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## CERAMIDE IN APOPTOSIS AND OXIDATIVE STRESS IN ALLERGIC INFLAMMATION AND ASTHMA

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B.N.J. contributed to the design of the study, writing of the manuscript, analysis of the data, administered allergen challenges for Figs. 1 and 2, and performed immunoblotting, and measured ROS for Figs. 1, 3, and 4. C.O. contributed to the analysis of the study and immunoblotting for Fig. 3 and carried out the experiments described in Fig. 7B. J.L.S. performed the allergen challenges and measured airway resistance for Fig. 3. J.N. measured ROS for Fig. 3 and contributed to the statistical analysis in Fig. 6. R.M. and J.C.L. performed the allergen challenges and measured airway resistance for Figs. 1 and 3A–C. E.B. performed all staining and microscopy for Figs. 2, E2 and E3. C.W. purified epithelial cells, carried out experiments in Figs. E6 and Fig. 7A–D. E.N.D.P. purified neutrophils. M.A.M. measured apoptosis for Fig. E6. Data from the asthma cohort described in Fig. 6 were provided by J.B.T. and S.W. J.M.C-M. provided the samples from the  $\alpha$ -tocopherol diet/HDM experiment in Fig. 4. S.M. contributed to the analysis of data and writing of the manuscript. S.S. contributed to the conception and design of the study, analysis of data, and writing of the manuscript. All authors reviewed the results and approved the manuscript.

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## Abstract

**Background:** Nothing is known about the mechanisms by which increased ceramide in the lung contributes to allergic responses and asthma severity.

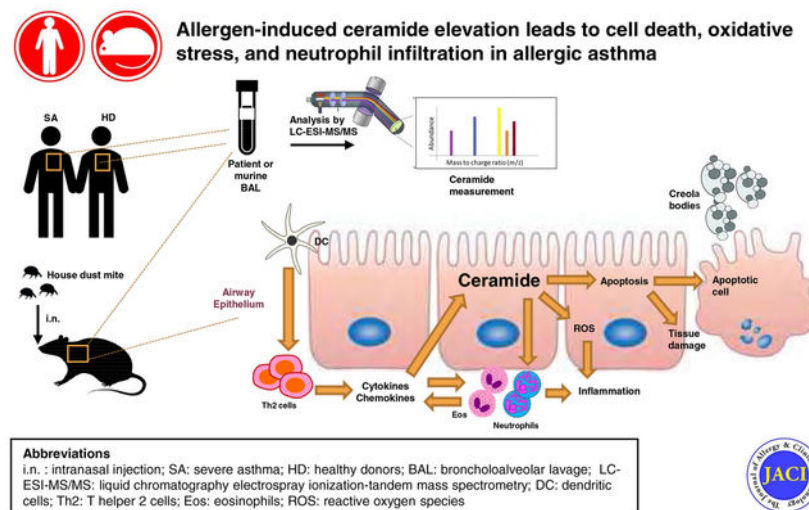
**Objective:** We sought to investigate the functional role of ceramide in mouse models of allergic airway disease that recapitulate the cardinal clinical features of human allergic asthma.

**Methods:** Allergic airway disease was induced in mice by repeated intranasal administration of house dust mite or the fungal allergen *Alternaria alternata*. Processes that can be regulated by ceramide and are important for severity of allergic asthma were correlated with ceramide levels measured by mass spectrometry.

**Results:** Both allergens induced massive pulmonary apoptosis and also significantly increased reactive oxygen species in the lung. Prevention of increases in lung ceramide levels mitigated allergen-induced apoptosis, reactive oxygen species, and neutrophil infiltration. In contrast, dietary supplementation of the anti-oxidant  $\alpha$ -tocopherol decreased reactive oxygen species but had no significant effects on ceramide elevation or apoptosis, indicating that the increases in lung ceramide levels in allergen-challenged mice are not mediated by oxidative stress. Moreover, specific ceramide species were altered in bronchoalveolar lavage fluid from patients with severe asthma compared to non-asthmatics.

**Conclusion:** Our data suggest that ceramide elevation after allergen challenge contributes to apoptosis, reactive oxygen species generation, and neutrophilic infiltrate that characterize the severe asthmatic phenotype. Ceramide might be the trigger of formation of Creola bodies found in the sputum of patients with severe asthma and could be a biomarker to optimize diagnosis and to monitor and improve clinical outcomes in this disease.

## Graphical Abstract



## Capsule Summary

The bioactive sphingolipid metabolite ceramide is increased in lungs of allergen-challenged mice and severe asthmatic patients, promotes apoptosis, oxidative stress and neutrophil recruitment that contribute to allergic asthma and correlates with asthma severity.

## Keywords

asthma; ceramide; apoptosis; oxidative stress; biomarker

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## INTRODUCTION

More than 25 million Americans suffer from asthma, a chronic airway disease marked by airflow obstruction, airway hyperreactivity, and pulmonary inflammation. The heterogeneous nature of asthma complicates treatment options and hampers the development of a cure. However, over the years, extensive research has advanced understanding of the initiation and progression of this disease. There is a strong genetic component to asthma and numerous genome-wide association studies (GWAS) have identified ORM (yeast)-like protein isoform 3 (*ORMDL3*) as a gene associated with the onset of allergic asthma, the most common type<sup>1-6</sup>. *ORMDL3* is one of 3 members of the mammalian *ORMDL* family proteins, which are endogenous negative regulators of serine palmitoyl transferase (SPT), the rate-limiting enzyme of the *de novo* sphingolipid biosynthesis pathway<sup>7</sup>. This evolutionarily conserved function of *ORMDL3* as a regulator of sphingolipid synthesis instigated interest into how sphingolipids may be involved in the pathology of asthma. Intriguingly, we found that although physiologically, *ORMDL3* is a negative regulator of ceramide *de novo* biosynthesis, highly elevated pathological *ORMDL3* expression, such as observed in allergic asthma, enhanced ceramide levels primarily due to increased sphingolipid degradation in the salvage pathway<sup>8</sup>. Ceramide, the central metabolite of the sphingolipid pathway<sup>9</sup>, is increased in lungs of guinea pigs by aerosol administration of ovalbumin<sup>10</sup> and in lungs of house dust mite (HDM) challenged mice<sup>8</sup>. Moreover, inhibition of ceramide production in the lung protected HDM challenged mice from inflammation and airway hyperreactivity<sup>8</sup>. In addition, intratracheal delivery of ceramide caused lung inflammation, tissue remodeling, and airway flow obstruction<sup>11</sup>. Because ceramide is a bioactive signaling molecule involved in the regulation of several biological processes that may contribute to asthma<sup>12, 13</sup>, these results led us to investigate the functional role of ceramide in mouse models of allergic airway disease that recapitulate the cardinal clinical features of human allergic asthma. Our data suggest that ceramide elevation after allergen challenge plays a key role in airway epithelial cell apoptosis, oxidative stress, and neutrophil infiltration, processes that amplify and contribute to asthma severity in mice and humans<sup>14, 15</sup>. Moreover, specific ceramide species were altered in bronchoalveolar lavage fluid (BALF) from severely asthmatic patients compared to non-asthmatics and correlated with airway neutrophilia, suggesting that ceramide has the potential to serve as a biomarker for specific asthma endotypes and disease severity.

## METHODS

### Mouse models of allergic asthma

Female C57BL/6J mice were obtained from Jackson Laboratories (Bar Harbor, ME) and housed in the animal care facilities at Virginia Commonwealth University under standard temperature, humidity, and timed light conditions and provided with standard rodent chow and water *ad libitum*. All animal protocols and procedures were approved by the

Institutional Animal Care and Use Committee at Virginia Commonwealth University. In an acute HDM-dependent asthma model, eight-week-old mice were challenged intranasally (i.n.) with house dust mite (15 µg HDM/25 µL saline) from Greer Laboratories (Lenoir, NC; XPB70D3A2.5) or saline on days 1 – 5 and days 8 – 12, for a total of 10 challenges. To inhibit ceramide biosynthesis, thirty minutes before HDM challenge, mice were injected intraperitoneally (i.p.) with vehicle, myriocin (0.3 mg/kg), or FB1 (0.5 mg/kg) on days 10, 11, and 12. This route of administration was selected as instilling these inhibitors directly to the lung induced massive damage and i.p. treatment with fumonisin B1 (FB1) and myriocin at these concentrations did not affect circulating lymphocytes<sup>16</sup>.

