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## B7-H3 targeted antibody-based immunotherapy of malignant diseases

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### Abstract

**Introduction:** Recent advances in immuno-oncology and bioengineering have rekindled the interest in monoclonal antibody (mAb)-based immunotherapies for malignancies. Crucial for their success is the identification of tumor antigens (TAs) that can serve as targets. B7-H3, a member of the B7 ligand family, represents such a TA. Although its exact functions and receptor(s) remain unclear, B7-H3 has predominantly a pro-tumorigenic effect mainly by suppressing the anti-tumor functions of T-cells.

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**Areas covered:** Initially we present a historical perspective on TA-specific antibodies for diagnosis and treatment of malignancies. Following a description of the TA requirements to be an attractive antibody-based immunotherapy target, we show that B7-H3 fulfills these criteria. We discuss its structure and functions. In a review and pooled analysis, we describe the limited B7-H3 expression in normal tissues and estimate B7-H3 expression frequency in tumors, tumor-associated vasculature and cancer initiating cells (CICs). Lastly, we discuss the association of B7-H3 expression in tumors with poor prognosis.

**Expert opinion:** B7-H3 is an attractive target for mAb-based cancer immunotherapy. B7-H3-targeting strategies are expected to be highly effective and – importantly – safe. To fully exploit the diagnostic and therapeutic potential of B7-H3, its expression in pre-malignant lesions, serum, metastases, and CICs requires further investigation.

## Keywords

B7-H3; immunotherapy; monoclonal antibody; tumor antigen

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## 1. INTRODUCTION

Over the years there has been an increasing interest in the use of monoclonal antibodies (mAbs) for the treatment of malignant diseases. Critical for the development and successful application of mAb-based immunotherapies is the identification of suitable tumor antigens (TAs) which can serve as targets for these therapies. In this review, after firstly reflecting on a historical perspective on the use of TA-specific antibodies for the diagnosis and treatment of malignancies, we present the requirements for a TA to be an attractive target of antibody-based immunotherapy. We then discuss the TA B7-Homolog 3 (B7-H3), a promising tumor antigen which fulfills the characteristics of an attractive TA target. We focus on its structure and function, its expression modulation and the different B7-H3-targeting mAb-based strategies that are being developed for the treatment of malignant diseases. We also summarize the data on B7-H3 expression in non-malignant tissues and calculate the cumulative B7-H3 expression frequency across all malignancies and by cancer type. Lastly, we evaluate the B7-H3 distribution (membranous or cytoplasmic) in cancer cells, as well as tumor-associated vasculature (TAV) B7-H3 expression frequency for each cancer type. The provided information will contribute to optimize the selection of patients and cancer types for treatment with B7-H3 targeting immunotherapeutic strategies.

## 2. HISTORICAL PERSPECTIVE

Tumor immunologists realized long ago that malignant transformation of cells may be associated not only with changes in their morphology, proliferation, growth requirements and tumorigenic potential, but also with the induction or upregulation of cytoplasmic and/or membrane-bound molecules. Molecules which are selectively, although non-specifically expressed by malignant cells were referred to as TAs. The possibility that these molecules could be used as diagnostic biomarkers and/or therapeutic targets stimulated the generation or isolation of probes to detect them. As a result, there was a major effort to isolate TA-specific antibodies present in the blood of patients with malignant disease and/or in eliciting them in animals utilizing cancer cells or TAs with different degrees of purification as

immunogens. Some of these approaches were successful and generated antibodies to TAs like  $\alpha$ -fetoprotein and carcinoembryonic antigen, which were used to develop and implement clinically relevant diagnostic assays [1, 2]. In addition, some of the TA-specific antibodies were used to design therapeutic strategies for the treatment of malignant diseases. The antibodies were utilized either as i) naked antibodies to activate the complement system or antibody-dependent cellular cytotoxicity (ADCC), ii) conjugated to radioisotopes for imaging and/or elimination of tumors [3], or iii) as carriers of chemotherapeutic agents or toxins for therapeutic purposes (antibody-drug conjugates, ADC). In general, the latter strategies had a low, if any success mostly because of the poor characteristics of the TA-specific antibodies isolated from patients with malignancies or elicited in animals. The latter included the low specificity and high heterogeneity of the antibody populations, their low association constant and the presence of contaminating antibodies in the antibody preparations, as well as the practical difficulties to produce large amounts of antibodies with reproducible and standardized characteristics.

All these limitations were overcome when the hybridoma methodology was developed and immunization of mice yielded many TA-specific mAb-secreting hybridomas [4]. The high degree of specificity and homogeneity of these reagents, their reproducible characteristics and their availability in unlimited amount, as well as the possibility to develop standardized tests and strategies with these reagents generated a significant amount of optimism among tumor immunologists and clinical oncologists. It was generally felt that the solution of the diagnosis and cure of cancer was within reach and a large number of clinical studies was performed. Their implementation was facilitated by the less stringent administrative requirements to translate methodology and results from the bench and/or animal models to a clinical setting, as well by the funding made available by investors who realized the high commercial value of biotechnology. Some clinical benefits were obtained, however, antibody-based immunotherapy was not as successful as expected. As a result, a high degree of skepticism about the clinical usefulness of TA-specific mAbs for the treatment of cancers, replaced the initial optimism in the scientific community. Therefore, TA-specific mAbs lost their popularity in the scientific community also because the major progress made in the identification of T-cell-defined TAs revived tumor immunologists' general belief that T-cells and not antibodies play the major role in the defense against cancer.

This scenario changed at the end of the 1980's when EGFR-, HER2- and CD20-specific mAbs were introduced for the treatment of various types of solid and hematological cancers [5]. The antibodies were successfully utilized routinely in clinical settings. However, these therapies were not considered immunotherapies *per se* by many. mAb-based immunotherapies occupied the spotlight again with the introduction of checkpoint-specific mAbs. The impressive clinical responses observed in a large proportion of patients with many types of malignant diseases, has restored tumor immunologists' and clinical oncologists' confidence in the ability of the immune system to control cancer cell growth and in the value of mAbs as reagents for the treatment of malignant diseases. These results have reinforced the use of antibodies in a clinical setting, as well as their use for the diagnosis and treatment of malignant diseases. An additional factor contributing to the use of TA-specific mAbs has been the major progress made in cell engineering methodology. The latter has facilitated the use of strategies which combine antibody specificity with the

cytolytic activity of effector cells to specifically eliminate malignant cells. Therefore, the current environment is a fertile ground for the development and characterization of TA-specific mAbs, as well as for their utilization to design strategies for the treatment of malignant diseases.

### 3. REQUIREMENTS FOR A TUMOR ANTIGEN TO BE AN ATTRACTIVE TARGET OF ANTIBODY-BASED IMMUNOTHERAPY

Critical for the development of novel effective mAb-based immunotherapies is the identification of suitable TAs which can serve as targets for these therapeutic strategies. Indeed, an ideal TA needs to be highly expressed with low, if any heterogeneity on cancer cells, since an association has been found between targeted TA expression level and efficacy of antibody-based immunotherapy, and cancer cells with low targeted TA expression level tend to generate escape variants under selective pressure. It is generally accepted that targeted TAs need to be expressed on the membrane of cancer cells in order to be accessible to antibodies. However, there is growing evidence that also some cytoplasmic molecules can be expressed on the cell membrane and become accessible to recognition by corresponding antibodies. The latter can be divided into 2 groups: 1) intracellular TAs, such as HER2, which following degradation by the proteasome into short peptides, are presented by major histocompatibility complex (MHC) class I on the cell surface and can be targeted by T-cell receptor mimic antibodies [6, 7]; and 2) intracellular TAs, such as glucose-regulated protein 78 (Grp78), Grp94 and phosphatase of regenerating liver 3 (PRL-3) which migrate to cell membrane independent of MHC class I antigens. It is not known at present if they migrate without a carrier, and if they migrate as a whole molecule or as a fragment [8–10].

