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# From Pure Antagonists to Pure Degraders of the Estrogen Receptor: Evolving Strategies for the Same Target

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ABSTRACT: Pure antiestrogens, or selective estrogen receptor degraders (SERDs), have proven to be effective in treating breast cancer that has progressed on tamoxifen and/or aromatase inhibitors. However, the only FDA-approved pure antiestrogen, fulvestrant, is limited in efficacy by its low bioavailability. The search for orally bioavailable SERDs has continued for nearly as long as the clinical history of the injection-only fulvestrant. Oral SERDs that have been developed and tested in patients ranged from nonsteroidal ER binders containing an acrylic acid or amino side chain to bifunctional proteolysis-targeting chimera (PROTAC) pure ER degraders. Structural evolution in the development of oral SERD molecules has been closely associated with quantifiable ER-degrading potency, as seen in the structural comparison analysis of acrylic acid and basic amino side-chain-bearing SERDs. Failure to improve on fulvestrant in the clinical trials by numerous acidic SERDs and early basic SERDs is blamed on tolerability and/or insufficient efficacy, which will likely be overcome by the new-generation basic SERD molecules and PROTAC ER degraders with improved oral bioavailability, low toxicity, and superior efficacy of receptor degradation.

## **ENTRODUCTION**

The estrogen receptor (ER) is an estrogen-inducible transcription factor that regulates the expression of target genes involved in metabolism, development, and reproduction. In the absence of estrogen, the receptor is associated with heat shock proteins that stabilize and protect the receptor and maintain the DNA binding region in an inactive state. Upon binding an estrogen, the receptor undergoes a conformational change that enables the dissociation from heat shock proteins and facilitates the formation of a receptor dimer. $1$  The homodimer creates new surfaces that recognize and bind to the estrogenresponse elements (EREs) of the DNA to activate cell-specific transcriptional responses in coordination with coregulatory proteins in a given cell.

The estrogen receptor is expressed in approximately 75% of all breast cancers which are dependent on estrogen stimulation for tumor growth. Tamoxifen became the first targeted therapy for breast cancer as an antagonist of the ER to block estrogenstimulated proliferation of breast tumor cells. However, it soon became clear that tamoxifen has tissue-selective agonist properties, $2a$  and clinical evidence of an estrogen-like stimulation of tumors by tamoxifen has been observed in

breast cancer patients in the beginning of tamoxifen treatment. $2b$ ,<sup>c</sup> This partial agonist activity limits the expression of antagonism and calls into question whether the therapeutic efficacy of tamoxifen could also be limited by its mixed action toward the estrogen receptor in different tissues.<sup>[2a](#page-7-0),[d](#page-7-0)−[f](#page-7-0)</sup> Indeed, many of the side effects of tamoxifen were believed to result from its partial agonist activity observed in the clinic as well as in laboratory animal models. Complete endocrine ablation by novel molecules that are only antagonistic ER binders devoid of agonistic activity would overcome tamoxifen resistance in breast cancer therapy.

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ICI 160,325 R = 7:3 α:β - (CH<sub>2</sub>)<sub>10</sub>CONH(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub> ICI 163,964 R = 7 $\alpha$  - (CH<sub>2</sub>)<sub>10</sub>CONH(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub> ICI 164,275 R = 7β -  $\overline{(CH_2)_1}$ <sub>0</sub>CONH $\overline{(CH_2)_3}$ CH<sub>3</sub> ICI 164,384 R = 7 $\alpha$  - (CH<sub>2</sub>)<sub>10</sub>CON(CH<sub>3</sub>)(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>



Fulvestrant (ICI 182,780)

Figure 1. Structures of pure antiestrogens.