In a HDM model of allergic asthma with a strong antigen-specific IgE response, female mice (8 weeks old) were immunized by an i.p. injection of HDM (50 µg in 100 µl saline) together with 100 µl of Imject alum on day 1. On days 15, 18, and 21, mice were challenged i.n. with HDM (25 µg in 25 µl saline).

For the fungal allergen model, mice were challenged i.n. with *Alternaria alternata* (25 µg Alt/25 µl saline) from Greer Laboratories (XPM1D3A2.5) on days 1, 4, 7, and 10.

### **Tocopherol diet**

C57BL/6J mice were challenged intratracheally (i.t.) with HDM (10 µg/50 µl saline) or saline 3 times per week for a total of 6 or 8 challenges. During these challenges, mice were fed either an  $\alpha$ -tocopherol-supplemented (250 mg/kg) diet or standard rodent chow diet. 24 h following the last challenge, lung tissue was collected<sup>17</sup>.

### **Assessment of airway function**

Mice were anesthetized with a mixture of ketamine (100 mg/kg), xylazine (10 mg/kg), and acepromazine (2 mg/kg) i.p. followed by insertion of an 18-gauge cannula into the trachea and placement onto the FlexiVent apparatus (Scireq, Montreal, Canada). Ventilation was started and mice were injected i.p. with the paralytic decamethonium bromide (0.5 mg). Airway hyperresponsiveness was measured in response to increasing doses of nebulized methacholine (0–100 mg/ml) as described<sup>8</sup>. Whole respiratory system resistance (R) was reported as calculated (cmH<sub>2</sub>O/mL/sec) in the FlexiVent software version 8.0.4.

### **Mass spectrometry measurements of sphingolipids**

Lipids were extracted from lung tissue and sphingolipids were quantified by liquid chromatography electrospray ionization-tandem mass spectrometry (LC-ESI-MS/MS), as previously described<sup>8</sup>.

### **Measurement of reactive oxygen species**

Reactive oxygen species (ROS) were measured in snap-frozen lung tissue homogenized in PBS using a general oxidative stress indicator<sup>14</sup>. Briefly, lung tissue homogenates were incubated with 0.04 mM CM-H<sub>2</sub>DCFDA (ThermoFisher) for 20 min at 37° C on a thermomixer (Eppendorf) and then centrifuged at 1400 rpm for 5 min at 4° C. Aliquots of the supernatants (50 µL) were placed in wells of a 96 well black bottom plate with clear bottoms (CELLSTAR). Fluorescence was measured with a TECAN infinite M1000 Pro plate

reader at an excitation wavelength of 495 nm and emission wavelength of 527 nm and normalized to protein concentration measured with the BCA Protein Assay Kit (ThermoFisher).

### Clinical cohort and sample collection

Asthmatic and healthy subjects were previously recruited to the Severe Asthma Research Program (SARP1–2), an NHLBI-funded study designed to characterize molecular, cellular, and physiological phenotypes of severe and non-severe asthmatics<sup>18</sup>. Details regarding SARP1 were described previously<sup>19</sup>. Subjects 13 years of age and older with asthma and healthy control subjects were recruited and American Thoracic Society guidelines were used to categorize subjects as severe (n = 10) or non-severe asthma (n = 5) or healthy controls (n = 5)<sup>20</sup>. Control subjects were nonsmokers with no history of lung disease. BAL was performed with five 50 mL aliquots of saline, and BALF was recovered by hand suction. An aliquot of cell-free BALF supernatant (1 mL) stored at –80°C was shipped to VCU for sphingolipid analyses.

### Statistical analyses

Statistical significances were determined with unpaired 2-tailed Student's t test for comparison of 2 groups, or by ANOVA for multiple comparisons using GraphPad Prism 7.0 software (San Diego, CA). For all experiments, the normality of each group was first checked with the Shapiro-Wilk statistical test. In case of non-normally distributed data, Mann Whitney or Kruskal-Wallis tests were done. Significance defined as \*P < 0.05, \*\*P < 0.01, and \*\*\*P < 0.001. Experiments were repeated three times with similar results. For clinical correlation analyses, Pearson's product-moment correlation (r) and the strength of the relationship (p-value) for BALF sphingolipid species and lung function and cell infiltration measurements were calculated and generated a correlation matrix plotted using Prism 7. Highly significant correlations were accepted for r>0.7 and r< –0.7 with P-values <0.05. For neutrophil populations, Spearman non-parametric correlations were determined.

BALF collection and analysis, isolation of primary lung alveolar epithelial cells, apoptosis determination with Annexin V and 7-AAD, measurement of ROS production by epithelial cells, neutrophil isolation and neutrophil attachment assays, immunocytochemistry and western blot analysis are detailed in the Methods section in this article's Online Repository.

## RESULTS

### HDM challenge increases lung ceramide accompanied by increased apoptosis and ROS

To examine the role of ceramide in allergic asthma, mice were sensitized with ten intranasal injections of HDM over the duration of two weeks. This acute model stimulates airway inflammation and hypersensitivity and excessive mucus production commonly observed in asthmatic patients<sup>21, 22</sup>. Consistent with previous studies<sup>8, 23, 24</sup>, mice sensitized with HDM developed features of allergic asthma, including marked increase in BALF of eosinophils, as well as T and B cells and neutrophils to a lesser extent (Fig. 1A), and airway hyperresponsiveness (AHR) (Fig. 1B). Although a recent study suggested that HDM challenge did not affect ORMDL3 expression<sup>25</sup>, in accordance with previous reports<sup>8, 26, 27</sup>,

we observed that HDM induced a robust increase in expression of ORMDL3 in lungs (Fig. 1C). As we<sup>8</sup> and others<sup>25</sup> reported previously, there was a significant increase in levels of multiple ceramide species with varying acyl chain lengths, particularly the predominant lung species C16:0, C24:0 and C24:1 in lungs of HDM challenged mice (Fig. 1D). However, levels of the major phosphatidylcholine 34:2 species were unaltered (Fig. 1E), suggesting that there was a specific increase in the bioactive sphingolipid metabolite ceramide.

To begin assessing the role of ceramide, we examined processes in which ceramide is known to play key roles, including apoptosis and generation of ROS<sup>9, 28</sup> that could contribute to allergic asthma<sup>12, 13</sup>. Exposure to allergens induces extensive damage and apoptosis of airway epithelium that are related to both chronicity and severity of asthma<sup>29–31</sup>. Indeed, Creola bodies, which are clusters of apoptotic lung epithelial cells, have long been described in the sputum of asthmatic patients<sup>29, 32</sup>. Yet little is known of the initial trigger of the apoptotic process. In agreement with others<sup>14, 33</sup>, we found that HDM challenge induced activation of caspase 3, the final executionary step preceding apoptosis, as measured by increased levels of cleaved caspase 3 in immunoblots (Fig. 1C). Increased apoptosis in the lungs of HDM challenged mice was also confirmed by immunohistochemical staining with antibody against cleaved caspase 3 (Fig. E1). Since elevated ceramides can increase ROS leading to apoptosis<sup>9, 34</sup>, and it was shown that ROS and oxidative stress contribute to asthma pathology in mice and humans<sup>14, 15</sup>, we next measured ROS production. HDM exposure increased lung ROS measured fluorometrically with CM-H2DCFDA (Fig. 1F).

