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## Safety assessment of titanium dioxide (E171) as a food additive

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### Abstract

The present opinion deals with an updated safety assessment of the food additive titanium dioxide (E 171) based on new relevant scientific evidence considered by the Panel to be reliable, including data obtained with TiO<sub>2</sub> nanoparticles (NPs) and data from an extended one-generation reproductive toxicity (EOGRT) study. Less than 50% of constituent particles by number in E 171 have a minimum external dimension < 100 nm. In addition, the Panel noted that constituent particles < 30 nm amounted to less than 1% of particles by number. The Panel therefore considered that studies with TiO<sub>2</sub> NPs < 30 nm were of limited relevance to the safety assessment of E 171. The Panel concluded that although gastrointestinal absorption of TiO<sub>2</sub> particles is low, they may accumulate in the body. Studies on general and organ toxicity did not indicate adverse effects with either E 171 up to a dose of 1,000 mg/kg body weight (bw) per day or with TiO<sub>2</sub> NPs (> 30 nm) up to the highest dose tested of 100 mg/kg bw per day. No effects on reproductive and developmental toxicity were observed up to a dose of 1,000 mg E 171/kg bw per day, the highest dose tested in the EOGRT study. However, observations of potential immunotoxicity and inflammation with E 171 and potential neurotoxicity with TiO<sub>2</sub> NPs, together with the potential induction of aberrant crypt foci with E 171, may indicate adverse effects. With respect to genotoxicity, the Panel concluded that TiO<sub>2</sub> particles have the potential to induce DNA strand breaks and chromosomal damage, but not gene mutations. No clear correlation was observed between the physico-chemical properties of TiO<sub>2</sub> particles and the outcome of either *in vitro* or *in vivo* genotoxicity assays. A concern for genotoxicity of TiO<sub>2</sub> particles that may be present in E 171 could therefore not be ruled out. Several modes of action for the genotoxicity may operate in parallel and the relative contributions of different molecular mechanisms elicited by TiO<sub>2</sub> particles are not known. There was uncertainty as to whether a threshold mode of action could be assumed. In addition, a cut-off value for TiO<sub>2</sub> particle size with respect to genotoxicity could not be identified. No appropriately designed study was available to investigate the potential carcinogenic effects of TiO<sub>2</sub> NPs. Based on all the evidence available, a concern for genotoxicity could not be ruled out, and given the many uncertainties, the Panel concluded that E 171 can no longer be considered as safe when used as a food additive.

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## Summary

At the request of the European Commission, the Panel on Food Additives and Flavourings (FAF Panel) of EFSA provides an updated safety assessment of the food additive titanium dioxide (E 171) taking into account all new relevant data available to EFSA since the completion of its re-evaluation in 2016. These include the data generated by a consortium of interested business operators (IBOs) in response to the follow-up call launched by the European Commission further to the 2016 re-evaluation by the EFSA Panel on Food Additive and Nutrient Sources added to Food (EFSA ANS Panel) under Regulation (EC) No 257/2010. New data retrieved from the published literature and considered to be in line with the data requirements specified in the 2018 EFSA 'Guidance on risk assessment of the application of nanoscience and nanotechnologies in the food and feed chain' were also included.

The safety of E 171 was re-evaluated by EFSA in 2016 under Regulation (EU) No 257/2010, as part of the re-evaluation programme for food additives authorised in the EU before 20 January 2009. On the basis of the information available at that time, the EFSA ANS Panel considered that E 171 mainly consisted of micro-sized  $\text{TiO}_2$  particles, with a nano-sized ( $< 100$  nm) fraction less than 3.2% by mass. Uncertainties around the identity and characterisation of E 171 were however highlighted, noting that no limits for the particle size of E 171 were set in the EU specifications. The ANS Panel concluded that, based on the data available at that time, E 171 when used as a food additive did not raise concern with respect to genotoxicity and that it was not carcinogenic after oral administration. Taking into account the presumed limited absorption of  $\text{TiO}_2$ , the ANS Panel concluded that, based on a margin of safety (MoS) calculated from the no-observed-adverse-effect level (NOAEL) of 2,250 mg  $\text{TiO}_2$ /kg bw per day (identified from a carcinogenicity study in rats) and the exposure, calculated based on the reported use levels and analytical data, E 171 would not be of concern. However, given the toxicological data set at that time, the ANS Panel identified data gaps and uncertainties that required follow-up by the European Commission by means of a call for data aimed at gathering information from interested business operators. In particular, in order to address concerns related to the lack of adequate data on reproductive and developmental toxicity, the ANS Panel recommended that an extended one-generation reproduction toxicity (EOGRT) study be performed. An EOGRT study was commissioned by interested business operators and its study protocol was later amended to accommodate the investigation of additional parameters related to the occurrence and  $\text{TiO}_2$ -related induction of aberrant crypt foci (ACF) in the colon; these are preneoplastic lesions that had been reported by Bettini et al. (2017) shortly after the completion of the ANS Panel re-evaluation of E 171.

Subsequent to the evaluation of data submitted by interested business operators, in 2019, the Panel recommended that the EU specifications for E 171 include the parameter of median minimum external dimension by particle number  $> 100$  nm (measured by electron microscopy), which is equivalent to less than 50% of constituent particles by number with a minimum external dimension  $< 100$  nm.

Based on the presence of a fraction of nanoparticles in E 171, the food additive falls under the scope of the EFSA Guidance on nanotechnology, which was broadened in its 2018 revision to also cover 'a material that is not engineered as nanomaterial but contains a fraction of particles, less than 50% in the number-size distribution, with one or more external dimensions in the size range 1–100 nm'.

For the reason given above, the proposed amendment to the specifications of the food additive E 171 in 2019 was accompanied by a recommendation from the Panel for a re-assessment of the toxicological data set in line with the data requirements specified in the 2018 EFSA Guidance on nanotechnology.

Accordingly, the Panel considered that studies with  $\text{TiO}_2$  NPs were relevant in the current risk assessment of E 171.  $\text{TiO}_2$  particles in pristine E 171 likely form large agglomerates. When dispersion procedures are applied, these agglomerates may de-agglomerate, resulting in increased numbers of 'free' nanoparticles. The extent of agglomeration and the number of 'free' nanoparticles present may be further affected by the conditions in food and the gastrointestinal tract (GIT) environment. The data available to EFSA showed that the percentage by number of constituent particles  $< 30$  nm was in the order of 1% or less in samples of pristine E 171 or in E 171 extracted from foods analysed after dispersion. The Panel therefore considered that studies with  $\text{TiO}_2$  NPs  $< 30$  nm were of limited relevance to the safety assessment of E 171.

In mice, E 171 has a low oral systemic availability, probably not greater than 0.5%. In studies in rats with  $\text{TiO}_2$  NPs, the oral systemic availability was also low (most probably  $< 1\%$ ) but higher than that of E171 and  $\text{TiO}_2$  NPs were detected in blood and tissues. For absorbed  $\text{TiO}_2$  particles, half-lives of 200–450 days were estimated by the Panel.

Concerning general and organ toxicity, the Panel concluded that the available information in the literature did not indicate adverse effects with either E 171 up to a dose of 1,000 mg/kg bw per day or with TiO<sub>2</sub> NP > 30 nm up to the highest dose tested of 100 mg/kg bw per day. No reliable studies were found in the literature addressing reproductive and developmental toxicity of E 171 and no effect was reported up to a dose of 1,000 mg/kg bw per day for TiO<sub>2</sub> containing a fraction of nanoparticles. Concerning neurotoxicity, no reliable studies performed with E 171 were found in the literature. In studies with TiO<sub>2</sub> NP > 30 nm, neurotoxic effects were observed at the only dose tested of 100 mg/kg bw per day in rats exposed in embryonal life and at the only dose tested of 500 mg/kg bw per day in rats exposed in adult life. In studies using TiO<sub>2</sub> NPs < 30 nm, effects were seen at doses as low as 2.5 mg/kg bw per day. The findings in studies with E 171 on immunotoxicity and inflammation were considered inconsistent; in studies with TiO<sub>2</sub> NPs > 30 nm effects were seen at a dose of 20 mg/kg bw per day whereas in studies with TiO<sub>2</sub> NPs < 30 nm effects were observed at doses as low as 2.5 mg/kg bw per day.

Regarding the newly performed EOGRT study with E 171, the Panel concluded that there were no indications of general toxicity, no effect on thyroid or sex hormone levels, no effect on reproductive function and fertility in either male or female rats. Furthermore, no effects were observed on pre- and postnatal development. No effects on neurofunctional endpoints in F1 offspring were observed either. Concerning immunotoxicity, a marginal but statistically significant decrease in antigen-induced IgM levels (–9%) in males of the F1 Cohort 3 only was noted, with no apparent dose-response. However, the Panel noted that there were methodological shortcomings in the design of this part of the EOGRT study. Therefore, the Panel could not conclude on immunotoxicity. In a satellite group of that study, E 171 at doses up to 1,000 mg/kg bw per day did not induce ACF in the colon. The Panel considered that there was uncertainty regarding the extent of the internal exposure to TiO<sub>2</sub> nanoparticles (present in E 171) across the range of tested doses.

The Panel considered that the effect of E 171 in producing ACF reported by Bettini et al. (2017) was not replicated in later investigations (EOGRT study and Blevins et al., 2019), but noted that the investigation by Blevins et al. had methodological limitations. Furthermore, it is unclear to what extent animals were exposed to TiO<sub>2</sub> NPs in the EOGRT and in the study by Blevins et al. The Panel concluded that E 171 may induce ACF in male rats at a dose of 10 mg/kg bw per day when the test substance is pre-dispersed and stabilised in a liquid medium preventing agglomeration of NPs prior to administration by gavage.

Concerning the genotoxicity studies, combining the available lines of evidence, the Panel concluded that TiO<sub>2</sub> particles have the potential to induce DNA strand breaks and chromosomal damage, but not gene mutations. No clear correlation was observed between the physico-chemical properties of TiO<sub>2</sub> particles – such as crystalline form, size of constituent particles, shape and agglomeration state – and the outcome of *in vitro* or *in vivo* genotoxicity assays. The Panel concluded that several modes of action (MOA) may operate in parallel and the relative contributions of the different molecular mechanisms resulting in the genotoxicity of TiO<sub>2</sub> particles are unknown. Based on the available data, no conclusion could be drawn as to whether the genotoxicity of TiO<sub>2</sub> particles is mediated by a mode (s) of action with a threshold(s). Therefore, the Panel concluded that a concern for genotoxicity of TiO<sub>2</sub> particles cannot be ruled out.

Concerning absorption and toxicity of TiO<sub>2</sub> particles that are present in E 171, the Panel concluded that:

- the absorption of TiO<sub>2</sub> particles is low; however, they may accumulate in the body due to their long half-life;
- studies on general and organ toxicity, including the newly performed EOGRT study with E 171, did not indicate adverse effects up to a dose of 1,000 mg/kg bw per day. Also, no effects were seen in studies retrieved from the literature with TiO<sub>2</sub> NP > 30 nm up to the highest dose tested of 100 mg/kg bw per day;
- no effects on reproductive and developmental toxicity up to a dose of 1,000 mg/kg bw per day, the highest dose tested, were observed in the EOGRT study E 171. No other reliable studies were found in the literature addressing these effects with E 171;
- some findings regarding immunotoxicity and inflammation with E 171 as well as neurotoxicity with TiO<sub>2</sub> NPs may be indicative of adverse effects;
- there are indications of an induction of ACF with E 171;
- no studies appropriately designed and conducted to investigate the potential carcinogenicity of TiO<sub>2</sub> nanoparticles were available;



- combining the available lines of evidence on genotoxicity, TiO<sub>2</sub> particles have the potential to induce DNA strand breaks and chromosomal damage, but not gene mutations. No clear correlation was observed between the physico-chemical properties of TiO<sub>2</sub> particles – such as crystalline form, size of constituent particles, shape and agglomeration state – and the outcome of either *in vitro* or *in vivo* genotoxicity assays;
- a concern for genotoxicity of TiO<sub>2</sub> particles that may be present in E 171 could not be ruled out;
- several modes of action for the genotoxicity may operate in parallel. The relative contributions of different molecular mechanisms elicited by TiO<sub>2</sub> particles are unknown and there is uncertainty as to whether a threshold mode of action could be assumed;
- a cut-off value for TiO<sub>2</sub> particle size with respect to genotoxicity could not be identified.

Overall, on the basis of all currently available evidence along with all the uncertainties, in particular the fact that genotoxicity concern could not be ruled out, the Panel concluded that E 171 can no longer be considered as safe when used as a food additive.

This conclusion applies to E 171 as described in Commission Regulation (EU) No 231/2012 as well as to E 171 specified in the EFSA FAF Panel opinion in 2019.

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## 1. Introduction

In the present opinion, the EFSA Food Additives and Flavourings (FAF Panel) provides an updated safety assessment of the food additive titanium dioxide (E 171) on the basis of newly available scientific evidence. The principles of the EFSA Guidance on nanotechnology (EFSA Scientific Committee, 2018a,b) have been followed in the assessment.

### 1.1. Background and Terms of Reference as provided by the European Commission

#### 1.1.1. Background

The use of food additives is regulated under the European Parliament and Council Regulation (EC) No 1333/2008 on food additives. Only food additives that are included in the Union list, in particular in Annex II to that Regulation, may be placed on the market and used in foods under the conditions of use specified therein. Moreover, food additives shall comply with the specifications as referred to in Article 14 of that Regulation and laid down in Commission Regulation (EU) No. 231/2012.

Titanium dioxide (E 171) is authorised for use as food additive (food colour) in the Union. Since titanium dioxide (E 171) was permitted in the Union before 20 January 2009, it belongs to the group of food additives which are subjected to a new risk assessment by the European Food Safety Authority (EFSA), according to Commission Regulation (EU) No 257/2010, and in line with the provision of Regulation (EC) No 1333/2008.

The re-evaluation of titanium dioxide (E 171) as food additive was completed by EFSA in June 2016 and a scientific opinion was published on 14 September 2016. In that opinion, EFSA concluded, on the basis of the available evidence that titanium dioxide used as a food additive (E 171) did not raise a concern with respect to genotoxicity, was not carcinogenic after oral administration and exposure from the reported use/analytical levels would not be of concern. EFSA recommended that additional reproductive toxicity testing could be performed to enable EFSA to establish a health-based guidance value (e.g. an accepted daily intake – ADI) for titanium dioxide (E 171). Therefore, the Commission issued in January 2017 a call for data requesting business operators to submit new reproductive toxicity data for titanium dioxide (E 171), as well as data addressing other recommendations made by EFSA concerning the specifications for titanium dioxide (E 171). In reply to this call for data, business operators committed to submitting by June 2020 data from a new extended one-generation reproduction toxicity (EOGRT) study carried out according to the current OECD guidelines.

On 4 April 2017, the French Agency for Food, Environment and Occupational Health and Safety (ANSES) published an opinion on dietary exposure to nanoparticles of titanium dioxide assessing, in particular, the study of Bettini et al. (2017) and concluded that the data available do not bring into question the risk assessment performed by EFSA.

On 22 March 2018, the Commission requested EFSA to evaluate four new studies describing potential adverse health effect of titanium dioxide used as food additive (E 171). The EFSA opinion, published on 4 July 2018, concluded that the outcome of the four studies did not merit re-opening the existing opinion of EFSA related to the safety of titanium dioxide (E 171) as food additive. In that opinion EFSA, however recommended that biomarkers for putative pre-cancerous lesions in the colon should be examined, as additional parameters, in the reproductive toxicity study recommended by EFSA in 2016. Business operators have followed this recommendation and consequently the EOGRT study that is expected for submission in June 2020 will also cover the outcome of these examinations.

On 15 April 2019, ANSES published a review of the risk related to the ingestion of food additive titanium dioxide (E 171) taking into account the most recent scientific studies available and referring to its earlier opinion of 2017. On 5 May 2019, the European Commission requested EFSA to assess the ANSES review and to indicate whether it includes any and major findings showing that titanium dioxide (E 171), when used as food additive, is of safety concern and thus whether it overrules the conclusion of the previous EFSA safety evaluations of titanium dioxide (E 171). EFSA was also requested to indicate whether the ANSES review identified additional uncertainties that could be addressed in the follow-up work undertaken by the interested business operators.

On the 13 May 2019, the European Food Safety Authority (EFSA) published a statement on the review of the risk related to the exposure to the food additive titanium dioxide (E 171) performed by the French Agency for Food, Environment and Occupational Health Safety (ANSES). In that statement EFSA concluded that the ANSES opinion does not identify any major new finding that would overrule the conclusions made in the previous EFSA scientific opinion on the safety of titanium dioxide (E 171)

as a food additive. The ANSES opinion reiterates the previously identified uncertainties and data gaps, which are currently being addressed in the context of the follow-up activities originating from the previous EFSA evaluation and their recommendations. In addition, ANSES recommended further investigations of *in vivo* genotoxicity. EFSA considered that this recommendation should be revisited once the work on the physico-chemical characterisation of the food additive titanium dioxide (E 171) is completed.

On the 7 August 2018, the European Commission requested EFSA to assess new data provided by interested food business operators in response to the call for data published as a follow-up of the re-evaluation of titanium dioxide (E 171), and addressing the uncertainties identified with respect to the characterisation of this food additive, including its particle size and particle size distribution. This led to the publication on 12 July 2019 of a scientific opinion on the proposed amendment of the specifications of titanium dioxide (E 171) with respect to the inclusion of the additional parameters related to its particle size distribution. In that opinion EFSA indicated that the conclusions made, and the uncertainties identified, in the previous EFSA assessment of the food additive titanium dioxide (E 171) remain valid. Moreover, EFSA indicated that the characterisation of titanium dioxide (E 171) does not provide a reason to revise the conclusion on the genotoxicity of titanium dioxide (E 171) drawn by EFSA in the previous opinions on titanium dioxide (E 171). Nevertheless, EFSA concluded that based on the proposed change in the specifications, the toxicological database on titanium dioxide (E 171) as a food additive should be revisited in line with the data requirements specified in the 2018 EFSA "Guidance on risk assessment of the application of nanoscience and nanotechnologies in the food and feed chain". Based on this latest EFSA opinion, the specifications for the food additive titanium dioxide (E 171) in Commission Regulation (EU) No 231/2012 will be updated.

The legislation on food additives envisage that food additives should be kept under continuous observation and re-evaluation whenever necessary in the light of new scientific information. Therefore, it is appropriate to ask EFSA to reassess the safety of the food additive titanium dioxide (E 171) taking into account all new relevant data available to EFSA since the completion of the re-evaluation of titanium dioxide (E 171) as a food additive by EFSA in 2016, including the new EOGRT study recommended by EFSA. The new assessment should take into account the relevance of the data in line with the data requirements specified in the 2018 EFSA "Guidance on risk assessment of the application of nanoscience and nanotechnologies in the food and feed chain".

### 1.1.2. Terms of Reference

In accordance with Article 29(1)(a) of Regulation (EC) No 178/2012, the European Commission requests the European Food Safety Authority (EFSA) to provide an updated scientific opinion as regards the safety of the food additive titanium dioxide (E 171).

In particular, EFSA is requested to reassess the safety of food additive titanium dioxide (E 171) taking into account all new relevant data available to EFSA since the completion of its re-evaluation of titanium dioxide (E 171) as a food additive in 2016. These included the data generated by a consortium of interested business operators in response to the follow-up call lunched by the European Commission further to the re-evaluation of the food additive completed by EFSA in the context of Regulation (EC) No 257/2010, once available, as well as any new data retrieved from the published literature and considered to be in line with the data requirements specified in the 2018 EFSA "Guidance on risk assessment of the application of nanoscience and nanotechnologies in the food and feed chain".

## 2. Data and methodologies

### 2.1. Data

The present evaluation is based on the following data:

- Information from publications retrieved in the literature search (see Section 2.2).
- Data submitted in response to the call for data from European Commission as follow-up of the re-evaluation of E 171 (Documentation provided to EFSA No 1, 2, 3, 4, 5 and 6).
- Toxicokinetic and genotoxicity studies considered in the re-evaluation of titanium dioxide (E 171) (EFSA ANS Panel, 2016).
- Exposure data available in the re-evaluation 2016 and additional relevant information that came available since then (see Section 4.4).



- *In vitro* and *in vivo* studies reported in the OECD dossier (2016) and submitted to EFSA (Documentation provided to EFSA No 7, 8, 9 and 10).
- *In vitro* genotoxicity studies submitted to EFSA (Documentation provided to EFSA No 14 and 15).
- Food consumption data used to estimate the dietary exposure to titanium dioxide (E 171) were derived from the EFSA Comprehensive European Food Consumption Database (Comprehensive Database<sup>1</sup>). Dietary data from the UK were included in the EFSA Comprehensive European Food Consumption Database for the period in which UK was a member of the European Union.
- The Mintel's Global New Products Database (GNPD) was used to verify the use of titanium dioxide (E 171) in food and beverage products and food supplements within the EU's food market. The Mintel's GNPD is an online database that contains the compulsory ingredient information present on the label of numerous products.

## 2.2. Methodologies

The assessment was conducted in line with the principles described in the EFSA Guidance on transparency in the scientific aspects of risk assessment (EFSA Scientific Committee, 2009) and following the relevant existing Guidance from the EFSA Scientific Committee, in particular the Guidance on risk assessment of the application of nanoscience and nanotechnologies in the food and feed chain: Part 1, human and animal health (EFSA Scientific Committee, 2018a).

A literature search was performed following the approach described in Appendix A. Information on the criteria for inclusion and exclusion of publications based on information from the abstract and title, and kind of material used in the study is available in Appendix B.

Toxicokinetic and toxicity studies considered 'included' according to Appendix B were assessed for their relevance and reliability taking into account the criteria described in Appendix C.

Genotoxicity studies considered 'included' according to Appendix B were assessed taking into account the criteria described in Appendix D.

Nanoscale considerations for the assessment of the study design and study results in toxicity studies classified with reliability 1 and 2 (see Section 4.1), and genotoxicity studies (see Section 4.3), were assessed according to criteria described in Appendix E.

Dietary exposure to E 171 from its use as a food additive was estimated combining the food consumption data available within the Comprehensive Database with reported use levels submitted to EFSA (EFSA ANS Panel, 2016) and information extracted from a report of the Netherlands National Institute for Public Health and the Environment (RIVM) (Sprong et al., 2015). The exposure was estimated according to different exposure scenarios (EFSA ANS Panel, 2017). Uncertainties in the exposure assessment were identified and discussed (Section 4.4.3).

After receiving the mandate from the European Commission, the support from the cross-cutting Working Group (ccWG) on Genotoxicity to review the evidence and conclude for the genotoxicity of E 171 was requested. Accordingly, the assessment of the data (referred to in Section 4.3) has been conducted independently by the ccWG Genotoxicity and submitted to the Panel for its consideration and decision.

## 3. Background information and previous evaluations

Titanium dioxide (E 171) is authorised as a food additive in the EU according to Annex II of Regulation (EC) No 1333/2008 and specifications have been defined in Commission Regulation (EU) No 231/2012<sup>2</sup>

In June 2016, the EFSA Panel on Food Additives and Nutrient sources added to Food (ANS Panel) completed the re-evaluation of the safety of the food additive E 171, performed under the frame of Regulation (EC) No 257/2010 (EFSA ANS Panel, 2016).

In that opinion, the ANS Panel had concluded that the food additive did not raise concerns with respect to genotoxicity and carcinogenicity but the ANS Panel was unable to establish a health-based guidance value (HBGV) because of certain deficiencies identified in the available toxicological data set, in particular with respect to the investigation of potential reproductive toxicity. Another important source of uncertainty identified during the re-evaluation concerned the characterisation of the material used as the food additive E 171.

