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TGF β 2-mediated epithelial–mesenchymal transition and NF- κ B pathway activation contribute to osimertinib resistanceXiao-ming Jiang¹, Yu-lian Xu¹, Luo-wei Yuan¹, Le-le Zhang¹, Mu-yang Huang¹, Zi-han Ye¹, Min-xia Su¹, Xiu-ping Chen¹, Hong Zhu², Richard D. Ye¹ and Jin-jian Lu¹

Osimertinib (AZD9291) has been widely used for the treatment of EGFR mutant non-small cell lung cancer. However, resistance to osimertinib is inevitable. In this study we elucidated the molecular mechanisms of resistance in osimertinib-resistant NCI-H1975/OSIR cells. We showed that NCI-H1975/OSIR cells underwent epithelial–mesenchymal transition (EMT), which conferred sensitivity to the GPX4 inhibitor 1S, 3R-RSL3 to induce ferroptotic cell death. The EMT occurrence resulted from osimertinib-induced upregulation of TGF β 2 that activated SMAD2. On the other hand, we revealed that NCI-H1975/OSIR cells were highly dependent on NF- κ B pathway for survival, since treatment with the NF- κ B pathway inhibitor BAY 11–7082 or genetic silence of p65 caused much greater cell death as compared with the parental NCI-H1975 cells. In NCI-H1975 cells, osimertinib activated NF- κ B pathway, evidenced by the increased p65 nuclear translocation, which was abolished by knockdown of TGF β 2. In the cancer genome atlas lung adenocarcinoma data, TGF β 2 transcript abundance significantly correlated with EMT-associated genes and NF- κ B pathway. In addition, coexistence of EMT and activation of NF- κ B pathway was observed in several NCI-H1975/OSIR clones. These findings shed new light on distinct roles of TGF β 2 in osimertinib-resistant cells and provide new strategies for treatment of this resistant status.

Keywords: EGFR mutant non-small cell lung cancer; osimertinib resistance; TGF β 2; epithelial-mesenchymal transition; NF- κ B

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INTRODUCTION

Osimertinib (AZD9291) is a third-generation epidermal growth factor receptor (EGFR) tyrosine kinase inhibitor (TKI) [1]. Currently, it is used for the treatment of non-small cell lung cancer (NSCLC) patients with EGFR-sensitizing mutations (exon 19 deletions or L858R mutations) and EGFR T790M mutation. Compared with first-generation EGFR TKIs (gefitinib and erlotinib), osimertinib significantly improves the overall survival of previously untreated and advanced EGFR mutant NSCLC patients [2]. With widespread clinical usage of osimertinib, the emergence of acquired resistance to osimertinib with highly heterogeneous mechanisms has been reported [3]. T790M is lost in more than half of osimertinib-resistant NSCLC patients. In T790M-maintaining patients, EGFR C797 and L792 mutations are the most common resistance mechanisms [4, 5]. Besides, activation of bypass signaling pathways independent of EGFR, e.g., MET amplification and FGFR amplification contributes to osimertinib resistance [4]. In addition, phenotypic transformation is observed in osimertinib-resistant patients, such as those with small cell lung cancer (SCLC) transformation [6]. However, the osimertinib resistance mechanisms in ~40% of patients remain unknown [7].

Epithelial–mesenchymal transition (EMT) refers to the transformation of cells from an epithelial phenotype to a mesenchymal phenotype. It has been generally confirmed that cancer cells undergoing EMT show increased motility and invasiveness,

resulting in the dissemination of cancer cells to distant sites as well as the formation of metastases [8, 9]. In addition, tumor cells in the mesenchymal state become resistant to apoptosis and anticancer drugs [8]. EMT is induced by various cytokines, including epidermal growth factor, hepatocyte growth factor, and transforming growth factor beta (TGF β). Classically, the induction of EMT is mediated by TGF β /SMAD signaling [10]. All three isoforms of TGF β (TGF β 1, TGF β 2, and TGF β 3) induce EMT by activating TGF β receptor–SMAD2/3 signaling in cancer cells [11]. In addition to cytokines, nuclear factor- κ B (NF- κ B) regulates EMT-associated genes and is critical to the induction and maintenance of EMT [12, 13].

The NF- κ B heterodimer functions as a transcriptional factor. The inhibitory protein I κ B binds and sequesters the NF- κ B dimer in the cytoplasm. Once I κ B is phosphorylated and dissociated from NF- κ B, the NF- κ B dimer translocates into the nucleus to promote gene transcription [14]. NF- κ B exists in almost all cell types and is involved in the regulation of inflammation and the immune response. In addition, NF- κ B plays a very important role in cancer cell proliferation and cell survival as well as drug resistance [15, 16]. However, its role in osimertinib resistance is still elusive.

Previously, we established an osimertinib-resistant NCI-H1975/OSIR cell line [17]. The resistant cells were resistant to EGFR TKIs and exhibited EMT-like features with elongated spindle shapes, accompanied by enhanced cell migration and invasion abilities

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Table 1. Primers and siRNAs sequences.

Genes	Analysis	Sequences
CDH1	qRT-PCR	Forward: 5'-GCCTCCTGAAAAGAGAGTGGAAAG-3' Reverse: 5'-TGGCAGTGTCTCTCCAAATCCG-3'
VIM	qRT-PCR	Forward: 5'-CCCTCACCTGTGAAGTGGAT-3' Reverse: 5'-GCTTCAACGGCAAAGTTCTC-3'
ZEB1	qRT-PCR	Forward: 5'-GATGATGAATGCGAGTCAGATGC-3' Reverse: 5'-ACAGCAGTGTCTTGTGTGTAG-3'
ZEB2	qRT-PCR	Forward: 5'-CAAGAGGCGCAACAAGC-3' Reverse: 5'-GGTTGGCAATACCGTCAT-3'
TGFB1	qRT-PCR	Forward: 5'-GAGCCCTGGACACCAACTATT-3' Reverse: 5'-AGGTCCTTGCAGGAAATCAAT-3'
TGFB2	qRT-PCR	Forward: 5'-CCCCACATCTCTGCTAATGT-3' Reverse: 5'-AGGCAGCAATTATCTGCAC-3'
TGFB3	qRT-PCR	Forward: 5'-ACTGTCCATGTCACACCTTTCA-3' Reverse: 5'-GCCATGGTCATCCTCATTGT-3'
GAPDH	qRT-PCR	Forward: 5'-GCGACACCCACTCTCCACCTTT-3' Reverse: 5'-TGCTGTAGCCAAATTCGTTGCATA-3'
ACTB	qRT-PCR	Forward: 5'-AGCGAGCATCCCCAAAGTT-3' Reverse: 5'-GGGCACGAAGGCTCATCATT-3'
TGFB2	siRNA	Sense: 5'-GCGGCCUUAUUGCUUUAGAATT-3' Antisense: 5'-UUCUAAAGCAAUAGGCCGCTT-3'
RELA (p65)-#1	siRNA	Sense: 5'-GAUUGAGGAGAAACGUAAATT-3' Antisense: 5'-UUUACGUUUUCCUCAUUCTT-3'
P65-#2	siRNA	Sense: 5'-GAUCAUUGCUACACAGGATT-3' Antisense: 5'-UCCUGUGUAUCCAUGAUUCTT-3'
Negative control (NC)	siRNA	Sense: 5'-UUCUCCGAACGUGUCACGUTT-3' Antisense: 5'-ACGUGACACGUUCGGAGAATT-3'

