



## Identification of a metabolic, transcriptomic and molecular signature of PNPLA3-mediated acceleration of steatohepatitis

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### Abstract

**Background & Aims:** The mechanisms by which the I148M mutant variant of the patatin-like phospholipase domain-containing 3 (PNPLA3<sup>I148M</sup>) drives development of nonalcoholic

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AJS, SC, BB, DK, LS, and HPG conceived this study. MS, BB, HM, DK, SC, MS, FM, HPG, PK, AO, CA, PB and SK carried out the experiments. BB, SC, HM, DK, PK, FM, MS, LW, CA, HM and AJS discussed and interpreted the results. BB, AJS, DK, HM wrote the manuscript. HPG, LW, FM provided the key materials and instructions for usage. AJS, FM and HM supervised the experiment and project.

Disclosures:

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steatohepatitis (NASH) is not known. The aim of this study was to obtain insights on mechanisms underlying PNPLA3<sup>I148M</sup> induced acceleration of NASH.

**Approach & Results:** Hepatocyte-specific overexpression of empty vector (Luc), human wild-type PNPLA3 (PNPLA3<sup>WT</sup>), or PNPLA3<sup>I148M</sup> was achieved using adeno-associated virus (AAV)-8 in DIAMOND mice followed by chow diet or high fat Western diet with ad lib administration of sugar in drinking water (WDSW) for 8 weeks. Under WDSW, PNPLA3<sup>I148M</sup> overexpression accelerated steatohepatitis with increased steatosis, inflammation ballooning and fibrosis (p< 0.001 vs other groups for all). Silencing PNPLA3<sup>I148M</sup> after its initial overexpression abrogated these findings. PNPLA3<sup>I148M</sup> caused 22:6n3 docosahexanoic acid depletion and increased ceramides under WDSW in addition to increasing triglycerides and diglycerides especially enriched with unsaturated fatty acids. It also increased oxidative stress and ER-stress. Increased total ceramides was associated with STAT3 activation with downstream activation of multiple immune-inflammatory pathways at a transcriptomic level by network analyses. Silencing PNPLA3<sup>I148M</sup> reversed STAT3 activation. Conditioned media from HepG2 cells overexpressing PNPLA3<sup>I148M</sup> increased procollagen mRNA expression in LX2 cells; this was abrogated by hepatocyte STAT3 inhibition.

**Conclusions:** Under WDSW, PNPLA3<sup>I148M</sup> overexpression promotes steatosis and NASH by metabolic reprogramming characterized by increased triglycerides and diglycerides, n3 PUFA depletion and increased ceramides with resultant STAT3 phosphorylation and downstream inflammatory pathway activation driving increased stellate cell fibrogenic activity.

### Keywords

nonalcoholic fatty liver disease; nonalcoholic steatohepatitis; DIAMOND model; PNPLA3; ceramide; innate immune response; STAT3; inflammation; fibrosis

## INTRODUCTION

Nonalcoholic fatty liver disease (NAFLD), especially its aggressive variant nonalcoholic steatohepatitis (NASH), is a major cause of liver related mortality (1). NAFLD is a heterogeneous disorder driven by complex gene-environment interactions that produce variable clinical and histological phenotypes of the disease that progress at variable rates towards cirrhosis (2, 3). Understanding the basis for such heterogeneity is critical for development of highly effective therapeutics for affected individuals.

The patatin-like phospholipase domain containing 3 (PNPLA3) gene was the first major gene associated with NASH (4, 5). Patients with NAFLD who carry the PNPLA3 rs738409 C/G mutation encoding an isoleucine to methionine substitution at the amino acid position 148 (PNPLA3<sup>I148M</sup>) are more likely to develop steatohepatitis and fibrosis (6). PNPLA3 is a triglyceride hydrolase whose function is lost in the mutant protein (7). PNPLA3<sup>I148M</sup> is the principal genetic risk factor for disease progression and delinks disease severity from underlying insulin resistance (8, 9).

In initial studies, overexpression of the mutant human PNPLA3 in C57Bl6J mice led to increased steatosis with accumulation of PNPLA3 protein on lipid droplets but without development of steatohepatitis and fibrosis (10). This was subsequently shown to be related

to proteosomal dysfunction and reduced turnover of the mutant protein which accumulated on lipid droplets (11). While this explains worsened steatosis, these data however do not provide information on the cellular or molecular basis for PNPLA3<sup>I148M</sup>-associated development of steatohepatitis and fibrosis.

In an elegant study, the human mutation (I148M) was introduced into the mouse PNPLA3 gene by homologous recombination (12). Mice were then fed a 70% sucrose diet to induce NAFLD. Subsequent knockdown of PNPLA3 with a GalNAc-conjugated anti-sense oligonucleotide decreased steatosis and inflammation. However, a limitation of this study is that a clear phenotype of NASH with fibrosis was not established by introduction of the I148M mutation. Furthermore, this study evaluated the impact of the human mutation in the mouse gene rather than the function of the human mutant gene itself. In other studies, global targeted deletion of PNPLA3 did not induce NASH in a murine model (13).

We have recently established and validated a diet-induced animal model of NAFLD (DIAMOND) using an isogenic inbred C57Bl6J/s129svlmJ mice that sequentially develop a fatty liver then steatohepatitis and progressive fibrosis on a high-fat (Western) diet with ad lib provision of sugars in drinking water (WDSW) (14, 15). The first aim of the current study was to leverage this sequential development of lesions to test the hypothesis that overexpression of PNPLA3<sup>I148M</sup> would cause development of NASH with fibrosis at a time point (8 weeks) when the model would normally only develop a fatty liver thus demonstrating disease acceleration by PNPLA3<sup>I148M</sup>. The second aim was to confirm the specificity of these findings by rescuing the phenotype by silencing PNPLA3<sup>I148M</sup> after its initial overexpression. The third aim was to take advantage of this accelerated disease phenotype to define the metabolomic, transcriptomic and molecular basis of PNPLA3<sup>I148M</sup>-related acceleration of steatohepatitis and fibrosis. Finally, to confirm the effects of hepatocyte-specific PNPLA3 expression on stellate cell activation, procollagen gene expression in stellate cells was measured after exposure to conditioned media from hepatocytes with and without overexpressed PNPLA3<sup>I148M</sup>.

## MATERIALS AND METHODS

### Diet-induced animal model of NAFLD

The previously reported and well-characterized DIAMOND mice were used (14–17). This mouse strain develops a fatty liver (2–8 weeks) and then steatohepatitis (by 16 weeks) followed by progressive fibrosis on a WDSW diet. This diet (42% calories from fat, 0.1% cholesterol [Harlan TD.88137]) is similar in composition to the average American adult diet ([cdc.gov/nchs/fastats/diet.htm](https://www.cdc.gov/nchs/fastats/diet.htm)). Fructose-glucose (23.1 g/L d-fructose + 18.9 g/L d-glucose) was added to drinking water and provided *ad libitum*. The rationale for using this model was that it sequentially develops steatosis, steatohepatitis and then fibrosis allowing us to test if more advanced lesions could be induced earlier in the course of the disease thus providing proof of concept of disease acceleration by PNPLA3<sup>I148M</sup>.

## Hepatocyte-specific expression of PNPLA3

An adeno-associated virus (AAV)-8 construct with a thyroxine-binding globulin promoter was used for hepatocyte-specific overexpression of PNPLA3 (18). Three AAV constructs, AAV-Luc empty vector, AAV-PNPLA3<sup>WT</sup> and AAV-PNPLA3<sup>I148M</sup> were obtained from the Viral Vector Core at the University of Massachusetts (Supplemental Figure 1). A pilot study was initially conducted using two different doses of AAV ( $1 \times 10^{11}$  GC/mouse and  $3 \times 10^{11}$  GC/mouse) administered via the retro-orbital route in order to determine the dose of AAV-PNPLA3 required for optimal expression. The siRNA of placebo lipid nanoparticles and si-hPNPLA3 were obtained from our collaborators at Merck.

## Study Design

Based on this pilot study, approximately 8-week old male DIAMOND mice (age range 6 – 10 weeks old) were injected with  $3 \times 10^{11}$  GC/mouse of Luc, PNPLA3<sup>WT</sup> or PNPLA3<sup>I148M</sup>. Mice were then randomized to chow diet/normal water (CDNW) or to WDSW for 8 weeks. These studies were performed in two cohorts with eight to ten mice per group. All mice were housed in a 12 hour light–12 hour dark cycle in a 21–23 °C facility.

To test the specificity of findings attributed to PNPLA3<sup>I148M</sup>, 8-week old male mice were injected with AAV-8 carrying PNPLA3<sup>I148M</sup> and started on WDSW. After four weeks, half the mice received si-hPNPLA3<sup>I148M</sup> at 0.5 mg/kg body weight via tail vein injection while the other half received scrambled sequences, given once per week for a total of 4 weeks. Mice were then euthanized and tissues collected for analysis. All procedures were performed according to protocols approved by the Animal Care and Use Committee of Virginia Commonwealth University.