<sup>1</sup> Available online: <https://www.efsa.europa.eu/en/food-consumption/comprehensive-database>

<sup>2</sup> Commission Regulation (EU) No 231/2012 of 9 March 2012 laying down specifications for food additives listed in Annexes II and III to Regulation (EC) no 1333/2008 of the European Parliament and of the Council. OJ L 83, 22.3.2012, p. 1.

The European Commission followed up on the recommendations issued by the ANS Panel and, in January 2017, published a call for data addressed to interested business operators and requesting their commitment to provide the data requested to reduce the uncertainties underpinning the conclusions of the ANS Panel opinion. These included data on the characterisation of the material and the performance of a new EOGRT study in rodents, to be conducted in accordance with the latest OECD Guidance applicable and with test material representative of the food additive on the EU market.

In January 2017, the publication of a study by Bettini et al. (2017) raised some concerns on the potential tumour promoting effect of dietary intake of E 171, which led to an opinion of the ANSES in April 2017 on the dietary exposure to nanoparticles of titanium dioxide (ANSES, 2017). In that opinion, the ANSES concluded that the data available did not put the 2016 EFSA assessment in question.

A subsequent scientific opinion was issued by the ANS Panel in June 2018, to address a request from the European Commission for the evaluation of four publications – among them, Bettini et al. (2017) previously considered by ANSES – raising concerns on the safety of E 171. Having reviewed these publications, the ANS Panel maintained the conclusions reached in 2016 but recommended the inclusion of biomarkers for putative pre-cancerous lesions in the colon to be included in the ongoing EOGRT study as additional parameters to be investigated (EFSA ANS Panel, 2018).

In July 2018, the safety assessment of food additives was handed over from the EFSA ANS Panel to the newly constituted EFSA Food Additives and Flavourings (FAF) Panel.

In July 2018, the EFSA Scientific Committee published a Guidance on risk assessment of the application of nanoscience and nanotechnologies in the food and feed chain: Part 1, human and animal health (EFSA Scientific Committee, 2018a) updating the 2011 Guidance Document on nanomaterials (EFSA Scientific Committee, 2011a), and clarifying that conventional materials containing a fraction of nanoparticles require specific risk assessment considerations, which were detailed in the document.

While the follow-up activities for the generation of new data were ongoing, in April 2019, the French Government decided to take risk management action introducing a ban on foods containing the food additive E 171. The French decree, that entered into force on 1 January 2020, was based by the application of the precautionary principle to the latest advice issued by ANSES (2019). The ban on foods containing the food additive E 171 in France has been reconfirmed for the current year, pending the finalisation of the present assessment by EFSA.<sup>3</sup>

As a follow-up to the re-evaluation of E 171 completed by the ANS Panel, in 2018, the European Commission requested EFSA to assess a proposal for an amendment of the EU specifications for the food additive E 171 based on the data on particle size and particle size distribution that had been provided by the interested business operators in response to the first part of the European Commission call for data. The related scientific opinion was adopted by the FAF Panel in June 2019 and published shortly afterward (EFSA FAF Panel, 2019). The FAF Panel, while recommending the inclusion of additional parameters related to the particle size distribution in the EU specifications for E 171, also concluded that the toxicological database should be revisited in line with the data requirements specified in the 2018 EFSA 'Guidance on risk assessment of the application of nanoscience and nanotechnologies in the food and feed chain' (EFSA Scientific Committee, 2018a). Scope of this guidance document is not only engineered nanomaterials but also those materials containing a fraction of particles that is less than 50% in the number-size distribution, with one or more external dimensions in the size range 1–100 nm, a definition which could be applicable to the case of the food additive titanium dioxide (E 171).

Based on the recommendations from the 2019 scientific opinion of the FAF Panel, the European Commission made a proposal for amending the definition and specifications of E 171, introducing limits with respect to the particle size and particle size distribution in the food additive in Regulation (EU) No 231/2012. In October 2020, the European Parliament called on the Commission to withdraw its draft regulation, to apply the precautionary principle and to remove E 171 from the list of food additives authorised by the Union.<sup>4</sup>

In 2019, the Office for Risk Assessment and Research of the Netherlands Food and Consumer Product Safety Authority (NVWA) delivered an opinion on possible health effects of the food additive E 171 (NVWA, 2019). The opinion concluded that studies conducted since 2016 in mice and rats provide an

<sup>3</sup> Arrêté du 21 décembre 2020 portant suspension de la mise sur le marché des denrées contenant l'additif E 171 (dioxyde de titane - TiO<sub>2</sub>) - Légifrance (legifrance.gouv.fr).

<sup>4</sup> 2020/2795(RPS) – 8/10/2020 – Objection pursuant to Rule 112(2) and (3) and (4)(c): Specifications for titanium dioxide (E 171) (europa.eu).

indication of tumour promotion by E 171 in the intestinal tract but should be considered 'exploratory' since they were not conducted in accordance with OECD guidelines. With regard to the EOGRT study (ongoing at the time), the opinion concluded that an examination of immunotoxicological effects was important given recent studies, in addition to potential reprotoxicological effects. The opinion also concluded that an examination for potential promotion of colon cancer by E 171 should be examined but considered it doubtful whether the performance of an EOGRT study or chronic exposure test would be suitable test system. In addition to this, further research in humans was considered required to establish any relevance of experimental findings to man.

In parallel to the re-evaluation of titanium dioxide as a food additive, the EFSA FEEDAP Panel was also evaluating the safety of titanium dioxide in feed for all animal species. This assessment has been put on hold, awaiting submission of the data requested as a follow-up of the re-evaluation of the food additive.<sup>5</sup>

The substance evaluation for titanium dioxide under REACH was started in 2018. The Competent Authority of France (the evaluating Member State Competent Authority) was appointed to carry out the evaluation. Currently the decision-making is under the registrants' comment review period.

The European Chemicals Agency (ECHA) Committee for Risk Assessment (RAC) concluded in its scientific opinion of 14 September 2017 that titanium dioxide met the criteria in Regulation (EC) No 1272/2008 for classification as a carcinogen in category 2 by inhalation (ECHA, 2017). The adopted harmonised classification and labelling was later included in an amendment of Regulation (EC) No 1272/2008 as indicated in Commission Delegated Regulation (EU) 2020/217.<sup>6</sup> The new entry in Annex VI to Regulation (EC) No 1272/2008 applies to titanium dioxide in powder form containing 1% or more of particles with aerodynamic diameter  $\leq 10 \mu\text{m}$ .

Titanium dioxide is widely used as an excipient in medicinal products, mainly as a colour/opacifier in oral and cutaneous dosage forms. Titanium dioxide for use in medicinal products needs to meet the requirements defined in the European Pharmacopoeia. Colouring matter should comply with the requirements of European Union Directive 2001/83/EC.<sup>7</sup> Current EU legislation laying down specific purity criteria concerning colours for use in foodstuffs (Commission Regulation (EU) No 231/2012) also applies to medicinal products (as detailed in Directive 2009/35/EC<sup>8</sup>).

## 4. Assessment

Relevant studies with (i) the food additive titanium dioxide (E 171), (ii) titanium dioxide – other than E 171 – containing a fraction of particles  $< 100 \text{ nm}$  ( $\text{TiO}_2$  (X% nano))<sup>9</sup> or (iii) nano titanium dioxide ( $\text{TiO}_2$  NPs) have been evaluated when considered reliable, i.e. scoring 1 or 2 according to the approach described in Appendix C for toxicity or the approach described in Appendix D for genotoxicity. Unless otherwise indicated by the Panel (Appendices G, H), the constituent particles of these materials investigated in the assessed studies had a nearly spherical shape and the purity of the material was considered acceptable. The constituent particle size is indicated in the summaries below (Appendices G, H, J, K, L, M, N, O, P), followed by the analytical technique in parenthesis.

The characterisation of E 171 was previously evaluated by the Panel and it was concluded that, according to data received from interested business operators, less than 50% of constituent particles in E 171 have a minimum external dimension below 100 nm by number (EFSA FAF Panel, 2019)

Information on the physico-chemical characteristics of the representative test materials considered relevant for the assessment of E 171, e.g. those from the JRC repository (NM-100, NM-102 and NM-105) is available in the JRC report (Rasmussen et al., 2014) and in specific publications (Taurozzi and Hackley, 2012). As opposed to commercially manufactured  $\text{TiO}_2$  materials such as 'P25' (AEROXIDE<sup>®</sup>  $\text{TiO}_2$  P 25) that are also applied as intralaboratory standards (Taurozzi and Hackley, 2012), NM-100 and NM-102 originate from single batches of commercially manufactured  $\text{TiO}_2$  materials and have been demonstrated to be sufficiently homogeneous and stable to be used as

<sup>5</sup> EFSA-Q-2010-01522.

<sup>6</sup> Commission Delegated Regulation (EU) 2020/217 of 4 October 2019 amending, for the purposes of its adaptation to technical and scientific progress, Regulation (EC) No 1272/2008 of the European Parliament and of the Council on classification, labelling and packaging of substances and mixtures and correcting that Regulation. C/2019/7227. OJ L 44, 18.2.2020, p. 1–14. ELI: [http://data.europa.eu/eli/reg\\_del/2020/217/oj](http://data.europa.eu/eli/reg_del/2020/217/oj)

<sup>7</sup> Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use. OJ L 311, 28.11.2001, p. 67–128.

<sup>8</sup> Directive 2009/35/EC of the European Parliament and of the Council of 23 April 2009 on the colouring matters which may be added to medicinal products. OJ L 109, 30.4.2009, p. 10–13.

<sup>9</sup> Where X refers to the percentage of particles  $< 100 \text{ nm}$  as described in the publication.

reference materials in chemical analysis and toxicological testing. The Panel noted that NM-105 is produced from a batch of the P25 material.

The Panel considered that studies performed with TiO<sub>2</sub> NPs that predominantly consist of particles smaller than 30 nm (e.g. P25) are of limited relevance to the safety assessment of E 171, since in samples of E 171 and in E 171 extracted from foods the percentage by number of particles below 30 nm is in the order of 1% or less (Verleysen et al., 2020, 2021; Geiss et al., 2021; Appendix W). However, data from toxicity studies performed with TiO<sub>2</sub> < 30 nm have been considered for completeness of the database and may be relevant with respect to whether a minimum limit for particle size should be included in the EU specifications for E 171.

In several studies described in the open literature, there was an inadequate description of the test material (size or crystalline form is not reported). In all cases, EFSA requested additional information about the test material by contacting the corresponding authors reported in the publications. No responses were received.

#### 4.1. Toxicokinetic and toxicity studies from the literature search (January 2015–November 2020)

More than 11,000 publications retrieved according to the literature search (Appendix A) were screened based on the criteria agreed in advance (Appendix B). After the first screening based on the description of title and abstract of the publication, around 200 *in vivo* studies and 300 *in vitro* studies were identified as potentially relevant for the current assessment.

Information on the assessment of *in vitro* and *in vivo* genotoxicity studies is provided in Appendix D.

For the current toxicokinetic and toxicological assessment, it was decided to focus on *in vivo* studies (Appendix F). The relevance of the *in vivo* toxicity studies for the different key areas of concern (Table 1) was assessed following the approach described in Appendix C. Around 30 studies were considered relevant for hazard characterisation and 33 additional studies were considered only relevant for providing supporting evidence (Table 1).

In a second step, an assessment of the reliability of the relevant publications took place according to the criteria described in Appendix C. Publications were classified from 1 to 4 and only those publications considered sufficiently reliable with respect to their internal validity, i.e. the extent to which the design and conduct of a study are likely to have prevented bias (reliability 1 and 2) were further examined for the safety assessment of E 171 (Appendix H).

**Table 1:** Summary of the number of *in vivo* toxicity studies evaluated for their relevance and reliability

	Studies assessed for their relevance	Studies considered relevant	Studies considered with reliability 1 and 2	
			Relevant for hazard characterisation	Relevant for providing supporting evidence
Studies examining toxicity effect in the liver, spleen and pancreas	56	32	3	3
Studies examining toxicity effect in heart and kidney	27	10	3	2
Studies examining other organs	37	14	5	3
Reproductive and developmental toxicity studies	39	29	4	3
Neurotoxicity and developmental neurotoxicity studies	24	17	6	6
Inflammation and immunotoxicity studies	38	30	9	8
Gut microbiota	16	13		8

#### 4.1.1. Toxicokinetic studies

The toxicokinetics of TiO<sub>2</sub> was reviewed by the ANS Panel in the 2016 opinion on the re-evaluation of E 171 (EFSA ANS Panel, 2016). According to the ANS Panel, the absorption of orally administered TiO<sub>2</sub> is low. Its oral systemic availability (measured either as particles or as titanium) is estimated to be 0.02–0.1%, and the vast majority being eliminated unchanged in the faeces. The ANS Panel had noted that the small amount of orally ingested TiO<sub>2</sub> appeared to be absorbed by Peyer's patches, a group of cells in the gut-associated lymphoid tissue (GALT). It is subsequently distributed to various organs (by order of decreasing concentration: mesenteric lymph nodes, liver, spleen, kidney, lungs, heart and reproductive organs), from which the material disappears with variable half-lives. The ANS Panel noted the potential for tissue accumulation based on the slow elimination of titanium from tissues after intravenous administration with calculated half-lives ranging between 28 and 650 days in different organs (EFSA ANS Panel, 2016). Interpretation of these findings was, however, complicated by the extent of the variability in the background levels of Ti in animals and humans which also prevented the accurate determination of kinetic parameters such as the elimination half-life.

The focus of the current updated assessment was to gather from the newly available evidence, any relevant information that could be used to refine the risk assessment and reduce the uncertainties identified by the ANS Panel in its earlier evaluation. In particular, the Panel examined whether new data from the published literature could provide better estimates of the oral systemic availability, the distribution in tissues and the elimination half-life of TiO<sub>2</sub> after oral administration.

The estimate of the oral systemic availability of TiO<sub>2</sub> was updated by multiplying the reported concentration with the respective organ or tissue weights. Subsequently, the sum of the calculated amounts in the different organs was compared to the dose applied to estimate the percentage absorbed. Data were extracted only from those publications in which the analytical method used for the measurement of internal exposure was evaluated as reliable or reliable with some limitations (see Appendix C). In addition, two references from the previous ANS Panel opinion were also re-examined because their results could contribute to a quantitative estimate of absorption (Geraets et al., 2014; Tassinari et al., 2014).

The issue of the variability in the environmental, dietary and tissue background levels of Ti in the studies, already flagged by the ANS Panel in its previous opinion, remains one of the main critical aspects to be taken into consideration when evaluating the toxicokinetics of TiO<sub>2</sub>. Challenges in the analytical determination of low concentrations of Ti in tissues, primarily related to detection limits, contamination issues and spectral interferences in inductively coupled plasma mass spectrometry (ICP-MS) determination, further complicates obtaining accurate and reliable tissue concentrations and toxicokinetic data.

Further information on the description of the test materials, scoring for nanoscale considerations (NSC) and internal exposure for the following studies is reported in Appendix G.

#### Studies on E 171

##### *Mice*

One of the aims of the study of Talamini et al. (2019) was to investigate whether repeated administration of E 171 to mice would result in accumulation in tissues. Mice (n = 4) were administered 5 mg E 171/kg body weight (bw) per day, 3 days per week, for 3 weeks (nine treatments in 21 days, providing an average daily dose of 2 mg E 171/kg bw). On day 21, the animals were sacrificed and Ti concentration was measured by triple quadrupole ICP-MS in tissues from four mice.

Total Ti<sup>10</sup> concentrations in the stomach, small intestine, large intestine and liver were one order of magnitude greater than those in the other organs. Differences in Ti concentrations in lungs, spleen, stomach and small intestine of treated animals compared to controls were not statistically significant. The Ti concentration in liver (0.94 ± 0.57 µg Ti/g tissue) and in the large intestine (1.07 ± 0.38 µg Ti/g tissue) was significantly higher in the treated animals than in the controls (ca. 0.2 µg Ti/g tissue, liver, and 0.6 µg/g tissue, large intestine). Ti concentrations in brain, kidney and testis were below the limit of quantification (LOQ) of 0.03 µg Ti/g tissue.

<sup>10</sup> Total Ti corresponds to the analytical determination of the Ti element from all sources after digestion of tissues and application of appropriate analytical techniques and may be used as a proxy estimate for TiO<sub>2</sub> mass concentration. In the current opinion, the term titanium (Ti) is used to indicate total Ti.



The Panel adopted a conservative approach and considered that all colon-associated Ti was absorbed. On this basis, the Panel calculated that 0.1% of the total dose of TiO<sub>2</sub> was absorbed in the Talamini et al. (2019) study.<sup>11</sup>

In the study of Coméra et al. (2020) in C57BL/6 mice, the processes associated with the absorption of TiO<sub>2</sub> in the gastrointestinal tract (GIT) were investigated. In the first series of experiments, mice were given a single dose of a suspension of E 171 (40 mg/kg) in water by gavage, while control mice received water. Segments of the jejunum, ileum and colon were prepared 2, 4, 8 and 24 h after administration following intraluminal content recovery through gentle scraping. Particle diameters in tissues were measured by transmission electron microscopy (TEM). The existence of particles in gut tissue and blood was analysed by laser-reflective confocal microscopy. ICP-MS analysis was performed to measure Ti concentration. In the second series of experiments, performed under anaesthesia, a closed mid-jejunal loop of 10 cm was pretreated with inhibitors of tight junctions, micropinocytosis, clathrin-mediated endocytosis or raft-dependent endocytosis. Thereafter, the loops were filled with sonicated E 171 (300 µg/mL) in buffer or buffer as control, followed by incubation for 30 min. Confocal microscopic evaluation was performed on cryosectioned tissue slices to detect TiO<sub>2</sub> particles by light reflection. The same detection method was applied to examine the sizes of particles present in the E 171 water suspension prepared for the gavage, in the gastrointestinal (GI) luminal contents and in blood. In mice having received 40 mg E 171/kg, increases in reflective particle content was observed in the ileal and jejunal villi and colon crypts. In jejunal and ileal villi, maximal reflective particle content occurred 4 h after administration and returned to basal values at 8 h. In the colon, a small non-significant increase in reflective particle content was observed at 4 h with normalisation at 8 h. The presence of Ti and O in particles was confirmed in jejunal goblet cells and enterocytes by transmission electron microscopy energy-dispersive X-ray spectroscopy (TEM-EDX). In the Peyer's patches, a statistically significant increase in laser-reflecting particles was found only at 8 h and not at 4 h. In blood, the number of particles significantly increased by 3.5- and 4.1-fold at 4 and 8 h, respectively. In blood, the Ti concentrations remained below the limit of detection (LOD < 0.02 ng Ti/kg) at all time points. From the content in the intestines and the weight of the mice tissues, the authors calculated that approximately 0.007% of the Ti administered was present in the entire intestine at the 4 h time point. The authors concluded that the TiO<sub>2</sub> was absorbed primarily/predominantly in the ileum, partly in jejunum with a small amount absorbed in the colon. The authors considered – based on surface area considerations – that TiO<sub>2</sub> is primarily/predominantly absorbed in the area of the small intestinal villi and to a less extent, through Peyer's patches. From the *ex vivo* experiments, inhibiting the paracellular pathway reduced the absorption of TiO<sub>2</sub>. Blockers of transepithelial passage did not influence the absorption of TiO<sub>2</sub> to a significant extent. As the absorption was not totally blocked by paracellular pathway inhibitors, the authors concluded that besides a paracellular pathway, endocytosis could also be involved in the transport of TiO<sub>2</sub> from the intestinal lumen to blood.

The Panel noted that TiO<sub>2</sub> can be taken up from the small intestine by the paracellular pathway and by endocytosis. Specialised cells in the Peyer's patches may also play a role in the uptake. The authors indicated that 0.007% of the total TiO<sub>2</sub> dose at 4 h is present in the intestine. The Panel noted high agglomeration of TiO<sub>2</sub> in the exposure medium.

In the study of Riedle et al. (2020), primarily aimed at studying effects of E 171 on immune cells in Peyer's patches, C57BL/6 mice at 6 weeks of age were randomly exposed to 0 and ≈ 1, 10 and 100 mg E 171/kg bw per day for 6, 12 and 18 weeks. After 18 weeks, the presence of particles in the basal regions of Peyer's patches was examined via confocal microscopy and *scanning electron microscopy* (SEM) with EDX analysis. According to the authors, GI harvest and the method used to prepare tissue sections took care to avoid inadvertent contamination. Reflectance confocal microscopy was employed to examine for the presence of E 171 in Peyer's patches. Subsurface particles were identified by reflectance confocal microscopy which were rich in Ti based on SEM/EDX analyses. The lowest and mid-dose groups (1 and 10 mg E 171/kg bw per day) showed very weak signals from impacted cells at the base of the Peyer's patch, whereas higher signals were observed in the highest dose group (100 mg E 171/kg bw per day). No quantitative information was given by the authors.

The Panel noted the uptake of TiO<sub>2</sub> particles into cells in the Peyer's patches after 18-week exposure to E 171.

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<sup>11</sup> The content of Ti in the liver and the large intestine was estimated by multiplying the measured concentrations with the organ weights (Ronald et al., 1997). To obtain the percentage of the dose, the sum of both contents was related to the dose applied.

## Human

A study on the oral systemic availability of E 171 was performed by Pele et al. (2015) in eight volunteers (seven completed the study). Participants in the study ingested two capsules each containing 50 mg of E 171 with 250 ml water. Blood samples were taken at 0, 30 min and 1, 1.5, 2, 3, 6, 8 and 10 h after ingestion. Tea and coffee with milk and/or sugar were allowed from 2 h after ingestion. Blood drops were spread on a glass slide and protected from drying. Particles were detected by light microscopy with a 100-fold and then 400-fold magnification using a dark field condenser. This method could only be carried out in the samples of five out of the seven subjects due to blood clotting in the samples from two of the subjects. Reflectance grade (0, 1, 2 and 3) was used as a semiquantitative measure of the number of TiO<sub>2</sub> particles. Total Ti concentration was measured in blood via isotope dilution analysis by high resolution (HR)-ICP-MS. Significant increases in positive signals by dark field microscopy were observed in blood films from 2 h onwards, with a peak at 6 h. Blood Ti concentrations were not statistically significantly different from the baseline value up to 3 h. The highest blood concentration of Ti determined in any participant was approximately 11 ng/mL (at 6 h). Thereafter the blood Ti-concentration declined to about 5 ng/mL at 10 h after administration. Reflectance grades, indicating the number of particles in blood, correlated with the levels of Ti measured by HR-ICP-MS. The authors considered that two routes of particle uptake appear to exist in the human gut, one proximal (in the duodenum/jejunum) and one distal (Peyer's patch uptake in the ileum).

The Panel concluded that after oral administration of 100 mg E 171 in human volunteers, blood Ti concentration and the number of particles increased, demonstrating oral systemic availability of TiO<sub>2</sub>.

The study of Guillard et al. (2020) consisted of two parts. In the first part, Ti and TiO<sub>2</sub> particle concentrations were measured in placentas collected from 22 women given birth at term without complications. Ti and TiO<sub>2</sub> particle concentrations were also measured in 18 meconium samples of newborn infants. The placentas and the meconium samples used in this study were not related. Ti concentrations were measured by ICP-MS and the morphology, size and chemical characterisation of the particles identified in the placental tissue sections and in the meconium samples were prepared for electron microscopy. Ti concentrations in the placentas ranged between 0.01 and 0.48 mg/kg, the median being 0.05 mg/kg. In only 9 of the 18 meconium samples, Ti concentrations were above the LOQ (0.01 mg/kg). The median concentration was 0.25 mg/kg. Scanning transmission electron microscopy coupled to energy dispersive X-ray (STEM-EDX) analysis in two placentae and two meconium samples confirmed the presence of TiO<sub>2</sub> particles. The Panel noted that the meconium samples were collected within the first few days after birth.

