


# Pan cancer patterns of allelic imbalance from chromosomal alterations in 33 tumor types

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## Abstract

Somatic copy number alterations (SCNAs) serve as hallmarks of tumorigenesis and often result in deviations from one-to-one allelic ratios at heterozygous loci, leading to allelic imbalance (AI). The Cancer Genome Atlas (TCGA) reports SCNAs identified using a circular binary segmentation algorithm, providing segment mean copy number estimates from single-nucleotide polymorphism DNA microarray total intensities (log R ratio), but not allele-specific intensities (“B allele” frequencies) that inform of AI. Our approach provides more sensitive identification of SCNAs by modeling the “B allele” frequencies jointly, thereby bolstering the catalog of chromosomal alterations in this widely utilized resource. Here we present AI summaries for all 33 tumor sites in TCGA, including those induced by SCNAs and copy-neutral loss-of-heterozygosity (cnLOH). We identified AI in 94% of the tumors, higher than in previous reports. Recurrent events included deletions of 17p, 9q, 3p, amplifications of 8q, 1q, 7p, as well as mixed event types on 8p and 13q. We also observed both site-specific and pan-cancer (spanning 17p) cnLOH, patterns which have not been comprehensively characterized. The identification of such cnLOH events elucidates tumor suppressors and multi-hit pathways to carcinogenesis. We also contrast the landscapes inferred from AI- and total intensity-derived SCNAs and propose an automated procedure to improve and adjust SCNAs in TCGA for cases where high levels of aneuploidy obscured baseline intensity identification. Our findings support the exploration of additional methods for robust automated inference procedures and to aid empirical discoveries across TCGA.

**Keywords:** allelic imbalance; genomic instability; copy number alterations; cancer aneuploidy

## Introduction

Chromosomal instability resulting in somatic copy number alterations (SCNAs), including whole chromosomal or whole-arm gains and losses, impacts large regions in the genome that might encompass known oncogenes and tumor suppressor genes. Such genomic instability events serve as hallmarks of tumorigenesis (Negrini *et al.* 2010) by resulting in the rapid accumulation of additional and possibly driver mutations. Previous studies have identified the presence of chromosomal SCNAs that are characteristic to specific tumor types and subtypes (Ried *et al.* 2012; Hoadley *et al.* 2014; Taylor *et al.* 2018). Consequences of chromosomal alterations include altered responses to therapeutic regimens, gene expression modulations favoring increased cell proliferation and reduced expression of immune markers (van Jaarsveld and Kops 2016; Hutchinson 2017). Therefore, the accurate detection, genome-wide characterization, and cataloging of such alterations may help better elucidate their roles in tumor initiation or progression through correlative analyses with epidemiological variables or clinical outcomes.

The Cancer Genome Atlas (TCGA) provides a large repository of tumor specimens across multiple tissue types and varied

clinicopathological features, thus facilitating several pan-cancer studies of cancer aneuploidy and tumor-specific copy-number signatures, such as those derived from single-nucleotide polymorphism (SNP) genotyping array platforms (Zack *et al.* 2013; Hoadley *et al.* 2014; Taylor *et al.* 2018). However, studies of chromosomal SCNAs are challenging owing to the difficulty in acquiring high cellularity tumor specimens as well as limitations in automated computational approaches for the detection of these changes. Further, unique challenges compound the automated detection of chromosome arm-level SCNAs from SNP arrays. First, the samples often derive from a mixture of cells from tumors and those which are “pathologically normal,” thereby necessitating algorithms with increased sensitivity to identify “subtle” genomic alterations in bulk samples of intermediate or modest cellularity. Second, most SCNA detection methods report genomic regions of copy number alterations and their segment mean copy number estimates, the characterization of which heavily relies on accurate identification of non-aberrant regions of the genome to establish a baseline signal intensity representative of neutral copy number; however, tumor samples exhibiting high levels of genomic instability pose a challenge for such analyses.

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To overcome these challenges, we sought to identify regions in the genome that exhibit allelic imbalance (AI), a deviation from the expected 1:1 ratio at germline heterozygous loci, a natural consequence of SCNAs such as duplications, deletions and copy-neutral loss-of-heterozygosity (cnLOH), using a haplotype-aware statistical method (hapLOH), that is suitable for detecting megabase-scale alterations (Vattathil and Scheet 2013). Outputs from SNP DNA microarrays include the following two measurements per marker: the “B allele” frequency (BAF), representing the proportion of the arbitrarily-labeled “B allele” at a locus; and the log R ratio (LRR), the total intensity of (both) allelic probes at the locus. In contrast to methods that utilize LRR to identify regions of SCNAs, hapLOH utilizes the BAF to identify regions of AI, followed by a BAF and LRR threshold-based characterization of the identified events. In addition to being a more sensitive approach that identifies additional SCNAs (Figure 1A), a BAF-based method for AI detection also provides a relatively unexplored, yet informative, class of chromosomal alterations—cnLOH (Figure 1B). These represent regions of zero net copy number change but an extreme alteration in the ratio of alleles (i.e. change of germline heterozygous loci AB to AA or BB). The landscape of large cnLOH regions remains largely unknown due to the lack of sensitive algorithms for their automated detection. Recent pan-cancer investigations have identified evidence of focal LOH events accompanying mutations in genes involved in DNA damage repair pathways (Knijnenburg et al. 2018), as well as those accompanying polymorphisms in essential genes that result in cancer cell-specific vulnerabilities (Nichols et al. 2020). However, very few studies have described the vital role of large, chromosome-arm level cnLOH in the development of hematologic malignancies (O’Keefe et al. 2010; Stirewalt et al. 2014; Schwartz and Papenhausen 2017), gastrointestinal tumors (Lourenço et al. 2014), and colorectal cancer (Melcher et al. 2011). Therefore, it is crucial to identify and understand the landscape of these cnLOH events across tumor sites to better understand their role in the complex mechanisms of tumorigenesis such as those contributing to the multi-hit pathogenesis of tumors.

In this study, we analyzed 11,074 tumor–normal pairs across 33 tumor sites in the TCGA cohort to utilize BAF as well as LRR metrics from SNP genotyping arrays to identify genome-wide patterns of AI. Using a more sensitive approach, we were able to supplement the cohort with additional subtle chromosome-arm level SCNAs, resolve potentially conflicting cases based on prior results, and characterize the previously unknown pan-cancer landscape of chromosomal cnLOH events.

## Materials and methods

### Dataset

The Level 1 raw CEL files from Affymetrix Genome-Wide Human SNP Array 6.0 profiling of 11,074 paired tumor-normal samples across 33 cancer sites in TCGA were downloaded from the Genomic Data Commons data portal, along with available clinical annotations. The cohort comprised a majority of primary tumors ( $n=10,680$ ), with a few metastatic specimens ( $n=394$ ; SKCM accounting for 368 of these samples). SNP metrics including genotypes, BAF, and LRR were obtained from the Birdsuite software (Korn et al. 2008).

### Pan-cancer AI profiles using hapLOH

For each tumor sample, the corresponding control sample (blood or tumor adjacent normal tissue) was paired and statistical reconstruction of haplotypes was performed using MaCH (Li et al.