For *in vitro* studies, hepatocytes and stellate cells were isolated from mice liver as previously described (19) and assayed to determine the site of human PNPLA3 expression. *In vitro* studies were also performed using HepG2 hepatoma cells and LX2 stellate cells. PNPLA3<sup>I148M</sup> was induced in HepG2 cells by addition of high glucose to the medium containing 10% fetal bovine serum, penicillin (100IU/ml) and Streptomycin (100µg/ml). LX2 cells were exposed overnight to conditioned media from HepG2 cells with or without induction of PNPLA3<sup>I148M</sup> before harvesting for procollagen mRNA measurement. To determine STAT3 effect on induction of fibrosis in LX2 cells, HepG2 cells were pretreated with a STAT3 inhibitor (Stattic, Sigma Co.) prior to collection of conditioned media.

## Laboratory and histological analysis, RNA, protein and metabolite analyses

Protein and mRNA extraction followed by RNA Seq, PCR or Western blot were performed on tissues as previously described (14) (Supplemental methods). Metabolomic analysis were also performed using the One-Way-Lipidomics (OWL) platform as described previously (20) (Supplemental materials). Similarly, histological assessment followed established methods and was performed in a masked manner by a dedicated senior hepato-pathologist (PB) using hematoxylin/eosin and Sirius Red stained sections scanned in using the Aperio 3.0 system (14).

## Analytical Approach

Descriptive and across group comparisons for weight, histological scores and other parameters was performed using SPSS and plotted on Graphpad Prism software. T-test or ANOVA were used as appropriate for continuous variables and Fishers Exact test was used for comparison of proportions. A p value of 0.05 was used to establish statistical significance.

Metabolomic analyses were performed using Metabo-analyst 4.0 software using the statistical and enrichment modules as described previously by us (21, 22). Similarly, transcriptomic analyses were performed using Genespring GX 12.5 (14). Pathway enrichment was analyzed and visualized using Gene Ontology and Metacores curated pathways (23). Integrated analysis of gene expression and metabolites to evaluate pathway status was performed using the Joint pathway module of Metabo-analyst 4.0. Network analyses of metabolites was also performed using the network module of Metabo-analyst 4.0.

## RESULTS

### Liver-specific expression of human wildtype and mutant PNPLA3 in mouse liver using adeno-associated virus

In pilot studies, DIAMOND mice were given retro-orbital injections of AAV with empty luciferase vector Luc, PNPLA3<sup>WT</sup> or PNPLA3<sup>I148M</sup>. Real time PCR using primers for human PNPLA3 confirmed liver-specific expression in mice injected with PNPLA3<sup>WT</sup> and PNPLA3<sup>I148M</sup>, with little or no expression in adipose tissue and skeletal muscle in these mice (Figure 1A). Mice injected with Luc showed no PNPLA3 mRNA expression (Figure 1A). Over-expression of human PNPLA3 protein was confirmed by Western blot in both PNPLA3<sup>WT</sup> and PNPLA3<sup>I148M</sup> mice, while no PNPLA3 protein was noted with Luc (Figure 1B). In pilot studies, two weeks following PNPLA3 administration, hepatocytes and stellate cells were isolated from the liver; *PNPLA3* mRNA was overexpressed solely in hepatocytes and not in hepatic stellate cells (Figure 1C).

After establishing feasibility in these pilot studies, mice were injected with AAV-Luc, AAV-PNPLA3<sup>WT</sup> or AAV-PNPLA3<sup>I148M</sup> and randomized to *ad libitum* feeding on CDNW or WDSW for 8 weeks. As expected, body weight was higher in all mice groups on WDSW compared to those on CDNW (Fig. 1D; left panel). While PNPLA3<sup>WT</sup> mice on WDSW gained slightly more weight compared to Luc mice, the liver weight (Figure 1D; middle panel) and liver/body weight ratio (Figure 1D, right panel) were similar in these two groups of mice. In contrast, PNPLA3<sup>I148M</sup> on WDSW showed increased liver weight and liver/body weight ratio compared to Luc and PNPLA3<sup>WT</sup> on an identical diet. There was a trend for increased transaminases in both PNPLA3 groups versus Luc which reached significance for ALT in both PNPLA3<sup>WT</sup> and PNPLA3<sup>I148M</sup> under WDSW conditions (Figure 1E).

### **PNPLA3 did not alter systemic insulin resistance but led to dyslipidemia under conditions of Western diet**

Mice on WDSW showed a trend towards increased blood glucose when assessed by glucose tolerance test (Figure 1F; left panel), however there were no statistically significant differences in insulin sensitivity between Luc, PNPLA3<sup>WT</sup> or PNPLA3<sup>I148M</sup> on WDSW diet as measured by insulin tolerance test (Figure 1F; middle panel). Under CDNW conditions, there was no difference in circulating triglyceride levels among mice groups (Figure 1F; right panel). In contrast, PNPLA3<sup>I148M</sup> mice showed increased circulating triglyceride levels compared to mice with Luc or PNPLA3<sup>WT</sup> on WDSW diet.

### **Human PNPLA3<sup>I148M</sup> expression specifically accelerated steatohepatitis and led to liver fibrosis in DIAMOND mice under high fat-sugar water diet conditions**

Under chow diet conditions, all three groups had essentially normal liver histology (supplemental Figure 2A and 2B). As expected, after 8 weeks of WDSW diet, Luc mice developed profound fatty liver but without any evidence of steatohepatitis (Figure 2A) or fibrosis (Figure 2B). Similarly, mice with PNPLA3<sup>WT</sup> mainly had fatty liver with some mice demonstrating mild inflammation but no fibrosis. In sharp contrast, the majority PNPLA3<sup>I148M</sup> mice developed florid steatohepatitis with fibrosis ( $p < 0.0001$  vs other groups) (Figure 2A and B).

In addition to acceleration of steatohepatitis and fibrosis, PNPLA3<sup>I148M</sup> significantly worsened the severity of individual histological lesions of NASH including steatosis, hepatocellular ballooning and lobular inflammation (Figure 2C). This translated into a significantly higher NAFLD activity score ( $p < 0.001$  vs both Luc and PNPLA3<sup>WT</sup>) (Figure 2C). Only PNPLA3<sup>I148M</sup> mice developed fibrosis with some mice developing bridging fibrosis (Figure 2C). This was reflected in a higher collagen proportionate area as well.

The specificity of the findings was tested by comparison of mice overexpressing PNPLA3<sup>I148M</sup> who received siRNA directed against placebo lipid nanoparticles or PNPLA3<sup>I148M</sup>. Silencing was confirmed by Western blot (Figure 2D; left panel), with accompanying decrease in biochemical inflammation as evidenced by decreased ALT (Figure 2D; right panel). These mice did not develop steatohepatitis or fibrosis (Figure 2A and B). In comparison to PNPLA3<sup>I148M</sup> mice who received scrambled siRNA, those with PNPLA3<sup>I148M</sup> silencing showed decreased steatosis and lobular inflammation whereas ballooning disappeared entirely and fibrosis score was decreased (Figure 2E).

### **Mechanisms underlying PNPLA3<sup>I148M</sup>-associated acceleration of NASH and fibrosis**

We hypothesized that, based on the known triglyceride hydrolysis inhibition and transacylase functions of PNPLA3<sup>I148M</sup> (7, 24), overexpression of the mutant gene would remodel the lipidome which in turn would alter cell physiology and gene expression resulting in greater injury and inflammation which would drive more fibrosis. An integrated pathway analysis including both differentially expressed genes and metabolites was performed to identify the metabolic reprogramming signature of PNPLA3<sup>I148M</sup>. The pathway significance (based on differential expression with weightage based on proportion of differentially expressed metabolites versus genes) versus impact (based on the number of

other significantly altered pathways that connect with differentially expressed nodes) was plotted (Figure 3A; supplemental Excel Tables 1–4).

Under chow-diet conditions, the principal pathways affected by PNPLA3<sup>I148M</sup> included sphingolipids, polyunsaturated fatty acids (PUFA) and glutathione metabolism. In contrast, there was a larger impact under high fat sugar water diet with multiple additional metabolic pathways involved. Comparison of PNPLA3<sup>I148M</sup> with PNPLA3<sup>WT</sup> further confirmed that the principal effect attributable to the mutant gene was a metabolic reprogramming of the liver with dominant effects on glutathione and sphingolipids.

#### A: Metabolic reprogramming due to PNPLA3<sup>I148M</sup> overexpression

**A1: Effects on fatty acid metabolism:** Total triglycerides (TAGs) were increased by PNPLA3<sup>I148M</sup> versus Luc and PNPLA3<sup>WT</sup> under both diets whereas DAGs were increased under WDSW diet only (Figure 3B). The hepatic free fatty acid pool was decreased by both PNPLA3 types under chow diet conditions driven mainly by a decrease in PUFAs including 18:3n3 linolenic acid, 22:6n3 docosahexanoic acid and 20:4n6 arachidonic acid (Figure 3B-C; Supplemental Figure 3A). WDSW diet itself led to significant depletion of multiple PUFAs (Figure 3C); under these conditions, there was a further decrease in specific PUFAs for both PNPLA3 groups, reaching statistical significance for 22:6n3 docosahexanoic acid for PNPLA3<sup>I148M</sup> (Figure 3C; Supplemental Figure 3A). There was a corresponding increase in unsaturation of TAGs (Figure 3D) and DAGs.