#### **ENDINGERY PURE ANTIESTROGENS**

In efforts to synthesize pure antiestrogens that have high affinity toward the estrogen receptor but with little or no agonist activity, a program of medicinal chemistry at ICI (Imperial Chemical Industries, now AstraZeneca) utilized the molecular scaffold of  $7\alpha$ - substituted estradiol reported by the French researchers as an effective ER-binding absorbent, which led to the discovery of  $7\alpha$ -estradiol analogues with long-chain alkyl substituents that have the desired profile of activity.<sup>[3a](#page-7-0)</sup> Chemical structures of the four compounds reported from their study are illustrated in Figure 1. These compounds were shown to be devoid of estrogenic activity and achieved a complete antagonism of estrogen action. The most potent analogue, ICI 164,384, blocked the uterotrophic action of both estradiol and tamoxifen in female rats.<sup>3a–[c](#page-7-0)</sup> They also found that in MCF-7 and ZR-75-1 breast cancer cells ICI 164,384 was a more potent inhibitor of cell growth, consistent with the greater binding affinity of ICI 164,384 for the rat uterus estrogen receptor than that of tamoxifen.

While the properties of ICI 164,384 satisfy key criteria which define pure antiestrogens, the ICI laboratory went on to identify a more potent pure antiestrogen,  $7-\alpha$ -[9-(4,4,5,5,5pentafluoropentylsulfinyl)nonyl]estra-1,3,5-(10)-triene-3,17-β-diol, or ICI 182,780 (fulvestrant, Figure 1).<sup>[4](#page-7-0)</sup> Compared to ICI 164,384, this new antiestrogen was found to have ∼5-fold higher ER binding affinity and antiproliferative activity, 10-fold greater antiuterotrophic potency, and significantly greater efficacy in blocking xenograft tumor growth in mice. In this first report of fulvestrant, the poor oral bioavailability was already noted, and a parenteral depot formulation in arachis oil with an extended duration of action was used to demonstrate antitumor efficacy in xenograft models.

The unique action of estrogen receptor downregulation by the pure antiestrogens was discovered shortly after the primary candidate, ICI 182,780, was developed for clinical trials. In the investigation of mechanism of action of the antiestrogen ICI 164,384, Korach and co-workers<sup>5a</sup> used a mouse model system to reveal the effects on uterine function, as measured by DNA and protein syntheses, the temporal pattern of ICI binding to the ER, and the DNA-binding capacity of the native uterine ICI−ER complexes. Measurement of uterine nuclear ER and cytosolic levels by exchange binding assay indicated a reduction in total ER levels within 0.5 h after ICI treatment, which remained below 20% for 24  $h$ .<sup>[5a](#page-7-0)</sup> In another mechanistic study to investigate whether ICI 164,384 prevented DNA binding, Parker and co-workers<sup>[5b](#page-7-0)</sup> found that ICI 164,384 treatment caused a decrease in cellular content of estrogen receptor protein by markedly reducing its half-life from about 5 h in the presence of estradiol to <1 h by ICI 164,384. They

proposed that this might be caused by impaired receptor dimerization.<sup>[5b](#page-7-0)</sup> The study concluded that  $(1)$  the effect of ICI 164,384 is not on estrogen receptor mRNA but on the receptor protein itself; (2) the "pure" antiestrogen ICI 164,384 reduces the cellular content of the estrogen receptor by increasing its turnover; (3) ICI 164,384 binds to the same region of the receptor and sterically interferes with ER dimerization; and (4) a side-chain length of 16−18 atoms was optimal for both the inhibitory effects of antiestrogens on dimerization and DNA binding.

The degradation effect of pure antiestrogens on ER protein was soon confirmed in clinical trials. In the first trial to test the tolerance, pharmacokinetics, and short-term biological effects of seven daily doses of a short-acting formulation of ICI 182,780 in postmenopausal women prior to surgery, $6$  treatment with ICI 182,780 was associated with significant reductions in the tumor expression of ER (median ER index, 0.72 before versus 0.02 after treatment;  $P < 0.001$ ), progesterone receptor (median progesterone receptor index, 0.50 before versus 0.01 after treatment;  $P < 0.05$ ), and Ki67 (median Ki67 labeling index, 3.2 before versus 1.1 after treatment; P < 0.05). Treatment with ICI 182,780 also resulted in a significant reduction in pS2 expression ( $P < 0.05$ ), but this appeared unrelated to tumor ER status.

