

## IMMUNOLOGY

# Tim-3 adaptor protein Bat3 is a molecular checkpoint of T cell terminal differentiation and exhaustion

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T cell exhaustion has been associated with poor prognosis in persistent viral infection and cancer. Conversely, in the context of autoimmunity, T cell exhaustion has been favorably correlated with long-term clinical outcome. Understanding the development of exhaustion in autoimmune settings may provide underlying principles that can be exploited to quell autoreactive T cells. Here, we demonstrate that the adaptor molecule Bat3 acts as a molecular checkpoint of T cell exhaustion, with deficiency of Bat3 promoting a profound exhaustion phenotype, suppressing autoreactive T cell-mediated neuroinflammation. Mechanistically, Bat3 acts as a critical mTORC2 inhibitor to suppress Akt function. As a result, Bat3 deficiency leads to increased Akt activity and FoxO1 phosphorylation, indirectly promoting *Prdm1* expression. Transcriptional analysis of *Bat3*<sup>-/-</sup> T cells revealed up-regulation of dysfunction-associated genes, concomitant with down-regulation of genes associated with T cell effector function, suggesting that absence of Bat3 can trigger T cell dysfunction even under highly proinflammatory autoimmune conditions.

## INTRODUCTION

Bat3 is a ubiquitin-like protein that has a number of biological functions including regulation of apoptosis (1), protein acetylation (2), and major histocompatibility complex (MHC) class II expression (3). Furthermore, as a chaperone of the heat-shock protein Hsp70/Hsc70 (4), Bat3 is also involved in protein refolding and the quality control of protein synthesis (5). The Bat3 gene (*Bag6*) is located in the MHC III cluster adjacent to tumor necrosis factor (TNF) locus. Single-nucleotide polymorphisms in the Bat3 locus are linked to type I diabetes (6) and cancer (7–9), yet little is known about the role of Bat3 in regulating immune responses.

We have previously shown that Bat3 is an adaptor protein that binds to and negatively regulates Tim-3, a checkpoint inhibitor recognized experimentally, and in patients, as an important negative regulator of the immune response in autoimmunity, cancer, and chronic viral infection (10–13). However, the mechanism by which interaction of Bat3 and Tim-3 regulates T cell responses, including T cell activation or exhaustion, has not been fully elucidated. To address this, we generated both *Bat3*<sup>fl/fl</sup> and *Tim-3*<sup>fl/fl</sup> mice to study the

interplay between Bat3 and Tim-3 in vivo using a disease model of autoimmunity. Here, we show that loss of Bat3 in T cells results in a reduction of inflammatory cytokines with a concomitant increase in Tim-3, KLRG1 (killer cell lectin-like receptor G1), and interleukin-10 (IL-10), which together results in reduced disease in a passive transfer model of experimental autoimmune encephalomyelitis (EAE). While Tim-3 signaling partially contributes to Bat3-mediated T cell exhaustion, further mechanistic studies led us to hypothesize that Bat3 may function as a critical regulator of mTOR (mammalian target of rapamycin). We found that Bat3 preferentially suppresses mTORC2 (mTOR complex 2) activity by limiting the role of Hsc70, which is known to enhance mTORC2 function. As a result, Bat3 deficiency leads to increased Akt activity and FoxO1 phosphorylation, thereby inhibiting its transcriptional activity and indirectly promoting *Prdm1* expression. This increase in *Prdm1*/Blimp-1 promotes expression of a module of coinhibitory molecules including Tim-3 and IL-10, which we have previously identified in tumor-infiltrating T cells (14). In support of this, analysis of the differentially expressed (DE) genes from *Bat3*- and *Tim-3*-deficient T helper 1 (T<sub>H</sub>1) cells demonstrated a substantial overlap of DE genes from *Bat3*-deficient T cells with the exhaustion module observed in CD8 tumor-infiltrating lymphocytes (TILs) (15), further suggesting that Bat3 may play a critical role in curtailing T cell dysfunction.

Together, here, we identify a previously unidentified mechanism by which Bat3 not only directly suppresses Tim-3 signaling but also plays a critical role in controlling the mTORC2–Akt–Blimp-1 pathway, thereby suppressing the induction of Tim-3 and preventing terminal differentiation and dysfunction in T cells.

## RESULTS

### Tim-3 signaling partially contributes to Bat3-mediated T cell exhaustion

We have previously shown that Bat3 binds to the cytoplasmic tail of Tim-3 and inhibits its downstream signaling. However, the mechanism by which Tim-3:Bat3 interactions regulate T cell responses,

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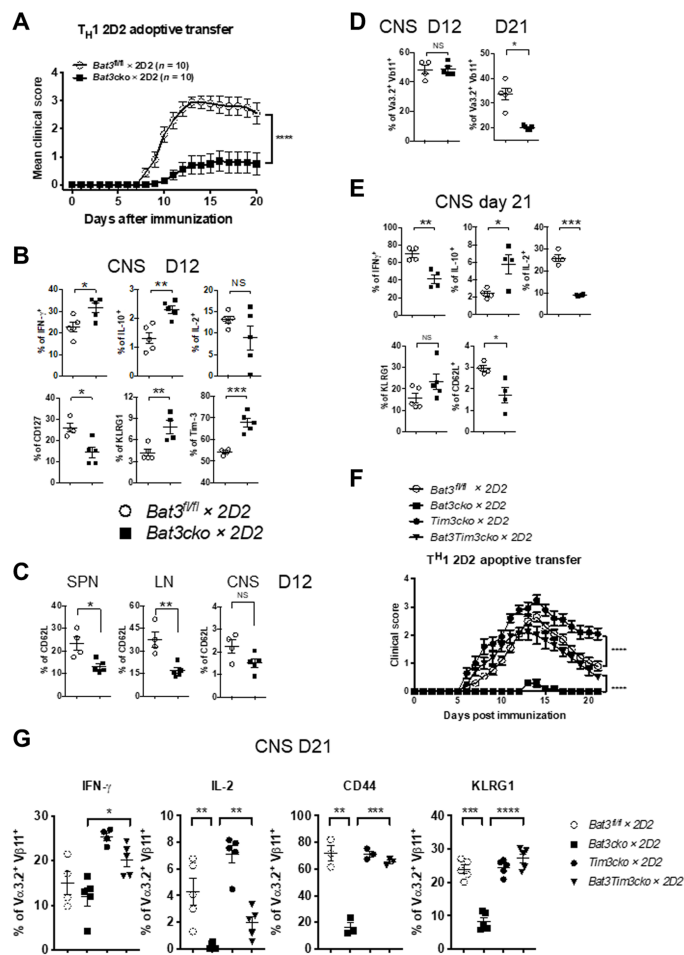
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including T cell activation or exhaustion, had not been fully elucidated. To gain insight into the role of Bat3 in the regulation T cell responses at the effector stages of encephalitogenic T cell responses, we generated *Bat3* conditional knockout mice by crossing *Bat3<sup>fl/fl</sup>* × *CD4Cre* (*Bat3cko*) mice (design of *Bat3<sup>fl/fl</sup>* shown in fig. S1). Active immunization with MOG<sub>35–55</sub>/CFA (myelin oligodendrocyte glycoprotein<sub>35–55</sub>/complete Freund's adjuvant) in *Bat3cko* mice showed attenuated disease severity compared with wild-type (WT) littermate mice (*Bat3<sup>fl/fl</sup>*) (fig. S2). However, because *CD4cre* can delete genes in multiple cell types including *CD4<sup>+</sup>*, *CD8<sup>+</sup>*, and *FoxP3<sup>+</sup>* T cells, we wanted to determine the effect of loss of *Bat3* exclusively on encephalitogenic effector T cells. Therefore, we generated *Bat3cko* × *2D2* mice by crossing *Bat3cko* mice with myelin antigen MOG<sub>35–55</sub>-specific T cell receptor (TCR) transgenic mice (16). As *Tim-3* is preferentially expressed on *T<sub>H</sub>1* and *Tc1* cells (17), we isolated *CD4* T cells from *Bat3cko* × *2D2* and *Bat3<sup>fl/fl</sup>* × *2D2* mice, in vitro differentiated the cells into *T<sub>H</sub>1* cells, and transferred them into *C57BL/6* recipient mice to follow development of EAE. We found that *Bat3cko* × *2D2* *T<sub>H</sub>1* cell-transferred mice developed significantly less severe EAE compared with *Bat3<sup>fl/fl</sup>* × *2D2* (WT) *T<sub>H</sub>1* cell-transferred mice, suggesting that loss of *Bat3* diminishes the encephalitogenic potential of effector *T<sub>H</sub>1* cells (Fig. 1A). When we transferred in vitro differentiated pathogenic *T<sub>H</sub>17* cells from *Bat3cko* × *2D2* and *Bat3<sup>fl/fl</sup>* × *2D2* mice into *B6* mice, no difference in disease progression or severity was found between these two experimental groups (fig. S3). Thus, the passive EAE results demonstrated a specific role of *Bat3* in effector *T<sub>H</sub>1* cells.

We next assessed the phenotype of the transferred *Bat3<sup>fl/fl</sup>* and *Bat3cko* *2D2* *T<sub>H</sub>1* cells ex vivo at disease onset (day 12 after transfer) and found that the frequency of *Tim-3*-expressing cells in the central nervous system (CNS) was higher in *Bat3cko* T cells. However, *Bat3cko* *2D2* cells displayed a higher percentage of interferon- $\gamma$  (IFN- $\gamma$ ) positivity, albeit concomitant with increased IL-10 (Fig. 1B). The unexpected increase in the IFN- $\gamma$  population among *Bat3cko* *T<sub>H</sub>1* led us to consider whether there were changes in the effector status of these cells. Therefore, we analyzed the CNS-infiltrating transferred *2D2<sup>+</sup>* *T<sub>H</sub>1* cells for selected markers of effector T cells and found reduced expression of the memory marker IL-7R (CD127) but enhanced expression of the effector molecule *KLRG1* in *Bat3cko* × *2D2* *T<sub>H</sub>1* cells (Fig. 1B). In addition, *CD62L* expression on *2D2* T cells from peripheral lymphoid organs (lymph node and spleen) was significantly reduced in the absence of *Bat3*; however, no differences were observed in the CNS (Fig. 1C). Although equal numbers of *Bat3cko* × *2D2* and *Bat3<sup>fl/fl</sup>* × *2D2* *T<sub>H</sub>1* cells were transferred into recipient *C57BL/6* mice, and no difference in cell frequencies was detected on day 12, there was a marked reduction of *Bat3cko* × *2D2* *T<sub>H</sub>1* cells within the CNS on day 21 after transfer, indicating a more rapid contraction of transferred *T<sub>H</sub>1* cells with *Bat3* deficiency (Fig. 1D). When cytokine production was measured on day 21 after transfer, we found significantly reduced IFN- $\gamma$  and IL-2 yet sustained higher levels of IL-10 in *Bat3<sup>fl/fl</sup>* × *2D2* cells (Fig. 1E). While there was no difference in *Tim-3* expression at this stage of the disease, we did observe a decreased expression of *PD-1* (programmed cell death protein 1) on CNS infiltrating T cells (fig. S4). Collectively, these results suggest that *Bat3cko* × *2D2* *T<sub>H</sub>1* cells accelerate terminal differentiation and are prone to develop a dysfunctional phenotype in vivo.