Furthermore, targeted TA expression on normal tissues has to be restricted, preferably at levels below that required for effector mechanism activation, in order to minimize “on-target, off-tumor” toxicity. Lastly, a TA needs to be expressed not only on differentiated cancer cells, but also on cancer initiating cells (CICs). The latter, although they represent only a small fraction of a cancer cell population, according to the cancer stem cell theory [11, 12], need to be eradicated for a therapy to be effective, since they are the major driving force behind metastatic spread and disease recurrence. An additional characteristic of an attractive TA is its expression on other components of the tumor microenvironment (TME) such as tumor stroma and TAV. As a result, TA targeting immunotherapies would disrupt the supportive tissue of the tumor and inhibit neoangiogenesis, thus contributing to the elimination of cancer cells, even those that do not express or display a low targeted TA expression level. From a practical perspective, expression of a TA with high frequency in multiple, if not all (pan-cancer TA) cancer types, as well as homogeneous high expression on multiple metastases present in a cancer patient, would allow repurposing of already designed effector mechanisms to target multiple cancer types. Additional desirable characteristics of an attractive TA include a crucial role in the biology and/or survival of cancer cells, to minimize the generation of escape variants and low or lack of susceptibility of the targeted TA to downregulation by chemotherapeutic agents, radiotherapy and biotherapy, to avoid that the use of these therapeutic agents reduces the susceptibility of malignant cells to the antitumor activity of the antibody-based immunotherapy used.

Since the development of the hybridoma methodology to produce mAbs about 40 years ago, many TAs which have a higher expression on cancer cells than on normal cells have been identified. However, none are specific for cancer cells, except for those mutated molecules that are expressed by malignant cells, but not by normal cells. An example is EGRFvIII which is expressed uniquely by cancer cells carrying the respective genetic mutations in the EGFR gene, such as glioblastoma cells [13]. However, these mutations are present only in a small fraction of patients with particular cancer types.

As will be described below, B7-H3 fulfills all the above requirements. This explains why B7-H3 is a popular target of antibody-based immunotherapy for the treatment of malignant diseases in both the scientific and the commercial community.

#### 4. B7-H3 STRUCTURE AND FUNCTION

B7-H3 (also known as CD276), is a type I transmembrane protein belonging to the B7 immune co-stimulatory and co-inhibitory family. It maintains its structure, most of its aminoacid sequence and its functional properties through phylogenetic evolution [14]. Its exact biological function(s) remain(s) unclear, however, it seems to act as both a co-stimulatory and/or a co-inhibitory molecule depending on the involved immune cells and on the microenvironment conditions [15, 16]. In humans it is encoded by chromosome 15q24 and consists of an extracellular domain, a transmembrane domain and a short intracellular tail with no known signaling motif. B7-H3 exists in two isoforms: 2IgB7-H3 which comprises a single pair of immunoglobulin variable (IgV)-like and immunoglobulin constant (IgC)-like extracellular domains, and 4IgB7-H3 (protein moiety ~45–66 kDa, glycosylated isoform ~100 kDa [17]) which comprises two identical pairs of IgV-like and IgC-like extracellular domains due to exon duplication [18–20]. The latter is the predominant isoform on human cells (Fig. 1). An isoform present in serum has also been described [21]. B7-H3 is expressed in many normal and malignant tissues at the mRNA level, however it is subject to post-transcriptional regulation by miRNAs, leading to limited expression in normal tissues at the protein level [22, 23]. Therefore, mRNA expression cannot be used as a marker of B7-H3 expression at the protein level.

The exact function(s) and receptor(s) of B7-H3 have not been elucidated yet. In non-malignant tissues B7-H3 appears to play an important role in adaptive immunity via regulation of T-cell function. Although early studies supported the notion that B7-H3 acts as a co-stimulatory molecule necessary for the activation of T-cells [14], B7-H3 has more recently been shown to have a predominantly inhibitory role in adaptive immunity, suppressing T-cell activation, proliferation and release of effector cytokines, mainly interferon- $\gamma$  and interleukin-2 [24]. The role of B7-H3 in TME appears to be more complex. In mice B7-H3 seems to have an anti-tumor function mediated by CD8<sup>+</sup> T-cell and NK-cell activation [25, 26], potentially via binding to the Trem-like transcript 2 (TLT-2) receptor on CD8<sup>+</sup> T-cells [27]. Surprisingly, in humans only three studies have shown a correlation between high B7-H3 expression and improved prognosis [28–30], as will be discussed below. In contrast, there is overwhelming evidence portraying B7-H3 as a promoter of tumorigenesis. Indeed, a large body of preclinical and clinical evidence indicates that B7-H3 suppresses the TA-specific immune response by multiple mechanisms including decreased

immune cell tumor infiltration density, suppressed NK cell-mediated tumor cell lysis, increased regulatory T cell infiltration, decreased release of effector cytokines, and inhibition of the expression of major transcriptional factors [24, 31–37]; the mentioned mechanisms lead to aggressive cancer biology and metastatic potential, and ultimately poor prognosis [21, 36–38] (Fig. 2). Finally, B7-H3 appears to promote cancer progression through non-immunological functions as well, such as by increasing cancer cell migratory, invasive and metastatic potential, by enhancing chemoresistance, and by promoting pro-tumorigenic cancer cell metabolic profiles [17, 39–44].

## 5. MODULATION OF B7-H3 EXPRESSION ON MALIGNANT CELLS

B7-H3 expression is downregulated when cancer cells are incubated under immunosuppressive tumor microenvironment (TME)-like conditions; conversely, it can be upregulated on cancer cells treated with radiotherapy or chemotherapeutic agents. Indeed, we have found that B7-H3 expression is downregulated on intrahepatic cholangiocarcinoma (ICC) cells incubated *in vitro* under TME-like conditions, namely hypoxia (1% O<sub>2</sub>), low pH (6.8) and high adenosine concentration (5μM) (Fig. 3A); this change however can be counteracted by radiation (Fig. 3B). Radiation can upregulate B7-H3 expression also on cells incubated *in vitro* in a neutral pH medium with a low adenosine concentration under normoxic conditions, as we have found in experiments performed with head and neck squamous cell carcinoma (HNSCC) and ICC cell lines (Fig. 3C–E). In addition, B7-H3 expression is upregulated *in vitro* by chemotherapy, specifically by gemcitabine and cisplatin on ICC cells, as well as by histone deacetylase inhibitors, specifically by domatinostat on pancreatic ductal adenocarcinoma (PDAC) cells (Fig. 3F–G). The above findings may have clinical implications since counteracting TME-induced B7-H3 downregulation may enhance the therapeutic efficacy of B7-H3-targeting antibody-based strategies. This possibility is corroborated by the results of our experiments which have shown that B7-H3 upregulation by domatinostat on PDAC cells enhances their *in vitro* susceptibility to the anti-tumor activity of B7-H3-specific chimeric antigen receptor (CAR) T-cells (Fig. 3H).

## 6. B7-H3 EXPRESSION IN NORMAL, PRE-MALIGNANT AND MALIGNANT TISSUES

### 6.1. Restricted B7-H3 expression in normal tissues

Immunohistochemical (IHC) analyses with monoclonal and polyclonal antibodies of a large panel of normal tissues has demonstrated low or barely detectable B7-H3 expression in only a few tissues. Indeed, Modak *et al.*, staining normal tissues with the mAb 8H9, demonstrated only heterogeneous, non-specific cytoplasmic staining in normal stomach, liver, pancreas and adrenal cortex; the staining intensity was diminished when the whole IgG of mAb 8H9 was replaced with its F(ab')<sub>2</sub> fragments, suggesting that the staining was, at least in part, non-specific, as it was caused by the interaction of the Fc part of the mAb with normal epithelial cells [45]. Roth *et al.*, stained approximately 30 tissue types with a polyclonal goat anti-human B7-H3-specific antibody (R&D Systems). They detected staining only of Kupffer cells and adrenal glands [46]. Additionally, in our own experience, staining with the

B7-H3-specific mAb 376.96, of all normal human samples present in tissue micro-arrays commercially available from US Biomax (Derwood, MD, USA) resulted in only weak cytoplasmic staining of salivary gland acinar cells, gastric epithelial cells, and adrenal gland cells [47]. Similarly, in our previous unpublished studies we observed only weak membranous baso-lateral staining in stomach, gall bladder, prostate, cervix and endometrium.

