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An Association between Right Ventricular Dysfunction and Sudden Cardiac Death

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Abstract

Background: The effectiveness of severely reduced left ventricular ejection fraction (LVEF <35%) as a predictor of sudden cardiac death (SCD) has diminished, and improvements in risk stratification await discovery of novel markers. Right ventricular (RV) abnormalities can be observed in conditions such as chronic obstructive pulmonary disease and sleep apnea, which have been linked to SCD.

Objective: We evaluated whether RV abnormalities were associated with SCD after accounting for LVEF and other patient characteristics.

Methods: In a large, prospective ongoing community-based study of SCD in the Portland OR metro area, SCD cases (age 18, 2002–2014) were compared to controls with coronary artery disease but no SCD. Using a novel archive of digital echocardiograms, a standardized approach was employed for evaluation of basal RV diameter (RVBD), RV end-diastolic area (RVEDA), and RV fractional area change (RVFAC).

Results: A total of 350 subjects were studied, including SCD cases (n=81, 68.7 ± 13.6 years, 73% male) and controls (n=269, 66.5 ± 10.2 years, 69% male). In multivariate analysis, RVFAC was significantly associated with SCD (OR: 1.14 for each 5% decrease; 95% CI: 1.03–1.25; p=0.01). When modeled with LVEF < 35%, RVFAC < 35% was significantly associated with

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increased risk of SCD. Individuals with both LV and RV dysfunction had a three times higher odds of SCD than those with neither (OR: 3.19; 95% CI: 1.33–7.68; $p=0.01$).

Conclusion: RV dysfunction was associated with a significantly increased risk of SCD independent of LVEF, and when combined with LVEF, had additive effects on SCD risk.

Keywords

cardiac arrest; sudden death; right ventricle; risk prediction; echocardiogram

Introduction

Sudden cardiac death (SCD) remains a significant public health problem worldwide with an annual incidence in the United States of approximately 350,000.¹ In the landmark primary prevention trials, implantable cardioverter defibrillators (ICD) were shown to reduce mortality from SCD by delivering timely shock therapies.^{2,3} Indications for ICDs, however, remain narrow and reliant primarily on a reduced left ventricular ejection fraction (LVEF) of less than 35%.⁴ Recent studies have indicated that LVEF <35% is likely to be inadequate as the sole risk stratification criterion for SCD especially since it only accounts for approximately one third of cases.^{5–9} In a recent meta-analysis performed from the ARIC (Atherosclerosis Risk in Communities) and CHS (Cardiovascular Health Study) cohorts, Konety and colleagues reported associations between SCD and multiple echocardiographic predictors of SCD including LVEF, mitral annular calcification, increased left ventricular mass, increased left atrial diameter, and abnormal LV geometry.¹⁰ With these findings, we know that the echocardiogram is likely to provide more SCD predictors than the LVEF alone. Recently, conditions such as chronic obstructive pulmonary disease¹¹ and obstructive sleep apnea¹² have been associated with increased SCD risk. Both of these conditions are known to be causal in pulmonary hypertension¹³ and have detrimental long-term effects on the function of the right ventricle (RV).^{14–16} To date, there have been few studies evaluating the impact of RV dysfunction on the risk of SCD and what the best echocardiographic assessment for this purpose may be. We therefore sought to determine whether standard measures of right ventricular function including fractional area change (RVFAC), end-diastolic area (RVEDA), and basal diameter (RVBD) could be utilized as novel SCD predictors.

