)
BMI (kg/m <sup>2</sup> ), median (range)	24 (17–36)
Baseline FeNO (ppb), median (range)	33 (13–133)

Forced expiratory volume in 1 second (FEV1); Body mass index (BMI); Fractional exhaled nitric oxide (FeNO); parts per billion (ppb).

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