2010). The human genome build hg19 (GRCh37) was used as the reference. The phased genotypes as well as the BAFs were supplied as inputs to run hapLOH (Vattathil and Scheet 2013) using default parameters. Briefly, hapLOH applies a hidden Markov model to identify regions of the genome where observed BAFs at germline heterozygous markers tend toward (resemble) one of the parental haplotypes; the identified regions exhibit evidence of AI in the DNA, because BAFs should bear no resemblance to either germline haplotype if there has been no relative gain or loss of one of the inherited chromosomes in any of the cells from which the DNA was derived. The resulting regions of allelic AI were then characterized based on the extent of BAF and LRR deviations for each event region. Regions with LRR deviation  $>0.05$  were classified as gains, whereas those with LRR deviations below  $-0.05$  were classified as losses. Of note, AI events with  $LRR \geq 0.08$  and  $<2$  Mb in length were excluded as likely inherited duplications. The remaining events were characterized as cnLOH if median BAF deviations were  $>0.1$ . These thresholds are set to identify AI events for which we are confident of the underlying specific alterations (gains, losses, and cnLOH) generating the AI. The others, i.e. those we were unable to confidently characterize into these different types, were deemed to be too subtle, e.g. due to low mutant cell fraction, for this annotation and are labeled as “Subtle”/“Unclassifiable.” The AI events spanning more than 70% of the chromosome arm were considered chromosome-arm level events, whereas the remaining were retained as focal events. For each tumor sample, the percent of its genome under AI was used as a measure of its genomic AI burden.

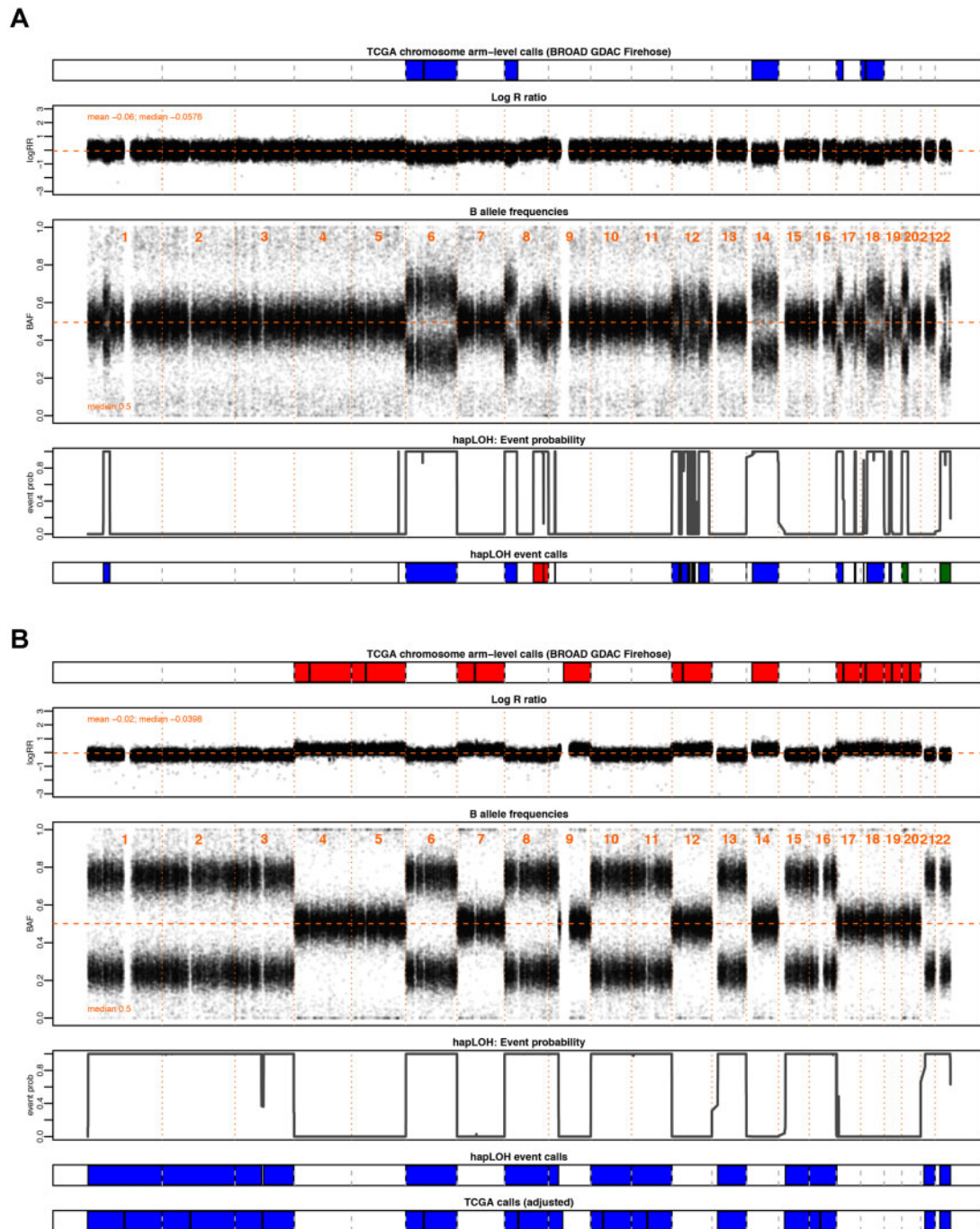
To attempt a modest technical validation of our results from applying hapLOH to SNP arrays, we applied our method, as implemented in San Lucas et al. (2016), to 223 whole-exome sequencing (WES) datasets from 100 lung squamous (LUSC) and 123 pancreatic adenocarcinoma (PAAD) patients, downloaded from the Genomic Data Commons, on which SNP array data had also been generated and analyzed. Briefly, from the .bam files, genotypes were called at variable sites in the 1000 Genomes Project using GATK (DePristo et al. 2011), generating .vcf files. Haplotypes were then estimated with MaCH (Li et al. 2010) using these samples as an internal reference, and regions of AI were determined using the hapLOHseq algorithm (San Lucas et al. 2016). For this comparison, we tabulated genes ( $n=17,738$ ) spanned by an AI event identified by the two technologies. Median proportions of genes covered by an array-based AI that were identified also by WES were 0.93 for LUSC and 0.94 for PAAD. The proportion of genes spanned by a WES-called AI that were also identified as within an AI event by arrays was 0.91 for LUSC and 0.94 for PAAD. The overall high concordance observed between the two technologies served as a form of validation of the results presented here.

### TCGA pan-cancer copy number profiles

The processed chromosome arm-level copy number event files were downloaded from Broad GDAC Firehose. The latest analysis version at the time of download was dated January 28, 2016. The *broad\_values\_by\_arm.txt* files under each TCGA study were then processed into *bed* formatted files using the specified amplification/deletion threshold of 0.1. These results were compared with the AI-derived copy number events identified by hapLOH for the same individuals.

### Identification of putative problematic calls in TCGA

hapLOH leverages statistically estimated haplotypes to identify high-confidence megabase-scale chromosomal alterations.



**Figure 1** Examples highlighting the utility of BAF in the identification of chromosomal alterations. Through this investigation, we aim to supplement the SCNAs in TCGA with AI inferred from BAF patterns, a complementary data element to the LRR, from which existing calls are made, to identify additional chromosomal alterations. Shown here are two motivating examples from pancreatic adenocarcinoma (PAAD). The tumor samples are annotated with chromosomal arm-level events downloaded from BROAD GDAC Firehose along with the BAF and LRR values at markers profiled across the genome for that individual. Below these panels are the event probabilities inferred from hapLOH using the BAF patterns, as well as classified event calls from hapLOH using a threshold-based approach from BAF and LRR deviations, for the identified event boundaries (see *Materials and methods*). Although all hapLOH events are shown, only chromosomal-arm level events were used for the comparison to SCNAs identified in TCGA. The events calls from hapLOH as well as the chromosomal arm-level events reported by TCGA have been colored based on the type of chromosomal alteration (red: gain, blue: loss). (A) A PAAD tumor exhibiting overall concordance between the two call sets, with additional cnLOH events such as those on chromosome 22 and arm 20p, identified by hapLOH. In such cases, our approach of a BAF-derived AI estimator supplements the database with additional, potentially impactful, chromosomal alterations. (B) A PAAD sample with discordant calls between the two approaches. The incorporation of deviations in BAF suggests a misestimation of the normal region. The SCNAs reported in TCGA do not align with BAF deviations. However, hapLOH identifies regions based on BAF-derived AI. We apply an automated adjustment approach (see *Materials and methods*) for such discordant cases, the result of which is shown in the bottom-most panel. The SCNAs, after adjustment, align with deviations in BAF and thereby are concordant with hapLOH event calls. Through this approach, we address differences between the call sets and suggest methods to adjust specific cases with potentially problematic calls to enhance the database with more accurate SCNAs.