Consistent with elevation of lung ceramide determined by mass spectrometry (Fig. 1D), lung sections from HDM-challenged mice but not saline treated mice also displayed increased staining with a specific anti-ceramide antibody<sup>35</sup> (Fig. 2A and Fig. E2). Lung cells with increased ceramide staining also showed increased co-staining for activated/cleaved caspase 3 (Fig. 2A), suggesting that ceramide may contribute to the increased apoptosis. Ceramide staining only partially co-localized with airway smooth muscle cells (Fig. E3). Importantly, increased ceramide and cleaved caspase-3 staining predominantly co-localized with cytokeratin 18, a marker for epithelial cells (Fig. 2B and Fig. E4), which are the first line of defense against inhaled allergens and are now recognized as important players in asthma pathogenesis<sup>36</sup>.

### **Lung ceramide, apoptosis, and ROS are increased in several mouse models of allergic asthma**

We next expanded our studies to examine effects of ceramide elevation, apoptosis, and ROS in other murine models of experimental asthma. In a model that induces a strong antigen-specific IgE response and mast cell hyperplasia with moderate eosinophilia<sup>37, 38</sup>, mice were sensitized i.p. with HDM in alum as an adjuvant and then challenged intranasally three times with HDM alone. Sensitization and challenges with HDM/alum induced AHR (Fig. 3A) with a significant increase in IgE and activation of mast cells (Fig. 3B and data not shown). In parallel, there was an increase in neutrophils and eosinophils in BALF (Fig. 3C). ORMDL3 expression was also increased (Fig. 3D), as well as many ceramide species (Fig. 3E). Concomitantly, caspase 3 activation (Fig. 3D) and generation of ROS (Fig. 3F) were also significantly increased.

To exclude HDM-dependent effects and to examine responses to other potent allergens, mice were exposed to the fungal extract of *Alternaria alternata*, another common allergen associated with allergic asthma disease<sup>39</sup>. As was reported previously<sup>25, 27, 40</sup>, *Alternaria* induced the hallmark features of asthma in mice, including AHR, lung and airway inflammation, eosinophilia (Fig 3G,H), as well as increased ORMDL3 (Fig. 3I). In addition to increased ORMDL3, there was also significantly increased ceramide levels, particularly C16:0, C24:0, and C24:1 species, in the lungs of sensitized mice (Fig. 3J). Concurrently, caspase 3 cleavage (Fig 3I) and ROS were also increased compared to saline treated mice (Fig. 3K). Taken together, these results demonstrate that challenge with diverse allergens increases ceramide levels that correlate with induction of apoptosis and increased levels of ROS in the lung.

### Allergen induced ceramide and apoptosis is independent of ROS production

The links between ceramide, ROS, and apoptosis are complex. On the one hand, increased ROS in the lung can lead to increased ceramide production and apoptosis<sup>41, 42</sup>. On the other hand, increased ceramide can lead to ROS production and apoptosis<sup>34, 42, 43</sup>. Therefore, it was of interest to complete the elucidation of the sequence of events prevalent during allergic asthma and determine the effects of suppressing ROS production on ceramide and apoptosis. To this end, HDM-challenged mice were fed a normal diet supplemented with the anti-oxidant  $\alpha$ -tocopherol, a vitamin E isoform that has been shown to decrease ROS *in vivo* and lung inflammation in response to HDM challenge<sup>17, 44</sup>. As expected, the  $\alpha$ -tocopherol-supplemented diet significantly reduced lung ROS levels in HDM challenged mice compared to normal diet (Fig. 4A). In contrast, however,  $\alpha$ -tocopherol supplementation did not decrease elevation of lung ceramide species induced by HDM challenge (Fig. 4B). Similarly, decreasing ROS production in these mice did not suppress apoptosis as measured by caspase 3 activation (Fig. 4C). These results suggest that allergen-induced increased ceramide and apoptosis are independent of its effects on ROS.

### Allergen-induced ROS and apoptosis is ceramide-dependent

We have previously shown that treatment of HDM-challenged mice with the SPT inhibitor myriocin or fumonisins B1 (FB1), an inhibitor of ceramide synthases (CerS) (Fig. 5A), for the last 3 days of allergen challenge reduced lung ceramide levels and markedly suppressed AHR to methacholine<sup>8</sup>. Therefore, we examined whether preventing ceramide elevation affects apoptosis or ROS production. Indeed, i.p. treatment with myriocin 30 min prior to HDM challenges on days 10, 11, and 12, prevented elevation of all ceramide species in BALF (Fig. 5B), more potently than treatment with FB1. This data suggests that ceramide elevation is due to increased biosynthesis and salvage/recycling to a lesser extent. In agreement, dihydroceramides, precursors of ceramides and intermediates in its *de novo* biosynthesis, are also increased in lung<sup>8</sup> and in BALF from HDM challenged mice and are decreased by these inhibitors (Fig. 5B). Acidic sphingomyelinase, which cleaves sphingomyelin to ceramide, has been implicated in bronchial asthma in mice<sup>45</sup>. However, in contrast to significant increases in ceramides and dihydroceramides, sphingomyelin levels were essentially unchanged after exposure to HDM (Fig. E5), indicating that sphingomyelin degradation is not a major contributor to ceramide elevation. Interestingly, treatment with these inhibitors not only mitigated the increase in ceramides, they markedly reduced lung

epithelial cell apoptosis induced by HDM as demonstrated by reduced TUNEL staining (Fig. 5C) and reduced caspase 3 cleavage in western blots (Fig. 5D) and also completely prevented ROS formation in the lung (Fig. 5E). These results suggest that allergen-induced apoptosis and ROS production in the lung are due to elevated ceramide.

To elucidate the direct effect of HDM and increased ceramide on apoptosis and ROS formation, primary lung epithelial cells were treated with HDM or D-erythro-C6-ceramide, which is converted in cells to endogenous C16- and C24-ceramide<sup>46</sup>. Both HDM and C6-ceramide reduced viable cells with an increase in both early and late apoptotic cells (defined as Annexin V<sup>+</sup>) (Fig. E6A,B), as well as ROS generation, measured by fluorescence of intracellular oxidized DCF (Fig. E6C). FB1 inhibited apoptosis and ROS generation induced by HDM or C6-ceramide, whereas myriocin mitigated the effects of HDM but was less effective in C6-ceramide treated cells, consistent with the notion that generation of endogenous ceramides from short-chain ceramides requires deacylation and reacylation catalyzed by CerS<sup>46</sup>. These results suggest that, even in the absence of immune cells, HDM on its own can trigger bronchial epithelial cell apoptosis and ROS generation in a ceramide-dependent manner.

### Levels of specific ceramide species associate with asthma severity in patients

Next, it was of interest to determine whether ceramide levels were also increased in asthmatic patients. BALF is the most reliable specimen to examine the fluid lining of the lower respiratory tract and is often used for physiologically relevant asthma studies<sup>47</sup>. Therefore, sphingolipid profiles were determined in BALF from healthy controls and from asthmatic patients recruited from SARP1–2<sup>19</sup> and classified by clinical activity as having no, mild, or severe disease. Relative to non-severe asthmatics, individuals with severe asthma had higher SARP Severity type scores. Spirometric measures of lung function including FEV1% and FVC% were lower in severe asthmatics than in non-severe asthmatics or healthy controls despite the usage of higher doses of inhaled corticosteroids and oral corticosteroids by severe asthmatics (Table I).

All ceramide species in BALF from severe asthmatics, except C18:1, were increased compared to healthy controls, with significant increases in C20, C26, and C26:1 ceramides (Fig. 6A). However, these increases in ceramide species were not observed in mild asthmatics. Somewhat unexpectedly, there were no significant differences in BALF levels of the bioactive sphingolipid metabolite sphingosine-1-phosphate (S1P) or the sphingoid bases, sphingosine and dihydrosphingosine, among the three groups (Fig. 6A).

Next, we examined whether changes of these ceramide species in the BALF correlated with lung function or immune cells infiltration. Several strong correlations were revealed by unbiased correlation matrix analysis (Fig. 6B). All ceramides with different acyl chain lengths correlated with each other. Remarkably, there were also positive correlations between ceramides, particularly C16:0, C26:1, and C26:0, with the degree of neutrophil infiltration (Fig. 6BC). Moreover, these species also correlated with airway obstruction determined by FEV1pp and FEV1/FVC (Fig. 6C). Taken together, these data suggest that specific ceramide species correlate with markers of asthma severity and airway neutrophilia,



which has been associated with asthma exacerbations that are refractory to corticosteroid treatment<sup>48</sup>.