It is also worth mentioning that peri-tumoral non-malignant tissues, including but not limited to esophagus, breast, lung, liver, pancreas, kidney, colon/rectum, and ovary were not stained or only weakly stained; the staining intensity was significantly lower than that of the corresponding malignant cells [16, 33, 43, 48–69]. Of note, Li *et al.* [70] using a B7-H3-specific rabbit polyclonal antibody preparation (clone 80831, Proteintech) reported staining of renal tubules. However, this result was not confirmed by other investigators [46, 51, 61], who all used a B7-H3-specific goat polyclonal antibody preparation (AF1027, R&D Systems). They detected no staining of renal parenchyma. The conflicting results may reflect differences in the fine specificity and/or association constants of the antibodies used and/or, although less likely, in the sensitivity of the IHC methodology used. Additionally, strong staining of peritumoral liver sinusoid endothelial cells and resident Kupffer cells, but no staining of hepatic cells was reported by Sun *et al.* using a rabbit anti-human 4Ig-B7-H3 [64]. The latter staining pattern was also described by Kang *et al.* [43], Wang *et al.* [65], and Cheng *et al.* [71] who used different B7-H3-specific mAbs. The validity of the results obtained by IHC is supported by the low toxicity rates caused by the administration of B7-H3-targeting therapies to patients. In an early open-label single-arm imaging study in patients with B7-H3-positive tumors, using murine <sup>131</sup>I labeled mAb 8H9 (omburtamab, Y-mAbs), moderate hepatic uptake of 8H9 was observed (NCT00582608), but no hepatotoxicity was reported. Additionally, since the whole antibody was used, it is not known if that was specific uptake mediated by the antigen-antibody interaction or a background uptake mediated by the Fc portion of the antibody. Similarly, in a Phase I clinical trial the fully humanized B7-H3-targeting mAb enoblituzumab (MGA271, MacroGenics) was well-tolerated with no dose-limiting toxicity (NCT01391143) [72]. Furthermore, a trial assessing the bispecific antibody obrindatamab (MGD009, MacroGenics), a humanized CD3xB7-H3 dual affinity re-targeting (DART) platform protein, only uncomplicated short-lived reversible transaminase elevations were reported [73, 74].

## 6.2. B7-H3 expression in pre-malignant and benign lesions

Limited information is available regarding B7-H3 expression in pre-malignant and/or dysplastic lesions. Analysis of B7-H3 expression in nevi with malignant potential demonstrated staining in 20 of the 40 (50%) lesions tested [75]. However, the stage of progression to malignancy at which B7-H3 becomes detectable on cells remains unknown. This piece of information could be particularly useful for cancers that arise from distinct pre-malignant lesions such as cervical cancer, and could guide the development of early diagnosis and/or prevention strategies.

Information is also scarce on B7-H3 expression on benign lesions. In previous unpublished studies, we detected no staining with the B7-H3-specific mAb 376.96 among the 4 gynecomastia, 3 mastitis and 6 fibroadenoma lesions tested, but we detected staining in only 1 of the 3 ductal papillomatosis lesions tested.

### 6.3. Broad B7-H3 expression in primary malignant tumors

In order to evaluate B7-H3 expression at the protein level in primary tumors and its clinical significance, we performed a review of the literature and a pooled data analysis. The resulting unpublished information is summarized as follows. Of the 178 relevant studies identified via PubMed, Embase and a relevant paper bibliography list search, 80 were excluded because they i) did not quantify B7-H3 expression frequency, ii) described B7-H3 expression on cell lines or animal models rather than human cancer specimens, iii) described B7-H3 expression on tumor-associated non-malignant cells only, iv) were review/meta-analyses, v) described B7-H3 mRNA rather than protein expression, and/or vi) were not published in English. Two additional studies were excluded because of overlapping patient cohorts. Furthermore, we included data from 3 of our unpublished studies on chondrosarcoma (1 study) and chordoma (2 studies). Of the 99 [28–31, 33–37, 39, 43, 45, 46, 49–58, 60–68, 71, 76–123] [124–130] included studies, 92 [28–31, 33–37, 39, 43, 45, 46, 49–57, 60–68, 71, 76–80, 82–105, 108–129, 131] reported frequency of tumor samples with positive B7-H3 protein expression on cancer cells and 61 [28–30, 34, 35, 37, 43, 46, 49, 50, 52–56, 62–64, 66–68, 71, 76, 78, 80, 82–93, 96, 98, 99, 102, 103, 106, 108, 109, 111–114, 116–118, 120–124, 126–130, 132] associated B7-H3 expression with prognosis (Fig. 4). Among the former, two studies [45, 51] included >1 type of malignancy, thus increasing the number of individual studies per cancer type to 94. The number of B7-H3 positive and negative specimens were extracted from the former group of studies, pooled for each cancer type and the cumulative frequency of B7-H3 expression for each cancer type was calculated. The 94 studies quantifying B7-H3 expression frequency on malignant tumors comprised 21 types of malignancies including a total of 26,703 patients. (Fig. 5A). Each cancer type was analyzed in a median of 4 studies (interquartile range 2–7), with lung cancer including the largest number of studies (12 studies) and prostate including the largest number of cancer specimens (N=14,515).

The frequency of B7-H3 expression ranged from 33.0% in renal cell carcinoma to 91.8% in hepatocellular carcinoma. Of note, renal cell carcinoma and hematologic malignancies exhibited by far the lowest frequency of B7-H3 expression (33.0% and 36.7%, respectively, chi-square p-value < 0.001), while for all other solid cancer types the expression frequency was at least 52.3% (prostate cancer). Among gastrointestinal cancers, hepatocellular carcinoma exhibited the highest (91.8%) and gastric cancer the lowest (58.0%) B7-H3 expression frequency (Fig. 5B). Among genitourinary malignancies, renal cell carcinoma had the lowest B7-H3 expression frequency, as previously mentioned, while ovarian cancer had the highest (88.3%) (Fig. 5C). When all cancers were included, the cumulative frequency of B7-H3 positivity was 59.5% (15,877/26,703). As depicted in Fig. 5, there is significant variation in the frequency of B7-H3 expression within the same cancer type among different studies. This variation may reflect differences in i) study patient population,



ii) disease stage, iii) fine specificity of the B7-H3-specific antibodies used, and/or iv) sensitivity of the method utilized to analyze B7-H3 expression.

#### 6.4. Cellular localization of B7-H3 expression in cancer cells

B7-H3 is a transmembrane protein with 2 or 4 extracellular domains and only a short intracellular tail. Thus, it is expected that B7-H3 expressing cancer cells will be targeted by mAb-mediated immunotherapeutic effector mechanisms. Almost none of the studies provided information on the expression frequency in each cellular compartment (membrane vs. cytoplasm). Additionally, there was heterogeneity on the criteria for B7-H3 expression positivity: most studies did not mention localization in the criteria used for positivity or considered positive the presence of staining in either the membrane or cytoplasm, while others evaluated only membranous staining. Nevertheless, no study reported cytoplasmic staining of malignant cells in the absence of membranous staining.

#### 6.5. B7-H3 expression in TAV

In addition to high expression on cancer cells, B7-H3 also demonstrates high expression on stromal fibroblasts and TAV in the tumor microenvironment. This expression pattern may allow for B7-H3-targeting therapies to eliminate even those cancer cells with no detectable B7-H3 expression. Indeed, B7-H3 is highly expressed on TAV [34–36, 56, 61, 64, 89, 112, 133–135] even in cancer types with low B7-H3 expression on cancer cells, such as renal cell carcinoma [56, 61, 112].

B7-H3 TAV expression frequency has been quantified in only a limited number of cancer types. It has been reported to range from 86% to 98% in hepatocellular carcinoma [64], colorectal cancer (CRC) [89], renal cell carcinoma [56, 61], and melanoma [133], with ovarian cancer being an outlier with a frequency of 44% [68].

#### 6.6. B7-H3 expression on cancer initiating cells (CICs)

CICs represent the most tumorigenic and treatment resistant subpopulation of cancer cells. They form spheres *in vitro*, and express high levels of stemness genes [136]. Several markers have been used for their identification in various types of cancer, including CD133, CD44 and high activity of aldehyde dehydrogenase (ALDH)-1A1. The lack of standardization in the methodology to identify CICs is contributing to the difficulty of interpreting data related to marker expression on CICs. As already mentioned, to be effective, a therapy must eradicate both differentiated cells and CICs, since, according to the cancer stem cell theory, the latter play a major role in disease recurrence and metastatic spread [11, 12]. B7-H3 is expressed on CICs isolated from ovarian [119] and glioblastoma [60] cells. Immunotargeting of B7-H3 expressed on CICs with antibody-based strategies resulted in inhibition of tumor growth both *in vitro* and *in vivo*. In our own experience, B7-H3 is also expressed on CICs isolated from HNSCC, triple-negative breast cancer (TNBC) and PDAC cell lines [21].

