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## Circadian Rhythm in Negative Affect: Implications for Mood Disorders

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### Abstract

In humans, there is an endogenous, near 24-hour (i.e., circadian) variation in mood with the best mood occurring during the circadian day and the worst mood occurring during the circadian night. Only positive affect, and not negative affect, has been shown to contribute to this circadian rhythm. We discovered a sharp circadian peak in negative affect during the circadian night coincident with a circadian trough in positive affect. These findings may help explain the association of depression with insomnia, the increased risk of suicide with nocturnal wakefulness and the correlation between circadian misalignment and symptom severity in Major Depressive Disorder.

### Keywords

circadian; mood disorders; sleep

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#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## 1. Introduction

The hypothalamic circadian pacemaker coordinates near-24-hour rhythmicity in a wide range of physiological, behavioral and psychological functions, including mood (Boivin et al. 1997). For example, when evaluated using visual analogue scales that required participants to rate their emotional state along an axis between “happy” and “sad”, the happiest mood occurred during the circadian day while the saddest mood occurred in the circadian night (Boivin et al. 1997). However, when mood was parsed into the independent components (Norris et al. 2010) of positive and negative affect using the Positive and Negative Affect Schedule (PANAS), in which participants rated a variety of pleasant and unpleasant feelings using Likert scales, only the positive feelings were found to have a circadian rhythm (Murray et al. 2009). This is despite the fact that variations in negative affect have been repeatedly observed under naturalistic conditions during waking hours (Porto et al. 2006, Stone et al. 2006, Miller et al. 2015). The clinical relevance of these rhythms is highlighted by the finding that abnormal timing of the circadian clock relative to the timing of biologically important behaviors such as eating and sleeping (termed circadian misalignment, as occurs in night shift work) has adverse psychiatric sequelae (Baron and Reid 2014). Indeed, we, and others, have shown that the degree of circadian misalignment correlates with symptom severity in Major Depressive Disorder (Emens et al. 2009, Hasler et al. 2010). Specifically, the later the pacemaker is set relative to habitual sleep timing, the worse the mood (Emens et al. 2009). The mechanism by which a shift in the timing of the pacemaker worsens mood has not been elucidated. We hypothesized that negative affect would exhibit a circadian rhythm that peaks during the circadian night. If such a pattern exists, this might help explain the association between circadian misalignment and mood disorders (Emens et al. 2009, Baron and Reid 2014).

## 2. Methods

### 2.1 Participants

21 healthy adults ( $52 \pm 7$  years; 11 females) were studied. All participants provided written informed consent and the protocol was approved by the Institutional Review Board at Oregon Health & Science University. Health status was evaluated via medical history, physical and psychiatric examination (Sheehan et al. 1998), 12-lead electrocardiogram, home sleep apnea screening (WatchPAT, Itamar Medical, Israel), and screening laboratory studies. Exclusion criteria included chronic medical conditions, BMI  $>40$  kg/m<sup>2</sup>, psychiatric illness or psychotropic medication use in the prior 12 months, use of tobacco or recreational drugs, and any prescription or non-prescription medication use. Participants with a history of travel across  $>3$  time zones in the prior 3 months or night shift work in the prior 6 months were excluded. The current study represents part of a larger study of circadian rhythms and cardiovascular physiology (Thosar et al., 2019).

### 2.2 Ambulatory Protocol

For 1–2 weeks prior to the start of the in-laboratory protocol, each participant refrained from prescription and over-the-counter medication, alcohol, and caffeine use and maintained a consistent, self-selected sleep-wake schedule with an 8 hour sleep opportunity, which was

confirmed by actigraphy (Actigraph wGT3X-BT, Actigraph, Pensacola, FL), sleep diary and twice-daily phone calls to a time-stamped voicemail box.

## 2.3 Laboratory Protocol

Participants were admitted to the Oregon Clinical and Translational Research Institute at Oregon Health & Science University and lived in an environment free of external time cues for 5 days. Throughout their stay, all activities, including sleep opportunities, were scheduled and light levels remained <3 lux at the angle of gaze during scheduled wakefulness.

