

KRAS/LKB1 and KRAS/TP53 co-mutations create divergent immune signatures in lung adenocarcinomas

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Abstract: Lung adenocarcinomas exhibit various patterns of genomic alterations. During the development of this cancer, *KRAS* serves as a driver oncogene with a relatively high mutational frequency. Emerging data suggest that lung adenocarcinomas with *KRAS* mutations can show enhanced PD-L1 expression and additional somatic mutations, thus linking the prospect of applying immune checkpoint blockade therapy to this disease. However, the responses of *KRAS*-mutant lung adenocarcinomas to this therapy are distinct, which is largely attributed to the heterogeneity in the tumoral immune milieu. Recently, it was revealed that *KRAS*-mutant lung adenocarcinomas simultaneously expressing either a *LKB1* or *TP53* mutation typically have different immune profiles of their tumours: tumours with a *KRAS/TP53* co-mutation generally present with a significant upregulation of PD-L1 expression and tumoricidal T-cell accumulation, and those with a *KRAS/LKB1* co-mutation are frequently negative for PD-L1 expression and have few tumoricidal immune infiltrates. In this regard, interrogating *TP53* or *LKB1* mutation in addition to PD-L1 expression will be promising in guiding clinical use of immune checkpoint blockade therapy for *KRAS*-mutant lung adenocarcinomas.

Keywords: cancer immune milieu, *KRAS* gene, *LKB1* gene, Lung adenocarcinoma, *TP53* gene

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Introduction

Currently, non-small cell lung cancer (NSCLC) is uniquely prevalent worldwide. *KRAS* serves as a critical driver gene for lung carcinogenesis. Notably, the frequency of *KRAS* mutation in lung adenocarcinomas (LUADs) varies among human populations,¹ with a *KRAS* mutation frequency of 26.1% in Western patients and 11.2% in East-Asian patients.¹ *KRAS* mutations are rare in lung squamous cell carcinoma.¹ Mechanistically, *KRAS* mutation leads to the robust activation of MAPK and PI3K cascades, independent of their corresponding upstream signals,² ultimately increasing the aggressive behaviour of these cancer cells.

Compared with *KRAS* wild-type cases, *KRAS* mutation cases generally correlate with shortened survival times for NSCLC patients, even those receiving conventional therapies.^{3,4} For example, a retrospective study reported that Chinese

patients with metastatic NSCLC with a *KRAS* mutation have significantly lower progression-free survival (PFS) after first-line chemotherapy than those without a *KRAS* and *EGFR* mutation and *ALK/ROS1* fusion.⁵ In addition, tyrosine kinase inhibitors against mutated *EGFR* exert negligible therapeutic effects on *KRAS*-mutant LUAD patients.⁶ In this regard, alternative strategies should be developed to treat lung cancers with *KRAS* mutations.

To our knowledge, therapies by using immune checkpoint inhibitor (ICI) alone (e.g. KEYNOTE-024⁷ and KEYNOTE-042⁸) or in combination with chemotherapy (e.g. KEYNOTE-189⁹ or KEYNOTE-407¹⁰) with or without antiangiogenics (e.g. IMpower-150¹¹) are the mainstay of treatment in first-line therapy for inoperable NSCLC. In a second or later line for advanced NSCLC patients with *KRAS* mutation,

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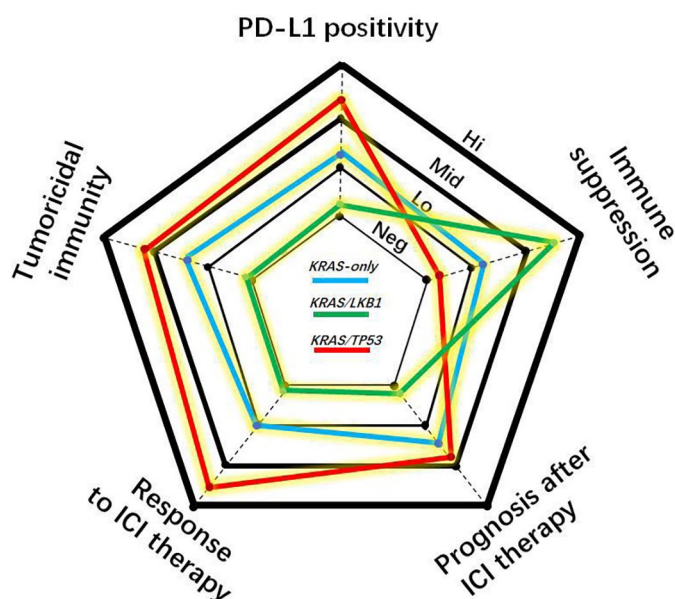


Figure 1. Radar plot ranking the cancer immunity and response to immune checkpoint blockade therapy of lung adenocarcinomas with *KRAS*-only, *KRAS/LKB1* or *KRAS/TP53* mutational pattern into four degrees including negative (Neg), low (Lo), middle (Mid) and high (Hi). ICI, immune checkpoint inhibitor; PD-L1, programmed death-ligand 1.

the objective response rate (ORR) of ICI monotherapy was reported as 26%.¹² Moreover, the *KRAS* mutation was found to be positively related to the upregulation of PD-L1 in LUAD,^{13,14} thus indicating a potential of *KRAS* mutation in predicting the effectiveness of ICI therapy.

In fact, carcinogenic mutations shape the immune landscape of tumours.¹⁵ However, several studies revealed the heterogeneity of the tumour and immune milieus in *KRAS*-mutant LUAD.^{13,14,16} Typically, the immune milieu in *KRAS/TP53* co-mutant tumours is profoundly different from that in tumours with *KRAS/LKB1* co-mutations, indicating that *KRAS/TP53*-mutant tumours are ‘immune-hot’, whereas *KRAS/LKB1*-mutant tumours are ‘immune-cold’.^{14,16} (Figure 1 and Table 1) This distinction suggests that *KRAS*-mutant LUAD is not a unique disease. In this review, we will focus on the *KRAS* co-mutation with *LKB1* or *TP53* which forms the immune nature in LUAD, aiming to guide the ICI therapy for LUAD patients.