To confirm these findings, we used a well-established in vitro system in which naïve (*CD62L<sup>hi</sup>*) *CD4<sup>+</sup>* T cells are successively cultured and restimulated (polarized) under conditions that drive *T<sub>H</sub>1*



**Fig. 1. *Tim-3* signaling partially contributes to *Bat3*-mediated T cell exhaustion.**

(A) *CD4* T cells from *Bat3cko* × *2D2* and *WT* *2D2* mice were in vitro differentiated into *T<sub>H</sub>1* cells, and  $4 \times 10^6$  cells were transferred into *C57BL/6* recipient mice to induce EAE. Disease progression was monitored on daily basis until the end of the experiment. Mean disease scores are shown as indicated. (B to E) Ex vivo analyses on transferred *2D2* cells (*Va3.2<sup>+</sup>Vb11<sup>+</sup>*) in the central nervous system (CNS), spleen (SPN), and lymph node (LN) on day 12 (D12) and day 21 (D21) were performed to determine the phenotype of *Bat3*-deficient *CD4* T cells during EAE induction. Error bars indicate mean SEM [ $*P = 0.01$ ,  $**P = 0.0085$ , and  $***P = 0.006$ , unpaired two-tailed *t* test;  $****P = 0.0001$ , two-way analysis of variance (ANOVA)]. NS, not significant. (F) Naïve *CD4* T cells were isolated from *Bat3<sup>fl/fl</sup>*, *Tim-3cko*, *Bat3cko* × *2D2*, and *Tim-3* × *Bat3cko* × *2D2* mice and were in vitro differentiated into *T<sub>H</sub>1* cells;  $4 \times 10^6$  cells were transferred into *C57BL/6* recipient mice to induce EAE. Disease progression was monitored on daily basis till the end of experiment. Mean disease scores were shown as indicated. Statistical significance between *Tim-3cko* × *2D2* and *Tim-3* × *Bat3cko* × *2D2* was reached on D12 (\*\*), increasing in significance until cessation of experiment. Statistical significance between *Bat3cko* × *2D2* and *Tim-3* × *Bat3cko* × *2D2* mice was reached on D8 (\*), increasing in significance until cessation of experiment. (G) Ex vivo analyses on transferred *2D2* cells (*Va3.2<sup>+</sup>Vb11<sup>+</sup>*) in the CNS on D21 was performed to determine the phenotype of *Bat3<sup>fl/fl</sup>*, *Tim-3cko*, *Bat3cko* × *2D2*, and *Tim-3* × *Bat3cko*-deficient *CD4* T cells during EAE induction. Data represent three independent experiments. Error bars indicate mean SEM ( $*P = 0.01$  and  $***P = 0.0012$ , unpaired two-tailed *t* test;  $****P = 0.0001$ , two-way ANOVA).

responses. Under these chronically activated conditions, *T<sub>H</sub>1* cells express high levels of *Tim-3* by the third successive polarization (17). Using this approach, we found that *Bat3cko* *T<sub>H</sub>1* differentiated cells actually produced more IFN- $\gamma$  than *Bat3<sup>fl/fl</sup>* *T<sub>H</sub>1* cells during the first

round of polarization. However, the Bat3-deficient T<sub>H</sub>1 cells lost the ability to produce IFN- $\gamma$  after three rounds of polarization (fig. S5).

We have previously shown that deficiency of Bat3 in T cells not only increases Tim-3 expression but also results in enhanced Tim-3 function (11). However, it was not clear whether elevated Tim-3 expression contributed to the phenotype observed in *Bat3cko* CD4 T cells (Fig. 1A) or whether increased Tim-3 expression and signaling is simply a biological outcome of loss of Bat3 in T cells.

To better understand the molecular mechanism behind Bat3-mediated T cell dysfunction, we generated Bat3 and Tim-3 single and double conditional knockout mice in T cells (by crossing with *CD4Cre* transgenic mice). To focus on the role of Tim-3 and Bat3 on T<sub>H</sub>1 immunity, we further bred *Tim-3<sup>fl/fl</sup> × CD4Cre (Tim-3cko)* and *Bat3<sup>fl/fl</sup> × Tim-3<sup>fl/fl</sup> × CD4Cre (Tim-3 × Bat3dko)* mice onto 2D2 TCR transgenic background (16). Using CD4 T cells from these mice, we generated T<sub>H</sub>1 cells in vitro from all four genotypes and transferred the cells into C57BL/6 mice to induce EAE. Consistent with the results presented in Fig. 1A, *Bat3cko × 2D2* T<sub>H</sub>1 cells induced very mild EAE, while *Tim-3cko × 2D2* T<sub>H</sub>1 cells induced significantly more severe EAE than the WT *Bat3<sup>fl/fl</sup> × 2D2* T<sub>H</sub>1 cells. Concomitant deletion of Tim-3 and Bat3 (*Tim-3Bat3dko × 2D2* T<sub>H</sub>1 cells) completely reversed the encephalitogenic phenotype of *Bat3cko* 2D2 T<sub>H</sub>1 cells and showed induction of EAE similar to what was observed in the WT mice (Fig. 1F). Given their enhanced capacity to induce disease, we found that CNS-infiltrating *Tim-3cko × 2D2* T<sub>H</sub>1 cells showed elevated IFN- $\gamma$  and IL-2 production relative to controls. The *Tim-3 × Bat3 dko × 2D2* [double knockout (DKO)] T<sub>H</sub>1 cells shared features with both *Bat3cko × 2D2* T<sub>H</sub>1 cells and *Tim-3cko × 2D2* T<sub>H</sub>1 cells. Examining IFN- $\gamma$  production, the DKO behaved more like *Tim-3cko*. Yet, with regard to IL-2, the DKO cells looked more comparable to the *Bat3cko* T cells.

However, overall, the frequency of the CNS-infiltrating effector T<sub>H</sub>1 cells (CD44<sup>+</sup>; KLRG1<sup>+</sup>) in *Tim-3 × Bat3dko* was similar to that of *Tim-3cko* and WT T<sub>H</sub>1 cells, indicating that deletion of Tim-3 rescued the phenotypic defects of Bat3 deficiency in T<sub>H</sub>1 cell differentiation (Fig. 1G). Together, these results suggested that Tim-3 signaling substantially contributes to the Bat3-deficient phenotype, and the deletion of Tim-3 is sufficient to rescue the pathogenicity of Bat3 deficient T<sub>H</sub>1 cells during passive EAE induction.

### Tim-3<sup>+</sup> T<sub>H</sub>1 cells represent terminally differentiated and dysfunctional T cells

Although high levels of Tim-3 can be detected on CNS-infiltrating T cells during EAE induction, there has been poor characterization of these Tim-3-expressing cells to date. To examine the role of Tim-3 expression in T cell expansion in vivo, we immunized C57BL/6 mice with MOG<sub>35–55</sub>/CFA to induce EAE. At the peak of the disease (day 10), we injected mice with 5-bromo-2'-deoxyuridine (BrdU) 1 day before isolating CNS-infiltrating effector CD4<sup>+</sup> (FoxP3<sup>-</sup>) T cells. Using flow cytometry analysis, we found that BrdU-incorporated proliferating cells were predominantly Tim-3<sup>-</sup> CD4 T cells. In contrast, Tim-3<sup>+</sup> cells were mostly BrdU negative, indicating that Tim-3<sup>+</sup> CD4 T cells were not proliferating in vivo. In contrast, PD-1 expression was largely associated with BrdU incorporation, consistent with the data that PD-1 is expressed on recently activated and proliferating cells, a finding that has been noted in other studies (19). We also calculated the ratio (fold) differences between BrdU<sup>+</sup>PD-1<sup>+</sup>/BrdU<sup>+</sup>PD-1<sup>-</sup> and BrdU<sup>+</sup>Tim-3<sup>+</sup>/BrdU<sup>+</sup>Tim-3<sup>-</sup> cells. Predominantly,

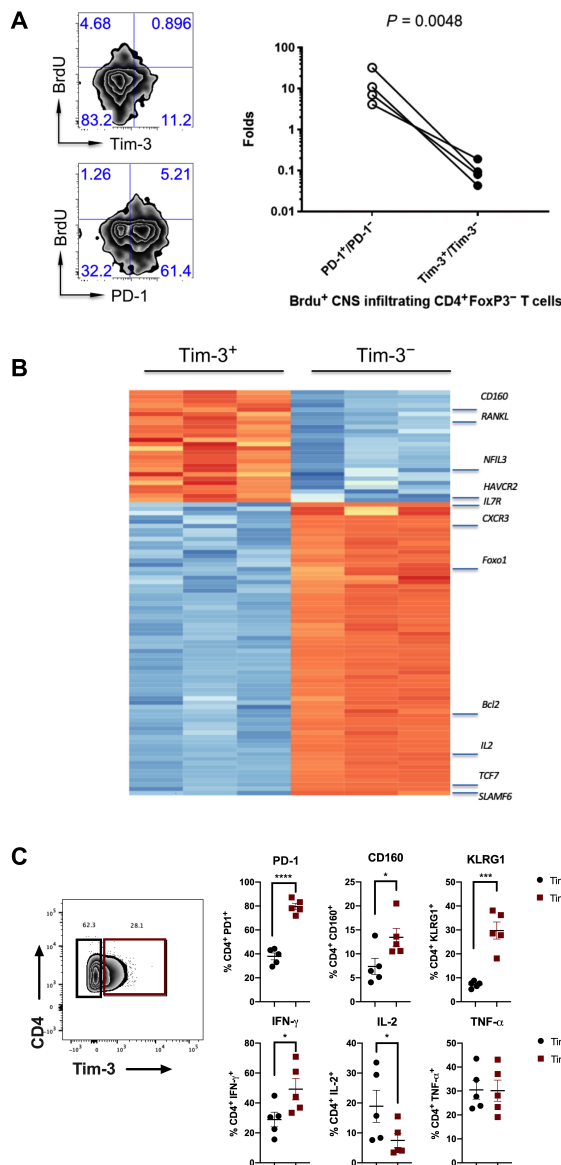
PD-1<sup>+</sup> cells, but not PD-1<sup>-</sup>, were labeled with BrdU. In contrast, predominantly, Tim-3<sup>+</sup> cells, but not Tim-3<sup>-</sup> cells, were BrdU negative (Fig. 2A). These results clearly suggest distinct roles between Tim-3 and PD-1 in controlling effector CD4 T cell responses during inflammation, and that Tim-3 expression may antagonize T cell proliferation in vivo.