In the second part of the study, a well-established *ex vivo* human placenta perfusion model was used to quantify the transplacental transfer of TiO<sub>2</sub> across the placenta. Integrity and functionality of the placental membranes were confirmed by antipyrine testing. The perfusion medium of a cotyledon contained either 15 µg E 171/mL (n = 13) or E 171 (n = 2) controls, with confirmed dispersion of the TiO<sub>2</sub> particle. In two of the placentae, particles were identified and their size measured; 53% of the 34 particles sizes measured were above 100 nm and 47% below. Using confocal microscopy for particle visualisation in the fetal effluent and SEM-EDX to ascertain the chemical nature of detected particles, it was shown that the number of particles in the fetal exudate increased for the first 40 min of the 1-h placental perfusion. The number of particles (depicted as mean ± SEM of four to six independent experiments) was between 6 and 8 per microscopic field.

From these studies, the Panel considered that Ti is found in the placenta in low concentrations, indicating that TiO<sub>2</sub> is systemically available, is distributed to the placenta and is capable of crossing placental membranes. The Panel estimated the amount of Ti in the placenta.<sup>12</sup> The resulting total median amount of Ti in the placenta was 35.75 µg (19.5–84.5 µg, 25–75 percentiles).

From the *ex vivo* study, the Panel noted that the extent of TiO<sub>2</sub> transfer is small and not measurable within the short experimental period, in conformity with the *in vivo* findings. However, particles were present in the fetal exudate and 53% of the 34 particles had a size above 100 nm and 47% below. The process by which TiO<sub>2</sub> particles enter the meconium was not considered by the authors. Given the fact that the meconium collection period was up to 48 h after birth and that in 50% of the meconium samples, no Ti was measurable and no particles identified, the Panel considered that some of the infants would have been nursed before the meconium was collected. The Panel noted that

<sup>12</sup> The content of Ti in placenta was estimated by multiplying the measured concentrations with the organ weight of 650 g (Valentin, 2002).

the authors did not control for the possibility that TiO<sub>2</sub> particles could have come from the diapers used to collect the meconium or from other sources, e.g. mothers' milk.

## Studies with TiO<sub>2</sub> NPs or TiO<sub>2</sub> other than E 171

### **Rat – Intravenous administration**

In the study of Disdier et al. (2015), male Fisher rats were injected with a TiO<sub>2</sub> NPs suspension (1 mg/kg), controls received saline buffer. From six controls and six treated animals, samples were taken at 30 min, 1, 2, 6 and 24 h, and 7, 28, 90 and 356 days following injection encompassing blood, liver, brain, spleen, kidney and lungs. Additional blood and brain samples were obtained at 5 and 15 min following injection. Ti concentrations in the samples were determined by ICP-MS. In blood cells and plasma, the Ti concentration in the treated and control groups did not differ at any time point. In the brain, the Ti concentrations were higher than in the control in the first 24 h. From the next sampling time on (7 days), no difference in brain Ti concentrations existed between controls and treated animals. The amount of Ti in the brain was very low. In kidneys, the Ti concentration was several-fold higher than in the control in the first 24 h. From 7 days on, the next sampling time, no difference existed between controls and treated animals. In spleen, liver and lung, the Ti concentrations declined over time, with the concentrations of Ti being higher in the treated group than in the control animals at 356 days after injection. The authors estimated that at 6 h about 44% of the total dose was in the liver, 10% in the lungs and 2% in the spleen.

The Panel estimated the half-lives and the accumulation factors (R).<sup>13</sup> The estimate for the half-life was 83 days for the liver and lung and 350 days for the spleen. The Panel considered these estimates have high level of uncertainty. However, for the liver, the Panel calculated an accumulation factor of 134.7. The steady state for Ti/TiO<sub>2</sub> NPs in the liver would be reached after approximately 1.5 years. These figures are roughly the same for the lungs. For the spleen, the accumulation factor would be 350. The steady state would be reached after 5 half-lives which would be roughly 5 years.

The Panel noted that this study identifies a potential for TiO<sub>2</sub> NP accumulation in the liver, lung and spleen.

Kreyling et al. (2017a) investigated the fate of an intravenously administered aqueous [<sup>48</sup>V]TiO<sub>2</sub> nanoparticle suspension, primary particle size 7–10 nm, particle size in water/tissue 88 nm in female Wistar-Kyoto rats. This preparation was obtained by irradiation of TiO<sub>2</sub> NPs with a proton beam current of 5 μA. The radioactive product (1.0 MBq/mg (<sup>48</sup>V-activity per TiO<sub>2</sub> mass)) was used for the experiments lasting up to 24 h, whereas the product after irradiation for 5 days with a higher activity concentration of 2.35 MBq/mg was used for the experiment of 7 days and 28 days duration. At 1 h, 4 h, 24 h, 7 days and 28 days, four rats per time point were euthanised by exsanguination under anaesthesia. Blood, all organs, tissues and excreta were collected and <sup>48</sup>V-radioactivities were measured. The authors reported the nanoparticle quantities as percentages of the total intravenously injected <sup>48</sup>V radioactivity, which was calculated as the sum of all measured radioactivities, including faecal and urinary samples, corrected for background and radioactive decay during the experiments (<sup>48</sup>V-half-life: 16.0 days). The percentage was the average over the group of four rats per time point. The detection limit was 0.2 Bq. The results of a separate intravenous study performed to investigate the absorption and biodistribution of soluble ionic <sup>48</sup>V were used to correct <sup>48</sup>V release from [<sup>48</sup>V]TiO<sub>2</sub> nanoparticle. At 4 h following injection, 99.5% of the radioactive dose was found in the liver and at 28 days 88.9% of the dose was found in the liver. The spleen and the kidneys contained a few per cent of the dose (spleen between 2.5% and 4%, and kidneys between 0.05% and 0.2%). All other tissues had lower contents. The bones (including the marrow) and the remaining tissues contained 1% and 0.7%. <sup>48</sup>V was excreted in urine, within 28 days the excretion amounted to roughly 1%, the highest amount being excreted on day 1. Excretion by the faeces, indicative of biliary excretion, amounted to 3% over 28 days.

The Panel did not estimate the half-life of the [<sup>48</sup>V]TiO<sub>2</sub> as the last measurement was at day 28 after administration when the decline in radioactivity was only 10% and the extrapolation would have a high degree of uncertainty. However, the data indicate that the half-life is long and likely of the order of months rather than weeks.

The study by Geraets et al. (2014) has already been described in the EFSA opinion on the re-evaluation of E 171 published in 2016. In the current opinion, the results of an analysis of the data

<sup>13</sup> The authors did not give numbers for the tissue concentration but depicted their results in a figure with bars from which a rough estimate could be made at which time the concentration was 50% of the concentration after the end of distribution (24 h). Accumulation was estimated by using the textbook formula  $R = 1/1 - e^{-ke \times t}$  with  $ke = \ln 2/\text{half-life}$  with the assumption that the same dose would be applied every day.

with respect to an estimate of the whole body half-life and the resulting accumulation factor are presented. Geraets et al. (2014) investigated the fate of four TiO<sub>2</sub> nanomaterials after single and repeated (five times on consecutive days) intravenous administration. In the context of this evaluation, only NM-100 and NM-102 were considered of relevance for the assessment of E 171. The authors had reported half-lives for several organs they had investigated (not for the whole body) and they reported on the body recovery. Recovery of Ti was measured 24 h after the last dosing, on day 2 (single administration) and 6 (repeated administration), respectively, and for both dosing schedules on day 90 by summing up the contents of all organs investigated.

From these data, the Panel estimated a half-life for TiO<sub>2</sub> NPs for the whole body of roughly 200 and 450 days, with an accumulation factor of 290 and 450, for NM-100 and NM-102, respectively, and the time to steady state as 3 and 5 years, for NM-100 and NM-102, respectively.

### **Rats – Oral administration**

The study by Tassinari et al. (2014) has already been described in the EFSA opinion on the re-evaluation of E 171 published in 2016 (EFSA ANS Panel, 2016). In the current opinion, the analysis of the data with respect to an estimate of absorption is presented. Three groups of Sprague–Dawley rats ( $n = 14$  per dose group per sex) were dosed by gavage with TiO<sub>2</sub> NPs 1 mg/kg bw per day or 2 mg/kg bw per day or with vehicle for 5 days. On day 6 (24 h after the last treatment), blood samples were taken under anaesthesia and organs were excised after sacrifice. Total Ti concentration was determined in tissues (uterus, ovary, testes, thyroid and adrenals) by inductively coupled plasma dynamic reaction cell mass spectrometry (ICP-DRC-MS), TiO<sub>2</sub> NPs by SEM-EDX in spleen slices and TiO<sub>2</sub> NPs by single particle inductively coupled plasma mass spectrometry (spICP-MS) in spleen homogenates. The limit of detection of ICP-DRC-MS for Ti determination in tissues was 0.009 µg/g. The Ti concentrations in the tissues investigated were not different from the controls with the exception of spleen and ovary in the 2 mg/kg bw dose group. Ti concentrations equal to  $0.046 \pm 0.008$  µg/g (2 mg/kg bw per day) vs.  $0.036 \pm 0.009$  µg/g (control) and  $0.28 \pm 0.07$  µg/g (2 mg/kg bw per day) vs.  $0.12 \pm 0.04$  (control) were measured in spleen ( $n = 8$  animals per group) and in ovary (number of animals not mentioned), respectively. Agglomerates of TiO<sub>2</sub> particles (diameter 200–400 nm) were identified by SEM-EDX in spleen of the 2 mg/kg bw per day dose group. The mass concentration of such TiO<sub>2</sub> particles was quantitatively determined by single-particle ICP-MS and was found to be in agreement with the total Ti concentration determined by ICP-DRC-MS.

The Panel concluded from this study that the absorption of the investigated material is low. Based on Ti determinations, the Panel calculated that 0.001% of the total TiO<sub>2</sub> NP dose was present in the spleen and ovaries.

In the study of Hendrickson et al. (2016), TiO<sub>2</sub> NPs were administered at a dose of 250 mg/kg bw per day to 6 rats (with 6 rats as controls) by gavage administration. After 28 days treatment, the animals were euthanised and blood was obtained from abdominal vein. Lungs, liver, spleen, brain, testicles, small intestine, heart, stomach and kidneys were harvested. Ti was measured by graphite furnace atomic absorption spectroscopy. TiO<sub>2</sub> particles in tissues were detected by TEM and diffraction analysis. After 28 days, the Ti concentration was below the LOD in all tissues from the control group. In treated animals, the Ti concentration was the highest in liver, followed by spleen and small intestine and the lowest in kidney. It was below the level of detection in lungs, brain, testicles, heart and blood.

From these data, the Panel estimated the Ti amount of the body<sup>14</sup> after 28 days of exposure. Body Ti amount was determined to be 3.4 µg. The oral systemic availability can be estimated from the body Ti amount of 3.4 µg divided by cumulative dose of 60 mg (daily Ti dose) × 28 days, which equates to  $2.1 \times 10^{-4}$ % of the cumulative Ti dose. For those samples where Ti was below the LOD, the Ti amount was taken to be 0.5 LOD.

In the study of Ammendolia et al. (2017), male and female Sprague–Dawley rats ( $n = 10$ /sex per group) were treated with TiO<sub>2</sub> NPs with doses of 0, 1 or 2 mg/kg bw per day for 5 consecutive days by gavage. TiO<sub>2</sub> NPs were dispersed in water by sonication, performed on a daily basis. Twenty-four hours after the last treatment, the rats were euthanised and small intestine was sampled. After removing any GI digestion residues, the tissue samples were characterised for their Ti levels by ICP-DRC-MS (LOD of 0.009 µg/g).

<sup>14</sup> The content of Ti in the tissues was calculated by multiplying the measured concentrations with the organ weights (Schoeffner, 1999). In the cases where the concentrations were reported as being below the LOD, the LOD was used. To receive the percentage of dose, the sum of the contents was related to the dose applied.



Ti levels in small intestine tissue (mean  $\pm$  SD,  $n = 4$ ) were  $0.08 \pm 0.02 \mu\text{g/g}$  in the control,  $0.09 \pm 0.02 \mu\text{g/g}$  in the dose group of  $1 \text{ mg/kg}$  and  $0.13 \pm 0.03 \mu\text{g/g}$  in the dose group of  $2 \text{ mg/kg}$ .

The Panel noted that results for Ti concentration were provided by four animals per dose group.

Based on Ti determinations and subtracting control levels of Ti, the Panel calculated that 0.01% of the total  $\text{TiO}_2$  NPs dose was present in the small intestine. As only measurements were made in the small intestine tissue, no estimate for systemic exposure could be made.

In the study of Kreyling et al. (2017b), female Wistar-Kyoto rats received an aqueous [ $^{48}\text{V}$ ]TiO<sub>2</sub> NPs suspension by gavage. Preparation and the procedure to calculate the concentrations are described in Kreyling et al. (2017a). After gavage, rats were kept separately to be able to collect individual urine and faeces. At 1 h, 4 h, 24 h and 7 days, four animals per time point were euthanised by exsanguination under 5% isoflurane anaesthesia. Blood, all organs, tissues and excreta were collected and  $^{48}\text{V}$  radioactivities were measured.

After gavage, most of the radioactivity was excreted in the faeces. Absorption was calculated as the fraction of the dose that could not be accounted for by the radioactive content of the intestinal tract plus faeces. About 0.6% of the applied dose was absorbed during the first h after gavage; the fraction still present in tissues after 7 days amounted to about 0.05% of the applied dose. The authors noted that the distribution patterns between animals were variable and that several data were below the LOD during the first 4 h. Measurable deposition could be observed only after 4 h in spleen, kidneys, heart and uterus. The retention maximum was reached in spleen, kidneys and heart at 24 h post-dosing. In liver, lung and blood, nanoparticle retention declined from 4 h to 7 days. In brain, uterus and kidneys, the highest concentrations were observed at day 7. The peak concentration in liver and spleen was 12.5% (4 h) and 2.6% (24 h) of the absorbed dose, respectively. According to the authors, due the slow excretion kinetics, accumulation of systemically circulating particles in specific cells and organs is likely to occur in subjects chronically exposed to  $\text{TiO}_2$  NPs.

By means of the radiotracer method used, up to 50% of the suspended [ $^{48}\text{V}$ ]TiO<sub>2</sub> NPs dose to be administered was demonstrated to be retained in syringes and cannulas, presumably due to adsorption on plastic surfaces. According to the authors, such effects are likely to occur to a variable extent in *in vivo* studies (depending on the materials used and their handling), are difficult to detect and might be one reason for variations in reported results.

Comparing the biodistribution of [ $^{48}\text{V}$ ]TiO<sub>2</sub> nanoparticles retained after oral administration with that determined after intravenous injection (Kreyling et al., 2017a), the authors conclude that the kinetics patterns are very different and intravenous injection does not appear an adequate surrogate for assessing the biodistribution occurring after oral exposure to  $\text{TiO}_2$  NPs.

The Panel considered that this study demonstrates that the systemic availability of orally administered  $\text{TiO}_2$  NPs is 0.6%, based on the assumption that  $^{48}\text{V}$  is a faithful tracer for  $\text{TiO}_2$  NPs. The Panel further considered that this study shows significant differences in distribution between the routes of exposure.

In the study of Hendrickson et al. (2020), the isolated intestinal loop technique was used to administer  $50 \text{ mg/kg bw}$   $\text{TiO}_2$  NPs to Wistar rats. Three hours after administration the isolated loop was cut out, in addition to the liver and spleen. The presence of particles in tissues was studied by TEM and diffraction analysis. Loose agglomerates were seen with a size of 100 nm and larger. By diffractions analyses, it was confirmed that the particles were  $\text{TiO}_2$ .  $\text{TiO}_2$  NPs were detected on the surface and between the microvilli of the mucosal cells of the small intestine and also in the mucosal tissue. Nanoparticles were detected in the Peyer's patches, both as single nanoparticles and agglomerates of sizes ranging between 20 and 60 nm. In the liver, parenchymal tissue aggregates of  $\text{TiO}_2$  NPs (150–200 nm) and up to 300 nm were seen. In the spleen red pulp, single nanoparticles (20–30 nm), agglomerates (up to 100 nm) and conglomerates (up to 800 nm) could be observed.

The Panel noted that this study demonstrates the presence of  $\text{TiO}_2$  NPs, either as single particles or as agglomerates of variable size, in intestine, liver and spleen. However, no quantitative data were provided.

In the study of Chen et al. (2020a,b), 4-week-old Sprague–Dawley ( $n = 6/\text{dose group}$ ) were administered daily doses of 0, 2, 10 and  $50 \text{ mg TiO}_2 \text{ NPs/kg bw per day}$  for 90 days by oral gavage, using a suspension in distilled water (sonicated and mixed before administration). Blood, liver, stomach, small intestine, colon, spleen, heart, lung, kidneys and testicles were harvested at day 91. Ti was determined in the organs and blood by high-resolution ICP-MS. In spleen and heart, the Ti concentrations were below the LOD (32 ng/g tissue). In blood, liver, intestine, lung, kidney and testicle, the measured concentration of Ti (ng/g tissue) was not statistically different in the treated groups compared with controls. In the colon, the concentration was higher in the  $50 \text{ mg/kg bw per day}$  group than in the controls and the groups treated with 2 and  $10 \text{ mg/kg bw per day}$ . The authors



considered that the high concentration of Ti in the colon of the animals from the highest dose group was due to TiO<sub>2</sub> nanoparticles attached on the surface of the colonic mucosa tissue and not in mucosa cells. The authors concluded that the absorption of TiO<sub>2</sub> in this study was very low.

The Panel considered that TEM without chemical characterisation of the particles (e.g. by EDX) would lead to uncertainty on the identity of the particles.

### Human

In the study of Heringa et al. (2018), Ti was measured using ICP-HR-MS in liver and spleen from 15 deceased human subjects (nine women and six men) who had donated their bodies for research and educational purposes. The LOD of the method was 10 ng/g tissue. The size of Ti-containing particles in the organs was quantified using spICP-MS, and the presence of TiO<sub>2</sub> particles in the tissues was verified by SEM-EDX. In 8 of the 15 liver samples and in 1 of the spleen samples, the total Ti concentration was below the LOD. The average concentration in samples where Ti could be determined was 40 ng/g in the liver and 80 ng/g in the spleen. The average particle size was 86–426 nm in the liver and 88–445 nm in the spleen, with the lower size being the lower size limit of detection. According to the authors, almost all Ti was present as particles, as the concentration of the particle-Ti and the concentration of total-Ti in the organs measured by an independent procedure was overlapping. The amount of Ti in the liver of females (including only the females in which concentrations were above LOD) was  $56.0 \pm 37 \mu\text{g}$  and that in males (including only the males in which concentrations were above LOD) was  $72 \pm 25 \mu\text{g}$ , calculated by the Panel.<sup>15</sup> The amount of Ti in spleen (including only the females in which concentrations were above LOD) was  $12 \pm 17 \mu\text{g}$  in females and that in males (including only the males in which concentrations were above LOD) of  $5.5 \pm 4 \mu\text{g}$ . Summing the amounts in liver and spleen for both genders gives amounts of  $83 \pm 51 \mu\text{g}$ . The mean exposure to E 171 in the age group most representative of the human subjects is 200–2,800  $\mu\text{g}/\text{kg}$  bw per day (non-brand loyal scenario in the elderly; EFSA ANS Panel, 2016), corresponding to  $\sim 8.4$  and 117 mg Ti per day. Assuming that the majority of body Ti is present in liver and spleen and that all body Ti is derived from dietary E 171, the Panel estimated that the oral systemic availability of E 171 in human would be below 1% at the most.

The Panel noted that nanoparticles of TiO<sub>2</sub> can be present in liver and spleen in humans at low concentrations. Complete data (i.e. concentration in liver and spleen) were available only for a limited subset of the investigated subjects (50% of the subjects). Since the subjects were between 79 and 104 years of age, the Panel considered that steady-state levels of TiO<sub>2</sub> had been reached. From the comparison of the Ti body burden with the mean exposure in the non-brand loyal scenario of exposure towards TiO<sub>2</sub> in the elderly, the Panel concluded that the absorption of TiO<sub>2</sub> as food additive in humans under normal life conditions would be low.

In the study of Peters et al. (2020), Ti content was measured using ICP-HR-MS in liver from 15 deceased human subjects, eight women and seven men, aged 64–97 years, who had donated their bodies for research and educational purposes. Further measurements in jejunum and ileum from seven women and five men were done. The LOD of the method was 0.01  $\mu\text{g}/\text{g}$  tissue. The presence of nanoparticles in the tissues was verified by SEM-EDX. In four liver samples, in two spleen samples and one kidney sample out of 15 samples, the total Ti concentration was below the LOD. In one jejunum sample out of 12 samples, the total Ti concentration was below the LOD. The average concentration in the liver samples where Ti could be quantified was 0.03  $\mu\text{g}/\text{g}$ , that in the spleen samples was 0.06  $\mu\text{g}/\text{g}$ , that in kidney 0.08  $\mu\text{g}/\text{g}$ , that in jejunum 0.34  $\mu\text{g}/\text{g}$  and that in ileum 0.43  $\mu\text{g}/\text{g}$ . The particle sizes, measured by spICP-MS, ranged between 50 and 500 nm in the different tissues, with 50 nm being the lower size detection limit. The TiO<sub>2</sub> particle concentrations were considered by the authors to represent about 80% of the total Ti concentrations, showing that most of the Ti in these organs consisted of particulate material and that Ti content can be seen as a good surrogate for the presence of particles. For samples with concentrations above the LOD, the content in spleen amounted to  $78 \pm 5 \mu\text{g}$  in females and to  $11 \pm 13 \mu\text{g}$  in males. Using the same criterion (samples > LOD), the content in kidney amounted to  $33 \pm 34 \mu\text{g}$  in females and to  $16 \pm 13 \mu\text{g}$  in males. Summing up the content in liver, spleen and kidney for both genders, an average amount of  $105 \pm 83 \mu\text{g}$  is obtained. The high content of  $262 \pm 185 \mu\text{g}$  as the sum of the content in jejunum and ileum, obtained using the same calculation approach, is notable.

The Panel noted that Ti can be present in particulate form in liver, spleen, kidney, jejunum and ileum in humans at low concentrations.

<sup>15</sup> The content of titanium in the liver and the spleen was calculated by multiplying the measured concentrations with the organ weights for men (liver 1800 g, spleen 150 g) and for women (liver 1400 g, spleen 130 g) (Valentin, 2002.)

## Conclusions

Overall, the Panel noted that the toxicokinetics of E 171 was addressed in three studies in mice and in two studies in humans.

In two studies in mice, the data enabled the derivation of estimates of internal exposure at 0.01% (Coméra et al., 2020) and 0.1% (Talamini et al., 2019) of the external dose, respectively. However, these estimates are based on Ti concentrations measured in a limited number of organs. Although it is uncertain to what extent TiO<sub>2</sub> distributes to other organs, the Panel's estimates have always included the Ti amount in the liver, which accounted for about 12.5% of the Ti amount in the body (Kreyling et al., 2017b). The underestimation in body burden and absorption is therefore unlikely to be more than 5-fold. The Panel noted that in mice, TiO<sub>2</sub> can be taken up from the small intestine by the paracellular pathway and by endocytosis. Furthermore, in two of the studies (Coméra et al., 2020; Riedle et al., 2020), uptake of TiO<sub>2</sub> particles was demonstrated into M-cells of the Peyer's patches, whereby the quantitative contribution to the systemic exposure seems to be low.