Based on integrated pathway analyses and molecular analyses, there were no major effects of PNPLA3<sup>I148M</sup> on the *de novo* lipogenesis pathway (Supplemental Figure 3B) although mild increase in protein levels of total SREBP, acyl CoA carboxylase, and fatty acid synthetase was seen (Supplemental Figure 3C). Of note, CGI58 which has been linked to PNPLA3 TAG hydrolytic function (25) was induced by overexpression of PNPLA3<sup>I148M</sup> but did not decrease significantly after silencing PNPLA3 suggesting that co-factors may maintain its overexpression (Supplemental Figure 3D).

**A2: Effects on sphingolipid metabolism:** Total ceramides were not significantly different across groups under chow diet conditions but were increased significantly by WDSW in all groups (Figure 4A). This was significantly greater in PNPLA3<sup>I148M</sup> *vis-à-vis* luc and PNPLA3<sup>WT</sup> groups. Ceramide upregulation in PNPLA3<sup>I148M</sup> mice was accompanied by significant changes in sphingolipid signaling and metabolism pathways at a transcriptomic and metabolomic level respectively (Figure 4B-C).

PNPLA3<sup>I148M</sup> overexpression specifically increased expression of multiple genes in the ceramide synthesis, sphingomyelin and salvage pathways (Fig. 4D). These included both isoforms of serine palmitoyl CoA transferase (*Sptlc*) 1 and 2, the rate-limiting step in ceramide synthesis from serine and palmitic acid in the *de novo* synthesis pathway. The mRNA expression level of 3-ketodihydrospingosine reductase (*Kdsr*), also in the *de novo* synthesis pathway, was also increased in PNPLA3<sup>I148M</sup> mice compared to PNPLA3<sup>WT</sup> mice on WDSW. There was also an increase in the sphingomyelinases sphingomyelin phosphodiesterase (*Smpd*) 1 and 2 which convert sphingomyelin to ceramide. In addition, there was an increase in the expression of ceramide synthetase (*Cers*) isoforms 2, 4, 5 and 6

in PNPLA3<sup>I148M</sup> mice compared to PNPLA3<sup>WT</sup> mice. *Cers1* and *Cers3* mRNA levels were similar among the groups on WDSW (data not shown). SiRNA-mediated silencing of PNPLA3<sup>I148M</sup> resulted in a clear reversal of *Spltc 1* and *2*, *Kdsr*, *Smpd2* and *Cer6* induction despite feeding on a high fat diet (Figure 4D).

### **B: Mutant PNPLA3 impacts key cellular processes and promotes cell death:**

Integrated pathway analysis of PNPLA3<sup>I148M</sup> compared to AAV-luc under WDSW revealed a significant impact (False discovery rate < 0.05) on several cellular processes including proteosomal, phagosomal, and lysosomal function, amino-acyl T RNA synthesis, circadian rhythm and endoplasmic reticulum (ER) function (Figure 5A). PNPLA3<sup>I148M</sup> expression was also associated with several markers of the unfolded protein response including GRP78, ATF 4, CHOP and JNK (Figure 5B-C). This was associated with modestly increased apoptosis (Figure 5D) and activation of cell death pathways (supplemental Excel sheets).

In addition, PNPLA3<sup>I148M</sup> expression resulted in increased oxidative stress as evidenced by accumulation of oxidized glutathione (Figure 5E; left panel), increased oxidized eicosanoids, superoxide dismutase and nrf2 expression and glutathione pathway activation in PNPLA3<sup>I148M</sup> versus AAV-luc on WDSW (Figure 5E and Figure 3A). Furthermore, there was a depletion of multiple purines including ADP, CMP, and GMP in PNPLA3<sup>I148M</sup> mice compared to luc on WDSW (Figure 5F).

### **C: Sphingolipids and STAT3 activation in the inflammatory response to PNPLA3**

Multiple inflammatory pathways including both the innate and adaptive immune systems were activated in the liver of mice with PNPLA3<sup>I148M</sup> versus AAV-luc on WDSW (Figure 6A). Of note, the top innate immune pathways activated all demonstrated transcriptomic activation of the JAK-STAT system. JAK and STAT3 activation were further confirmed by Western blot and its relationship to PNPLA3<sup>I148M</sup> confirmed by its deactivation upon silencing the gene (Figure 6B-D). This was accompanied by improved histological activity and fibrosis as well as reversal of steatohepatitis in mice with siRNA-mediated silencing of PNPLA3<sup>I148M</sup> (Figure 2A, B, E). Pathway analysis also revealed numerous targets of STAT3, well known to be activated by increased ceramides (26), that were also activated and linked to the transcriptomic inflammatory response to PNPLA3<sup>I148M</sup> (Figure 6E). Similarly, integrated pathway analysis revealed a strong signature of ceramide related inflammatory activation including h-ras and downstream PLA2 activation (Figure 6F). These changes were only noted under WDSW and not in chow diet conditions. Together, these provide a key role for sphingolipids in the inflammatory response to PNPLA3<sup>I148M</sup> under WDSW conditions.

Unbiased transcriptomic analyses further revealed a strong signature for activation of both innate and adaptive immune-inflammatory pathways (Supplemental Figure 4A) including activation of TNF- $\alpha$ , IL-6, RIG-1, STAT1, serum-associated amyloid and ICAM1 (Supplemental Figure 4B and C). Based on enrichment analysis, several of the top 10 gene ontology processes and process networks were involved in immune-inflammatory response (Supplemental Figure 5A and B). Using the “analyze-networks” algorithm, networks of genes were identified that were coordinately altered by exposure to WDSW in PNPLA3<sup>I148M</sup> mice but not in Luc. A network comprised of 50 genes with 23 altered genes



( $p=5.46 \times 10^{-54}$ ) centered around complement *C1q*, cluster of differentiation 14 (*CD-14*) and *STAT-1* was identified as the topmost differentially altered gene network in the PNPLA3<sup>I148M</sup> group compared to Luc on WDSW diet (Supplemental Figure 5C).

Network analysis further identified a network related to MHC class II genes as a major network to be activated by WDSW diet in PNPLA3<sup>I148M</sup> mice. These included 17 differentially altered genes ( $p=1.85 \times 10^{-39}$ ) including cyclin-dependent kinase inhibitor *p21*, human leukocyte antigen (*HLA*)-DQA1, rho associated coiled-coil containing protein kinase 2 (*ROCK2*), paired box protein (*PAX*)-8, and myosin regulatory light chain (*MLC*)-2 (Supplemental Figure 5D).

#### D: PNPLA3<sup>I148M</sup> related hepatocellular injury can activate stellate cells

Procollagen I, III and  $\alpha$ -smooth muscle actin mRNA were all activated in PNPLA3<sup>I148M</sup> compared to AAV-luc and PNPLA3<sup>WT</sup> under WDSW conditions (Figure 7A). This was accompanied by increased TGF- $\beta$ 1 protein levels (Figure 7B) and activation of several known fibrogenic pathways (Figure 7C). To further determine cross-talk between hepatocytes overexpressing PNPLA3<sup>I148M</sup> and stellate cells, PNPLA3 gene expression was induced by glucose exposure in HepG2 cells that are known to express PNPLA3<sup>I148M</sup> (21) (Figure 7D; left panel). Conditioned media from these cells significantly increased procollagen I and III mRNA expression by LX2 cells (Figure 7D; middle and right panel). This experiment was repeated after inhibition of STAT3 in the HepG2 cells, resulting in a STAT3 inhibition dose-dependent decrease in procollagen and TGF- $\beta$ 1 mRNA (Figure 7E-F).

## DISCUSSION

The current study provides proof of concept that development of steatohepatitis with fibrosis is accelerated and its severity worsened by overexpression of PNPLA3<sup>I148M</sup> in a murine model of NASH. This allowed us to obtain novel insights on interactions between gene expression and metabolites to dissect the roles of diet and PNPLA3 status in driving the observed acceleration of NASH with fibrosis.

Prior attempts to overexpress PNPLA3 in mice or introduce the I148M mutation in to the mouse gene have not succeeded in establishing a phenotype of steatohepatitis (10, 12). The model used in this study is distinct from prior studies by having the capacity to sequentially develop fatty liver followed by typical steatohepatitis and progressive fibrosis (14). Further, there is significant transcriptomic and cell signaling concordance with human NASH (15). These factors along with the overexpression of the entire human gene distinguish the approach taken in this study and are likely to have contributed to the development of an accelerated disease phenotype.

The principal observation in this study is that, after accounting for the effects of a WDSW and general properties of PNPLA3<sup>WT</sup>, metabolic reprogramming stood out as the hallmark feature linked to PNPLA3<sup>I148M</sup>-induced NASH acceleration (Figure 3A). This is in line with the known lipid trafficking and remodeling functions of PNPLA3 (7, 24, 27).

It has been previously reported that PNPLA3<sup>I148M</sup> increased transfer of labeled oleic acid to TAGs (24) and enhanced unsaturated fatty acid content of circulating triglycerides (28). The current study demonstrates widespread depletion of both n3 and n6 PUFAs under both chow diet and WDSW diet by both forms of PNPLA3 but with greater depletion by PNPLA3<sup>I148M</sup> under WDSW conditions which by itself also led to depletion of PUFAs (Figure 3). This was accompanied by increasing unsaturation of TAGs and DAGs indicating transfer of unsaturated fatty acids to other lipids as a core function of PNPLA3. Based on known biology (29), PUFA depletion should promote NASH and provides a potential explanation for PNPLA3 gene status as a modulator of response to n3 PUFA treatment (30).