Methods

Study Population:

Case subjects included in this analysis were drawn from the ongoing Oregon Sudden Unexpected Death Study (Oregon SUDS), from SCD cases that occurred between February 1, 2002 and January 31, 2015 in the Portland, Oregon metropolitan area (population of ~1 million). As previously published from Oregon SUDS,¹⁷ SCD cases were identified via multiple sources including fire department, ambulance services, local hospital emergency rooms, and the county medical examiner's office. SCD was defined as a sudden and unexpected pulseless condition of likely cardiac etiology if witnessed, and a sudden death within 24 hours of last having been seen in usual state of health if unwitnessed. All

identifiable non-cardiac causes of death including trauma, drug overdose, pulmonary embolism, cerebrovascular accident, or chronic terminal illness were excluded. Survivors of SCD were included as cases. All cases of SCD were adjudicated via a three-physician review panel with access to all available medical records and autopsy reports. Control subjects were obtained from multiple sources including chest pain patients attended by emergency medical services, outpatient clinics, patients undergoing angiography, and patients from a large health maintenance organization in the Portland metro area. Control subjects were selected to be enriched for coronary artery disease, and 91% of controls included in the analysis had coronary artery disease, defined by $\geq 50\%$ stenosis of a major coronary artery, history of myocardial infarction, or history of coronary artery bypass grafting or percutaneous coronary intervention. Controls with a prior history of ventricular arrhythmia or cardiac arrest were excluded. For this analysis we included all subjects age ≥ 18 with an available digital echocardiogram in electronic medical records. For SCD cases, the digital echocardiogram closest and prior to the SCD event was used for analysis. Digital echocardiogram files of cases and controls were de-identified and stored on a password protected digital archive in a core lab. This study was approved by the Institutional Review Boards of Cedars-Sinai Medical Center, Oregon Health and Science University, and all participating hospitals and health systems. All survivors of cardiac arrest provided informed consent; for non-survivors this requirement was waived.

Echocardiographic Assessment:

Using a three-physician blinded and standardized reading protocol, direct measurements were made from the digital echocardiograms of SCD cases and controls. The primary reader (SP) made all quantitative measurements of echocardiograms using ScImage PICOM 365 software (ScImage, Los Altos, CA). All measurements were then over-read by a second reader (TN), a cardiologist with special expertise in echocardiography. In case of disagreement, a second cardiologist with special expertise in echocardiography (TS) reviewed the echocardiogram and the majority vote determined the evaluation. LVEF was measured using the standard Simpson's biplane method in the four chamber and two chamber views. To determine the presence of left ventricular hypertrophy (LVH), measurements were made in the parasternal long axis of the left ventricular internal diameter in diastole (LVIDD), posterior wall thickness in diastole (PWTD), and interventricular septal thickness in diastole (IVSTD). Using the formula recommended by the American Society of Echocardiography, LV mass index was then calculated as $(0.8 \times (1.04 [(LVIDD + PWTD + IVSTD)^3 - (LVIDD)^3]) + 0.6)$ g divided by the body surface area in m^2 . LVH was defined as an LV mass index greater than $134 \text{ g}/m^2$ for men and $110 \text{ g}/m^2$ for women.¹⁸ Using the four-chamber view, measurements were made of RVEDA, RV end-systolic area (RVESA), and RVBD (Fig. 1) as per the 2010 ASE guidelines.¹⁹ RVFAC was calculated as the difference between RVEDA and RVESA, divided by RVEDA, and multiplied by 100%. Other measures of RV function such as tricuspid annular plane systolic excursion (TAPSE), RV index of myocardial performance (RIMP), tricuspid annular S' wave velocity, RV strain, and RV systolic pressure were not consistently measurable in the majority of digital echocardiograms and thus were not included for comparison in this study.

Statistical Analysis:

Baseline characteristics of SCD cases and controls including age, sex, and the presence of hypertension, diabetes mellitus, obesity (BMI ≥ 30), sleep apnea, and chronic obstructive pulmonary disease were compared using independent-samples t-tests with statistical significance set at a 2-tailed P value of ≤ 0.05 . Additionally, the presence of LVH, mean LVEF, and proportion with LVEF $\leq 35\%$ were also compared using available data from the digital echocardiograms. Similarly, the measured RV parameters of RVBD, RVEDA, and RVFAC were also compared.

