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Inappropriate Dosing of Direct Oral Anticoagulants in Patients with Atrial Fibrillation

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Abstract

Direct Oral Anticoagulants (DOACs) require dose adjustment based on specific patient characteristics, making them prone to incorrect dosing. The current study aimed to evaluate the prevalence of inappropriate DOAC dosing, its predictors, and corresponding outcomes in a single-center cohort of AF patients. We reviewed all patients with AF treated at Mayo Clinic with a DOAC (Apixaban, Rivaroxaban, or Dabigatran) between 2010 and 2017. Outcomes examined were ischemic stroke /transient ischemic attack (TIA)/embolism and bleeding. 8576 patients (mean age 69.5 ± 11.9 years, 35.1 % female, CHA₂DS₂-VASc 3.0 ± 1.8) received a DOAC (38.6% apixaban, 35.8% rivaroxaban, 25.6% dabigatran). DOAC dosing was inappropriate in 1273 (14.8%) with 1071 (12.4%) receiving an inappropriate ly low dose, and 202(2.4%) an inappropriately high dose. Patients prescribed inappropriate doses were older (72.4 \pm 11.7 vs 69.0

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 \pm 11.8, p<0.0001), more likely to be female (43.1% vs 33.7%, p<0.0001), had a higher CHA₂DS₂-VASc score (3.4 \pm 1.8 vs 2.9 \pm 1.8, p<0.0001) and a greater Charlson comorbidity index (3.5 \pm 3.3 vs 2.9 \pm 3.2, p<0.0001). Over 1.2 \pm 1.6 years (median 0.5 years) follow up; there was no significant difference in the incidence of stroke/TIA/embolism and bleeding between patients who were inappropriately dosed vs. appropriately dosed. In conclusion, DOAC dosing was not in compliance with current recommendations in 15% of AF patients. Patients at higher risk of stroke/TIA based on older age, female gender, and higher CHA₂DS₂-VASc score were more likely to be underdosed, but there was no significant difference in outcomes including stroke/TIA/embolism

Keywords

and bleeding.

Direct oral anticoagulants; atrial fibrillation; stroke; thromboembolism; bleeding

Introduction

Direct Oral Anticoagulants (DOACs) are now the preferred first-line treatment for stroke risk reduction in patients with atrial fibrillation (AF)¹. At present, 4 DOACs (Apixaban, Dabigatran, Rivaroxaban, and Edoxaban) are approved and have been shown in randomized controlled trials to be at least non-inferior ^{2,3} or superior ^{4,5} to warfarin in reducing stroke and systemic embolism. Further, DOACs showed a better safety profile with a lower risk of intracerebral hemorrhage but a higher risk of GI bleeding (specifically Dabigatran). Unlike warfarin, DOACs are prescribed in fixed doses but do require dose adjustment based on specific patient characteristics. Alternative dosing regimens are also approved for other indications such as venous thromboembolism, which adds complexity to dosing and may be confusing to the prescribing physician. This complexity, combined with physician preference (whether evidence-based or not), can lead to dosing that deviates from FDA labeling/packaging inserts, thus potentially compromising efficacy and predisposing to adverse effects ^{6,7}. Therefore, our study aimed to describe dosing patterns of DOACs, dosing appropriateness, and the correlation of inappropriate dosing with outcomes in a large single-center cohort of AF patients.

Methods

The Mayo Clinic Institutional Review Board approved the present study. All patients with AF treated with a DOAC (Apixaban, Rivaroxaban, Dabigatran, or Edoxaban) at Mayo Clinic Rochester between December 2010 and December 2017 were identified using the electronic medical record. To identify patients (Figure 1), we screened Mayo Clinic records for the first recorded prescription of a DOAC between 2010 and 2017 and identified those with an atrial fibrillation/flutter diagnosis by International Statistical Classification of Diseases (ICD) 9 and 10 codes (Supplementary Table 1) within 3 months of this prescription. We excluded patients who had a diagnosis or history of deep vein thrombosis or pulmonary embolism. From this group of 10,012 patients, 1419 patients were excluded due to missing data (serum creatinine, weight, or DOAC dosing frequency or strength), which precluded the determination of dose appropriateness. Edoxaban was prescribed to a very small number of

patients (n=17) and was excluded due to the inability to draw any definitive conclusions on this group. In the final cohort of 8576 patients, baseline comorbid conditions were identified at the time of index prescription using ICD codes (Supplementary Table 2).

