### **RESEARCH ARTICLE**

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# Prevalence and clinical phenotype of the triplicated α-globin genes and its ethnic and geographical distribution in Guizhou of China

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#### **Abstract**

**Background:**  $\alpha$ -thalassemia is relatively endemic in Guizhou province of southwestern China. To predict the clinical manifestations of  $\alpha$ -globin gene aberration for genetic counseling, we examined the prevalence of the  $\alpha$ -globin triplication and the genotype–phenotype correlation in this subpopulation

**Methods:** A cohort of 7644 subjects was selected from nine ethnicities covering four regions in Guizhou province of China. Peripheral blood was collected from each participant for routine blood testing and hemoglobin electrophoresis. PCR-DNA sequencing and Gap-PCR were used to identify the thalassemia gene mutations. Chi-square tests and one-way analysis of variance (ANOVA) were used to statistically analyze the data.

**Results:** We found that the frequency of α-globin triplication in Guizhou province was 0.772% (59/7644). Genotypically, the  $\alpha\alpha^{anti4.2}/\alpha\alpha$  accounted for 0.523% (40/7644), the  $\alpha\alpha^{anti3.7}/\alpha\alpha$  for 0.235% (18/7644), and the  $\alpha\alpha^{anti3.7}/\alpha^{SEA}$  for 0.013% (1/7644). The  $\alpha\alpha^{anti4.2}/\alpha\alpha$  is more prevalent than the  $\alpha\alpha^{anti3.7}/\alpha\alpha$  in Guizhou. In addition, the frequency of the HKαα/αα (that by GAP-PCR is like  $\alpha\alpha^{anti4.2}/\alpha^{3.7}$ ) was 0.235% (18/7644). Ethnically, the Tujia group presented the highest prevalence (2.47%) of α-globin triplication. Geographically, the highest frequency of the α-globin triplication was identified in Qiannan region (2.23%). Of the triplicated α-globin cases, 5 coinherited with heterozygote β-thalassemia and presented various clinical manifestations of anemia.

**Conclusions:** These data will be used to update the Chinese triplicated  $\alpha$ -globin thalassemia database and provide insights into the pathogenesis of thalassemia. These findings will be helpful for the diagnosis of thalassemia and future genetic counseling in those regions.

**Keywords:** Population genetics, Epidemiology, Prevalence, Triplicated  $\alpha$ -globin genes,  $\alpha$ -globin triplication,  $\beta$ -thalassemia, Anemia

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#### **Background**

Thalassemia is a hereditary hemoglobin disease caused by defects in the globin genes, including deletions and mutations [1]. Based on the gene involved, thalassemia is usually classified into  $\alpha$ -thalassemia and  $\beta$ -thalassemia [2, 3]. While a deletion of one or both  $\alpha$ -globin genes leads to  $\alpha$ -thalassemia, the  $\alpha$ -globin genes triplication ( $\alpha\alpha\alpha$ ) that caused by homologous recombination



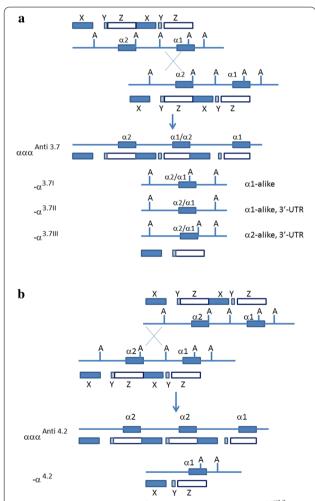
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between the duplicated  $\alpha$ -globin genes (Fig. 1), rarely causes detectable clinical symptoms because the clinical blood parameters and manifestations appear normal [4–6]. However, when in coinherited with  $\beta$ -globin gene mutation(s), the triplicated  $\alpha$ -globin genes play a considerable role in pathophysiology of thalassemia by deteriorating the imbalanced  $\alpha$ -globin chain synthesis and affecting the erythroid maturation and survival [7, 8], mild to severe thalassemia (transfusion-dependent anemia) is often observed in the affected subjects due to the imbalance of  $\alpha$ - and  $\beta$ -globin chains [8–10]. Patients with severe thalassemia usually rely on lifelong blood