Correlations were evaluated between RV measures of function and based on this a multivariable logistic regression analysis was used to calculate an odds ratio and 95% confidence interval for standard unit changes in RVEDA and RVFAC. An additional multivariable analysis was performed to evaluate SCD risk in subjects with only LVEF $\leq 35\%$ or RVFAC $\leq 35\%$, and those with both LVEF $\leq 35\%$ and RVFAC $\leq 35\%$. A joint distribution chi-squared analysis was also performed between these groups and the reference group of neither LVEF $\leq 35\%$ nor RVFAC $\leq 35\%$. Both logistic regression models were adjusted for age, sex, diabetes mellitus, LVH, and LVEF. All analyses were performed using SAS version 9.3 (SAS Institute Inc, Cary, NC).

Results

Baseline Characteristics:

Digital echocardiograms were available for a total of 81 SCD cases and 269 controls. For cases, 50 of the 81 (62%) had echocardiograms performed within one year of arrest. Controls had echocardiograms performed within one year of ascertainment for 210 of 269 (78%). As shown in Table 1, there was no significant difference between the groups by age or sex, with a male predominance in both groups. There was no difference in the prevalence of most comorbid conditions including hypertension, obesity, chronic obstructive pulmonary disease (COPD), and sleep apnea. However, the prevalence of diabetes mellitus was higher in SCD cases than controls (59% vs 40%, $p=0.001$), as was prevalence of left ventricular hypertrophy (LVH) (38% vs 17%, $p<0.001$). Mean LVEF was lower in SCD cases (0.44 ± 0.14 vs 0.47 ± 0.12 , $p=0.04$) and the proportion with LVEF $\leq 35\%$ was higher in SCD cases (32% vs 19%, $p=0.01$).

Measures of RV Function:

Differences were observed between SCD cases and controls for the mean values of each measure of RV function (Table 2). RVBD was higher in SCD cases (45.2 ± 9.9 vs 42.2 ± 8.7 , $p=0.008$). RVEDA was higher in SCD cases (24.7 ± 8.5 vs 22.4 ± 7.1 , $p=0.03$). RVFAC was lower in SCD cases (0.38 ± 0.14 vs 0.45 ± 0.14 , $p<0.001$). The proportion of subjects with RVFAC $<35\%$ was also somewhat higher in SCD cases (38% vs 28 ± 0.12 , $p=0.07$). A boxplot distribution of RVFAC is shown in Figure 2.

Before simultaneous modeling of RV and other measures, we examined correlations between RV variables. RVBD and RVEDA were strongly positively correlated ($r=0.75$, $p<0.001$), while RVFAC was less strongly and negatively correlated with both RVBD and

RVEDA ($r=-0.20$, $p<0.001$ and $r=-0.22$, $p<0.001$ respectively). Because RVBD is a component of RVEDA, we included only RVEDA in the combined model with RVFAC. As detailed in Table 3, in a multivariable analysis including both RVFAC and RVEDA, RVFAC was significantly associated with SCD (Odds Ratio [OR]: 1.14 for each 5% decrease; 95% confidence interval [CI]: 1.03–1.25; $p=0.01$). In this model however, RVEDA did not significantly affect the risk of SCD (OR: 1.10 for each 5 cm² increase; 95% CI: 0.92–1.32; $p=0.29$). As shown in Table 4, a separate multivariable analysis was also performed to determine the additive effect of RVFAC to LVEF in SCD risk prediction by modeling them together. For either those with only LVEF $\leq 35\%$ or RVFAC $\leq 35\%$ there was no significant increase in the risk of SCD. However, in those with both LVEF $\leq 35\%$ and RVFAC $\leq 35\%$, there was a significant increase in the risk of SCD (OR: 3.19; 95% CI: 1.33–7.68; $p=0.01$). The joint distribution for subjects with and without LV or RV dysfunction is demonstrated in Figure 3 showing 16% of SCD cases vs 7% of controls had both LVEF $\leq 35\%$ and RVFAC $\leq 35\%$ (chi-squared $p=0.03$).