**2.3.1 Forced Desynchrony Protocol**—After a baseline day, participants completed a protocol that evenly distributes all behaviors across the circadian cycle thereby unmasking endogenous rhythms controlled by the circadian pacemaker (Boivin et al. 1997, Czeisler et al. 1999). This protocol was comprised of 10 recurring, 5-hour and 20-minute identical behavioral cycles, consisting of 2 hour and 40 minute sleep and 2 hour and 40 minute wake episodes with an identical isocaloric meal towards the end of each wake period (Thosar et al. 2019).

**2.3.2 Assessment of Circadian Phase**—Salivary samples were provided every ~30 minutes during the evening of admission and every 40–70 minutes during subsequent scheduled wake periods. Samples were assayed for melatonin via radioimmunoassay (ALPCO Ltd., Windham, NH). The lower limit of sensitivity is 0.2 pg/ml. Circadian phase (the timing of the endogenous biological clock) was determined using the dim light melatonin onset (DLMO), defined as the interpolated time when salivary melatonin crossed a 3 pg/ml threshold (in one participant whose salivary melatonin never dipped below 3 pg/ml, 4 pg/ml (Benloucif et al. 2008) was used as the threshold) (Voultsios et al. 1997, Lewy et al. 1999).

**2.3.3 Mood Assessments**—Participants completed the PANAS (Watson et al. 1988) and Profile of Mood States-Brief (POMS-B) (McNair et al. 1992) questionnaires ~45 minutes into the start of each wake episode, ten times across the forced desynchrony protocol. Positive affect was reported using the 10-item Positive Affect subscale (PANAS PA); negative affect was reported using the 10-item Negative Affect subscale (PANAS NA). Total mood disturbance (TMD) score, a composite score of the POMS-B, was used as an additional measure of negative affect.

## 2.4 Statistical Analysis

Each participant's mood data were normalized (z-scored) and assigned circadian phases relative to their individual DLMO (assigned 0°), with the duration of one circadian cycle equal to 360°. PANAS PA, NA and POMS-B TMD subscale data were assessed for circadian rhythmicity via cosinor analysis (2-harmonic parametrization) (Hu et al. 2011).

### 3. Results

The average bedtimes and waketimes ( $\pm$ SD) prior to entering the lab were 22:27  $\pm$  1:07 and 06:39  $\pm$  1:09, respectively, and the average clock hour of the baseline DLMO occurred at 20:51  $\pm$  1:45. PANAS PA demonstrated a robust circadian rhythm ( $F[5,202]=16.84$ ,  $p<0.0001$ ,  $r^2=0.28$ ) with a sharp trough in positive affect during the circadian night (~6 hours after DLMO or ~03:00, Figure 1). We discovered that PANAS NA ( $F[5,202]=2.83$ ,  $p = 0.02$ ,  $r^2=0.04$ ) and POMS-B TMD ( $F[5,202]=17.11$ ,  $p<0.0001$ ,  $r^2=0.28$ ) also exhibited circadian rhythms that mirrored that of positive affect with both negative affect and total mood disturbance sharply peaking during the circadian night at approximately the same circadian phase as the trough in PANAS PA (Figure 1). The greatest positive affect occurred during the circadian afternoon and evening (without a well-defined peak), and this was mirrored by negative affect and total mood disturbance which were lowest across the circadian afternoon and evening (Figure 1). There were no significant time-into-protocol effects on PANAS NA ( $p=0.66$ ) but there was a reduction in PANAS PA ( $p=0.001$ ) and increase in POMS-B TMD ( $p=0.03$ ) (Amira et al. 2020).

### 4. Discussion

Our results confirm prior findings of a robust endogenous circadian rhythm of positive affect that peaks during the circadian day. We extended these observations to show that there also exists an endogenous circadian rhythm of negative affect, which peaks during the circadian night.