While a large fraction of the identified events span whole chromosomes or chromosome arms, events that span relatively shorter segments of a chromosome arm are also picked up by the algorithm. Therefore, for this portion of the analysis, AI events identified by hapLOH in each tumor sample were reassessed at a chromosome-arm level. To do so, we summed up the length of all events identified on a chromosome arm; if at least 70% of the chromosome arm exhibited evidence of AI, we considered it as a positive call for that chromosome arm. The event classification for each identified chromosome arm call was determined by the largest individual event identified on that chromosome arm by hapLOH. For the purpose of SCNA comparisons, cnLOH events and subtle unclassified events were excluded from this analysis. For every marker genotyped in the array, the presence (or absence) of an event spanning the marker in both TCGA- and hapLOH-derived event calls was annotated as 1 (or 0), respectively. A Pearson correlation coefficient was computed from all markers. Samples with a negative correlation were identified as discordant and potentially problematic.

### Automated adjustment of potentially problematic calls in TCGA

For each of the negatively correlated tumor samples identified through the procedure described above, the normal region, as determined by hapLOH, was identified. Events reported by TCGA within these normal regions as well as those that were identified as normal by both methods were identified. A new weighted

median copy number was calculated from these events, weighted by the length of the event. The original calls made by TCGA were recalibrated using this newly determined normal copy number. Using the same specifications as before, i.e. an amplification/deletion threshold of 0.1, the new set of chromosome-arm event calls was reclassified. A correlation between these adjusted TCGA SCNA calls and hapLOH-derived SCNAs was calculated in a manner explained in the previous section.

### Data availability

The authors affirm that all data necessary for confirming the conclusions of this article are represented fully within the article, its tables, figures, and Supplementary Material.

Supplementary material is available at figshare DOI: <https://doi.org/10.25386/genetics.13238948>.

### Results

Tumor genomes often exhibit high genomic instability, rendering automated identification of copy number changes challenging due to limited normal regions in the genomes which serve as a baseline for comparison. Here, we applied a sensitive haplotype-based technique to identify the landscape of chromosomal copy number changes (e.g. gain, loss) as well as previously uncharacterized chromosomal cnLOH events through a survey of paired tumor-normal specimens from 11,074 cases across 33 tumor types in TCGA (Table 1).

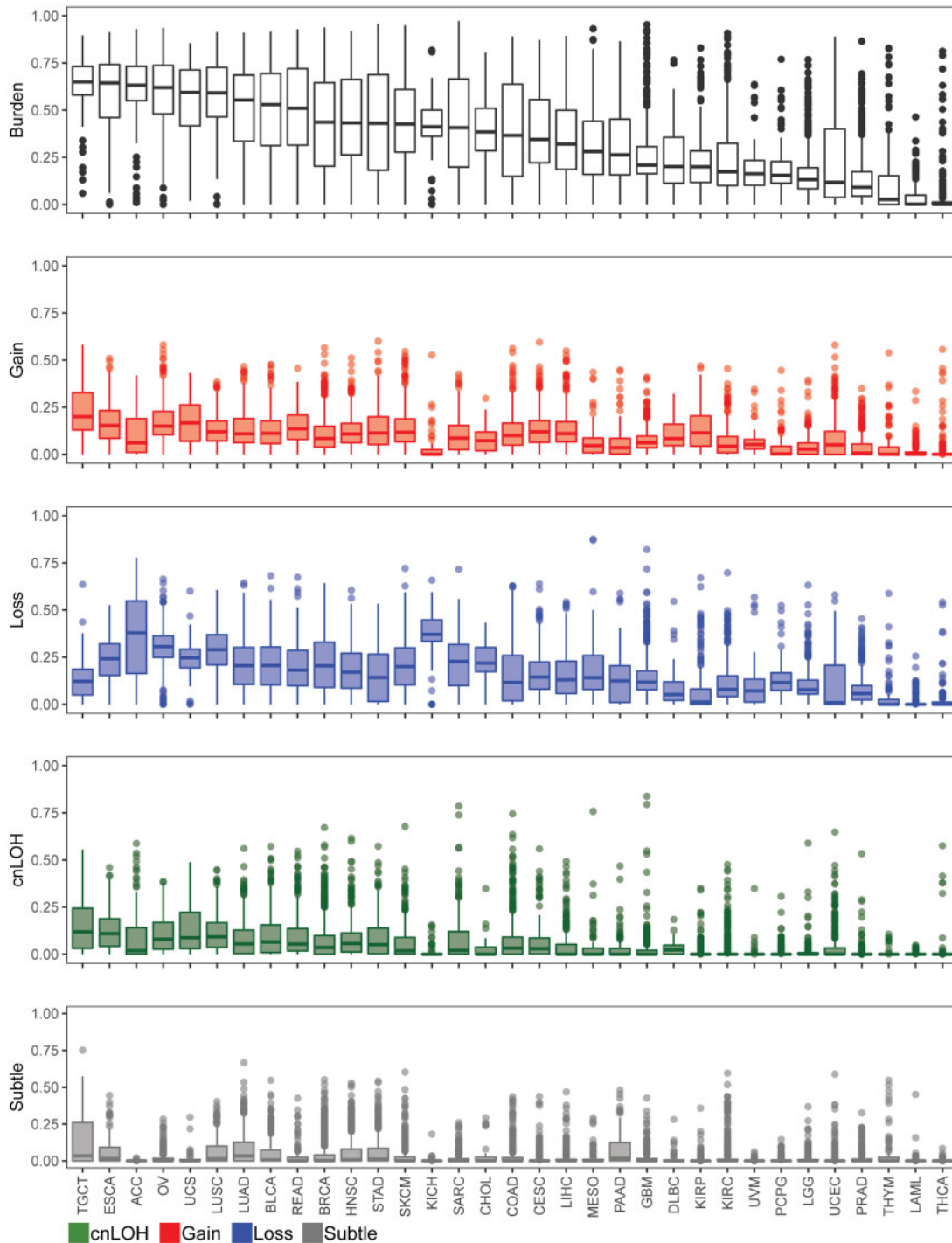
**Table 1** Summary of AI profiles identified across 33 tumor sites in TCGA