In humans, the Panel considered that after oral administration of 100 mg E 171, Ti concentration in blood increased ca. 5- to 10-fold from 6 to 10 h post-dosing (Pele et al., 2015), demonstrating some oral systemic availability. TiO<sub>2</sub> particles were found in human placenta in low concentrations (Guillard et al., 2020), indicating that TiO<sub>2</sub> is systemically available after ingestion and also can distribute to the placenta. In an *ex vivo* human placenta model, particles were transferred and the size distribution of the particles was similar to the E 171 present in the perfusate. The Panel noted that the extent of transfer across placental membranes was small.

The Panel noted that materials other than E 171, mainly TiO<sub>2</sub> NPs, were investigated in rats and humans.

In rats, two intravenous studies (Disdier et al., 2015; Kreyling et al., 2017a) demonstrated long half-lives and, hence the potential for accumulation. Together with data from an intravenous study (Geraets et al. (2014) already addressed in the EFSA opinion on the re-evaluation of E 171 (EFSA ANS Panel, 2016), half-lives of 83 days (for liver) and of 450 days (for whole body) were estimated and accumulation factors between 135 and 450. Based on these data, the steady state would be reached between 1.5 and 5 years.

Out of five oral rat studies, one provided an estimate for oral systemic availability of 0.0002% based on a limited number of organs (Hendrickson et al., 2016) and another study provided an estimate of 0.6% (Kreyling et al., 2017b). The Panel noted that in a study employing the model of isolated loop technique, the authors could provide data indicating the presence of TiO<sub>2</sub> NPs either as single particles or as smaller and larger agglomerates in intestine, liver and spleen (Hendrickson et al., 2020). The other studies did not give data suitable to quantify absorption and/or accumulation. The Panel considered that in two studies analysing tissues from deceased subjects, deposition of Ti-containing nanoparticles was observed in liver, spleen and kidney as well as in intestine. After quantification of the Ti amount in the organs and comparison with the estimated mean daily intake of E 171, the Panel concluded that the oral systemic availability of TiO<sub>2</sub> NP ingested from a number of sources, including dietary exposure to E 171, would be low (less than 1% by mass).

In summary, the Panel considered that E 171 has a low oral systemic availability, probably not greater than 0.5%. It may pass the placenta and may be transferred to the fetus.

Furthermore, the Panel considered that rat studies with TiO<sub>2</sub> NPs, consisting of nanoparticles with primary particle sizes between 7 and 90 nm, showed long half-lives (roughly 200–450 days), a potential for accumulation (accumulation factor of 290 to 450) and long time to reach steady state (3–5 years) (Geraets et al., 2014; Disdier et al., 2015). The oral systemic availability of these materials was low (most probably < 1%) but higher than for E 171. In tissues from deceased subjects, TiO<sub>2</sub> particles were identified in liver and spleen, the low Ti amount of the investigated organs indicating low oral systemic availability of TiO<sub>2</sub> ingested from a number of sources, including dietary exposure to E 171.

### 4.1.2. Toxicity studies

Toxicity studies considered sufficiently reliable with respect to their internal validity (reliability 1 and reliability 2 according to Appendix C) are described in Appendix H, including details of the appraisals of their suitability for investigating the toxicological effects of nanoparticles.

The score for the assessment of suitability of the studies to investigate the toxicity of nanoparticles has been performed according to Appendix E and is independent from the score on internal validity.

## General and organ toxicity

### E 171

#### Mice

Talamini et al. (2019) (scoring 1 for NSC) examined the effect of exposing mice ( $n = 4$ ) to 5 mg E 171/kg bw per day, 3 days per week, for 3 weeks (nine treatments in 21 days, providing an average daily dose of 2 mg E 171/kg bw). The exposure did not affect body weight, feed intake or organ weights. Some inflammatory biomarkers were changed in some of the tissues examined (stomach, gut, liver). Total area of 'necroinflammatory' foci in the liver of exposed mice ( $n = 4$ ) was greater as compared to the area in the controls ( $n = 2$ ). The Panel noted that this study was limited to one dose group, that the histological examination included a small number of mice and that the increase in 'necroinflammatory' area in the E 171 exposed mice was not accompanied by additional endpoints indicative of evidence for liver injury.

#### Rats

Talbot et al. (2018) (scoring 1 for NSC) examined the effect of exposing rats to E 171 (0, 0.1 and 10 mg/kg bw per day) for 60 days, with a focus on GIT microbial production of short-chain fatty acids (SCFAs) and mucin O-glycosylation. The Panel considered that the results indicated no effects of E 171 on SCFA production and mucus barrier in the gut at the highest dose tested of 10 mg/kg bw per day.

Han et al. (2020a) (scoring 2 for NSC) examined the effects of E 171 (0, 10, 100 or 1,000 mg/kg bw per day) for 90 days in rats. Although some changes were reported by the authors (6–10% higher feed intake of high-dose males, an 8% decrease in relative lymphocyte count in low- and high-dose males, respectively), overall, the Panel considered that gavage administration of E 171 at doses up to 1,000 mg/kg bw per day to rats for up to 90 days did not induce any adverse effects on clinical appearance, survival, body weight, feed intake, haematology, clinical chemistry, urinalysis, organ weights, or gross and microscopical pathology.

The Panel considered that E 171 had no adverse effects in a mouse study (Talamini et al., 2019) on parameters regarded as indicative of systemic toxicity (body weight, feed intake, organ weights or morphology of the examined tissues) given by gavage at a mean daily dose of 2 mg/kg bw per day for 21 days. The Panel considered that E 171 was dispersed and administered such that exposure to small particles and NPs present in E 171 would have taken place. The Panel considered the reported enlargement of 'necroinflammatory' liver foci in the exposed mice deserved attention. However, the Panel could not conclude on the association of this finding with exposure to E 171, due to very limited number of livers examined. The Panel noted the absence of additional endpoints indicative of evidence for liver injury and the fact that these reported changes can variably occur as a background pathology in murine liver. In the rat, no signs of systemic toxicity were seen after gavage administration for 90 days at doses up amounting to 1,000 mg E 171/kg bw per day; the study had limitations for assessing the toxicological effects of the fraction of nanoparticles (Han et al., 2020a). E 171 did not affect caecal microbial production of SCFA in the caecum or mucus barrier in small and large intestine after 60 days of exposure via gavage at the highest dose tested of 10 mg/kg bw per day (Talbot et al., 2018).

### ***TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing a fraction of NPs***

#### Mice

No studies available.

#### Rats

Vasantharaja et al. (2015) (scoring 2 for NSC) examined the effect of exposing rats to TiO<sub>2</sub> NPs (0, 50 and 100 mg/kg bw per day) by gavage for 14 days on clinical chemistry parameters. Although some changes were reported by the authors, the Panel considered that the only major change was a decrease in serum triacylglycerols to 64% of the control levels in both treatment groups.

El-Din et al. (2019) (scoring 4 for NSC) examined the effect of exposing rats to TiO<sub>2</sub> NPs (0, 1,200 mg/kg bw per day) by gavage for 90 days on morphology of the heart ventricles. The authors reported histological changes in the myocardium of the treated group. However, due to the limited information reported, the Panel was not able to conclude on the relationship between the reported histopathological changes and treatment with TiO<sub>2</sub> NPs.

Warheit et al. (2015a) (scoring 4 for NSC) examined the effect of exposing rats by gavage to two types of TiO<sub>2</sub> (11% nanoparticles) at a single, unrealistically high-dose level of 24,000 mg/kg bw per

day for 29 days. Microscopic evaluation revealed the presence of the test substance in intestinal lymphoid tissue, considered by the authors as not adverse. The study examined all endpoints incorporated in an OECD TG 407 study. The Panel considered that no treatment-related adverse effects on any of the endpoints were found; however, the Panel further noted that the scoring for NSC was 4 and there was no demonstration of internal exposure.

The Panel considered that there were no adverse effects on clinical chemistry parameters in rats exposed by gavage to TiO<sub>2</sub> NPs at doses up to 100 mg/kg bw per day for 14 days (Vasantharaja et al., 2015). A potential adverse effect on the heart was reported after subchronic (90-day) exposure to 1,200 mg TiO<sub>2</sub> NPs/kg bw per day, but due to limited reporting no conclusion could be drawn (El-Din et al., 2019). Gavage administration of 24,000 mg TiO<sub>2</sub> (11% NPs)/kg bw per day for 29 days, without demonstrating of internal exposure, had no adverse effects on the endpoints required by the OECD TG 407 testing guideline (Warheit et al., 2015a).

### **TiO<sub>2</sub> NPs < 30 nm**

#### **Mice**

Hu et al. (2015) examined the effects of gavage administration of TiO<sub>2</sub> NPs (26 nm) (0, 64 and 320 mg/kg bw per day for 14 weeks) on the hormonal control of glycaemia in male CD-1 mice. The authors reported that treated mice had increased fasting blood glucose levels from weeks 10. Impaired glucose tolerance was observed (without showing a dose response), but no changes in blood insulin or lipids could be detected. The Panel considered that the oral administration of TiO<sub>2</sub> NPs at both doses, 64 and 320 mg/kg bw per day led to increases in fasting state plasma glucose, and also to increases in glucose levels in a glucose tolerance test without showing a dose response and without differences in plasma insulin levels, indicating inconsistency between the measured outcomes.

Yu et al. (2016) examined the effects of gavage administration of TiO<sub>2</sub> NPs (5–6 nm) (0, 2.5, 5 and 10 mg/kg bw for 90 consecutive days) in female CD-1(ICR) mice on the heart. Body weight gain was statistically significantly decreased in a dose-dependent manner to 30.3% in all the TiO<sub>2</sub> NPs groups. Based on the limited reporting, the Panel was not able to conclude on the decreased body weight gain and the relationship between the reported histological changes and treatment with TiO<sub>2</sub> NPs.

Another study (Hong et al., 2016) examined the effects of gavage administration of TiO<sub>2</sub> NPs (5–6 nm) (0, 2.5, 5 and 10 mg/kg bw for 90 consecutive days) in male CD-1(ICR) mice on the liver. A dose-dependent decrease in body weight gain was observed at all tested doses (approx. 5%, 5% and 7% decrease in BW gain compared to control at 2.5, 5 and 10 mg/kg bw per day, respectively), with statistically significant differences at the two highest doses. Relative liver weights increased by ~10–15% compared to control; however, absolute liver weights were unchanged. Histological alterations of the liver (lymphocyte infiltration and necrobiosis) were reported. Changes in the liver expression of inflammation-related proteins were also found. The Panel noted that the histopathological data in the liver were not accompanied by any other confirmatory investigations (e.g. clinical chemistry) and considered the effects reported in this study as likely an hepatic inflammatory response to TiO<sub>2</sub> NPs (5–6 nm).

Yang et al. (2017) examined the effects of gavage administration of TiO<sub>2</sub> NPs (21 nm) (0, 250 and 500 mg/kg bw for 14 consecutive days) in male C57BL/6 mice on the liver. The only noteworthy effect was a 3-fold increase of serum bilirubin (both total and indirect) at the highest dose, in the absence of inflammation, apoptosis, necrosis and molecular defects in bilirubin metabolism. The Panel noted structural changes in hepatocytes which were not quantified. However, these increases occurred in the absence of any changes in relative liver weight, changes in other serum markers for liver injury or quantitative histopathological changes in the liver. Changes in the hepatic expression of selected genes were considered as either incidental or adaptive, but not evidence of adversity.

#### **Rats**

Chen et al. (2015a) (scoring 2 for NSC) examined the effect of exposing rats to TiO<sub>2</sub> NPs (24 nm) (0, 2, 10 and 50 mg/kg bw per day) with and without glucose (1.8 g/kg bw per day) for 30 and 90 days. Some haematological parameters were changed; however, the Panel considered that these were of no toxicological significance. The authors reported 'oedema, fatty degeneration and necrosis' in livers of the high-dose group in the absence of serum enzyme activities reflecting liver injury. The Panel considered there were limitations in the reporting of histopathological changes in the liver and in the absence of changes in serum enzyme activities reflective of liver injury considered effects on the liver as not adverse.



Chen et al. (2015b) (scoring 1 for NSC) examined the effect of exposing rats to TiO<sub>2</sub> NPs (24 nm) (0, 2, 10 and 50 mg/kg bw per day) with a focus on investigating effects on the cardiovascular system. Although some changes were reported by the authors, the Panel considered that gavage administration of TiO<sub>2</sub> NP (24 nm) in doses up to 50 mg/kg bw per day to rats for up to 90 days did not induce any treatment-related effects.

Grissa et al. (2015) (scoring 2 for NSC) administered TiO<sub>2</sub> NPs (5–12 nm) in distilled water by daily gavage at doses of 0, 50, 100 or 200 mg/kg bw to rats for 60 days. The Panel considered the reported haematological changes to be of no toxicological significance.

Chen et al. (2020a) (scoring 2 for NSC) daily gavaged TiO<sub>2</sub> NPs (29 nm) at doses of 0, 2, 10 or 50 mg TiO<sub>2</sub> NPs/kg bw per day for 90 days to male rats. Starting from week 8, the 10 and 50 mg/kg bw per day groups showed a decrease in body weight gain of up to about 15%, with no effect on food intake. Serum levels of triglycerides (TGs) in the 10 and 50 mg/kg bw per day groups were statistically significantly lower than in the control group while serum total cholesterol, high-density lipoprotein cholesterol and low-density lipoprotein cholesterol (LDL-C) were not affected. The Panel considered that, while the change in body weight gain may be adverse, other reported changes were of no toxicological significance.

Chen et al. (2020b) (scoring 2 for NSC) examined the effect of exposing rats to TiO<sub>2</sub> NPs (24 nm) (0, 2, 10 and 50 mg/kg bw per day) with and without glucose (1.8 g/kg bw per day) for 90 days. Effects were seen with TiO<sub>2</sub> NPs (24 nm) on blood glucose levels, blood glycoproteins (glycated haemoglobin (HbA1c); glycated serum protein (GSP)), blood insulin, C-peptide and an oral glucose tolerance test (OGTT; performed at day 90). The Panel considered the changes in blood glucose, HbA1c, GSP, insulin, C-peptide, glucagon and glucose tolerance as either not test substance related or irrelevant for the safety evaluation of E 171.

Grissa et al. (2017) (scoring 3 for NSC) gave male rats control vehicle or 100 mg TiO<sub>2</sub> NPs (5–10 nm)/kg bw per day by gavage for 8 weeks. The TiO<sub>2</sub> NP-treated group had a statistically significantly decreased body weight gain and serum cholesterol, glucose and TG concentrations were statistically significantly higher. The authors reported significant changes in plasma oxidative stress markers and an increase in plasma interleukin-6 (IL-6) compared to control. The Panel noted the changes in glucose levels which are potentially adverse, and considered that the changes in cholesterol and TG are of unclear toxicological relevance.

Hassanein and El-Amir (2017) (scoring 3 for NSC) administered a single dose level of 150 mg/kg bw TiO<sub>2</sub> NPs (21 nm) in 1% Tween 80 daily by gavage. The control group received 1% Tween 80. Based on the many flaws in the study reporting (e.g. descriptions of 'histopathological' lesions are unclear, some of the findings are not histopathological lesions, the number of lesions per organ and the number of animals with any lesions are not clearly stated), the Panel was not able to draw any conclusions.

Heo et al. (2020) (scoring 3 for NSC) performed a repeated-dose 28-day and a repeated-dose 90-day study in rats. TiO<sub>2</sub> NPs (21 nm) in sodium phosphate buffer were administered by gavage at 0, 250, 500 and 1,000 mg/kg bw per day. No statistically significant treatment-related differences with respect to body weight gain, food and water intake were observed. No mortality or clinical signs were detected during the exposure period of 28 and 90 days. No effects were detected in a functional observation battery in the last week of the 90-day study. Ophthalmoscopic examination and urinalysis did not show statistically significant differences between the groups. Changes – circulating neutrophils and lymphocytes, blood urea nitrogen and blood Na – occurred without a clear dose response. No abnormal gross findings were found at necropsy in treated animals. Changes in some organ weights were considered unrelated to the treatment. On histopathological examination, differences between the control group and the 1,000 mg/kg bw per day group were found. The Panel considered that the reported changes were within the historical control normal range and therefore of no toxicological significance.

### **Concluding remarks**

In mice, no adverse effects were observed up to 1,000 mg E 171/kg bw per day, the highest dose tested, in a 90-day study (Han et al., 2020a; scoring 2 for NSC). In rats, toxicity studies with TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing a fraction of nanoparticles, having different duration (14–90 days), no adverse effects were observed up to the highest dose tested (100 mg/kg bw per day, Vasantharaja et al. (2015) and scored 2 for NSC). Overall, no adverse effects associated with general toxicity were observed in rats orally exposed to E 171, TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing nanoparticles.



In mice orally exposed to TiO<sub>2</sub> NPs < 30 nm for up to 90 days, some effects were reported, which by their nature could be adverse. However, mild hyperbilirubinaemia was not accompanied by any changes in liver enzymes (Yang et al., 2017); the effect size of increased fasting glycaemia and impaired glucose tolerance (Hu et al., 2015) was not of toxicological relevance and not accompanied by changes in insulin or other changes in lipid metabolism and therefore was not of toxicological relevance. Histopathological changes were reported in the heart (Yu et al., 2016); however, these findings were not supported by incidences and severity scores. Histopathological findings indicating inflammation were reported in the liver, but investigations to confirm hepatic injury were not performed (Hong et al., 2016).

In rats orally exposed to TiO<sub>2</sub> NPs < 30 nm, inconsistent and/or unexplained sex differences in some parameters were reported (e.g. hypobilirubinaemia in females (Chen et al. (2015a); heart rate and blood pressure changes in females (Chen et al. (2015b); leucocyte changes in females (Heo et al., 2020); higher absolute pituitary weights in males (Heo et al., 2020); lower blood insulin levels in females, lower C-peptide levels in males and differences in blood concentrations compared to controls in a glucose tolerance test in males (Chen et al., 2020b). The Panel considered that the TG changes reported in several studies were likely incidental study findings since the reductions were seen in only one sex and without a clear dose response (Chen et al., 2015b), lacked a clear dose response (Vasantharaja et al., 2015) or increased in a single dose study (Grissa et al., 2015).

The Panel considered that the effects reported in mouse studies with TiO<sub>2</sub> NPs < 30 nm could be associated with accumulation of NPs in various tissues whereas inconsistent findings in rats were considered incidental.

## Reproductive and developmental toxicity studies

### E 171

No studies performed with E 171 and considered with reliability 1 and 2 have been identified in the literature search.

#### TiO<sub>2</sub>/TiO<sub>2</sub> NPs

##### Mice

No studies available.

##### Rats

From an oral prenatal developmental toxicity study in rats with five different TiO<sub>2</sub> materials, TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing a fraction of nanoparticles (Warheit et al., 2015b) (scoring 4 for NSC), no maternal and developmental effects were observed up to 1,000 mg TiO<sub>2</sub> NPs/kg bw per day (the highest dose tested), when administered from gestation days (GDs) 6 to 15.

#### TiO<sub>2</sub> NPs < 30 nm

##### Mice

Karimipour et al. (2018) (scoring 2 for NSC) examined the effects of oral administration of TiO<sub>2</sub> NPs (10–25 nm) at 100 mg/kg bw per day for 5 weeks on the histology of ovaries, oestrogen and malondialdehyde (MDA) serum levels (7 animals/group), fertility (10 animals/group) and IVF rates (10 animals/group) in female mice. Impairment of female fertility at the only dose tested was observed.

Khorsandi et al. (2016) (scoring 2 for NSC) examined the effects of oral administration of TiO<sub>2</sub> NPs on testicular parameters in young adult male NMRI mice at doses of 0, 75, 100 and 300 mg/kg bw per day (8 animals/group) for 35 days. Dose-dependent decreases in testis weight occurred from a dose of 100 mg/kg bw per day. At higher doses, additional testicular parameters were affected. The Panel considered that TiO<sub>2</sub> NPs (size unknown) from 100 mg/kg bw per day had an effect on testis weight.

Khorsandi et al. (2017) (scoring 2 for NSC) administered TiO<sub>2</sub> NPs (20–30 nm) by oral gavage at 300 mg/kg bw per day to eight young adult male NMRI mice for 35 days. The authors reported significant decreases in testis weight, circulating and testicular testosterone, testicular catalase (CAT) and superoxide dismutase (SOD) concentrations, sperm counts and sperm motility. Significant increases were found in the percentage of abnormal or degenerative spermatogenic tubules, germ cell apoptosis, testicular MDA concentration and in the percentage of sperm with abnormal morphology. The Panel considered that testicular toxicity was observed with TiO<sub>2</sub> NPs (20–30 nm) at 300 mg/kg bw per day, the only dose tested.

Karimi et al. (2019) (scoring 2 for NSC) treated eight 6- to 8-week-old male NMRI mice daily by gavage with 50 mg TiO<sub>2</sub> NPs (< 30 nm)/kg bw per day for 35 days. TiO<sub>2</sub> NPs significantly reduced testis weight accompanied by reduced serum testosterone, reduced seminiferous tubule diameter and epithelium height and reduced the maturity of the germinal epithelium. Also, adverse findings were observed in reduced sperm counts, increased sperm abnormalities and reduced sperm motility. The Panel noted that 50 mg TiO<sub>2</sub> NPs/kg bw per day, the only dose tested, resulted in adverse effects on the testis.

Lu et al. (2020) (scoring 4 for NSC) treated four groups of 15 male ICR mice, age 6–8 weeks daily by gavage with TiO<sub>2</sub> NPs (7 nm) at doses of 0, 10, 50 or 100 mg/kg bw per day for 30 days. The authors report tight junction damage in the blood–testis barrier (BTB) at 50 and 100 mg/kg bw, though the histopathological pictures provided are hard to interpret. Serum testosterone was 50% decreased at the two highest doses tested. Sperm motility was dose-relatedly reduced, accompanied by increased sperm malformation rates. The Panel considered that TiO<sub>2</sub> NPs (7 nm), at 50 or 100 mg/kg bw per day, resulted in a dose-related reduction of sperm motility and increased sperm malformations, accompanied by histological observations in the testis, changes in BTB-related protein levels, changes in MAPK-related mRNA levels and reduced circulating testosterone concentrations.

## Rats

Lee et al. (2019) (scoring 3 for NSC) treated mated female Sprague–Dawley rats (12 females per group) with TiO<sub>2</sub> NPs (21 nm) daily by gavage at dose levels of 0, 100, 300 and 1,000 mg/kg bw per day from GDs 6 to 19. There were no statistically significant differences in general clinical signs, body weight, organ weights (absolute and relative to body weight), macroscopic findings. Caesarean section parameters and fetal external and visceral examinations did not reveal any statistically significant differences. The Panel considered that no adverse maternal and developmental effects were reported with TiO<sub>2</sub> NPs (21 nm) up to 1,000 mg/kg bw per day, the highest dose tested.

## Concluding remarks

No reproductive or developmental toxicity studies performed with E 171 and considered sufficiently reliable with respect to their internal validity (see Appendix C) have been identified from the published literature.

No maternal and developmental effects were observed up to 1,000 mg/kg bw per day, the highest dose tested, in a single rat developmental toxicity study with five different TiO<sub>2</sub> materials, TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing a fraction of nanoparticles (Warheit et al., 2015a) (scoring 4 for NSC).

In mice, the effects of TiO<sub>2</sub> NPs < 30 nm on the testis (decreased weight, decreased seminiferous tubule diameter, germ cell apoptosis) and sperm (decreased sperm counts and motility, increased percentage of abnormal spermatozoa) were observed in three studies (Khorsandi et al., 2016, 2017; Karimi et al., 2019) at doses ranging from 50 to 300 TiO<sub>2</sub> NPs/kg bw per day. The lowest dose at which the effects were observed was 50 mg TiO<sub>2</sub> NPs/kg bw per day (Karimi et al., 2019). In a mouse study by Lu et al. (2020), no effects were observed at the lowest dose tested, 10 mg/kg bw per day (scoring 4 for NSC). In rats, administration of TiO<sub>2</sub> NPs (21 nm) did not show effects at any dose level in a developmental toxicity study up to 1,000 mg/kg bw per day (Lee et al., 2019, scoring 3 for NSC).