[17]. Based on the RNA-seq analysis, we demonstrated the cooccurrence of EMT and NF-κB activation in osimertinib-resistant cells, which was mediated by TGFB2 and resulted in sensitivity to glutathione peroxidase 4 (GPX4) and NF-κB inhibitors. Collectively, this study highlights the distinct roles of TGFB2 in osimertinib-resistant cells and provides new strategies to address this resistance.

MATERIALS AND METHODS

Reagents

Osimertinib, 1S, 3R-RSL3 (RSL3), ferrostatin-1 (Fer-1), and SB525334 were purchased from Selleck Chemicals (Houston, USA). Hoechst 33342, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), deferoxamine mesylate salt (DFO), nordihydroguaiareic acid (NDGA), and dimethyl sulfoxide (DMSO) were derived from Sigma (St Louis, USA). Vitamin E (VE) and BAY 11-7082 were purchased from Beyotime Biotechnology (Shanghai, China).

Cell culture

Human NSCLC NCI-H1975 cells (EGFR L858R/T790M mutation) were derived from the Shanghai Cell Bank (Shanghai, China). Osimertinib-resistant NCI-H1975/OSIR cells were established in our previous study [17]. The monoclonal cells (OSIR/C1, OSIR/C2, OSIR/C3, and OSIR/C4) were generated by limiting dilution to ensure that one well contained no cells or one cell in a 96-well plate. All the cells were cultured in RPMI-1640 containing 10% fetal bovine serum, 100 μg/mL streptomycin, and 100 units/mL penicillin and were grown at 37 °C with 5% CO₂.

RNA extraction and RNA-seq

Total RNA was extracted from NCI-H1975 and NCI-H1975/OSIR cells in triplicate by using TRIzol reagent (Life Technologies, Shanghai, China) following the manufacturer's instructions.

RNA-seq was performed by Omigen (Hangzhou, China). Briefly, the reads were first mapped to the UCSC Genome Browser (GRCh37/hg19) transcript set using STAR version 2.4.1d, and the gene expression level was quantified by using Partek E/M annotation model. Gene expression levels were normalized to the total counts (available at <https://doi.org/10.6084/m9.figshare.11653641.v1>). Differentially expressed genes were identified using the differential gene expression algorithm in Partek Genomics Suite. Genes showing altered expression with FDR < 0.05 and more than twofold changes were considered differentially expressed. The heatmap of differentially expressed genes was generated by MeV version 4.9.0. Gene Set Enrichment Analysis (GSEA) version 3.0 was employed to enrich the canonical pathway of interest.

Quantitative real-time PCR (qRT-PCR)

QRT-PCR assays were performed as described previously [18]. Primers for PCR were synthesized by Invitrogen Life Technologies (Shanghai, China). The primers utilized to amplify the genes of interest were listed in Table 1. GAPDH or ACTB was used as the internal control, and the relative gene expression was calculated by 2^{-ΔΔCT}. All experiments were performed at least in triplicate.

Western blot assay

Western blot assays were conducted as previously described [18]. The primary antibodies against the proteins of interest were as follows: p-EGFR (Y1068) (Cell Signaling Technology (CST), Beverly, USA, #3777), EGFR (CST, #2232), CDH1 (CST, #3195), vimentin (VIM) (Becton, Dickinson and Company (BD), Franklin Lakes, USA, 550513), ZEB1 (CST, #3396), ZEB2 (Santa Cruz Biotechnology (SCBT), Dallas, USA, sc-271984), GAPDH (CST, #5174), p-SMAD2 (S465/467) (CST, #3108), SMAD2 (CST, #5339), p-IKKα/β (S176/180) (CST, #2697), p-IκBα (S32) (CST, #2859), p65 (CST, #8242), TGFB2 (Abcam, Cambridge, UK, ab36495), and ACTB (CST, #3700).

Immunofluorescence

The immunofluorescence assay was performed following the manufacturer's instructions. Briefly, the cells were washed with PBS three times, fixed with 4% paraformaldehyde for 20 min, and permeated with 0.5% Triton X-100 in PBS for 20 min at room temperature. Then, the cells were blocked with 0.5% BSA containing 0.2% Triton X-100 in PBS for 1 h, followed by incubation with primary antibodies against p65 (CST, #8242) overnight at 4°C. After washing with PBS three times, the cells were incubated with anti-rabbit IgG (H + L), F(ab')₂ fragment (Alexa Fluor® 488 Conjugate) (CST, #4412) at room temperature for 45 min in the dark. After that, the cells were stained with Hoechst 33342 for 10 min and photographed by using a Leica TCS SP8 microscope (Solms, Germany).

MTT assay

The MTT assay was conducted as described in our previous study [18]. Briefly, the supernatant of cultured cells was discarded, and 100 μ L of MTT solution within medium (1 mg/mL) was added into each well and incubated at 37°C for 4 h. After that, the supernatant was discarded again, followed by the addition of 100 μ L of DMSO. Then, the plate was shaken for 10 min in the dark to solubilize the formazan. The absorbance at 570 nm was measured by using a SpectraMax M5 microplate reader (Molecular Devices, Sunnyvale, USA).

Propidium iodide (PI) staining assay

The NCI-H1975/OSIR cells were seeded on 12-well plates at 80,000 cells per well overnight. Then, the cells were treated with RSL3 in combination with ferroptosis-associated inhibitors Fer-1, DFO, NDGA, and VE for 12 h. After rinsing with PBS, the cells were incubated with 20 μ g/mL PI for 10 min at room temperature and then photographed with an IX73 inverted microscope system (Olympus, Tokyo, Japan).

Lactate dehydrogenase (LDH) release assay

The NCI-H1975/OSIR cells were seeded on 96-well plates at 5000 cells per well for 24 h. Then, the cells were treated with RSL3 in combination with Fer-1, DFO, NDGA, and VE for another 12 h. LDH release assay was conducted according to the manufacturer's instructions and performed as described previously [19].