### Involvement of ceramide in HDM-induced recruitment of neutrophils into the lung

We previously found that blocking ceramide elevation prevented AHR and decreased accumulation of eosinophils in HDM challenged mice<sup>8</sup>. Whereas type 2 eosinophilic inflammation is found in most asthmatics, a subset of patients has severe debilitating disease with neutrophil-predominant lung inflammation<sup>48</sup>. Because we found association in asthmatic patients between ceramide, asthma severity, and airway neutrophilia (Fig. 6B,C), it was of interest to examine the involvement of ceramides in aeroallergen-induced neutrophil recruitment into the lung. Immunofluorescence staining of lung sections with neutrophil-specific anti-Ly6G<sup>49</sup> revealed intense staining after HDM exposure, which was greatly reduced by treatment with myriocin or FB1 (Fig. 7A). Likewise, staining for myeloperoxidase (MPO), found predominantly in neutrophil granules and a marker of their activation, was increased by HDM and suppressed by the inhibitors of ceramide formation (Fig. 7A). Furthermore, consistent with a previous report<sup>50</sup>, increasing lung ceramide by intranasal instillation of C16:0 ceramide, significantly increased numbers of neutrophils (CD11b<sup>+</sup>CD11c<sup>-</sup>SiglecF<sup>-</sup>Ly6G<sup>+</sup>) in the BALF (Fig. 7B).

To further examine whether increased ceramide in the epithelium triggers neutrophil recruitment, primary lung epithelial cells were treated for 20 h with HDM or D-erythro-C6-ceramide in the absence or presence of FB1 or myriocin to increase or suppress ceramide elevation, respectively. Treatment of primary lung epithelial cells with HDM or C6-ceramide increased neutrophil adhesion to the epithelial monolayer, which was reduced by myriocin or FB1 (Fig. 7C,D). Taken together, this data support a role for ceramide elevation in allergen-initiated lung neutrophil recruitment.

### Discussion

Exposure to airborne allergens such as HDM and *Alternaria alternata* are major causes of allergic asthma. The airway epithelium is the first line of defense of the lung and plays a key role in allergic sensitization and remodeling<sup>36, 51</sup>. These aeroallergens can also induce apoptosis and oxidative stress of the airway epithelium in mice, compromising its barrier function, increasing susceptibility to lung inflammation, leading to exacerbation of asthma<sup>36</sup>. However, the underlying mechanisms that trigger these events have not been fully elucidated. Here we demonstrated that HDM and *Alternaria* induced significant increases in the pro-apoptotic sphingolipid metabolite ceramide in bronchial epithelium. Prevention of ceramide elevation mitigated HDM-induced lung apoptosis, oxidative stress, and neutrophilia indicative of the critical role of ceramide in activating these processes. Importantly, pronounced reduction of AHR, eosinophils<sup>8</sup> and neutrophils in BALF, apoptosis, and oxidative stress was observed even when inhibitors of ceramide production were only administered during the late asthmatic responses. These observations provide direct evidence for the importance of ceramide in asthma-induced pathology.

Clusters of apoptotic bronchial epithelial cells, known as Creola bodies, have long been noted in the sputum of severe asthmatics<sup>32, 52</sup>. Creola bodies were detected in sputum of

60% of pediatric asthmatic patients, which correlated with mobilization into the airway of neutrophils but not eosinophils<sup>29, 53</sup>. Consistent with data from aeroallergen challenged mice<sup>14, 54</sup>, there are several reports of apoptotic epithelial cells in endobronchial biopsies of adult patients with chronic, persistent asthma but not in biopsies from healthy volunteers<sup>29–31, 55</sup>. Based on our data, we speculate that ceramide elevation leading to caspase 3 activation, a crucial mediator of apoptosis, is the initial trigger of the apoptotic process leading to Creola body formation.

The recruitment and activation of neutrophils must be tightly regulated to balance their effector functions with their ability to damage tissues by release of proteases and ROS<sup>56</sup>. Our finding that allergen-driven airway neutrophilia was decreased by blocking ceramide elevation is important as neutrophilic inflammation in the asthmatic lung is associated with impaired lung function and more severe disease<sup>48, 56, 57</sup>. Ceramide treatment of neutrophils enhanced several pro-inflammatory pathways, including chemotaxis, phagocytosis, and neutrophil extracellular trap (NET) formation<sup>58</sup>, a process by which neutrophils externalize web-like chromatin strands containing antimicrobial peptides, proteases, and cytotoxic enzymes<sup>59</sup>. Recently it was shown that NET formation is induced in allergic lung after exposure to an aeroallergen<sup>59</sup>. Thus, it is possible that elevation of ceramide we observed in the lung and BALF may also contribute to neutrophilic inflammation in severe asthma.

Allergic asthma is associated with increases in ROS that are critical for the initiation of inflammatory responses<sup>60</sup>. ROS levels are elevated in the lavage fluid of asthmatic patients, likely produced by NADPH oxidase in immune cells infiltrating the lungs or by airway epithelial cells<sup>61</sup>. Markers of oxidative stress, including nitric oxide and 8-isoprostane, are elevated in exhaled breath condensate during asthma exacerbation and in patients with severe asthma<sup>62</sup>. Consistent with previous studies<sup>14, 63</sup>, we observed that HDM and *Alternaria* challenges induced lung ROS generation. Moreover, inhibitors of ceramide generation also blocked ROS production in response to these aeroallergens. However, dietary supplementation of  $\alpha$ -tocopherol, the isoform that contributes to the anti-oxidative and anti-inflammatory effects<sup>17, 44</sup>, reduced HDM-induced ROS as expected, but had no effect on increases of ceramide or apoptosis. These data indicate that although lung oxidative stress is involved in airway inflammation<sup>64</sup>, it is not the cause for allergen-induced ceramide generation or apoptosis that leads to extensive lung damage. Moreover, the  $\alpha$ -tocopherol supplemented diet reduced AHR and eosinophil but not neutrophil infiltration<sup>44</sup>, suggesting that an ROS-independent mechanism drives neutrophil recruitment. These results may also explain some of the inconsistent outcomes from clinical reports on the associations of vitamin E and asthma and its potential beneficial effects<sup>65, 66</sup>.

Asthma is a very heterogeneous disease with multiple phenotypes and different onset, course, and treatment responses and there is a great need for biomarkers to improve diagnosis and treatment<sup>67, 68</sup>. Interestingly, our targeted sphingolipidomic analyses showed that specific ceramide acyl chain species, including C16, the predominant species, and particularly the very long chain C20, C26, and C26:1, were increased in BALF from severe asthmatic patients but not in mild asthmatics, whereas C18:1 was reduced in both mild and severe asthmatics. Somewhat surprisingly, S1P, which has previously been implicated in allergic asthma<sup>69, 70</sup>, was not significantly increased. These results support the physiological

relevance of ceramide elevation in exacerbation of allergic asthma. An untargeted metabolomics analysis of serum from healthy individuals and asthmatics also found that increased levels of ceramide positively correlated with asthma severity<sup>71</sup>. However, the physiological relevance to asthma of changes in sphingolipids in the circulation is unclear.