#### 6.7. B7-H3 expression in metastatic lesions

High expression in metastases is an attractive feature of B7-H3 since its immunotargeting could be effectively applied to patients with advanced disease. However, scant information is

available about B7-H3 expression in metastatic lesions. In melanoma, 30 (97%) of the 31 metastatic lesions analyzed stained positive for B7-H3 expression [62, 105]. Sentinel lymph nodes with malignant cell infiltration resected from breast cancer patients demonstrated high B7-H3 expression in all the 24 samples tested [48]. Similar findings were obtained in metastatic prostate cancer [79] and fibrolamellar hepatocellular cancer [83]. Interestingly, preliminary evidence suggests that in breast cancer, metastatic lesions are frequently positive for B7-H3 expression, even if autologous primary tumors are B7-H3-negative (unpublished data). Investigating B7-H3 expression in metastatic lesions in future studies will not only determine eligibility of metastatic lesions for B7-H3-targeting, but will also elucidate the role of B7-H3 in malignancy progression and the stage in cancer progression at which B7-H3 starts being expressed.

### **6.8. Association of B7-H3 expression in primary tumors with tumor infiltrating lymphocyte (TIL) density**

As shown in studies in esophageal cancer [52], non-small cell lung cancer (NSCLC) [31], CRC [33], cervical [34] and endometrial cancer [35], B7-H3 expression on cancer cells negatively correlated with the extent of tumor-infiltrating T-lymphocytes both in tumor nests and in tumor stroma. The underlying mechanism may be mediated by immunoglobulin-like transcript 4 overexpression on cancer cells. This phenotypic change causes an increased expression of the co-inhibitory molecule B7-H3, mediated by the activation of the PI3K/AKT/mTOR signaling pathway [38]. B7-H3 expression has also been correlated with activated regulatory T (Treg, FoxP3<sup>+</sup>) cell infiltration and poor survival in NSCLC [37].

### **6.9. Association of B7-H3 expression in primary tumors with prognosis**

As previously mentioned, of the 99 included studies, 61 associated B7-H3 expression in tumors with prognosis. In all types of malignancies analyzed, except gastric cancer, 41 of the 61 studies (67.2%) demonstrated an association of positive/high B7-H3 expression with poor prognosis in the form of overall, disease-free and/or progression-free survival. Seventeen of the 61 studies (27.9%) found no correlation between B7-H3 expression and prognosis, however, this could be attributed to the small cohorts included (median N=101). Only 3 studies (4.9%), one on gastric cancer, one on PDAC and one on acute myeloid leukemia, demonstrated an association of positive/high B7-H3 expression with improved prognosis [28–30]. Moreover, in CRC, 3 of the 6 published studies showed an association of B7-H3 expression with poor prognosis, while the remaining 3, including the largest included study comprising 939 participants, found no association between B7-H3 expression and survival. Lastly, in all the cancer types analyzed, more than 80% of the included studies reported an association of positive/high B7-H3 expression with poor pathologic characteristics such as larger tumors, lymph node metastasis, advanced stage, poor grade of differentiation and vascular invasion.

## **7. B7-H3 TARGETING STRATEGIES**

As described above, B7-H3 represents an attractive target for mAb-based immunotherapeutic strategies. As a result, a number of B7-H3-targeting immunotherapeutic strategies utilizing multiple effector mechanisms have been developed (Fig. 6). Several

strategies have been translated to clinical trials (7.1), while others are still in pre-clinical development (7.2).

### 7.1. Therapeutic strategies tested in clinical trials

More than 20 clinical trials have or are currently testing the safety and efficacy of B7-H3-targeting mAb-based immunotherapeutic strategies [21]. B7-H3-targeting radioimmunotherapies have been developed to selectively localize radioisotopes to tumors. To further reduce potential toxicity risk, compartmental administration of B7-H3-specific mAb conjugated radioisotopes has been tested. Specifically, murine <sup>131</sup>I labeled mAb 8H9 (omburtamab, Y-mAbs) has been administered to patients with metastatic central nervous system neuroblastoma (NCT00089245) [137] and intraperitoneally to patients with desmoplastic small round cell tumors (NCT01099644) [138] and was well tolerated. Similarly, <sup>124</sup>I labeled mAb 8H9 has been tested in diffuse pontine glioma (NCT01502917) where convection-enhanced brainstem delivery resulted in negligible systemic exposure and no toxicity [139].

B7-H3-specific mAbs have also been conjugated with chemotherapeutic drugs. For instance, MGC018 (humanized B7-H3 mAb with a cleavable linker-duocarmycin payload, MacroGenics) which delivers duocarmycin to tumors is currently being tested for elimination of B7-H3-expressing solid tumors in a Phase I/II trial (NCT03729596). Additionally, DS-7300a (Daiichi Sankyo), an ADC consisting of a B7-H3-specific mAb conjugated to four topoisomerase I inhibitor particles [140] is currently being tested in a Phase I/II trial (NCT04145622).

Another B7-H3-targeting immunotherapeutic strategy is represented by Fc-enhanced mAbs. In that therapeutic category, enoblituzumab (MGA271, MacroGenics), a fully humanized mAb bearing an Fc domain engineered to enhance its anti-tumor function by increasing its binding to the activating receptor CD16A and reducing that to the inhibitory receptor CD32B [141], was found in two Phase I trials (NCT01391143, NCT02475213) to be well-tolerated and safe [72, 142]. Results from a Phase I trial evaluating enoblituzumab combined with ipilimumab in non-small cell lung cancer and melanoma (NCT02381314), as well as from a Phase II trial assessing neoadjuvant enoblituzumab in prostate cancer (NCT02923180) are pending.

Bispecific antibodies (BsAbs) utilizing a B7-H3 mAb scFv linked to an anti-CD3 mAb scFv to recruit and activate T-cells against cancer cells [143] represent an additional B7-H3 targeting modality. To date, only obrindatamab (MGD009, MacroGenics), a humanized CD3xB7-H3 BsAb has been tested in advanced B7-H3-expressing tumors (NCT02628535), causing only uncomplicated short-lived hepatic adverse events, likely due to cytokine release syndrome secondary to increased T-cell activation.

Lastly, B7-H3-targeting chimeric antigen receptor (CAR) T-cells have been generated and are currently being evaluated in 2 trials targeting glioblastoma (NCT04077866) and pediatric glioma (NCT04185038).

## 7.2. Therapeutic strategies in early development

A number of B7-H3-targeting mAb-based strategies are currently being developed in preclinical studies. First, based on the success of checkpoint molecule blocking, B7-H3 blocking mAbs have been tested in mice. Although CD8<sup>+</sup> T and NK-cell tumor infiltration density increased and tumor growth was reduced [16, 144, 145], the limited information on the B7-H3 receptor(s) and the lack of a human B7-H3-specific blocking mAb, hinder currently the translation of these findings to the clinical setting.

Progress is also being made in the field of B7-H3-targeting radioimmunotherapy: the B7-H3-specific mAb 376.96 conjugated with <sup>212</sup>Pb, a source of  $\alpha$ -particles, has shown promising results in ovarian cancer and PDAC in mice [146, 147]. Furthermore, lutetium-77 labeled mAb 8H9 has been conjugated with the chelator diethylenetriamine pentaacetate to facilitate rapid radioactivity clearance [148].

Novel B7-H3-targeting Fc-enhanced mAbs as well as BsAbs are also being developed. For instance, a novel Fc enhanced bispecific anti-B7-H3 mAb/PD-1 fusion protein showed promising results in a breast cancer mouse model [149], while a B7-H3xCD3 BsAb created by coupling an anti-human B7-H3 mAb with an anti-CD3 mAb showed potent cytotoxicity toward hematological malignant cells *in vitro* [150].

A highly promising B7-H3-targeting strategy is represented by tri-specific killer engagers (TriKEs). TriKEs form an antigen-specific immunological synapse between cancer cells and NK cells, which subsequently leads to NK-cell-mediated cancer cell lysis [151]. TriKEs are composed of either 3 scFvs of antibodies with different specificity or 2 scFvs (CD16-specific and TA-specific) and a cytokine, such as IL-15. Vallera *et al.* have generated a B7-H3/IL-15 TriKE using the scFv of the B7-H3-specific mAb 376.96. This strategy has demonstrated significant tumor burden decrease *in vitro* and in mice against head and neck squamous cell carcinoma, PDAC and ovarian cancer [152, 153]. The same group has generated a 2<sup>nd</sup> generation TriKE with human IL-15 as a modified crosslinker between an anti-B7-H3 scFv and a humanized camelid anti-CD16 single domain antibody. The latter allows improved function of the IL15 moiety, inducing robust and specific NK cell proliferation and potent elimination of ovarian cancer cells *in vitro* and in mice [154].