We next wanted to explore the impact of Tim-3 signaling on effector CD4 T cell function in the context of EAE. To exclude Tim-3<sup>+</sup> Foxp3<sup>+</sup> T cells, we immunized FoxP3-green fluorescent protein (GFP) knock-in mice with MOG<sub>35–55</sub>/CFA, subsequently isolated Tim-3<sup>+</sup>FoxP3<sup>-</sup> and Tim-3<sup>-</sup>FoxP3<sup>-</sup> CD4 T cells from the CNS of MOG-immunized animals, and performed NanoString analysis on these cells. As we had observed acquisition of a dysfunctional phenotype on Bat3-deficient T cells, we used a custom code set representing the dysfunctional CD8<sup>+</sup> TIL gene signature (245 genes), to interrogate the potential role of Tim-3 in the regulation of effector CD4 T cells. Because there is an overlap between the IL-27-regulated coinhibitory module and the dysfunctional CD8<sup>+</sup> TIL signature (14), and IL-27 is an important regulator of Tim-3 expression via transcription factors T-bet, NFIL3, and Blimp-1 (14, 19), we further included a custom gene set from the IL-27-driven gene signature for a total of 397 genes. We found 94 DE genes, with 26 more highly expressed in *Tim-3<sup>+</sup>* cells and 68 more highly expressed in *Tim-3<sup>-</sup>* cells. Further analysis showed that the expression profile of *Tim-3<sup>+</sup>* cells exhibited highly enriched signals for *Prdm1* and *Nfil3* (Fig. 2B), supporting our previous observations of the critical roles of these transcription factors in *Tim-3* gene expression (14, 19). In contrast, *Tim-3<sup>-</sup>* CD4<sup>+</sup> T cells demonstrated significantly elevated levels of *Tcf7*, *Bcl2*, and *Foxo1*, all of which have been shown to promote memory and stem-like T cells but suppress effector T cell differentiation and proliferative capacity (Fig. 2B). *Tim-3<sup>+</sup>* T cells also exhibited elevated expression of other checkpoint inhibitors including *Lag3* and *Pdcd1* and lost their capability to express *Il2*, which is important for cell survival. Because these cells were still functionally capable of expressing *Ifng* and *Tnfa*, *Tim-3<sup>+</sup>* T cells collected from mice at the peak of CNS inflammation phenotypically resembled terminally differentiated effector T<sub>H</sub>1 T cells.

We further analyzed CNS-infiltrating CD4 effector T cells from mice 12 days after active induction of EAE by MOG<sub>35–55</sub> immunization. We found that almost 100% of *Tim-3<sup>hi</sup>* CD4 T cells coexpressed PD-1, but very few *Tim-3<sup>lo</sup>* cells were PD-1 negative. In addition, we investigated the expression levels of KLRG1 and CD160, both widely appreciated markers of terminal differentiation, and found increased expression of both on *Tim-3<sup>hi</sup>* cells compared with *Tim-3<sup>lo</sup>* cells (Fig. 2C). We further investigated the expression of cytokines within these two populations and found significantly elevated expression of IFN- $\gamma$ , while TNF- $\alpha$  remained unchanged. IL-2 was significantly decreased, which is in line with our findings using *Bat3cko* T cells (Fig. 2C). Together, these data show that *Tim-3<sup>hi</sup>* T<sub>H</sub>1 cells exhibited cardinal features of terminally differentiated effector cells.

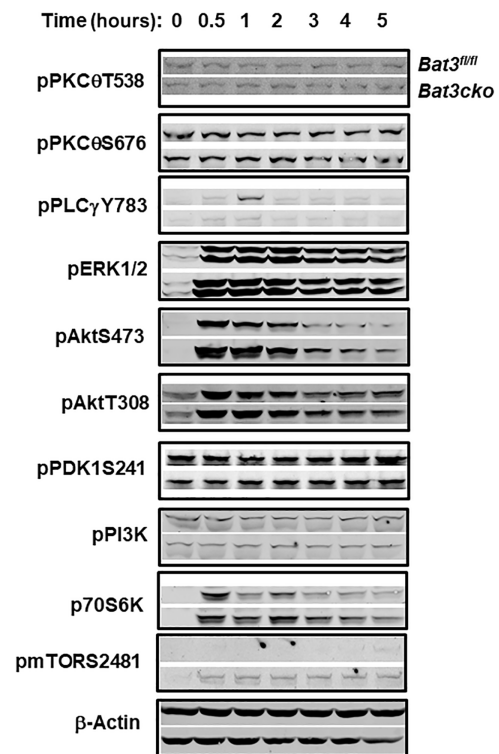
### Bat3 deficiency results in increased Akt phosphorylation at S473

Our data thus far suggested that Bat3-deficient T cells, with elevated expression of Tim-3, may undergo accelerated contraction upon activation. We have previously shown that Tim-3 binds to the TCR-associated intracellular kinase Lck (11), which led us to question the role of Bat3 downstream of TCR activation. To further



**Fig. 2. Tim-3<sup>+</sup> T<sub>H</sub>1 cells represent terminal differentiated and dysfunctional T cells.** (A) WT (*FoxP3-GFP* KI) mice were immunized with MOG<sub>35-55</sub>/CFA to induce EAE. At the peak of the disease (day 10), the immunized mice were intraperitoneally injected with BrdU and were euthanized the following day to isolate CNS-infiltrating CD4<sup>+</sup>FoxP3<sup>-</sup> T cells for flow cytometry analysis. Flow cytometry data (to the left) represent results from four mice from the same experiment. Further, the ratio (fold) differences between BrdU<sup>+</sup>PD-1<sup>+</sup>/BrdU<sup>+</sup>PD-1<sup>-</sup> and BrdU<sup>+</sup>Tim-3<sup>+</sup>/BrdU<sup>+</sup>Tim-3<sup>-</sup> cells were analyzed by ratio pair *t* test and were shown to the right ( $P = 0.0048$ ). (B) *FoxP3-GFP* KI mice were immunized with MOG<sub>35-55</sub>/CFA to induce EAE. At the peak of the disease (day 10), Tim-3<sup>+</sup>FoxP3<sup>-</sup> and Tim-3<sup>-</sup>FoxP3<sup>-</sup> CD4<sup>+</sup> T cells were isolated from the CNS of EAE mice by cell sorting. Total RNA samples were prepared for Nanostring analysis using in-house–designed Nanostring code set (14). Cells from three EAE mice were analyzed as indicated in each individual column. (C) WT mice were immunized with MOG<sub>35-55</sub>/CFA to induce EAE. On day 14, CNS-infiltrating T cells were isolated. CD4<sup>+</sup>Foxp3<sup>-</sup>; Tim-3<sup>hi/lo</sup> cells were analyzed by flowcytometry for expression of PD-1, CD160, and KLRG1. Data shown (C) as mean  $\pm$  SEM. \* $P < 0.05$ ; \*\*\*\* $P < 0.001$ ; \*\*\*\* $P < 0.0001$  (Student two-tailed *t* test).

understand the role of Bat3 in T cell activation, we stimulated in vitro cultured CD4<sup>+</sup> T cells from *Bat3*<sup>cko</sup> and *Bat3*<sup>fl/fl</sup> mice with anti-CD3 and anti-CD28 to examine the impact of Bat3 deficiency on TCR-dependent signaling. We assessed transducers of the TCR pathway and found no notable differences in phosphorylation of protein kinase C or extracellular signal-regulated kinase 1/2 between *Bat3*<sup>cko</sup> and *Bat3*<sup>fl/fl</sup> CD4<sup>+</sup> T cells (Fig. 3). Although, an increased phosphorylation of phospholipase C, Y783 was found in *Bat3*<sup>fl/fl</sup> T cells, this transiently increased phosphorylation 1 hour after TCR stimulation was not sustained. As the Akt/mTOR pathway is a key driver of murine CD4<sup>+</sup> T cell differentiation, we assessed and found significantly elevated Akt S473 phosphorylation in *Bat3*<sup>cko</sup> T cells after anti-CD3/CD28 costimulation (Fig. 3). In contrast, we did not detect a significant difference in Akt T308 phosphorylation between *Bat3*<sup>cko</sup> and *Bat3*<sup>fl/fl</sup> CD4<sup>+</sup> T cells, suggesting that phosphatidylinositol 3-kinase (PI3K)–PDK1 (pyruvate dehydrogenase kinase 1) activation was not affected by Bat3 deficiency. Phosphorylation of PI3K and PDK1 S241 showed little difference. We also detected a slight increase in 70S6K phosphorylation, suggesting that Bat3 deficiency may only affect kinase activity downstream of the PI3K-PDK1 pathway likely due to increased Akt activation (Fig. 3). Together, while there may be some subtle changes in response to TCR activation, Bat3 deficiency preferentially enhances Akt kinase activity without significantly affecting other downstream mediators of TCR activation.



**Fig. 3. Bat3 deficiency results in increased Akt phosphorylation at S473.** Total CD4<sup>+</sup> T cells from *Bat3*<sup>fl/fl</sup> or *Bat3*<sup>cko</sup> mice were activated with plate bound anti-CD3 and anti-CD28 antibodies for 2 days. Cells were rested for additional 2 days afterward. After overnight serum starvation, the cells were stimulated with anti-CD3 and anti-CD28 antibodies for indicated time points. Whole-cell lysates were prepared, and Western blot was performed to analyze the TCR and mTOR signaling pathways. Results represent at least three independent experiments.