**Fig. 1** Schematic generation of the two α-triplications (ααα<sup>anti3.7</sup> and ααα<sup>anti4.2</sup>) through homologous recombination between the duplicated α-globin genes. **a** Generation of the ααα<sup>anti3.7</sup> triplication and the three subtypes of rightward deletion (-α<sup>3.7|,||,|||</sup>) due to unequal crossing over between two misaligned Z boxes of the α1- and α2-globin genes and reciprocal events; **b** Generation of the ααα<sup>anti4.2</sup> triplication and deletion of  $-\alpha^{4.2}$  from recombination between the two misaligned X-homology boxes. *Note*: X, Y, Z, homology boxes; A, *Apa* I restriction site

transfusion therapy, which is a heavy healthcare burden for their families and society. There are two types of triplicated  $\alpha$ -globin genes:  $\alpha\alpha\alpha^{anti3.7}$  and  $\alpha\alpha\alpha^{anti4.2}$  [11, 12]. The  $\alpha\alpha\alpha^{anti4.2}$  is commonly observed in Asians while the  $\alpha\alpha\alpha^{anti3.7}$  is more prevalent in Africans, Middle Eastern, and Mediterranean populations [7, 11–13]. In addition, a type of unusual rearrangement of the  $\alpha$ -globin gene cluster, called HKaa (Hong Kong  $\alpha\alpha$ ) allele, contains both the - $\alpha^{3.7}$  and  $\alpha\alpha\alpha^{anti4.2}$  crossover junctions [14, 15]. But the HKaa allele does not really contain three copies of  $\alpha$ -globin gene. Thus, HKaa is not an  $\alpha$ -triplication allele.

Guizhou province, located in southwestern China, is one of the regions with the highest rate of  $\alpha$ -thalassemia in Asia [16]. The population consists of several ethnic groups including many minorities such as Yao, Miao, Buyi, Dong, Tujia, Zhuang, Shui and Gelao. Although thalassemia patients with  $\beta$ -globin gene defects and triplicated  $\alpha$ -globin genes had been reported worldwide [9, 17, 18], the frequency of triplicated  $\alpha$ -globin genes in this population has never been investigated. Thus, we conducted an epidemiological study to elucidate the frequency and clinical features of triplicated  $\alpha$ -globin genes in this population and region.

#### **Methods**

#### **Subjects**

Guizhou province contains four regions. Two representative counties/cities from each region were taken for study. Inclusion criteria: subjects whose residence in these regions exceeded 3 years at the time of recruitment, regardless of age, sex, and ethnicity. In total, 7866 participants were recruited by simple random sampling method from 8 counties/cities (Congjiang, Liping, Tongren, Libo, Liupanshui, Kaili, Zunyi, and Anshun) in four regions (Qiannan, Qiandongnan, Qianbei and Qianxi) of Guizhou province in China from February 2014 to June 2016. Eventually, blood samples and health information were collected from 7644 qualified people for investigation based on the inclusion criteria (Fig. 2).

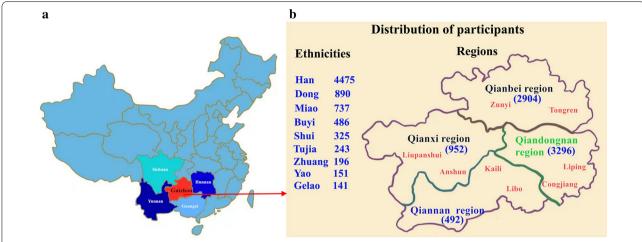
#### **Blood analysis**

Approximately 5 ml peripheral blood was collected from each participant for routine blood testing (Sysmex hematology analyzer, K-1000, Sysmex Corporation, Kobe, Japan) and hemoglobin electrophoresis (Bio-Rad Laboratories, Hercules, CA, USA).