Subsequent clinical trials confirmed fulvestrant efficacy in treating patients with recurring disease upon tamoxifen treatment, leading to FDA approval of the drug in 2002 as a second line endocrine therapy for metastatic or advanced breast cancer.<sup>[7a](#page-7-0)−[e](#page-7-0)</sup> In this setting, pure antagonism and receptor degradation were proven to be as effective as aromatase inhibitors (AIs) which shut off peripheral production of estrogen in postmenopausal patients. Moreover, when breast cancer progresses on AI treatment, response to fulvestrant was also [c](#page-7-0)linically demonstrated, $8a-c$  $8a-c$  further establishing the clinical utilities of fulvestrant after tamoxifen and AI treatment failures.

#### ■ ORAL SERDS: ACIDIC AND BASIC SERDS

While the mode of action by a pure antiestrogen devoid of agonist activity in any tissue was proven clinically effective in patients progressing on tamoxifen or aromatase inhibitors, much was still left to be fulfilled. At the approved dose of a 250 mg monthly injection, fulvestrant was similar but not superior to tamoxifen or AI (anastrozole), possibly due to insufficient drug exposure and its inherent pharmacokinetic limitations arising from the unique molecular feature of a steroid with a long hydrophobic aliphatic derivative. The search continued for novel antiestrogens that have better antagonist/agonist profiles and are not cross-resistant to tamoxifen. The development of second- and third-generation ER antagonists,



Figure 2. Structures of orally available SERDs with an acrylic acid functional group.

now collectively termed selective estrogen receptor modulators (SERMs), greatly expanded the structural diversity of ER binding molecules and deepened our understanding of ERmediated carcinogenesis and therapeutic intervention strategies. These new-generation SERMs, such as the benzothiophene-based raloxifene, indole-based bazedoxifene, and tetrahydronaphthalene-based lasofoxifene, share several important functions including acting as an antagonist in the breast tissue, the lack of uterotrophic property, and protecting the bone. Unfortunately, all exhibited cross-resistance to tamoxifen and failed to show superiority over tamoxifen in the [c](#page-7-0)linic. $9a-$ 

One SERM, GW5638 (Figure 2), discovered through tissue-selective screening of synthetic triphenylethylenes<sup>[10a](#page-7-0)</sup> showed distinct pharmacology of full agonist activity in bone but antagonist activity in the rat uterus. GW5638 induces a unique structural change in the ER distinct from that induced by tamoxifen and is not cross resistant to tamoxifen.<sup>[10b](#page-7-0)</sup> Upon binding to the ER LBD, GW5638 relocates the carboxyterminal helix (H12) to the known coactivator-docking site and repositions residues in H12, increasing the exposed hydrophobic surface of ER LBD. The resulting destabilization of ER may explain GW5638's ability to induce degradation of the ER, although less effectively than ICI 182,780.<sup>[10c](#page-7-0)</sup> In a study of GW7604, the more active hydroxylated metabolite of GW5638, it was found that the extent to which GW7604 bound ER was ubiquitinated was not significantly different from the basal level, whereas the ICI-bound ER was heavily ubiquitinated.<sup>10d</sup> The mechanism by which GW7604 mediates degradation is different from that of ICI 182,780 and suggests that other factors besides ubiquitination and transcriptional activation can influence the rate at which ER degradation occurs. The antiestrogenic and ER degradation activities of GW5638 and the lack of cross-resistance to tamoxifen in a tamoxifen-like molecule draw analogy to the reversal of function from estrogen to fulvestrant, an estrogen derivative in that the  $7\alpha$ -alkyl substitution of estrogen changed the molecule to a pure antiestrogen. Indeed, a study by Fan et al. showed that the acrylic acid moiety in GW5638 was key to impart the ER downregulation activity.<sup>[10e](#page-7-0)</sup>

These findings informed further development of structurally similar, preclinically improved GW5638-like molecules (Figure 2) that were now collectively referred to as oral  $SERDs<sub>i</sub>$ <sup>[11](#page-7-0)</sup>

reflection of the well-established therapeutic modality of fulvestrant as an effective ER degrader and the urgent need to improve its lack of oral bioavailability and low drug exposure. These GW5638-like molecules were shown to have greater antiestrogenic and ER degradation potency than GW5638 and are non-cross-resistant to tamoxifen and AI in various breast tumor models. GDC-0810 and AZD9496 were the first two to enter clinical trials in 2013 (NCT01823835, NCT02248090), well over a decade after the inconclusive clinical trial of  $GW5638.<sup>1</sup>$ 