The prescription date of a patient's DOAC was defined as the index date. The appropriateness of the doses of DOAC was determined according to U.S. FDA-approved package inserts, and divided into 3 groups; appropriate dose, inappropriate reduced dose, inappropriate high dose/overdose (Supplementary Table 3). In brief, underdosed patients were prescribed a reduced dose DOAC when they were eligible for a standard dose, and overdosed patients were prescribed a higher dose than recommended. Creatinine clearance was calculated using the Cockcroft–Gault (CG) equation, using the latest creatinine measured within 1 year of the index date and the patient actual body weight.

The primary outcomes of interest after index DOAC prescription date were the occurrence of (1) ischemic stroke/TIA/embolism, (2) major bleeding, (3) clinically relevant non-major bleeding, and (4) any bleeding which included major, clinically relevant non-major bleeding, and minor bleeding. We also did pre-specified subgroup analysis on patients who experienced intracerebral hemorrhage. To identify these outcomes, we first screened using ICD 9 and 10 codes, followed by manual validation of all outcomes through a thorough review of the electronic medical records (Supplementary Table 4). Major bleeding was defined according to the International Society on Thrombosis and Hemostasis⁸ as acute or subacute clinically overt bleeding that meets 1 or more of the following criteria: (1) Fatal bleeding and/or symptomatic bleeding in a critical area or organ, such as intracranial, intraspinal, intraocular, retroperitoneal, intraarticular or pericardial, or intramuscular with compartment syndrome (2) Bleeding causing a fall in hemoglobin level of 2 g/dL (1.24 mmol/L) or more over a 24 hr period, or (3) leading to transfusion of 2 or more units of whole blood or packed red cells. A clinically relevant non-major bleed is an acute or subacute clinically overt bleed that does not meet the criteria for a major bleed but prompts a clinical response, in that it leads to at least 1 of the following: a hospital admission for bleeding, or a physician-guided medical or surgical treatment for bleeding, or a change in antithrombotic therapy. All acute clinically overt bleeding events that did not meet the criteria for either major bleeding or clinically relevant non-major bleeding were classified as minor bleeding and included in the outcome - any bleed.

Baseline characteristics are reported as absolute numbers and percentages for categorical variables, and as medians (interquartile range) or means (standard deviation) for continuous variables as appropriate. Comparisons between groups were made using the chi-squared test for categorical variables and the Kruskal-Wallis for continuous variables. Outcomes were reported both as percentages and as event rates per 100 patient-years. A multivariate regression model was used to identify the association between patient characteristics and inappropriate DOAC dosing. For association with the primary outcomes, adjusted Cox models were created to test the association of inappropriate DOAC dose and outcomes. We performed univariate analysis only to explore outcomes by type of inappropriate dose (high Vs low) and intracerebral hemorrhage due to a low number of events that precluded the use of multivariate analysis. All calculations were performed using SAS Software, SAS Institute, Cary, NC.

Results

The baseline characteristics of 8576 patients who received a DOAC for AF are presented in Table 1. The mean age was 69.5 ± 11.9 years, 3008 (35.1%) patients were female, and CHA₂DS₂-VASc score was 3.0 ± 1.8 (range 0–9). Patients were followed up for a mean of 1.2 ± 1.6 years (median 0.5 years). Cohort characteristics stratified by the type of DOAC are presented in Supplementary Table 5.