Discussion

To our knowledge, this is the first population-based study to report the association between reduced RV function and increased risk of SCD. We evaluated several potential quantitative measures of RV function to determine which could be reliably obtained in clinically acquired echocardiograms. Incremental changes in RVFAC were significantly associated with SCD in a multivariable analysis, independent of LVEF. In addition, our analysis demonstrated that when combined with LVEF $\leq 35\%$, an RVFAC $\leq 35\%$ had an additive effect on prediction of SCD. This indicates that RVFAC has potential to enhance the current approach to SCD risk stratification beyond the LVEF.

Right ventricular dysfunction is emerging as a novel marker for risk stratification in SCD. Although RVFAC is a standard measure for echocardiographic assessment of right ventricular function,²⁰ it is not clear at this time which measure of RV function would be the most suitable for risk stratification. Prior work has identified RV dysfunction as a potential predictor of SCD risk, though it has not been shown for quantitative measures in clinically acquired echocardiograms. Aktas *et al.* reported that severe RV dysfunction as subjectively determined by the reader of a 2D echocardiogram was independently associated with a combined endpoint of ICD therapy or death in a population that received ICDs for primary prevention of SCD.²¹ More recently, Makami *et al.* prospectively used RV ejection fraction as measured by cardiac MRI, the gold-standard for determining RV function, and were able to demonstrate that a reduced RVEF was a strong, independent predictor of arrhythmic events in a population with known systolic dysfunction by LVEF of $\leq 54\%$.²² Risum *et al.* found that RV free wall strain as measured by 2D echocardiographic analysis was significantly associated with ventricular arrhythmias/SCD and superior as a predictor when compared to TAPSE in an acute myocardial infarction population.²³ One of the largest studies to date, by Naksuk *et al.* with 5463 subjects who had all been admitted to the coronary care unit at Mayo Clinic, Rochester, MN found that moderate to severe RV dysfunction as determined jointly by TAPSE, RV index of myocardial performance (RIMP), and tricuspid annular S' wave velocity, was an incremental predictor of SCD in both patients with LVEF $\leq 35\%$ or LVEF $>35\%$.²⁴ These studies all indicate that RV dysfunction by

various means of assessment can be predictive of SCD risk. In a recent meta-analysis by Lee *et al.*, RVFAC was compared with TAPSE in its ability to correlate with RVEF by cardiac MRI.²⁵ RVFAC was found to be superior in this regard, likely due to it being a two dimensional measure which allows it to account for regional differences in RV function.

Right ventricular failure can result from many different etiologies but most notably those that cause pulmonary hypertension by chronic hypoxemia.¹⁴⁻¹⁶ In 2015, Oregon SUDS demonstrated a link between COPD and SCD using 728 adjudicated cases.¹¹ COPD was significantly associated with SCD (OR 2.2) independent of LVEF, medications, clinical markers, and ECG markers using a propensity score matched analysis. This relationship was found to be even stronger in subjects who had COPD and used short acting beta agonists but no beta blockers (OR 3.3). Obstructive sleep apnea (OSA) has also been linked to SCD as shown by Gami *et. al* who prospectively ascertained 142 cases of SCD in a cohort of over 10,000 patients undergoing routine polysomnography.¹² They demonstrated that OSA along with its multiple parameters of severity were significantly predictive of SCD.

The mechanistic link between SCD and chronic hypoxic conditions such as COPD and sleep apnea requires further investigation, but there are several factors that can potentially be implicated. In individuals with heart disease, chronic hypoxemia would be expected to have a detrimental effect due to a reduced myocardial oxygen supply, especially during times of activity.²⁶ Chronic hypoxia is also known to cause right ventricular remodeling that may over time increase the arrhythmogenicity of the right ventricle by substrate modification.¹⁴ QTc intervals have been demonstrated to have increased duration and dispersion in both COPD and sleep apnea.²⁷⁻²⁹ These changes over time along with the increased sympathetic tone during hypoxic episodes³⁰ can increase the potential for ventricular ectopy and subsequent deadly arrhythmias. This is further supported in Oregon SUDS by the protective effect against SCD that cardio selective beta blockers seemed to have in COPD patients on short acting beta agonists.¹¹