As mTORC2 is the major regulator for Akt S473 phosphorylation (20), we analyzed phospho-mTOR and found that *Bat3cko* CD4 T cells have increased phosphorylation at mTOR S2481 after anti-CD3 and anti-CD28 stimulation (Fig. 3). Together, these data suggest that the function of Bat3 is preferentially involved in regulating the mTOR-Akt signaling axis through mTORC2.

### Bat3 interacts with Rictor to regulate mTORC2 function

While regulatory-associated protein of mTOR (Raptor) is only found in mTOR complex 1 (mTORC1), rapamycin-insensitive companion of mammalian target of rapamycin (Rictor) specifically binds to mTORC2 (21). To understand the molecular mechanism of Bat3 in the regulation of mTORC2 activity, we performed Bat3 coimmunoprecipitations (co-IPs) from whole-cell lysates from EL4 T cell lymphomas using antibodies against Rictor, Raptor, and mTOR. We found that Bat3 was preferentially immunoprecipitated by anti-Rictor antibody, but not anti-Raptor, suggesting that Bat3 may be associated with the mTORC2 complex (Fig. 4A). This important finding of Bat3-Rictor interaction was confirmed in primary T<sub>H</sub>1 cells with Bat3<sup>cko</sup> T cells as a control (fig. S6A). As the interaction with Rictor is required for mTOR kinase activity, the regulation of Rictor binding to mTOR would be an important mechanism to control mTORC2 activity (22, 23). To investigate whether Bat3 may play a role in this regulation, we examined the interaction of mTOR and Rictor in the presence or absence of Bat3. We first established four cell lines transducing EL4 cells with Bat3-expressing retrovirus (Bat3-RV), empty vector (GFP-RV), Bat3-sh RNA-expressing RV (Bat3-sh-RV), and empty vector (ctrl-sh-RV), respectively (fig. S6B). We then performed anti-mTOR co-IP with cell lysates from the four established EL4 cell lines to examine the mTOR-Rictor interaction. We found that overexpression of Bat3 in EL4 cells reduced the interaction of mTOR with Rictor

as compared with WT levels of Bat3 (Fig. 4B). In contrast, the Rictor-mTOR interaction was increased when Bat3 expression was knocked down (Fig. 4B). Thus, the interaction between mTOR and Rictor can be perturbed depending on intracellular Bat3 availability, indicating that the interaction between Bat3 and Rictor could represent a previously unidentified regulatory mechanism for mTORC2 function.

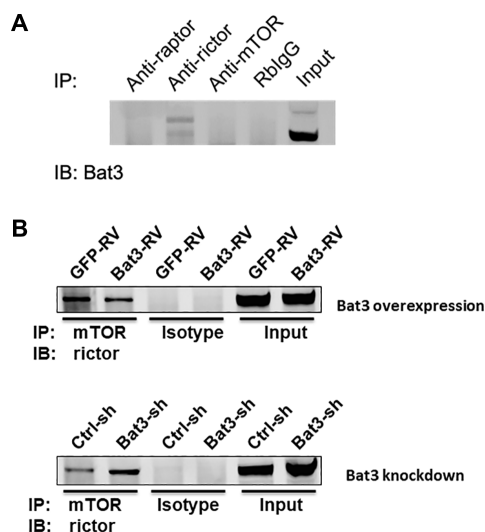
### Bat3 suppresses Hsc70-mediated activation of mTORC2

Bat3 is known for its cochaperone function by interacting with Hsc70/Hsp70 as a nucleotide exchange factor (24). Interaction between Bat3 and Hsc70 facilitates the release of adenosine 5'-diphosphate and Hsc70 substrate (25). Hsc70 was recently found to directly bind Rictor to facilitate the Rictor-mTOR interaction and mTORC2 function. The absence of Hsc70 destabilizes the mTORC2 complex and attenuates Akt S473 phosphorylation (22). We therefore investigated the role of constitutively expressed Hsc70 in the regulation of mTORC2 function. By performing anti-Rictor and anti-mTOR co-IP, we demonstrated an interaction between Rictor and Hsc70, but not between mTOR and Hsc70. This interaction can be suppressed by addition of 1 mM adenosine 5'-triphosphate (ATP) (Fig. 5A). In addition, we found that the Rictor-Hsc70 interaction is significantly increased in the absence of Bat3 as detected in *Bat3cko* T cells. We could detect Hsc70-mTOR interaction in the absence of Bat3, which may be an indirect interaction mediated by enhanced binding of Hsc70 to Rictor in the absence of Bat3 (Fig. 5A). This suggests that Bat3 may limit the access of Hsc70 to mTORC2, thereby destabilizing this protein complex.

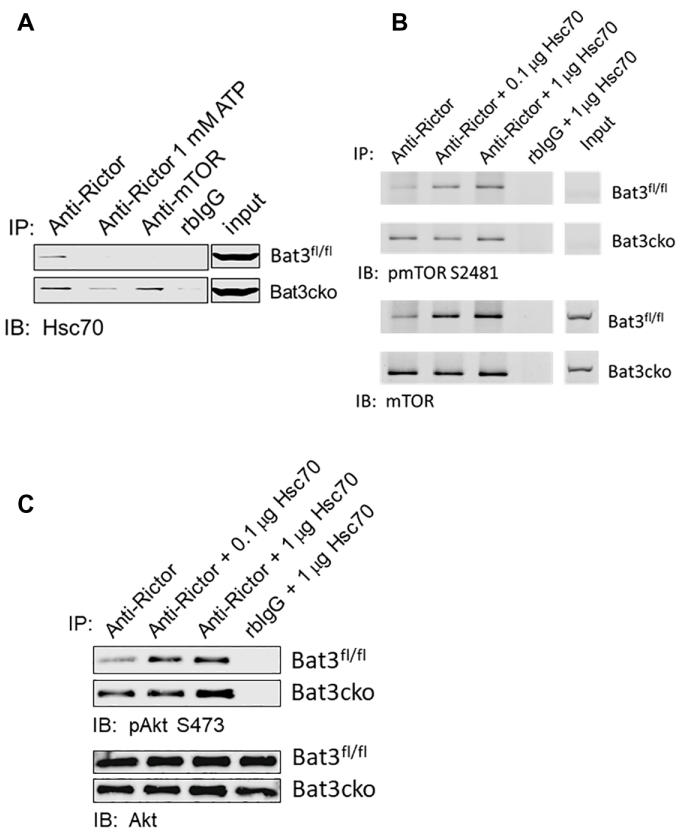
To investigate the functional consequence of the absence of Bat3, we performed anti-Rictor co-IP and compared phosphorylation at mTOR S2481, an indicator of autoactivation of mTOR between WT and *Bat3cko* T cells. We demonstrated that in the absence of Bat3, there was greater interaction between mTOR and Rictor demonstrated by anti-Rictor co-IP. This result was in line with the increased interaction of mTOR-Rictor interaction in Bat3 knockdown CD4 T cells (Fig. 4B). Further addition of exogenous Hsc70 could strengthen the mTOR-Rictor interaction in WT CD4 T cell-derived cell lysates to the comparable levels observed in *Bat3cko* CD4 T cell-derived cell lysates (Fig. 5B). This enhanced mTOR binding to Rictor also associated with increased phosphorylation of mTOR S2481. This result indicated that *Bat3cko* CD4 T cells have more active mTOR function than WT CD4 T cells. Exogenous Hsc70 could override the negative regulatory effect of Bat3. Our findings suggest that this elevated mTOR-Rictor interaction is likely due to enhanced Hsc70 binding to the mTORC2 complex in the absence of Bat3. We further conducted an in vitro kinase assay by adding recombinant Akt to mTORC2 complex purified from the anti-Rictor co-IP. Results showed a higher level of Akt S473 phosphorylation when recombinant Akt was incubated with Bat3-deficient mTORC2 complex than with Bat3-sufficient mTORC2 complex. Addition of exogenous recombinant Hsc70 increased Akt S473 phosphorylation (Fig. 5C). Together, our data suggest that Bat3 functions as a negative regulator of the mTORC2 complex by limiting the role of Hsc70 in mTORC2 activation.

### Bat3 regulates FoxO1-Blimp-1 activity

A key phenotype of Bat3-deficient T cells is increased Tim-3 expression. We and others have recently demonstrated Blimp-1 to be a key transcription factor driving the expression of Tim-3 and a module of coinhibitory molecules in dysfunctional CD8 T cells in tumors (14, 26). To understand the mechanism behind the induction