## Neurotoxicity and neurodevelopmental toxicity studies

### E 171

No studies performed with E 171 and considered with reliability 1 and 2 have been identified.

### TiO<sub>2</sub> NPs

Pregnant Wistar rats (n = 6/group) were administrated TiO<sub>2</sub> NPs (< 100 nm) by gavage at 0 or 100 mg/kg bw per day from GD 2 to 21 (gestation group) or from postnatal days (PND) 2 to 21 (lactation group). In offspring (PND 1 in gestation group, PND 22 in lactation group), increased hippocampal apoptosis and reduced hippocampal neurogenesis after both gestational and lactational exposure (Ebrahimzadeh et al., 2017; scoring 3 for NSC) were observed.

Kandeil et al. (2019) (scoring 3 for NSC) dosed adult male albino rats (n = 20/group) by gavage with TiO<sub>2</sub> NPs (90 nm, range 40–140 nm) at 0 or 500 mg/kg bw per day for 14 days. Adverse effects in CNS possibly related to oxidative stress were observed at the single dose tested of 500 mg/kg bw per day.

The Panel concluded that these data show that oral TiO<sub>2</sub> NPs administered to rats during embryofetal and early postnatal development reduced hippocampal neurogenesis at 100 mg/kg bw per day, and that oral administration to adult rats produced adverse effects in the brain consistent with oxidative stress at 500 mg/kg bw per day.

### **TiO<sub>2</sub> NPs < 30 nm**

A number of studies have reported adverse effects on both adult and developing mouse and rat brain after oral dosing with TiO<sub>2</sub> NPs < 30 nm.

#### **Adult mice**

The most sensitive endpoint observed in adult mice was reduced volume of the hippocampus, and the polymorph layer of the dentate gyrus, and reduced density and total number of dentate gyrus granular cells at all doses tested (in males dosed for 35 days) (Rahnama et al., 2020; scoring 4 for NSC). The mice in this study (n = 20/group) were dosed by gavage with TiO<sub>2</sub> NPs (21 nm) at 0, 2.5, 5 or 10 mg/kg bw per day.

Other studies using adult mice and a single dose of TiO<sub>2</sub> NPs < 30 nm also reported adverse effects on the brain after oral dosing.

Zhang et al. (2020) (scoring 3 for NSC) dosed young adult male mice (probably n = 15/group) by oral gavage with TiO<sub>2</sub> NPs (21 nm) at 0 or 150 mg/kg bw per day for 30 days. Treatment had no effect on body weight or histopathology of gut or brain, but significantly decreased the richness and evenness of gut microbiota, elevated gut HuC/D and TuJ1 and markedly reduced serotonergic markers Sstr1 and Sstr2 in gut but not in cerebral cortex, suggesting an effect on the enteric nervous system. However, gut–brain peptides secreted by endocrine cells and enteric neurons, and also inflammatory cytokines, were not affected by treatment. In the open field test, centre field activity was statistically significantly reduced by the treatment, consistent with anxiety-like behaviour, but MWM learning and spatial memory were unaffected. The Panel considered that TiO<sub>2</sub> NPs (21 nm) at 150 mg/kg bw per day, the only dose tested, altered gut microbiota, without pathological changes in small intestine and brain.

The Panel noted that the most sensitive endpoint in adult mice was reduced volume of hippocampus and dentate gyrus granular layer, and density and number of dentate gyrus granular cells observed with TiO<sub>2</sub> NPs (21 nm) at 2.5 mg/kg bw per day, the lowest dose tested, in males dosed for 35 days (Rahnama et al., 2020).

#### **Adult rats**

In adult rats, the most sensitive endpoint was reduced brain cholinesterase activity (about 35–50%) and increased brain Na, K-ATPase activity (about 2-fold), observed with TiO<sub>2</sub> NPs (21 nm) at all doses tested, in female albino rats dosed for 14 days, as reported by Canli et al. (2020) (scoring 4 for NSC). In this study, rats (n = 6/group) were dosed by gavage with TiO<sub>2</sub> NPs (21 nm) at 0, 0.5, 5 or 50 mg/kg bw per day.

Grissa et al. (2016) (scoring 3 for NSC) reported reduced brain cholinesterase activity at 100 and 200 mg/kg bw per day, and reduced plasma cholinesterase activity at all doses tested, in male rats dosed for 60 days. In this study, rats were dosed by gavage with TiO<sub>2</sub> NPs (5–10 nm) at 0, 50, 100 or 200 mg/kg bw per day. Brain cholinesterase activity was not affected at 50 mg/kg bw per day, in contrast to the significant reduction in brain cholinesterase activity at 0.5 mg/kg bw per day reported by Canli et al. (2020). This apparent 200-fold difference in potency adds to uncertainty; possible contributory factors include differences in test substance dispersion and internal exposure between the two studies. The Panel noted that the reduced brain cholinesterase activity reported by Grissa et al. (2016) was not dose related (similar effect at 100 and 200 mg/kg bw per day doses, about 50% reduction), but plasma cholinesterase activity was dose-dependently reduced at all doses (about 35% at 50 mg/kg bw per day, 50% at 100 and 200 mg/kg bw per day). The Panel noted that the method used to determine cholinesterase activity by Grissa et al. (2016) probably measures both acetylcholinesterase (AChE) and butyryl ChE (BChE) activity. In any case, TiO<sub>2</sub> NPs reduced brain cholinesterase activity.

Grissa et al. (2020) (scoring 2 for NSC), using a similar study design (strain age and dosing regimen) as Grissa et al. (2016) and with TiO<sub>2</sub> NPs (5–12 nm), reported reduced SOD and CAT, and increased NO and tumour necrosis factor-alpha (TNF- $\alpha$ ) in brain frontal cortex at all doses tested (50, 100 and 200 mg/kg bw per day).

Other studies using adult rats and a single dose of TiO<sub>2</sub> NPs also reported adverse effects on the brain after oral dosing.

Hassanein and El-Amir (2017) (scoring 3 for NSC) dosed adult male Sprague–Dawley rats (n = 10/group) by gavage with TiO<sub>2</sub> NPs (21 nm) at 0 or 150 mg/kg bw per day for 6 weeks. Increased total leucocyte, lymphocyte and neutrophil counts, aspartate aminotransferase (AST), alanine aminotransferase (ALT), lipid peroxidation (LPO), TNF- $\alpha$  and liver DNA damage by Comet assay, and decreased glutathione (GSH), and histopathological alterations in liver, brain, lung, kidney, heart and

testes were reported at the only dose tested. However, with respect to neurotoxicity, the Panel noted that the tissue fixation method was not optimal for histology of brain tissue (non-perfused formaldehyde fixation), therefore the Panel considered that artefactual brain findings could not be excluded.

The Panel noted that the most sensitive endpoint in adult rats was reduced (dose related) brain cholinesterase activity and increased brain Na/K-ATPase activity, observed at 0.5 mg/kg bw per day (in females dosed for 14 days), the lowest of three doses tested, reported by Canli et al. (2020) with TiO<sub>2</sub> NPs (21 nm). However, Grissa et al. (2016) reported reduced brain cholinesterase activity at 100 but not 50 mg/kg bw per day (in males dosed for 60 days with TiO<sub>2</sub> NPs (5–10 nm)). This apparent 200-fold difference in potency adds to uncertainty.

### **Mice developmental**

In pre- and perinatal mice, the study by Zhou et al. (2017) (scoring 2 for NSC) reported inhibited dendritic outgrowth, increased autophagy and oxidative stress and reduced mitochondrial function, in *ex vivo* hippocampal CA1 neurons of the offspring of CD-1 with TiO<sub>2</sub> NPs (6–7 nm) at all doses tested. In this study, mice (n = 6/group) were dosed by gavage with TiO<sub>2</sub> NPs (6–7 nm) at 0, 1, 2 or 3 mg/kg bw per day from GD 7 to PND 21.

The Panel noted that the only available study on developing mice (Zhou et al., 2017) reported inhibited dendritic outgrowth, increased autophagy and oxidative stress and reduced mitochondrial function, in *ex vivo* hippocampal neurons of the offspring with TiO<sub>2</sub> NPs (6 = 7 nm) at all doses tested (1, 2 or 3 mg/kg bw per day).

### **Rats developmental**

In pre- and perinatal rats, offspring passive avoidance behaviour was altered after maternal dosing during lactation with TiO<sub>2</sub> NPs (10 nm) at 100 mg/kg bw per day (the only dose tested) (Mohammadipour et al., 2016) (scoring 3 for NSC). The same dose level increased offspring hippocampal apoptosis and reduced offspring hippocampal neurogenesis after maternal dosing during both gestation and lactation (Ebrahimzadeh et al., 2017; scoring 3 for NSC).

The Panel concluded that gestational and/or lactational maternal rat exposure to TiO<sub>2</sub> NPs (10 nm) at 100 mg/kg bw per day altered passive avoidance behaviour, increased hippocampal apoptosis and reduced hippocampal neurogenesis in the offspring.

The Panel noted that the effects on brain structure and function reported in Mohammadipour et al. (2016) and Ebrahimzadeh et al. (2017) are mutually plausible, given that passive avoidance behaviour is related to hippocampal functioning (Eagle et al., 2016; Anacker et al., 2016).

### **Concluding remarks**

No studies performed with E 171 and considered sufficiently reliable with respect to their internal validity (see Appendix C) have been identified from the published literature.

Oral TiO<sub>2</sub> NPs dosed in rats during embryofetal and early postnatal development reduced hippocampal neurogenesis at 100 mg/kg bw per day exposure (Ebrahimzadeh et al., 2017), and dosed in adult rats produced adverse effects in the brain consistent with oxidative stress at 500 mg/kg bw per day (Kandeil et al., 2019). Both studies scored 3 for NSC.

After oral dosing with TiO<sub>2</sub> NPs < 30 nm, adverse effects in both adult and developing mouse and rat brain were reported. Most of these effects are possibly related to oxidative stress. In mice, the Panel noted that the reduced volume of the polymorph layer of the hippocampal dentate gyrus and reduced density and number of dentate gyrus granular neurons reported by Rahnema et al. (2020) with TiO<sub>2</sub> NPs (21 nm), is consistent with the behavioural effects reported by Zhang et al. (2020) also with TiO<sub>2</sub> NPs (21 nm), i.e. increased open field anxiety-like behaviour and unaffected spatial learning and memory. Ventral dentate gyrus is associated with anxiety behaviour, CA regions with spatial learning/memory (Eagle et al., 2016; Anacker et al., 2018). In adults rats, the most sensitive endpoint in the evaluated studies was reduced brain cholinesterase activity and increased brain Na/K-ATPase activity with TiO<sub>2</sub> NPs (21 nm) at a dose of 0.5 mg/kg bw per day in females dosed for 14 days (Canli et al., 2020).

The Panel noted that inhibition of cholinesterase activity by nanoparticles other than TiO<sub>2</sub>, both metal and plastic, has been reported in a number of species (Prüst et al., 2020). Since oxidative stress-related inflammation is generally associated with increased and not decreased cholinesterase activity (Corrêa Mde et al., 2008; Vaknine and Soreq, 2020), it is unclear whether there is a link between TiO<sub>2</sub>-induced oxidative stress and TiO<sub>2</sub>-induced decrease in cholinesterase activity.

Overall for neurotoxicity, adverse effects were seen with TiO<sub>2</sub> NPs < 30 nm. In mice, Zhou et al. (2017; scoring 3 for NSC), reported adverse effects (i.e. inhibited dendritic outgrowth, increased



autophagy and oxidative stress and reduced mitochondrial function) in *ex vivo* hippocampal neurons of weanling mice after dosing TiO<sub>2</sub> NPs (6–7 nm) during gestation and early lactation at a dose of 1 mg/kg bw per day, the lowest dose tested. In adult female rats (Canli et al., 2020; scoring 3 for NSC), adverse effects (reduced brain cholinesterase, and increased brain Na/K-ATPase activity) were observed with TiO<sub>2</sub> NPs (21 nm) at 0.5 mg/kg bw per day, the lowest of three doses tested, in a 14-day study.

## **Inflammation and immunotoxicity studies**

### **E 171**

#### **Mice**

No adverse effects in the mouse study (Riedle et al., 2020; scoring 1 for NSC) were observed up to the highest dose tested (100 mg/kg per day). Pinget et al. (2019), scoring 2 for NSC, found a reduction of colonic crypt length, in addition to an increase in colon macrophages and CD8 cells in IL-10, TNF- $\alpha$  and IL-6 mRNA at doses of 10 and 50 mg/kg bw per day. Other studies in mice only included one dose where increased inflammatory parameters were observed at 5 mg/kg bw per day (Urrutia-Ortega et al., 2016; scoring 1 for NSC) or 2 mg/kg bw per day (Talamini et al., 2019; scoring 1 for NSC). In Urrutia-Ortega et al. (2016) study, it was also observed that 5 mg/kg bw per day alone had no effect on tumour formation but could potentiate intestinal tumour formation in mice exposed to azoxymethane/dextran sulfate sodium.

#### **Rats**

In Han et al. (2020a) study (scoring 2 for NSC), rats were exposed to E 171 at 10, 100 or 1,000 mg/kg bw per day per 90-day, a statistically significantly decreased granulocyte-macrophage colony-stimulating factor (GM-CSF) plasma level of approximately 40% was observed at the highest dose. Whereas it is difficult to predict an adverse effect from such an intermediate endpoint, GM-CSF is involved in haemopoiesis which may explain the modest but statistically significant decrease in immunoglobulin (Ig) M level (~ 10%).

In Bettini et al. (2017) study (scoring 1 for NSC), only one dose of E 171 was tested (10 mg/kg bw per day) and increased inflammatory parameters were observed. These results were not confirmed in another study (Blevins et al., 2019; scoring 3 for NSC), as no effects were observed up to 267 mg E 171/kg bw per day, the highest dose tested. However, the Panel noted that Blevins et al. (2019) study was scored 3 for NSC.

The Panel noted that the reported effects of E 171 on the immune system were variable. Certain studies with E 171 did not find an effect (Blevins et al., 2019; Riedle et al., 2020), while others did, showing especially changes in parameters indicating inflammatory processes (Urrutia-Ortega et al., 2016; Talamini et al., 2019).

#### **TiO<sub>2</sub> or TiO<sub>2</sub> NPs**

Two mouse studies performed with TiO<sub>2</sub> NPs (Mohamed, 2015; Li et al., 2019) were scored 2 for NSC and a rat study (Hashem et al., 2020) was scored 3.

#### **Mice**

Both mice studies were short-term studies, 5 days (Mohamed, 2015) and 7 days (Li et al., 2019). In Mohamed (2015), TiO<sub>2</sub> NPs (47 nm) at doses of 5, 50 or 500 mg/kg bw per day were administered and this resulted in an inflammatory response in the stomach, which was already evident at the lowest dose tested, i.e. 5 mg/kg per day. In Li et al. (2019) study, no relevant effects were noted on the histology of the spleen with TiO<sub>2</sub> NPs (25, 50 or 80 nm) at 1 mg/kg bw per day, the only dose tested.

#### **Rats**

In rats exposed to TiO<sub>2</sub> at 20 or 40 mg/kg bw per day for 90 days, changes in haematological and immunological parameters were noted at the lowest dose tested, i.e. 20 mg/kg per day (Hashem et al., 2020).

#### **TiO<sub>2</sub> NPs < 30 nm**

#### **Mice**

In a 90-day study (Yu et al., 2016) (scoring 2 for NSC), animals were exposed to TiO<sub>2</sub> NPs (5–6 nm) at 2.5, 5 or 10 mg/kg bw per day. The Panel noted inflammatory mediators at all doses tested and corroborated by histopathological lesions.



In Li et al. (2018) (scoring 4 for NSC), a single dose of TiO<sub>2</sub> NPs (20 nm) at 100 mg/kg bw per day for 28 days was tested. Zhang et al. (2020) (scoring 4 for NSC) administered TiO<sub>2</sub> NPs (21 nm) at 150 mg/kg bw per day for 30 days. Both studies were focused on the effects of TiO<sub>2</sub> NPs on the microbiota. No change in spleen histology or in local or systemic inflammatory parameters was observed by Li et al. (2018).

### **Rats**

In a rat study (Chen et al., 2015a) (scoring 2 for NSC) animals were exposed to TiO<sub>2</sub> NPs (24 nm) at 2, 10 or 50 mg/kg bw per day. An increase in leucocytes was observed which may suggest an inflammatory response at the highest dose tested. In another study in rats by the same group (Chen et al., 2019), scoring for NSC 2, histopathologically, reduced numbers of goblet cells were found as a result of exposure to 50 mg/kg bw per day, as well as inflammatory infiltration, while in serum increased IL-6 expression was observed.

In Grissa et al. (2020) study (scoring 2 for NSC), rats were exposed to TiO<sub>2</sub> NPs (5–12 nm) at 50, 100 or 200 mg/kg bw per day. The Panel noted changes in inflammatory markers at 100 and 200 mg/kg bw per day.

### **Concluding remarks**

The Panel concludes that these studies indicate immune dysregulatory activity of E 171, evidenced by several immune-related and inflammatory markers. These effects were not observed up to 50 mg E 171/kg bw per day. In three single dose level studies with E 171, effects were noted at lower doses, i.e. 2, 5 and 10 mg/kg bw per day.

Effects of E 171 may, at least in part, stem from the activity of the fraction of the smaller TiO<sub>2</sub> particles, as studies with these particles also indicate inflammatory effects of exposure to TiO<sub>2</sub> NPs (5–6 nm) at 2.5 mg/kg per day.

### **Aberrant crypt foci**

This endpoint was specifically requested to be investigated in the EOGRT study (see Section 4.2.6) as part of the follow-up to the re-evaluation of E 171 (EFSA ANS Panel, 2018). Therefore, studies reporting information on aberrant crypt foci (ACF) were collected from the literature search.

In total, there were two studies investigating this endpoint (Bettini et al., 2017; Blevins et al., 2019).

The study by Bettini et al. (2017) (scoring 1 for NSC) was previously reviewed by the ANS Panel (EFSA ANS Panel, 2018) and it has also been evaluated in the current assessment (Appendix H – immunotoxicity). The Panel considered that E 171 per se at a dose of 10 mg/kg bw per day may induce development of ACF in male rats. The Panel also noted that E 171 at a dose of 10 mg/kg bw per day increased the number of ACF initiated by a genotoxic carcinogen.

From the Blevins et al. (2019) (scoring 3 for NSC), the Panel noted that no changes in the number of ACF and aberrant crypts (ABC) were observed due to E 171 exposure alone, while the number of ACF increased not statistically significantly in the colon samples from animals exposed to E 171 and initiated with a carcinogen (dimethylhydrazine (DMH)) relative to a group exposed to the carcinogen alone. However, limitations in the pathological examination of ABC and ACF (sampled colon area limited; technical issues related to fixation) preclude a conclusion on potential for ABC and ACF formation. Dietary E 171, with or without treatment with DMH, had no effect on the length of the colonic glands examined or the number of goblet cells/unit.

The Panel considered that E 171 may induce ACF in male rats at a dose of 10 mg/kg bw per day.

### **Gut microbiota**

A number of studies, considered to be reliable by the Panel, have examined or included analyses of GIT microbiota changes in response to oral exposure to E 171 (Pinget et al., 2019), TiO<sub>2</sub> NPs (Li et al., 2019; Yan et al., 2020) and TiO<sub>2</sub> NPs < 30 nm (Li et al., 2018; Chen et al., 2019, 2020a; Zhang et al., 2020; Zhao et al., 2020). Detailed description of references Li et al. (2018), Pinget et al. (2019), Chen et al. (2019), Li et al. (2019), Zhang et al. (2020) and Chen et al. (2020a), investigating also other relevant endpoints, are available in Appendix H.

## **E 171**

### **Mice**

Mice were administered E 171 via drinking water at doses of 0, 2, 10 and 50 mg E 171/kg bw per day for 3 weeks (Pinget et al., 2019) (scoring 2 for NSC) followed by examination of microbiota populations in faecal samples or the small intestine through 16S rRNA sequencing. Exposure to E 171 had minimal impact on their compositions but altered the release of bacterial metabolites.

### **Rats**

No studies have been identified.

### **TiO<sub>2</sub> or TiO<sub>2</sub> NPs**

#### **Mice**

In a 7-day study, mice were administered by gavage with three types of TiO<sub>2</sub> NPs (25 nm, 50 nm and 80 nm) at a dose of 1 mg/kg bw per day (Li et al., 2019; scoring 2 for NSC). The microbiota of the distal colonic content was analysed. According to the authors, observed effects in the colon (epithelial injury, suppressed expression levels of tight junction proteins and reduced thickness of the luminal mucus layer) were associated with altered gut microbiota composition.

In a 28-day study, mice were administered via gavage with TiO<sub>2</sub> (250 nm) or TiO<sub>2</sub> NPs (25 nm) at doses of 10, 40 and 160 mg/kg bw per day (Yan et al., 2020; scoring 2 for NSC). Colon tissues were isolated under aseptic conditions and contents were excised for 16S rRNA analysis. The results showed that exposure to TiO<sub>2</sub> or to TiO<sub>2</sub> NPs led to changes in the composition of the gut microbiota, especially the microbiota associated with mucus.

#### **TiO<sub>2</sub> NPs < 30 nm**

#### **Mice**

Li et al. (2018) (scoring 4 for NSC) performed an analysis of gut microbiota through sampling of faeces and performing 16S rRNA sequencing. Animals were administered via gavage with two types of TiO<sub>2</sub> NPs (20 nm anatase with near spherical shape and 15 nm rutile with edged with corners morphology) at a dose of 0 or 100 mg/kg bw per day for 28 days. The results showed that gut microbiota diversity was not affected by the treatments but it shifted their composition in a time-dependent manner, with rutile NPs having a more pronounced influence than anatase NPs.

In Zhang et al. (2020) (scoring 4 for NSC), animals were administered by gavage with TiO<sub>2</sub> NPs (21 nm) at a dose of 0 or 150 mg/kg bw per day for 30 days. According to the authors, the results showed that oral exposure to TiO<sub>2</sub> NPs decreased the 'richness and evenness' of gut microbiota.

#### **Rats**

Rats were administered via gavage with TiO<sub>2</sub> NPs (29 nm) at doses of 0, 2, 10, 50 mg/kg bw per day for 30 days (Chen et al., 2019) (scoring 2 for NSC). Changes in the gut microbiota and gut-associated metabolism were analysed through 16S rRNA sequencing and faeces metabolomics, respectively. The results showed that exposure to TiO<sub>2</sub> NPs led to structural and compositional changes in the gut microbiota over time and to alteration of metabolites in faeces.

In a 90-day study (Chen et al., 2020a) (scoring 2 for NSC), animals were administered by gavage with TiO<sub>2</sub> NPs (29 nm) at doses of 0, 2, 10 or 50 mg/kg bw per day. SCFAs in rat stool samples were analysed by targeted metabolomics. The results showed no change in the SCFAs content after exposure to TiO<sub>2</sub> NPs.

In Zhao et al. (2020) (scoring 1 for NSC), weaning rats were administered via gavage with TiO<sub>2</sub> NPs (25 nm) at doses of 0, 1, 50 and 100 mg/kg bw per day for 14 days. Faeces samples were collected and were used for microbiota analysis. The results indicated that the gut microbiota composition was altered by TiO<sub>2</sub> NPs treatment.