Apixaban (3312, 38.6%) was the most frequently prescribed DOAC, followed by Rivaroxaban (3066, 35.8%) and Dabigatran (2198, 25.6%). DOAC dosing did not conform to the recommended dose in 1273 (14.8%) patients. Of these, 1071 (12.4%) received an inappropriately low dose, and 202 (2.4%%) an inappropriate high dose. Over the study period, there was no clear temporal trend in inappropriate or appropriate prescribing (Supplemental Table 6). The characteristics of patients who received appropriate vs. inappropriate dosing are compared in Table 1. Patients who received an inappropriate dose were older (72.4 \pm 11.7 vs 69.0 \pm 11.8, p<0.0001), more likely to be female (43.1% vs 33.7%, p<0.0001), have a lower creatinine clearance $(73.5 \pm 39.9 \text{ vs } 86.7 \pm 37.4 \text{ ml/min},$ p<0.0001), higher CHADSVASC score $(3.4 \pm 1.8 \text{ vs } 2.9 \pm 1.8, \text{ p} < 0.0001)$ and a greater Charlson comorbidity index $(3.5 \pm 3.3 \text{ vs } 2.9 \pm 3.2, \text{ p} < 0.0001)$. Breakdown of patient characteristics by inappropriate high vs. low dose (Table 2) revealed that patients who received an inappropriately low dose vs high dose were younger $(71.1 \pm 11.9 \text{ vs } 79.0 \pm 7.6,$ p<0.0001), less likely to be female (38.7% vs 66.3%, p<0.0001), have a greater creatinine clearance (78.8 ± 40.4 vs 40.2 ± 8.4 ml/min, p<0.0001) and a lower CHADSVASC score $(3.3 \pm 1.8 \text{ vs } 3.9 \pm 15, p < 0.0001).$

Inappropriate dosed DOACs were more commonly prescribed in patients who received Dabigatran and Rivaroxaban compared to Apixaban (18.6% vs. 19.4% vs. 8.1%, respectively, p<0.0001). Figure 3 shows the distribution of inappropriate dosing across all DOAC groups. The most common inappropriate prescription pattern was underdosing with 5 mg daily of Apixaban, 150 mg daily of Dabigatran, and 15 mg daily of Rivaroxaban. In a multivariate model (Table 3), factors associated with inappropriate dosing were older age [odds ratio (OR) per year, 1.008 (95% CI, 1.00 to 1.016), p=0.037], female sex [OR, 1.27(1.11 to 1.44), p<0.0003], lower creatinine clearance [OR, 1.10(1.08 to 1.14) per 10 ml/min decrease, p<0.0001], and history of diabetes [OR, 1.23(1.07 to 1.43) p=0.005], liver disease[OR, 1.23(1.02 to 1.48), p<0.01], and anaemia[OR, 1.25(1.05 to 1.50), p=0.03].

Over a mean follow up of 1.2 ± 1.6 years (median 0.5 years), there was a trend towards more adverse events in those patients who were inappropriately dosed than those receiving appropriate doses, but this did not reach statistical significance (Table 4).

The incidence of the stroke/TIA/embolism [1.17 events per 100 patient-years (95% CI; 0.72–1.79) vs. 0.92 (95% CI 0.72–1.11); p=0.36] was higher in those receiving inappropriate doses but not statistically significant. Additional analysis noted no difference in outcomes if the dose was either inappropriately low or high (Table 5).

In regards to bleeding, similar observations were noted. Major bleeding [1.72 events per 100 patient-years (95% CI; 1.17–2.45) vs. 1.35 (95% CI 1.12–1.60); p=0.34], clinical relevant

non major bleeding [1.97 events per 100 patient-years (95% CI; 1.37–2.74) vs. 1.69 (95% CI 1.44–1.98); p=0.40] and any bleeding [6.7 events per 100 patient-years (95% CI; 5.58–8.11) vs. 5.91 (95% CI 5.43–6.43); p=0.23] was higher in those receiving inappropriate doses but did not statistically significant.

When analyzed by low vs high inappropriate dosing using univariate analysis, major bleeding events were similar between the groups (2.0% vs 3.5%, p=0.13) (Table 5). There was an increased incidence of clinically relevant non-major bleeding (2.1% vs 5.0%, p=0.02) and any bleeding (7.8% vs 12.9%, p=0.01) in those who received inappropriate high doses. There was no difference noted in the incidence of intracerebral hemorrhage.