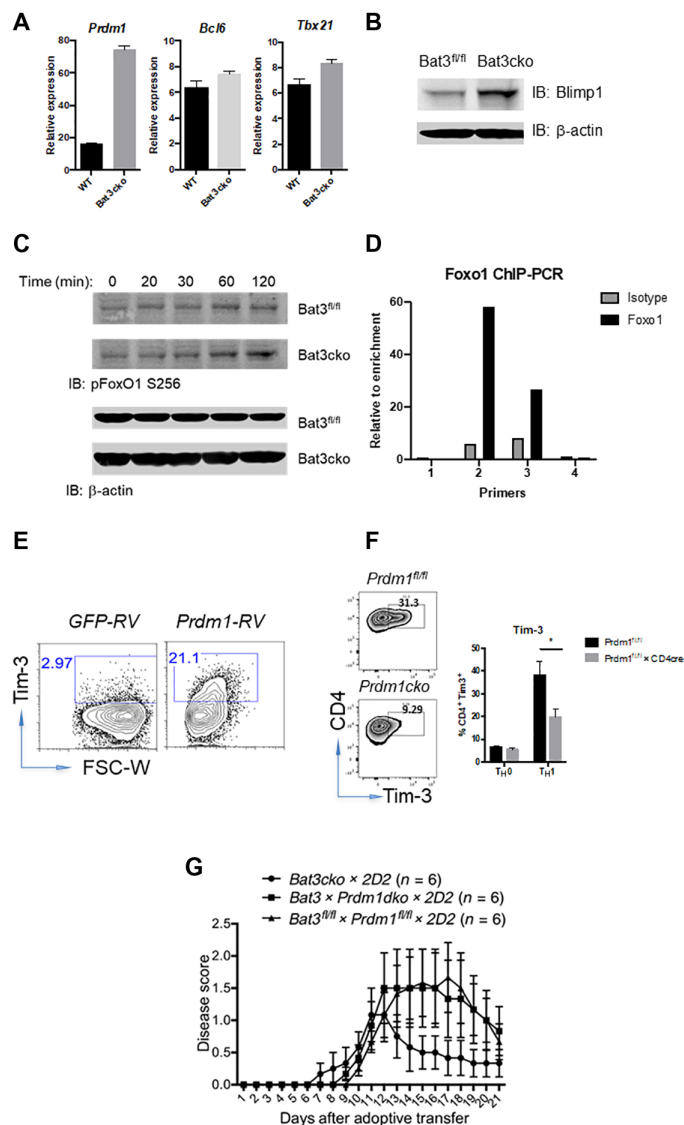
**Fig. 4. Bat3 interacts with Rictor to regulate mTORC2 function.** (A) Equal concentration of EL4 cell lysate was used in co-IP using antibodies to Rictor, Raptor, mTOR, and isotype rabbit immunoglobulin G (IgG), respectively. Protein samples were analyzed by Western blot to examine the signal for Bat3. IB, immunoblot. (B) Whole-cell lysates were prepared from EL4 cells transduced with Bat3-expressing retrovirus (Bat3-RV), empty vector (GFP-RV), Bat3-sh RNA-expressing RV (Bat3-sh-RV), and empty vector (ctrl-sh-RV), respectively. Cell lysates were incubated with anti-mTOR antibody (Ab), respectively, to immunoprecipitate mTOR complex to examine the signal of coprecipitated Rictor.



**Fig. 5. Bat3 suppresses Hsc70-mediated activation of mTORC2.** (A) CD4 T cells from *Bat3<sup>fl/fl</sup>* and *Bat3cko* mice were activated with plate-bound anti-CD3 and anti-CD28 antibodies for 2 days. Cells were rested for an additional 2 to 3 days, and whole-cell lysates were prepared for anti-Rictor and anti-mTOR co-IP. When anti-Rictor co-IP was performed, 1 mM adenosine 5'-triphosphate (ATP) was added in a control sample to trigger adenosine triphosphatase (ATPase) activity of Hsc70. Eluted protein samples were analyzed by Western blot to determine the binding of Hsc70 to the mTORC2 complex. (B) *Bat3<sup>fl/fl</sup>* and *Bat3cko* CD4 T cell whole-cell lysates were prepared for anti-Rictor or isotype IgG co-IP with or without the presence of indicated doses of Hsc70. Immunoblot was performed to analyze the phosphorylation at mTOR S2481 (top), and total mTOR coprecipitated by anti-Rictor (bottom). (C) Co-IP experiment was performed as described in (B), and Akt phosphorylation at S473 by the mTORC2 complex was analyzed by Western blot.

of Tim-3 in *Bat3cko* concomitant with the development of a dysfunctional phenotype in *Bat3cko* T cells, we assessed the expression of Blimp-1 (*Prdm1*). We found increased expression of *Prdm1* in *Bat3cko* T cells compared to WT controls (Fig. 6A), and this was confirmed at the protein level by immunoblot (Fig. 6B). In contrast to Blimp-1, FoxO1 promotes memory T cells but suppresses effector T cell differentiation (27) and has been reported to suppress *Prdm1* transcription through induction of *Bcl6* (28).

Because Akt (which was enhanced in *Bat3cko* T cells; Fig. 3) plays a critical role in the regulation of FoxO1 cellular distribution and transcriptional function, we therefore decided to investigate the role of Bat3 regulation of FoxO1. We first stimulated in vitro cultured WT and *Bat3cko* CD4 T cells with anti-CD3/anti-CD28 and found increased FoxO1 S256 phosphorylation in *Bat3cko* T cells (Fig. 6C). This result is in line with the increased Akt activity observed in *Bat3cko* T cells (Fig. 3). Next, we prepared nuclear and cytoplasmic proteins from *Bat3<sup>fl/fl</sup>* and *Bat3cko* CD4 T cells after 2-hour



**Fig. 6. Bat3 regulates FoxO1–Blimp-1 activity.** Naïve *Bat3<sup>fl/fl</sup>* and *Bat3cko* CD4<sup>+</sup> T cells were in vitro differentiated into T<sub>H</sub>1 cells. (A) qPCR to determine the transcripts of *Prdm1*, *Bcl6*, and *Tbx21* after 3 days. (B) Whole-cell lysates (WCLs) were prepared for Western blot to detect Blimp-1 expression. (C) Cells were activated with anti-CD3/CD28 for 2 days and rested for 2 days afterward. After overnight serum starvation, cells were stimulated with anti-CD3/CD28 for indicated time points. WCL were prepared and immunoblotted for pFoxO1 S256. (D) Four ChIP-PCR primer sets were used to confirm binding at selected sites of FoxO1 to Blimp-1 locus. (E) Naïve CD4<sup>+</sup> T cells were activated with anti-CD3/CD28 for 1 day and retrovirally transduced with control (*GFP-RV*) or Blimp-1–expressing vector (*Prdm1-RV*). Tim-3 expression was analyzed 3 days later. (F) Naïve *Prdm1<sup>fl/fl</sup>* or *Prdm1<sup>fl/fl</sup> cko* CD4<sup>+</sup> T cells were stimulated under T<sub>H</sub>0 and T<sub>H</sub>1 conditions with anti-CD3/CD28. Tim-3 expression was assessed after 72 hours. (G) CD4<sup>+</sup> T cells from *Bat3cko* × 2D2, *Bat3<sup>fl/fl</sup>* × *Prdm1<sup>fl/fl</sup> cko* × 2D2, and *Bat3<sup>fl/fl</sup>* × *Prdm1<sup>fl/fl</sup> dko* × 2D2 mice were differentiated into T<sub>H</sub>1 cells and transferred into C57BL/6 recipient mice. Disease progression was monitored daily; mean disease scores are shown as indicated. Results represent at least two independent experiments. Error bars indicate means ± SEM (\**P* = 0.01, unpaired two-tailed *t* test).

stimulation with anti-CD3 and anti-CD28. Western blot result showed that the total amount of FoxO1 (combination of nuclear and cytoplasmic) were similar between *Bat3<sup>fl/fl</sup>* and *Bat3cko* cells. However, when comparing protein subcellular distribution, we found that nuclear FoxO1 was greater in *Bat3cko* cells than in WT *Bat3<sup>fl/fl</sup>* cells, but the trend was opposite in cytoplasm, indicating that the increased FoxO1 phosphorylation affected the protein cellular distribution (fig. S7A).

The reduced nuclear localization of FoxO1 indicates attenuated transcriptional suppression. Therefore, to explore the possible regulatory role of Foxo1 in Blimp-1 transcription, we analyzed FoxO1 chromatin immunoprecipitation (ChIP) sequencing data (GSE60470) (29) and identified direct FoxO1 binding to the *Prdm1* locus (fig. S7B), including one binding site in the proximal promoter region, which was confirmed by ChIP-quantitative polymerase chain reaction (qPCR) (Fig. 6D). To confirm the direct suppression of transcription initiation of *Prdm1* by FoxO1, we generated a Blimp-1 promoter luciferase reporter construct. Cotransfection of the *Prdm1*-promoter reporter construct with the *FoxO1* expression plasmid suppressed *Prdm1* promoter-driven luciferase expression (fig. S7C).

Last, to investigate whether increased Blimp-1 expression contributes to the phenotype of *Bat3*-deficient T cells, we performed retroviral overexpression of Blimp-1 in naïve CD4 T cells, which led to enhanced Tim-3 expression (Fig. 6E). Conversely, consistent with our previous results (14), comparison of Tim-3 expression between *Prdm1<sup>fl/fl</sup> × CD4-Cre* and WT (*Prdm1<sup>fl/fl</sup>*) CD4 T cells demonstrated that Blimp-1-deficient cells expressed less Tim-3 than WT cells (Fig. 6F).

Collectively, our data suggested that FoxO1 transcription function is regulated by mTORC2 pathway. The absence of *Bat3* results increases mTORC2/Akt activity and subsequently increases FoxO1 phosphorylation and redistribution of FoxO1 to cytoplasm. Hence, its transcriptional suppression of Blimp-1 is attenuated. The increased Blimp-1 expression contributes to increased Tim-3 expression, T<sub>H</sub>1 cell terminal differentiation, and dysfunction in *Bat3cko* CD4 (T<sub>H</sub>1) cells (illustrated in Fig. 8).

To validate this hypothesis, we generated *Bat3<sup>fl/fl</sup> × Prdm1<sup>fl/fl</sup> cko* (*Bat3 × Prdm1dko*) × 2D2 mice to perform passive EAE induction with differentiated 2D2 T<sub>H</sub>1 cells derived from these mice together with control group cells from *Bat3<sup>fl/fl</sup> × Prdm1<sup>fl/fl</sup> × 2D2* and *Bat3cko × 2D2* mice. Results showed that codeletion of Blimp-1 in *Bat3*-deficient T cells was able to rescue the encephalitogenic potential of transferred 2D2 cells (Fig. 6G). We additionally performed an adoptive T cell transfer model whereby *Bat3<sup>fl/fl</sup>*, *Bat3cko*, *Prdm1cko*, and *Bat3 × Prdm1dko* were immunized on day 0 with MOG<sub>35–55</sub> peptide. On day 8, spleens and lymph nodes were harvested and expanded in the presence of MOG<sup>+</sup>IL-12<sup>+</sup> anti-IL-4. On day 3 after harvest, isolated CD4<sup>+</sup> T cells were injected into C57BL/6 recipient mice. Using this experimental setting, we observed significantly worse disease in animals that received Blimp-1-deficient T cells, and that codeletion of Blimp-1 in *Bat3*-deficient T cells could rescue their encephalitogenic potential (fig. S7D). Together, these EAE data support the critical role of Blimp-1 transcriptional function downstream of *Bat3*/mTORC2/Akt pathway in the regulation of T<sub>H</sub>1 cells terminal differentiation and dysfunction.