The GDC-0810 molecule retained the core structure of GW5638 with modifications on the triphenylethylene moiety to achieve similar activities but greater drug exposure compared to GW7604, the more active metabolite of GW5638.[12a](#page-8-0) However, when GDC-0810 was compared with fulvestrant in a phase 2 trial (NCT02569801), it failed to show comparable or superior efficacy, and the study was terminated. AZD9496 employed a novel binding motif of substituted aryl indole to achieve greater binding affinity to both wild-type and mutant ER than fulvestrant. In a diverse panel of clinically relevant breast tumor models, AZD9496 was shown to inhibit ER+ breast cancer cell proliferation and block tumor growth in endocrine-resistant, ESR1 mutant breast cancer models more efficaciously than fulvestrant.<sup>[12b](#page-8-0)–[d](#page-8-0)</sup> Despite this preclinically observed advantage over fulvestrant, in a randomized window of opportunity study comparing AZD9496 with fulvestrant in patients with ER+, HER2− primary breast cancer, the oral SERD was inferior to fulvestrant in both anticancer efficacy and reduction of ER and PR expression.<sup>[12e](#page-8-0)</sup> Other similar oral SERD candidates, such as LSZ102 and G1T48, were soon found unable to move beyond phase 1 studies in the clinical trials. An important clinical observation also emerged that the acidic SERDS all presented a gastrointestinal tolerability issue in early phase studies.

At the time when several clinical trials of GDC-0810 and AZD9496 showed early signs of difficulty in meeting primary end points, a basic SERD, RAD1901 (elacestrant), was showing promising results in its phase 1 studies.<sup>[13a,b](#page-8-0)</sup> Attention and hope for a clinically viable oral SERD quickly shifted to compounds with a basic side chain replacing the acrylic acid in these recently tested molecules.<sup>[14a,b](#page-8-0)</sup> Partial ER-degrading activities have been observed in some of the new-generation SERMs like bazedoxifene and RAD1901 [\(Figure 3](#page-3-0)) but not

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Figure 3. Structures of oral SERDs with a basic side chain.

raloxifene or lasofoxifene.[11,](#page-7-0)[15a](#page-8-0)−[c](#page-8-0) Bazedoxifene was shown to downregulate WT, Y537S, and D538G somatic mutant ERs in MCF-7 cells by inducing a conformational change in ER that is distinct from fulvestrant or GW5638.<sup>[15a](#page-8-0),[16](#page-8-0)</sup> Recent reviews have covered current progress in SERDs, both steroidal derivatives and nonsteroidal molecules as SERDs for the treatment of breast cancer.<sup>[17](#page-8-0)</sup> The SERD properties of bazedoxifene are thought to arise from its disruption of helix 12 which appears displaced out of the AF-2 cleft into a less stable orientation.<sup>16</sup> Figure 4 shows the repositioning of H12 upon bazedoxifene (antagonist) binding to the ligand binding domain of  $ER\alpha$ compared to the estradiol-bound (agonist) ER. In an antagonist-bound conformation, H12 is reoriented to occupy the LXXLL motif-mediated coactivator binding site within the



Figure 4. Comparison of the crystal structures of  $ER\alpha$  in active (agonist-bound) and inactive (antagonist-bound) conformations. (A) Active form when bound to Estradiol (E2) and a short peptide from TIF2 transcriptional coactivator bearing canonical LXXLL motif (PDB code: 1GWR) and (B) inactive form when bound to bazedoxifene (BZA) (PDB code: 4XI3). In the antagonist-bound conformation, H12 is repositioned to occupy the coactivator binding groove.

ligand binding domain and reduces or blocks the ability to recruit coactivators and their normal functioning.

Optimization of the binding motif and the helix-12 destabilizing side-chain structure led to the discovery of a diverse group of orally bioavailable SERDs bearing an amino side chain. These novel molecules showed greater ER degradation and antiestrogen activities than the first-generation nonsteroidal SERDs like GDC-0810 and the SERM/SERD compounds like RAD1901 and bazedoxifene. For example, Genentech's GDC-9545 (Figure 3) was developed to address the poor clinical performance of the acrylic acid SERD GDC-0810 (unmet efficacy end point and adverse effects) and the company's first-generation basic SERD GDC-0927 (bioavailability). It is highly potent in competing with estradiol for binding and in driving an antagonist conformation within the ER ligand binding domain, induces ER turnover, and suppresses ER transcriptional activity, resulting in robust antiproliferative activity [\(Table 1\)](#page-4-0). GDC-9545 was shown to have greater in vivo efficacy compared to GDC-0927 and fulvestrant.<sup>[18](#page-8-0)</sup> GDC-9545 is currently being evaluated in multiple phase 1 and phase 2 clinical trials (NCT03332797, NCT04436744, and NCT04576455).