#### DNA sequencing and genotyping

Approximately 3 ml of peripheral blood was collected from each subject. DNA extraction was conducted by using the Magen nucleic acid extraction kit (Magen, Guangzhou, China). Four pairs of globin gene specific PCR primers (HBA1, HBA2, HBB-1, and HBB-2) were

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**Fig. 2** Map of the sampling location. **a** Guizhou province is located in southwestern China; **b** Blood samples were randomly collected from 8 counties/cities in four regions of Guizhou province, covering nine ethnic groups. Note: the maps were drawn by tracing existing maps through software Procreate (version 5.0.5, Savage Interactive Pty Ltd.), and owned by authors in this study

designed and synthesized by the Beijing Genome Institute (BGI)-Shenzhen. For identification of HKαα, nextgeneration sequencing plus Gap-PCR were adopted. All the globin gene-specific PCR primers were owned and patented by the BGI-Shenzhen, and unpublicized. PCR was carried out in a volume of 25 µl with an amplification reaction system containing 1 pair of tag primers, 50-200 ng DNA, and 2×Gold Star Taq Master Mix (Kangwei century). The PCR amplification was performed using the ABI9700 (Perkin-Elmer Applied Biosystems Inc., Foster City, CA). The PCR conditions: 95 °C 10 min; 95 °C 30 s, 60 °C 30 s, 72 °C 50 s, 35 cycles; 72 °C 5 min, 15 °C hold; PCR conditions for copy number variations: 95 °C 10 min; 95 °C 30 s, 60 °C 60 s, 24 cycle; 15 °C hold. Whole genome DNA sequencing was performed to detect globin gene defects by the Beijing Genome Institute (BGI)-Shenzhen through next-generation sequencing technology [19]. Gap-PCR was used to detect some  $\alpha$  deletion genotypes, as described previously [15, 19]. Information from subjects with triplicated  $\alpha$ -globin genes combined with β-thalassemia clinical manifestations were collected for further analysis. The nomenclature and description of the  $\alpha$ -globin gene variants identified followed the HGVS guidelines (http://www.HGVS.org/ varnomen).

#### Statistical analysis

Continuous variables are summarized by descriptive statistics, including the mean and range or standard deviation. Categorical variables are presented as number and percentage, and the comparisons of frequencies and mean were completed by using the Chisquare test and one-way analysis of variance (ANOVA).

A statistically significant difference was defined as a p < 0.05. Statistical analyses were performed with SPSS 17.0 (SPSS Inc., Chicago, IL, USA).

#### **Results**

#### Population samples

Of the 7644 qualified participants, 3817 were males and 3827 were females, the participants' ages ranged from 5 to 68 (average age  $25\pm3.4$ ) years, 1027 (13.44%) were minors, and 6617 (86.56%) were adults. The geographical residence pattern of the subjects was as follows: Qianbei (Zunyi, Tongren) region, 2904 (37.99%); Qiannan (Kaili, libo), 491 (6.42%); Qianxi (Liupanshui, Anshun), 952 (12.45%); and Qiandongnan (Congjiang, Liping), 3297 (43.13%). There were nine ethnicities inhabiting those regions: Han, 4475 (58.5%); Dong, 890 (11.6%); Miao, 737 (9.6%); Buyi, 486 (6.4%); Shui, 325 (4.3%); Tujia, 243 (3.2%); Yao, 151 (2%); Gelao, 141 (1.8%); and Zhuang 196 (2.6%).

## Prevalence of triplicated $\alpha$ -globin genes and the HK $\alpha\alpha$ allele

As listed in Table 1, among the 7644 subjects examined, 59 carried heterozygous triplicated  $\alpha$ -globin genes. The prevalence of the triplicated  $\alpha$ -globin genes was 0.772% or 772/100,000 in this subpopulation. The  $\alpha\alpha\alpha^{\rm anti4.2}/\alpha\alpha$  accounted for 0.523% (40/7644), the  $\alpha\alpha\alpha^{\rm anti3.7}/\alpha\alpha$  for 0.235% (18/7644), and 1 subject carried a  $\alpha\alpha\alpha^{\rm anti3.7}$  allele and a  $-^{\rm SEA}$  allele (genotype:  $\alpha\alpha\alpha^{\rm anti3.7}/-^{\rm SEA}$ ), accounting for 0.013% (1/7644). Thus, the  $\alpha\alpha\alpha^{\rm anti4.2}/\alpha\alpha$  is more prevalent than the  $\alpha\alpha\alpha^{\rm anti3.7}/\alpha\alpha$  in Guizhou province (p<0.05). In addition, we identified 18 cases of the HKαα/αα carriers, accounting for 0.235% (18/7644).