The strengths of this study are that it represents carefully phenotyped asthmatic patients, eliminated self-reporting bias, contains extensive information on lung function and disease severity, and corticosteroid treatment. Thus an unbiased correlation matrix analysis revealed that these ceramide species significantly correlated with lung function, and intriguingly, strongly correlated with neutrophil infiltration. Increased airway neutrophil infiltration has been associated with asthma severity and asthma exacerbations<sup>48, 57</sup>. Neutrophilia also correlates with asthma that is refractory to corticosteroids, the mainstay of asthma treatment<sup>48, 57</sup>. Here, we have identified significant associations between increased levels of specific ceramide species in BALF with neutrophilic lung inflammation, asthma severity, and resistance to corticosteroids. Hence, these ceramide species could potentially identify endotypes for corticosteroid resistant severe asthma and also be biomarkers to optimize diagnosis and to monitor and improve clinical outcomes in asthma.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## ACKNOWLEDGMENTS

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## Abbreviations used:

<b>AHR</b>	airway hyperresponsiveness
<b>Alt</b>	<i>Alternaria alternata</i>
<b>BALF</b>	bronchoalveolar lavage fluid
<b>CerS</b>	ceramide synthase
<b>GWAS</b>	genome-wide association studies
<b>FB1</b>	fumonisin B1
<b>HDM</b>	house dust mite
<b>LC-ESI-MS/MS</b>	liquid chromatography-electrospray ionization-tandem mass spectrometry

<b>Myr</b>	myriocin
<b>ORMDL3</b>	ORM1 (yeast)-like protein 3
<b>ROS</b>	reactive oxygen species
<b>SARP</b>	Severe Asthma Research Program
<b>SPT</b>	serine palmitoyltransferase
<b>SIP</b>	sphingosine-1-phosphate
<b>TUNEL</b>	terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling

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**Clinical Implications statement**

Our work suggests that allergen-induced ceramide elevation is the initial trigger of the apoptotic process leading to Creola body formation. Ceramide in bronchoalveolar lavage fluid has the potential to serve as a biomarker for specific asthma endotypes and disease severity.

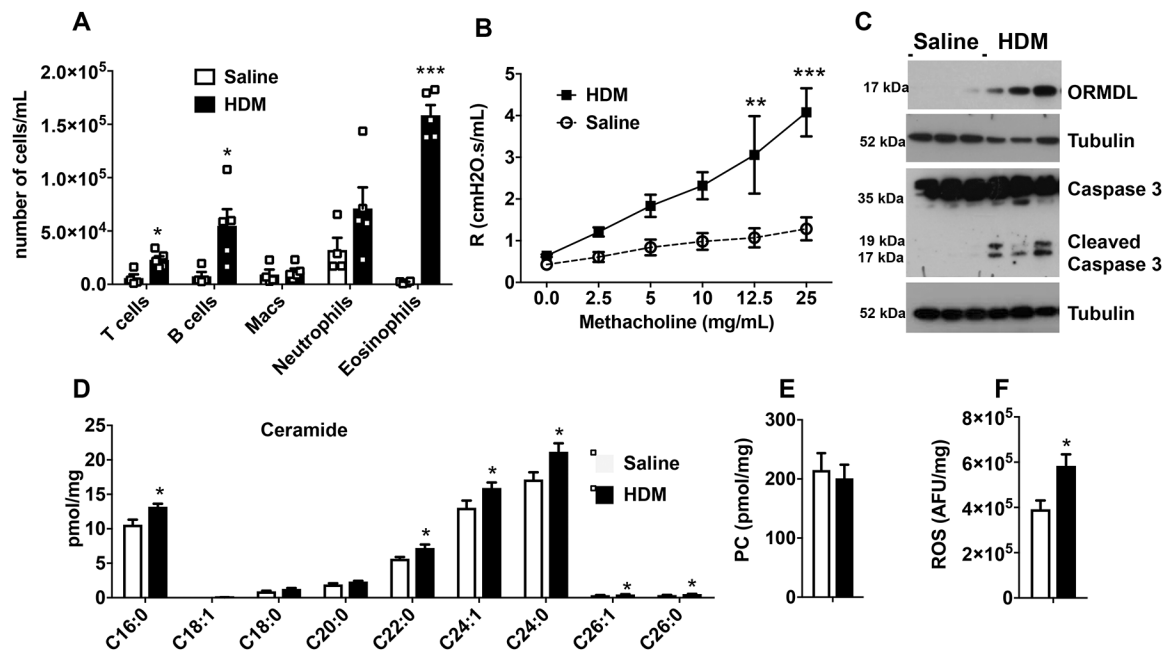
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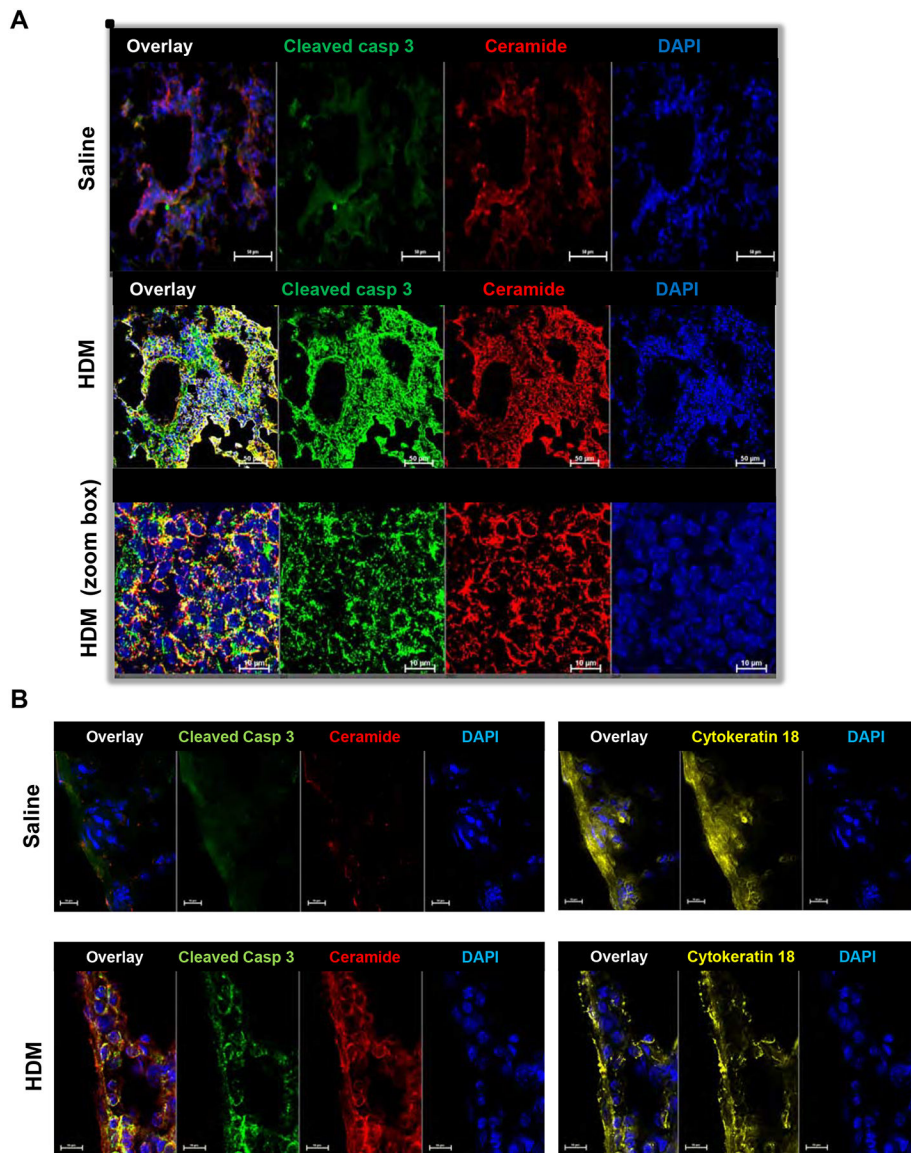
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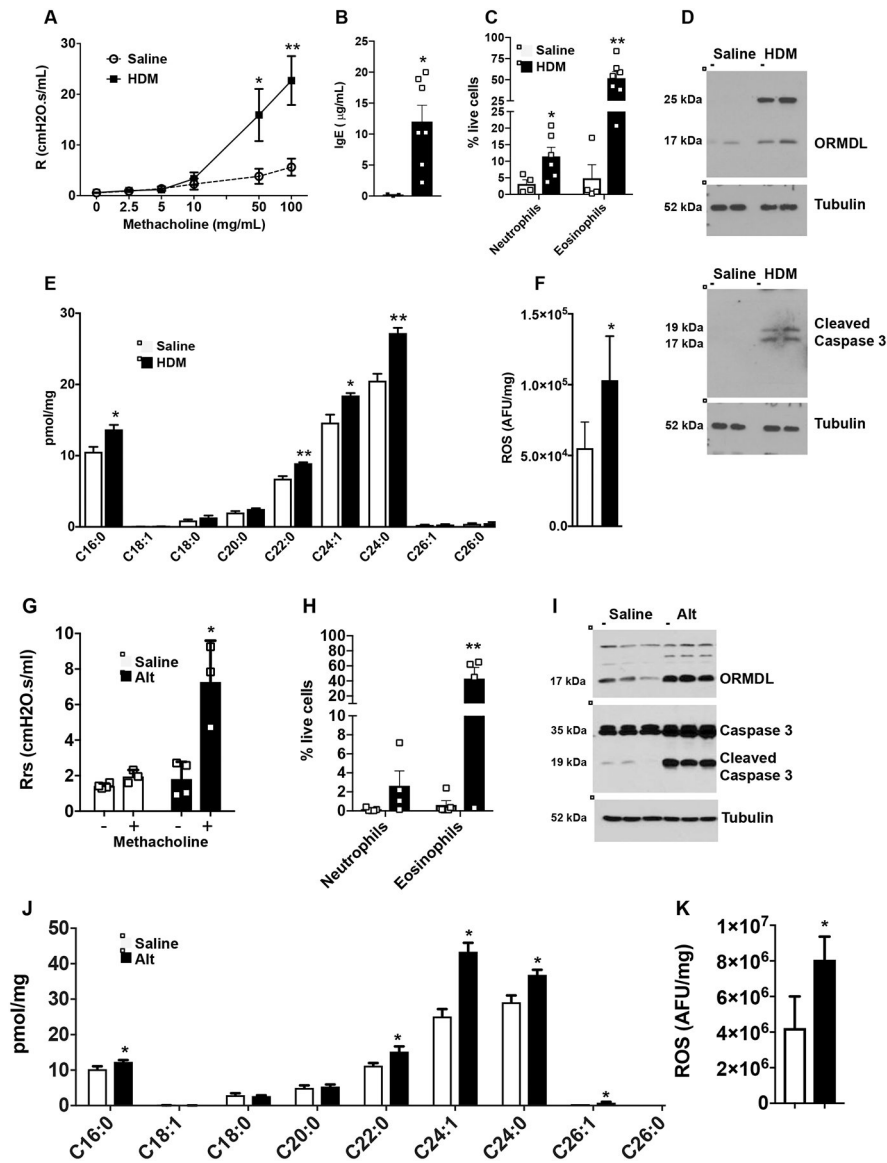
**FIG 1. House dust mite challenge increases ceramide, apoptosis, and ROS in the lung.**