Carcinogenic *KRAS* mutation

KRAS, the *Kristen rat sarcoma* viral oncogene, is a member of the *RAS* family of genes, which includes *HRAS* and *NRAS*.³⁴ At a steady state,

RAS genes encode intracellular guanine nucleotide-binding proteins, which have GTPase activity. When conjugated with GTP, *RAS* proteins are activated to increase cell proliferation and survival. However, the *RAS* protein bound with GDP is inactive.³⁴

Nearly four decades ago, the *KRAS* mutation was revealed to drive carcinogenesis in the lung.⁴ Mutant *KRAS* is characterized by a single nucleotide base missense mutation, which frequently occurs in codon 12 and codon 13 of exon 2 or exon 3.^{4,34} Nucleotide base substitutions in *KRAS* hot codons are presented as *G12A*, *G12C*, *G12D*, *G12S*, *G12V*, *G13C* or *G13D*.¹² Among these mutations, *G12C* most frequently occurs in *KRAS*-mutant LUAD.³⁵ Functionally, the missense mutation leads to the subsequent activation of the *KRAS* protein due to an impairment in its GTPase function, thus activating cellular processes that are critical in cancer invasion and metastasis.^{4,34}

Notably, heterogeneous missense mutations of *KRAS* variably enable cancer cells to gain a growth advantage. To explain this notion, an *in vitro* experiment demonstrated that PI3K/Akt and MAPK were preferentially activated in NSCLC cells with the *G12D* mutation but not with the *G12C* or *G12V* mutation.³⁶ Notably, refractory NSCLC patients with a *G12C* or *G12V* mutation generally had a shortened PFS compared with patients harbouring other missense mutations of *KRAS*.³⁶ Intriguingly, another study indicated that first-line chemotherapy was superior in prolonging the PFS of NSCLC patients, especially those with the missense mutation of *G12C*.¹⁷ Similarly, second-line or subsequent therapies based on ICI drugs provided a survival benefit to patients in the *G12C* subgroup, who showed no significant difference in PFS compared with the patients with other missense mutations.¹² However, in NSCLC, the *KRAS* mutation is still regarded as a negative regulator of chemotherapy.^{3,4} Nevertheless, several lines of data suggested that patients with *KRAS*-mutant LUAD generally had a better PFS upon ICI therapy than those with wild-type *KRAS*.^{32,33} Hereafter, we will illustrate the impact of *KRAS* mutation on tumoral immune profiles in LUAD.

KRAS mutation and the immune nature of lung adenocarcinoma

Although accumulative evidence suggests the feasibility of using the *KRAS* mutation for selecting

Table 1. A characteristic comparison of lung adenocarcinomas with different patterns of KRAS mutation.

Groups	'KRAS-only'	KRAS/LKB1 co-mutation	KRAS/TP53 co-mutation	KRAS/LKB1/TP53 tri-mutation
Characteristics				
Mutation frequency in KRAS group ^{Ref.}	37–50% ^{14,16,17}	8–31% ^{14,16,17}	31–46% ^{14,16,17}	11–19% ^{18,19}
Sensitivity to glucose restriction (versus wild-type KRAS) ^{Ref.}	↑ ^{20,21}	↑↑ ²¹	↑↑ ²⁰	NR
Aggression of cancer cell (versus wild-type KRAS) ²²	↑	↑↑↑	↑↑	NR
Percent of tumour positive for PD-L1 expression ²³	37.5%	10%	68.8%	25%
Median TMB value ¹⁶	8.1–11.7 mutations/Megabase in these three groups			NR
Tumour immune milieu ^{Ref.}	<ol style="list-style-type: none"> Certain numbers of CD4⁺ T_H24, CD8⁺ T_H25,26 Treg,^{16,26} γδT,²⁶ and myeloid cells (including neutrophils and macrophages)²⁶ CXCL-9 and CXCL-10 upregulation²⁶ CXCL-2, IL-6 and TGF-β downregulation²⁶ 	<ol style="list-style-type: none"> Massive neutrophils with immune-suppressive function^{24,27} Few CD3⁺ T_H14,16,24,27 CD4⁺ T_H24 CD8⁺ T_H14,16,24,27 CD45RO⁺ T_H14,16 CD68⁺ macrophages^{24,27} and matured DC;²⁷ Low proliferation activity of CD4⁺ T and CD8⁺ T_H24 Low potency of immune surveillance²⁸ Low production of IFN-γ by CD4⁺ T, or by CD8⁺ T_H24 G-CSF, IL-1α, IL-6 and CXCL-7²⁴ STING inactivation²⁹ HLA-DR, CD28, ICOS, CD80 and CD86 downregulation¹⁴ PD-1, CTLA-4, TIM-3 and LAG-3 downregulation in tumours^{14,18} CD4⁺ or CD8⁺ T-cell exhaustion²⁴ 	<ol style="list-style-type: none"> Certain numbers of NK,²⁶ B cell,²⁶ matured DC,²⁷ Treg^{16,26} and macrophages²⁷ Massive CD3⁺ T_H1, CD8⁺ T_H1, and CD45RO⁺ T_H1,3,14,16,27 CCL-5, CXCL-9, CXCL-10, CXCL-11 and CXCL-13 upregulation in tumours²⁷ HLA-DR, CD28, ICOS, CD80 and CD86 upregulation in tumours^{14,18} PD-1, CTLA-4, TIM-3 and LAG-3 upregulation in tumours^{14,18} Antigen presentation^{↑16,18} Antigen recognition^{↑14} T-cell-driven cytotoxicity^{↑14,27} 	<ol style="list-style-type: none"> CXCL-7, G-CSF and IL-6 upregulation²⁴. Increased amounts of neutrophils with immune-suppressive function CD28, CD86, CTLA-4, TIM-3 and HLA-DR downregulation in tumours¹⁸. Reduced amounts of T cells in tumours PD-L1 upregulation^{18,23}. T-cell exhaustion
Prognosis after first-line therapy Single-centre data ^{18,19}	<ul style="list-style-type: none"> Upenn data* Median PFS: NM Median OS: NM CSU data⁴ Median PFS: 22.29 weeks Median OS: 28.57 weeks 	<ul style="list-style-type: none"> Upenn data* Median PFS: 2.4 months Median OS: 7.1 months CSU data⁴ Median PFS: 12.43 weeks Median OS: 19.36 weeks 	<ul style="list-style-type: none"> Upenn data* Median PFS: NM Median OS: NM CSU data⁴ Median PFS: 12.86 weeks Median OS: 16.29 weeks 	<ul style="list-style-type: none"> Upenn data* Median PFS: 13 months Median OS: 22 months CSU data⁴ Median PFS: 12.29 weeks Median OS: 20.22 weeks
ORR to immune checkpoint blockade therapy • SU2C cohort ¹⁶ (MSKCC+MDACC+MGH)	28.6%	7.4%	35.7%	NR
CheckMate-057 cohort ¹⁶	18.2%	0%	57.1%	NR