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**Table 1** Prevalence of each type of the α-globin gene triplication and HKαα allele in 7644 subjects

Genotype	Triplicated α-g	Triplicated α-globin gene carriers (%)	
	Male	Female	
ααα <sup>anti 4.2</sup> /αα	22 (0.288)	18 (0.235)	40 (0.523)
aaa <sup>anti 3.7</sup> /aa	10 (0.13)	8 (0.105)	18 (0.235)
HKaa/aa	5 (0.065)	13 (0.17)	18 (0.235)
$\alpha\alpha\alpha^{anti3.7}/-^{SEA}$	1 (0.013)		1 (0.013)

**Table 2** The ethnic distribution of the triplicated  $\alpha$ -globin genes and HK $\alpha\alpha$  allele in Southwestern Guizhou

Ethnicity (number)	ααα <sup>anti 4.2</sup> / αα (%)	ααα <sup>anti 3.7</sup> / αα (%)	HKaa/aa (%)	aaa <sup>anti3.7</sup> /- SEA (%)	Total
Han (n = 4475)	31 (0.69)	11 (0.25)	12 (0.27)	1 (0.022)	55 (1.23)
Dong (n = 890)	2 (0.22)	3 (0.34)	3 (0. 34)	0	8 (0.90)
Miao (n = 737)	1 (0.14)	1 (0.14)	1 (0.14)	0	3 (0.42)
Buyi (n = 486)	1 (0.21)	1 (0.21)	1 (0.21)	0	3 (0.63)
Shui (n = 325)	1 (0.31)	0	1 (0.31)	0	2 (0.62)
Tujia (n = 243)	4 (1.65)	2 (0.82)	0	0	6 (2.47)
Zhuang $(n = 196)$	0	0	0	0	0
Yao (n = 151)	0	0	0	0	0
Gelao (n = 141)	0	0	0	0	0

## The ethnic distribution of the triplicated $\alpha\text{-globin}$ genes and the HKqq allele

As listed in Table 2, there are 9 ethnic groups inhabiting these regions. Except for the Han ethnic group, the other ethnic groups are minorities in China. The ethnicity of

the participants was determined by questioning. In this investigation, the highest frequency of the  $\alpha\alpha\alpha^{\rm anti4.2}/\alpha\alpha$  was identified in the Tujia ethnic group (1.65%), followed by the Han, Dong, Shui, Buyi, and Miao. The frequency of the  $\alpha\alpha\alpha^{\rm anti4.2}/\alpha\alpha$  in the Tujia group was significantly higher than in the Miao ( $p\!=\!0.015$ ), Dong ( $p\!=\!0.022$ ), and Buyi ( $p\!=\!0.045$ ). For the  $\alpha\alpha\alpha^{\rm anti}\,^{3.7}/\alpha\alpha$  genotype, the highest rate was observed in the Tujia group as well, followed by the Dong, Han, Buyi, and Miao. No  $\alpha\alpha\alpha^{\rm anti}\,^{3.7}/\alpha\alpha$  carrier was observed in the Shui group. No triplicated  $\alpha$ -globin genes were detected in the Zhuang, Yao, and Gelao groups.

# The geographical distribution of the triplicated $\alpha$ -globin genes and the HK $\alpha\alpha$ allele

As listed in Table 3, the highest prevalence of the α-globin gene triplication was observed in Qiannan region (2.23%), followed by Qiandongnan, Qianbei, and Qianxi. The frequency in Qiannan was significantly higher than in any other regions including Qiandongnan (p=0.03), Qianbei (p=0.001), and Qianxi (p=0.0045). There was no significant difference observed between the other regions. While the prevalence of the  $\alpha\alpha\alpha^{anti~4.2}$ / αα genotype was significantly higher in Qiannan region than in other regions, the  $\alpha\alpha\alpha^{anti3.7}/\alpha\alpha$  was commonly found in Qiannan and Qiandongnan regions. However, although the frequency of the  $\alpha\alpha\alpha^{anti3.7}/\alpha\alpha$  was higher in Qiandongnan, there was no statistically significant distribution difference between those regions (p > 0.05). In addition, the  $HK\alpha\alpha/\alpha\alpha$  was mainly distributed in Qiandongnan, but its distribution had no statistically significant difference between those regions (p > 0.05). The  $\alpha\alpha\alpha^{\text{anti3.7}}/-$  SEA was quite rare in those regions, and only one case was detected in Qiandongnan.