Lastly, B7-H3 CAR T-cells have demonstrated potent *in vitro* anti-tumor activity against multiple cancer types [47, 60, 100, 131, 155, 156]. However, their efficacy remains limited in mice, likely due to the negative impact of tumor escape mechanisms on cancer cell - CAR T-cell interactions [157]. Although preclinical studies suggest an acceptable safety profile, these results should be interpreted with caution because no information is available about the cross-reactivity of the human B7-H3-specific mAbs used with the endogenous mouse B7-H3 expressed by murine normal tissues.

## 8. CONCLUSION

In conclusion, B7-H3 is a highly attractive target for mAb-based immunotherapeutic strategies. This is important since for the successful development of mAb-based strategies, the identification of attractive TAs is crucial. Although the exact functions of B7-H3, as well

as its receptor, remain to be elucidated, the presented literature review and pooled analysis convincingly show that B7-H3 is highly expressed on all the types of cancers tested, but has a limited distribution on normal tissues, thus representing an effective safe target. Furthermore, it is expressed on TAV, even in cancer types with the lowest frequency of B7-H3 expression on cancer cells, as well as on CIC, the subpopulation of cancer cells that need to be eliminated for a therapy to be effective. Lastly, B7-H3 expression is associated with poor prognosis in the vast majority of the studies analyzing this association. Several B7-H3-targeting mAb-based immunotherapeutic strategies have been developed and tested in clinical trials, while a number of promising modalities are currently being developed and are being tested in preclinical models.

## 9. EXPERT OPINION

As the excitement for mAb-based immunotherapeutic approaches for malignant diseases is being reinvigorated, identification of TAs which meet the criteria required to be used as targets is not only timely but also necessary, in order to reinforce the use of mAb-based therapies in clinical settings. We are currently in a unique situation to pursue further development of such strategies, given firstly the realization and confidence that TA-targeting mAb-based therapies harbor promising, yet unexplored potential, and secondly the unprecedented progress in cell bioengineering, which allows the development of novel and reliable reagents. In this landscape of TA-targeting mAb-based immunotherapy, a detailed, critical evaluation of the expression and functional properties of B7-H3 is highly timely and clinically relevant.

The evidence presented in this comprehensive review convincingly shows that B7-H3 is expressed with high frequency across multiple types of malignant tissues. Even in cancer types with the lowest B7-H3 expression frequency, B7-H3 is still highly expressed in TAV. The expression patterns of B7-H3 in malignant tissues provides widespread applicability of B7-H3-targeting strategies. Indeed, B7-H3-targeting immunotherapeutic strategies have been tested in a plethora of cancer types including neuroblastoma, glioma, HNSCC, NSCLC, TNBC, PDAC, ovarian cancer, urothelial cancer, prostate cancer and melanoma, to name a few. The widespread applicability of B7-H3-targeting strategies is also manifested by the multiple effector mechanisms tested: blocking, radioisotope-conjugated, drug-conjugated, bi-specific, tri-specific, and Fc-enhanced B7-H3 mAbs, as well as B7-H3-specific CAR T-cells have all been utilized.

The use of B7-H3 as a target is further supported by its expression on CICs, since their eradication is a requirement for an anti-tumor therapy to be effective. Furthermore, the high B7-H3 expression on TAV provides an additional argument for targeting it, given that this will disrupt neoangiogenesis and be effective even against cells or tumors with low B7-H3 expression. Lastly, the membranous location of B7-H3 expression on cancer cells, provides accessibility to anti-tumor effector mechanisms.

In keeping with the Hippocratean moto “*first, do no harm*”, B7-H3 targeting has been shown to be safe. As we demonstrate in this review, B7-H3 has only limited and weak expression in non-malignant as well as in juxta-tumoral tissues. Thus, “on-target, off-tumor” toxicity is

not anticipated. Indeed, minimal toxicity has been observed in clinical trials evaluating B7-H3 targeting strategies. To further minimize the probability of adverse effects by decreasing systemic exposure, investigators have also tested local administration of B7-H3-targeting effector mechanisms such as intrathecal administration for central nervous system tumors [137].

Despite all the encouraging aforementioned data, there are still areas requiring further investigation. First, the evidence about B7-H3 expression in metastases is scant. This area has to be further developed, since information about B7-H3 expression pattern in metastases in different anatomic sites is crucial to optimize the design of B7-H3-targeting therapies. Other areas which need development include i) characterization of the relationship between malignant cell transformation stage and appearance of B7-H3 expression in many types of cancer, to assess its value as a diagnostic marker of malignant transformation and as a target of antibody-based prevention strategies, ii) evaluation of B7-H3 expression level in patients' sera, as a soluble molecule and/or as an exosome-bound moiety, for its value as a marker for diagnosing and for monitoring the clinical course of malignant diseases, iii) assessment of the ability of B7-H3 targeting to mediate elimination of CICs; if successful, it may significantly impact the treatment of malignant diseases given the postulated role of these cells in metastatic spread and disease recurrence, iv) development and implementation of strategies to counteract the tumor escape mechanisms which limit the *in vivo* efficacy of B7-H3-specific CAR T-cells, and v) identification of the B7-H3 receptor; this information will greatly contribute to the functional characterization of this molecule and will facilitate the generation of blocking mAbs. The latter might have a major impact on the treatment of malignant diseases expressing this molecule.

In conclusion, B7-H3 represents an attractive target for mAb-based immunotherapy. Its attractiveness stems from its expression patterns on malignant and normal cells, its presence on CICs, and the safety profile demonstrated by targeting it thus far. In an era where TA-targeting mAb-based therapy of malignant diseases is not only promising, but also technically feasible, further examining B7-H3 characteristics and developing B7-H3-targeting strategies is timely and warranted.

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### Declaration of Interests

The authors have no other relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript. This includes employment, consultancies, honoraria, stock ownership or options, expert testimony, grants or patents received or pending, or royalties

## ABBREVIATION LIST

<b>ADC</b>	antibody-drug conjugate
<b>ADCC</b>	antibody-dependent cellular cytotoxicity

<b>ALDH</b>	aldehyde dehydrogenase
<b>CAR</b>	chimeric antigen receptor
<b>CIC</b>	cancer initiating cell
<b>CRC</b>	colorectal cancer
<b>DART</b>	dual affinity re-targeting
<b>Grp78</b>	glucose-regulated protein 78
<b>Grp94</b>	glucose-regulated protein 94
<b>HNSCC</b>	head and neck squamous cell carcinoma
<b>ICC</b>	intrahepatic cholangiocarcinoma
<b>IHC</b>	immunohistochemistry
<b>IgC</b>	immunoglobulin constant region
<b>IgV</b>	immunoglobulin variable region
<b>mAb</b>	monoclonal antibody
<b>MHC</b>	major histocompatibility complex
<b>NSCLC</b>	non-small cell lung cancer
<b>PDAC</b>	pancreatic ductal adenocarcinoma
<b>PRL-3</b>	phosphatase of regenerating liver 3
<b>TA</b>	tumor antigen
<b>TAV</b>	tumor-associated vasculature
<b>TIL</b>	tumor infiltrating lymphocyte
<b>TLT-2</b>	Trem-like transcript 2
<b>TME</b>	tumor microenvironment
<b>TNBC</b>	triple negative breast cancer
<b>Treg</b>	regulatory T