Lipid peroxidation

NCI-H1975/OSIR cells were seeded in six-well plates at 200,000 cells per well for 24 h. The cells were then incubated with RSL3 in combination with Fer-1, DFO, NDGA, and VE for another 12 h. After washing with PBS, the cells were stained with 5 μ M BODIPY™ 581/591 C11 (Thermo Fisher Scientific, Waltham, USA) for 20 min at 37°C. Then, the cells were collected by centrifugation at 800 rpm for 5 min. The lipid peroxidation was analyzed by using flow cytometry (Becton Dickinson FACS Canto, Franklin Lakes, USA), and data analysis was conducted by using FlowJo VX (TreeStar, Ashland, USA).

siRNA transfection

Specific siRNAs targeting genes of interest were purchased from Shanghai GenePharma (Shanghai, China). Cells were transfected with siRNAs by using Lipofectamine® 2000 Reagent (Invitrogen, Carlsbad, USA) according to the manufacturer's protocols. The sequences used to knock down the indicated genes were listed in Table 1.

Detection of TGF β 2 by enzyme-linked immunosorbent assay (ELISA)

NCI-H1975 cells were seeded on 60-mm dishes at 450,000 cells and incubated overnight. Then, the cells were treated with osimertinib (125 nM) for 48 h. After that, the supernatants were harvested to detect TGF β 2 secretion using the TGF beta-2 Human

ELISA Kit (Thermo Fisher Scientific) according to the manufacturer's protocol.

Gene expression profiling

Lung adenocarcinoma (The Cancer Genome Atlas (TCGA), Provisional) data were downloaded from the cBioPortal website (<https://www.cbioportal.org/>) [20, 21]. TGFB2 mRNA expression Z-scores (RNA-Seq V2 RSEM) below 0 were considered to have low expression, and those with Z-scores above 0 were considered to have high expression. The significance of p65 (S536) between the high and low TGFB2 levels was analyzed by using Student's unpaired *t* test. The analysis of the correlation of TGFB2 and EMT marker mRNA expression was assessed by calculating Pearson correlation coefficients.

Statistical analysis

The significance between two groups was analyzed by using Student's unpaired *t* test. The correlation analysis was performed by using Pearson correlation coefficients. All data analysis was conducted by using GraphPad Prism software 6 (GraphPad Software, Inc., San Diego, USA). All experiments were performed at least in triplicate, and each value represents the mean \pm SD. A *P* value < 0.05 represents statistical significance.

RESULTS

NCI-H1975/OSIR cells undergo EMT

In 2016, we established osimertinib-resistant NCI-H1975/OSIR cells and observed that the cells exhibited EMT-like features with elongated spindle shapes [17]. To further explore the osimertinib resistance mechanism in NCI-H1975/OSIR cells, we performed RNA-seq to compare the differentially expressed genes between NCI-H1975 and resistant cells. As shown in Fig. 1a, 715 upregulated and 911 downregulated genes (fold change > 2, FDR < 0.05) were obtained. GSEA and morphology observations implied that the resistant cells underwent EMT (Fig. 1b, c). As expected, compared with the parental NCI-H1975 cells, the resistant cells showed downregulation of the epithelial marker CDH1 and upregulation of the mesenchymal marker VIM as well as EMT-associated transcriptional factors ZEB1 and ZEB2 both at the mRNA and protein levels (Fig. 1d, e), indicating that EMT does occur in NCI-H1975/OSIR cells.

On the basis of GSEA analysis, the TGF β signaling pathway was enriched in osimertinib-resistant cells, suggesting that TGF β mediates EMT in our model (Fig. 1f). There are three isoforms of TGF β , i.e., TGF β 1, TGF β 2, and TGF β 3 [11]. To our surprise, the mRNA levels of TGFB2 and TGFB3, but not TGFB1, which is the classical inducer of EMT for *in vitro* studies [22, 23], were increased in NCI-H1975/OSIR cells compared with the parental cells (Fig. 1g). In addition, osimertinib-resistant cells showed an increase in phosphorylated SMAD2 (Fig. 1h), implying that TGF β 2 or TGF β 3 activates SMAD2 to induce EMT.

Osimertinib-induced activation of SMAD2 is mediated by TGF β 2. Intriguingly, treatment with osimertinib for 48 h increased phosphorylated SMAD2 in NCI-H1975 cells (Fig. 2a). The potent TGF β RI inhibitor SB525334 abolished osimertinib-induced SMAD2 activation (Fig. 2b), demonstrating that osimertinib-induced activation of SMAD2 may be triggered by TGF β . Furthermore, osimertinib obviously upregulated the mRNA levels of TGFB2 and TGFB3 in NCI-H1975 cells (Fig. 2c). We supposed that osimertinib-induced activation of SMAD2 is possibly due to an increase in TGF β 2 or TGF β 3. For this purpose, we used specific siRNAs to silence TGFB2 or TGFB3. Knockdown of TGFB2 largely abolished osimertinib-induced SMAD2 activation (Fig. 2d), while silencing TGFB3 further increased the phosphorylation of SMAD2 (data not shown). Furthermore, osimertinib increased the secretion of TGF β 2 into the cell culture medium (Fig. 2e). Thus, osimertinib-