(Continued)

Table 1. (Continued)

Groups	'KRAS-only'	KRAS/LKB1 co-mutation	KRAS/TP53 co-mutation	KRAS/LKB1/TP53 tri-mutation
Characteristics				
<ul style="list-style-type: none"> KEYNOTE-042³⁰ (PD-L1 ≥ 1%) 	31% (LKB1 mutation: n = 16 patients) versus 29% (LKB1 wide-type: n = 214 patients)			
Prognosis after immune checkpoint blockade therapy	Median PFS: 2.7 months Median OS: 16.1 months	Median PFS: 1.8 months Median OS: 6.4 months	Median PFS: 3.0 months Median OS: 16.0 months	Median PFS: NR Median OS: NR
<ul style="list-style-type: none"> SUZC cohort¹⁶ (MSKCC+MDACC+MGH) CheckMate-057 cohort¹⁶ 	Median PFS: 2.1 months Median OS: ~7 months	Median PFS: 2.0 months Median OS: ~27 months	Median PFS: 5.1 months Median OS: ~15 months	Median PFS: NR Median OS: NR
<ul style="list-style-type: none"> KEYNOTE-042³⁰ (PD-L1 ≥ 1%) 	LKB1 mutation Median PFS: 5 months Median OS: 18 months	LKB1 wide-type Median PFS: 6 months Median OS: 17 months		
PD-L1/TIL paradigm ³¹	Positive/Positive	Negative/Negative	Positive/Positive	Positive/Negative
Precision of mutational pattern in predicting ICI response as tumoral PD-L1 expression increasing Ref.	Increase ^{16,32,33}	Increase ³⁰	Increase ^{16,32}	NR
*Over 80% of enrolled patients receiving chemotherapy during first-line management. &Chemotherapy by using pemetrexed plus platinum regimen. CCL-5, chemokine C-C motif ligand-5; CSU, XiangYa School of Medicine; CTLA-4, cytotoxic T-lymphocyte associated protein-4; CXCL, chemokine C-X-C motif ligand; G-CSF, granulocyte colony stimulating factor; HLA-DR, human leukocyte antigen (locus) DR; ICI, immune checkpoint inhibition; ICOS, inducible T-cell co-stimulator; IFN-γ, interferon-gamma; KRAS, Kirsten rats sarcoma viral oncogene homolog; LAG-3, lymphocyte-activation gene-3; LKB1, liver kinase B1 gene; MDACC, MD Anderson Cancer Centre; MGH, Massachusetts General Hospital; MSKCC, Memorial Sloan-Kettering Cancer Centre; NR, not reported; OS, overall survival; PD-1, programmed death-1; PD-L1, programmed death-ligand 1; PFS, progression-free survival; STING, stimulator of interferon genes; TGF-β, transforming growth factor-beta; TIL, tumour-infiltrating lymphocyte; TIM-3, T-cell immunoglobulin and mucin domain-containing molecule-3; TMB, tumour mutation burden; TP53, tumour protein-53 gene; UPenn, University of Pennsylvania.				

candidates for ICI therapy among LUAD patients,^{12,16,32,33} this criterion is not necessarily applicable for all *KRAS*-mutant patients because many patients carry mutations in other genes, such as *LKB1*, which seems to nearly abolish the effectiveness of ICI therapy.¹⁶ In contrast, a *TP53* mutation was associated with an enhanced survival benefit from ICI therapy for *KRAS*-mutant LUAD patients compared with its effectiveness for 'KRAS-only' patients.^{16,32} In fact, the 'KRAS-only' group included patients who likely had simultaneous mutations with other genes, such as *CDKN2A/B*,^{2,14} *KEAP1*^{2,14} or *PIK3CA*.² In this regard, functional aberrations in these genes were confirmed to synergize with *Kras* mutations in lung carcinogenesis of mice.³⁷⁻³⁹ However, in LUAD, frequencies of these mutations were not as high as those of the *KRAS/TP53* or *KRAS/LKB1* co-mutation.² In addition, the value of these mutations in guiding ICI therapy for LUAD patients is still uncertain. For example, in contrast to *TP53*- or *LKB1*-mutant carriers, LUAD patients with the *KRAS/CDKN2A/B* co-mutation exhibited mixed immune profiles in their tumours,¹⁴ possibly distorting the assessment of ICI therapeutic effectiveness for patients with the *KRAS/CDKN2A/B* co-mutation. In view of these issues, patients with these co-mutational patterns have generally been classified in the 'KRAS-only' group. Notably, the mutational frequencies of 'KRAS-only', *KRAS/TP53* and *KRAS/LKB1* in LUAD tumours remain consistent regardless of disease stage or the administration of platinum-based chemotherapy,¹⁴ thus indicating that these are the three major patterns of gene mutations in *KRAS*-mutant LUAD despite cancer cell clone evolution during disease progression or the level of chemotherapy received.