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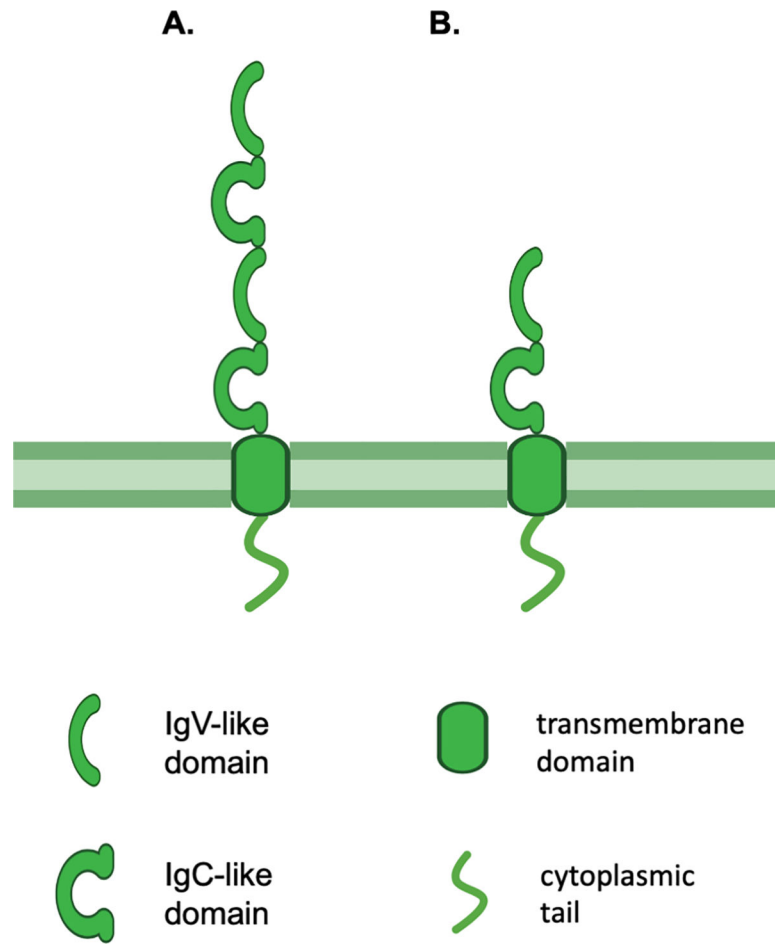
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**ARTICLE HIGHLIGHTS**

- Recent successes with the use of mAbs for the treatment of malignancies, as well as advances in bioengineering have stimulated interest in identifying TAs to serve as targets for mAb-based immunotherapies.
- A TA which represents an attractive target is highly expressed on multiple types of cancers with limited heterogeneity, but has limited distribution in normal tissues, is expressed on CICs, is expressed on TAV, and plays a role in tumor biology. B7-H3 fulfills all the above criteria.
- The biological function and receptor(s) of B7-H3 remain unclear; it can act either as a co-stimulatory or a co-inhibitory molecule depending on the involved immune cells and/or on the microenvironment. However, its main pro-tumorigenic function seems to be via inhibition of the anti-tumor activity of T-cells.
- B7-H3 exhibits limited distribution on normal tissues as corroborated by the lack of major toxicity of B7-H3-targeting strategies in clinical trials. In a pooled analysis of 94 studies we found that B7-H3 is highly expressed in all cancer types analyzed with a cumulative frequency of B7-H3 positivity of 60%. B7-H3 is primarily expressed on the cancer cell membrane. B7-H3 is also highly expressed on TAV, as well as on CICs.
- In a systematic review including 61 studies we found that B7-H3 is associated with poor prognosis in more than two thirds of the studies analyzed, while only 3 studies demonstrated an association of positive/high B7-H3 expression with better prognosis.
- Future research should focus on the identification of the B7-H3 receptor, the characterization of the relationship between malignant cell transformation and appearance of B7-H3 expression, the analysis of B7-H3 expression on metastases, the evaluation of the correlation between B7-H3 serum levels and clinical characteristics and response to therapy, the assessment of the ability of B7-H3 targeting to mediate elimination of CICs, and the development of B7-H3 blocking mAbs.

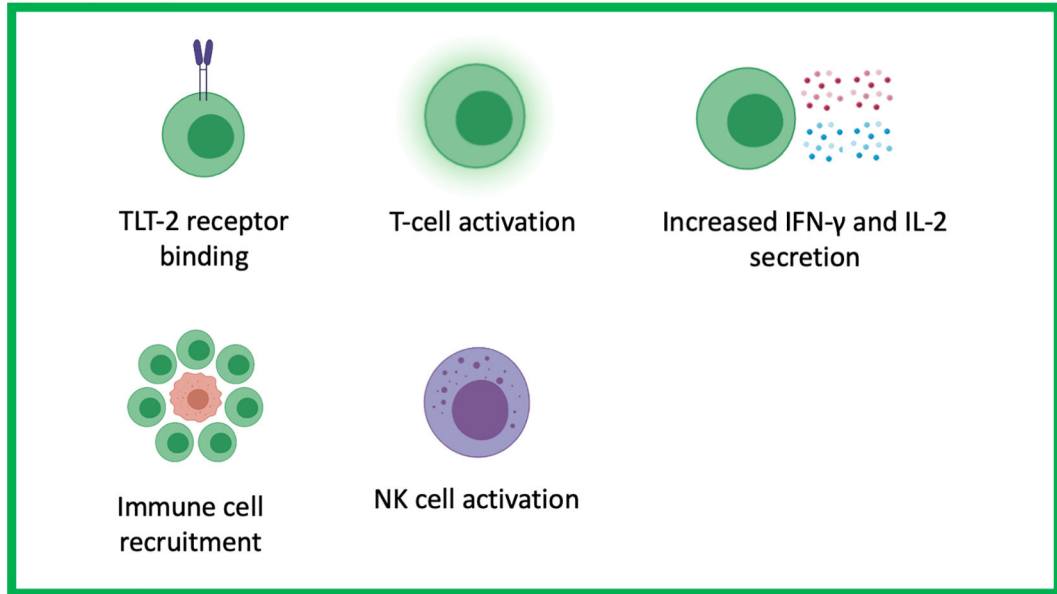




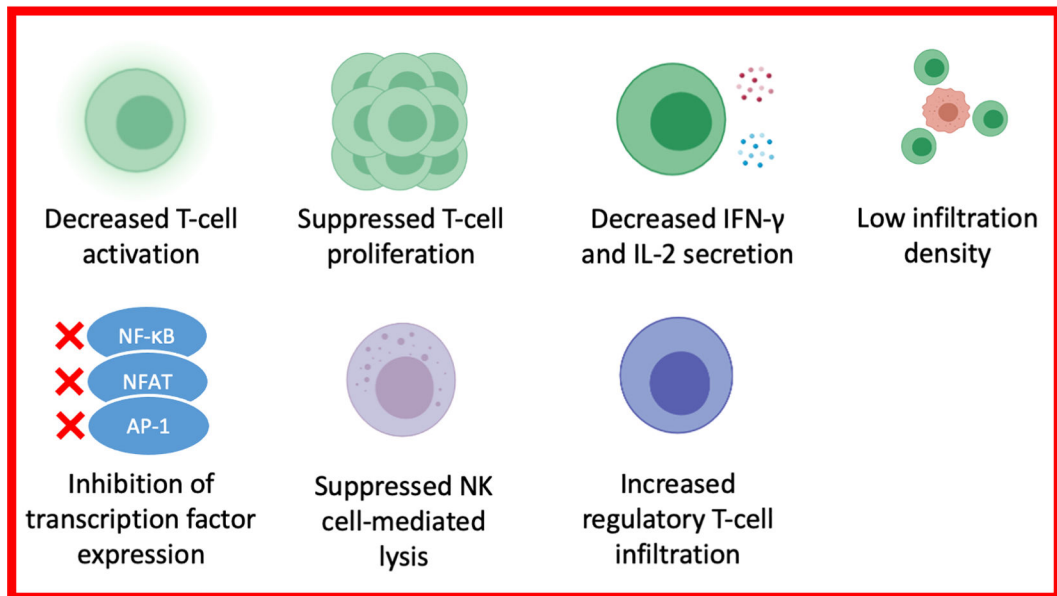
**Figure 1. B7-H3 structure.**

B7-H3 is a type I transmembrane protein composed of an extracellular, a transmembrane and a short intracellular domain. Human B7-H3 exists in two isoforms as determined by its extracellular domain: 4IgB7-H3 which comprises two pairs of IgV-like and IgC-like domains (A), and 2IgB7-H3 which comprises a single pair of IgV-like and IgC-like domains (B). Murine B7-H3 comprises a single pair of IgV-like and IgC-like domains. IgV: immunoglobulin variable; IgC: immunoglobulin constant.