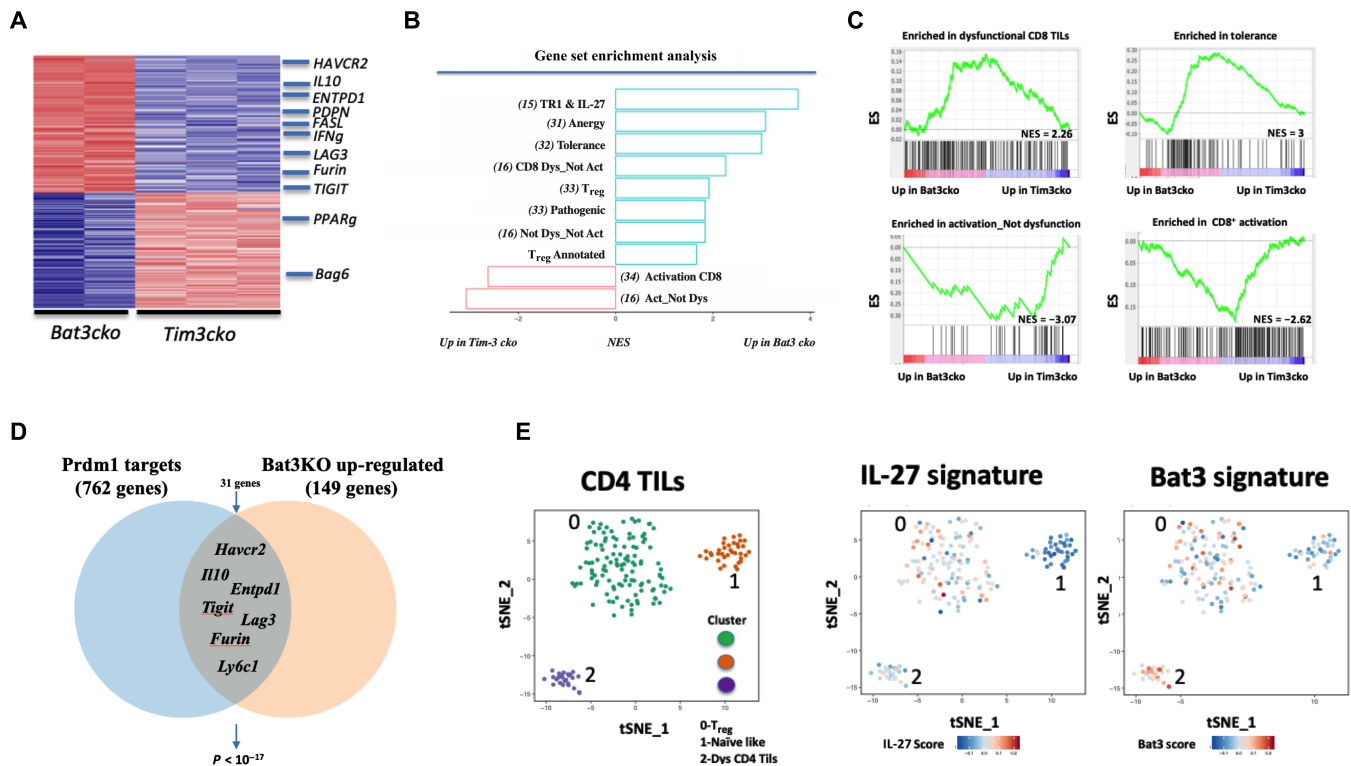
### Bat3 deficiency promotes an exhaustion/dysfunctional profile in T cells

Our data thus far suggested that unrestrained mTORC2/Akt/Blimp-1 pathway in *Bat3cko* cells drives T<sub>H</sub>1 cell terminal differentiation and exhaustion. From our earlier EAE studies (Fig. 1, F and G), we

were able to demonstrate that Tim-3 is as a key effector molecule downstream of this pathway. To gain a deeper understanding of the role of Tim-3 and *Bat3* pathways in the regulation of T cell function, we performed a whole genome RNA sequencing (RNA-seq) analysis of *Bat3*- and *Tim-3*-deficient CNS-infiltrating T<sub>H</sub>1 cells from mice undergoing EAE. We found that many genes were reciprocally regulated by Tim-3 and *Bat3* (157 genes were up in *Bat3KO* and 132 genes were up in *Tim-3KO*; Fig. 7A and table S1), consistent with our earlier findings that *Bat3* is a negative regulator of Tim-3 (Fig. 1F) (11). Analysis of the DE genes between *Bat3*- and *Tim-3*-deficient T<sub>H</sub>1 cells demonstrated that the genes up-regulated in *Bat3cko* cells were significantly associated with genes from the dysfunction\_not\_activation module that we have described in TILs ( $P = 0.0002$ ) (Fig. 7, B and C) (15). The dysfunction\_not\_activation module is associated with T cell exhaustion in the tumor microenvironment, and the genes up-regulated in *Tim-3cko* T cells were not associated with this module ( $P = 0.31$ ). Further analysis revealed that up-regulated genes in *Bat3cko* T cells were enriched in gene signatures from data generated in additional studies for anergy (30), tolerance (31, 32), and dysfunction\_not\_activation (15), but not with signatures associated directly with CD8 activation (32, 33) or effector cell function of CD8 T cells (Fig. 7C) (33, 34). This may be partly because of increased Blimp-1 expression observed in *Bat3cko* T cells. We (14) and others (26, 35) have previously shown that Blimp-1 is a key transcription factor that drives the expression of a whole module of coinhibitory gene in exhausted T cells, and other studies have shown that Blimp-1 can serve as a key transcriptional regulator balancing effector CD8 T cell function with T cell exhaustion, whereby high Blimp-1-expression tips the balance toward T cell exhaustion/dysfunction. We investigated whether the up-regulated genes in *Bat3cko* T cells were enriched for a Blimp-1 signature. We found that the genes that are up in the *Bat3cko*, as compared to the *Tim-3cko* T cells, are significantly enriched for targets of Blimp-1 including coinhibitory genes Tim-3, TIGIT (T cell immunoreceptor with Ig and ITIM domains), and Lag-3, together with IL-10 (genes that are up- and/or down-regulated upon *Prdm1* KO;  $P < 10^{-17}$ ). This is not true for genes up-regulated in the *Tim-3KO* compared to the *Bat3KO* ( $P = 0.20$ ) (Fig. 7D). We have previously identified IL-27 as a key cytokine responsible for driving the expression of a module of checkpoint molecules in cancer, in part via Blimp-1. Using the single-cell RNA-seq (scRNA-seq) dataset of 316 CD4<sup>+</sup> T cells from B16F10 melanoma, we defined three clusters identified as regulatory T (T<sub>reg</sub>) (0), dysfunctional CD4 (1), and naïve like (2). As a reference, we projected the IL-27 coinhibitory gene module signature onto this scRNA-seq data, and the signature highlighted clusters 0 (T<sub>regs</sub>) and 2 (dysfunctional) CD4 (2) (Fig. 7E). Projection of the gene signature up-regulated in *Bat3cko* T<sub>H</sub>1 cells overlaps not only with that of IL-27 but also chronic viral infection signature crossed to IL-27 (Fig. 7E). The *Bat3cko* signature furthermore scored highly for overlap between several other states of T cell nonresponsiveness including anergy and tolerance (fig. S8). Together, our results show that *Bat3* has a role in regulating the naïve effector versus memory T cell response in autoimmune disease setting and that loss of *Bat3* promotes T cell dysfunction via the Akt/mTOR/Blimp-1 axis.

### DISCUSSION

The function of Tim-3 as a checkpoint inhibitor in effector T<sub>H</sub>1 cells and CD8 T cells has been well characterized (36). The cytoplasmic tail



**Fig. 7. Bat3 deficiency promotes an exhaustion/dysfunctional profile in T cells.** Naïve CD4 T cells were isolated from *Bat3cko* × 2D2 and *Tim-3cko* × 2D2 and in vitro differentiated into  $T_H1$  cells;  $4 \times 10^6$  cells were transferred into C57BL/6 recipient mice to induce EAE. **(A)** Gene expression in *Tim-3cko* and *Bat3cko* 2D2 cells ( $V\alpha 3.2^+V\beta 11^+$ ) isolated from CNS at peak of disease (day 14) for population RNA-seq. DE genes (289) are shown as a heatmap. **(B)** Enrichments of different signatures from literature in *Bat3cko* versus *Tim-3cko* cells, determined using GSEA preranked analysis. Selected signatures with an adjusted  $P < 0.05$  are shown, and references for signatures are numbered in italics. **(C)** Descriptive leading-edge plots for some of the signatures. NES, normalized enrichment score. **(D)** Graphical representation of the selected overlap genes between the *Prdm1cko* and *Bat3cko* CD4 T cells. **(E)** A  $t$ -distributed stochastic neighbor embedding ( $t$ -SNE) plot of the 316 CD4 $^+$  TILs obtained from WT mice bearing B16F10 melanoma tumors (14), colored by the relative signature score for *Bat3cko* versus *Tim-3cko* module (36 genes; table S2) and the IL-27 TIL signature (14).

of Tim-3 potentially binds to SH2 domain-containing proteins such as Fyn, PI3K adaptor p85 (37), and lymphocyte-specific protein tyrosine kinase (LCK) (11), and this potential signaling can be further regulated by the cytoplasmic protein Bat3 to prohibit premature Tim-3 inhibitory signal transduction (11, 38). Bat3 is a ubiquitin-like protein that has a variety of intracellular biological functions (1, 2, 5); however, our study provides previously unidentified insights into its immunologic role in the induction of T cell dysfunction, partly by regulating Tim-3 signaling (11).