# Genotype–phenotype associations of $\alpha$ -globin gene rearrangements

The frequency of thalassemia-gene carriers in Guizhou is 11.03%, with 7.41% of  $\alpha$ -thalassemia-gene frequency

**Table 3** The geographical distribution of the triplicated α-globin genes and HKαα allele in Southwestern Guizhou

Regions (number)	ααα <sup>anti 4.2</sup> /αα (%)	ααα <sup>anti 3.7</sup> /αα (%)	HKaa/aa (%)	ααα <sup>anti3.7</sup> /_ <sup>SEA</sup> (%)	Total
Qiandongnan (n = 3296)	16 (0.49)	11 (0.33)	16 (0.49)	1 (0.03)	44 (1.34)
Qianbei (n = 2904)	12 (0.41)	6 (0.21)	0	0	18 (0.62)
Qiannan (n=492)	9 (1.83)	1 (0.2)	1 (0.2)	0	11 (2.23)
Qianxi (n = 952)	3 (0.32)	0	1 (0.11)	0	4 (0.43)

<sup>%,</sup> percentage, the number of a specific genotype carriers divided by the participants examined in a specific region

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**Table 4** The blood parameters of the α-globin gene triplication and HKαα groups

Genotypes	Number	RBC ( $\times 10^{12}/L$ )	HGB (g/L)	MCV (fL)	MCH (pg)
ααα <sup>anti3.7</sup> /αα	16	5±0.27	138±9.8	93±7.7	31 ± 2.7
HKaa/aa	18	$4.6 \pm 0.31$	$125.9 \pm 12.7$	$88.6 \pm 8.37$	$28.51 \pm 2.28$
aaa <sup>anti4.2</sup> /aa	37	$5 \pm 0.46$	$133.9 \pm 13$	$93 \pm 9.5$	$30 \pm 1.7$
aaa <sup>anti3.7</sup> /– <sup>SEA</sup>	1	4.9	135	86.5	29.8

RBC, red blood cells (normal range:  $4-5 \times 10^{12}$ /L); HGB, hemoglobin (normal range: male, 120–170 g/L; female, 110–160 g/L); MCV, mean corpuscular volume (normal range: 80-100 fL); MCH, mean corpuscular hemoglobin (normal range: 27-32 pg)

**Table 5** The hemoglobin electrophoresis data of the  $\alpha$ -globin gene triplication and HKa $\alpha$  groups

Genotypes	Number	HbA (%)	HbA2 (%)	HbF (%)
ααα <sup>anti3.7</sup> /αα	16	97±0.21	3±0.21	_
HKaa/aa	18	$96.9 \pm 1.0$	$2.89 \pm 0.37$	$0.7 \pm 0.2$
ααα <sup>anti4.2</sup> /αα	37	$97 \pm 0.44$	$3 \pm 0.31$	$0.8 \pm 0.2$

<sup>-,</sup> no data

and 3.23% of  $\beta$ -thalassemia-gene frequency (unpublished data). Therefore, the frequency of  $\alpha$ -thalassemia is higher than  $\beta$ -thalassemia in Guizhou province, China. While deletion of  $\alpha$ -globin genes causes  $\alpha$ -thalassemia, the triplicated  $\alpha$ -globin genes alone rarely cause obvious clinical symptoms. All 59 carriers of the triplicated  $\alpha$ -globin genes including the  $\alpha\alpha\alpha^{\rm anti3.7}/-^{\rm SEA}$  case, and 18 cases of the HK $\alpha\alpha/\alpha\alpha$  did not presented any clinical manifestations such as anemia at the time of examination. The blood parameters including the red blood cells (RBC), hemoglobin (HGB), mean corpuscular volume

(MCV), mean corpuscular hemoglobin (MCH), HbA, HbA2, and HbF were measured and statistically analyzed through ANOVA. No significant parameter difference was identified among the three different genotype groups (p>0.05) (Tables 4, 5). All of the hematological parameters appeared within the normal range. However, when triplicated  $\alpha$ -globin genes coinherit with  $\beta$ -globin gene mutation(s), the affected subjects present various clinical manifestations from no symptoms to severe anemia. In this study, we identified 5 subjects who were cocarriers of the  $\beta$ -globin gene alterations and the  $\alpha$ -globin gene triplication. All 5 affected subjects were of Han ethnicity. Mut-01 was a boy who suffered from severe anemia when he was 7 years old and was treated with regular blood transfusions at a local hospital since he was diagnosed with β-thalassemia. Our DNA sequencing demonstrated that he was a carrier of codons 41/42 (-TTCT) beta<sup>0</sup> (HBB: c.126\_129delCTTT) and  $\alpha\alpha/\alpha\alpha\alpha^{anti4.2}$  (Table 6). Mut-02 and Mut-03 did not present with severe symptoms but exhibited visible paleness. No abnormalities were observed in their hearts, lungs, and nervous systems.