#### 4.1 Implications for the Development of Mood Disorders

Individuals who are awake across the night, such as those with insomnia or performing night shiftwork, have an increased risk of developing mood disorders (Buysse 2013, Baron and Reid 2014). This association may be at least partially due to wakefulness during the circadian nadir in mood since these individuals would experience negative mood states normally “shielded” by sleep. This is analogous to the increased errors seen in individuals who are awake during the circadian trough in cognitive performance (Goel et al. 2013). Similarly, shifting the timing of the circadian pacemaker without shifting the sleep period, as can occur with exposure to artificial light (Czeisler et al. 1989, Stothard et al. 2017), could cause the endogenous minimum in mood to occur during wakefulness. Indeed, such a mechanism might explain our previous finding that the shifts in the circadian pacemaker to a later time *relative to sleep* correlate with increased symptom severity in major depressive disorder (Emens et al. 2009). Individuals with an evening diurnal preference have a higher risk of depression (Reid et al. 2012) and this too may be related to shifts in the timing of the pacemaker to a later hour (Emens et al. 2009). It is also conceivable that insomnia would expose individuals to low mood while awake during the night, potentially explaining how insomnia is associated with worse treatment outcomes in depression (Buysse 2013) as well as increased risk of recurrence (Armitage 2007). We speculate that while wakefulness during the circadian night may not cause depression, it may exacerbate or perpetuate depression in predisposed individuals. Moreover, the circadian rhythm in negative affect might also help

explain the recently demonstrated increased risk of suicide with nocturnal wakefulness (Perlis et al. 2016).

## 4.2 Implications for the Management of Mood Disorders

These rhythms underscore the potential of targeting insomnia for both the prevention and treatment of mood disorders (Buysse 2013, McCall et al. 2019). These findings also highlight the potential of using circadian resetting agents such as light (Lam et al. 2016) or melatonin (Lewy et al. 2006) in the treatment of mood disorders and offer a potential mechanism by which such agents may act (i.e., by shifting peaks in negative affect into the sleep episode). Our findings and those of Perlis and colleagues (Perlis et al. 2016), also furthers the notion that the time of presentation and individual circadian timing should be considered in the assessment of suicide risk.

## 4.3 Limitations and Future Studies

We studied a small number of euthymic and middle-aged participants. A larger data set might allow for an item analysis to determine if certain mood states (e.g., anxious versus depressed) contribute more to the overall circadian rhythm in mood and negative affect in particular (although an exploratory analysis showed that removal of any one of the six subscales on the POMS-TMD did not result in a loss of statistical significance). Additionally, it was beyond the scope of this study to determine whether negative and positive affect represent truly distinct entities or whether they describe moods that exist across a single spectrum (Norris et al. 2010). That said, there is a significant body work demonstrating that positive and negative affect can be independent of each other even if they are not necessarily localized in distinct regions of the brain (Lindquist et al. 2016). The greater amplitude in PANAS PA compared to PANAS NA scores may reflect greater circadian regulation of the reward-related motivational system (associated with positive affect) compared to the threat-related motivational system (associated with negative affect) as discussed by Murray and colleagues (Murray et al. 2009).

It is possible that the rhythmicity in positive and negative affect that we described are driven by circadian variations in the level of arousal, as opposed to affective valence. However, prior literature suggests that this is not the case, because mood and alertness become uncoupled depending on circadian phase and time spent awake (Boivin et al. 1997). We only conducted a single mood assessment per wake episode and therefore we were unable to assess the impact of time-since-awakening on mood (Boivin et al. 1997). Future studies could determine whether the amplitude and timing of these endogenous rhythms differ in men versus women, across the age span, among chronotypes and in individuals with and without mood disorders and whether the magnitude of evoked changes in mood also vary across the circadian cycle.