Discussions

In this single-center experience with prescription of DOACs in patients with AF, anticoagulant dosing was inconsistent with the FDA-approved labeling/package inserts in almost 15% of patients. The majority of patients received a dose that was lower than the recommended dose. Older patients, females, and those with lower creatinine clearance, diabetes, anemia, and liver disease were more likely to be prescribed an inappropriate dose of DOAC. Although there was a trend towards adverse events in those receiving inappropriate dosing, there was no statistically significant association between inappropriate dosing and the incidence of stroke/TIA/embolism and bleeding complications.

This study offers valuable insights into dose under-prescription of DOACs, and our findings parallel those of Steinberg, who reported inappropriately low and high dose of DOAC prescriptions in 9.4% and 3.4% of patients respectively in 5,738 patients enrolled in the Outcomes Registry for Better Informed Treatment of Atrial Fibrillation AF II registry⁷. With a similar follow up time to our study, they noted no significant difference in the occurrence of stroke/TIA and significant bleeding events amongst patients treated with inappropriate and appropriate DOAC dosing. Yao reported that of 14,865 with nonvalvular AF patients who were prescribed a DOAC (identified using a large administrative claims database), 13.3% of patients with no indication for dose reduction received a reduced dose without an associated increase in the overall rate of stroke or bleeding events⁶. Over a similar follow up time to our study, they report that 43% of patients with an indication for dose reduction received a standard dose, and these patients were more prone to bleeding events. This study was, however, limited by the absence of data on patient weight, which is one of the factors necessary to determine the appropriateness of Apixaban dosing and creatinine clearance using the recommended Cockroft-Gault method. In our study, univariate analysis showed a higher incidence of clinically relevant non-major bleed and any bleed, but not major bleed in those receiving a higher dose of DOAC than recommended. The small number of events, however, precluded multivariable analysis. Our findings also parallel the recent publication of the Global Anticoagulant Registry in the Field-Atrial fibrillation (GARFIELD-AF) registry ⁹. In this publication, the authors highlight that almost 25% of patients received incorrect dosing and that nonrecommended dosing was associated with a higher risk of all cause mortality; however, the risks of stroke, systematic embolism and major bleeding were not significantly different irrespective of the level of dosing. Additionally our study confirms those of other smaller studies worldwide ^{10–13}, which show that 10–15% of patients

prescribed a DOAC do not receive the recommended dose; however, outcome data is limited in these smaller cohorts. A recent analysis of ARISTOTLE trial data¹⁴ examined the effects of apixaban dose adjustment on clinical and pharmacological outcomes. In this study, the authors noted that appropriate adjustment of Apixaban to the lower dose (2.5 mg twice daily) resulted in lower apixaban concentrations but similar reductions in coagulation activity compared with Apixaban 5 mg twice daily. Additionally, patients prescribed 2.5 mg twice daily vs. 5mg twice daily had no significant difference in stroke, mortality, or bleeding.

This current study identifies key factors associated with inappropriate dosing of DOACs, including older age, female gender, renal dysfunction, and diabetes mellitus. Significantly, many of these factors predispose to a higher risk of stroke and hence the impact of DOAC under-dosing may be more significant and relevant in this population. Our data add to the growing and concerning body of literature published by Steinberg et al 7 , Yao et al 6 and other studies from Europe¹⁵ and Canada¹⁶. Identifying subgroups at higher risk for inappropriate dosing can lead to targeted interventions to improve adherence to dosing recommendations¹⁷. Our study did not explore the reason for inappropriate dosing, but several candidate explanations emerge. Although the fixed-dose regimen of DOACs is an important advancement over the international normalized ratio guided dosing of warfarin, certain complexities remain. The dose of DOAC needs to be adjusted based on indication (AF vs. venous thromboembolism) and patient-specific factors, including age, weight, and renal function, leading to confusion amongst prescribers. Second, there may be a general concern regarding bleeding complications amongst physicians and patients despite available evidence to the contrary ¹⁸. A meta-analysis pooling the results of all 4 pivotal clinical trials of DOACs showed that DOACs, when compared to warfarin, were associated with a nonsignificant reduction in major bleeding with significant reductions in hemorrhagic stroke and intracranial hemorrhages which should ease concerns regarding bleeding¹⁹. Devereaux 20 , in an evaluation of 63 physicians and 61 patients, found that there is a bias towards greater concern for bleeding amongst physicians. Their study highlights that patients placed more value on the avoidance of stroke and less value on the avoidance of bleeding than physicians. Finally, for the first several years of DOAC use, a reversal agent was not available and this may have led to the concern that a significant bleeding event may prove catastrophic ^{21–23} and hence under-dosing.