Another novel observation is the impact of PNPLA3<sup>I148M</sup> on sphingolipid metabolism and its downstream effect on inflammation and cell death. In a prior study in humans, patients were dichotomously classified to have insulin-resistance or PNPLA3-associated NASH (31). In that study, ceramide upregulation was characteristic of insulin resistance-associated NASH but not of PNPLA3-associated NASH. This binary assessment does not permit evaluation of the role of PNPLA3<sup>I148M</sup> in the context of background obesity, the situation seen in many patients with NASH (32). In the current study, we demonstrate substantial differences in ceramides and sphingomyelins based on both diet and PNPLA3 status. Specifically, this was linked to activation of the ceramide synthesis and salvage pathways which were reversed by silencing PNPLA3<sup>I148M</sup>. These changes in ceramides were linked by pathway analysis to activation of STAT3 and several differentially activated inflammatory pathways that were directly attributable to PNPLA3<sup>I148M</sup> under WDSW conditions i.e. seen in both PNPLA3<sup>I148M</sup> vs Luc and also vs PNPLA3<sup>WT</sup>. Furthermore, STAT3 inhibition in HepG2 cells overexpressing PNPLA3 modulated stellate cell collagen gene expression establishing this as a relevant pathway connecting hepatocyte injury to increased fibrogenic drive due to PNPLA3<sup>I148M</sup>.

Yet another important observation is the broad transcriptomic foot-print indicative of activated innate and adaptive immune system, in line with recent observations in humans although altered IL32 was not noted (33). Some of the novel findings in this regard is the evidence for activation of multiple STAT3 targets and also activation of the complement system, MHC class II signaling and other elements of adaptive immune system. The reversal of STAT3 activation by silencing PNPLA3<sup>I148M</sup> even in the background of a WDSW diet further indicates the relevance of this pathway in PNPLA3<sup>I148M</sup>-NASH.

It has been reported that PNPLA3 is expressed to a greater extent in hepatic stellate cells than in hepatocytes and activation of the gene in stellate cells promotes collagen synthesis (34, 35). The current study demonstrates that, independent of stellate cell overexpression, PNPLA3<sup>I148M</sup> overexpression in hepatocytes can accelerate fibrosis in the context of NASH. This was further confirmed by the ability of conditioned media from hepatocytes overexpressing PNPLA3<sup>I148M</sup> to activate stellate cells. The two mechanisms are not mutually exclusive and it is likely that in humans with NASH, PNPLA3<sup>I148M</sup> contributes to fibrosis via both hepatocyte and stellate cell mechanisms.

As with most studies, this study too has limitations. The overexpression of PNPLA3 was not physiological. There are also differences in murine versus human lipid-metabolism

especially related to lipoproteins, cholesterol and bile acids. These may explain increased circulating triglycerides seen with PNPLA3<sup>I148M</sup> in the current model whereas decreased triglycerides have been reported in humans (36). Also, the potential effects of altered murine PNPLA3 expression cannot be distinguished in these studies although hepatic PNPLA3 expression is not very high in mice. Despite these differences, the current study provides several novel and specific insights on the mechanisms underlying PNPLA3<sup>I148M</sup>-associated acceleration of NASH and fibrosis.

In summary, the current study provides proof of concept that NASH with fibrosis can be accelerated by PNPLA3<sup>I148M</sup> but only under conditions of WDSW diet. The findings establish that this gene-environmental interaction leads to metabolic reprogramming, particularly affecting PUFA and sphingolipid metabolic pathways with downstream activation of inflammatory and cell injury pathways especially via STAT3 activation. They further demonstrate the ability of hepatocyte overexpression of PNPLA3<sup>I148M</sup> to activate stellate cells. These provide insights in to the biology underlying PNPLA3<sup>I148M</sup>-mediated NASH acceleration and direction for future studies.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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## Abbreviations:

<b>NAFLD</b>	Nonalcoholic fatty liver disease
<b>NAFL</b>	Nonalcoholic fatty liver
<b>NASH</b>	Nonalcoholic steatohepatitis
<b>PNPLA3</b>	Patatin-like phospholipase domain containing 3
<b>DIAMOND</b>	Diet-induced animal model of NAFLD
<b>AAV</b>	Adeno-associated virus
<b>Luc</b>	Luciferase
<b>WT</b>	Wildtype
<b>CDNW</b>	Chow diet/ normal water
<b>WDSW</b>	Western diet/ sugar water

<b>PUFA</b>	Polyunsaturated fatty acids
<b>EGTA</b>	Ethylene glycol tetra-acetic acid
<b>DMEM</b>	Dulbecco's modified Eagle's medium
<b>NAS</b>	Nonalcoholic fatty liver disease activity score
<b>GAPDH</b>	Glyceraldehyde-3-phosphate dehydrogenase
<b>ALT</b>	Alanine aminotransferase
<b>AST</b>	Aspartate aminotransferase
<b>DAG</b>	Diacylglycerols
<b>TAG</b>	Triacylglycerols
<b>Sptlc</b>	Serine palmitoyl CoA transferase
<b>Kdsr</b>	3-ketodihydrosphingosine reductase
<b>Smpd2</b>	Sphingomyelin phosphodiesterase 2
<b>Cers</b>	Ceramide synthetase
<b>IL-6</b>	Interleukin-6
<b>TNF-<math>\alpha</math></b>	Tumor necrosis factor alpha
<b>IRF-1</b>	Interferon regulatory factor 1
<b>RIG-1</b>	Retinoic acid-inducible gene I
<b>GRO-2</b>	Growth related oncogene 2
<b>ICAM-1</b>	Intercellular adhesion molecule 1
<b>STAT</b>	Signature of transducer and activator of transcription
<b>SAA1</b>	Serum amyloid A1
<b>HLA</b>	Human leukocyte antigen
<b>ROCK2</b>	Rho associated coiled-coil containing protein kinase 2
<b>PAX</b>	Paired box protein
<b>MLC</b>	Myosin regulatory light chain
<b>SREBP</b>	Sterol regulatory element-binding transcription factor
<b>FAS</b>	Fatty acid synthetase
<b>ACC</b>	Acyl CoA carboxylase
<b>ER</b>	Endoplasmic reticulum

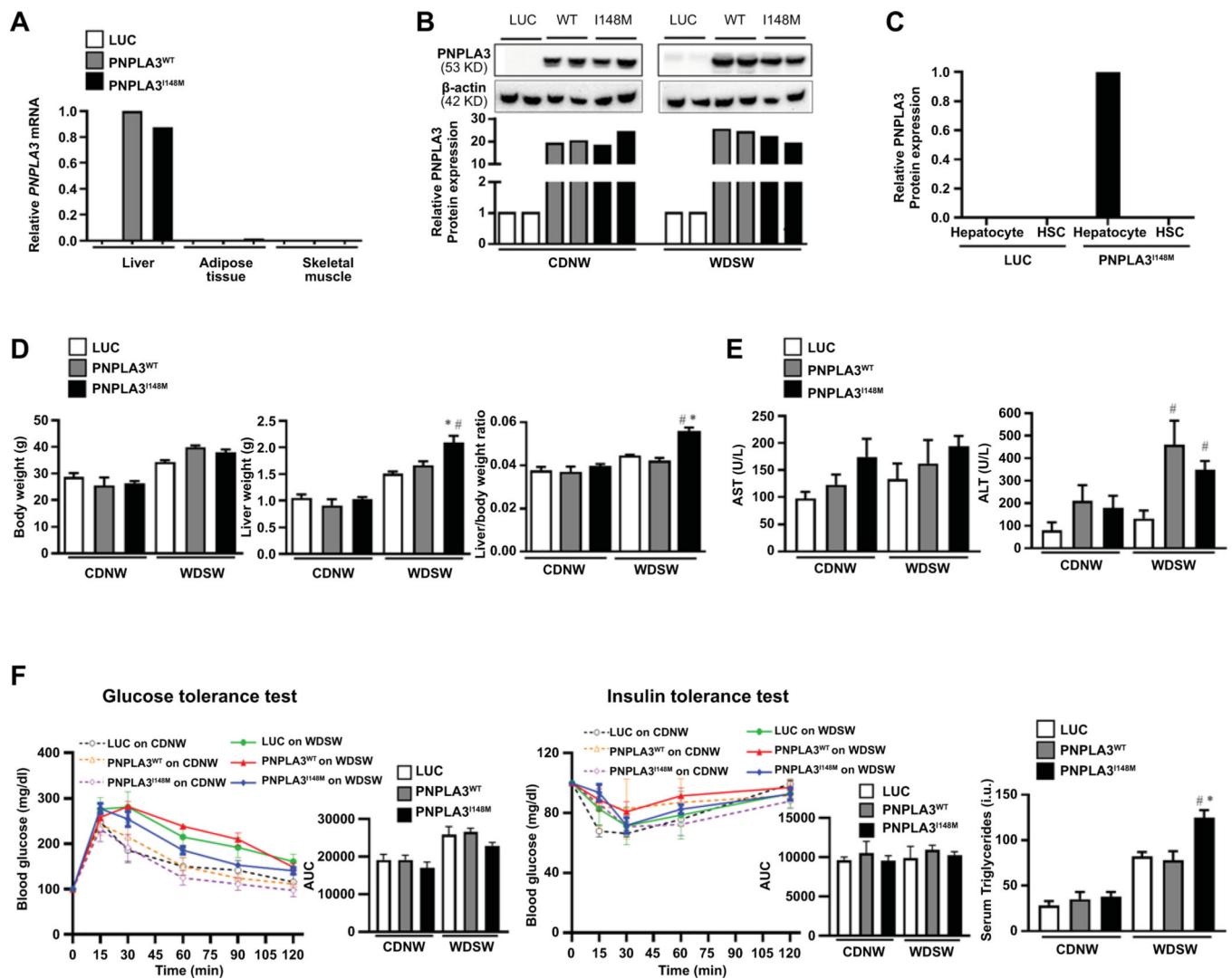
<b>Nrf2</b>	Nuclear factor erythroid
<b>Sod2</b>	Superoxide dismutase 2
<b>Grp-78</b>	Glucose related protein 78
<b>Atf-4</b>	Activating transcription factor-4
<b>Chop</b>	CCAAT-enhancer-binding protein homologous protein
<b>JNK</b>	c-Jun N-terminal kinase
<b>Col-<math>\alpha</math></b>	Collagen alpha
<b><math>\alpha</math>-SMA</b>	Alpha smooth muscle actin
<b>TGF-<math>\beta</math></b>	Transforming growth factor beta