Tumor site	TCGA abbreviation	Number of samples	Number of samples with AI	Samples with AI (%)	Genomic burden (median)
Adrenocortical carcinoma	ACC	90	90	100.00	0.6326
Bladder urothelial carcinoma	BLCA	411	408	99.27	0.5300
Breast invasive carcinoma	BRCA	1101	1093	99.27	0.4366
Cervical squamous cell carcinoma and endocervical adenocarcinoma	CESC	303	301	99.34	0.3444
Cholangiocarcinoma	CHOL	36	34	94.44	0.3842
Colon adenocarcinoma	COAD	462	455	98.48	0.3656
Lymphoid neoplasm diffuse large B-cell lymphoma	DLBC	48	47	97.92	0.2011
Esophageal carcinoma	ESCA	186	184	98.92	0.6446
Glioblastoma multiforme	GBM	545	539	98.90	0.2088
Head and neck squamous cell carcinoma	HNSC	527	526	99.81	0.4324
Kidney chromophobe	KICH	66	64	96.97	0.4115
Kidney renal clear cell carcinoma	KIRC	529	521	98.49	0.1731
Kidney renal papillary cell carcinoma	KIRP	288	281	97.57	0.1996
Acute myeloid leukemia	LAML	200	106	53.00	0.0014
Brain lower grade glioma	LGG	527	517	98.10	0.1317
Liver hepatocellular carcinoma	LIHC	377	369	97.88	0.3194
Lung adenocarcinoma	LUAD	517	514	99.42	0.5539
Lung squamous cell carcinoma	LUSC	502	495	98.61	0.5921
Mesothelioma	MESO	87	85	97.70	0.2800
Ovarian serous cystadenocarcinoma	OV	595	592	99.50	0.6202
Pancreatic adenocarcinoma	PAAD	185	170	91.89	0.2627
Pheochromocytoma and paraganglioma	PCPG	183	179	97.81	0.1543
Prostate adenocarcinoma	PRAD	496	465	93.75	0.0910
Rectum adenocarcinoma	READ	168	167	99.40	0.5100
Sarcoma	SARC	261	253	96.93	0.4069
Skin cutaneous melanoma	SKCM	472	469	99.36	0.4263
Stomach adenocarcinoma	STAD	442	436	98.64	0.4303
Testicular germ cell tumors	TGCT	156	156	100.00	0.6504
Thyroid carcinoma	THCA	509	195	38.31	0.0000
Thymoma	THYM	124	85	68.55	0.0263
Uterine corpus endometrial carcinoma	UCEC	546	481	88.10	0.1174
Uterine carcinosarcoma	UCS	55	55	100.00	0.5941
Uveal melanoma	UVM	80	79	98.75	0.1628

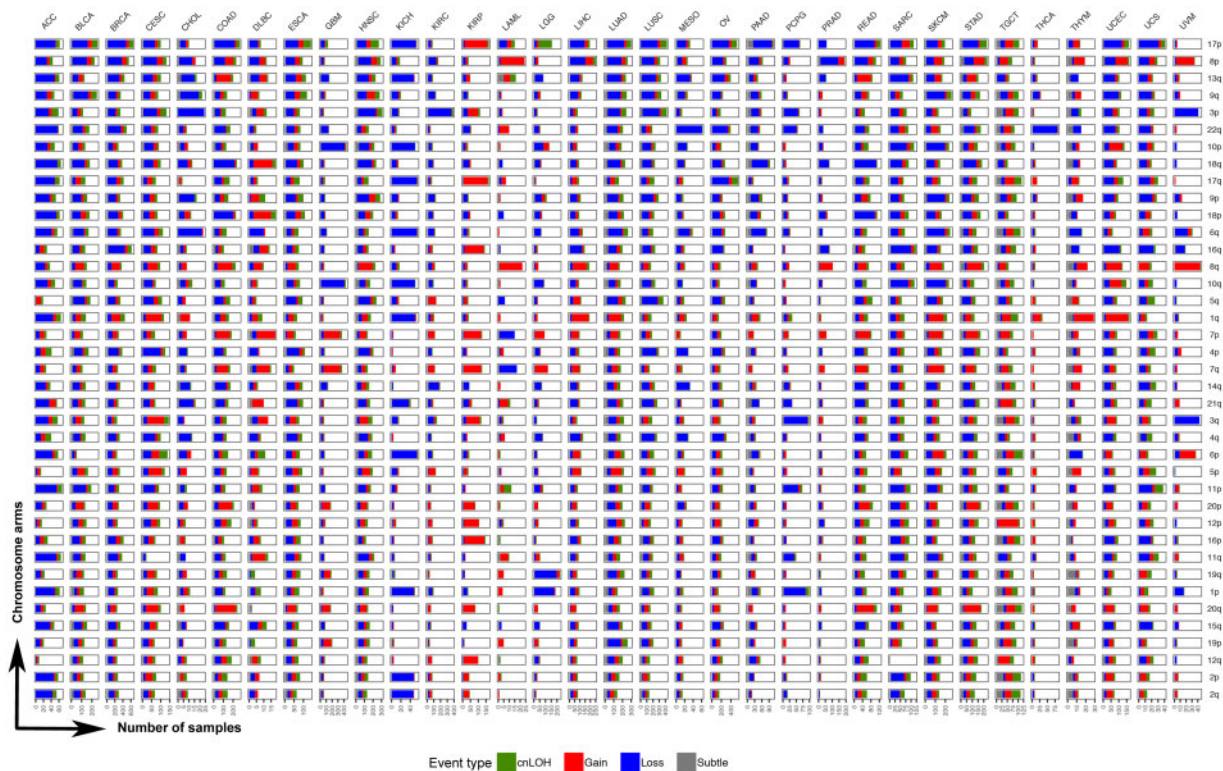
## Pan-cancer AI burden

Our method identified at least one AI event in 10,411 cases (94%), with a median genomic AI burden (defined as the percentage of a sample's genome exhibiting AI) of 32%. We further assessed the patterns of genomic AI burden for each tumor site independently, to account for the site-specific molecular complexities and variation in number of samples across tumor types, displayed in Figure 2 and Table 1. We use the abbreviations, as designated by

TCGA, to describe our findings across all tumor types. Testicular (TGCT), esophageal (ESCA), adrenocortical (ACC), and ovarian (OV) tumors exhibited high overall genomic AI burdens, with medians for each exceeding 60%. Uterine (UCS) and lung (LUSC, LUAD) tumors also exhibited high genomic AI burden, with medians of over 50%. At the low end of the burden spectrum were thyroid tumors (THCA), acute myeloid leukemia (LAML), thymoma (THYM), and prostate tumors (PRAD), all of which exhibited a median genomic AI burden below 10%. Each event



**Figure 2** The distribution of genomic AI burden across 33 tumor sites in TCGA. We identified regions of AI across 11,074 tumor samples across 33 tumor sites in TCGA. Boxplots representing the distribution of overall genomic AI burden, defined as a percent of the genome exhibiting evidence of AI, are shown for each tumor site. The distribution of genomic burdens for each event type is also shown (red: gain, blue: loss, green: cnLOH, gray: subtle, unclassifiable events). The tumors are ordered by their median overall genomic burden.



**Figure 3** Pan-cancer patterns of chromosomal arm-level AI. We identified AI events that spanned over 70% of the genome as chromosome-arm level events (see *Materials and methods*). The distribution of these events across all non-acrocentric autosomal chromosome arms ( $n = 39$ ) is shown for the 33 tumor sites studied. The chromosome arms are sorted by their overall frequency of event occurrence across all tumor sites. For each tumor site, a stacked bar plot of the number of tumor samples with event type-specific chromosome-arm level events is shown for all 39 chromosome arms investigated. The stacked bar plot is colored by event type (red: gain, blue: loss, green: cnLOH, gray: subtle, unclassifiable events). Specifically, a deeper investigation of the pan-cancer patterns of cnLOH events (green) was also performed (see [Figure 4](#)).