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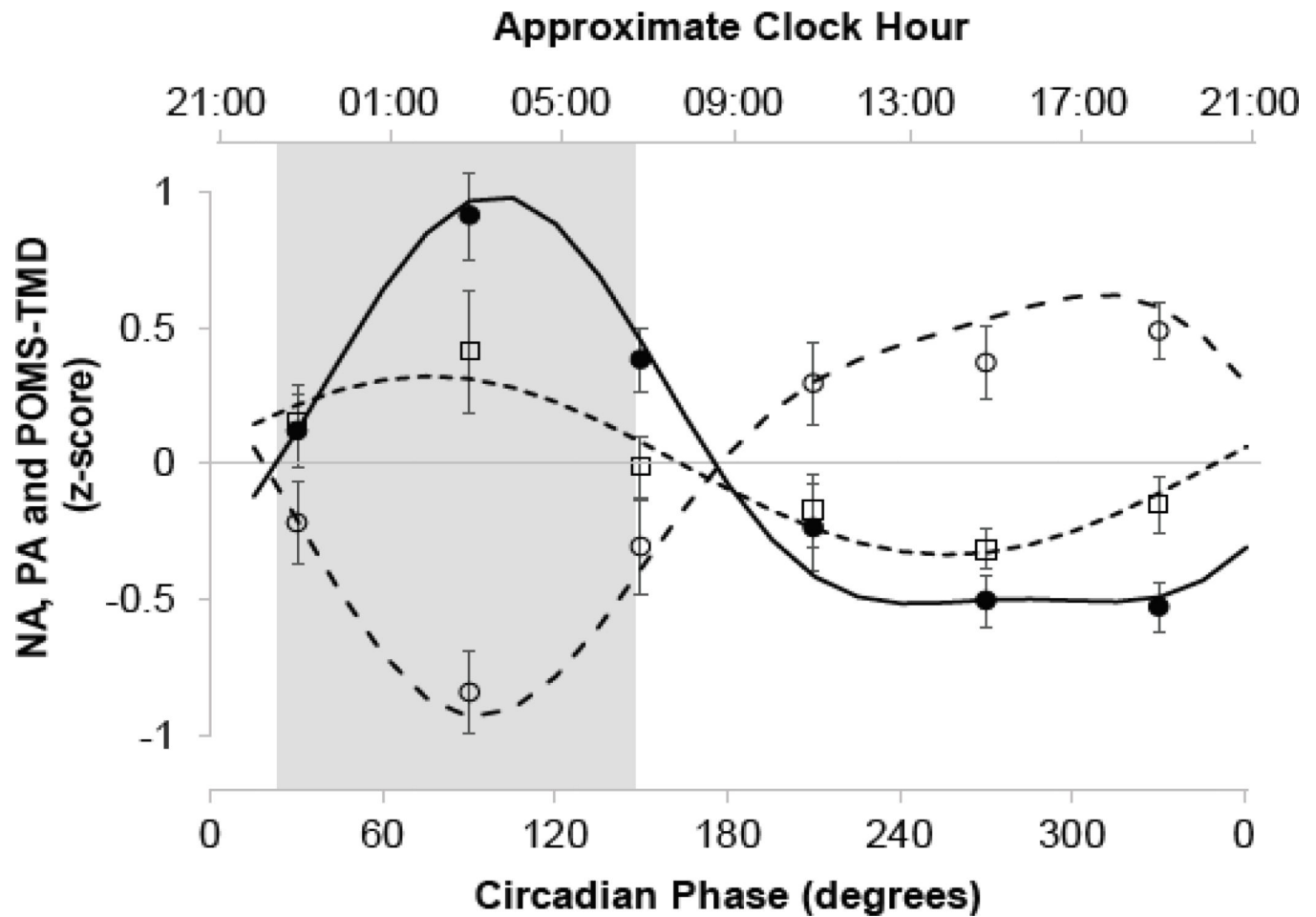


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**HIGHLIGHTS**

- Mood has a circadian rhythm with the worst mood during the circadian night
- Only positive affect has been shown to contribute to this circadian rhythm
- It was found that negative affect also has a circadian rhythm that peaks at night
- The rhythm in negative affect may have diagnosis and treatment implications for mood disorders





**Figure 1:**

Endogenous circadian rhythms in mood. Shown are z-scores (mean  $\pm$  SEM) for the PANAS negative ( $\square$ ) and positive ( $\circ$ ) affect scores, and POMS-B total mood disturbance (TMD) score ( $\bullet$ ). Data are plotted accordingly to circadian time in bin sizes of  $60^\circ$  ( $\sim 4$  hours) with the DLMO defined as  $0^\circ$ . Mixed model cosinor analyses (conducted on non-binned data) are shown for PANAS negative (---) and positive (- -) affect scores, and POMS-B TMD (—). The shaded rectangle corresponds to the participants' average sleep timing prior to entering the laboratory. For all analyses,  $p < 0.05$ .