(A-D) C57Bl/6J mice were sensitized and challenged intranasally with HDM or saline and lungs examined on day 15. (A) Inflammatory cells in BALF. (B) Airway resistance in response to increasing doses of nebulized methacholine was measured with the FlexiVent system. (C) Proteins in lung lysates were separated by SDS-PAGE and immunoblotted with the indicated antibodies. Blots were stripped and re-probed with anti-tubulin to show equal loading and transfer. (D,E) Lipids were extracted and (D) ceramide species and (E) phosphatidylcholine (PC, 34:2) measured by LC-ESI-MS/MS. (F) ROS was determined with CM-H<sub>2</sub>DCFDA and fluorescence measured. Data are mean ± SEM. A: n=4 and 5 mice/group; B, C: n=3 and 3, D-F: n=4 and 4 for saline and HDM, respectively. \*P < 0.05, \*\*\*P < 0.001, compared to each saline control. Similar data were obtained in 2 additional experiments.



**FIG 2. Increased ceramide staining in HDM-challenged mice co-localizes with cleaved caspase 3 in lung epithelium.**

(A) Mice were challenged i.n. with HDM or saline and lungs as indicated and examined on day 15 as described in Fig 1. Lung sections were stained with anti-ceramide antibody (red), anti-cleaved caspase 3 antibody (green). Lower panels are zoom boxes indicated in middle panels. (B) Lung sections were stained with anti-ceramide antibody (red), anti-cleaved caspase 3 antibody (green) and anti-cytokeratin 18 (yellow), a marker for epithelial cells. (A,B) All sections were co-stained with DAPI to visualize nuclei (blue). Co-localization is shown in the overlay panels. Size bar: 50 or 10  $\mu\text{m}$  as indicated.



**FIG 3. Lung ceramide, apoptosis, and ROS are increased in different allergen-driven asthma models.**

(A-F) Mice were sensitized i.p. with HDM (50  $\mu$ g HDM in alum), and then challenged i.n. on days 15, 18, and 21 with HDM (25  $\mu$ g in saline) or saline alone as indicated, and lungs examined on day 24. (A) Airway resistance in response to increasing doses of nebulized methacholine was measured with the FlexiVent system. (B) Eosinophils and neutrophils in BALF. (C) Serum IgE levels. (D) Proteins in lung lysates were analyzed by immunoblotting with the indicated antibodies. Blots were stripped and re-probed with anti-tubulin to show equal loading and transfer. (E) Lipids were extracted and ceramide species were measured by LC-ESI-MS/MS. (F) ROS was measured fluorometrically. A: n=10 and 7; B: n=3 and 7; C: n=4 and 6; D: n=2 and 2; E,F: n=4 and 4, respectively. \*P < 0.05, \*\*P < 0.01 compared to each saline control. (G-K) Mice were challenged i.n. with *Alternaria alternata* (Alt, 25  $\mu$ g) or saline on days 1, 4, 7, and 10. (G) On day 11, airway resistance in response to nebulized methacholine (12 mg/mL) was measured with the FlexiVent system. (H) Eosinophils and

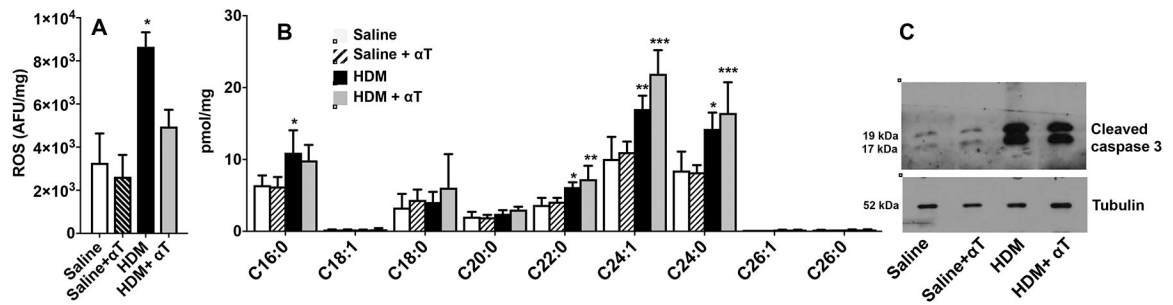
neutrophils in BALF. (I) Western blot analysis of ORMDL, total caspase 3, and cleaved caspase 3 expression in the lung. Tubulin was used as a loading control. (J) Lung ceramide species were measured by LC-ESI-MS/MS. (K) ROS was measured fluorometrically. Data are mean  $\pm$  SD. G: n=4 and 3; H: n= 5 and 4; I, n= 3 and 3; J n=3 and 4; K: n= 6 and 3 respectively. \*P < 0.05, \*\*P < 0.01 compared to each saline control.