### **Concluding remarks**

The Panel noted that changes in GIT microbiota in response to exposure to other substances used as food additives were observed (Swidsinski et al., 2009a,b; Renz et al., 2012; Merga et al., 2014; Cani and Everard, 2015; Chassaing et al., 2015, 2017; Romano-Keeler and Weitkamp, 2015; Lecomte et al., 2016; Nejrup et al., 2017; Holder and Chassaing, 2018; Jiang et al., 2018; Viennois and Chassaing, 2018; Marion-Letellier et al., 2019). However, there is currently no consensus on when changes in GIT

microbiota should be considered adverse.<sup>16</sup> Accordingly, the Panel was unable to come to any conclusion regarding the effects of E 171 on GIT microbiota and related effects on health.

#### 4.2. Data submitted to the call for data from the European Commission as follow-up of the re-evaluation of E 171

As mentioned in Section 3, an EOGRT study together with complementary reports (Documentation provided to EFSA No 1, 2, 3,4, 5 and 6) and additional clarifications (Documentation provided to EFSA No 11–13, 16–18) were submitted to EFSA.

The tested material corresponds to a commercial brand of E 171 for which data were submitted during the assessment of the amendment of the EU specifications for E 171 (sample E) (EFSA FAF Panel, 2019). Physico-chemical characterisation by two other laboratories (Documentation provided to EFSA No 11; Verleysen et al., 2020) confirmed that the test substance, after applying sample dispersion protocols, consists almost exclusively of near-spherical constituent particles with a median diameter in the order of 100 nm that are often agglomerated (i.e. 50% of the individual particles are at the nanoscale). The crystalline form of this E 171 is anatase.

E 171 was administered via the diet.<sup>17</sup> The concentration was adjusted weekly using the food consumption values from the previous week to maintain a constant dose level in relation to the animals' body weights. The actual E 171 intake for each test week was reported in mg/kg body weight per day and calculated from the relative food consumption per day and the nominal E 171 concentration that was used in that test week. The titanium levels in the control and test diets were determined by inductively coupled plasma optical emission spectroscopy (ICP-OES). The background level of titanium in the diet was 17 mg Ti/kg diet (mean value) and ranged 11–31 mg Ti/kg diet.

The EOGRT study was performed in male and female rats according to OECD TG 443 and good laboratory practice (GLP) compliance. In the F0 generation, E 171 was administered in the diet at doses of 0, 100, 300 or 1,000 mg/kg bw per day from 10 weeks prior to mating until weaning of the F1 generation. The F1 generation received these diets from weaning until PND 4 or 8 of the F2 generation. The F2 generation was exposed through the milk until the termination of the study on PND 4 or PND 8. Duration of dosing depended on the endpoints under evaluation in the different cohorts, with the longest duration of treatment up to 18 weeks. Endpoints included were consistent with those specified in OECD TG 443 guidance in order to ensure the investigation of reproductive and developmental toxicity of E 171 (except for balanopreputial separation, as noted below in the section 'Growth and sexual development'). Table 2 describes parameters considered in the EOGRT study with the corresponding generation/cohort/number of animals for each.

**Table 2:** Parameters considered in the EOGRT study

Generation	F0	F0 satellite	F1 pups	F1 1A	F1 1B	F1 2A	F1 2B	F1 3	F2
Number of animals/sex per group	20	30		20	20	10	10	10	
Mortality	X		X	X	X	X		X	
Clinical signs	X			X	X	X		X	
Body weight	X		X	X	X	X		X	X
Food consumption	X			X	X	X		X	
Water consumption	X				X				
Haematology	X			X					
Clinical biochemistry	X			X					
Lymphocyte typing (spleen)				X					
Urinalysis	X			X					
Sexual hormone levels	X			X	X	X	X		

<sup>16</sup> EFSA has launched a thematic Art. 36 grant to review the state of the art and critically appraise the evidence, technologies and models (*in vitro/in silico/in vivo*) available. This thematic grant should yield a roadmap to advance research to address risk assessment needs to account for effects on/by gut microbiota in humans and domestic animals ([https://www.efsa.europa.eu/sites/default/files/documents/art36/gpefsaenco202002/Call%20for%20Proposals\\_Thematic%20Grant.pdf](https://www.efsa.europa.eu/sites/default/files/documents/art36/gpefsaenco202002/Call%20for%20Proposals_Thematic%20Grant.pdf))

<sup>17</sup> The appropriate amount of E 171 and diet (ssniff® R-Z V1320) were mixed with an impact mill to produce a premix. The premix was further added to diet, mixed with a mixer for 15 min and the mixture was freshly prepared once a week.

Generation	F0	F0 satellite	F1 pups	F1 1A	F1 1B	F1 2A	F1 2B	F1 3	F2
Thyroid hormone levels	X		X	X					
Sexual maturation				X	X	X		X	
Oestrous cycle data				X					
Sperm parameters	X			X					
Necropsy	X		X	X	X	X		X	X
Histopathology	X			X		X	X		
Reproductive parameters	X		X		X				
Pre-postnatal development			X		X				X
Functional neurotoxicity observations						X			
Neurohistopathology						X	X		
Lymphocyte typing (spleen) after KLH immunisation				X				X	
Anti-KLH IgM levels after KLH immunisation								X	
Aberrant crypt foci (ACF) scoring		X							

EOGRT: extended one-generation reproductive toxicity; KLH: keyhole limpet haemocyanin; IgM: immunoglobulin M.

The scoring for NSC (dispersion and/or confirmation of internal exposure), according to Appendix E (high doses only, with no considerations on dispersion, and insufficient confirmation of exposure to the fraction of small particles) was 4.

#### 4.2.1. Assessment of internal exposure

The Panel noted that the basal diet contained an amount of Ti equivalent to approx. 1.4 mg TiO<sub>2</sub>/kg bw per day and that there were variable measurable levels of Ti in blood and urine from control animals. The Panel considered that there were small dose-related increases in Ti concentrations in blood and urine in E 171-treated animals. In particular, the Ti blood concentration in cohort F2 at PND 4–7 was increased after correction for background. This is consistent with exposure via placenta and possibly milk, and thus, the dams must have taken up TiO<sub>2</sub> as well. Data on Ti concentration in urine in parental animals may be not accurate due to possible contact with Ti-containing faeces. The Panel considered that at least a small fraction of the Ti in the mothers' diet was absorbed. Further information is available on Appendix I.

#### 4.2.2. Clinical observation, body weight and food consumption

In the F0 parental animals, no premature death or changes in general behaviour or external appearance were noted in any treatment group of either sex. Pale faeces were noted at 300 and 1,000 mg E 171/kg bw per day in both males and females. No difference in food consumption, body weight or body weight gain between control and treatment groups was observed in males and females during pre-mating, gestation and lactation. A slight but statistically significant increase in water consumption was recorded in females at 100 mg E 171/kg bw per day. Considering the lack of dose–response relationship, the temporary nature and the small magnitude, this effect was considered incidental and not-treatment-related.

In the F1 generation cohort 1A animals, no premature deaths or changes in general behaviour or external appearance were noted in any treatment group of either sex. Pale faeces were noted at 1,000 mg E 171/kg bw per day in both males and females. A slight decrease in body weight was noted in males at 300 mg E 171/kg bw per day, reaching statistical significance on test days 36 and 64. Body weight gain was not affected at any other dose. Considering the lack of a dose–response relationship, its temporary nature and small magnitude, the decrease in body weight was considered incidental and not treatment-related. No other effects on body weight, body weight gain and food consumption were observed in males and females at any dose.

In the F1 generation cohort 1B animals, no premature deaths or changes in general behaviour or external appearance were noted in any treatment group of either sex. Pale faeces were noted at 1,000 mg E 171/kg bw per day in both males and females. A slight but statistically significant decrease in body weight was noted in males at 300 mg E 171/kg bw per day, on five non-consecutive testing

days. Body weight gain was not affected at any dose. Considering the lack of dose–response relationship, the temporary nature and the small magnitude, this effect was considered incidental and not treatment-related. No other effects on body weight, body weight gain, food and water consumption were observed in males and females at any dose.

In the F1 generation cohorts 2A and 2B animals, no premature deaths or changes in general behaviour or external appearance were noted in any treatment group of either sex. Pale faeces were noted at 1,000 mg E 171/kg bw per day in both males and females. No effects on body weight or body weight gain were noted. Transient statistically significant but inconsistent changes in food consumption were noted in both sexes at all doses, but considering the lack of dose–response relationship, their temporary nature and small magnitude, these effects were considered incidental and not treatment-related.

### 4.2.3. Clinical pathology

Haematology, biochemical analysis and urinalysis were performed on F0 and F1 cohort 1A generations.

#### Haematology – F0 generation

The only statistically significant haematological changes observed were in low-dose (100 mg/kg bw per day) males for haemoglobin concentration and for the haematocrit value. These differences were marginal and not dose dependent. The study authors, therefore, concluded that there were no test substance-related differences for the examined haematological parameters. The Panel agreed with this conclusion.

#### Haematology – F1 generation cohort 1 A

The only change observed was the absolute differential count of neutrophilic granulocytes, which was lower in all treated males compared to the control group; the difference attained statistical significance for low and high doses. The study authors considered this as an incidental finding, because a dose response relationship was lacking, the values were in the range of the historical control data from the laboratory, no statistically significant difference was recorded for the relative neutrophilic granulocyte count, and no differences for these endpoints were seen in females. The Panel noted that the total white blood cells (WBC) count was slightly but not statistically significantly lower in all treated males but the change was not dose related. Overall, the Panel agreed with the evaluation of the study authors.

#### Clinical biochemistry – F0

The only changes observed were decreases in serum LDL-C levels in males, statistically significant only at 100 and 1,000 mg/kg bw per day; serum alkaline phosphatase levels increased in males, statistically significantly at 1,000 mg/kg bw per day and serum chloride levels decreased in females, statistically significantly only at 300 mg/kg bw per day.

Regarding the LDL-C levels in males, the decreases were considered by the study author to be incidental as no dose–response relationship was evident. Additionally, no decreases were observed in females, in contrast, LDL-C levels were statistically significantly increased at the low- and the high-dose levels. The Panel agreed with this conclusion.

The moderate increase in alkaline phosphatase was considered by the authors as incidental. The Panel noted that there were no increases in serum AST and LDH and that serum ALT activity was only slightly increased to a level that was of no toxicologically relevance. Overall, the Panel agreed with the conclusion of the study authors.

The slight decrease in chloride level in females was considered by the authors as incidental, as no dose–response relationship was noted. The Panel agreed with this conclusion.

#### Clinical biochemistry – F1 cohort 1A

An increase in serum sodium/potassium ratio was noted in females at all doses, reaching statistical significance at 300 mg/kg bw per day.

The author considered this increase as incidental since the difference with respect to the control was only small, no dose–response relationship was noted and no changes were noted for the male animals. Furthermore, the observed changes were in the normal range of the historical control data of the laboratory, and no changes were seen in the serum sodium levels. No other test substance-related changes were observed. The Panel agreed with this conclusion.



## Urinalysis – F0

Regarding the examined urinalysis parameters, no test substance-related differences were noted between the control group and all treatment groups in the F0 generation.

## Urinalysis – F1 generation cohort 1A

The only changes observed were a statistically significant increase in pH value at all doses in females and an increase in urinary volume at all doses in females, reaching statistical significance at 1,000 mg/kg bw per day.

The author considered this increase as incidental since no dose–response relationship was noted. In addition, all values were in the range of the historical control data of the laboratory. The Panel agreed with the study authors that there were no treatment-related effects.

### 4.2.4. Endocrine function

#### Thyroid hormones (T4, T3 and TSH)

Thyroid hormones (T4, T3 and thyroid-stimulating hormone (TSH)) were measured in the F0 generation at necropsy in treatment week 20, in the F1 Pups (at PND 4 and PND 22) and in F1 cohort 1A (at PND 87–92).

In addition, in order to investigate the 24-h circadian variations in hormone levels, an analysis of the variation in T4, T3 and TSH levels were conducted in satellite animals from the F0 control and high-dose groups. Blood from the animals (five animals for each time point) was taken at 2-h intervals pre-dosing (treatment day 14/15) and after 10 weeks of dosing (treatment day 84/85).

#### **F0 generation**

According to the authors, there were no test substance-related differences in T4, T3 and TSH levels between the control group and the treatment groups (100, 300 or 1,000 mg E 171/kg bw per day) in either male or female F0 animals.

A slight but statistically significant increase in T3 level was noted in females at the high-dose level (+ 11% compared to control,  $p \leq 0.05$ ). There were no other significant differences in T3, T4 and TSH this or any other dose group.

The mean and individual values from the animals in the control and the three treatment groups were within the same range of values observed in a satellite group monitored for circadian variations of hormones over a 24-h period. The study authors considered the observed change to be incidental and not related to the test substance.

The Panel considered that there were no treatment-related effects.

#### **Satellite – Circadian cycles of thyroid hormones levels**

Thyroid hormones are subject to substantial variation during the day, and overall no test substance-related effect was noted between the F0 control and high-dose groups for the examined time point after 10 weeks of treatment.

The Panel agrees with the study authors that there was no treatment-related effect on the circadian variation of thyroid hormones in the F0 high-dose group.

#### **F1 Pups**

According to the study authors, there were no test substance-related differences in T4 on PND 4 and T4, T3 and TSH on PND 22) between the control and treatment groups (100, 300 or 1,000 mg E 171/kg bw per day) in either male or female F1 pups.

The Panel agrees with the conclusions of the study authors that there were no treatment-related effects on thyroid hormone levels.

#### **F1 generation cohort 1 A**

According to the study authors, there were no test substance-related differences in T3, T4 and TSH at sacrifice at about PND 90 between the control and treatment groups (100, 300 or 1,000 mg E 171/kg bw per day) in either male or female F1 cohort 1A animals.

The study authors reported statistically significantly lower mean T3 levels in low-dose females (–13.2%) compared to controls. The finding was considered incidental as there was no dose relationship. Moreover, all mean and individual values were within the normal range of values associated with the circadian variation. The Panel agrees with the study authors.

Mean TSH was higher than controls in females in all three treatment groups (+ 58.5%, + 120.0% and + 120.4% for 100, 300 and 1,000 mg/kg bw per day, respectively, compared to control), but the increases did not reach statistical significance. According to the authors, lack of statistical significance was due to the variability of the individual values. Furthermore, no dose–response relationship was observed between the intermediate and the high-dose groups.

Although a treatment-related effect on F1 serum TSH levels cannot be excluded, the Panel considered that the observed effect was more likely incidental than a treatment-related effect. This was because the higher mean serum TSH in treated the F1A (cohort 1A, PND 90) was not statistically significant, was within the normal control range and there were no concordant changes in T3/T4 (T3 was slightly but significantly decreased by 13% at 100 mg/kg only, with no significant changes in T4). In addition, there were no group differences in TSH in the F1 pups at weaning (PND 22).

### **Sex hormones**

Fasting serum oestradiol, oestrone and testosterone levels were measured in parental F0 at sacrifice (study week 20), in F1 cohort 1A (PND 86–96), cohort 1B (PND 120–136), cohort 2A (PND 84–90) and cohort 2B (PND 21–23).

In addition, in order to investigate the 24-h circadian variations in hormone levels, oestradiol and testosterone levels were examined in satellite F0 animals from the control and the high-dose groups. Blood was withdrawn at 2-h intervals for 24 h pre-dosing (treatment day 14/15) and after 10 weeks of dosing (treatment day 84/85) (n = 5/time point).

### **F0 generation**

According to the authors, there were no test substance-related differences in serum oestradiol, oestrone and testosterone levels between the control and the treatment groups (100, 300 or 1,000 mg E 171/kg bw per day) in either males or females.

Mean oestrone levels were higher in F0 males at the mid dose (+ 33.5% compared to control,  $p \leq 0.01$ ) and high dose (+47.4% compared to control, not statistically significant).

The study authors considered that these differences were not test substance-related, because the individual oestrone values were in the same range as controls or only marginally higher for the mid dose (control range: 5.8–13.5 pg/mL vs. mid dose: 8.7–14.0 pg/mL).

The authors further noted a single high oestrone level of 36.4 pg/mL for one animal in the high-dose group and considered it as incidental.

The Panel agreed with the study authors, that there were no treatment-related effects on serum oestradiol, oestrone and testosterone levels in F0.

### **Satellite F0 animals – Circadian cycles of sexual hormone levels**

Sex hormones are subject to substantial circadian variation and overall, no test substance-related effects were noted between the control and high-dose groups for any of the examined time points after 10 weeks of treatment.

The Panel agreed with the study authors that there were no treatment-related effects on the circadian range of serum oestradiol and testosterone levels in F0 animals.

### **F1 generation cohort 1A**

The study authors reported no test substance-related differences in serum oestradiol, oestrone and testosterone levels between control and treatment groups (100, 300 or 1,000 mg E 171/kg bw per day) in either male or female F1 cohort 1A animals at sacrifice on about PND 90.

An increase in oestrone levels in males was noted, reaching statistical significance at the high-dose level (+ 14.4%, + 45.0% and + 51.6% compared to control for 100, 300 and 1,000 mg/kg bw per day, respectively). The study authors considered this finding as incidental because the measured values in the mid- and high-dose groups were in the same range as those in the cohort 2A control and low-dose groups of animals of comparable age (about PND 90). The finding was therefore attributed to the inherent variability in the oestrone concentration and not related to the test substance.

The Panel agreed with the study authors' conclusions and additionally noted that the significantly higher mean serum oestrone level seen in 1,000 mg/kg bw per day males of the F1 cohort 1A was an isolated finding, not seen in the cohort 1B; there were no test substance-related effects on serum oestradiol, oestrone and testosterone in F1 cohort 1A.

### **F1 generation cohort 1B**

According to the authors, there were no test substance-related differences in serum oestradiol, oestrone and testosterone between F1 cohort 1B control and treatment groups (100, 300 or 1,000 mg E 171/kg bw per day) in either male or female F1 cohort 1B at sacrifice on about PND 90.

Mean oestradiol levels were lower than controls in mid- and high-dose males (–18.1% and –14.8%, respectively), statistically significant for the mid-dose group only. The study authors considered this finding as incidental because in cohort 1B control mean oestradiol was anomalously high; the mean values in cohort 1B mid- and high-dose males were actually higher (not lower) than the normal circadian range in F0, cohort 1A and cohort 2A. The finding was therefore attributed to increased concentrations of oestradiol in the control group of cohort 1B and was therefore considered to be incidental and of no toxicological relevance.

Mean testosterone levels were higher than controls in mid- and high-dose cohort 1B females (+ 20.4% and + 12.3% compared to control for 300 and 1,000 mg/kg bw per day, respectively), reaching statistical significance for the high-dose group only. The authors considered this finding to be incidental because all the values in the mid- and high-dose females of cohort 1B were within or only slightly above the normal circadian range. The authors further noted that female testosterone levels in cohort 1B controls were slightly lower than those in F0 and cohorts 1A and 2A controls.

The Panel agreed with the study authors that these are isolated findings, not related to treatment, and that there were no test substance-related effects on serum oestradiol, oestrone and testosterone in F1 cohort 1B.

### **F1 generation cohort 2A**

According to the authors, there were no test substance-related differences in serum oestradiol, oestrone and testosterone between control and treatment groups (100, 300 or 1,000 mg E 171/kg bw per day) in either male or female F1 cohort 2A at sacrifice on PND 84–90.

Non-statistically significant differences were noted by the study authors for mean serum oestrone and testosterone levels in F1 cohort 2A males and oestradiol in females. Some variations in hormone levels were observed, but these variations were within the normal circadian range (with the exception of oestrone, whose circadian variation was not measured).

The Panel agreed with the study authors that these are isolated findings, not related to treatment, and that there were no test substance-related effects on serum oestradiol, oestrone and testosterone in F1 cohort 2A.

### **F1 generation cohort 2B**

According to the study authors, there were no test substance-related differences in serum oestradiol, oestrone and testosterone between control and treatment groups (100, 300 or 1,000 mg E 171/kg bw per day) in either male or female F1 cohort 2B at sacrifice on PND 21–23.

Mean serum testosterone levels were significantly lower than controls in mid-dose females (–31%). Lower mean testosterone levels, albeit non-statistically significant, were also seen in mid- and high-dose males and in low- and high-dose females, without a dose–response relationship. Some of the individual values in all dose groups were below the circadian range determined in satellite animals during the study. The Panel considered that these findings were incidental.

It is noted that lower testosterone levels, albeit non-statistically significant, were also seen in treated cohort 2A females, but again without a dose–response relationship. The Panel therefore considered that this finding was incidental, and that there were no test substance-related effects on serum oestradiol, oestrone and testosterone in F1 cohort 2B.

## **Overall conclusions on effects on hormone levels**

Overall, the Panel concluded that, although there were occasional statistically significant differences between control and treated groups, there were no consistent treatment-related effects on T4, T3, TSH, oestradiol, oestrone and testosterone levels in F0 or F1 animals, and that therefore there was no evidence for a treatment-related effect on thyroid or sex hormone levels.

### **4.2.5. Pathology**

All or part of the animals – depending on the cohort to which they were assigned – were subjected to an extensive macroscopic and microscopic examination, in accordance with OECD TG 443. Necropsy of the F0 generation (dams and males) was scheduled (shortly) after weaning of the F1 animals;

necropsy of the F1 generation at the end of the dosing period (PND 86–96, cohort 1A), after behavioural testing (PND 84–90, cohort 2A) or on PND 21/22/23 (cohort 2B). At necropsy, animals were sacrificed under CO<sub>2</sub> anaesthesia and by exsanguination from abdominal aorta and were further dissected and examined macroscopically for any abnormalities or pathological changes, with special attention given to the reproductive system and GI tract. Organ weights were recorded.

Pathology examination was performed in accordance with OECD TG 443.<sup>18</sup> This guideline indicates that in principle the control and high-dose groups must be examined and, when adverse effects are observed in the high-dose group, then the mid- and low-dose groups should additionally be examined. In males, qualitative stages of spermatogenesis and histopathology of interstitial testicular structure were studied on one testicle and one epididymis. In females, primordial and small growing follicles were evaluated quantitatively together with the corpora lutea on one ovary. Fresh bone marrow was obtained from the femur (3 air-dried smears/animal: all groups).

Tissues examined for neurohistopathology were selected from F1 cohorts 2A and 2B (control and high-dose group), i.e. brain [olfactory bulbs, cerebral cortex, hippocampus, basal ganglia, thalamus, hypothalamus, mid-brain (tectum, tegmentum and cerebral peduncles), brainstem and cerebellum]. From the F1 cohort 2A, the eye with optic nerve and retina, muscle (skeletal), nerve (sciatic) and spinal cord (3 sections) were also studied. Neurohistopathology evaluation included a qualitative and semi-quantitative microscopic inspection of the selected nervous tissues focussing on: (i) alterations in gross size or shape of olfactory bulbs, cerebrum or cerebellum; (ii) in the relative size of various brain regions (resulting, e.g. from loss or persistence of normally transient populations of cells or axonal projections); (iii) areas of apoptosis or necrosis, clusters or dispersed populations of ectopic, disoriented or malformed neurons or alterations in the relative size of various layers of cortical structures (indicative of alterations in proliferation, migration and differentiation); (iv) alterations in patterns of myelination; and (v) enlargement of ventricles, stenosis of cerebral aqueduct and thinning of cerebral hemispheres. Finally, a quantitative evaluation, i.e. linear morphometry, was performed (cohort 2A, control and high-dose group) in cerebral cortex, mid-brain, brainstem and cerebellum.

All animals survived until the scheduled necropsy.

Gross pathology – including organ weights – of F0 (all groups; dams and males) and F1 generations (cohorts 1A, 2A and 2B; all groups; males and females) with special attention to the reproductive system and GI tract, did not identify any test substance-related effects. In one animal from the high-dose group (F0, male), 'white fine granular deposit' was observed at the mucosa of the duodenum but this was considered by the authors to be of no toxicological relevance.