'KRAS-only' mutation

A certain number of LUAD cases present with 'KRAS-only' mutations. The frequency of the 'KRAS-only' mutation is reported to vary from 37% to 50% in the whole *KRAS*-mutant group.^{14,16,17} (Table 1). Such a high rate of *KRAS* mutation can be attributed to carcinogen exposure or heredity. For example, NSCLC patients with a history of smoking have a higher frequency of *KRAS* mutations than patients who never smoked.²⁵ In addition, among *KRAS*-mutant LUAD patients, smokers have a significantly higher somatic mutation load than non-smokers, implying divergent routes to carcinogenesis despite a *KRAS* mutation, as was also confirmed

in a mouse model of *Kras* mutation.⁴⁰ Theoretically, a high mutation load correlating with the excessive production of tumoral neoantigens induces recruitment tumoricidal T cells from the periphery to recognize and subsequently kill clones positive for the antigens processed by dendritic cells (DCs).³² Compared with an *EGFR* mutation, a *KRAS* mutation can significantly increase the number of CD8⁺ T subsets in LUAD tumours^{25,26} (Table 1). In *Kras*-mutant mice, regulatory T cells, $\gamma\delta$ T cells and myeloid cells were found in increased numbers in lung tumours²⁶ (Table 1). In addition, genes encoding CXCL-9 and CXCL-10 showed upregulated expression in the lung tumours of these mice, and genes encoding immune-suppressive factors, such as TGF- β or CXCL-2, showed downregulated expression in chemokine-recruiting myeloid-derived suppressive cells (MDSCs)²⁶ (Table 1). However, to counteract this inhibition, the *KRAS* mutation induces PD-L1 upregulation in an ERK-phosphorylation-dependent manner, ultimately enabling cancer cells to escape from immune attacks by causing PD-1/PD-L1 axis-induced T-cell exhaustion.⁴¹ However, despite this theory, not all LUAD patients with a 'KRAS-only' mutation, even those are highly positive for PD-L1 expression in their tumours, will respond to ICI therapy, whereas a few patients in the 'KRAS-only' group but negative for PD-L1 still benefit from ICI therapy,¹⁶ indicating that PD-L1 is not a reliable marker in these situations. What are the mechanisms driving these outcomes?

As mentioned above, carcinogen-induced and inherited *KRAS* mutations associated with LUAD are genetically heterogeneous.⁴⁰ In addition to showing a significant reduction in somatic mutations, a significantly higher frequency of genomic copy-number aberrations (GCAs) was found in lung tumours of germline *Kras*^{G12D}-mutant mouse models than was found in carcinogen-induced *Kras*-mutant tumours.⁴⁰ To exemplify the role of GCAs in lung carcinogenesis, a recent study revealed that lung tumour cells in mice with homozygous *Kras* mutations (*Kras*^{G12D/G12D}) showed significantly enhanced glucose consumption, acquisition of the glycolytic phenotype, higher levels of glucose-derived tricarboxylic acid cycle metabolites, and greater resistance to oxidative stress than cells with heterozygous *Kras* mutations (*Kras*^{G12D/-} mice) or with wild-type *Kras* (*Kras*^{wt/wt} mice), thus confirming a relationship between mutant *Kras* copy gain and cell metabolic reprogramming.²⁰ More

strikingly, mutant *Kras* copy gain during lung cancer progression from an early to a late stage drives a low- to high-grade switch in tumour pathology, indicating that mutant *Kras* copy gain predisposes lung cancer cells to acquire a more aggressive phenotype.²⁰ Nevertheless, these tumour cells are more sensitive to a low-glucose environment than *Kras*^{G12D/-} or *Kras*^{wt/wt} cells.²⁰ Besides, chronic glucose restriction can reduce interferon- γ production in tumoricidal T cells.⁴² Hence, glucose competition between cancer cells and T cells will induce T-cell exhaustion.⁴³ In this case, a strategy against aberrant glucose metabolism in cancer cells will become a potential way to improve the effectiveness of ICI therapy. In fact, as cancer progresses, metabolic reprogramming in immune infiltrates, such as macrophages, DCs, MDSCs, neutrophils, natural killer cells (NK), T cells, and B cells, serves as a critical mechanism for regulating their pro- or anticancer properties.⁴⁴ In this regard, an alternative strategy is urgent to determine the anticancer properties of immune infiltrates. For example, acetate can increase histone-3 acetylation of PD-1⁺ T cells in an acetyl-CoA synthetase-dependent manner, thus restoring their production of IFN- γ .⁴³ If combining with ICI therapy, the tumoricidal activity of T cells should be improved.