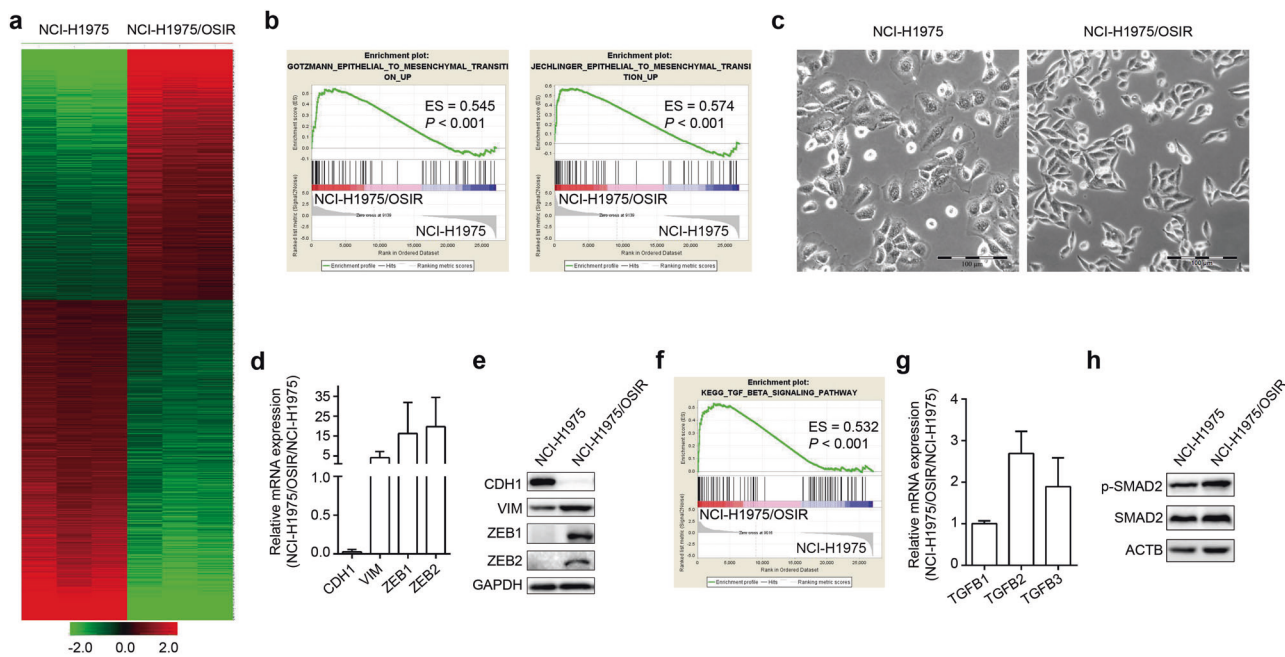


Fig. 1 NCI-H1975/OSIR cells undergo EMT. **a** Heatmap of differentially expressed genes between NCI-H1975 and NCI-H1975/OSIR cells (fold change > 2, FDR < 0.05). **b** GSEA of EMT signatures based on differentially expressed genes between NCI-H1975 and NCI-H1975/OSIR cells. ES represents enrichment score. **c** The morphology of NCI-H1975 and NCI-H1975/OSIR cells. Scale bar: 100 μm. **d** The mRNA levels of EMT-related markers in NCI-H1975 and NCI-H1975/OSIR cells were detected by qRT-PCR. **e** The protein levels of EMT-related markers were determined by Western blotting of NCI-H1975 and NCI-H1975/OSIR cells. **f** Enrichment of the TGFβ signaling pathway by GSEA according to the RNA-seq data. **g** The mRNA levels of TGFβ1, TGFβ2, and TGFβ3 were analyzed by qRT-PCR. **h** The protein levels of p-SMAD2 and SMAD2 were evaluated by Western blotting of NCI-H1975 and NCI-H1975/OSIR cells.

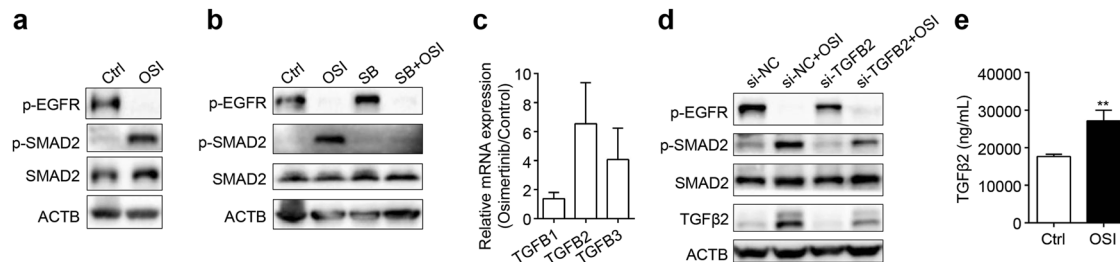


Fig. 2 Osimertinib-induced activation of SMAD2 is mediated by TGFβ2 in NCI-H1975 cells. **a** Western blot assay was utilized to detect the indicated proteins after treatment with osimertinib (OSI) for 48 h in NCI-H1975 cells. Control Ctrl. **b** The indicated protein levels were determined by Western blotting after cotreatment with the TGFβRI inhibitor SB525334 (SB, 1 μM) and OSI (125 nM) for 48 h. **c** NCI-H1975 cells were treated with OSI for 48 h, and then the mRNA levels of TGFβ1, TGFβ2, and TGFβ3 were measured by qRT-PCR. **d** The siRNAs were used to knockdown TGFβ2 for 24 h, followed by treatment with OSI for another 48 h. Then, the indicated proteins were detected by Western blot. **e** The secretion of TGFβ2 was detected by ELISA after treatment with OSI for 48 h in NCI-H1975 cells. ***P* < 0.01.

induced activation of SMAD2 is mediated, at least in part, by TGFβ2 and gradually contributes to EMT.

NCI-H1975/OSIR cells are sensitive to the GPX4 inhibitor RSL3, a ferroptosis inducer
Therapy-resistant states of cancer cells, including high-mesenchymal cell state like EMT, are dependent on the lipid peroxidase GPX4 to protect against ferroptotic cell death (ferroptosis), which indicates that these cells are sensitive to GPX4 inhibitor-induced ferroptosis [24]. As mentioned above, NCI-H1975/OSIR cells undergo EMT, which may result in sensitivity to GPX4 inhibitors. Here, NCI-H1975 and NCI-H1975/OSIR cells were treated with the GPX4 inhibitor RSL3, and we found that NCI-H1975/OSIR cells were more sensitive to RSL3 (Fig. 3a). At a concentration of 0.5 μM, RSL3 caused substantial cell death in the resistant cells but not in the parental NCI-H1975 cells (Fig. 3b); these results were further confirmed by detection of PI-positive

cells and LDH release (features of ferroptosis) in NCI-H1975/OSIR cells (Fig. 3c–e). Ferroptosis is an iron-dependent nonapoptotic cell death caused by lipid peroxidation, which is enhanced by lipoxygenase-catalyzed lipid hydroperoxide generation [25]. As expected, all these effects caused by RSL3 were totally abolished by cotreatment with ferroptosis-related inhibitors, including lipophilic antioxidants Fer-1, iron chelator DFO, and lipoxygenase inhibitors NDGA and VE (Fig. 3c–e), indicating that RSL3 triggers canonical ferroptosis in resistant cells. Since ferroptosis is mainly due to the production of lipid peroxidation, we further determined whether RSL3 caused this effect in our model. As shown in Fig. 3f, RSL3 induced lipid peroxidation by BODIPY staining in the resistant cells, which was totally rescued by cotreatment with ferroptosis-related inhibitors but not in NCI-H1975 cells (data not shown). Collectively, these results indicate that GPX4 inhibitor-induced ferroptosis is a vulnerability for NCI-H1975/OSIR cells.