Compared to acrylic-acid-containing oral SERDs that do not degrade ER equally in different ER+ cell lines, the basic SERDs were optimized to deliver maximal  $ER\alpha$  degradation across multiple ER+ cell lines, a feature possessed [b](#page-8-0)y fulvestrant.<sup>19a,b</sup> Improving on the preceding oral SERD, AZD9496, AstraZeneca's new compound, is a potent ER degrader in not only MCF-7 cells but also CAMA-1, T47D, and BT474 cells that express ER. In several patient-derived and cell line xenograft models, including models with clinically relevant ESR1 mutations, AZD9833 was shown to block tumor growth more efficaciously than fulvestrant. Furthermore, in an ESR1 wild-type and an ESR1 D538G PDX model, AZD9833 demonstrated benefits in combination with palbociclib. AZD9833 has progressed into a multistage monotherapy and

## <span id="page-4-0"></span>Table 1. Selected SERD Properties



palbociclib combination for the first time in patient clinical trials, SERENA-1 (NCT03616587).

Compared to AZD9496, the basic amino side chain bearing AZD9833 is a better ER $\alpha$  degrader. Figure 5 shows an overlay



Figure 5. Overlay of the X-ray crystal structure of  $ER\alpha$  in complex with AZD9496 (green compound and purple ribbon) (5ACC) and docked model of  $ER\alpha$  in complex with AZD9833 (blue compound and purple ribbon). Amino acids that make hydrogen bonds with the protein and key hydrophobic residues on H12 and H3 that are in the hydrophobic interface are shown in the stick model. Distances between the side chain  $\delta C$  of Leu539 and the side chains of AZD compounds are 3.5 and 6.7 Å, for the fluorine atom of AZD9833 and the carboxyl of AZD9496, respectively.

of the crystal structure of ERα-AZD9496 and a docked structure of ER $\alpha$ -AZD9833 complexes. The model of the ER $\alpha$ in complex with AZD9833 was built using the coordinates of ER $\alpha$  from the crystal structure of the ER $\alpha$ -AZD9496 complex (PDB: 5ACC). After adding the missing loops and side chains during the protein preparation setup, docking studies were performed using the Glide software<sup>20a</sup> and employing the OPLS3e force  $\text{field}^{20b}$  $\text{field}^{20b}$  $\text{field}^{20b}$  with a flexible ligand sampling and a

standard precision mode. The core ligand structures of both compounds bind in a very similar manner. The long basic amino side chain of AZD9833 is pushed further against the Nterminal of H12 reaching up to Leu539, including Val534 and Pro535. Distances between the side chain  $\delta C$  of Leu539 and the side chains' fluorine of AZD9833 and carboxyl of AZD9496 are 3.5 and 6.7 Å, respectively. This closer interaction with AZD9833 could propagate to H12 and displace it out of the AF-2 cleft into a less stable orientation.

To understand the structural basis for the increased degradation of ER $\alpha$  by AZD9833 when compared to the same by AZD9496, explicit solvent all-atom molecular dynamics (MD) simulations were carried out with the Desmond program<sup>20c</sup> for 100 ns using the crystal structure of ERα-AZD9496 and docked structure of ERα-AZD9833 solvated with the SPC water model and neutralized by adding counterions (i.e., Na+ /Cl<sup>−</sup>) in an orthorhombic box under periodic boundary conditions. The default Desmond protocol was used for minimization and relaxation using the OPLS3e force field. MD simulations were run for 100 ns in the NPT ensemble with a 300 K Nose−Hoover thermostat and 1 atm pressure, by saving trajectories at a 50 ps interval. The backbone root-mean-square deviations (RMSDs) of the proteins in both systems are below 2.7 Å during the entire 100 ns simulation [\(Figure 6](#page-5-0)A). However, in the AZD9833 complex the RMSD is about 0.5 Å larger than that in AZD9496 during the last 20 ns, which indicates that the protein is slightly more flexible when bound to AZD9833 than to AZD9496. Root-mean-square fluctuations (RMSFs) of aligned residues show that the fluctuations mainly arise from the loop regions in the protein [\(Figure 6B](#page-5-0)).