**Table 6** Hematological parameters of the 5 heterozygous cocarriers of the  $\beta$ -globin gene mutation and the  $\alpha$ -globin gene triplication

Subject ID	Mut-01	Mut-02	Mut-03	Mut-04	Mut-05
β-gene defects	Cds 41/42 (-TTCT) beta <sup>0</sup>	Cds 71/72 (+ A) beta <sup>0</sup>	IV-II-654 (C>T) beta <sup>+</sup>	Cds 41/42 (-TTCT) beta <sup>0</sup>	Cd17 (AAG > TAG) beta <sup>0</sup>
a genotypes	aa/aaa <sup>4.2</sup>	aa/aaa <sup>3.7</sup>	aa/aaa <sup>3.7</sup>	aa/aaa <sup>4.2</sup>	aa/aaa <sup>4.2</sup>
Anemia	severe	mild	mild	mild	no
Sex	M	M	М	M	F
Age (years)	14	5	7	7	19
HGB (g/L)	59	80	85	78	134
MCV (fL)	58	68	73	59.6	97.5
MCH	17	25	24	18.5	30.9
HbA	=	97.7	90	91.9	97.5
HbA2	=	4.3	3.7	5.8	2.5
HbF	=	=	=	2.3	-
Hepato-splenomegaly	Splenomegaly	=	=	Splenomegaly	-
Transfusion	Υ	N	N	N	N
Treatment and prognosis	b	a	a	С	а

Cd, codon; M, male; F, female; Y, yes; N, no; a, no treatment and occasional follow-up; b, transfusion treatment (HGB < 80 g/L, transfused with washed red blood cells) and regular follow-up; c, transfusion treatment (HGB < 80 g/L, transfused with washed red blood cells) and occasional follow-up

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The blood tests indicated that all three boys suffered from moderate hypochromic microcytic anemia without detectable iron deficiency or other related abnormalities. Mut-04 had the identical  $\beta$ -globin mutation as Mut-01, and his disease manifestation was similar to that of Mut-01. Mut-04's father was also a  $\beta$ -thalassemia sufferer (HGB, 83 g/L; MCV, 62.2 fL; MCH, 19.6). DNA sequencing indicated that they were all carriers of the  $\beta$ -globin gene alterations and the  $\alpha$ -globin gene triplication (Table 6). Mut-05 was an adult woman. She had no detectable symptoms at the time of examination, although she carried a codon 17 (AAG>TAG) beta  $^0$  (HBB:c.52A>T) and the  $\alpha\alpha/\alpha\alpha\alpha^{anti4.2}$ .