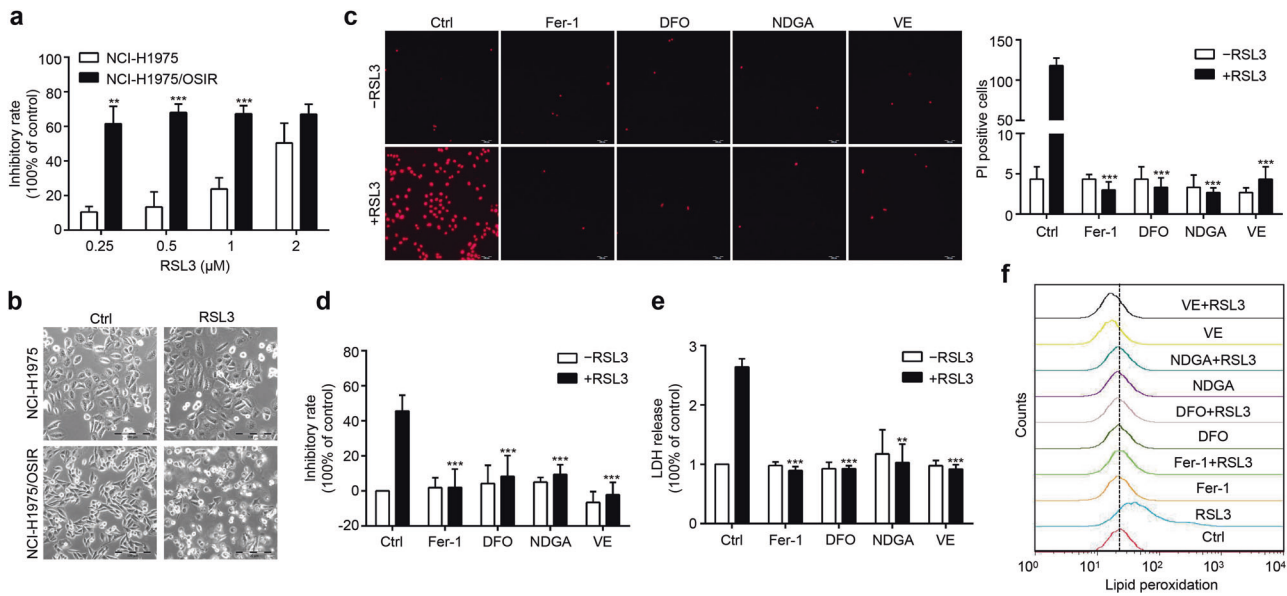


Fig. 3 GPX4 inhibitor RSL3 induces ferroptosis in NCI-H1975/OSIR cells. **a** NCI-H1975 and NCI-H1975/OSIR cells were treated with various concentrations of RSL3 for 24 h, and then the sensitivities were determined by MTT assay. **b** Morphological changes in NCI-H1975 and NCI-H1975/OSIR cells after treatment with RSL3 (0.5 μ M) for 12 h. Scale bar: 100 μ m. **c** After cotreatment with RSL3 and ferroptosis-related inhibitors, including lipophilic antioxidants ferrostatin-1 (Fer-1, 2 μ M), iron chelator deferoxamine mesylate salt (DFO, 100 μ M), lipoxygenase inhibitors nordihydroguaiaretic acid (NDGA, 5 μ M) and vitamin E (VE, 100 μ M), for 12 h, NCI-H1975/OSIR cells were stained with PI for 10 min and photographed with a confocal laser scanning microscope. The number of PI-stained cells was counted in each group. Scale bar: 500 μ m. **d** The inhibitory rates were evaluated by MTT assay after cotreatment with RSL3 and ferroptosis-related inhibitors for 12 h in NCI-H1975/OSIR cells. **e** After cocubation with RSL3 and ferroptosis-related inhibitors for 12 h, cytotoxicity was analyzed by LDH release assay. **f** NCI-H1975/OSIR cells were cotreated with RSL3 and ferroptosis-related inhibitors for 3 h, and then lipid peroxidation was determined by staining with BODIPY (5 μ M) for 20 min. ** P < 0.01, *** P < 0.001.

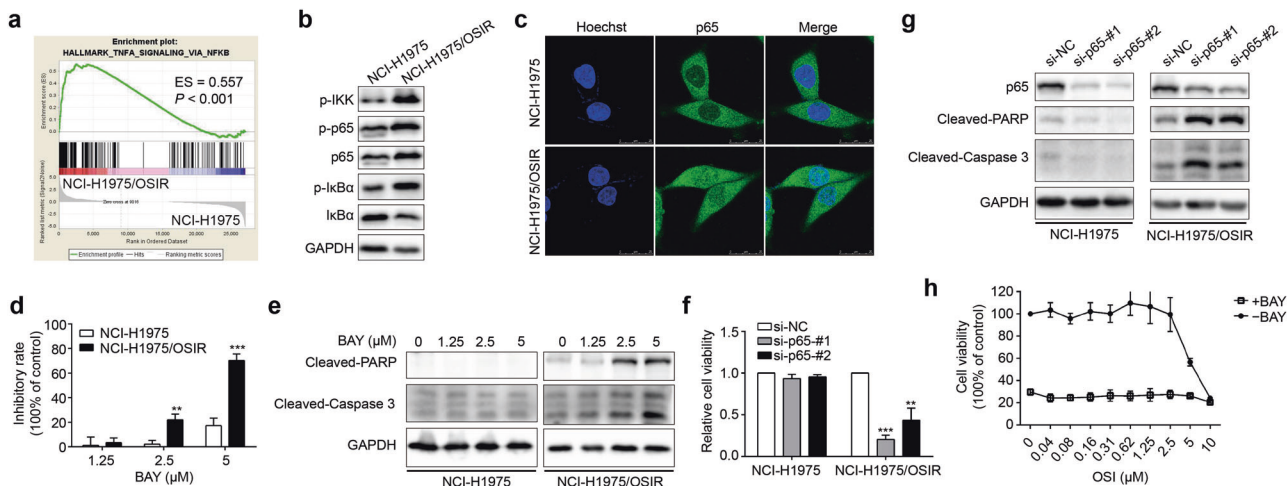


Fig. 4 The resistant cells are addictive to the NF- κ B pathway. **a** Enrichment of the NF- κ B pathway by GSEA according to RNA-seq data. **b** Western blot assay was used to evaluate the protein levels of the NF- κ B pathway. **c** The expression and localization of p65 were analyzed by immunofluorescence in NCI-H1975 and NCI-H1975/OSIR cells. Scale bar: 25 μ m. **d** NCI-H1975 and NCI-H1975/OSIR cells were treated with various concentrations of BAY 11-7082 (BAY, 1.25, 2.5, 5 μ M) for 48 h, and then the sensitivities were evaluated by MTT assay. **e** The protein levels of cleaved PARP and cleaved caspase-3 were determined by Western blot after treatment with BAY (1.25, 2.5, 5 μ M) for 24 h in NCI-H1975 and NCI-H1975/OSIR cells. **f** After silencing p65 with two specific siRNAs for 72 h, the cell viabilities of NCI-H1975 and NCI-H1975/OSIR cells were detected by MTT. **g** After silencing p65 with two specific siRNAs for 72 h in NCI-H1975 and NCI-H1975/OSIR cells, the protein levels of cleaved PARP and cleaved caspase-3 were determined by Western blotting. **h** NCI-H1975/OSIR cells were cotreated with OSI and BAY (5 μ M) for 48 h, and cell viability was measured by MTT assay. ** P < 0.01, *** P < 0.001.