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**Figure 1: AAV-mediated PNPLA3 expression is liver-specific and its effects are dependent on a high fat high sugar diet.**

(A) Liver-specific overexpression of human PNPLA3 gene in syngeneic C57Bl6J/129S1svlmJ mice (n=3 mice per group). Adeno associated virus (AAV) containing empty luciferase (luc), PNPLA3<sup>WT</sup>, or PNPLA3<sup>I148M</sup> was introduced by retro-orbital injection. Real-time PCR demonstrated high liver expression of both PNPLA3 forms with virtually no expression in other organs. (B) Mice were randomized to CDNW or WDSW ad libitum for 8 weeks after retro-orbital injection of the three AAV constructs. Western blot analysis was performed in liver lysates to determine PNPLA3 protein expression, which was normalized to AAV-luc on CDNW or WDSW. (C) Hepatocytes and stellate cells were isolated from the liver 2 weeks after injection of the AAV constructs. PNPLA3 was expressed in hepatocytes but not stellate cells confirming hepatocyte specific expression. (D) Mice were randomized to CDNW or WDSW after injection of the three AAV constructs. All mice on WDSW gained more weight than mice on WDSW. The liver weight and liver/body weight ratio was significantly increased in PNPLA3<sup>I148M</sup> mice compared to other groups on WDSW diet. (E) Plasma collected at the time of sacrifice was used to determine aminotransferase levels, with



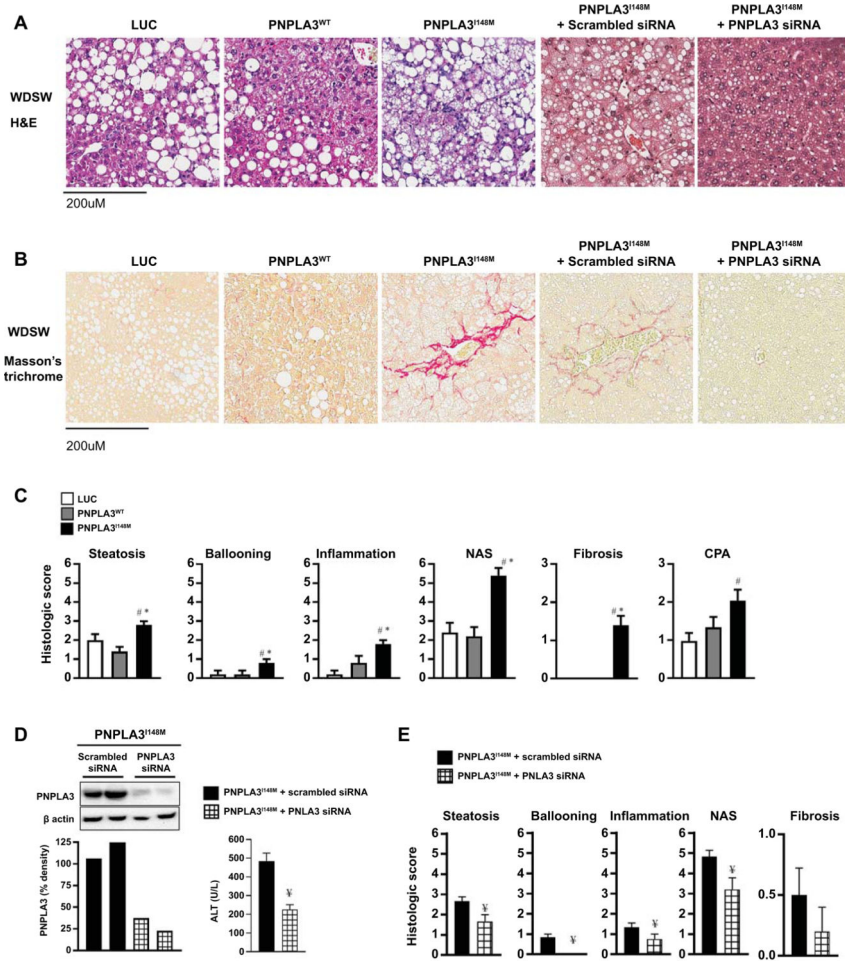
ALT reaching significance in PNPLA3<sup>WT</sup> and PNPLA3<sup>I148M</sup> mice on WDSW compared to luc. (F) There were no differences across the AAV-groups with respect to glucose homeostasis and insulin resistance measured by glucose tolerance test and insulin tolerance tests, however PNPLA3<sup>I148M</sup> mice had significant hypertriglyceridemia on WDSW compared to other groups. The mice data shown represent 8–10 mice per group unless previously indicated. # represents  $p < 0.05$  compared to luc on WDSW; \* represents  $p < 0.05$  compared to PNPLA3<sup>WT</sup> on WDSW.

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**Figure 2: PNPLA3<sup>I148M</sup> expression aggravates steatohepatitis and fibrosis which is reversed by PNPLA3<sup>I148M</sup> silencing.**

(A) Liver tissue collected at the time of sacrifice were sectioned for histological analysis; representative Hematoxylin and eosin (H&E) stained sections of liver tissue for mice on WDSW are shown. Whereas Luc and PNPLA3<sup>WT</sup> mice had a fatty liver, PNPLA3<sup>I148M</sup> mice developed steatohepatitis with fibrosis. The specificity of these findings were confirmed through siRNA-mediated silencing of PNPLA3<sup>I148M</sup> which resulted in only fatty liver despite feeding on WDSW, in contrast to PNPLA3<sup>I148M</sup> mice who received scrambled siRNA in which steatohepatitis and fibrosis were re-demonstrated. (B) Sirius Red staining showed that fibrosis developed only in PNPLA3<sup>I148M</sup> mice with or without scrambled siRNA. (C) Histological scoring of H&E sections showed that the severity of steatosis, ballooning, lobular inflammation, and NAFLD activity score were all significantly higher in PNPLA3<sup>I148M</sup> mice compared to Luc and PNPLA3<sup>WT</sup>. Similarly, scoring of Sirius Red stained sections showed that fibrosis stage and collagen proportional area (CPA) were increased only in PNPLA3<sup>I148M</sup> mice. (D) A Western blot demonstrating effective silencing of PNPLA3<sup>I148M</sup> after its initial overexpression in liver tissue is shown. Silencing PNPLA3<sup>I148M</sup> led to significant reduction in liver inflammation as demonstrated by reduced ALT. (E) Silencing PNPLA3<sup>I148M</sup> also reduced the severity of steatosis, ballooning, lobular inflammation, NAFLD activity score and fibrosis stage. Histological sections are