KRAS/LKB1 co-mutation

LKB1, also known as *STK11*, is a cancer-suppressive gene that encodes a protein that regulates cell metabolism and growth through the AMP-activated protein kinase (AMPK) cascade.⁴⁵ *LKB1* inactivation is common in LUAD,² and subsequent AMPK inactivation is a hallmark of LUAD with the *KRAS/LKB1* co-mutation.¹⁴ According to published data, in *KRAS*-mutant groups from different cohorts, the frequency of *KRAS/LKB1* co-mutation ranged from 8% to 31%^{14,16,17} (Table 1). Mechanistically, *LKB1* gene inactivation in LUAD is mainly driven by genomic copy-number deletion, inactive mutation and downregulating its own expression, ultimately resulting in depletion of *LKB1* protein.² Significantly, *Lkb1* deletion in *Kras*^{G12D}-mutant mice was found to accelerate lung carcinogenesis, exhibiting a specific correlation with adenocarcinoma metastasis.²² Consistent with this finding, integrative profiling of LUAD genome revealed that the 'proximal-proliferative' subgroup harbours the highest frequency of *LKB1* inactivation and/or *KRAS* mutation and/or *KEAP1* mutation.² In addition to the *KEAP1* mutation, genomic

copy-number depletion of *LKB1* can give rise to *KEAP1* depletion because both genes reside on the short arm of chromosome 19, with their loci next to each other.¹⁴ Functionally, *KEAP1*-coded protein causes the degradation of NF-E2-related factor 2 (NRF2).³⁸ If stabilized when *KEAP1* is inactivated, then NRF2 activates the antioxidant programme against cellular oxidative stress.³⁸ An *in vitro* model revealed that the *Kras*^{G12D} mutation can elevate mouse *Nrf2* transcription *via* Jun and Myc proteins.⁴⁶ Notably, *Nrf2* expression can upregulate the expression of glutathione utilization genes in cancer cells with the *Kras*^{G12D} mutation.²⁰ These cells also exhibit reduced proliferation because of inhibited glutathione synthesis.⁴⁶ These data suggest that *Nrf2* affects cancer progression through a mechanism of metabolic reprogramming. To exemplify this notion, the metabolism of a mouse model with *Lkb1*-loss-induced oxidative stress was rescued by the presence of glutamine.⁴⁷ Consistent with this finding, another mouse model showed that the *Keap1*-loss-induced progression of *Kras*-mutant lung tumours depended on glutaminolysis.⁴⁸ However, intriguingly, *LKB1* inactivation synergized with *KRAS* mutation to increase the sensitivity of human lung cancer cells to glucose restriction, reflecting higher glucose consumption to sustain their growth.²¹ Glucose competition between cancer cells and T cells to suppress anticancer immunity has been previously described.⁴³ Therefore, the metabolic reprogramming of glucose by *KEAP1* inactivation or by *NRF2* activation will further accelerate lung carcinogenesis in the context of *KRAS/LKB1* co-mutation.

In contrast to the '*KRAS-only*' mutation, tumoral immune suppression has been identified in LUAD with the *KRAS/LKB1* co-mutation, suggesting that these tumours are naturally refractory to ICI therapy.¹⁴ In addition, data from several cohorts revealed that a large portion of LUAD cases with the *KRAS/LKB1* co-mutation were negative for PD-L1 expression^{14,16} (Table 1). Furthermore, the *LKB1* mutation was revealed to be mostly enriched in cases negative for PD-L1 expression.^{16,27} Most likely, *LKB1* inactivation antagonizes *KRAS* mutation-induced PD-L1 expression because overexpressing *LKB1* in *KRAS*-mutant lung cancer cells gave rise to an upregulation of PD-L1 expression in these cells.⁴⁹ To our knowledge, testing PD-L1 expression is currently recommended for guiding anti-PD-1 therapy for LUAD patients without *EGFR* mutation and *ALK/ROS1* fusion.^{7,8} However, LUAD

patients with *LKB1* mutation that exhibit highly positive PD-L1 expression in their tumours have significantly shorter PFS and overall survival (OS) after anti-PD-1 or -PD-L1 monotherapy than those with wide-type of *LKB1*,¹⁶ indicating that the *LKB1* mutation negatively impacts on the prognosis of LUAD patients after ICI therapy. Besides, in contrast to PD-L1 expression, an intermediate to high tumour mutation burden (TMB) was found in LUAD patients with the *KRAS/LKB1* co-mutation.¹⁶ In fact, the TMB values in the '*KRAS-only*', *KRAS/LKB1* and *KRAS/TP53* groups were comparable, ranging from 8.1 to 11.7 muts/Mb¹⁶ (Table 1). However, no correlation between TMB values with PD-L1 expressing levels was found.⁵⁰ Moreover, TMB is not a valuable biomarker for predicting the effectiveness of ICI therapy in the context of *LKB1* mutation because evidence has suggested that patients with metastatic NSCLC with the *LKB1* mutation but high plasma TMB were unlikely to respond to anti-PD-1 therapy.⁵¹ In this regard, TMB appears to be of little value for guiding the clinical use of ICI therapy for *LKB1*-mutant LUAD. What are the mechanisms underlying these outcomes?

By profiling the immune infiltrates, several studies have revealed that the numbers of CD3⁺, CD8⁺, CD45RO⁺ T subsets, CD68⁺ macrophages and mature DCs are significantly decreased, whereas the number of neutrophils is increased in *KRAS/LKB1*-mutant lung adenocarcinomas compared with the numbers in tumours without *LKB1* mutations^{14,16,27,24} (Table 1). In this situation, genes encoding T-cell costimulatory molecules (e.g. CD28, ICOS, CD80 and CD86), immune checkpoint molecules (e.g. PD-1, PD-L1, LAG-3, and CTLA-4), type I IFN signalling signatures (e.g. STING),²⁹ tumour necrosis factor superfamily members (e.g. TNFSF4 and TNFSF9) and tumour necrosis factor receptor superfamily members (e.g. TNFRSF4, TNFRSF9, TNFRSF14 and TNFRSF18) showed significantly downregulated expression in *LKB1*-mutant LUAD patients¹⁴ (Table 1). In this regard, tumoricidal immunity is dampened due to a lack of T-cell engagement. This explanation is reasonable, as an evidence showed that *Kras/Lkb1*-mutant mice had significantly higher mRNA and protein levels of G-CSF, CXCL-7, IL-1 α and IL-6 and greater STAT3 activation in lung tumours than mice with '*Kras-only*' mutations, thus profoundly recruiting immune-suppressive neutrophils to lung tumours²⁴ (Table 1). In addition, *Kras/Lkb1*-mutant mice

lacked macrophages and CD4⁺ and CD8⁺ T-cell subsets in lung tumours, and either the proliferation or the IFN- γ production by CD4⁺ or CD8⁺ subsets were limited²⁴ (Table 1). Instead, these T cells show increased expression of PD-1, CTLA-4, TIM-3 and LAG-3, indicating that they were exhausted²⁴ (Table 1). Thus, the deficiency of immune surveillance in LUAD with *KRAS/LKB1* co-mutation has been observed.²⁸