Resistant cells are dependent on the NF- κ B pathway
 According to the GSEA of the RNA-seq data, the NF- κ B pathway was enriched in the resistant cells (Fig. 4a). Compared with NCI-H1975 cells, the resistant cells showed an increase in phosphorylated IKK and I κ B α as well as p65 (Fig. 4b). Moreover, massive nuclear localization of p65 was observed by immunofluorescence

assay in the resistant cells (Fig. 4c). Accordingly, these results illustrate that the NF- κ B pathway is activated in osimertinib-resistant cells.

We further explored the function of the NF- κ B pathway in NCI-H1975/OSIR cells. The osimertinib-resistant cells showed more sensitivity to the NF- κ B pathway inhibitor BAY 11-7082 than NCI-

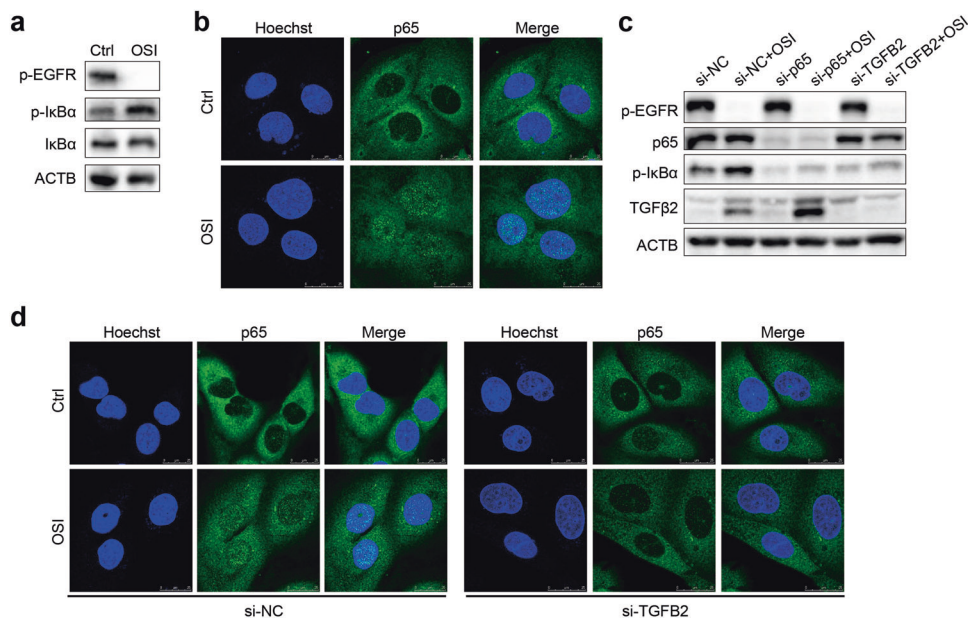


Fig. 5 Osimertinib induces activation of the NF- κ B pathway by TGF β 2. **a** The protein levels of p-EGFR, p-I κ B α , I κ B α , and ACTB were determined by Western blotting after treatment with OSI for 48 h in NCI-H1975 cells. **b** After treatment with OSI for 48 h in NCI-H1975 cells, the expression and localization of p65 were analyzed by immunofluorescence. Scale bar: 25 μ m. **c** The indicated proteins were evaluated by Western blotting after knockdown of p65 and TGF β 2 for 24 h, followed by treatment with OSI for another 48 h. **d** Immunofluorescence assay was performed to analyze the expression and localization of p65 in NCI-H1975 cells with silenced TGF β 2 for 24 h, followed by treatment with OSI for another 48 h. Scale bar: 25 μ m.

H1975 cells (Fig. 4d). BAY 11-7082 treatment triggered apoptosis as indicated by increased cleavage of caspase-3 and its substrate PARP in the resistant cells, whereas it caused slight growth inhibition without inducing apoptosis in NCI-H1975 cells (Fig. 4e). Furthermore, knockdown of p65 by two specific siRNAs in the resistant cells caused almost total cell death accompanied by an increase in caspase-3 and PARP cleavage, which was not obviously observed in NCI-H1975 cells (Fig. 4f, g). However, BAY 11-7082 did not enhance the sensitivity of NCI-H1975/OSIR cells to osimertinib, likely because the cells were too sensitive to BAY 11-7082 (Fig. 4h). Since EGFR expression was obviously decreased in the resistant cells, as we reported previously [17], NCI-H1975/OSIR cells might be dependent upon the NF- κ B pathway for their survival, while NCI-H1975 cells are dependent upon EGFR.

Osimertinib induces activation of the NF- κ B pathway via TGF β 2. Since the resistant cells were dependent on the NF- κ B pathway, we further explored whether osimertinib affected the NF- κ B pathway in the parental cells. As expected, osimertinib obviously increased the phosphorylation of I κ B α and the nuclear translocation of p65 (Fig. 5a, b), indicating that osimertinib triggers the activation of the NF- κ B pathway in NCI-H1975 cells. TGF β 2 constitutively activates the NF- κ B pathway for the survival of some tumor cells [26]. In addition to upregulation of TGF β 2 at the mRNA level (Fig. 1f), we also found that osimertinib increased the secretion of TGF β 2 in cell culture medium (Fig. 2e). Thus, the effect of TGF β 2 on the activation of the NF- κ B pathway caused by osimertinib was further investigated. To this end, TGF β 2 was silenced by using a specific siRNA before osimertinib treatment. Knockdown of TGF β 2 abrogated osimertinib-induced phosphorylation of I κ B α in NCI-H1975 cells (Fig. 5c). Furthermore, genetic silencing of TGF β 2 obviously reduced osimertinib-triggered nuclear accumulation of p65 (Fig. 5d), demonstrating that osimertinib-induced TGF β 2 may activate the NF- κ B pathway.

Coexistence of activation of the NF- κ B pathway and EMT in NCI-H1975/OSIR clones

To determine whether cancer clonal heterogeneity existed in our osimertinib-resistant model, we selected several monoclonal clones from NCI-H1975/OSIR cells. Consistent with the decrease in EGFR in the resistant cell population, as we previously reported [17], the EGFR protein level was obviously reduced in all tested monoclonal clones. Importantly, the clones showed the coexistence of activation of the NF- κ B pathway and EMT (Fig. 6a), suggesting that they are the main resistance mechanisms of NCI-H1975/OSIR cells. Accordingly, low heterogeneity of the resistance mechanism existed in NCI-H1975/OSIR cells. It has been shown that NF- κ B mediates the development of EMT [12, 13]. We wondered whether the relationship existed in our model. To this end, we silenced p65 to determine the changes in EMT-related markers in the resistant cells. However, knockdown of p65 failed to change the protein levels of EMT markers (Fig. 6b), implying that NF- κ B may not regulate EMT in resistant cells.