At this time, there is significant evidence that the LVEF is inadequate as the primary risk stratification tool for SCD.⁵⁻⁹ In order to improve risk stratification, other novel markers need to be identified and studied for their additive benefit to the LVEF in risk prediction.³¹ LVH has been previously demonstrated to predict risk of SCD (OR 1.8) independent of severely reduced LVEF (OR 1.9) in the Oregon SUDS,³² with LVH and severely reduced LVEF having an additive effect on SCD risk (OR 3.5). Our study similarly demonstrates that RV dysfunction was independently associated with an increased risk of SCD and had an additive effect when combined with the LVEF. When RVFAC 35% was combined with LVEF 35%, SCD risk prediction improved with OR 3.19. Thus, this novel marker may have a significant prognostic value in predicting SCD and improving risk stratification strategies. Given the inherent limitations of a case-control design, these results are not yet definitive and larger prospective studies of RVFAC in comparison with other measures of RV function are warranted.

Limitations:

Given that SCD occurs relatively infrequently in the general population (approximately 50/100,000 residents), we used a population-based case-control design to accrue feasible

numbers for analysis. There are inherent limitations in community-based studies compared to cohort studies including missing information for patients that may not have seen a cardiologist and therefore did not receive an echocardiogram prior to their SCD event. Our results may be generalizable to individuals who have undergone clinically-indicated echocardiograms, a potentially important intermediate-risk population. Further, a digital echocardiogram file from each subject was required to perform a standardized reading of echocardiograms, which also reduced sample size. With this also comes the possibility that the selected cases may not be perfectly representative of the parent population. However, the comorbidity profile (obesity, hypertension, COPD, sleep apnea) was not significantly different comparing individuals with available digital echocardiograms to individuals who had echocardiogram results reported in clinical records but for whom no digital image was retrieved. Limiting the analysis to individuals with digital files available allowed standardized reading of all digital echocardiograms. Patients in our study did not have data on severity of pulmonary disease. We were able to assess pulmonary hypertension (by TR velocity) and loading (by diameter of the inferior vena cava) in a subset of echocardiograms, but each variable was missing for approximately 40% of subjects included in this analysis. When TR velocity and IVC diameter were included in a multivariable model in the subset with available data, the association of RVFAC with SCD was consistent though somewhat attenuated (from OR 1.14 per 5% decrease to OR 1.11 per 5% decrease). Future prospective studies would be well supplemented by also including data on loading, pulmonary artery pressures and severity of co-morbid pulmonary disease such as FEV1 for COPD and apnea-hypopnea-index for sleep apnea.

Conclusion:

In this population, RVFAC was independently associated with risk of SCD using a novel digital echocardiogram archive with a standardized reading protocol. Furthermore, when combined with LVEF, RVFAC had additive effects on SCD risk. These findings have potential implications for SCD risk stratification and warrant further prospective evaluation in larger populations.

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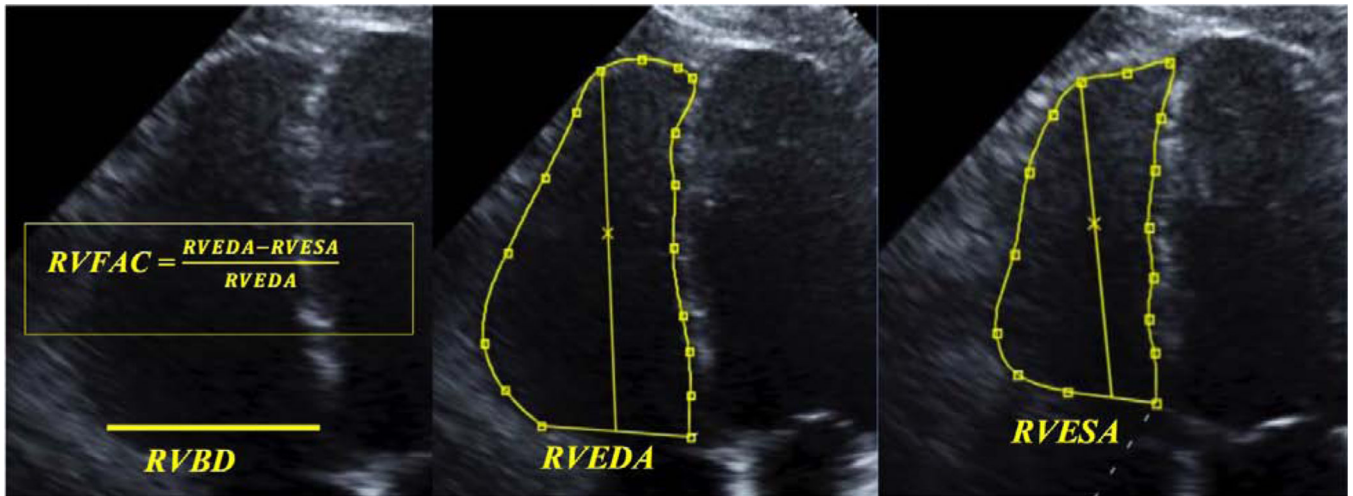