Throughout the above analysis, it is found that *LKB1* inactivation generally generates a suppressive immune milieu in *KRAS*-mutant tumours, which can be characterized by their reduced tumoricidal T-cell number and immune-related gene expression. Together with PD-L1 negativity in most cases, the lung tumour of *KRAS/LKB1* co-mutation serves as a paradigm that is negative for T infiltration and PD-L1 expression, in which cancer cells negligibly respond to only a PD-1/PD-L1 blockade.³¹ In this case, combinational approaches should be considered to treat these tumours. For example, either antiangiogenics⁵² or AMG510, a *KRAS* (*G12C*) mutation-specific inhibitor,⁵³ can enhance the anticancer immunity, thus enabling their combination with ICI therapy for these refractory tumours to be feasible (Figure 2). Other strategies, such as MEK inhibition plus chemoradiation,⁵⁴ epigenetic regulation using inhibitors against methyltransferase (e.g. DNA methyltransferase 1, DNMT1 or enhancer of zeste homologue 2, EZH2) for restoring STING activation,²⁹ dual inhibition of MEK plus fibroblast growth factor receptor 1 (FGFR1),⁵⁵ triple inhibition of SRC family kinases, PI3K-mTOR and MEK,⁵⁶ or normalizing glucose metabolism⁵⁷ in cancer cells have shown effectiveness against tumours of *KRAS/LKB1* co-mutations in preclinical models.

Moreover, a few LUAD cases of *KRAS/LKB1* co-mutations were found to still respond to ICI therapy.¹⁶ This finding can be supported by newly published data based on the KEYNOTE-042 study,³⁰ presenting that LUAD patients with somatic mutation of *LKB1* in their tumours could have an ORR, median PFS and OS similar to those with wide-type *LKB1* after pembrolizumab monotherapy.³⁰ To our knowledge, the KEYNOTE-042 study was designed to recruit patients that had a positive PD-L1 expression in their tumours.⁸ From the results of KEYNOTE-042, a positive PD-L1 expression is still valuable in predicting the effectiveness of pembrolizumab independent of the *LKB1* status

in LUAD tumours. Concerning the clinical significance of *LKB1* inactivation in LUAD, although tumours having the *LKB1* inactivation and negative PD-L1 expression exhibited poor responses to ICI therapy,^{16,27,51} a real-world retrospective cohort found that the *LKB1* mutation could perform its prognostic value in inoperable LUAD patients irrespective of their receiving ICI therapy or not.⁵⁸ But intriguingly, a previous study reported that NSCLC patients with *LKB1* mutations in exon 1 through exon 2 may have a worse prognosis than those with mutations in exon 3 through exon 9 after radical surgery.⁵⁹ This indicates that the mutational pattern in *LKB1* exons can impact the cancer cell biology as well. In this regard, although whole-exome sequencing (WES) technology was extensively used to detect the *LKB1* mutation in the aforementioned studies,^{16,24,27,30} one limitation is whether or not the mutational pattern of *LKB1* exons will affect the response of *KRAS*-mutant NSCLC to ICI therapy; this answer remains elusive. Besides, the limitation of WES lies in that only an extremely small portion of genomic aberration occurring in cancer cells can be obtained using this technology. In this situation, albeit the *LKB1* exons are sequenced to be wide-type, matters distorting the expression of the *LKB1* gene cannot be anticipated by solely sequencing the exons. Alternatively, a confirmation of functional *LKB1* protein in LUAD cells and subsequent analysis of their network of interactions with other molecules will be useful.⁶⁰

KRAS/TP53 co-mutation

TP53 is a core cancer-suppressing gene, which encodes the p53 protein in humans and mice to protect against genetic mutations at a steady state.⁶¹ Notably, *TP53* mutation drives lung carcinogenesis.^{1,2} In LUAD, *TP53* mutation has a higher frequency than *EGFR* mutation or *KRAS* mutation.² In contrast, the frequencies of *TP53* mutations are not significantly different among Western and Asian patients with LUAD, as both populations present a nearly 30% mutational frequency among all LUAD cases.¹ *TP53* mutation can be manifested by genetic or epigenetic errors, including missense, nonsense, frameshift, in-frame indel or splice site alterations.² Changes caused by *TP53* mutations will lead to deficiencies in base-pair proofreading during DNA replication or DNA damage repair, thus giving rise to increased burdens of somatic mutations in cancer cells.⁶² In fact, similar to the *KRAS* mutation, the