To determine the relationship between TGF β 2, EMT markers, and the NF- κ B pathway in human samples, we interrogated lung adenocarcinoma-TCGA data through the cBioPortal website (<https://www.cbioportal.org/>) [20, 21]. Here, we found that the mRNA levels of TGF β 2 and epithelial marker EPCAM were negatively correlated (Fig. 6c). TGF β 2 mRNA level was also positively correlated with the expression levels of VIM, ZEB1, and ZEB2 (Fig. 6d-f), indicating the role of TGF β 2 in EMT development. Samples with high TGF β 2 expression showed significantly high protein levels of p65 (pS536), which is positively correlated with NF- κ B pathway activation; the opposite trends were also observed in samples with low TGF β 2 expression (Fig. 6g), demonstrating the effect of TGF β 2 on the activation of the NF- κ B pathway.

DISCUSSION

We demonstrated for the first time the simultaneous presence of NF- κ B pathway activation and EMT in osimertinib-resistant cells.

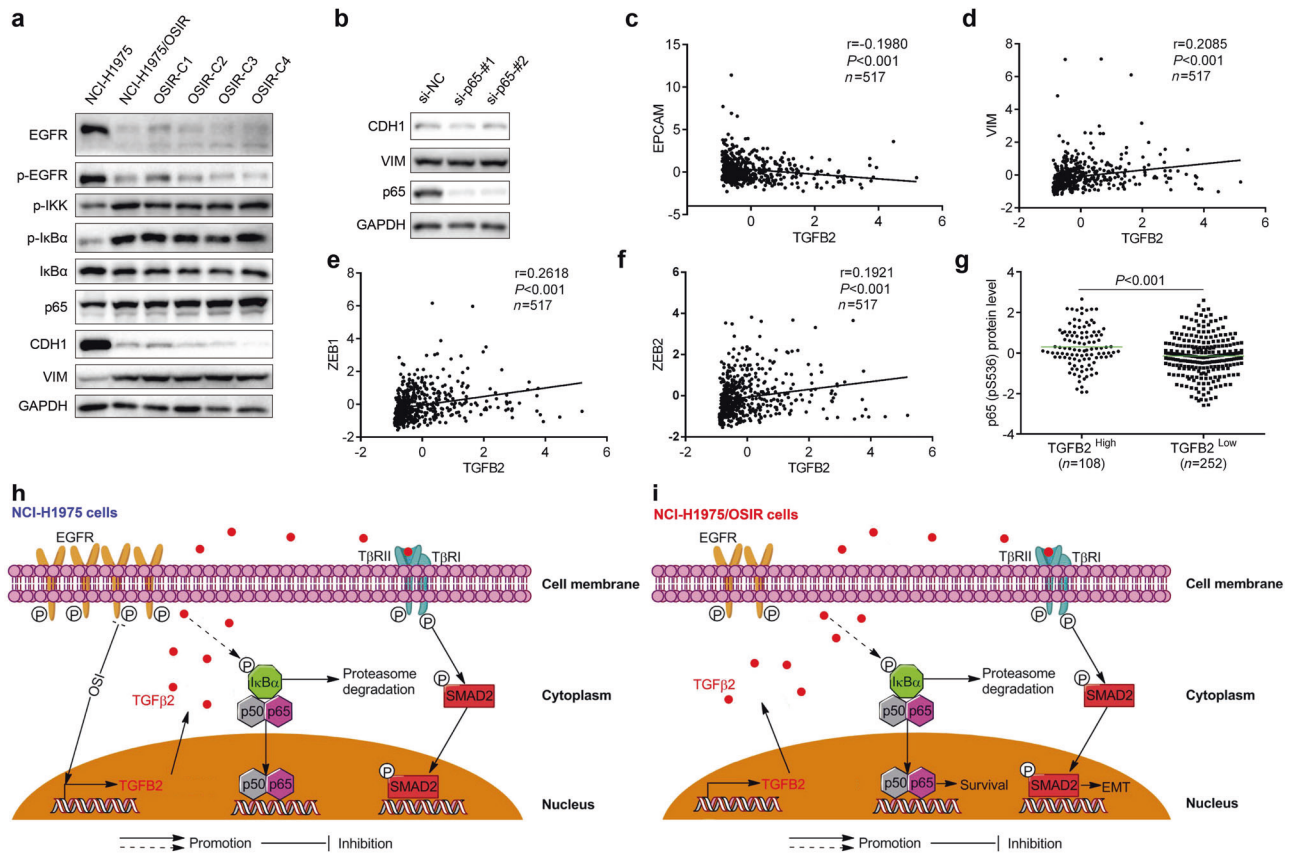


Fig. 6 Cooccurrence of EMT and NF- κ B pathway activation in resistant cells. **a** The indicated proteins were detected by Western blot assay in NCI-H1975 cells, NCI-H1975/OSiR cells and several NCI-H1975/OSiR monoclonals. **b** The indicated proteins were detected by Western blot assay after knockdown of p65. The correlations between TGFB2 and EPCAM (**c**), VIM (**d**), ZEB1 (**e**), and ZEB2 (**f**) were analyzed by calculating the Pearson correlation coefficients. **g** The difference in p65 (pS536) protein levels between the TGFB2 high group and the TGFB2 low group was analyzed by using Student's unpaired *t* test. **h** Schematic representation of the proposed mechanisms of OSi resistance in NCI-H1975 cells. OSi-induced TGF β 2-mediated activation of NF- κ B and SMAD2. **i** Schematic representation of the proposed mechanisms of OSi resistance in NCI-H1975/OSiR cells. OSi-resistant cells exhibited NF- κ B pathway activation concurrent with EMT.

On the one hand, osimertinib-induced upregulation of TGF β 2 may mediate EMT in NCI-H1975/OSiR cells. On the other hand, the resistant cells were addictive to the NF- κ B pathway, independent of EGFR, caused gradually by osimertinib-induced TGF β 2 expression (Fig. 6h, i), pointing out important roles of TGF β 2, but not other isoforms of TGF β , in osimertinib-resistant NSCLC cells.

Currently, osimertinib has been approved as a first-line treatment for EGFR mutant NSCLC. However, resistance to osimertinib is inevitable in the clinic [3]. Resistance mechanisms have been widely explored, including EGFR-dependent and -independent resistance [3, 4]. The development of EGFR-dependent resistance is mainly due to EGFR C797S mutation or loss of its T790M mutation [4, 5]. Based on Sanger DNA sequencing of the EGFR kinase domain, we found that NCI-H1975/OSiR cells still had the EGFR T790M mutation without the C797S mutation or other kinase domain mutations (data not shown). Our previous study showed decreased EGFR expression in NCI-H1975/OSiR cells relative to NCI-H1975 cells [17], which was further demonstrated by two other studies [27, 28]. Niederst et al. reported that EGFR TKI-resistant NSCLC patients transformed to SCLC accompanied by decreased EGFR expression, which resulted in insensitivity to EGFR TKIs [29, 30]. Clinical data showed that SCLC transformation was reported in EGFR mutant patients after progression on osimertinib [6], indicating that a decrease in EGFR as a resistance mechanism may exist in osimertinib-resistant patients. Recently, AURKA and PKC δ have been identified as key factors that mediate osimertinib resistance with no additional EGFR mutation, and inhibition of AURKA and PKC δ resensitized

resistant cells to osimertinib [31, 32]. However, it seems that they do not contribute to osimertinib resistance in NCI-H1975/OSiR cells (data not shown), demonstrating the diversity of osimertinib resistance mechanisms.