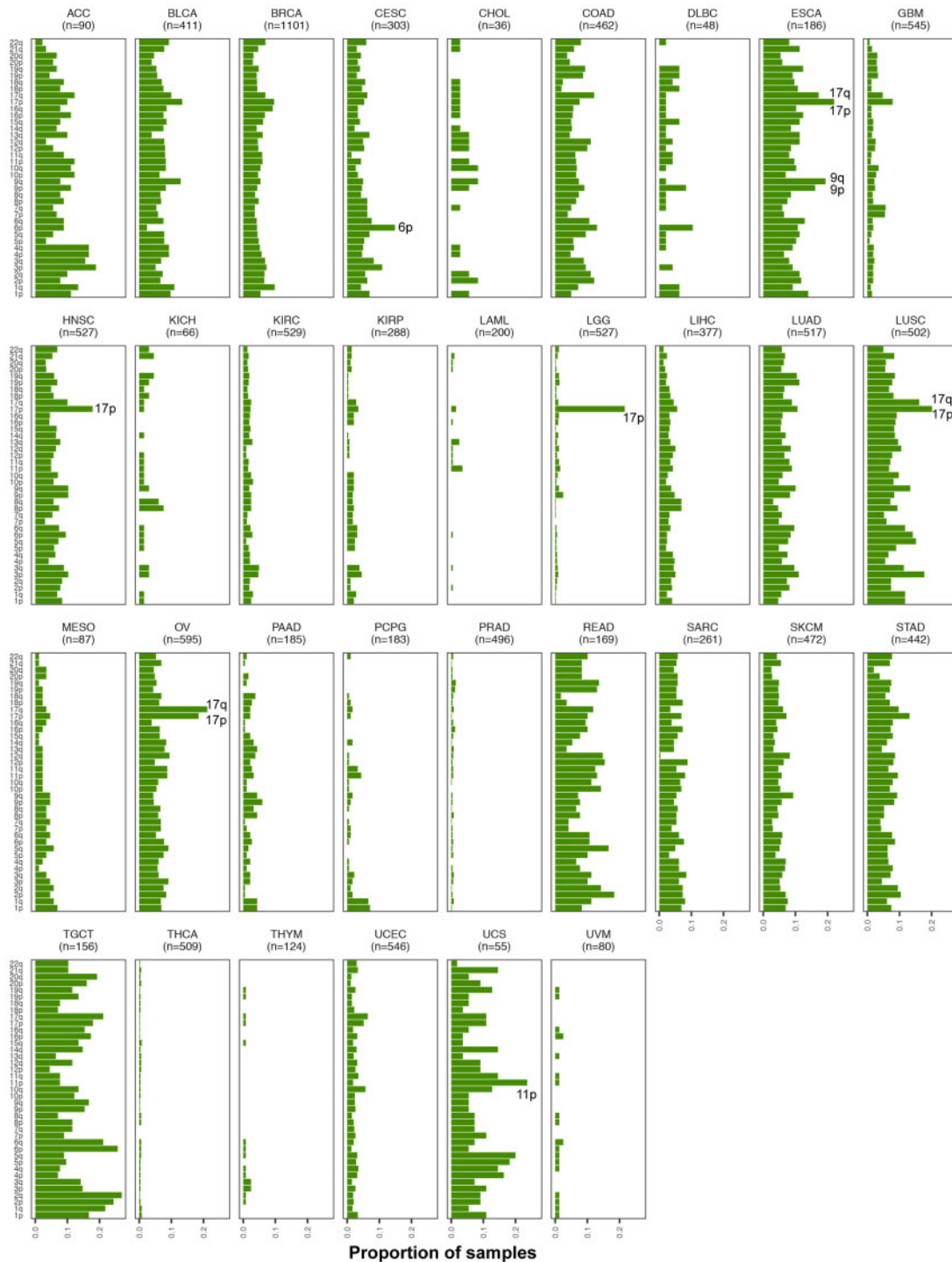
type also showed varied patterns for genomic burdens across tumor types. TGCT, UCS, ESCA, and OV showed highest median genomic burdens for gains, whereas ACC, KICH, OV, LUSC, and UCS showed high rates of genomic burdens for losses. The different tumor sites also exhibited different patterns of enrichment of the three event types. While some cancers, such as KICH and ACC, showed pronounced and preferential enrichment of loss events, tumors such as KIRP and TGCT showed high gain burdens (Supplementary Figure S1). The relative abundance of cnLOH was overall lesser than gains and losses, and spanned smaller proportions of the genome; the highest rates of cnLOH genomic burdens were observed in TGCT, ESCA, LUSC, UCS, and OV.

### Landscape of chromosome arm-level copy number changes across tumor sites

As our method is tuned for the detection of megabase-scale chromosomal changes such as those that span an entire chromosome or chromosome arm, we examined in greater depth chromosomal arm events across the 33 tumor types ([Figure 3](#)). At the arm level, our method identified 121,645 events in 10,004 tumor samples, of which 32,925 were gains and 57,161 were losses (Supplementary Table S1). Among these, the most common pan-cancer chromosome arm event occurred on 17p ([Figure 3](#)). Although 17p events were common among multiple tumor sites including ACC, KICH, COAD, LUAD, LUSC, PAAD, ESCA, and BRCA, some tumor sites did not show an enrichment for AI on 17p, such as GBM, KIRC, THCA, UVM, and PRAD ([Figure 3](#)). Among cases that showed copy number changes on 17p, most comprised loss events; KIRP was the only tumor site that showed

an abundance of 17p gains. Events on 8p and 3p were also prevalent across multiple tumor sites ([Figure 3](#)). While most cancer types showed a loss of 8p, LAML and UVM exhibited predominantly gain events; STAD, UCEC, and COAD showed mixed event types on 8p ([Figure 3](#)). Specifically in PRAD, 8p loss events were predominant with the rest of the genome being relatively stable, showing limited events in the rest of the genome such as 8q gain and 18q loss. As with 17p events, loss of 3p occurred across many tumor sites ([Figure 3](#)). Particularly in KIRC, 3p loss seemed to be the predominant chromosomal event, with the rest of the genome showing very limited evidence for chromosomal instability ([Figure 3](#)). Loss of 3p was also prevalent in UVM, LUAD, LUSC, HNSC, CHOL, and CESC ([Figure 3](#)). Amplification of 8q was the most frequent pan-cancer gain event, showing high occurrence in multiple tumor sites including UVM, LAML, COAD, HNSC, STAD, UCEC, SKCM, and LIHC ([Figure 3](#)). The second most prevalent amplification was identified on chromosome 7p, particularly in KIRP, DLBC, COAD, GBM, SKCM, and STAD. Amplification of 1q was also observed across many tumor sites; LUAD, LIHC, CESC, UCEC, SKCM, and THYM showed relatively high occurrences of 1q gain ([Figure 3](#)). Similarly, an amplification of 20q seemed to be prevalent in gastrointestinal tumors COAD, READ, and STAD ([Figure 3](#)). In contrast, chromosome arms 2p and 2q were the least altered across tumor types; a predominant loss of 2p and 2q was observed only in ACC and KICH datasets, both of which consisted of very few cases.

Tumor sites could broadly be classified into two categories, based on the distribution of chromosomal arm events across the genome. Tumor sites such as UVM, THCA, KIRC, LGG, LAML, and



**Figure 4** Landscape of chromosomal arm-level cnLOH across TCGA. The AI-based approach implemented here allowed for the identification of the previously unexplored landscape of cnLOH patterns across all tumor sites in TCGA. Shown here are bar plots representing the proportion of samples exhibiting cnLOH events across chromosome arms in the genome, for each tumor site. Enriched pan-cancer cnLOH events as well as site-specific cnLOH events are highlighted within the respective tumor site plots.