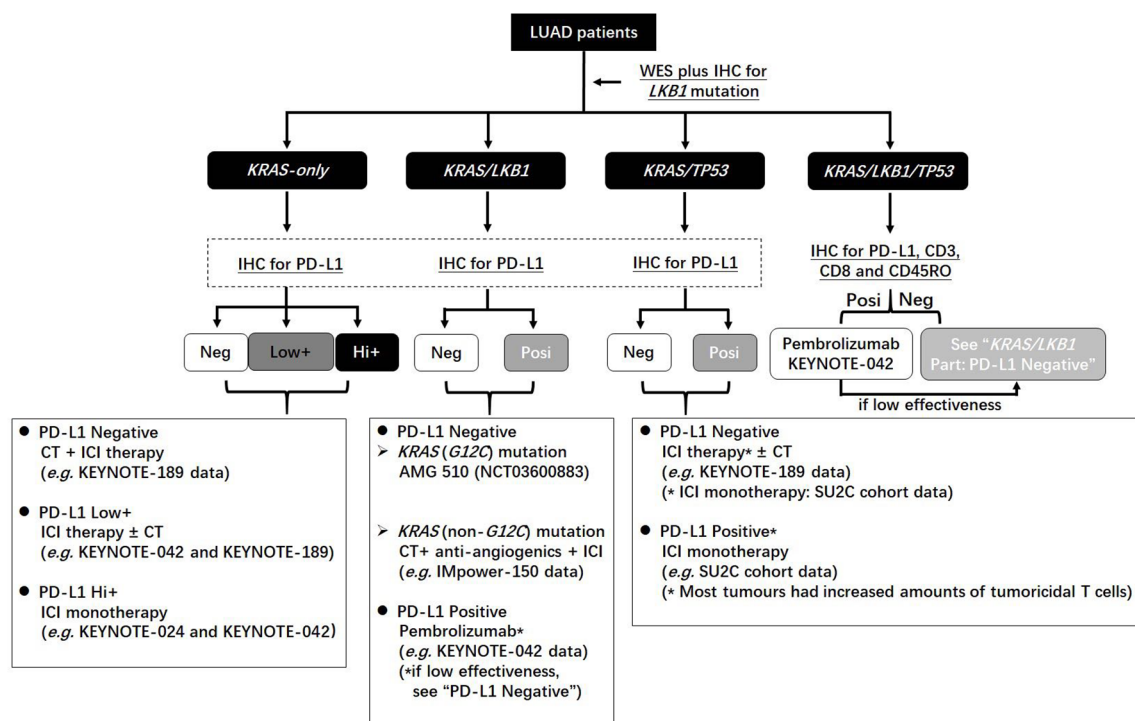


Figure 2. The flow chart of guiding clinical application of immune checkpoint blockade therapy for *KRAS*-mutant lung adenocarcinomas in combination with *TP53* and *LKB1*. CT, chemotherapy; IHC, immunohistochemistry; LUAD, lung adenocarcinoma; NGS, next-generation sequencing; PD-L1, programmed death-1.

TP53 mutation positively correlates with the somatic mutation burden in LUAD.⁶³ In this regard, *KRAS/TP53* co-mutation synergistically increases the production of neoantigens released by lung cancer cells, theoretically inflaming the immune milieu of the tumour.

In the *RAS*-mutant LUAD, several published reports have presented data showing that the frequencies of *KRAS/TP53* co-mutation ranged from 31% to 46%^{14,16,17} (Table 1). Upon ICI therapy, these patients generally had a higher ORR and better prognosis than those with the '*KRAS*-only' mutation.¹⁵ To a certain extent, this outcome can be attributed to a significant upregulation of PD-L1 expression in tumour.^{13,16,27,64} More intriguingly, it was reported that 30% of patients with *KRAS/TP53* mutations but testing negative for PD-L1 expression still responded to ICI therapy.¹⁶ Inherently, immune infiltrates contribute to this process. In models of mice with *Kras/Trp53* co-mutations, lung tumours were characterized by massive infiltrates, including neutrophils, macrophages, and NK, T and B cells²⁶ (Table 1). In LUAD models with *KRAS/TP53* co-mutations, tumours could have

significantly higher amounts of CD8⁺ T-cell subsets than models with '*KRAS*-only' or '*TP53*-only' mutations.¹³ Despite inducing massive immune infiltrates, mutant *Trp53* enabled lung tumours in *Kras*-mutant mice to grow more efficiently than those in mice of the wild-type *Trp53*.^{61,65} Consistent with this finding, it was revealed that *TP53* mutation further increased cell proliferative activity in *KRAS*-mutant LUAD models.²⁸ In this regard, it is presumed that some deficiencies probably occur in the processes of immune recognition and/or immune activation of tumoricidal T cells. After investigating the impact of the *KRAS/TP53* co-mutation on tumoral immune signatures, it was determined that significantly upregulated genes were mostly enriched in biological processes including antigen presentation, dendritic cell maturation, communication between innate and adaptive immune cells, and antigen recognition by pattern recognition receptors¹⁴ (Table 1). These results suggest that T-cell exhaustion largely contributes to lung tumour progression in the presence of the *KRAS/TP53* co-mutation, because genes encoding immune checkpoint molecules were revealed to upregulate their expression¹⁴ (Table 1). Hence, LUAD with

the *KRAS/TP53* co-mutation serves as a paradigm of the tumour positive for PD-L1 expression and immune cell infiltration.³¹ In theory, these tumours proficiently respond to PD-1/PD-L1 blockade.³¹ However, not all LUAD patients with the *KRAS/TP53* co-mutation can benefit from ICI therapy alone.¹⁶ Hence, factors that suppress the immune milieu in LUAD tumours with the *KRAS/TP53* co-mutation deserve further investigation.