**A. Immune cell activation**



**B. Immune cell suppression**



**Figure 2. Schematic representation of the potential mechanisms underlying the immunostimulatory and immunoinhibitory effects mediated by B7-H3.**

B7-H3 has been shown to have both immunostimulatory (A) and immunoinhibitory (B). In mice, B7-H3 has been shown to bind to the CD8+ T-cell Trem-like Transcript 2 (TLT-2) receptor leading to their activation. However, such interaction has not been found with human B7-H3. B7-H3 has also been shown to play a role in the recruitment and activation of T-cells, and the subsequent release of cytokines such as IFN- $\gamma$  and IL-2, as well as the activation of NK cells. On the other hand, B7-H3 has been shown to reduce T-cell activation, proliferation and cytokine release, and has been associated with low tumor-infiltrating T-cell

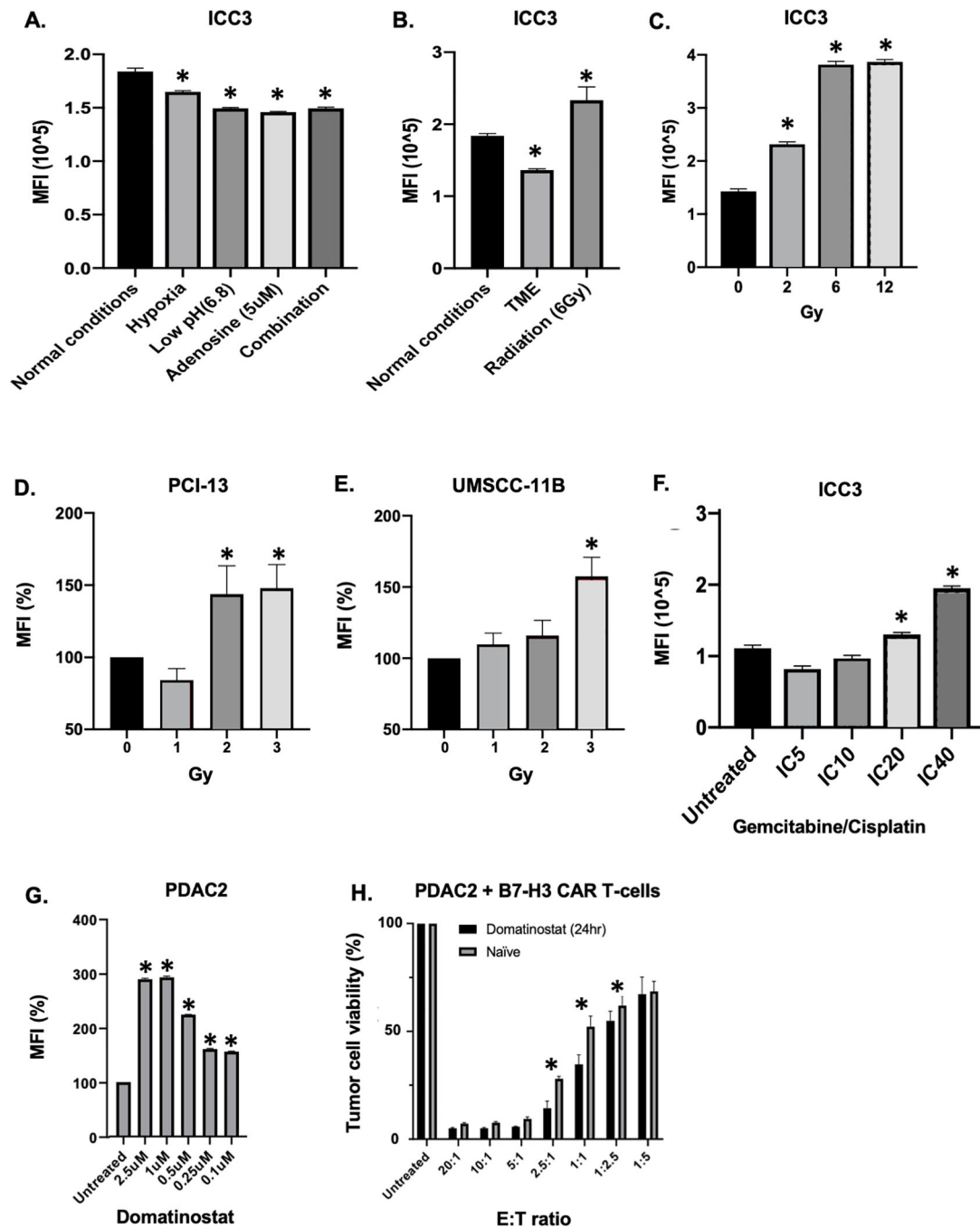
density. Additionally, B7-H3 may inhibit the activation of effector cells by reducing the expression of major transcriptional factors such as Activator Protein-1 (AP-1), Nuclear Factor of Activated T cells (NFAT), and Nuclear Factor Kappa B (NF- $\kappa$ B). Lastly, B7-H3 has been shown to suppress NK cell-mediated lysis of tumor cells and has been associated with increased tumor infiltration by regulatory T cells.

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**Figure 3. Modulation of B7-H3 expression on tumor cells *in vitro* by tumor microenvironment-like conditions, radiation and chemotherapy.**

A: Intrahepatic cholangiocarcinoma ICC3 cells were cultured under different tumor microenvironment (TME)-like conditions for 6 days and subsequently B7-H3 expression was analyzed with flow cytometry using monoclonal antibody (mAb) 376.96. B: ICC3 cells were cultured either under normal conditions (normoxia, neutral pH, without adenosine) or under TME-like conditions (1% O<sub>2</sub>, pH 6.8, adenosine 5uM) for 6 days. An aliquot of cells was cultured under TME-like conditions for 3 days. These cells were then irradiated (6Gy) and kept in culture for an additional 3 days. All the cells were then analyzed for B7-H3

expression with flow cytometry using mAb 376.96. C: ICC3 cells were irradiated with different radiation doses and after 48hrs B7-H3 expression was analyzed with flow cytometry. D, E: Head and neck squamous cell carcinoma cells PCI-13 (D) and UMSCC-11B (E) were exposed to 3 fractionated doses of 2Gy, 3Gy or 4Gy radiation, with a 48hr interval between each dose. B7-H3 expression was then assessed by flow cytometry using mAb 376.96 48hrs after the last radiotherapy administration. F: Following treatment with gemcitabine and cisplatin for 72hrs, B7-H3 expression was assessed on ICC3 cells with flow cytometry using mAb 376.96. G: Following treatment with domatinostat (0.1–2.5uM) for 72hrs, B7-H3 expression was assessed on pancreatic ductal adenocarcinoma PDAC2 cells with flow cytometry using mAb 376.96. H: PDAC-2 cells were pre-treated with 1uM of domatinostat for 24hrs prior to co-culture with B7-H3 CAR T-cells. The control group (naïve) was cultured without domatinostat for 24hrs. Co-culture with B7-H3 CAR T-cells was performed for 3 days. At the end of the incubation period, viability of PDAC2 cells was assessed with an MTT assay. MFI: mean fluorescence intensity; CAR: chimeric antigen receptor; E:T: effector to target ratio. \*p<0.05.