PRAD showed a significant enrichment of at most a single or very few chromosome arm events with the remaining parts of the genome being stable (Figure 3). For example, three tumor sites showed single-arm events that dominated the AI profile in those tumors such as 22q loss in THCA, 3p loss in KIRC, and 8p loss in PRAD tumors. LGG tumors showed a significant enrichment of 1p and 19q loss events, consistent with the known phenotype of 1p/19q codeletion in LGGs. In contrast to LGGs, the other class of

brain tumors, GBMs, exhibited a different AI profile. In GBM tumors, the frequent events included the loss of chromosome 10 and gain of chromosome 7 (Figure 3). LAML tumors, although from a small dataset, showed high prevalence of chromosome 8 gains and to a lesser extent chromosome 7 loss events (Figure 3). UVM tumors also seemed to exhibit relatively few chromosomal arm events that spanned losses of chromosome 3 and 6q, and gains of chromosome 8 and 6p. PCPG also showed few

chromosome arms under AI, the most frequent being loss events on 1p and 3q. In contrast to these tumor sites that showed lower overall AI burden, which was often accompanied by the enrichment of a single or few chromosome arm events, tumor sites such as LUAD, LUSC, BRCA, ESCA, ACC, TGCT, STAD, SKCM, and SARC showed genome-wide AI patterns involving multiple chromosome arms. Tumor sites could also be classified based on the enrichment of a specific event type among the AI events detected. For example, KIRP tumors seemed to be primarily driven by gain events across the genome. In contrast, tumor sites such as KICH, PCPG, STAD, and PAAD showed genome-wide enrichment of losses (Figure 3, Supplementary Figure S1). These results aid in understanding the role of different chromosomal changes and burdens in driving the development of different tumor types, based on their sites of origin.

### cnLOH patterns across tumor sites

We analyzed TCGA tumor samples to identify deviations in the expected 1:1 allelic ratios at germline heterozygous loci, a by-product of which is accurate detection of regions of cnLOH that result in allelic ratios of 2:0 or 0:2 in the cells with the chromosomal mutation. Standard methods that rely on identifying copy number changes from LRR (total allele) intensities will miss this particular class of chromosomal alterations. Our AI annotation of the 33 tumor sites in TCGA that includes cnLOH status, which, to date, has not been comprehensively characterized. Our methods identified 20,454 cnLOH arm-level events across 5,222 cases in TCGA (Supplementary Table S1). Figure 4 shows the distribution of chromosome arm-level cnLOH across the genome for each tumor site. Among these, TGCT and ESCA showed the highest rates of cnLOH (by burden and arms; Figures 1 and 4). Chromosome 17 showed the highest rates of cnLOH across tumor sites (Figure 4). Chromosome arm 3p, 6p, and 2p also showed high rates of cnLOH events across tumor sites (Figure 4).

We interrogated in greater depth the chromosome arm-level cnLOH that showed enrichment within specific tumor sites (Figure 4). For example, LGG tumors showed a pronounced presence of cnLOH on 17p (21.6% of tumors, Figure 4), an observation that would be completely missed in copy number detection approaches that use LRR intensities only. In addition, cases that exhibited 17p cnLOH in LGGs ( $n = 114$ ) were found to be mutually exclusive to cases that exhibited the 1p/19q codeletion ( $n = 160$ ). Copy neutral LOH of 17p was also prevalent in ESCA (22%), LUSC (20.1%), OV (18.5%), and HNSC tumors (17.8%) (Figure 4). These tumor sites also showed evidence for cnLOH events on the q arm of chromosome 17 (BRCA1, NF1). 6p also showed high rates of cnLOH, particularly in CESC tumors (14.8% of tumors). In UCS, amidst high rates of cnLOH across the genome, 11p (WT1) cnLOH events were pronounced (23.6% of tumors). We also observed tumor sites with multiple cnLOH events across the genomes, i.e. without having any visually observable specificity for particular chromosome arm events. In addition to TGCT and ESCA, mentioned above, READ, LUAD, SKCM, and STAD exhibited cnLOH across the genome. These results suggest the importance of investigating cnLOH events to better understand their role in oncogenesis and determine the molecular mechanisms leading to these chromosomal alterations across all tumor sites.

### Recalibration of TCGA copy number profiles

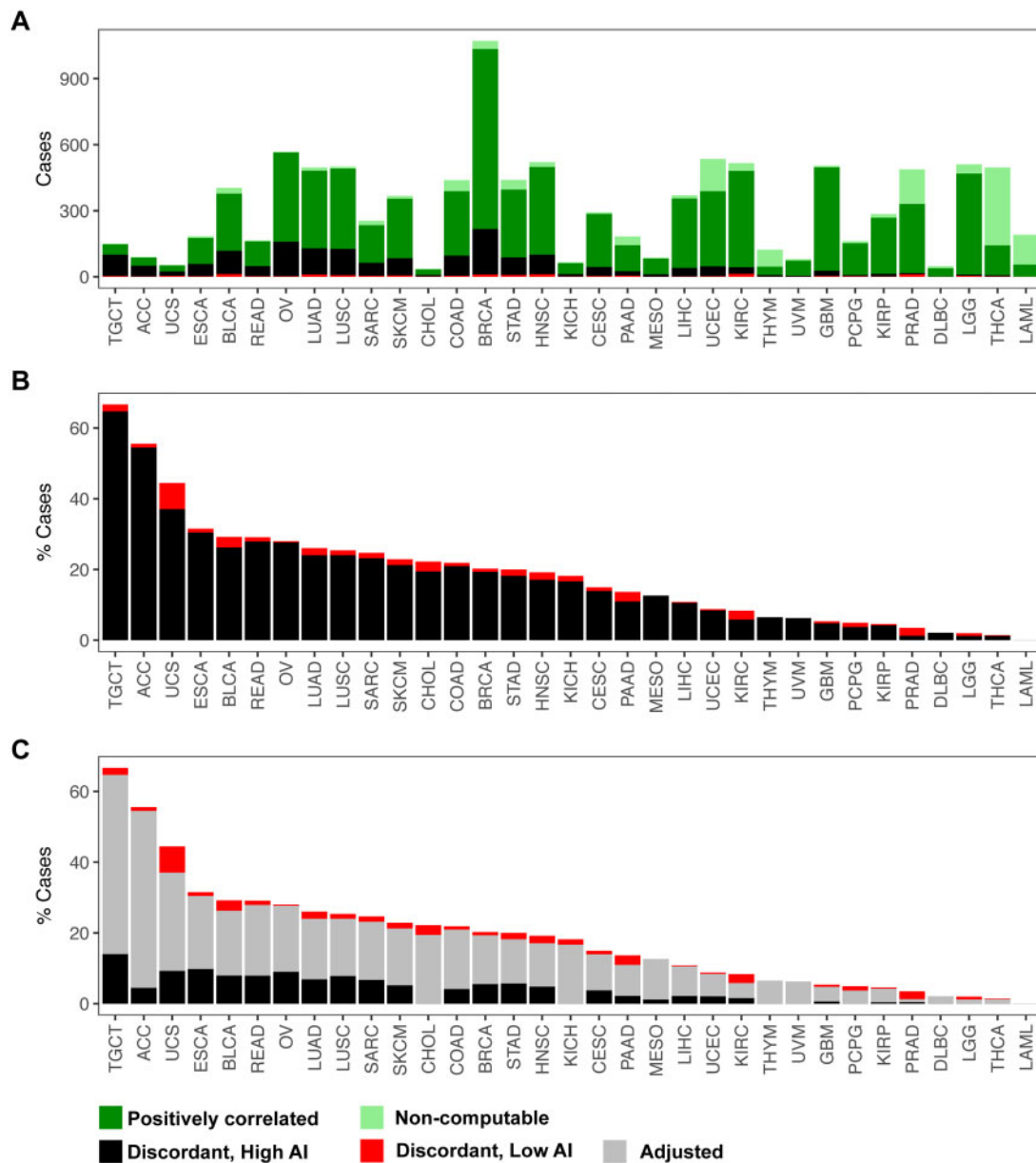
Our AI analysis provides a set of potential SCNAs based on data orthogonal to existing LRR-based SCNA calls. We sought to interrogate these sets of calls for consistency to potentially aid automated algorithms for SCNA identification. We assessed