KRAS/LKB1/TP53 tri-mutation

In addition to the *KRAS/LKB1* or *KRAS/TP53* co-mutation, *KRAS/LKB1/TP53* is an alternative mutation pattern in LUAD. As an intrinsic factor in cancer, the genomic landscape impacts the effectiveness of anticancer therapy and the accuracy of patient prognosis.⁶⁶ However, the prognostic value of the *KRAS/LKB1/TP53* tri-mutation in LUAD patients remains undetermined. A retrospective study from a single Chinese centre revealed that patients with metastatic NSCLC of the *KRAS/LKB1/TP53* tri-mutation exhibited a shortened PFS after first-line chemotherapy compared with those with *KRAS/LKB1* or *KRAS/TP53* co-mutations, while a finding of The Cancer Genome Atlas (TCGA) data confirmed that patients in tri-mutation group had lower OS than those either with *KRAS/LKB1* or with *KRAS/TP53* co-mutations¹⁸ (Table 1). In contrast, another retrospective single-centre study indicated that NSCLC patients with *KRAS/LKB1/TP53* tri-mutations had higher PFS and OS after first-line therapy than those with *KRAS/LKB1* co-mutations⁶⁷ (Table 1). Probably, inconsistent frequencies of *KRAS/LKB1/TP53* tri-mutations in the enrolled patients of these two studies bias the prognostic value of this mutational pattern. Or else, the missense type of *KRAS* was reported to impact NSCLC patient prognosis.¹⁸ This may account for the distinct prognosis of patients with the *KRAS/LKB1/TP53* tri-mutation between these two studies.

As in chemotherapy, data concerning the effectiveness of ICI therapy on LUAD patients with the *KRAS/LKB1/TP53* tri-mutation have rarely been reported to date. Although a retrospective study from a single centre reported that, after ICI therapy, LUAD patients with the *KRAS/LKB1/TP53* tri-mutation had a PFS similar to those with the *KRAS/TP53* co-mutation,³² such a tri-mutation pattern should not be expected to enable LUAD patients to respond to ICI therapy in

the same way as the *KRAS/TP53* co-mutation does. Intrinsically, wild-type p53 has been found to maintain the expression of *LKB1* gene at a basal level in the absence of acute cell stress.⁶⁷ However, most mutant *TP53* genes have errors in encoding DNA-binding regions, including the region that can bind with *LKB1* promoter.^{19,67} As a result, mutation of *TP53* leads to a reduced expression of *LKB1*, which has been revealed in tumour cells.⁶⁷ In this case, LUAD patients with the *KRAS/TP53* co-mutation do not completely lose functional proteins encoded by *LKB1* gene in their tumours, a remarkable difference from those harbouring the *LKB1* gene inactivation, which results in depletion of LKB1 protein in LUAD cells.^{14,16} In fact, the TCGA data revealed that in addition to a significant upregulation of PD-L1 expression, mRNA levels of genes encoding HLA-DR, CD28, CD86, CTLA-4 and TIM-3 in the *KRAS/LKB1/TP53* tri-mutation group showed no differences from those in the *KRAS/LKB1* co-mutation group¹⁸ (Table 1). But in fact, LUAD with the *KRAS/LKB1/TP53* tri-mutation had a lower amount of cancer cells positive for PD-L1 expression than those with the *KRAS/TP53* co-mutation²³ (Table 1). From these aspects, we can speculate that the absolute number of T cells will be reduced in tumours with the *KRAS/LKB1/TP53* tri-mutation. On one hand, molecules including HLA-DR, CD28, CD86, CTLA-4 and TIM-3 are mainly expressed by T cells. On the other hand, genes encoding G-CSF and CXCL-7 were revealed to significantly increase their expression in lung tumours of mice bearing *Kras/Lkb1/Trp53* tri-mutations, thus causing the accumulation of immunosuppressive neutrophils in tumours.²⁴ In this regard, it suggests that the immune milieu in tumours with the *KRAS/LKB1/TP53* tri-mutation should be suppressive.

In addition, it was found that LUAD cells with the *KRAS/LKB1/TP53* tri-mutation exhibited different responses to MEK or JAK inhibition, presenting with increased sensitivity to JAK inhibition with the elevated production of IL-6 by tumour cells but showing resistance to MEK-inhibition-induced cell death.⁶⁸ LUAD cells with the *KRAS/LKB1* co-mutation were tested to have these biological responses occurring to a similar degree with those bearing the *KRAS/LKB1/TP53* tri-mutation.⁶⁸ In contrast, LUAD cells with the *KRAS/TP53* co-mutation were found to be sensitive to MEK inhibition but resistant to JAK-inhibition-induced cell death.⁶⁸ These results

indicate that LUAD cells with the *KRAS/LKB1/TP53* tri-mutation may share common features in terms of their biological activity and fate with LUAD cells with the *KRAS/LKB1* co-mutation, which is, at least in part, manifested by IL-6 elevation and apoptosis in response to JAK inhibition. As a pro-tumorigenic cytokine, IL-6 functions in inducing angiogenesis and immunosuppression in tumours in addition to increasing cancer cell survival, proliferation and invasiveness.⁶⁹ In this regard, JAK inhibition-induced IL-6 production by apoptotic cancer cells probably assists in improving the resistance of living cancer cells to anticancer therapies. Therefore, cancer immunity commitment after prior anticancer therapies will be critical in predicting whether LUAD patients carrying the *KRAS/TP53/LKB1* tri-mutation can benefit from the coming ICI therapy.

Conclusions

The nature of the tumoral immune milieu in *KRAS*-mutant LUAD is not unique. Typically, *KRAS/LKB1* and *KRAS/TP53* co-mutations generate opposite immune milieus in tumours and responses to ICI therapy. Investigating *LKB1* and *TP53* mutations probably leads to predictions with higher precision for the effectiveness of ICI therapy in LUAD than those based on PD-L1 or the *KRAS* mutation alone. However, in LUAD cases negative for *EGFR* mutation and *ALK/ROS1* fusion, current clinical practice guidelines strongly recommend tumoral PD-L1 expression as the conventional test, not detecting the *KRAS* mutation. Because *KRAS* mutations occur most frequently in LUAD, testing mutations in *LKB1*, *TP53* and *KRAS* in addition to PD-L1 expression may be more effective for guiding the clinical use of ICI therapy (Figure 2).

Conflict of interest statement

The authors declare that there is no conflict of interest.

Contributions

GM and CP jointly wrote this paper. XT prepared the figure and table. CP conceived the topic of this paper.

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