Inappropriate DOAC dosing has the potential for significant clinical implications. While data in this regard has not been consistent, there has been a signal towards potential adverse events. In our study, the incidence of stroke/TIA and bleeding was slightly higher in those with inappropriate dosing, but this was not statistically significant. Steinberg⁷ found no adverse events from under or overdosing, while Yao⁶ reported that amongst apixaban-treated patients, those who received an inappropriate reduced dose had a significantly higher risk of stroke compared to appropriate dosing. In our study, we report a trend towards worse outcomes but not statistically significant. This may be secondary to lower event rate and short duration of follow-up that may have limited the power to detect any significant differences in outcomes. The general lack of significant difference in outcomes across these observational studies should also lead to a comparison of anticoagulant activity in specific subgroups to identify if factors other than renal function should also guide dose adjustment.

Overall, more extensive prospective studies on the impact of DOAC dosing on outcomes in contemporary practice are needed.

The current study has limitations that are inherent to its retrospective observational design. Although outcomes were identified using ICD codes followed by validation using medical records, there could be under-reporting of events if patients were treated at other institutions. The reason for inappropriate dosing and patient compliance could not be determined. The impact of co-prescription of aspirin and other non-steroidal anti-inflammatory agents on DOAC dosing could not be determined due to the unreliability of data on over the counter medication use. Further, we do not have any insight into who prescribed the DOAC (i.e., a primary care physician or cardiologist) and consequently are unable to comment on these prescribing patterns. Lastly, follow-up was relatively short [but similar to previously published studies^{6,7}], limiting conclusions regarding adverse events.

In conclusion, amongst AF patients prescribed a DOAC for stroke prevention at a single center, 15% did not receive a dose that complied with current FDA labeling. Most of these patients were under-dosed, and patients at higher risk of stroke / TIA based on older age, female gender, and higher CHA_2DS_2 -VASc score were more likely to be underdosed.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Dr Gersh reports personal fees from Janssen Pharmaceuticals and Bristol-Myers Squibb.

Dr. Asirvatham reports other from Boston Scientific, other from Medtronic, other from St. Jude Medical, other from Zoll Medical, other from Biotronik, outside the submitted work;

Others: None to declare.