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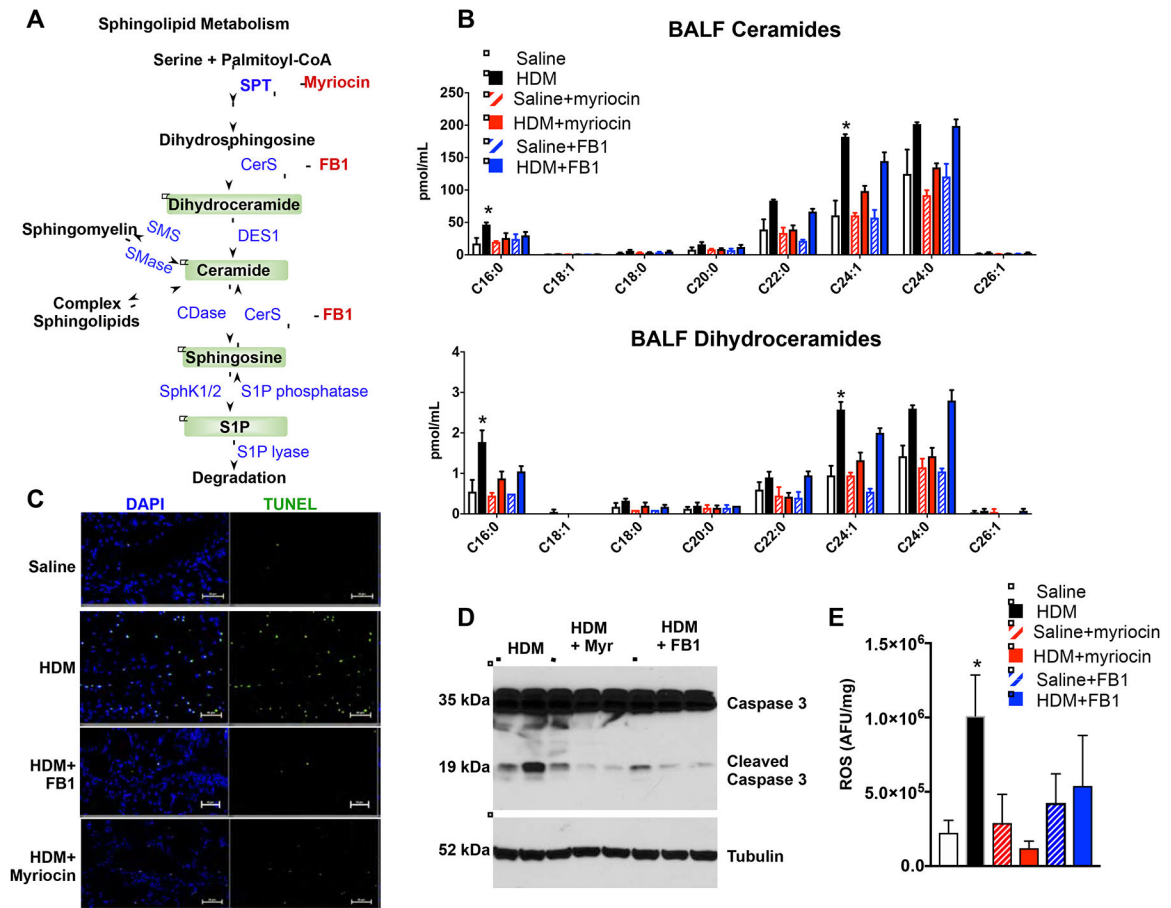
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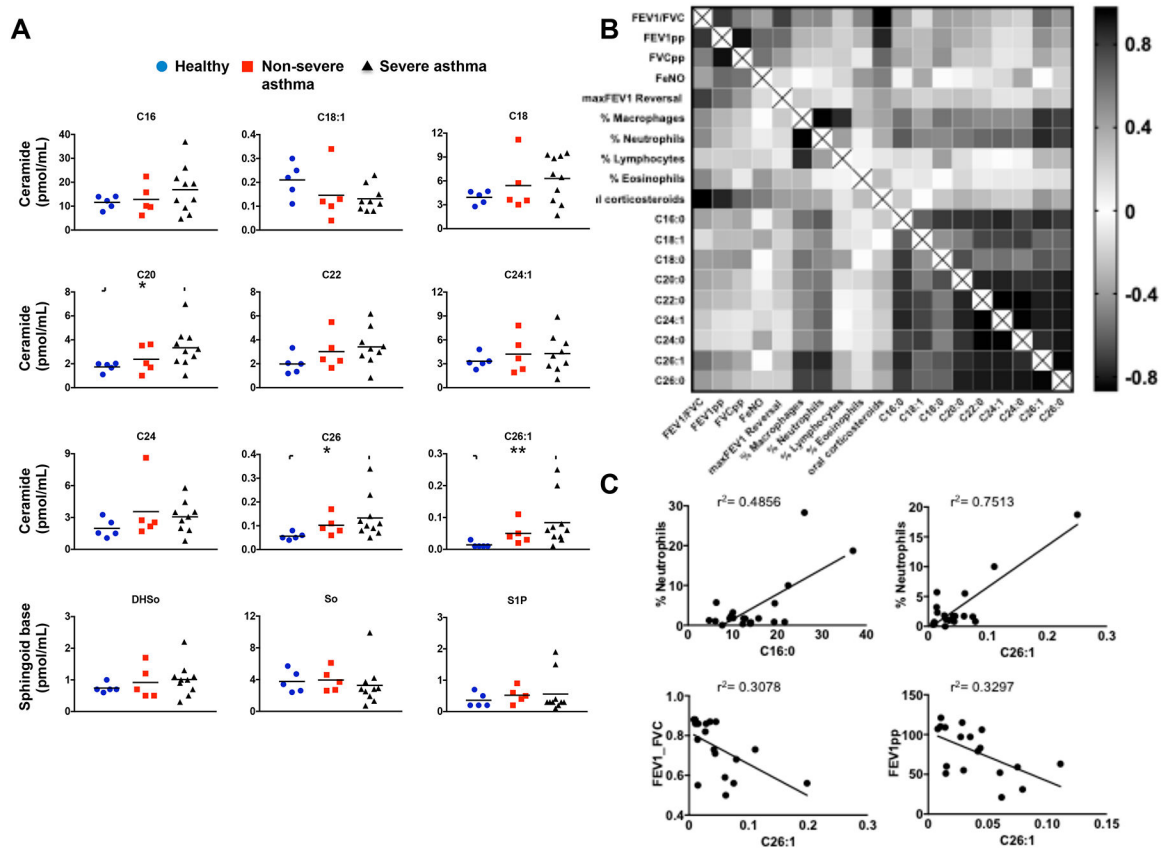
**FIG 4. Effects of feeding a-tocopherol supplemented diet on ROS, ceramide, and apoptosis in the lung of HDM challenged mice.**

Mice fed chow diet without or with  $\alpha$ -tocopherol (250 mg/kg) were challenged i.n. with HDM (10  $\mu$ g in 50  $\mu$ L saline) every other day for a total of 8 challenges. (A) ROS in lungs was determined fluorometrically by oxidation of 2,7-dichlorofluorescein. (B) Lung ceramide species were measured by LC-ESI-MS/MS. (C) Western blot analysis of cleaved caspase 3 in the lung. A,B: n=4,4,4, and 4 respectively. \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.01 compared to saline.