concordance in AI- and TCGA-identified SCNAs for 10,680 tumor samples, among which a Pearson correlation could be calculated for 9364 samples (Supplementary Table S2). Overall, 7577 showed putative consistency (positive correlation) between the two sets (Supplementary Table S2). For 1787 cases, a surprising negative correlation existed between the calls. A closer inspection of these revealed a strong negative correlation (Pearson correlation,  $-0.74$ ) between the overall genomic burden of AI and the concordance of the two call sets, i.e. samples that exhibited high overall AI burdens tended to show patterns of discordance between the two calls sets. This trend was consistent across all tumor sites (Supplementary Figure S2). Inspection of Supplementary Figure S3 supports our conclusions of a set of poorly calibrated samples. The overall distribution of concordance (correlation) statistics exhibited varying patterns across the 33 tumor types assessed. Tumor types, such as ESCA, LUAD, LUSC, BLCA, and TGCT, exhibited either bimodal or sufficiently high dispersion such that observed correlations spanned the range  $(-1,1)$ . In contrast, tumor types such as GBM, KIRC, and LGG, showed a tight peak closer to a correlation of 1 with a longer tail of less prevalent values (including those that were discordant).

We identified a subset of 1653 cases that exhibited a negative correlation between the two call sets as well as a high overall genomic AI burden ( $\geq 50\%$  of the genome). These cases are listed in Supplementary Table S3. Figure 5 displays the proportion of these discordant samples across tumor sites. The highest proportions of negatively correlated samples were observed in TGCT (64.7%) and ACC (54.4%) consisting of a total of 150 and 90 profiled cases, respectively. Per above, this is consistent with the distribution of correlation statistics computed for each cancer site (Supplementary Figure S3). Both TGCT and ACC exhibit bimodality in the distributions of correlation values with a “lower” mode clearly centered below zero. This plot helps distinguish between a genuinely surprising level of negative correlations from what might be expected due to a long tail of a unimodal distribution; clearly the former is indicated for some of the cancer sites. For UCS, there is also an apparent mass of cases below zero, i.e. a high proportion of discordant calls (37%) out of a total of 50 cases profiled. Although these sites were relatively modest in size, we also observed high levels of discordance, affecting about a quarter of the samples, in larger cohorts such as ESCA (30.4%), READ (27.8%), OV (27.6%), BLCA (26.2%), and LUAD and LUSC (24%) (Supplementary Table S2). In contrast, we did not identify any discordant calls in LAML ( $n = 191$ ). Other tumor sites that exhibited very low percent of discordant call sets were THCA (1.2%), LGG (1.2%), PRAD (1.2%), and DLBC (2.1%) (Supplementary Table S2).

Within this set of samples for which our AI calls were discordant from TCGA SCNAs, we suspected a potential cause was that the level of aneuploidy was sufficiently high such that it was difficult to auto-detect the baseline LRR in identifying the SCNAs. Under the assumption that normal copy number regions will not typically exhibit AI, we sought to bolster the TCGA SCNA calls in this discordant set by re-establishing the baseline LRR regions and recalibrating the SCNA values. After adjusting the calls, a positive concordance was achieved in 1224 (of 1653) samples. The performance of our automated adjustment appeared to vary by tumor site (Figure 5, Supplementary Table S2). For example, in tumor sites such as LGG, THCA, PCPG, and THYM, our approach successfully adjusted all discordant cases to achieve a positive correlation (Supplementary Table S2). ACC and MESO also achieved high rates of adjustment of over 90% of the potentially problematic cases (Supplementary Table S2). Across tumor sites,





**Figure 5** Identification and adjustment of putative discordant samples between SCNAs inferred from hapLOH with those reported in TCGA. A total of 10,680 tumor samples were assessed for concordance between the two call sets (see *Materials and methods*). (A) The distribution of positively correlated (dark green) and negatively correlated (black and red) samples for each tumor site is shown as a stacked bar plot. The negatively correlated samples are further divided into two categories, based on their overall AI genomic burden, with cases showing at least 50% of their genome aberrant termed as high AI (black), with the remaining cases annotated as low AI (red). Samples for which correlation was non-computable, based on the absence of at least one event in each call set, are also shown (light green). These cases comprise a vast majority of samples that exhibited no evidence of SCNAs in either of the call sets. (B) A stacked bar plot of the distribution of negatively correlated samples (high AI and low AI) as a percentage of the total number of samples profiled for each tumor site is shown. (C) An automated adjusted procedure was applied on cases identified to be “negatively correlated, high AI” (black). A stacked bar plot of the distribution of samples after applying the adjustment procedure is shown across all tumor sites, with the percent of adjusted cases shown in gray. Tumor sites in all three panels are ordered by their overall percent of putative discordant samples.

after performing the automated adjustment, the percent of negatively correlated samples that remained was drastically lower. For example, the percent of negatively correlated samples reduced from 54.4% to 4.4% in ACC, 23.1% to 6.6% in SARC, 21.2% to 5.1% in SKCM, and 20.9% to 4.1% in COAD. However, even after adjustment, some tumor sites showed an appreciable number of discordant samples. For example, TGCT showed negative correlation in 14% cases after adjustment; similarly ESCA, UCS, and OV also showed rates of 9.8%, 9.2%, and 8.9%, respectively, after adjustment (Supplementary Table S2). Nonetheless, our approach

was able to significantly reduce the rates compared to the trends observed before adjustment across all tumor sites.

## Discussion

Acquired chromosomal alterations such as deletions, duplications, and cnLOH serve as hallmarks of tumorigenesis. Large (megabase-scale) alterations span multiple heterozygous markers and thus result in deviations from the expected one-to-one allelic ratio, thereby leading to AI. The SCNA pipeline of the

TCGA consortium reports genomic regions and their segment-mean copy number estimates from SNP genotyping arrays. However, detection and accurate identification of SCNAs in this resource are limited by the strict reliance on summaries of total intensities. Here we sought to address these limitations by modeling the allele-specific intensities (BAFs), leveraging an expectation that the perturbations in the BAFs within most SCNAs will occur in a manner consistent with the allelic patterns on the inherited chromosomes, i.e. the haplotypes. Our haplotype-based approach, by jointly modeling the BAFs, more powerfully detects SCNAs that lead to patterns of AI as well as AI induced by cnLOH. In this article, we provided a summary of these AI findings in 11,074 samples from all available tumor sites.