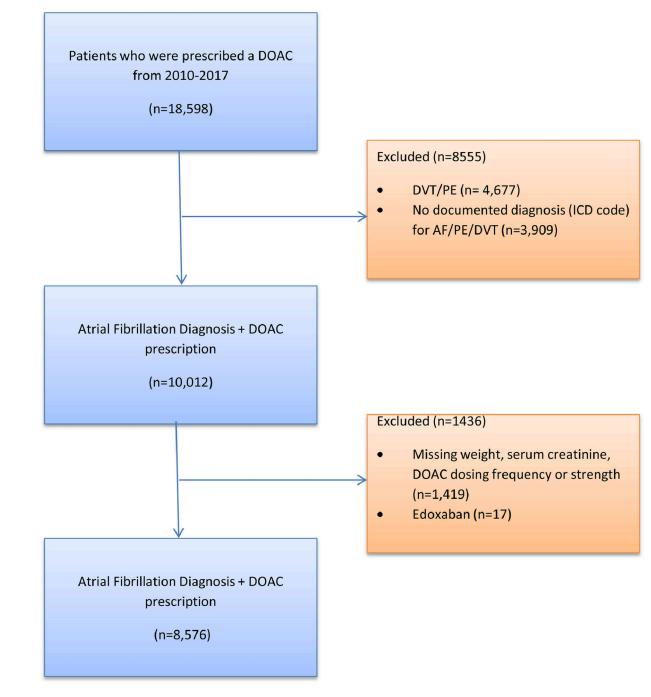
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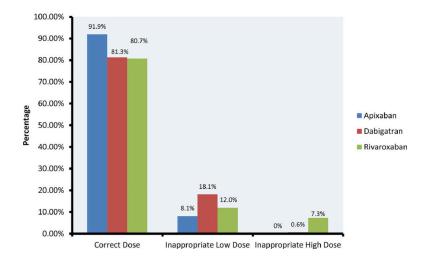
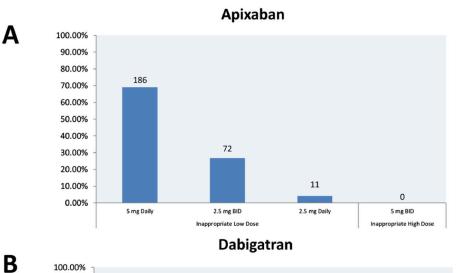
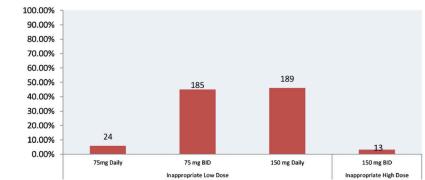
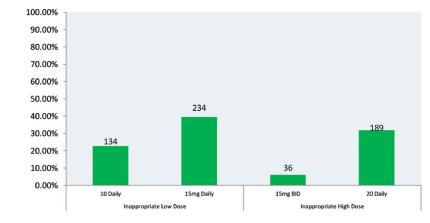


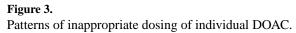
Figure 2. DOAC Dosing By Drug





Rivaroxaban





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Table 1.

Baseline characteristics of the overall cohort and stratified by the appropriateness of DOAC dosing.

| Patient Demographics | Total Cohort (n=8576) | Appropriate Dose (n=7303) | Inappropriate Dose (n=1273) | P value |
|---|-----------------------|---------------------------|-----------------------------|---------|
| Age, (years) | 69.5 ± 11.9 | 69.0 ± 11.8 | 72.4 ± 11.7 | < 0.001 |
| Women | 3008 (35.1%) | 2459 (33.7%) | 549 (43.1%) | < 0.001 |
| White | 8094 (94.4%) | 6911 (94.6%) | 1183 (92.9%) | |
| American Indian/Alaskan Native | 20 (0.2%) | 19 (0.3%) | 1 (0.1%) | |
| Asian | 69 (0.8%) | 54 (0.7%) | 15 (1.2%) | |
| Black | 63 (0.7%) | 52 (0.7%) | 11 (0.9%) | |
| Native Hawaiian/Pacific Islander | 9 (0.1%) | 7 (0.2%) | 2 (0.2%) | |
| Other/Unknown/Not Disclosed | 321 (3.7%) | 260 (3.6%) | 61 (4.8%) | |
| Body Mass Index (kg/m ²) | 30.4 ± 6.8 | 30.5 ± 6.8 | 29.5 ± 6.6 | < 0.001 |
| Charlson co-morbidity Index, median (range) | 2 (0–24) | 2 (0–23) | 3 (0–24) | <0.001 |
| CHA2DS2-VASc score | 3.0 ± 1.8 | 2.9 ± 1.8 | 3.4 ± 1.8 | < 0.001 |
| Creatinine (mg/dl) | 1.1 ± 0.4 | 1.1 ± 0.4 | 1.2 ± 0.6 | < 0.001 |
| Creatinine Clearance (ml/min) | 84.8 ± 38.1 | 86.7 ± 37.4 | 73.5 ± 39.9 | < 0.001 |
| Diabetes Mellitus | 2091 (24.4%) | 1725 (23.6%) | 366 (28.8%) | < 0.001 |
| Hypertension | 5811 (67.8%) | 4909 (67.2%) | 902 (70.9%) | 0.01 |
| Hyperlipidemia | 4633 (54.0%) | 3916 (53.6%) | 717 (56.3%) | 0.10 |
| Heart Failure | 2801 (32.7%) | 2339 (32.0%) | 462 (36.3%) | 0.003 |
| Myocardial infarction | 1026 (12.0%) | 833 (11.4%) | 193 (15.2%) | < 0.001 |
| Peripheral vascular disease | 787 (9.2%) | 651 (8.9%) | 136 (10.7%) | 0.04 |
| Aortic Atherosclerotic Disease | 751 (8.8%) | 626 (8.6%) | 123 (9.7%) | 0.21 |
| Liver Disease | 1003 (11.7%) | 820 (11.2%) | 183 (14.4%) | 0.001 |
| Anemia | 1051 (12.3%) | 832 (11.4%) | 219 (17.2%) | < 0.001 |
| Alcoholism | 383 (4.5%) | 312 (4.3%) | 71 (5.6%) | 0.02 |