Figure 1: Measures of RV Function. RVBD: RV basal diameter. RVEDA: RV end-diastolic area. RVESA: RV end-systolic area. RVFAC: RV fractional area change

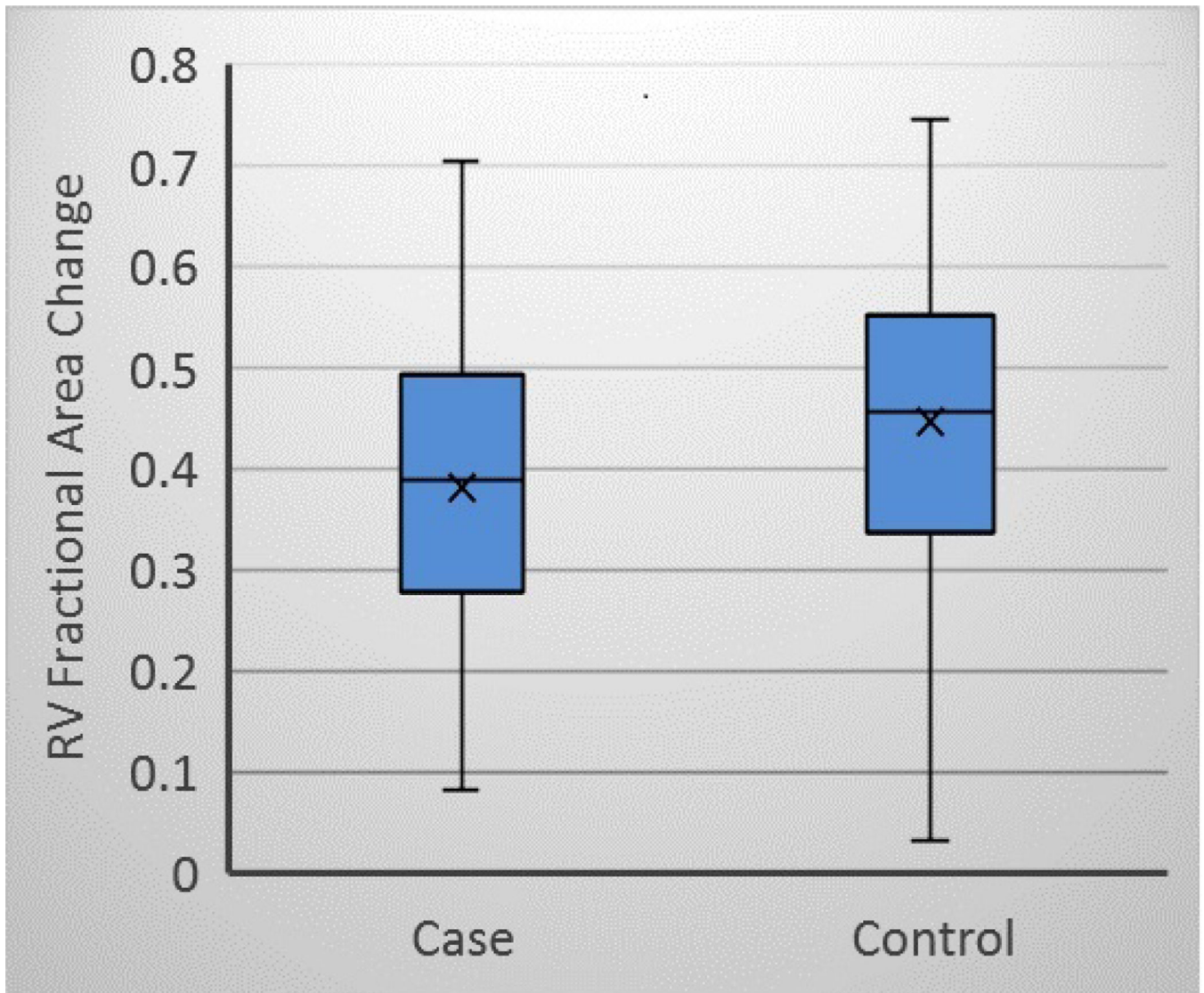


Figure 2:
RVFAC in SCD cases and controls. Cases RVFAC mean 0.38 ± 0.14 and controls 0.45 ± 0.14 , $p < 0.001$.