A recent study summarized pan-cancer chromosomal alterations and correlated chromosomal arm aneuploidy to somatic point mutations and expression of immune signaling genes (Taylor et al. 2018). Chromosomal copy number events identified in our present study corroborate their findings, such as the high prevalence of loss events on 17p and 8p, as well as gains of 8q across tumor sites. Similarly, both studies identified chromosome 2 as the least aberrant across tumor sites. Our AI burden-derived estimates were also similar to the aneuploidy scores across TCGA types (Taylor et al. 2018); however, we report higher burdens in ESCA and OV. Another pan-cancer atlas of the TCGA tumor sites identified specific clusters of tumors based on the extent of aneuploidy and the type of events (Hoadley et al. 2018). We identified similar patterns from our AI-derived chromosome arm-level alterations. Both studies identified a subset of low burden sites that included PRAD, THYM, LAML, and THCA. Our results also identified the enrichment of 13q gain and chromosome 18 loss in gastrointestinal tumors (COAD, READ, and STAD); in addition to these events, we also observed high rates of gains on chromosome 20 in these tumors. Similarly, we identified the enrichment of chromosome 7 gains and chromosome 10 losses in GBM tumors. In comparison to both these previous studies (Hoadley et al. 2018; Taylor et al. 2018), we also provide a complementary landscape of pan-cancer cnLOH events that opens a window of opportunities to investigate the importance of these cnLOH events in tumorigenesis. A striking result observed in our study was the recurrent cnLOH of 17p across multiple tumor sites. Future studies investigating the differences between previously reported pan-cancer 17p deletions and 17p cnLOH patterns reported here, as well as their roles with respect to the presence of TP53 variants or mutations, can aid in better understanding the dynamics of tumors that exhibit multi-hit alterations. Based on recent evidence (Liu et al. 2016), it is also plausible that these pan-cancer 17p loss and cnLOH affect a combination of genes, extending beyond the effects on the TP53 tumor suppressor gene.

Our study also showcased high rates of previously unknown chromosomal alterations across multiple tumor sites. For example, in KIRP tumors that were predominantly driven by gain events, our findings aligned with previously identified high frequency gains on chromosome 7 and 17, but we additionally identified a higher proportion of chromosome 16 gains than previously reported (Cancer Genome Atlas Research Network 2016). Similarly in UCS tumors, we identified recurrent loss and cnLOH events of 17p as well as novel recurrent cnLOH of 11p. Given the prevalence of TP53 mutations in UCS (Cherniack et al. 2017), cnLOH of 17p may be part of somatic two-hit mechanisms of mutagenesis in UCS tumors. In HNSC tumors, the highest rates of chromosomal changes were previously reported on 8p and 3p (Cancer Genome Atlas Network 2015). Our method not only identified these events but also detected high rates of 17p loss and

cnLOH events in these tumors. In this way, findings from our study supplement the current database of chromosome-arm SCNAs across multiple tumor sites, through the detection of additional chromosomal alterations.

It is noteworthy that cnLOH events we identified to be enriched in our present investigation across multiple tumor sites have been shown to be prognostically relevant in independent studies. For example, we observed recurrent 17p cnLOH in LGGs in addition to the well-known 1p/19q codeletion; cnLOH of 17p, as well as its mutual exclusivity with 1p/19q codeletion, has been previously shown to be a potential marker in independent cohorts of gliomas (Idbaih et al. 2012; Suzuki et al. 2015; Labussière et al. 2016). The predominant cnLOH on 17p also implicates a role for TP53 in LGG which is consistent with the clinical observations of gliomas in families exhibiting Li-Fraumeni syndrome (Michaeli et al. 2019). Similarly, our finding of 6p cnLOH in CESC tumors corroborates previous studies that have identified LOH on 6p21.2 that correlated with recurrence of cervical carcinoma after radiotherapy (Harima et al. 2000). This suggests that cnLOH events presented in our study have the potential to serve as prognostic markers for the detection or prediction of recurrence across tumor sites. Although unlikely to act as a driver mutation alone, with the possible exception of differential germline configurations, the high rates of cnLOH presented here highlight the importance of the acquired mutation on the preserved chromosome in a region of cnLOH. Thus, regions of cnLOH warrant additional study to better assess the multiple steps in tumor pathogenesis.

In addition to augmenting the TCGA with AI annotation, which helps to address previously undetected SCNAs and cnLOH, our results also allow for improving the accuracy of some of the existing SCNA calls. Tumor samples that displayed conflicting TCGA SCNA and AI profiles suggested incorrectly estimated “normal regions” for obtaining baseline LRR signal intensities. These observations, with the corresponding wide user base of the TCGA repository, motivated us to develop an automated procedure to identify and adjust these putative problematic cases. We list such samples as well as provide results from a simple procedure to re-normalize the data for potentially improved SCNA detection.

Through this study, we aimed to enrich the TCGA data resource by identifying large SCNAs of low mutant cell fractions, explicitly targeting cnLOH, and offering adjusted SCNA calls that improve the genomic landscape for several cancers. Additional follow-up studies investigating the role of these newly identified chromosomal alterations, especially cnLOH, will complement our efforts and benefit the resource by contextualizing the clinical significance of our findings. Assessments of clinical relevance of chromosomal alterations are often challenging, given the large scale of these events that may span many tumor suppressors and oncogenes. However, recent reports (Davoli et al. 2017; Shukla et al. 2020; Kou et al. 2020) have summarized the role of chromosomal alterations in tumor evolution, immune evasion, metastasis, and drug response in specific tumor types. Davoli et al. (2017) also identified that combining aneuploidy with the tumor mutational load (TMB) was a better predictor of survival after immunotherapy than either biomarker alone. Results from our study provide opportunities for future investigations assessing the relationship of chromosomal alterations with known biomarkers such as PD-L1 and TMB and emerging biomarkers such as homologous recombination deficiency and associations with DNA damage repair pathways (Hoppe et al. 2018; Knijnenburg et al. 2018).

Although our methods to identify SCNAs and cnLOH, as well as adjust previously identified SCNAs, provide or augment a

useful resource for pan-cancer genomic investigations, they do not come without limitations. First, our approach relies on deviations in allelic ratios at germline heterozygous sites to identify regions of AI. As such, we do not attempt here to identify *balanced* SCNAs (e.g. both copies of a chromosome lost or gained in a proportion of cells). Second, in line with the requirement of altered allelic ratios, our approach is at least slightly better at detecting loss or cnLOH events that results in a more severe change in allelic ratios (i.e. 1:0 or 2:0), in comparison to gains (e.g. one copy gains that results in a ratio of 1:2). Third, although our algorithm provides sensitive identification of regions of AI, we currently implement a naive threshold-based classification to annotate events as loss, gain, and cnLOH. This results in detection of subtle events that robustly show a signal for AI but are unclassifiable using our current LRR threshold-based approach. Nonetheless, these additional events supplement the current repository of copy number alterations, and future computational methods to jointly utilize BAF and LRR in the statistical estimation of AI might overcome this issue. Lastly, our prototype methodology to systematically adjust mean segment copy numbers will in some instances suffer from over-corrections, as the procedure is applied genome-wide without appropriate tuning. Conversely, the approach may under-correct in situations where regions identified as normal by hapLOH contain both gains and losses as identified by TCGA; in such cases, our approach will not identify a deviant copy number from which to correct. However, these limitations notwithstanding, our work highlights the importance of integrating multiple data types (BAF and LRR) for more robust automated inference procedures.

While we acknowledge further enhancements to what we have presented here may be possible with greater statistical sophistication, results presented here have the potential to support current methods and improve downstream analyses, including clinical evaluations and identification of complex SCNA-derived signatures. We hope that enhanced annotation of this widely used and valuable public resource may support new hypotheses of chromosomal instability in tumorigenesis.

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S.S., F.A.S.L., and P.S. conceived and designed the study. S.S., F.A.S.L., J.F., and P.S. helped with data acquisition. S.S., F.A.S.L., Y.A.J., Z.O., and J.F. analyzed the data. S.S., F.A.S.L., J.F. and P.S. interpreted the results. S.S., F.A.S.L., and P.S. wrote the manuscript. All authors approved and reviewed the submitted manuscript.

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## Conflicts of interest

None declared.

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