* Mean \pm SD unless otherwise specified

Table 2.

Baseline characteristics of the overall cohort and stratified by the appropriateness of DOAC dosing.

| Patient Demographics | Appropriate Dose (n=7303) | Inappropriate Low Dose (n=1071) | Inappropriate High Dose (n=202) | P value | |
|--|---------------------------------|------------------------------------|------------------------------------|---------|--|
| Age (years) | 69.0 ± 11.8 | 71.1±11.9 | 79.0 ± 7.6 | < 0.001 | |
| Women | 2459 (33.7%) | 415 (38.7%) | 134 (66.3%) | < 0.001 | |
| Body Mass Index(kg/m ²) | 30.5 ± 6.8 | 30.3 ± 6.6 | 25.5 ± 5.1 | < 0.001 | |
| Charlson co-morbidity Index, median (range) | 2 (0–23) | 3 (0–24) | 3 (0–15) | < 0.001 | |
| CHA2DS2-VASc score | 2.9 ± 1.8 | 3.5 ± 3.3 | 3.6 ± 3.3 | < 0.001 | |
| Creatinine (mg/dl) | 1.1 ± 0.4 | 1.1 ± 0.5 | 1.4 ± 0.9 | < 0.001 | |
| Creatinine Clearance (ml/min) | 86.7 ± 37.4 | 79.8 ± 40.4 | 40.2 ± 8.4 | < 0.001 | |
| Diabetes Mellitus | 1725 (23.6%) | 328 (30.6%) | 38 (18.8%) | < 0.001 | |
| Hypertension | rtension 4909 (67.2%) | | 134 (66.3%) | 0.01 | |
| Hyperlipidemia | 3916 (53.6%) | 615 (57.4%) | 102 (50.5%) | 0.04 | |
| Heart Failure | 2339 (32.0%) | 385 (35.9%) | 77 (38.1%) | 0.01 | |
| Myocardial infarction | ocardial infarction 833 (11.4%) | | 28 (13.9%) | 0.0006 | |
| Peripheral vascular disease | 651 (8.9%) | 114 (10.6%) | 22 (10.9%) | 0.12 | |
| Aortic Atherosclerotic Disease | 626 (8.6%) | 109 (10.2%) | 14 (6.9%) | 0.15 | |
| Liver Disease | 820 (11.2%) | 159 (14.8%) | 24 (11.9%) | 0.003 | |
| Anemia | 832 (11.4%) | 188 (17.6%) | 31 (15.3%) | < 0.001 | |
| Alcoholism | 312 (4.3%) | 63 (5.9%) | 8 (4.0%) | 0.06 | |

* Mean \pm SD unless otherwise specified

Table 3.

Multivariable model of predictors of inappropriate DOAC dosing

| Variable | Odds ratio | 95% Confid | lence Limits | P value |
|--|------------|------------|--------------|----------|
| Age, per year increase | 1.008 | 1.000 | 1.016 | 0.037 |
| Women | 1.268 | 1.114 | 1.443 | 0.0003 |
| Body Mass Index(kg/m ²) | 1.007 | 0.995 | 1.019 | 0.27 |
| Creatinine Clearance, per 10 ml/min decrease | 1.10 | 1.08 | 1.14 | < 0.0001 |
| Diabetes Mellitus | 1.232 | 1.065 | 1.427 | 0.005 |
| Hypertension | 0.913 | 0.788 | 1.059 | 0.23 |
| Heart Failure | 1.055 | 0.922 | 1.207 | 0.44 |
| Myocardial Infarction | 1.186 | 0.988 | 1.425 | 0.07 |
| Peripheral Vascular Disease | 0.907 | 0.734 | 1.121 | 0.37 |
| Liver Disease | 1.23 | 1.02 | 1.48 | 0.01 |
| Anaemia | 1.25 | 1.05 | 1.50 | 0.03 |

Table 4.