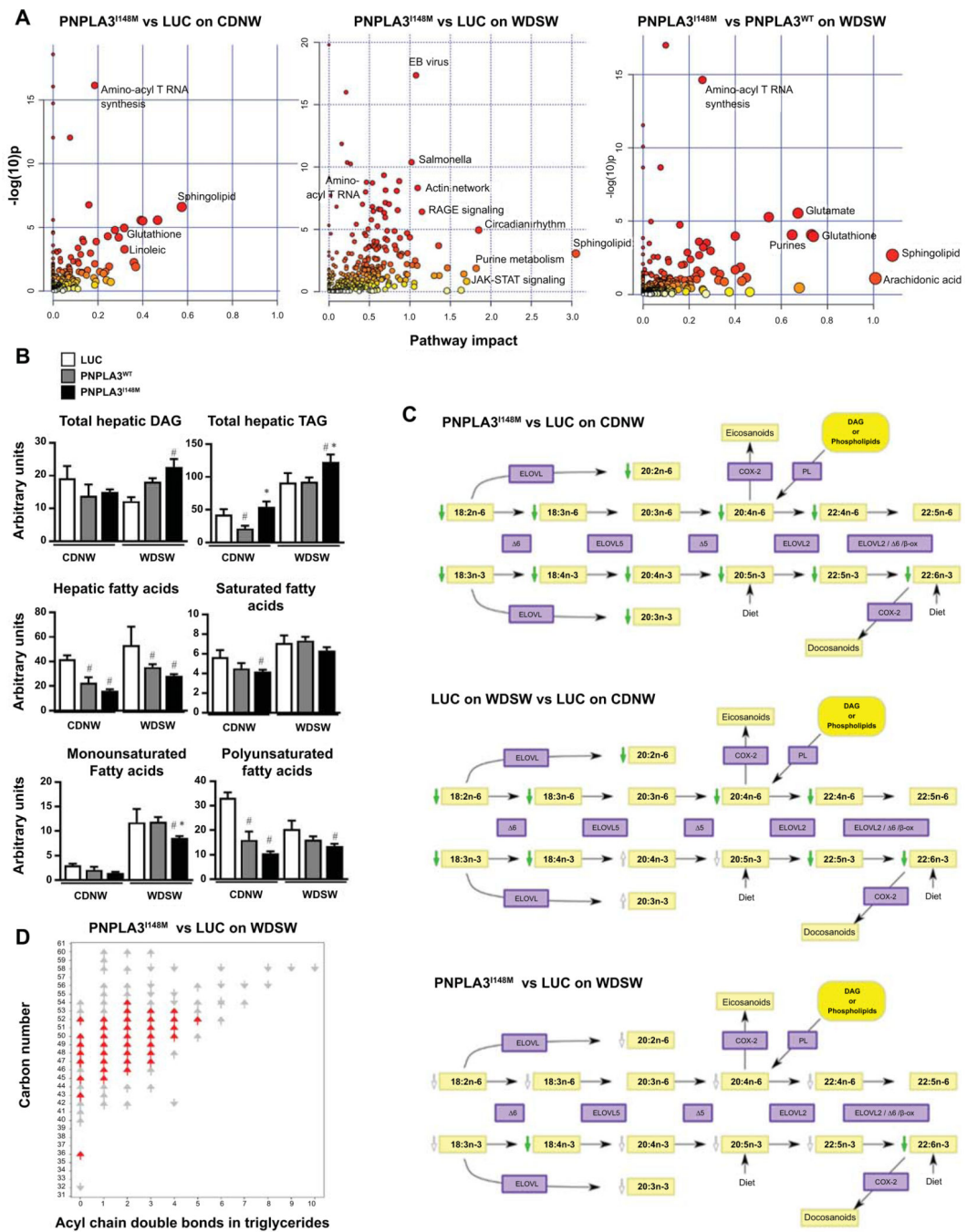
representative sections and the graphical data represent a total of 8–10 in each group for Luc, PNPLA3<sup>WT</sup> and PNPLA3<sup>I148M</sup> and 6 mice each for the experiment with scrambled sequence or siRNA administration. # represents p<0.05 compared to luc on WDSW; \* represents p<0.05 compared to PNPLA3<sup>WT</sup> on WDSW; ‡ represents p<0.05 compared to scrambled siRNA-PNPLA3<sup>I148M</sup>

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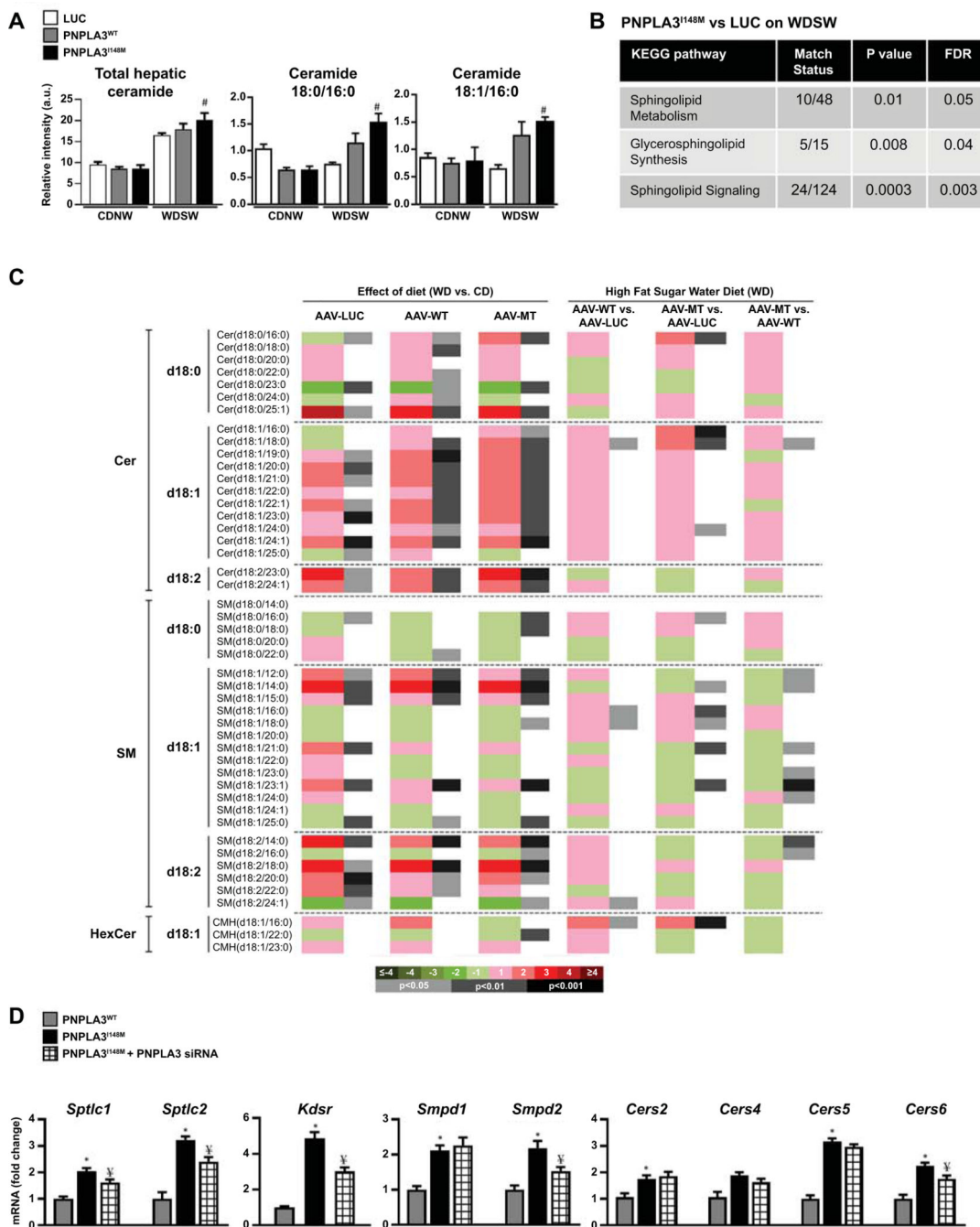
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**Figure 3: Metabolic reprogramming induced by overexpression of PNPLA3<sup>I148M</sup>.**

(A) Integrated analysis of metabolites and gene-expression data obtained from metabolomic and RNA seq analysis of liver tissue was performed. Under chow diet, the pathways most significantly altered (largest number of significantly altered metabolites and genes) by PNPLA3<sup>I148M</sup> (vs Luc) when plotted as a function of pathway impact (number of significantly altered pathways intersecting with differentially expressed genes and metabolites in a specific pathway on network analysis) were PUFA and sphingolipid metabolism (left panel). Under WDSW diet, there was a larger set of pathways, mainly

metabolic, that were both significantly altered and had a high impact score (middle panel). The specificity of these changes for PNPLA3<sup>I148M</sup> was tested by comparison to PNPLA3<sup>WT</sup> under WDSW diet (right panel). These confirmed that the main impact attributable to mutation of the PNPLA3 gene was a metabolic reprogramming with main effects on PUFA, glutathione and sphingolipid pathways. (B) Total DAG, TAG, FFA, and SFA, MUFA and PUFA are shown and demonstrate an increase in DAG and TAG, and a decrease in all fatty acid species in PNPLA3<sup>I148M</sup> mice on WDSW compared to the other groups on the same diet. (C) Significantly altered PUFA (shown by green arrows) in PNPLA3<sup>I148M</sup> vs Luc under chow diet are shown (top panel). Luc mice on WDSW diet vs CDNW demonstrated a major depletion of PUFA by a WDSW diet (middle panel). Additional changes in PUFA in PNPLA3<sup>I148M</sup> (vs Luc mice) on WDSW diet over and on top of changes induced by the diet itself were seen (bottom panel). Several PUFA were further decreased (shown in non-colored arrows) reaching significance for docosahexanoic acid (green arrow). (D) The depletion of PUFAs was accompanied by an increasing number of triglycerides double bonds. Data for 4–5 mice in each group are shown. # represents  $p < 0.05$  compared to luc on the same diet; \* represents  $p < 0.05$  compared to PNPLA3<sup>WT</sup> on the same diet.