According to the RNA-seq analysis, NCI-H1975/OSiR cells underwent EMT with activation of SMAD2 (Fig. 1b–h). EMT occurred in EGFR TKI-resistant NSCLC patients [33] and was reported as a resistance mechanism of EGFR TKIs [34–36], implying that it confers osimertinib resistance in our model. In NCI-H1975 cells, osimertinib-induced activation of SMAD2, which was blocked by TGF β RI inhibition (Fig. 2b), indicating that osimertinib triggers activation of TGF β /SMAD2 signaling, which may further cause osimertinib resistance. Previous studies showed that TGF β was necessary for EGFR TKI resistance and the development of EMT [37–39]. In our study, osimertinib-increased TGF β 2-mediated activation of SMAD2 (Fig. 2c, d), which also showed high expression in the resistant cells relative to NCI-H1975 cells (Fig. 1g, h). It has been demonstrated that TGF β 2 is involved in the development of EMT in several EGFR TKI resistance models [37–39]. Furthermore, the abundance of TGF β 2 significantly correlated with EMT-related markers in patient samples (Fig. 6c–f), supporting the important role of TGF β 2 in the occurrence of EMT and osimertinib resistance. However, the underlying mechanism by which osimertinib increases TGF β 2 needs to be further investigated.

Cancer cells acquire dependence on critical signals, including oncogene addiction, for their survival. Drugs that combat the oncogene addiction of cancer cells, such as EGFR and ALK, have been successfully developed in the clinic [40]. The parental NCI-

H1975 cells were dependent on the oncogene EGFR for survival. However, EGFR expression was decreased in the osimertinib-resistant NCI-H1975/OSIR cells (Fig. 6a). In ALK-mutated and MYCN-amplified neuroblastoma cells that developed resistance to ALK inhibition, Debruyne et al. found that the resistant cells lost ALK activation and MYCN expression accompanied by a switch in cellular dependence from MYCN to BORIS [41]. This suggests that NCI-H1975/OSIR cells rely on other signals instead of EGFR for survival. On the basis of GSEA analysis, the NF- κ B pathway was activated in the resistant cells. The activation of this pathway is a resistance mechanism of other EGFR TKIs, such as gefitinib and erlotinib, in both in vitro and in vivo models [42–44]. However, the role of NF- κ B in osimertinib resistance remains unclear. In our model, we showed that the resistant cells were addictive to the NF- κ B pathway for their survival instead of the addiction gene EGFR in NCI-H1975 cells (Fig. 4d–f), providing a therapeutic target for this type of resistance. TGF β 2-mediated activation of the NF- κ B pathway, which has also been validated in lung adenocarcinoma samples (Fig. 6g), is critical for survival and resistance to apoptosis in some cancers [26]. We further illustrated that osimertinib-induced TGF β 2 activated the NF- κ B pathway in NCI-H1975 cells, which may lead gradually to dependence on NF- κ B in the resistant cells. In addition, the resistant population cells and several monoclonal lines showed the coexistence of activation of the NF- κ B pathway and EMT as resistance mechanisms (Fig. 6a). Moreover, we wondered whether there are any links between the NF- κ B pathway and EMT in our model. Although NF- κ B is critical for the induction and maintenance of EMT [12], it seems that NF- κ B does not regulate the occurrence of EMT in NCI-H1975/OSIR cells (Fig. 6b).

Preclinical studies have shown that EMT is dispensable for cancer metastasis but contributes to drug resistance [45, 46]. Our previous study indicated that NCI-H1975/OSIR cells with EMT were resistant to EGFR TKIs [17]. In addition, clinical data showed that EGFR mutant NSCLC patients were less responsive to immunotherapy [47]. Thus, it seems that no effective strategy could be utilized to treat the resistant cells. The lipid peroxidase GPX4 protected therapy-resistant cancer cells including EMT from ferroptosis [24]. In addition, CDH1 negatively regulated ferroptosis, and the loss of CDH1, a marker of EMT, made the cells more sensitive to ferroptosis inducers [48]. As expected, NCI-H1975/OSIR cells underwent EMT with loss of CDH1, showing more sensitivity to the GPX4 inhibitor to induce ferroptosis than NCI-H1975 cells (Fig. 3a). These findings provide a GPX4 inhibitor as a druggable vulnerability for osimertinib-resistant NSCLC cells with EMT. Since the resistant cells were deadily dependent on the NF- κ B pathway, we illustrated that the small molecular inhibitor or genetic suppression of the NF- κ B pathway triggered apoptosis in NCI-H1975/OSIR cells (Fig. 4d–f), providing another strategy for the treatment of resistant cells. Of note, the baseline cleaved PARP level was higher in NCI-H1975/OSIR cells than in NCI-H1975 cells (Fig. 4e). It seems that the resistant cells are prone to apoptosis, whereas the reason is not clear. Taken together, we identified that targeting EMT and the NF- κ B pathway are potent strategies against osimertinib-resistant cells, which may represent a subset of osimertinib-resistant NSCLC patients in the clinic. In addition, TGF β 2 induced EMT and activated the NF- κ B pathway in our model; thus, therapeutics with TGF β 2 antisense oligonucleotides or TGF β receptor inhibitors [11], some of which have been evaluated in clinical trials, may also be promising strategies for treatment.

Collectively, we show for the first time that the critical roles of TGF β 2, but not other isoforms of TGF β , contribute to osimertinib resistance. Importantly, targeting GPX4 against EMT or inhibiting the NF- κ B pathway are treatment strategies for osimertinib-resistant NSCLC cells.

DATA AVAILABILITY

Datasets used and/or analyzed during the current study are deposited at <https://doi.org/10.6084/m9.figshare.11653641.v1> or from the cBioPortal website.

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AUTHOR CONTRIBUTIONS

XMJ and JLL designed the research. XMJ, YLX, LWY, MYH, ZHY, and MXS conducted the experiments. XMJ and LLZ contributed to data analysis. XMJ and JLL wrote the paper. JLL, XPC, RDY, and HZ revised the paper. All authors provided final approval of the paper.

ADDITIONAL INFORMATION

Competing interests: The authors declare no competing interests.

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