Outcomes stratified by the appropriateness of DOAC dose.

| Outcome | Appropriate Dose (N=7303) | | | opriate Dose Total (N=8576) =1273) | | (N=8576) | Association Effect | |
|---|---------------------------|---|------------------|---------------------------------------|------------------|--------------------------|--------------------------|---------|
| | No. of Events | Events/100 PY [*] (95% CI) | No. of Events | Events/100 PY(95% CI) | No. of Events | Events/100 PY(95% CI) | Hazard ratio (95% CI) | P value |
| Stroke/TIA/ Embolism [^] | 81 (1.1%) | 0.92 (0.72– 1.11) | 19 (1.5%) | 1.17 (0.72– 1.79) | 100 (1.2%) | .94 (0.78– 1.14) | 1.03 (.97– 1.09) | 0.36 |
| Major Bleed [¶] | 121 (1.7%) | 1.35 (1.12– 1.60) | 28 (2.2%) | 1.72 (1.17– 2.45) | 149 (1.8%) | 1.4 (1.19– 1.64) | 1.03 (.97– 1.09) | 0.34 |
| Clinically relevant Non- Major Bleed [†] | 152 (2.1%) | 1.69 (1.44– 1.98) | 32 (2.5%) | 1.97 (1.37– 2.74) | 184 (2.1%) | 1.73 (1.5–2.0) | 1.03 (0.97– 1.09) | 0.40 |
| Any Bleed [‡] | 531 (7.2%) | 5.91 (5.43– 6.43) | 110 (8.6%) | 6.7 (5.58– 8.11) | 641 (7.4%) | 6.04 (5.57– 6.52) | 1.04 (.98– 1.10) | 0.23 |

*PY- patient year

^A model was adjusted for age, sex, appropriate dose, creatinine clearance, diabetes, hypertension, heart failure, hyperlipidemia, myocardial infarction, peripheral vascular disease, aortic atherosclerotic disease, and liver disease.

[¶]model was adjusted for age, sex, appropriate dose, hypertension, creatinine clearance, diabetes, heart failure, hyperlipidemia, myocardial infarction, peripheral vascular disease, aortic atherosclerotic disease, liver disease, and alcoholism.

 $\dot{\tau}$ model was adjusted for age, sex, appropriate dose, hypertension, creatinine clearance, diabetes, heart failure, hyperlipidemia, myocardial infarction, peripheral vascular disease, aortic atherosclerotic disease, and liver disease.

[‡]model was adjusted for age, sex, appropriate dose, hypertension, creatinine clearance, diabetes, heart failure, hyperlipidemia, myocardial infarction, peripheral vascular disease, aortic atherosclerotic disease, liver disease, and alcoholism.

Table 5 -

Univariate Outcomes stratified by low and high inappropriate dosing classification

| Outcome | Appropriate Dose (N=7303) | Inappropriate Low Dose (N=1071) | Inappropriate High Dose (N=202) | P value |
|--|------------------------------|------------------------------------|------------------------------------|---------|
| Stroke/ Transient Ischemic Attack /Embolism | 81 (1.1%) | 16 (1.5%) | 3 (1.5%) | 0.50 |
| Major Bleed | 121 (1.7%) | 21 (2.0%) | 7 (3.5%) | 0.13 |
| Clinically relevant Non-Major Bleed | 152 (2.1%) | 22 (2.1%) | 10 (5.0%) | 0.02 |
| Any Bleed | 531 (7.2%) | 84 (7.8%) | 26 (12.9%) | 0.01 |
| Intracerebral Hemorrhage | 112 (1.5%) | 17 (1.6%) | 6 (2.9%) | 0.26 |