The histopathological examination of F0 and F1 generations and comparison of the high-dose group and the control group did not identify any test substance related changes, either in the F0 generation (dams and males) or the F1 generation cohort 1A (males and females).

No test substance-related histological changes were observed in the testis and epididymis in all animals in the control and high-dose groups (F0 and F1 generation cohort 1A). This included an absence of any effects on any spermatogenic phases (proliferative, meiotic and spermiogenic). Furthermore, in the females, no statistically significant differences were observed for the total mean of primordial and small growing follicles as well as corpora lutea for the high-dose group, when compared to control group.

Qualitative and quantitative neurohistopathological examination was performed on cohorts 2A and 2B.

No test substance-related abnormalities were found in the cohort 2A high-dose group animals during qualitative examination. The only abnormality observed appeared in an animal of the control group (eye/optic nerve: slight unilateral retrobulbar haemorrhage). The Panel noted that evaluation of sections in cohort 2A from brain level 1<sup>19</sup> containing variable amounts of olfactory bulbs indicated no abnormalities.

Subarachnoid haemorrhages were observed in animals of both sexes in both the control and high-dose groups of cohort 2B. This was attributed to the preparation and processing of the brain and therefore artefacts. The Panel agreed with this conclusion.

<sup>18</sup> Selected tissues were preserved in a suitable fixative and further processed for histopathology where appropriate: adrenal gland; bone; bone marrow (femur); brain (cerebrum, cerebellum, pons); colon; duodenum; epididymis; eye with optic nerve; heart (3 levels – right and left ventricle, septum); ileum; jejunum; kidney; liver, lymph node, lungs (with main stem bronchi and bronchioles); lymph node (cervical and mesenteric); mammary gland; muscle (skeletal); nerve (sciatic); oesophagus; Peyer's patches; pituitary; prostate; rectum; seminal vesicles with coagulating glands; spinal cord (3 sections); spleen; stomach; testicle; thymus, thyroid (including parathyroids); trachea (including larynx); ureter and urinary bladder.

<sup>19</sup> Brain level 1 refers to the first coronal section of the brain containing the olfactory bulb following a rostral to caudal trimming.

Quantitative neuropathology assessment of cohort 2A and comparison of total means of all measurements in the brain sections examined (cerebrum, mid-brain, cerebellum and brain stem) did not reveal any pathological changes indicative of toxicity for both sexes.

From the results of gross pathology of all animals and the (quantitative) microscopic assessment of animals of the control and high-dose groups of the F0 (dams and males) and F1 generations (cohorts 1A, 2A and B; males and females), the Panel concluded that there were no test-substance related effects.

#### 4.2.6. Aberrant crypt foci examination in satellite F0 animals

Although not a requirement in the OECD TG 443, an evaluation of ACF in the colon of satellite F0 animals (10/sex per group) treated with 0, 100, 300 and 1,000 mg E 171/kg bw per day and terminated after weaning was undertaken. The Panel noted that the design of the study did not include a positive control group (e.g. treatment with a known GIT tumour initiator such as DMH) for the development of ACF.

The colon was excised, opened longitudinally and the contents removed by rinsing with a 0.9% NaCl solution. Thereafter, the tissue was divided in parts of a suitable size for fixation by immersion in 5% buffered formalin. A blind examination of these samples stained with 0.5% (w/v) methylene blue in water was performed under a stereomicroscope at 50x magnification for presence of ACF. The study pathologist followed the definition of ACF given by Shwter et al. (2016), i.e. 'foci containing more than 2 ABCs'.

No ACF were found in the colons of the control and the treated groups that would fulfil the above definition. A mildly increased morphological variability (increased size and intensity of the staining of a small portion) of the crypts in the two caudal parts of colon was observed in seven animals (males: 1/10, 0/10, 1/10, 1/10; females: 1/10, 0/10, 1/10, 2/10 in the control, low-, mid- and high-dose groups, respectively). These changes were assessed by the study pathologist as inconsistent with the appearance and definition of ACF presented in Shwter et al. (2016). Based on the description in the report, the Panel agreed with this conclusion. Furthermore, the incidence of these single crypts observed in the mid and high doses was not significantly different from the control.

The additional submission of data by the interested party (Documentation provided to EFSA No 15) included photomicrographs of mildly increased variability in crypt morphology from all seven animals. In this submission, a re-examination was extended to an additional randomly selected nine control animals (4M and 5F) and eight high-dose group animals (3M and 5F). A transmitted light microscope instead of an incident light microscope was used to improve visualisation. Among these additional animals, a mild increased variability in crypt morphology was observed in eight of the nine (4M and 4F) controls and six of the eight (3M and 3M) high-dose animals.

Based on the evaluation of the data from the first and additional submission, the Panel considered that oral exposure to E 171 at doses up to 1,000 mg/kg bw per day did not induce ACF in the colon.

#### 4.2.7. Reproductive and developmental toxicity

##### Evaluation of sexual function and fertility

###### **Male fertility**

An overview of results for male fertility parameters is reported in Table 3. No statistically significant or dose-related effects on sperm motility, total spermatids/gram testis, percentage of abnormal spermatozoa and male mating index were observed in the F0. The slight decrease in the number of successful matings at 300 and 1,000 mg/kg bw per day appears unrelated to the male partners, as all males that failed to impregnate their females showed normal sperm motility and sperm counts. Only one of the high-dose males was found to have a lower testicular spermatid content (50% of the group mean), a finding that was also associated with a slightly lower testis weight (85% of the group mean). The number of abnormal sperm was low in all dose groups and remained below 2% in the few males in which abnormal sperm were found. The Panel noted that the epididymal sperm parameters were not evaluated; this is a deviation from the OECD TG 443. The Panel considered that this deviation has no effect on the final conclusion of the study.

There were no effects on any of the sperm endpoints in the cohort 1A.



**Table 3:** Male fertility parameters of F0 and F1 (cohort 1A)

Dietary dose (mg/kg bw per day)	F0				F1 (cohort 1A)			
	Control	100	300	1,000	Control	100	300	1,000
No. of males in study	24	24	24	24	20	20	20	20
No. of fertile males <sup>(a)</sup>	24	23	22	22	–	–	–	–
No. of males evaluated	20	20	20	20	20	20	20	20
Testis weight (right), g	1.85	1.88	1.88	1.74	1.74	1.72	1.62	1.65
Spermatis/g testis ( $\times 10^6$ )	106.2	106.4	102.5	103.2	106.1	107.9	107.5	112.0
% motile spermatozoa	72.0	71.6	70.7	70.7	73.5	74.4	73.8	72.0
No. of males with some abnormal spermatozoa (< 2%) <sup>(b)</sup>	6	4	0	3	0	0	0	0

bw: body weight.

(a): Number of males inducing pregnancy in female partners.

(b): Calculated by the Panel based on individual data.

### Female fertility

An overview of results for female fertility parameters is reported in Table 4. No effects on mean oestrus cycle duration were noted in F0 and F1 (cohort 1B) parental generations and all F0 females in the control, 100, 300 and 1,000 mg/kg bw per day groups mated. In the F1 generation 2 and 3 animals from the mid- and the high-dose groups, respectively, were erroneously removed from the study, before mating had been unequivocally confirmed. All other females mated, except one F1 female in the 100 mg/kg bw per day group. With few exceptions, mating occurred at the first oestrus after the females were housed with males. No effects of treatment were observed.

The pregnancy rate was slightly lower in the F0 generation at 300 and 1,000 mg/kg bw per day (100, 96, 92 and 92%). As this finding was not confirmed in the F1 generation (100, 95, 94 and 100%) the Panel considered it as incidental and not treatment-related. No effects were noted on pregnancy duration, number of implantation sites and post-implantation loss. Although they occurred in the mid- and high-dose groups, three single total litter losses, either from total resorption of all embryos or from death of the litter during or shortly before birth, were not considered to be due to treatment. This is because the two F0 dams had unusually small litters of two pups each, which were stillborn, and the F1 dam showed total resorptions of eight implants at necropsy after failing to litter. Live litter sizes and litter weights were comparable to control values in all dose groups in the F0 and the F1 generation.

**Table 4:** Female fertility and litter data of F0 and F1 (cohort 1B)

Dietary dose (mg/kg bw per day)	F0				F1 (cohort 1B)			
	Control	100	300	1,000	Control	100	300	1,000
No. of females paired	24	24	24	24	20	20	20	20
No. of females mated	24	24	24	24	20	19	18	17
No. of females pregnant	24	23	22	22	20	19	17	17
Live litters	24	23	21	21	20	19	17	16
Total litter loss	0	0	1	1	0	0	0	1
Mean oestrous cycle duration (days)	4.6	4.5	4.5	4.4	4.4	4.4	4.2	4.5
Precoital interval > 4 days <sup>(a)</sup>	0	1	1	1	2	0	3	0
Duration of pregnancy > 23 days <sup>(a)</sup>	0	1	1	1	0	0	0	0
Mean duration of pregnancy (days)	22.6	22.7	22.8	22.9	22.6 <sup>(b)</sup>	22.6 <sup>(b)</sup>	22.3 <sup>(b)</sup>	22.5 <sup>(b)</sup>
Implantation sites/dam	14.7	15.5	15.6	15.3	15.6	16.0	16.2	15.3
Total number of implantation sites	294	309	311	306	311	304	275	260
Post-implantation loss	38	24	48	30	20	29	16	28
Post-implantation loss (mean per dam, %)	17.5	8.1	17.0	11.8	6.7	10.1	6.0	12.8

Dietary dose (mg/kg bw per day)	F0				F1 (cohort 1B)			
	Control	100	300	1,000	Control	100	300	1,000
Litters with > 2 post-implantation losses <sup>(a)</sup>	4	3	9	4	2	3	1	3
Live litters with > 1 stillborn <sup>(a)</sup>	0	1	0	1	0	0	0	0
Live litter size	12.7	14.3	13.5	13.7	14.6 <sup>(b)</sup>	14.4 <sup>(b)</sup>	15.3 <sup>(b)</sup>	13.7 <sup>(b)</sup>
Litter weight at birth	87.3	97.5	95.3	94.6	97.7 <sup>(b)</sup>	97.2 <sup>(b)</sup>	97.2 <sup>(b)</sup>	96.4 <sup>(b)</sup>

(a): Calculated by the Panel based on individual data.

(b): Calculated by the Panel based on individual data and including all 21 pregnant animals with live litters in the study.

### Conclusions on sexual function and fertility

No effects of E 171 on sexual function and fertility were observed in either males or females.

### Evaluation of developmental toxicity

#### *Pre- and postnatal lethality, structural abnormalities*

No treatment-related pre- or postnatal loss was observed in the F0 and F1 generations. The average litter size at birth in all dose groups was comparable, or even higher than in the control group. The sex ratio was unaffected (see Table 5).

No external or internal abnormalities were detected in F1 and F2 pups at termination.

**Table 5:** Offspring data for F1 and F2 generations

Dietary dose (mg/kg bw per day)	F1				F2			
	Control	100	300	1,000	Control	100	300	1,000
No of pups delivered	309	332	286	294	292	276	261	233
Live-born pups	304 <sup>(a)</sup>	317 <sup>(a)</sup>	283 <sup>(a)</sup>	287 <sup>(a)</sup>	291	274	259	233
Stillborn/dead pups on PND 1	5 <sup>(a)</sup>	15 <sup>(a)</sup>	3 <sup>(a)</sup>	7 <sup>(a)</sup>	1	2	2	0
% males	52.0 <sup>(a)</sup>	52.4 <sup>(a)</sup>	49.1 <sup>(a)</sup>	51.6 <sup>(a)</sup>	54.6	54.7	48.8	49.4
Litter size PND 1	12.7 <sup>(a)</sup>	13.8 <sup>(a)</sup>	13.5 <sup>(a)</sup>	13.7 <sup>(a)</sup>	14.6	14.4	15.2	13.7
Litter size PND 4 (pre-cull)	12.3 <sup>(a)</sup>	13.2 <sup>(a)</sup>	13.1 <sup>(a)</sup>	13.2 <sup>(a)</sup>	12.9	14.1	14.9	13.4
Litter size PND 4 (post-cull)	8.8 <sup>(a)</sup>	9.5 <sup>(a)</sup>	9.9 <sup>(a)</sup>	9.8 <sup>(a)</sup>	–	–	–	–
Litter size PND 21	8.3 <sup>(a)</sup>	9.1 <sup>(a)</sup>	9.6 <sup>(a)</sup>	9.5 <sup>(a)</sup>	–	–	–	–
Total litter loss PND 2–4	0 <sup>(a)</sup>	0 <sup>(a)</sup>	0 <sup>(a)</sup>	0 <sup>(a)</sup>	1	0	0	0
Litters with pup loss > 1 PND 2–4	1 <sup>(a)</sup>	1 <sup>(a)</sup>	2 <sup>(a)</sup>	2 <sup>(a)</sup>	3	1	0	1
Litters with pup loss > 0 PND 5–21	4 <sup>(a)</sup>	4 <sup>(a)</sup>	3 <sup>(a)</sup>	3 <sup>(a)</sup>	–	–	–	–

(a): Calculated by the Panel based on individual data for F1.

### Growth and sexual development

An overview of the results related to growth and sexual development for the F1 and F2 generations is reported in Table 6. No treatment-related effects were observed in birth weights and growth of the pups. There were no indications for any androgenic and/or oestrogenic effects on the male and female anogenital distance (AGD) and the retention of nipples in males. The Panel noted that instead of examining balanopreputial separation as required by the OECD TG 443 the laboratory examined balanopreputial gland cleavage which does not comply with the OECD TG 443 and cannot be considered a measure of puberty in males.

The mean age at vaginal opening was comparable between control and treated groups. The statistically significant lower body weight on the day of vaginal opening in cohort 1A at 300 mg/kg bw per day was not considered to be biologically relevant due to the slightly higher litter sizes in all treated groups, which would have given a growth advantage to the control group.

**Table 6:** Pup body weight development and attainment of puberty

Dietary dose (mg/kg bw per day)	F1				F2			
	Control	100	300	1,000	Control	100	300	1,000
Birth weight males (g)	7.19 <sup>(a)</sup>	7.26 <sup>(a)</sup>	7.25 <sup>(a)</sup>	7.22 <sup>(a)</sup>	7.01	6.94	6.59	6.87
PND 4	9.96 <sup>(a)</sup>	9.86 <sup>(a)</sup>	9.83 <sup>(a)</sup>	9.77 <sup>(a)</sup>	9.68	9.58	8.94	9.34
PND 14	32.34 <sup>(a)</sup>	30.76 <sup>(a)</sup>	30.61 <sup>(a)</sup>	31.41 <sup>(a)</sup>	–	–	–	–
PND 21	53.49 <sup>(a)</sup>	51.92 <sup>(a)</sup>	49.56 <sup>(a)</sup>	51.02 <sup>(a)</sup>	–	–	–	–
AGD/body weight cubed PND 4	17.0 <sup>(a)</sup>	16.5 <sup>(a)</sup>	17.1 <sup>(a)</sup>	17.5 <sup>(a)</sup>	15.92	15.38	15.87	15.53
Nipple retention PND 13 <sup>(b)</sup>	0	2	3	0	–	–	–	–
Age (PND) at balanopreputial gland cleavage C1A <sup>(c)</sup>	22.3	22.6	22.1	22.2	–	–	–	–
Body weight (g) on day of balanopreputial gland cleavage C1A	59.1	60.4	55.4	57.3				
Age (PND) at balanopreputial gland cleavage C1B <sup>(c)</sup>	22.3	22.4	22.2	22.4				
Body weight (g) on day of balanopreputial gland cleavage C1B	59.2	58.4	56.4	58.4				
Birth weight females (g) <sup>(a)</sup>	6.80 <sup>(a)</sup>	6.88 <sup>(a)</sup>	6.96 <sup>(a)</sup>	6.82 <sup>(a)</sup>	6.55	6.55	6.22	6.59
PND 4	9.30 <sup>(a)</sup>	9.41 <sup>(a)</sup>	9.62 <sup>(a)</sup>	9.34 <sup>(a)</sup>	9.17	9.15	8.66	9.02
PND 14	30.99 <sup>(a)</sup>	29.43 <sup>(a)</sup>	29.87 <sup>(a)</sup>	29.98 <sup>(a)</sup>	–	–	–	–
PND 21	50.67 <sup>(a)</sup>	49.52 <sup>(a)</sup>	48.48 <sup>(a)</sup>	49.18 <sup>(a)</sup>	–	–	–	–
AGD/body weight PND 4	8.2 <sup>(a)</sup>	8.4 <sup>(a)</sup>	8.5 <sup>(a)</sup>	8.5 <sup>(a)</sup>	7.70	7.64	7.52	7.70
Age (PND) at vaginal opening C1A	33.6	32.8	32.8	32.8	–	–	–	–
Body weight (g) on day of vaginal opening C1A	123.0	117.8	112.4 <sup>(d)</sup>	117.5				
Age (PND) at vaginal opening C1B	33.2	32.7	32.9	32.6				
Body weight (g) on day of vaginal opening C1B	120.6	120.2	114.2	117.1				

bw: body weight; PND: postnatal day; AGD: anogenital distance.

(a): Calculated by the Panel for the pups of F0 dams based on individual data.

(b): Calculated by the Panel based on individual data; Litters with > 0 male pups with more than 2 retained nipples.

(c): The Panel noted that this test as conducted by the laboratory does not comply with the OECD TG 443 and cannot be considered a measure of puberty in male.

(d):  $p \leq 0.05$  (ANOVA and Dunnett).

### Conclusions on developmental toxicity

No effects of E 171 on pre- and postnatal development were observed. Data on the attainment of puberty in males (i.e. an appropriate assessment of the timing of the balanopreputial separation) were missing; however, given the lack of any other treatment-related effects on other parameters, the Panel does not consider this to be critical in this case.

#### 4.2.8. Neurofunctional screening

Male and female F1 cohort 2A offspring were tested for auditory startle response between PND 23 and 25, and for a functional observation battery including grip strength evaluation and for quantitative locomotor activity between PND 58 and 64.

No differences in the response to an auditory startle stimulus were observed between the control and all the tested doses.

Compared to controls, an increase in hindlimb splay was observed in females, reaching statistical significance at 100 and 1,000 mg/kg bw per day. A statistically significant increase in mean forelimb grip strength was noted at 300 mg/kg bw per day in both males and females.

To check whether the significant differences in grip strength and hindlimb splay could be due to systematic bias in group testing order, the testing order was checked (Documentation provided to EFSA No 11). The Panel considered that there was no systematic bias in group testing order and that this was therefore not a plausible explanation for the observed group differences.

Grip strength and hindlimb splay belong to the same domain of neurological function, i.e. motor function and/or sensory–motor coordination. However, the effects observed (i.e. increase in hindlimb splay and increase in mean forelimb grip strength) seem to point in opposite directions when it comes to muscle strength. In particular, an increase in hindlimb splay can be interpreted as muscular weakness whereas an increase in mean forelimb grip strength could be indicative of myotonia. The Panel noted that the effects observed were not correlated to any other changes (e.g. alterations in muscle tone, righting reflex, gait, wire manoeuvre, posture). No dose response was observed for any of these endpoints or for the two functional measurements, indicating that the likelihood of an association with test substance is low. No other changes in the functional observation battery measurements or locomotor activity were noted. Furthermore, there were no notable histopathological findings in brain or in peripheral nerve (sciatic). Based on all the above considerations, the Panel considered that the effects on grip strength and hindlimb splay were not treatment-related. However, the Panel noted that quantitative information on peripheral nerves was not available.

Overall, the Panel considered that E 171 had no adverse effects on neurofunctional endpoints in F1 cohort 2A offspring at the doses used.

#### 4.2.9. Developmental Immunotoxicity

Effects on developmental immunotoxicity were determined in the F1 cohort 3 animals through an examination of their ability to raise an antibody response to a foreign antigen. Animals are sensitised and the primary IgM antibody response to the sensitising antigen, in this case to keyhole limpet haemocyanin (KLH) antigen, is measured. The ability of the test compound to modulate serum anti-KLH antibody titre is taken as indicative of a developmental immunotoxic effect. A KLH-immunised control group also exposed to a known immunosuppressant (i.e. cyclophosphamide (CY)), resulting in at least 50% inhibition in serum IgM anti-KLH titre, is crucial for the verification of assay performance.

These data can be considered in combination with additional data related to potential immunotoxic effects. For example, in the F1 cohort 1A animals, the following parameters – even if generally not regarded as having the predictive power of functional tests such as the KLH assay – may contribute to the general assessment for immunotoxicity: weight and histopathology of the spleen, thymus and lymph nodes, as well as bone marrow histopathology, total and differential peripheral WBC count and splenic lymphocyte subpopulation distribution.

##### **T-cell-dependent anti-KLH response (KLH assay)**

The determination of serum anti KLH-IgM antibodies was performed in F1 cohort 3 (10/sex per group, PND 53–61) using an enzyme-linked immunosorbent assay (ELISA). The animals were sacrificed 5 days after intravenous bolus injection (tail vein) of KLH, blood was withdrawn and the level of anti-KLH IgM was measured in serum. In addition, satellite animals of F1 (10/sex, PND 55) were immunised with KLH and treated with CY (single administration of 40 mg/kg bw by gavage on the same day of KLH treatment) to provide a positive control (for an inhibition of immune response).

A slight, but statistically significant decrease in the antigen specific IgM level was measured at the highest dose tested (1,000 mg/kg bw per day) in males only (–9%) and without an apparent dose response.

In addition, the Panel noted that treatment with CY was not performed at the same time as the rest of F1 cohort 3, without a separate control for the CY response, conducted at the same time (Documentation provided to EFSA No 11). Since the results from the CY positive control were not valid, the sensitivity of the test was not demonstrated.

It was noted that the assay conditions may have not been optimal resulting in an apparent low antibody response to KLH when compared to literature (Gore et al., 2004), as also pointed out by the study authors (Documentation provided to EFSA No 11).

The study authors considered that all tested animals in the study had a weak immunogenic response to KLH that was insufficient to identify a T-cell-dependent immunotoxic effect of E 171. The study authors therefore considered that no conclusion can be drawn on the effect of E 171 on the developing immune system.

The Panel agreed with the conclusion of the study authors.

## Assessment of pathology, haematology and splenic lymphocyte subpopulations

At necropsy, pathology of lymphoid organs, haematology and lymphocyte subpopulations in the spleen were investigated. The spleens of all animals were cut in two, and histopathology was performed on one of the spleen halves of the F1 cohort 1A animals only. From the remaining half spleen from animals of F1 cohort 1A (20/sex per group, PND 87–96) and from the spleens of the animals of F1 cohort 3 (10/sex per group, PND 53–61), single cell suspensions were prepared for the analysis of the subpopulation of lymphocytes. The following lymphocyte subpopulations were determined via flow cytometry analysis (FACS): T cells, T helper cells, T suppressor/cytotoxic cells, NK cells and B cells.

The Panel noted that haematology, spleen weight and histopathology of lymphoid organs in animals from F1 cohort 1A did not indicate any dose-related effects. As regards the splenic lymphocyte subpopulation analysis, no statistically significant differences were observed in the percentage of T cells, T helper cells, T suppressor/cytotoxic cells, NK cells and B cells of any of the treated groups compared to control in both sexes. The study authors concluded that no test substance-related effect was observed on the proportion of the examined lymphocyte subtypes.

The Panel agreed with the study author conclusion that the splenic lymphocyte subpopulations in this cohort were not affected. However, the Panel considered that an isolated observation in F1 cohort 1A is not sufficient to conclude on immunotoxicity.