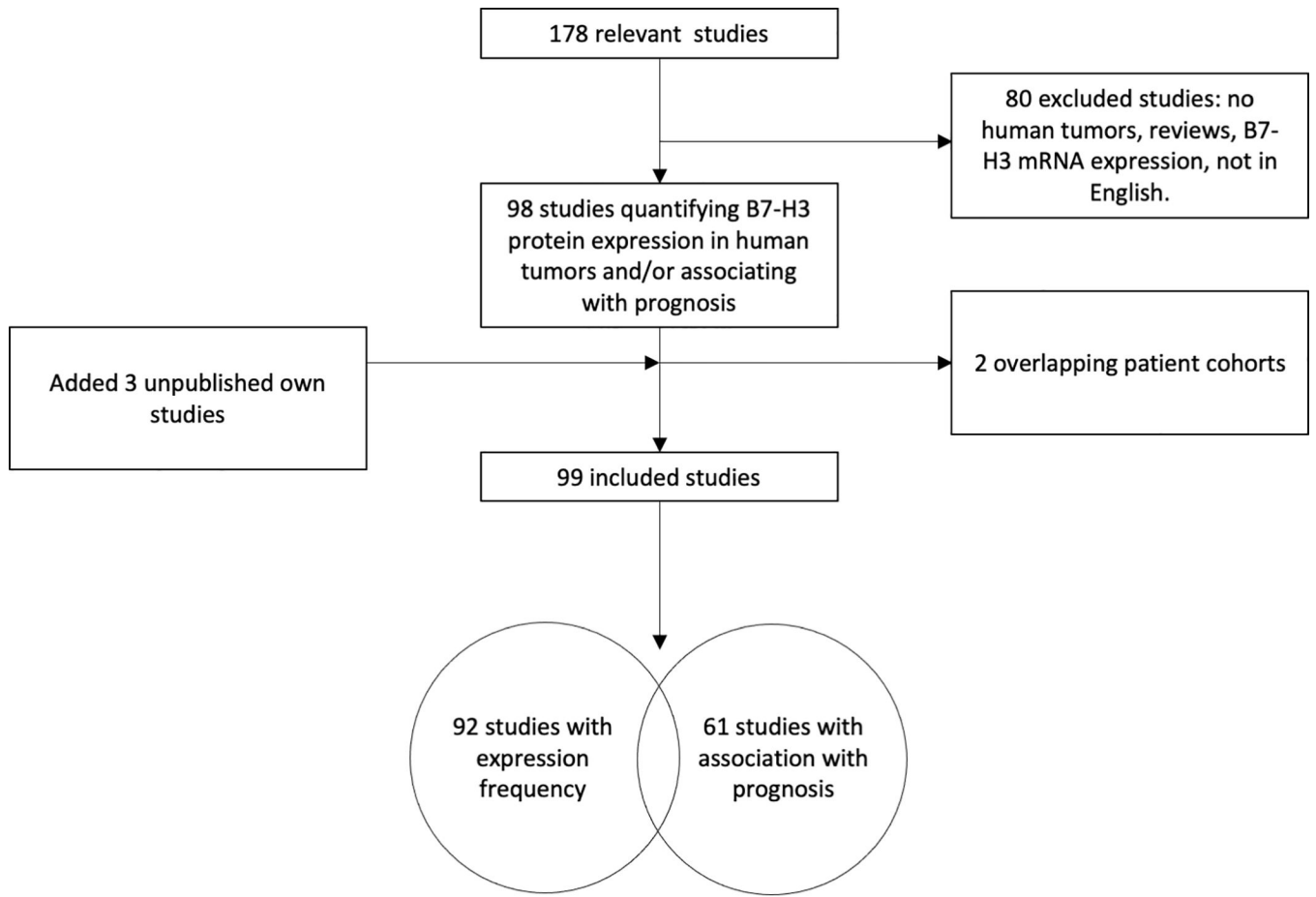
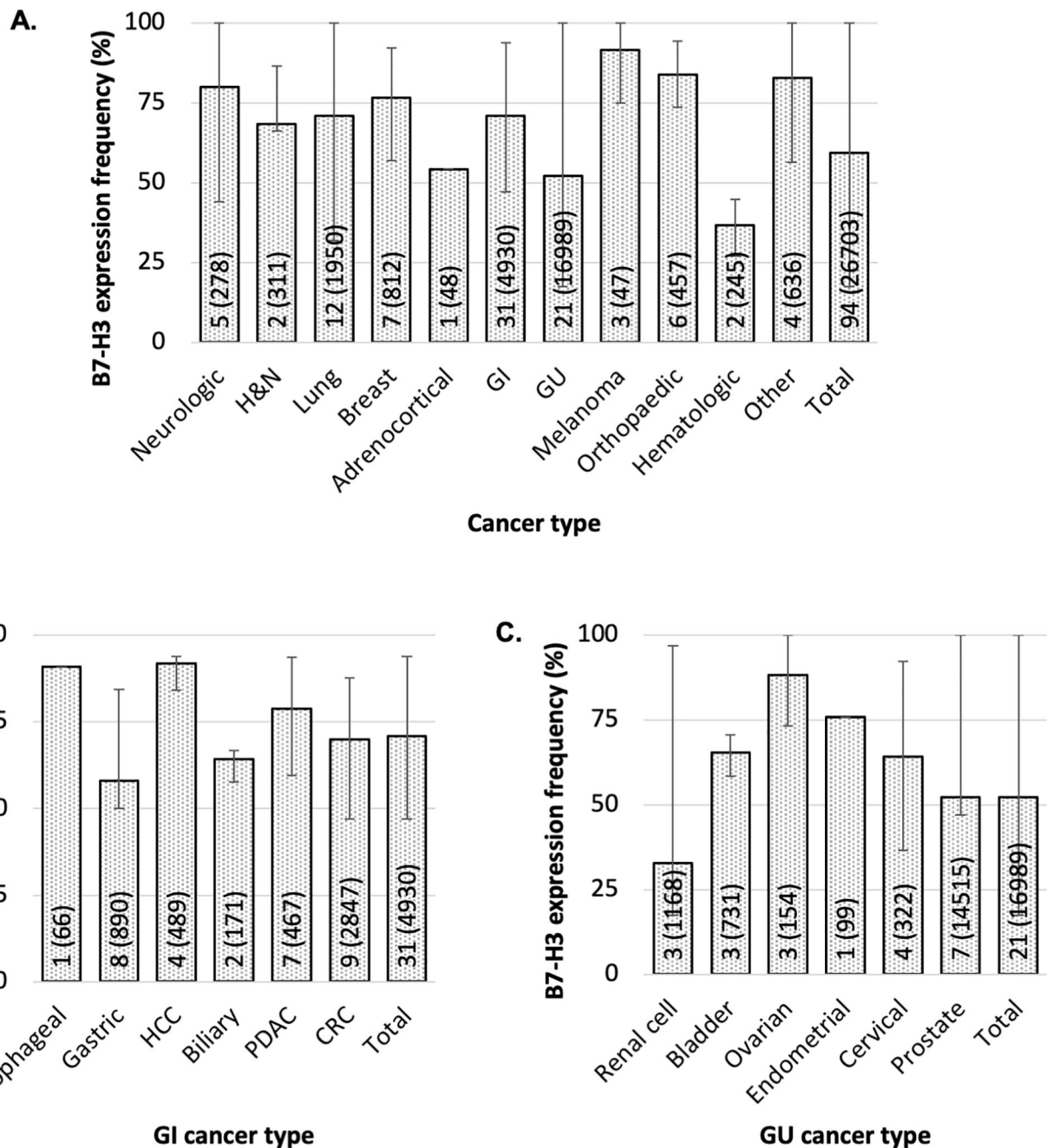


Figure 4. Study selection diagram.



**Figure 5. Frequency of B7-H3 expression by cancer type.**

B7-H3 expression was assessed in surgically resected primary tumors by immunohistochemical staining with B7-H3-specific antibodies. Bar graphs represent the average of the frequency of B7-H3 expression in each cancer type yielded from a pooled data analysis. The number of included studies (number of cases) for each cancer type is presented within each bar. Error bars represent the range of B7-H3 expression frequency reported. Data are presented for all cancer types (A), as well as for gastrointestinal (B) and genitourinary (C) cancers. H&N: head and neck; GI: gastrointestinal; GU: genitourinary; HCC: hepatocellular carcinoma; PDAC: pancreatic ductal adenocarcinoma; CRC: colorectal

cancer. The cancer types labeled as “other” include small round blue cell tumors of childhood, pediatric brain and solid tumors, Ewing’s family of tumors and renal angiomyolipoma. B7-H3 expression frequency was statistically significantly different among all cancer types ( $p < 0.001$ ), among GI cancers ( $p < 0.001$ ) and among GU cancers ( $p < 0.001$ ). P values derived from  $\chi^2$  test.

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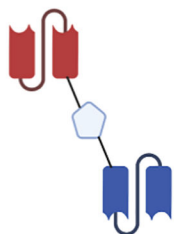
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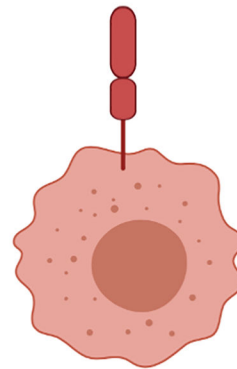


B7-H3-blocking mAb

Radioisotope-conjugated mAb



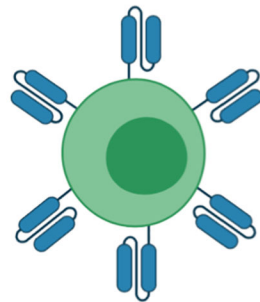
B7-H3/IL-15 TriKE



B7-H3-expressing tumor cell



ADC



CAR T-cell



BsAb



Fc-enhanced mAb

**Figure 6. B7-H3-targeting monoclonal antibody-based immunotherapeutic strategies.** ADC: antibody-drug conjugate; mAb: monoclonal antibody; CAR: chimeric antigen receptor; IL-15: interleukin 15; TriKE: trispecific killer engager.