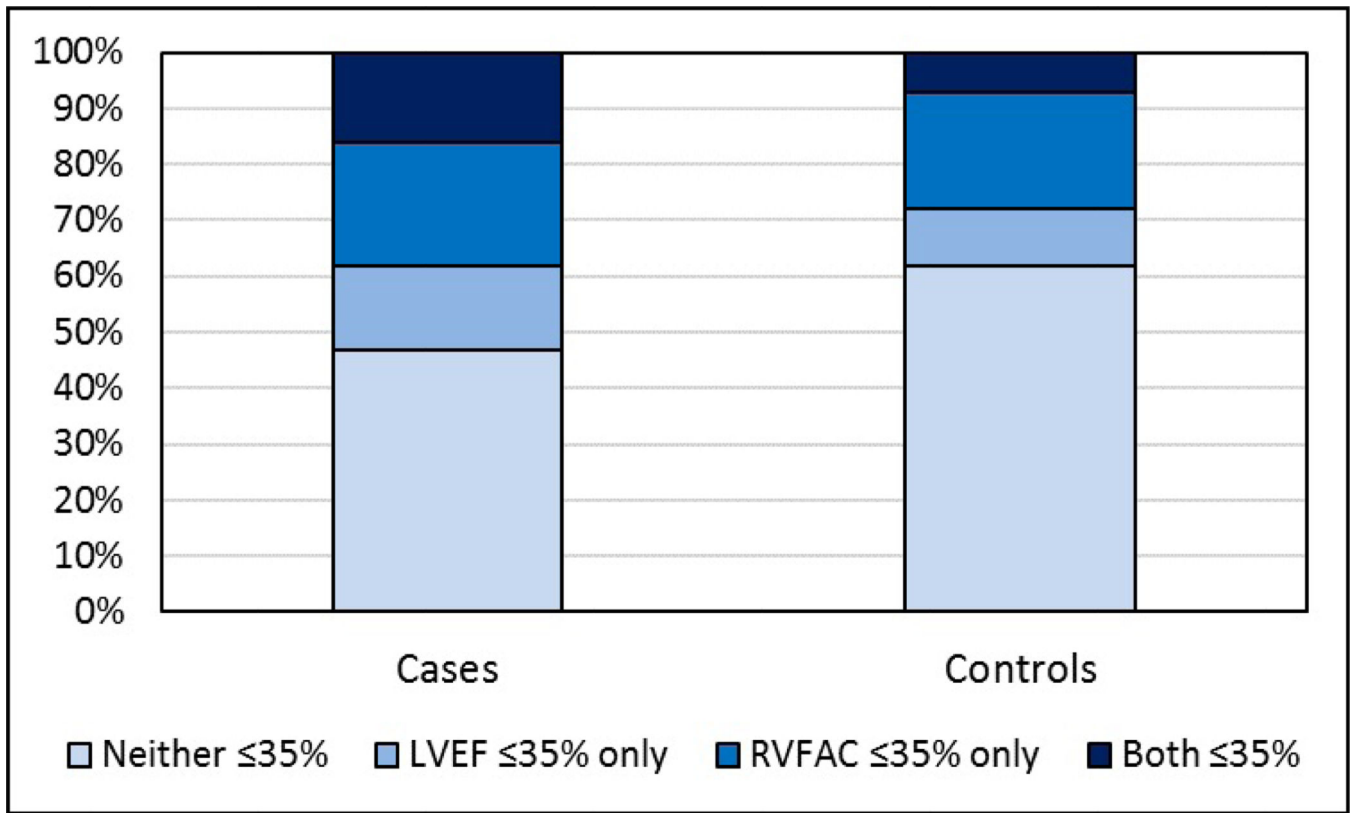


Figure 3: Joint Distribution for LV and RV dysfunction. The proportion of subjects with both LVEF and RVFAC $\leq 35\%$ was 16% of cases vs 7% of controls. Chi-square p-value=0.03

Table 1:

Baseline Clinical Characteristics of SCD cases vs controls

Total (n=350)	SCD Cases (n=81)	Controls (n=269)	p-value
Age, y	68.7 ± 13.6	66.5 ± 10.2	0.17
Male sex	59 (73%)	186 (69%)	0.52
Hypertension	69 (85%)	216 (81%)	0.35
Diabetes Mellitus	48 (59%)	104 (39%)	0.001
Obese (BMI ≥ 30)	33 (45%)	116 (44%)	0.92
Sleep Apnea	16 (20%)	34 (13%)	0.11
COPD	19 (23%)	44 (16%)	0.15
LVH	28 (38%)	45 (17%)	<0.001
Mean LVEF	0.44 ± 0.14	0.47 ± 0.12	0.04
LVEF < 35%	25 (31%)	47 (17%)	0.009

* Diabetes, hypertension, COPD, and sleep apnea history missing for 1 control; obesity missing for 7 cases and 5 controls; LVH missing for 7 cases and 9 controls.

Table 2:

Measures of RV function in cases vs controls

	Cases (n=81)	Controls (n=269)	p-value
RVBD (mean \pm SD)	45.2 \pm 9.9	42.2 \pm 8.7	0.008
RVEDA (mean \pm SD)	24.7 \pm 8.5	22.4 \pm 7.1	0.03
RVFAC (mean \pm SD)	0.38 \pm 0.14	0.45 \pm 0.14	<0.001
RVFAC 35%	31 (38%)	75 (28%)	0.07

* RVBD: Basal RV diameter; RVEDA: RV end-diastolic area; RVFAC: RV fractional area change

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Table 3:

Multivariable analysis for measures of RV function as predictors of SCD

	Odds Ratio	95% Confidence Interval	p-value
5cm ² increase in RVEDA	1.10	0.92–1.32	0.29
5% decrease in RVFAC	1.14	1.03–1.25	0.01

* Model adjusted for age, sex, diabetes, LVH, and LVEF including 74 cases and 259 controls with complete data.

Model C statistic: 0.702

Model C statistic without RV measures (including only age, sex, diabetes, LVH, and LVEF): 0.677

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Table 4:

Additive effects of RV and LV function as predictors of SCD

		Odds Ratio*	95% Confidence Interval	p-value
LVEF	35% only	1.99	0.89 – 4.48	0.10
RVFAC	35% only	1.33	0.66 – 2.70	0.42
Both LVEF and RVFAC	35%	3.19	1.33 – 7.68	0.01

* Reference category: Neither LVEF nor RVFAC 35%. Model adjusted for age, sex, diabetes, and LVH Model includes 74 cases and 259 controls with complete data. Model C statistic: 0.699

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