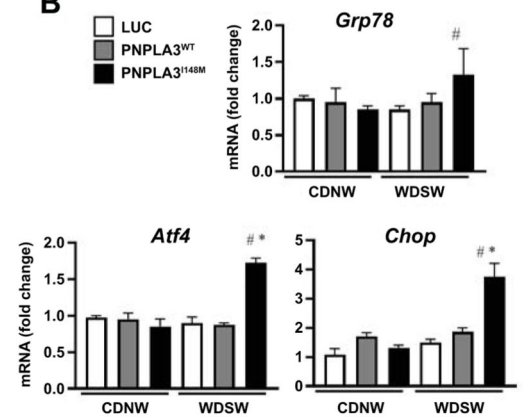
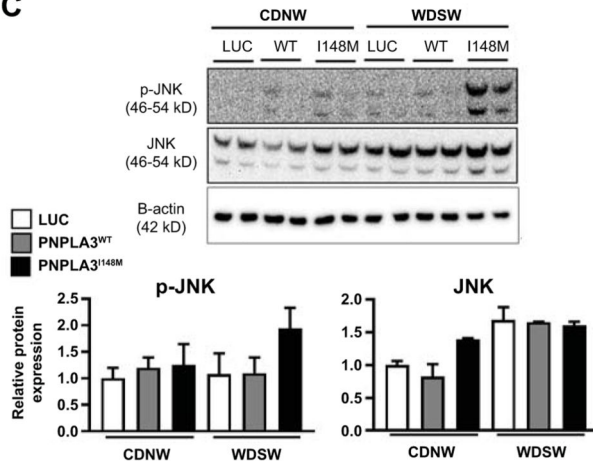
**Figure 4: PNPLA3<sup>I148M</sup> upregulates ceramides signaling.**

(A) Total ceramides were increased in all groups on WDSW diet, with PNPLA3<sup>I148M</sup> on WDSW demonstrating significantly increased in total ceramides including unsaturated and saturated ceramides compared to other groups. (B) KEGG pathway analysis between PNPLA3<sup>I148M</sup> and luc on WDWS demonstrate upregulation of sphingolipid signaling pathways. (C) A heat-map of altered sphingolipids is shown with effect of diet (WDSW vs CDNW) in Luc, PNPLA3<sup>WT</sup> and PNPLA3<sup>I148M</sup> mice (left three columns). Pairwise comparisons of groups on WDSW are also shown (right three columns). Both diet and

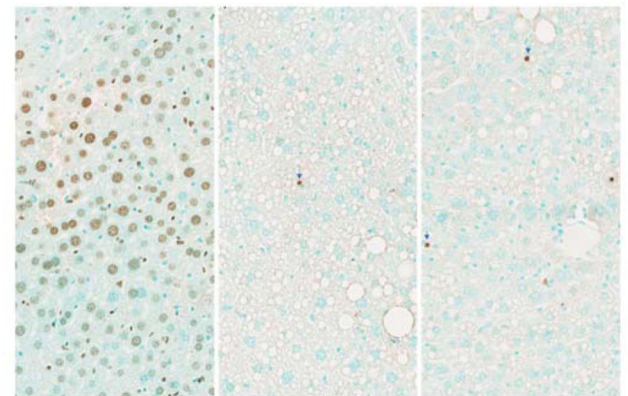
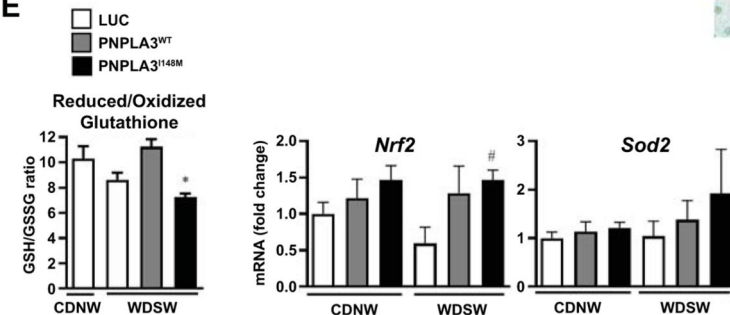
PNPLA3 expression remodel the sphingolipidome, with the greatest increase in ceramides seen in PNPLA3<sup>I148M</sup> mice on WDSW diet. (D) Real time PCR analysis of hepatic expression of genes involved in ceramide synthesis shows upregulation of several key genes involved in de novo synthesis, salvage pathway and sphingomyelinase in PNPLA3<sup>I148M</sup> mice compared to PNPLA3<sup>WT</sup> on WDSW. Silencing PNPLA3<sup>I148M</sup> resulted in downregulation of these genes, indicating that the observed increments were linked to PNPLA3<sup>I148M</sup> overexpression. Data from 4–5 mice in each group are shown. # represents  $p < 0.05$  compared to luc; \* represents  $p < 0.05$  compared to PNPLA3<sup>WT</sup>; † represents  $p < 0.05$  compared PNPLA3<sup>I148M</sup>

**A** PNPLA3<sup>I148M</sup> vs LUC on WDSW

KEGG pathway	Match Status	P value	FDR
Proteasomal function	28/46	1.5E-20	5.0E-18
Endocytosis	64/275	9.8E-17	1.1E-14
Phagosome activity	44/81	1.4E-12	1.1E-10
Lysosomal function	32/128	7.6E-10	2.7E-8
ER function-UPR	40/178	4.4E-11	2.4E-9
Amino-acyl T RNA synthesis	30/118	1.6E-9	4.9E-8
Circadian rhythm	11/32	1.1E-5	1.1E-4

**B****C****D** Positive control

## LUC

PNPLA3<sup>I148M</sup>**E****F** PNPLA3<sup>I148M</sup> vs LUC on WDSW

Purine	Log2 Fold change	P value
ADP	-0.89	8.6E-03
CMP	-0.94	1.4E-02
GMP	-0.87	7.4E-03

**Figure 5: PNPLA3<sup>I148M</sup> promotes ER stress, oxidative stress and cell death.**

(A) KEGG pathway analysis showed significant alteration of key cellular processes involved in ER stress and cell turnover in PNPLA3<sup>I148M</sup> mice versus Luc on WDSW. (B) Real time PCR confirmed significant upregulation of hepatic genes involved in ER stress, including GRP78, ATF4, and CHOP in mice overexpressing PNPLA3<sup>I148M</sup> compared to Luc and PNPLA3<sup>WT</sup>. (C) Despite similar levels of PNPLA3 protein expression, upregulation of ER stress as demonstrated by increase in phosphorylated JNK was specific to PNPLA3<sup>I148M</sup>, indicating what this was not simply a function of excess PNPLA3 protein synthesis. (D) This was accompanied by increased cell death shown by TUNEL assay in PNPLA3<sup>I148M</sup> mice. (E) PNPLA3<sup>I148M</sup> mice on WDSW showed increase in oxidative stress as evidenced by decrease in the reduced/oxidized glutathione ratio and increased expression of superoxide dismutase and nrf-2 genes. (F) There was also a depletion of multiple purines



including ADP, CMP, and GMP in PNPLA3<sup>I148M</sup> mice compared to luc on WDSW. Data from 4–6 mice in each group are shown.

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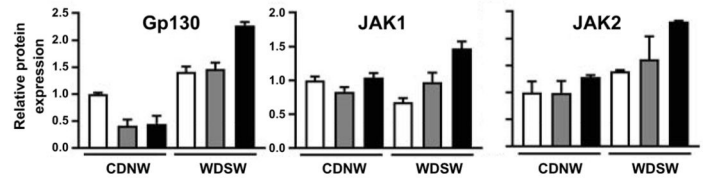
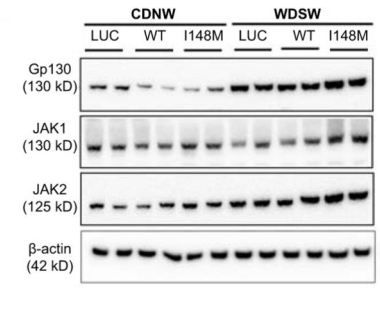
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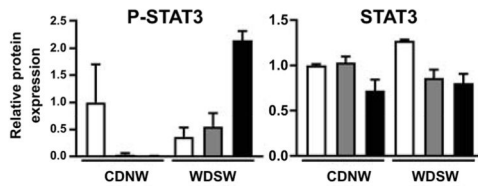
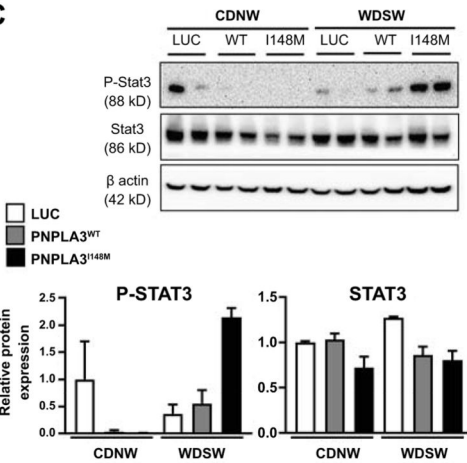
**A** PNPLA3<sup>I148M</sup> vs LUC on WDSW

KEGG pathway	Match Status	P value	FDR	JAK-STAT activated
EB virus infection	60/232	4.3E-18	7.0E-16	✓
Salmonella	26/79	4.1E-11	2.4E-9	-
Herpes infection	45/221	4.6E-10	1.8E-8	✓
Antigen processing	26/91	1.3E-9	4.5E-8	-
Influenza	36/169	7.3E-9	1.7E-7	✓
AGE/RAGE	25/110	3.8E-7	4.5E-6	✓
NOD signaling	35/215	9.7E-6	9.3E-5	✓