Comparison of the initial and final structures showed (figure not included) that the basic amino side chain of AZD9833 continues to push against the N-terminal H12, especially against Leu539 (Leu539:δC-AZD9833:F (fluoropropyl) distance 3.5−3.7 Å vs Leu539:δC-AZD9496:O (carboxyl) distance 6.7−8.6 Å). In both systems, H12 and the Nterminals of H11 and H3 were found to move but less in AZD9496-bound ER $\alpha$  compared to the AZD9833-bound ER $\alpha$ . The concerted movement of these helices can disturb the

<span id="page-5-0"></span>

Figure 6. (A) Backbone root-mean-square deviations of the protein in the 100 ns MD simulations for ERα-AZD9833 (maroon) and ERα-AZD9496 (blue). (B) Root-mean-square fluctuations of ERα LBD residues in the 100 ns MD simulations for ERα-AZD9833 (maroon) and ERα-AZD9496 (blue).



Figure 7. PROTAC ER degraders.

hydrophobic surface. Lys362 from H3 plays a key role in the antagonistic activity of  $ER\alpha$ <sup>[21a](#page-8-0)</sup> In an antagonist-bound ER, relocation of H12 to the coactivator binding site prevents the recruitment of the transcription complex by blocking the critical residue, K362, required for coactivator recruit-ment.<sup>[21b](#page-9-0)-[d](#page-9-0)</sup> Movement of helix 12 during the MD simulations has shown to provide access to Lys362 for any possible ubiquitination.

This comparison study shows that the level of exposure of the hydrophobic surface seems to depend upon the length and type of the side chain and its interaction with the N-terminus of H12. This effect is prominent when side chains are extended as in the case of AZD9833 that could disrupt the beneficial positioning of H12 by steric hindrance. The distal functional group in the core ligand, like the bulky 2-fluoro-2-methyl group in AZD9496 and 2,2,2,-trifluoroethyl in AZD9833, seems to play a role in the movement of N-terminal H11 that can ease the recognition of ubiquitin by the ubiquitin binding domain on H8 of  $ER\alpha$  that is parallel to H11. Any change in the positioning of H11 can also affect the dimerization of  $ER\alpha$ because H11 is part of the ER $\alpha$  dimer interface. Thus, the disruption of H12, inhibition of dimerization, and easy access of the UBD and Lys362 for ubiquitination followed by proteasomal degradation seem to be responsible for the antagonistic activity of SERDs. Based on the comparison studies and the literature,<sup>17</sup> SERDs with a basic amino side

chain carrying a hydrocarbon chain, preferably with a terminal fluoropropyl, like in AZD9833, GDC0927, SAR439859, GDC9545, and GNE149, seem to be more suitable for increasing the surface hydrophobicity, thereby to engage H12 more efficiently. The comparative computational docking and molecular dynamics studies of AZD9833 and AZD9496 showed a closer interaction with H12 for the basic amino side chain bearing AZD9833 (Leu539:δC-AZD9833:F (fluoropropyl) distance =  $3.5-3.7$  Å) than for the acrylic acid side chain bearing AZD9496 (Leu539:δC-AZD9496:O (carboxyl) distance =  $6.7-8.6$  Å). ER $\alpha$  surface hydrophobicity could further be increased by increasing the hydrophobic chain length of the amino side chain by 1 or 2 carbon lengths without hindering H12. This could be achieved either by changing the fluoropropyl to fluorobutyl or fluoropentyl or by changing the four-membered azetidine ring to the fivemembered pyrrolidine or six-membered piperidine ring. These chemical modifications likely engage H12 more efficiently to further increase the surface hydrophobicity and in turn the ER $\alpha$  degradation potency.