Our previous studies on syngeneic 4T1 and CT26 mouse tumor model characterized dysfunctional Tim-3 $^+$ PD-1 $^+$  TILs that produce much lower amounts of IFN- $\gamma$  and TNF- $\alpha$  than Tim-3 $^-$ PD-1 $^+$  TILs. These Tim-3 $^+$ PD-1 $^+$  TILs expressed 50% lower amounts of *Bat3* mRNA relative to Tim-3 $^-$ PD-1 $^+$  cells. Similarly, we also found that *Bat3* mRNA expression was significantly reduced in Tim-3 $^+$ PD-1 $^+$ -exhausted CD4 $^+$  cells isolated from untreated HIV-1-infected individuals relative to Tim-3 $^-$ PD-1 $^+$ CD4 $^+$  T cells (11). This observation indicates that reduced expression of Bat3 is associated with T cell dysfunction in cancer and chronic HIV infection. In this study, we further demonstrated that deficiency of Bat3 expression in 2D2  $T_H1$  cells led to the development of an exhaustion-like phenotype, including increased Tim-3, PD-1, and IL-10 expression but reduced IFN- $\gamma$  and TNF- $\alpha$  production. Together, these changes result in attenuated

pathogenicity of encephalitogenic  $T_H1$  cells. Our study suggested a mechanism of which Bat3 plays a key role in the regulation of mTORC2-Akt-Blimp-1 pathway during effector T cell differentiation. This effect is profound enough to induce a dysfunctional phenotype in effector T cells even under highly inflammatory autoimmune neuroinflammation. In patients with multiple sclerosis (MS), while myelin antigen-specific CD4 $^+$ Tim-3 $^+$  were shown to be augmented in patients with benign MS (BEMS), CD4 $^+$ Bat3 $^+$  T cells were increased in primary progressive MS (PPMS) (40). Blockade of the galectin-9-Tim-3 interaction reversed Tim-3-mediated suppression in the BEMS, relapsing-remitting MS, and healthy control groups, but not in the PPMS group where Bat3 expression is high. Collectively, these data suggest that Tim-3 and Bat3 reciprocally control the encephalitogenic phenotype of T cells also in humans.

Here, we demonstrate that Bat3 deficiency in T cells results in a profound defect of effector  $T_H1$  cell differentiation in a murine EAE model. Phenotypically, Bat3-deficient  $T_H1$  cells showed compromised effector function and early contraction. In contrast, Tim-3 deficiency in T cells exacerbates EAE induction. *Tim-3Bat3dcko* largely rescued the phenotypic defects of Bat3 deficiency in  $T_H1$  cell differentiation, including IFN- $\gamma$  production, frequency of the CNS-infiltrating effector  $T_H1$  cells (CD44 $^+$ ; KLRG1 $^+$ ), and IL-7R $^+$  cells. However, IL-2 production in the *Tim-3Bat3dcko* mice was still low compared with



WT T cells. This result indicates that, in addition to regulating the Tim-3 signaling pathway, Bat3 may have nuanced roles in the regulation of effector T<sub>H</sub>1 cells.

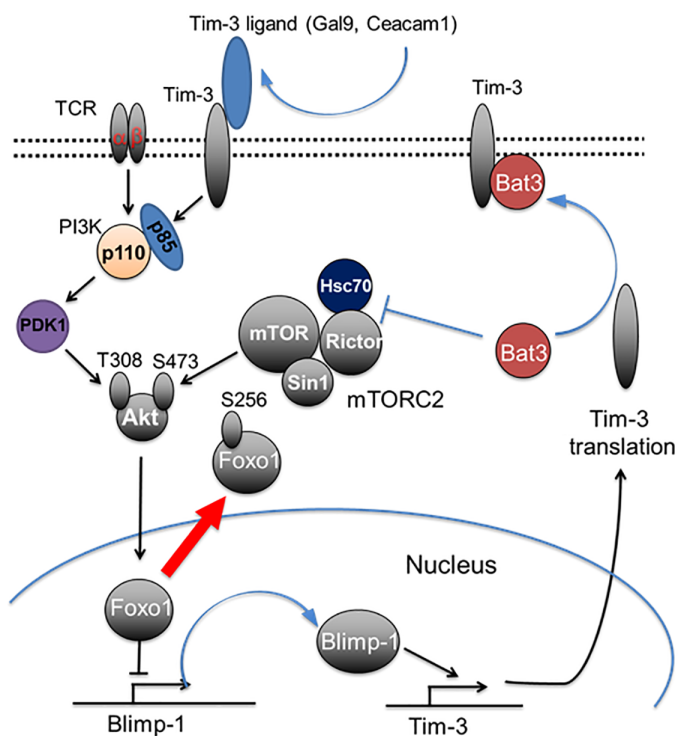
As a central regulator of growth and cellular metabolism, mTOR plays a critical role in T cell differentiation and effector/memory differentiation (21). mTOR exists in two multiprotein complexes, mTORC1 and mTORC2. While Raptor is only found in mTORC1, Rictor specifically binds to mTORC2 (21). The molecular mechanism by which mTORC1 is regulated has been extensively investigated, but knowledge of mTORC2 regulation is still limited (40). Here, we demonstrate a previously uncharacterized mechanism whereby Bat3 limits Hsc70-dependent activation of mTORC2. In line with this, we found that Bat3-deficient T cells preferentially increased mTORC2 function and downstream Akt kinase activity. A recent study suggested a potential role of Tim-3 signaling in activation of PI3K kinase pathway in myeloid cells (41). Thus, it is likely that Tim-3 expression could facilitate terminal T<sub>H</sub>1 differentiation by enhancing mTORC1 pathway. Hence, Bat3 deficiency expedites terminal differentiation and exhaustion (i) directly through unrestrained mTORC2 that enhances Akt signaling and (ii) indirectly through loss of gatekeeper function on Tim-3 downstream signaling, thus promoting mTORC1 activity.

On the basis of our study, we propose the following model for the role of Bat3 in T cell terminal differentiation and exhaustion. Bat3 binds Rictor, thereby inhibiting mTORC2 activity via an Hsc70-dependent mechanism. During effector T cell terminal differentiation and exhaustion, expression of Bat3 is reduced. Consequently, Tim-3 signaling is enhanced. At the same time, mTORC2 function is also activated, which subsequently activates Akt kinase and induces high

level of Blimp-1 expression by phosphorylating FoxO1 and thereby excluding it from the nucleus. Increased expression of Tim-3 will further activate PI3K-PDK1 pathway to phosphorylate T308 on Akt (41), thereby fully activating Akt kinase and downstream events (Fig. 8). This regulatory loop will eventually dampen T<sub>H</sub>1 cell-mediated immune responses. Thus, increased expression of Tim-3 in *Bat3cko* T cells could provide a positive feedback loop and further strengthen Akt function, enhancing terminal effector T cell differentiation and exhaustion. Akt signaling regulates the expression of genes encoding T cell factor 1 (TCF1), IL-7R $\alpha$ , CC-chemokine receptor 7 (CCR7), and L-selectin, molecules which are essential for stemness/memory T cell differentiation (42, 43). It has previously been proposed that forced expression of Tim-3 leads to generation of short-lived effector T cell responses with increased Akt/mTOR activity (44). However, in that system, the expression of Bat3 was not assessed, and we suggest cautious interpretation of these findings, as increased long-lived memory cell generation may be due to increased sequestration of Bat3 by Tim-3 in this overexpression model. In the same paper, the authors describe reduced Akt/mTOR signaling in *Tim-3<sup>-/-</sup>* mice. This could be explained by the greater availability of Bat3 that is in line with our observation that Bat3 interacts with, and limits, Rictor availability for mTORC2 complex formation. Further supporting this finding, we observed increased PI3K/Akt/mTOR activity in *Bat3cko* T cells.

The increased Akt activity in *Bat3cko* cells results in elevated pFoxO1, which has previously been shown to regulate expression of TCF1 (*Tcf7*). TCF1 has been shown to play an important role in subverting terminal differentiation in effector CD8 T cells, and FoxO1-driven TCF1 is associated with increased T cell stemness, diminished effector, and increased memory phenotype (45, 46). There is an antagonism between TCF1, which promotes stemness in CD4 and CD8 T cells, and Tim-3 expression. It has been shown that high expression of Tim-3 inversely correlates with *Tcf7* expression, with low expression of Tim-3 often used to identify high TCF1 expressing populations in vivo (47). We predict that loss of Bat3 (unrestrained Tim-3) not only promotes generation of terminal effectors but also promotes induction of exhaustion and oppose stemness during T cell expansion. The potential mechanism by which Bat3 may mediate this effect is partly explained by the observation made in *Bat3cKO* mice that develop an “exhaustion”-like phenotype and whereby loss of Bat3 promotes contraction of effector T cells. Previous studies have shown that TCF1 can transactivate itself and promote self-expression in a feed forward loop (48). The reciprocal relationship of Tim-3 and Tcf1 could lead one to hypothesize that activation of Tim-3 may play a critical role in directly regulating *Tcf7* expression. However, this remains to be experimentally determined.

As one of the key transcription factors that controls T<sub>H</sub>1 cell terminal differentiation and exhaustion, Blimp-1 directly regulates a number of effector molecules including Tim-3, IL-10, and KLRG1, at the same time, suppressing IL-7R (CD127) and IL-2 expression. While T cell exhaustion has been associated with poor prognosis in chronic viral infection and cancer, in the context of autoimmunity, exhaustion has actually been linked favorably to long-term clinical outcome in a number of autoimmune diseases including inflammatory bowel disease, type 1 diabetes, and psoriasis (49). We hypothesize that acquisition of an exhaustion-like phenotype by pathogenic autoimmune cells is likely a protective mechanism to curtail damage to the tissue in question. Relapse rate and response to immunomodulatory therapy in autoimmune diseases has been shown to



**Fig. 8. Graphical abstract.** Model for Bat3-mediated mTORC2–Akt–Blimp-1–Tim-3 pathway regulation in T cell terminal differentiation and exhaustion.

be directly related to the expression of checkpoint molecules and development of T cell exhaustion (49). Our studies show that *Bat3* may be a critical regulator of T cell exhaustion, even under proinflammatory autoimmune setting.

In our study, we found that *Bat3*-deficient T cells have elevated levels of *Prdm1* and that up-regulated genes in *Bat3cko* T cells were significantly enriched for the *Prdm1* and exhaustion gene signature, including expression of a module of coinhibitory molecules together with *Il10*. More recently, we have identified *Il-27* as a key cytokine responsible for driving the expression of a module of checkpoint molecules in cancer, in part via *Blimp-1*. As we had also observed increased *Blimp-1* expression in *Bat3cko* T cells, we were interested to see whether there was an overlapping gene expression patterns existing between *Il-27* signaling and *mTORC2-Akt* pathways in the regulation of  $T_H1$  cell dysfunction during autoimmunity. Our data suggest that *Blimp-1* is the key node linking these two networks and may be partly responsible for reduced tissue inflammation and autoimmunity observed in *Bat3cKo* mice.

Our studies raise an important question of whether methods that promote T cell exhaustion can be exploited positively to alter the course of an autoimmune disease. Our studies suggest that an understanding of the processes governing T cell exhaustion in proinflammatory diseases may also advance therapies for autoimmunity, as principles of T cell exhaustion have been exploited in treatment of cancer.