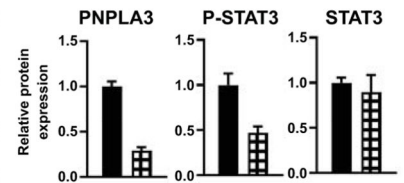
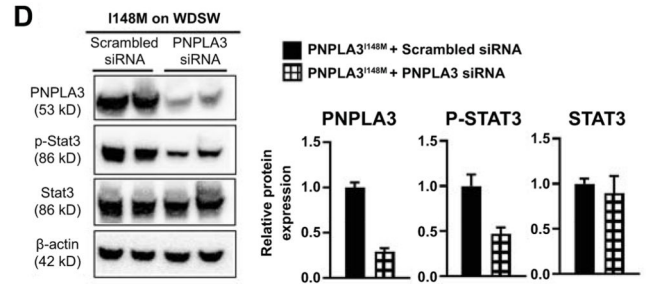
**B**



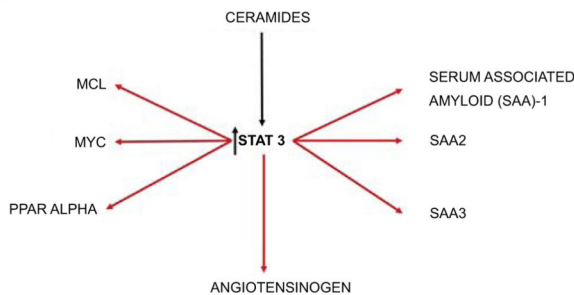
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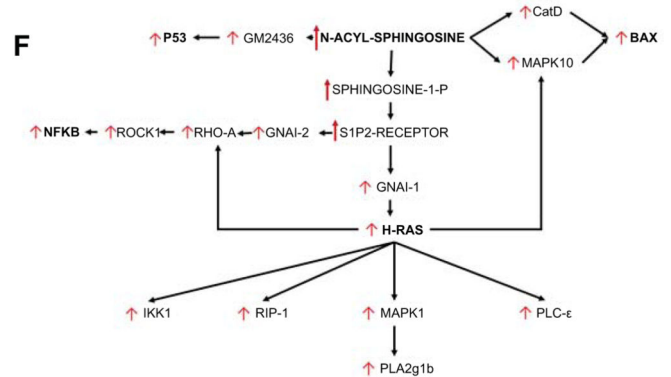
**D**



**E**



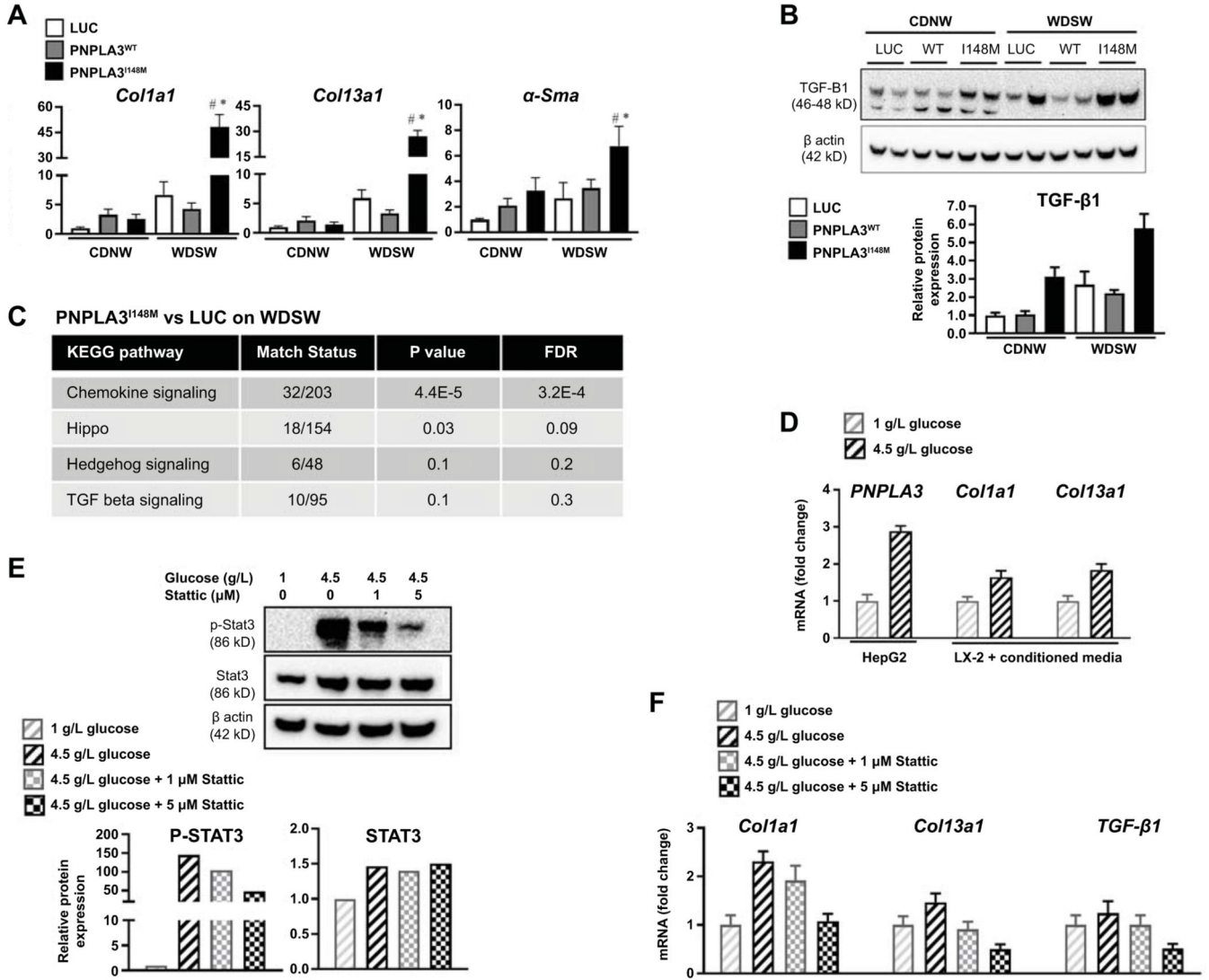
**F**



**Figure 6: PNPLA3<sup>I148M</sup> on high fat diet differentially upregulates innate immune system and inflammatory pathways.**

(A) KEGG pathway analysis of combined metabolite-gene expression pathways showed significant upregulation of immune-inflammatory pathways, with several pathways related to the innate immune system and adaptive immune system significantly activated in PNPLA3<sup>I148M</sup> (vs Luc) on WDSW diet. Most of these pathways included JAK-STAT activation as a component. (B and C): Activation of Gp130, JAK and STAT3 signaling was confirmed by Western blot analysis which showed increased protein levels in PNPLA3<sup>I148M</sup> mice on WDSW compared to the other groups. (D) The specificity of these findings for

PNPLA3<sup>I148M</sup> was further established by STAT3 inactivation following silencing of PNPLA3<sup>I148M</sup>. (E) Network analysis was performed to identify key metabolites linked to activation status of inflammatory pathways specifically activated by PNPLA3<sup>I148M</sup> overexpression under WDSW conditions (vs Luc and PNPLA3<sup>WT</sup>). A strong signal for STAT3 targets coordinately activated with STAT3 (at a transcriptomic level) and specifically linked to accelerated steatohepatitis was noted. (F) Similarly, multiple injury-inflammatory targets were activated coordinately with ceramides (specifically those immediately upstream of n-acyl sphingosine) that were linked to the accelerated histological phenotype of NASH in PNPLA3<sup>I148M</sup>. Data from 4–6 mice in each group are shown.



**Figure 7: PNPLA3<sup>I148M</sup> upregulates fibrogenic pathways which is reversed by silencing.** (A) Realtime PCR of liver tissue showed upregulation of procollagen I, III and  $\alpha$ -smooth muscle actin mRNA in PNPLA3<sup>I148M</sup> mice compared to luc and PNPLA3<sup>WT</sup> under WDSW conditions. (B) Western blot analysis showed upregulation of TGF- $\beta$  protein levels in PNPLA3<sup>I148M</sup> mice on WDSW. (C) KEGG pathway analysis showed differential upregulation of signaling pathways related to fibrosis, with chemokine and hippo pathway signaling reaching statistical significance. (D) Cross-talk between hepatocytes overexpressing PNPLA3<sup>I148</sup> and hepatic stellate cells was explored *in vitro*. HepG2 cells, known to express PNPLA3<sup>I148</sup>, were exposed to high glucose which resulted in upregulation of PNPLA3<sup>I148</sup> (left panel). Conditioned media from HepG2 cells treated with high or low glucose resulted in dose-dependent upregulation of procollagen I and 3 mRNA by LX2 cells (middle and right panel). (E) Treatment of HepG2 cells with a selective Stat3 inhibitor, Stattic, resulted in a dose-dependent decrease in Stat3 activation despite exposure to high

glucose. (F) Selective Stat3 inhibition resulted in a corresponding downregulation of procollagen 1 and 3 and TGF- $\beta$ 1 mRNA in HepG2 cells.

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