#### **Discussion**

To date, at least two genotypes of  $\alpha$ -globin triplication have been described:  $\alpha\alpha\alpha^{anti4.2}/\alpha\alpha$ ,  $\alpha\alpha\alpha^{anti3.7}/\alpha\alpha$ . Although the HKαα shows by GAP-PCR the positivity for  $\alpha\alpha\alpha^{anti4.2}$  and  $-\alpha^{3.7}$ , it is not considered as  $\alpha$ -globin triplication due to no real extra copy of the  $\alpha$ -globin gene existed in the HKaa allele. Observations have indicated that  $\alpha$ -globin triplication alone does not cause detectable clinical manifestations [20]. However, when an  $\alpha$ -globin triplication coinherits with  $\beta$ -globin gene mutation(s), the combined defects usually lead to the emergence of variable clinical phenotype including asymptomatic presentation, significant anemia, ineffectual erythropoiesis, and mild to severe clinical symptoms. Thus, it is essential to determine the prevalence of the triplicated  $\alpha$ -globin genes because the  $\alpha$ -globin triplication usually exacerbates  $\beta$ -thalassemia when it is coinherited with  $\beta$ -globin defects because the extra copy of the  $\alpha$ -globin chain leads to an unbalanced ratio between the  $\alpha$ - and  $\beta$ -globin chains if associated with β-thalassemia. In this study, we randomly selected a cohort of 7644 subjects in four regions of Guizhou province, China. These participants' ethnicities included Han and eight ethnic minorities. Our study demonstrated that the population prevalence of the α-globin triplication in Guizhou province was 0.772%. This figure was slightly lower than that identified in Guangdong (1.2%), a province in southeastern China [17], and in the Dutch population (approximately 1.2%) [7]. In addition, the ratio of  $\alpha\alpha\alpha^{\text{anti3.7}}$  and  $\alpha\alpha\alpha^{\text{anti4.2}}$ was different between the two subpopulations. In our study, the ratio of  $\alpha\alpha\alpha^{\text{anti3.7}}/\alpha\alpha\alpha^{\text{anti4.2}}$  was 0.47 (0.248%)  $\alpha\alpha\alpha^{anti3.7}/0.523\%$   $\alpha\alpha\alpha^{anti4.2})$  in Guizhou province, while it was 3.0 (0.9%  $\alpha\alpha\alpha^{\text{anti3.7}}$ /0.3%  $\alpha\alpha\alpha^{\text{anti4.2}}$ ) in Guangdong province. This finding suggests that the  $\alpha\alpha\alpha^{anti4.2}$  triplication is rather common in Guizhou, while the  $\alpha\alpha\alpha^{anti3.7}$  is prevalent in Guangdong region.

Ethnically, the Tujia group presented the highest prevalence (2.47%) of the  $\alpha$ -globin triplication. In particular, the prevalence of  $\alpha\alpha\alpha^{anti4.2}$  in Tujia was significantly

higher (1.65%) than in any other ethnic group. This is the first report that Tujia have a higher frequency of  $\alpha$ -globin triplication. Whether this higher rate of  $\alpha$ -globin triplication is caused by a founder effect or not requires further investigation. Although previous studies have reported that the frequencies of  $\alpha$ -globin defects in the Zhuang and Yao ethnic groups were significantly higher than that in the Han ethnic group in Guangxi, another province in southwestern China [21], we did not observe any carrier of α-globin triplication in Zhuang and Yao minorities, probably due to the small size of the ethnic groups or that they were not affected by the  $\alpha$ -globin triplication in those regions. The high frequency of  $\alpha$ -globin triplication identified in those two ethnic groups in Guangxi province might be caused by a founder effect initiated by genetic drift and particular lifestyles, inhabitation density, and endogamous marriage.

Geographically, the highest frequency of the  $\alpha$ -globin triplication was identified in Qiannan region (2.23%). The frequency difference of the  $\alpha$ -globin triplication between Qiannan and any other region was statistically significant. Moreover, the frequency of  $\alpha\alpha\alpha^{anti4.2}$  in Qiannan was also higher than in other regions. The other type of  $\alpha\text{-globin}$ triplication,  $\alpha\alpha\alpha^{anti3.7}/-SEA$ , and the non- $\alpha$ -triplication  $HK\alpha\alpha/\alpha\alpha$  had no significant geographical differences. In addition, we did not identify any anti-HKαα allele, although we found that the frequency of HK $\alpha\alpha$  allele was 0.235%, significantly higher than previously reported. To exclude the presence of HKαα in case of positivity for the  $-\alpha^{3.7}$  and  $\alpha\alpha\alpha^{anti4.2}$ , next-generation and Gap-PCR are needed to be simultaneously performed. Interestingly, while the HK $\alpha\alpha$  is hardly observed in other regions, it was relatively common in Qiandongnan and its frequency is comparable to that of the  $\alpha\alpha\alpha^{anti~4.2}$  triplication there (Table 3). The HKαα was first described by Wang [14]; then, Shang et al. first reported that the population prevalence of HK $\alpha\alpha$  was 0.07% and that of anti-HK $\alpha\alpha$ was 0.02% in Guangxi province of China [22]. Afterwards, the population prevalence of HKαα was determined to be 0.07% and that of anti-HK $\alpha\alpha$  to be 0.003% in Guangdong province [23]. The differences between our findings and the previously reported data could be due to geographical pattern differences and population diversity