## MATERIALS AND METHODS

### Mice

C57BL/6 mice were purchased from the Jackson laboratory. *Tim-3<sup>fl/fl</sup>* mice and *Bat3<sup>fl/fl</sup>* mice were generated as described in the Supplementary Materials. *Prdm1<sup>fl/fl</sup>* mice were acquired from the Jackson laboratory. *Tim-3cko*, *Bat3cko*, *Prdm1cko*, *Tim-3 × Bat3dko*, and *Bat3 × Prdm1dko* mice were generated by breeding with *CD4-Cre* tg mice from the Jackson laboratory. In addition, *Tim-3cko*, *Bat3cko*, *Tim-3 × Bat3dko*, and *Bat3 × Prdm1dko* mice were further bred with 2D2 mice (in-house). Animal experiments were done in accordance with the guidelines of the Institutional Animal Care and Use Committee at the Brigham and Women's Hospital and Harvard Medical School.

### Active and passive EAE induction

For active EAE induction, 6- to 8-week-old mice were immunized subcutaneously with 100  $\mu$ g of MOG<sub>35-55</sub> peptide (MEVGWYRSPFSRVVHLYRNGK; QCB BioSource International) in CFA [with *Mtb* (heat-killed *Mycobacterium tuberculosis*) extract H37-Ra (5 mg/ml; Difco)]. Mice received 150 ng of pertussis toxin (List Biological Laboratories) intraperitoneally on days 0 and 2. For passive EAE induction,  $4 \times 10^6$  2D2  $T_H1$  cells from different mutant mice were transferred intravenously to C57BL/6 recipient. The mice were monitored daily for development of EAE and scored using the following criteria: 0, no disease; 1, decreased tail tone; 2, hind limb weakness or partial paralysis; 3, complete hind limb paralysis; 4, front and hind limb paralysis; or 5, moribund state. Mice were euthanized at the indicated time points. All mice were housed in a specific pathogen-free animal facility. All breeding and experiments were reviewed and approved by the Harvard Medical Area Standing Committee on Animals and were performed in accordance with the U.S. National Institutes of Health guidelines for the use of live animals.

### Antibodies and cytokines

The following antibodies conjugated with different fluorescent dyes for immune cells surface staining and flow cytometry were purchased from BioLegend: anti-CD4 (clone RM4-5), anti-CD62L (clone MEL-14), anti-*Il-10* (clone JES5-16E3), anti-TNF (clone TN3-19.12), anti-*Il-2* (clone JES6-5H4), anti-IFN- $\gamma$  (clone XMG1.2), LAG3 (clone C9B7W), CD127, CD44, KLRG1, Va3.2, and Vb11. APC (allophycocyanin)-anti-*Tim-3* (5D12) was prepared in house. All of the primary antibodies for Western blots were purchased from Cell Signaling Technologies. All of the IRDye secondary antibodies to detect primary antibody signals in LI-COR Odyssey Imaging system were purchased from LI-COR Biotechnology. All of the recombinant mouse cytokines for T cell in vitro culture and *Tim-3* induction were purchased from R&D systems.

### Cell isolation and culture

Total CD4<sup>+</sup> T cells from different lines of mice were first enriched by positive selection using CD4<sup>+</sup> T cell isolation reagent from Miltenyi Biotec. Cells were stained with CD25-fluorescein isothiocyanate, CD44-PE (phycoerythrin), and CD62L-APC. Naïve CD4<sup>+</sup> (CD4<sup>+</sup> CD44<sup>-</sup> CD62L<sup>+</sup>) T cells were subsequently sorted by BD FACSAria (BD Biosciences). The sorted naïve T cells were then activated with plate-bound anti-CD3 (1  $\mu$ g/ml; 145-2C11) and anti-CD28 (1  $\mu$ g/ml; PV-1) (both were made in-house) for 2 days. For  $T_H1$  conditions, cells were cultured under the presence of *Il-12* (10 ng/ml) and anti-*Il-4* (10  $\mu$ g/ml).

### Retroviral transduction

Retroviral expression plasmids for *Blimp-1* and GFP control were packed in 293T cells and were used to transduce mouse naïve CD4<sup>+</sup> T cells activated by plate-bound anti-CD3 and anti-CD28 antibodies.

### Western blot

Cells were harvested, washed in ice-cold phosphate-buffered saline (PBS), and lysed in medium stringency lysis buffer for 30 min. The lysates were centrifuged at 13,000 rpm, the supernatants were harvested, and protein concentration was determined by a Pierce assay. The samples were diluted in 1 $\times$  SDS loading buffer, boiled for 5 min in reducing conditions, followed by separation on 10% SDS-polyacrylamide gel electrophoresis (PAGE) gel. Gels were transferred to nitrocellulose membranes, blocked with tris-buffered saline plus 0.1% Tween 20 and 3% bovine serum albumin (BSA) or milk, and probed with indicated primary antibodies. Blots were analyzed by Odyssey Imaging System.

### Intracellular cytokine staining

For in vitro experiments, naïve CD4<sup>+</sup> T cells were activated by plate-bound anti-CD3 and anti-CD28 antibodies for 2 days. Cells were then rested for 3 days and restimulated with plate-bound anti-CD3 (0.1  $\mu$ g/ml) and anti-CD28 for 24 hours before they were subjected to phorbol 12-myristate 13-acetate (PMA) and ionomycin stimulation (50 ng/ml and 1  $\mu$ M, respectively) in the presence of Golgi Stop (BD Biosciences) for intracellular cytokine detection. All data were collected on LSR II (BD Biosciences) or Calibur (BD Biosciences) and analyzed by FlowJo software (Tree Star Inc.). For ex vivo experiments, CNS-infiltrating T cells and draining lymph node T cells were isolated as previously described (50). The cells were further stimulated with PMA and ionomycin for 3 hours and subsequently subjected to intracellular cytokine stain. Briefly, cells were stained on ice for 20 min with cell surface markers including (Va3.2<sup>+</sup>Vb11<sup>+</sup>) in

the case of 2D2 cells. Cells were washed in fluorescence-activated cell sorting buffer (PBS, 0.5% BSA, and 2 mM EDTA) and fixed with 4% paraformaldehyde for 10 min on ice. Cells were then washed with BD perm/wash and stained with antibodies directed against indicated intracellular cytokines for 30 min on ice.

### ChIP assays

CD4<sup>+</sup> T cells from C57BL/6 mice were purified by a CD4<sup>+</sup> T cell negative selection kit (Miltenyi Biotech) and were activated by plate-bound anti-CD3 and anti-CD28 (2 µg/ml each) under either neutral condition (T<sub>H</sub>0) for 2 days. Cells were rested for additional 3 days and were restimulated with plate-bound anti-CD3 and anti-CD28 (0.1 µg/ml) for 24 hours before they were subjected to chromatin preparation for the ChIP analysis. Chromatin fraction preparation and ChIP were performed using the SimpleChIP Enzymatic Chromatin IP Kit (Cell Signaling Technology). Antibody against Foxo1 was purchased from Santa Cruz Biotechnology.

### Real-time PCR analysis

RNA was extracted with RNeasy Plus kits (Qiagen), and cDNA was made by Iscript (Bio-Rad). All of the gene expression was analyzed by quantitative real-time PCR on a ViiA7 System (Thermo Fisher Scientific) using TaqMan Fast Advanced Master Mix (Thermo Fisher Scientific) with the following primer/probe sets: Prdm1 Mm00476128\_m1, Tbx21 Mm00450960\_m1, Bcl6 Mm00477633\_m1, and Actb (Applied Biosystems). Expression values were calculated relative to Actb detected in the same sample by duplex qPCR.

### Nanostring analysis

We analyzed gene expression in CD4<sup>+</sup> effector T cells (sorted on Tim-3<sup>+</sup> or Tim-3<sup>-</sup>) from MOG immunized Foxp3GFP mice at peak of disease (day 14) using a custom nanostring code set of 397 genes representing both the IL-27–driven gene signature (245 genes) and the dysfunctional CD8<sup>+</sup> TIL gene signature (245 genes) (14). Expression values were normalized by first adjusting each sample based on its relative value to all samples. This was followed by subtracting the calculated background (mean.2sd) from each sample with additional normalization by housekeeping geometric mean, where housekeeping genes were defined as Hprt, Gapdh, Actin, and Tubb5. To identify DE genes, *P* values of differential expression between the Tim-3<sub>positive</sub> and Tim-3<sub>negative</sub> CD4 cells were obtained with a *t* test and corrected for multiple hypothesis testing with the Bonferroni-Hochberg method (R function p.adjust with parameter “method” set to “BH”). Genes with a corrected *P* value smaller than 0.05 were considered DE.

### RNA-seq analysis

Reads were aligned with RSEM. TPM (transcripts per million) values were computed from count data, followed by quantile normalization and log<sub>2</sub>-transformed. Three replicate samples were generated for each condition, but one of the *Bat3cko* samples was excluded because it is a definite outlier as compared to the other samples. Genes were kept for downstream analysis if their mean expression was greater than 1 and their variance larger than 0. Of the 10,289 genes passing these thresholds, genes were determined as DE if their mean and median expression change was greater than two-fold, and the fold change between the largest and smallest value from each condition was greater than 1.3. Significance in Fig. 7B was assessed using the gene set enrichment analysis (GSEA) preranked

analysis tool. Genes were ranked on the basis of their fold change. Enrichment plots that are output from the GSEA tool are used in Fig. 7C to illustrate signatures. Three hundred sixteen CD4 cells were plotted on a tSNE using the package Seurat in R. Contaminating populations were filtered out, and plots were colored using Seurat's AddModuleScore function.

### SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/7/18/eabd2710/DC1>

[View/request a protocol for this paper from Bio-protocol.](#)

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managed by the Brigham and Women's Hospital and Partners Healthcare in accordance with their conflict of interest policies. A.R.'s interests were reviewed and managed by the Broad Institute and HHMI in accordance with their conflict of interest policies. The authors declare that they have no other competing interests. **Data and materials availability:** Data have been uploaded to NCBI Gene Expression Omnibus (<https://www.ncbi.nlm.nih.gov/geo/>) under data repository accession number GSE168435. All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Additional data related to this paper may be requested from the authors.

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