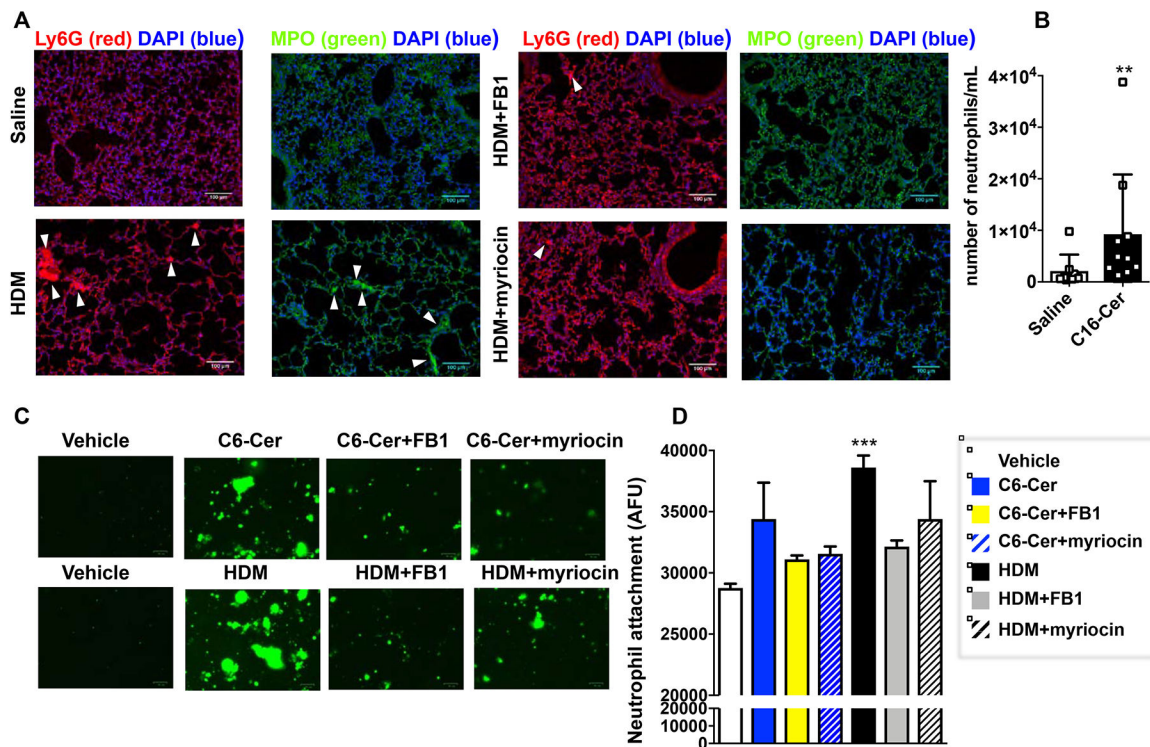


**FIG 5. Inhibiting ceramide production decreases ROS and apoptosis induced by HDM.**

(A–C) Mice were challenged daily intranasally with HDM or saline for 5 consecutive days from days 1 to 5 and from days 8 to 12. Mice were injected i.p. with vehicle, myriocin (Myr; 0.3 mg/kg), or fumonisin B1 (FB1, 0.5 mg/kg) 30 min prior to the HDM challenges on days 10, 11, and 12 and lungs were examined on day 15. (A) Scheme of ceramide formation by *de novo* biosynthesis and degradation. Myriocin inhibits SPT and FB1 inhibits ceramide synthases (CerS). (B) Sphingolipids were extracted from BALF and ceramide and dihydroceramide species measured by LC-ESI-MS/MS. (C) In situ TUNEL staining of lung sections. Size bars: 50  $\mu$ m. (D) Western blot analysis of cleaved caspase 3 in the lung. (E) ROS was measured fluorometrically. Data are mean  $\pm$  SD. B: n=4 for saline, 4 for HDM, 2 for saline+myriocin, 4 for HDM+myriocin; 2 for saline+FB1, 4 for HDM+FB1; D: n= 2,3 and 3 respectively; E: n=3 for saline, 7 for HDM, 2 for saline+myriocin, 3 for HDM +myriocin; 2 for saline+FB1, 4 for HDM+FB1. \*P < 0.05, \*\*P < 0.01 compared to each saline control.



**FIG 6. Association between asthma severity and ceramide species that are increased in BALF.** (A) Levels of ceramide species in BALF from healthy controls, non-severe asthmatics, and severe asthmatics described in Table I were measured by LC-ESI-MS/MS. \*  $P < 0.05$  compared to healthy controls. (B) Heat-map of matrix coefficient correlation of each ceramide species in the BALF and lung functions or immune cells infiltration. Visualization of the correlations between each pair of variables using product-moment coefficients. Stronger Pearson correlation coefficients ( $r$ ) are represented by black color. (C) Examples of correlations between C16 or C26:1 ceramides and neutrophils, and lung functions. Goodness of fit is indicated by  $r^2$ . Correlation of neutrophils with C16-ceramide (Spearman  $r = 0.3089$  and  $P = 0.092$ ) and with C26:1-ceramide (Spearman  $r = 0.4141$  and  $p = 0.039$ ). Correlation of FEV1\_FVC with C26:1-ceramide (Pearson  $r = 0.5548$  and  $P = 0.01$ ) and FEV1pp with C26:1-ceramide (Pearson  $r = 0.5742$ , and  $P = 0.01$ ).



**FIG 7. Increased ceramide in HDM-challenged mice enhances neutrophil recruitment to the lungs.**

(A) Mice were challenged daily intranasally with HDM or saline for 5 consecutive days from days 1 to 5 and from days 8 to 12. Mice were injected i.p. with vehicle, myriocin (Myr; 0.3 mg/kg), or fumonisins B1 (FB1, 0.5 mg/kg) 30 min prior to the HDM challenges on days 10, 11, and 12 and lungs were examined on day 15 as described in Figure 5. Lung sections were stained with anti-Ly6G (red) or anti-MPO antibody (green) and co-stained with DAPI to visualize nuclei (blue). Size bar: 100  $\mu$ m. (B,C) Primary lung epithelial cells incubated for 20 h with vehicle, D-erythro-C6-ceramide (C6-Cer, 1  $\mu$ M), or HDM (100  $\mu$ g/mL) in absence or presence of FB1 (1  $\mu$ M) or myriocin (100 nM) as indicated. After extensive washing, purified labeled neutrophils were added for 4 h and adhesion assessed by fluorescence. (B) Following intranasal instillation of vehicle or C16:0 ceramide number of neutrophils in the BALF was determined by FACS. Data means  $\pm$  SD. n = 8 and 10 mice/group, respectively. \*\*P < 0.01. (C) Representative fluorescent images. (D) Data expressed as arbitrary fluorescence units are means  $\pm$  SEM. n=5 for each group. Each sample represents an individual donor mouse. \* p < 0.05 \*\* p < 0.01 compared to vehicle.



**Table I.**

Patient clinical characteristics and bronchiolar lavage cells

	Healthy Donors (Hd)	Non-severe Asthma (NSA)	Severe Asthma (SA)
No. of subjects	5	5	10
<b>Clinical data</b>			
Age	30.4 (23.2–51.8)	32 (23.7–51)	42 (22.4–59.5)
% Male	20	20	30
BMI	24.2±5.7 (19.4–33.5)	26.3±7.5 (18.6–40.2)	30.3±4.1 (22.8–35.1)
<b>Lung function</b>			
SARP Severity	1	3	4.4±0.5 <sup>**</sup> (4–5)
FEV1 % predicted	112±5.6 (107–121)	84.6±19.2 (60–106)	54.9±21 <sup>**</sup> (21–83)
FVC % predicted	110±6.3 (104–119)	83.4±20.0 (56–104)	63.0±23.8 <sup>**</sup> (36.3–101)
FEV1/FVC	0.85±0 (0.78–0.88)	0.8±0.1 (0.73–0.87)	0.6±0.08 <sup>**</sup> (0.50–0.71)
Max FEV1 Reversal with BD	4.47±3.5 (0.9–6.7)	9.7±3.0 (6.9–14.7)	33.5±24.7 (0–47.3)
<b>Medications</b>			
Oral steroids	NO	NO	YES
Inhaled corticosteroids	NO	YES	YES
High dose of inhaled corticosteroids	NO	NO	YES
<b>BALF leukocyte differentials</b>			
Total cell count (millions)	8.2±2.5 (5.6–12)	6.1±2.8 (2.0–9.4)	4.87±3.4 (1–11.8)
Alveolar Macrophages (%)	92.3±4.9 (86.3–97.7)	84.6±9.1 (68.7–84.2)	80.6±11.9 (48.3–91.6)
Neutrophils (%)	0.9±1.3 (0–3.2)	3.4±3.3 (1.9–10)	6.6±8.9 (0.8–28.3)
Eosinophils (%)	0.6±0.9 (0–2.1)	1.2±1.4 (0–3.3)	3.5±3.7 (0–11.3)
Lymphocytes (%)	6.2±4.3 (2–12.7)	10.8±7.6 (4–19)	9.3±5.0 (3.8–21.7)

Values represent the mean ± SD (range). BMI, body mass index; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; BD, post-bronchodilator.

<sup>\*\*</sup> P < 0.01 compared to healthy controls.