In our study, the hematological parameters and hemoglobin electrophoresis data of the HK $\alpha\alpha$ ,  $\alpha\alpha\alpha^{anti4.2}$ , and  $\alpha\alpha\alpha^{anti3.7}$  carriers were all within the normal range, which is consistent with previous reports [14, 22]. Therefore, carriers of the HK $\alpha\alpha$ ,  $\alpha\alpha\alpha^{anti4.2}$ , and  $\alpha\alpha\alpha^{anti3.7}$  will not present clinical manifestations such as anemia. Of note, although the HK $\alpha\alpha$  carriers presented a normal range of hematological parameters, their RBC, hemoglobin, MCH, and MCV were all slightly lower than the  $\alpha\alpha\alpha^{anti4.2}$ 

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and  $\alpha\alpha\alpha^{anti3.7}$  carriers, implying that the particular cluster structure that could reduce the  $\alpha$ -globin gene expression.

As mentioned above, the triplicated  $\alpha$ -globin genes alone barely lead to detectable clinical phenotypes. In this study, of the 59 cases of  $\alpha$ -globin genes triplication, 5 cases coinherited with  $\beta$ -globin gene mutation(s) while the other 54 subjects did not present any clinical symptoms. Although many reports have stated that α-globin triplication can exacerbate the symptoms of β-thalassemia, the issue is still controversial because the expected worsened anemia has not occurred in all cases [7, 18]. In our subjects, the first four carriers presented with β-thalassemia from mild to severe. In the case of Mut-05, the  $\alpha$ -globin triplication combined with the β-globin gene mutation (CD17 (AAG>TAG)) surprisingly failed to cause thalassemia; the reason merits further investigation. In addition, 18 cases of the  $HK\alpha\alpha/$  $\alpha\alpha$ , and 1 case of the  $\alpha\alpha\alpha^{anti3.7}/-SEA$  did not presented any clinical manifestations such as anemia at the time of examination, which is consistent with previous reports

Currently, Gap-PCR and PCR combined with RDB (reverse dot blot) methods are commonly used to detect  $\alpha\text{-globin}$  gene deletions and the  $\beta\text{-globin}$  gene defects, but they usually miss the triplicated  $\alpha\text{-globin}$  genes. In this study, whole genome NGS combined with Gap-PCR was adopted to screen for all types of  $\alpha\text{-globin}$  and  $\beta\text{-globin}$  gene alterations, including  $\alpha\text{-globin}$  gene deletion, triplication, splicing mutations, which would be expected to increase the detection sensitivity and improve the diagnosis of  $\beta\text{-thalassemia}.$ 

#### **Conclusions**

This epidemiological study has identified the current  $\alpha$ -triplication genotypes and their prevalence and distribution in Guizhou province, which will be used to update the triplicated  $\alpha$ -globin thalassemia database, provide insights into the pathogenesis of thalassemia and shed light on the diagnosis of thalassemia in southwestern China.

#### Abbreviations

RBC: Red blood cells; HGB: Hemoglobin; MCV: Mean corpuscular volume; MCH: Mean corpuscular hemoglobin; RDB: Reverse dot blot; ANOVA: Oneway analysis of variance; PCR: Polymerase chain reaction.

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#### Authors' contributions

YC and JC designed the experiments and drafted the manuscript; YC supervised the experiments; XL completed most of the experiments; XZ, and LW performed some parts of the experiments; LW participated in the data

analysis; YC and JC reviewed the data analysis. All authors approved the final manuscript.

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#### Availability of data and materials

The authors declare that the data supporting the results of this study are provided in this paper. All original data used in this study have been deposited in NCBI Trace Archive or NCBI Sequence Read Archive (SRA). Accession number for these SRA data is PRJNA703755 (https://www.ncbi.nlm.nih.gov/bioproject/PRJNA703755/, and download link: https://www.ncbi.nlm.nih.gov/Traces/study/?acc=PRJNA703755).

#### **Declarations**

#### Ethics approval and consent to participate

This study was approved by the Research Ethics Committee at Zunyi Medical University. Written informed consent was obtained from all participants. For participants under 16 years old, written informed consent was obtained from a parent or guardian.

#### Consent for publication

Written informed consent for publication of identifying images or other personal or clinical details was obtained from all of the participants included in the study. For participants under 18 years old, written informed consent was obtained from a parent or legal guardian.

#### **Competing interests**

The authors have no declaration of conflicts of interest. All the experiments undertaken in this study comply with the current laws of China, where the research was performed.

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