The SERDs with a basic side chain now appear to be better degraders of the ER than the acrylic acid analogues. They also exhibit a more desirable agonist/antagonist profile than the acidic SERDs ([Table 1](#page-4-0)). How these new SERDs act in bone is yet unclear, and thus these compounds remain to be classified pure antiestrogen as defined by the steroidal antiestrogens. <span id="page-6-0"></span>While high potency partial antagonism can be achieved with nondegrading SERMs like 4-OHT and lasofoxifene,<sup>[24a](#page-9-0)-[d](#page-9-0)</sup> a pure antiestrogen that lacks agonistic activity across ER expression tissues without simultaneously degrading the ER has yet to exist, which poses an important, consequential question: can pure antagonism be achieved by sustainable ER degradation only? In other words, can a pure ER degrader function as a pure antiestrogen?

### ■ PURE DEGRADERS

The question seems to have found an answer in the emerging targeted protein degradation technology called proteolysistargeting chimeras (PROTACs). ER PROTACs are heterobifunctional molecules comprising an ER-binding warhead linked to an E3 ligase binding motif that facilitates the ubiquitination and subsequent degradation of ER via the proteasome. The ER-binding warhead can be a SERM moiety which does not induce hydrophobic surface exposure and results in receptor degradation [\(Figure 7](#page-5-0)). Rather, the PROTAC molecule engages an E3 ligase to ubiquitinate ER and degrades it in a catalytic manner. Thus, pure antagonism of ER is realized by elimination of the receptor, rather than conformational changes of ER to block recruitment of cofactors required for ER transcriptional activation. In this case, complete blockade of all stimulatory actions of estrogens is achieved by degradation of the receptor. PROTAC ER degraders have been shown to rapidly and completely eliminate intracellular levels of the receptor, thereby completely abrogating ER signaling.<sup>[23b,c](#page-9-0)</sup> They can degrade wild-type as well as mutant ER, provided the ER binding ligand retains sufficient affinity for both.[25a](#page-9-0) The rapid progress in ER PROTAC development culminated in a first-in-class, orally bioavailable ER degrading agent, ARV-471, that entered clinical trials in 2019 (NCT04072952).

The unique mechanism underlying the PROTAC approach offers several pharmacological advantages that could be translated to clinical benefits in ER-targeted therapy. PROTAC-induced rapid and complete degradation of ER protein eliminates any ligand-dependent (AF2) or ligandindependent (AF1) agonism. PROTAC action is event-driven as opposed to occupancy-driven in the inhibitory setting; thus, only a transient binding event is required for degradation, and the PROTAC molecules can cycle through multiple rounds of activity, removing substoichiometric quantities of proteins. These promising attributes of a PROTAC ER degrader appear to be borne out in the first clinical trial results where ER degradation and clinical benefits are observed in heavily pretreated patients. $25$ 

## ■ PERSPECTIVE

In perspective, the effort to overcome tamoxifen resistance began with the search for a pure antiestrogen that was quickly identified in the estradiol-modified molecule known as ICI 182,780. The steroidal molecule was found to have no agonist activities in any tissue and increased rapid turnover of the receptor, yet also lacked significant oral bioavailability. The ensuing quest for oral SERDs looked for two desired properties: (1) oral bioavailability and (2) high potency in ER antagonism and degradation. A large number of novel molecules have since been discovered and tested in breast cancer models that meet the oral SERD criteria, only to fail in clinical trials, either due to tolerability or insufficient efficacy.

Improving on ER degrading and toxicity profile, some of the latest oral SERDs have gone further in human trials where safety and efficacy are still under evaluation. In a parallel development, PROTAC molecules have emerged as potent antiestrogens by effectively degrading the ER. As the PROTAC molecules utilize the known and clinically tested ER-binding motifs like raloxifene and lasofoxifene, clinical data have so far indicated no toxicity liability; thus, it is likely that the decadeslong quest for a pure antiestrogen that has oral bioavailability and ER degrading pharmacology may have found the solution in the form of a PROTAC ER degrader.

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#### **Notes**

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## ■ NOTE ADDED AFTER ASAP PUBLICATION

This paper was published ASAP on March 30, 2021, with an incorrect compound listed in the "Oral SERDS: Acidic and Basic SERDS" section. The corrected version was posted on April 13, 2021.