According to the study authors, when compared to animals of F1 cohort 1A, F1 cohort 3 animals showed a shift in the lymphocyte subpopulation that indicated activation of the immune system by injection of KLH, and concluded that increased B-cell proliferation may have led to the production of antigen-specific antibodies. In F1 cohort 3 animals, no differences in the relative size of the lymphocyte subpopulations were observed between the control group and the E 171-treated groups, after immunisation of the animals with KLH. The study authors argued that the B-cell shift in F1 cohort 3 was caused by KLH immunisation, supported by the fact that there was no such shift found for the positive control animals that were sensitised to KLH and treated with CY.

The study authors have acknowledged that the positive control of F1 cohort 3, i.e. the effect of CY on the antibody response to KLH, has not met with expectations. However, the authors considered that KLH induced an immune reaction, and that this response was influenced by CY in the way it would be expected (i.e. a shift in T-/B-cell populations in the spleen). According to the study authors, KLH would increase the percentage of splenic B cells and decrease the percentage of T cells. Therefore, they concluded that the immune response was affected by CY but was not adversely affected by the TiO<sub>2</sub> test substance.

The Panel did not agree with the conclusion of the study authors that a shift to B cells by KLH was substantiated. The Panel considered that it is incorrect to compare the groups of F1 cohort 1A and of F1 cohort 3 because the groups of animals of F1 cohort 3 had a different age than that of the animals in F1 cohort 1A at the time of sacrifice (PND 87–96 vs. PND 53–61, respectively). In addition, the FACS analyses on the splenic cell suspensions were not all performed in the same round of analysis but were performed separately, while it is known that this may have influenced staining and subsequent quantification.

The study authors suggested that even if the positive CY control did not perform as expected, the data still indicate there is no effect of E 171 on sensitisation to KLH. However, the Panel did not agree with this conclusion and overall considered that the data did not allow to conclude on developmental immunotoxicity with respect to E 171.

### 4.2.10. Uncertainty

The Panel identified the following uncertainties regarding the EOGRT study with respect to its validity to fully identify all potential adverse effects of E 171 when used as a food additive:

- i) The extent to which the particle size distribution of the E 171 used in the EOGRT study is reflective of the particle size distributions of E 171 when added to foods.
- ii) The extent to which the particle size distribution of E 171 in transit through the GIT in the EOGRT study was affected by the concentration in the diet (i.e. dose).

The selected test material was representative of E 171 containing a large proportion (around 50% by number) of constituent particles below 100 nm (E 171 sample E reported in EFSA FAF Panel, 2019). The particle size distribution of the E 171 in samples of the test diet was also analysed after applying a sample dispersion protocol that aims to extract E 171 particles from the feed matrix ((Documentation provided to EFSA No 16) and the results show that the particle size distribution of the constituent



particles was similar to that of pristine E 171 after dispersion (EFSA FAF Panel, 2019; Verleysen et al., 2020).

However, neither of these procedures were considered by the Panel to reliably determine the particle size distribution of E 171 in the feed. The Panel acknowledges that methods for determining particle size distributions in complex foods and feeds *in situ* are not currently available. Accordingly, the Panel considers that the extent to which the particle size distribution of the E 171 used in the EOGRT study is sufficiently reflective of the particle size distributions of E 171 when added to foods remains uncertain.

The interested business operator considered that mixing of two dry components (feed and E 171) was the best possible option to retain the particle size distribution properties of the original E 171 sample, and that the use of liquid dispersion would add further superfluous unknowns (Documentation provided to EFSA No 11).

The Panel considered that E 171 has a broad size distribution of constituent particles (from about 40 to 250 nm); considered that in dry form, this size distribution of the constituent particles is expected to be stable and further, that homogenous mixing of E 171 with dry diet is a pragmatic approach to adopt in terms of performing an animal study over an extended time frame such as the EOGRT study. The Panel considered this approach to be representative of some uses of E 171 in food (e.g. E 171 in confectionary coatings and fillings and in ready to use sauces ((Documentation provided to EFSA No 11)). However, the Panel also noted that this approach may not be fully representative for all uses of E 171 in food since liquid dispersion of E 171 was reported to be used, potentially along with additional processes, to reduce the formation of agglomerates in suspension in some products (e.g. incorporation of E 171 into a tablet coating or capsule (Documentation provided to EFSA No 17)).

The Panel considered that investigations of Ti levels in tissues would have reduced uncertainty regarding dose dependency of internal exposure. However, the Panel noted that the EOGRT study demonstrated unequivocally low levels of internal exposure to TiO<sub>2</sub> in animals that were fed a diet prepared by addition of E 171 to dry feed.

Dispersed NPs show a greater tendency to agglomerate when suspended in liquid media at higher concentrations. This concentration effect on agglomeration and/or resistance to de-agglomeration may also exist in the GIT at high-dose levels (Appendix E). The Panel therefore considered that there remains an uncertainty regarding the effects of dose levels/concentrations in feed and the extent to which agglomeration occurred in the GIT. However, the Panel considered the propensity for this agglomeration is likely reduced when exposure is via feed rather than through bolus gavage administration of E 171.

### 4.3. Genotoxicity

The genotoxicity of TiO<sub>2</sub> was reviewed by the ANS Panel in the 2016 opinion on the re-evaluation of the food additive (E 171) (EFSA ANS Panel, 2016). According to the data provided by interested parties and from the literature at that time, TiO<sub>2</sub> as E 171 was not considered to be a nanomaterial according to the EU Recommendation on the definition of a nanomaterial (i.e. 'a natural, incidental or manufactured material containing particles, in an unbound state or as an aggregate or as an agglomerate and where, for 50% or more of the particles in the number size distribution, one or more external dimensions is in the size range 1–100 nm'). Therefore, the ANS Panel had considered that the data on TiO<sub>2</sub> as a nanomaterial were not directly applicable to the evaluation of the food additive. The EFSA ANS Panel (2016) had noted mixed results in *in vitro* genotoxicity studies, which had provided some evidence of *in vitro* genotoxicity for TiO<sub>2</sub> micro- and nanoparticles. The ANS Panel had considered that most positive results were reported under experimental conditions associated with the induction of oxidative stress (as shown by increased 8-oxo-7,8-dihydro-2'-deoxyguanosine (8-oxodG), LPO and reactive oxygen species (ROS) generation), and that the genotoxic effects observed mainly concerned indicator assays (comet and H2AX histone phosphorylation), which in some studies were shown not to be associated with permanent chromosome damage such as chromosome breaks visualised as micronuclei (MN).

*In vivo*, overall negative results were obtained in genotoxicity studies with micro-sized TiO<sub>2</sub> pigment. Limited evidence of genotoxicity, if any, was provided by studies with orally administered TiO<sub>2</sub> NPs. Limited or no indication of the genotoxicity of TiO<sub>2</sub> NPs was provided by studies using an intravenous route of administration, which allows maximum exposure of target tissues. Overall, the ANS Panel had concluded that the use of E 171 as a food additive did not raise a concern with respect to genotoxicity (EFSA ANS Panel, 2016).

Upon request by the European Commission, in 2018, the ANS Panel had evaluated four new studies on the potential toxicity of E 171. One of these studies (Proquin et al., 2017) investigated the potential genotoxicity of three different TiO<sub>2</sub> test materials in two human colon cancer cells lines. The study authors reported genotoxic activity *in vitro* of E 171 in a comet assay and in a cytokinesis-block MN assay. The ANS Panel had noted that, in view of the composition of the tested E 171 (containing around 40% of nanoparticles by number), and of the available evidence of genotoxicity *in vitro* of both TiO<sub>2</sub> microparticulate (MP) and TiO<sub>2</sub> NPs, a genotoxic activity of E 171 under *in vitro* conditions could already be anticipated. In this respect, the ANS Panel also had noted that overall negative results were obtained in studies *in vivo* with both TiO<sub>2</sub> NPs and TiO<sub>2</sub> MP (EFSA ANS Panel, 2016), indicating that TiO<sub>2</sub> MP and TiO<sub>2</sub> NPs, and consequently E 171, did not raise concern for *in vivo* genotoxicity (EFSA ANS Panel, 2018).

In 2019, EFSA published a statement on the review of the risks related to the exposure to E 171 performed by ANSES (2019). With respect to genotoxicity, the ANSES opinion made reference to a systematic review of *in vitro* genotoxicity studies on nano titanium dioxide (Charles et al., 2018). According to the authors of this review, the majority of the publications analysed had shown that the *in vitro* genotoxic effect of TiO<sub>2</sub> NPs was linked to a secondary mechanism consequent to oxidative stress, although primary genotoxic effects could not be excluded. The only additional *in vivo* study identified (Jensen et al., 2019) was considered by ANSES to have methodological limitations that reduced the reliability of the negative results observed. According to the ANSES opinion, although there were no studies showing direct interaction of E 171 with the DNA and/or the mitotic apparatus, a direct effect of E 171 on genetic material or other molecules interacting with the genetic material could not be excluded. ANSES recommended further investigation of *in vivo* genotoxicity. Overall, EFSA noted that the new genotoxicity studies assessed in the ANSES's opinion did not add new elements to the previous conclusions by the EFSA ANS Panel and did not provide any reason to revise the conclusion on genotoxicity of E 171, previously drawn by the EFSA ANS Panel (2016, 2018). EFSA considered that a review of the overall genotoxicity database may be needed once the characterisation of titanium dioxide used as the food additive E 171 is completed (see EFSA, 2019).

The focus of the current updated assessment was to gather any relevant information from the newly available evidence that could be used to refine the risk assessment and to reduce the uncertainties identified by the ANS Panel in its earlier evaluation. In particular, the FAF Panel examined whether new data from the published literature could provide new evidence on the potential genotoxicity of E 171. To this aim, a literature search was performed as reported in Appendices A and B. Based on new information regarding the constituent particle size distribution of E 171 (EFSA FAF Panel, 2019) and on the updated EFSA Guidance on risk assessment of the application of nanoscience and nanotechnologies in the food and feed chain: Part 1, human and animal health (EFSA Scientific Committee, 2018a) also the references from the previous ANS Panel opinion (2016) have been considered in the present assessment. In addition, data submitted in the context of the NANOGENOTOX project, 2013 (Documentation provided to EFSA No 7, 8, 9 and 10), publications reported in the OECD dossier (OECD, 2016) and documentation provided by interested business operators (IBOs) (Documentation provided to EFSA No. 14 and 15) have been included in the current assessment using the same appraisal criteria applied to the newly published data.

Genotoxicity studies considered for this assessment are:

- *in vitro* and *in vivo* studies retrieved from the literature search (Appendices J, K),
- *in vitro* and *in vivo* studies considered in the re-evaluation of E 171 (EFSA ANS Panel, 2016) (Appendices L, M),
- *in vitro* and *in vivo* studies reported in the OECD (2016) ((published papers and results from NANOGENOTOX Project, 2013 Documentation provided to EFSA No 7, 8, 9 and 10)) (Appendices N, O) and
- *in vitro* studies submitted by IBOs (Documentation provided to EFSA No 14 and 15) (Appendix P)
- studies considered relevant for the assessment of E171 in addition to studies considered in the re-evaluation of E171 (EFSA ANS Panel, 2016) or retrieved in the updated literature search (see Section 2.2)

The score for NSC related to specific aspects in the study design (dispersion and/or confirmation of internal exposure) for all studies has been performed according to Appendix E.

The genotoxicity studies have been assessed using a scoring system for reliability based on criteria published by Klimisch et al. (1997) as explained in Appendix D. In a second step, the relevance (high, limited, low) of study results was assessed based on reliability of the study, some general aspects e.g. genetic endpoint, route of administration and status of validation of the assay and specific NSC (see Appendix D).

Genotoxicity studies evaluated as of low relevance have not been further considered in the assessment. The assessment of *in vitro* and *in vivo* studies of high and limited relevance is reported in the following sections.

#### 4.3.1. Gene mutation

##### ***In vitro* gene mutation studies**

Fourteen studies investigated the ability of TiO<sub>2</sub> NPs to induce gene mutations in mammalian cultured cells. Seven of these studies were considered of high or limited relevance and consequently were taken into account in the assessment of genotoxicity (Appendices J, L, N). Positive results were reported in two hypoxanthine-guanine phosphoribosyl transferase (HPRT) studies performed in Chinese hamster V79 lung fibroblasts (Chen et al., 2014; Jain et al., 2017) and in a Spi<sup>-</sup> gene mutation assay in primary embryo fibroblasts from gpt delta transgenic mouse (Xu et al., 2009). On the contrary, no mutagenic effect was observed in two HPRT assays, one in V79-4 cells (a cell line derived from V79) (Kazimirova et al., 2020) and one in Chinese hamster ovary cells CHO-K1 (Wang et al., 2011). In a mouse lymphoma assay (Demir et al., 2017), no statistically significant increase over the untreated control was reported and the global evaluation factor (GEF) was never exceeded; however, there was a statistically significant concentration–effect relationship in all six experiments performed, therefore the overall result was considered equivocal. Negative results were reported in another mouse lymphoma study ((NANOGENOTOX Project, 2013 Documentation provided to EFSA No 7 and 8)).

In addition, the data set included seven bacterial reverse mutation studies, plus one recently submitted by industry. However, all these studies were considered of low relevance, due to limitations in the penetration of particles through the bacterial cell wall and the lack of internalisation in bacteria (EFSA Scientific Committee, 2018a).

##### ***In vivo* gene mutation studies**

The induction of gene mutations was investigated *in vivo* in six studies considered of high or limited relevance (Appendices K, M, Table 7). All studies were performed with TiO<sub>2</sub> NPs (< 30 nm).

**Table 7:** Summary table of test results of *in vivo* gene mutation studies

Study design	Test material	Results	Reliability/Relevance	Reference
<b>Oral</b>				
C57BL/6Jp <sup>un</sup> /p <sup>un</sup> mice <i>In vivo</i> DNA deletion assay in the p <sup>un</sup> locus Drinking water for 10 days to pregnant mice; 500 mg/kg	NSC: 2, TiO <sub>2</sub> NPs (P25), <b>15–24 nm</b>	<b>Positive</b>	2/limited	Trouiller et al. (2009)
<b>Intraperitoneal injection</b>				
Male B6C3F1 mice Pig-a gene mutation assay in peripheral blood reticulocytes and in total red blood cells; 0.5, 5.0 and 50 mg/kg bw per day for 3 days	NSC: 1, TiO <sub>2</sub> NPs, anatase, ellipsoidal shape (TEM), minor axes <b>12.1 ± 3.2 nm</b>	<b>Negative</b>	2/limited	Sadiq et al. (2012)
<b>Intravenous injection</b>				
Gene mutation in <i>gpt</i> , Spi <sup>-</sup> (liver) and pig-a (erythrocytes) Delta transgenic C57BL/6J mice; 2, 10 and 50 mg/kg bw for 4 weeks	NSC: 1, TiO <sub>2</sub> NPs (P25), <b>15–24 nm</b>	<b>Negative</b>	1/limited	Suzuki et al. (2016)

Study design	Test material	Results	Reliability/Relevance	Reference
Gene mutation in <i>gpt</i> , Spi <sup>-</sup> (liver) Delta transgenic C57BL/6J mice; 0, 2, 10 and 50 mg/kg bw for 4 weeks	NSC: 1, TiO <sub>2</sub> NPs (P25), <b>15–24 nm</b>	<b>Negative</b>	2/limited	Suzuki et al. (2020)
<i>LacZ</i> mutation assay in liver and spleen C57BL/6 transgenic mice; 0, 10 and 15 mg/kg bw, i.v. on 2 days	NSC: 1, TiO <sub>2</sub> NPs (NM-102), <b>21–22 nm</b>	<b>Negative</b>	1/limited	Louro et al. (2014)
<b>Intratracheal instillation</b>				
Male Sprague–Dawley rats Pig-a gene mutation assay in peripheral blood reticulocytes and in total red blood cells; 3 endotracheal instillation over 8 days; 0.5, 2.5 and 10 mg/kg (a total particle surface area lung deposition of 87, 437 and 1,700 cm <sup>2</sup> /lung)	NSC: 1 TiO <sub>2</sub> NPs (P25), <b>15–24 nm</b>	<b>Negative</b>	2/limited	Relier et al. (2017)

NSC: nanoscale considerations; NP: nanoparticle; i.v.: intravenous.

There are three *in vivo* gene mutation assays that were performed in mice with intravenous application, all with negative results. TiO<sub>2</sub> NPs (< 30 nm) were administered to male *gpt* Delta transgenic C57BL/6J mice at doses up to 50 mg/kg bw once a week for 4 weeks, then Pig-a mutation was analysed in erythrocytes and *gpt* and Spi<sup>-</sup> mutation in liver (Suzuki et al., 2016). In another assay performed in the same laboratory the *gpt* and Spi<sup>-</sup> genes were investigated in liver 90 days after the last injection (Suzuki et al., 2020). Louro et al. (2014) treated intravenously C57BL/6 transgenic mice and analysed *LacZ* mutations in liver and spleen.

Pig-a gene mutation was analysed also in two studies in peripheral blood reticulocytes and in total red blood cells: one in male B6C3F1 mice, after intraperitoneal administration (Sadiq et al., 2012); one in male Sprague–Dawley rats after intratracheal administration (Relier et al., 2017). In both these studies, no mutagenic effects were reported.

In contrast, Trouiller et al. (2009) found a significant increase in large DNA deletions in an eyespot assay performed in fetuses after a 10-day administration of TiO<sub>2</sub> (P25) suspended in drinking water to pregnant C57BL/6Jp<sup>un</sup>/p<sup>un</sup> mice.

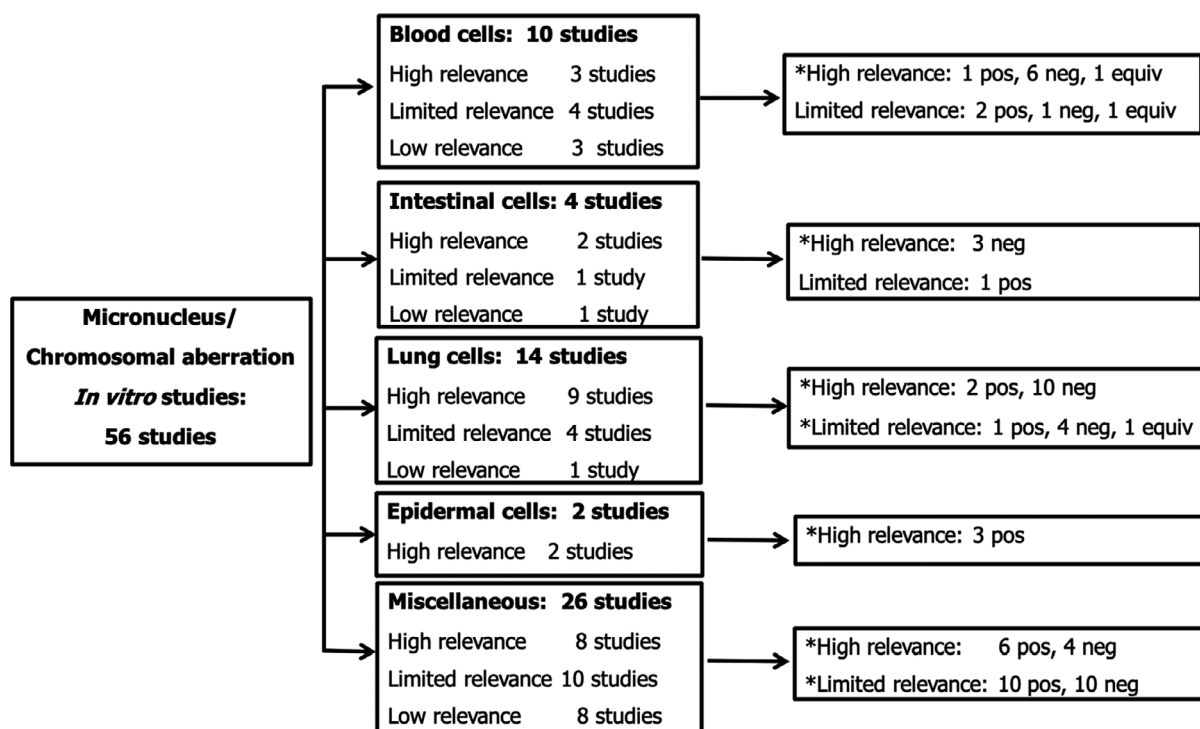
### Concluding remarks

Several *in vitro* studies demonstrated the ability of TiO<sub>2</sub> NPs to induce gene mutations in cultured mammalian cells. One *in vivo* study indicated the induction of large DNA deletions, however four other studies, that investigated different molecular targets suitable for identification of point mutations and small deletions, gave consistently negative results. Overall, the available experimental data do not confirm the potential of TiO<sub>2</sub> NPs (< 30 nm) to induce gene mutations *in vivo*.

## 4.3.2. Induction of micronuclei/chromosomal aberrations *in vitro* and *in vivo*

### *In vitro* micronuclei/chromosomal aberrations

Fifty-six *in vitro* studies on MN frequency and structural chromosomal aberrations (CAs) in different cell lines were available for the evaluation ((44 papers, NANOGENOTOX Project, 2013 Documentation provided to EFSA No 7 and 8) and industry (Documentation provided to EFSA No 15)) (Appendices J, L, N, P). Of 56 studies, 43 were classified as of high or limited relevance and further considered in the assessment (Figure 1).



\*: Some of the studies used more than one test material and in these cases, the results are reported separately.

**Figure 1:** *In vitro* micronucleus and chromosomal aberrations studies. Summary of test results from 56 studies reported in 44 papers, NANOGENOTOX Project, 2013 (Documentation provided to EFSA No 7 and 8) and Documentation provided to EFSA No 15

Three out of seven studies performed with primary human lymphocytes to which high or limited relevance was assigned showed positive results. In a study, classified of high relevance, a concentration-dependent increase of MN frequency was observed in peripheral blood lymphocytes from healthy subjects and colon cancer patients (Kurzawa-Zegota et al., 2017). Positive results in cultures of human peripheral lymphocytes were also reported in two studies with limited relevance (Turkez and Geyikoglu, 2007; Kang et al., 2008). Negative or equivocal results were described in four studies classified at high or limited relevance (NANOGENOTOX Project 2013 Documentation provided to EFSA No 7 and 8; Tavares et al., 2014; Andreoli et al., 2018; Osman et al., 2018)).

Three out of four studies performed with intestinal cells were considered relevant. One study, classified at high relevance, showed negative results with MN assays in Caco-2 cells exposed at different concentrations of TiO<sub>2</sub> NPs (Zijno et al., 2015). The outcome of this study is consistent with the results reported in the same cell line by the NANOGENOTOX Project, 2013 (Documentation provided to EFSA No 7 and 8). A single study showed concentration dependent increase of MN frequency in human colon adenocarcinoma (HCT116) cell line (Proquin et al., 2017).

Thirteen studies performed with lung cells were classified as relevant. Four out of five studies available in human lung epithelial cells (BEAS-2B) were negative with MN tests after exposure at different concentrations of TiO<sub>2</sub> NPs and for different times ((NANOGENOTOX Project, 2013 Documentation provided to EFSA No 7 and 8); Vales et al., 2015; Di Bucchianico et al., 2017; Zijno et al., 2020). In Falck et al. (2009), negative (rutile, 5,000 nm) and equivocal (anatase, < 25 nm) results were reported. Positive results with the MN test were reported in BEAS-2B cells only using a treatment medium that minimised the nanoparticle agglomeration (Prasad et al., 2013). Inconsistent results were reported in studies in human lung carcinoma cell line (A549). Two out of five studies were evaluated as positive (Srivastava et al., 2013; Stoccoro et al., 2017). Negative results were reported in two studies ((NANOGENOTOX Project, 2013 Documentation provided to EFSA No 7 and 8); Brandão et al., 2020) classified at high relevance and in a study with limitations (Jugan et al., 2012). Negative results with the CA test were described in a Chinese hamster lung cell line (CHL/IU cells) (Nakagawa et al., 1997).



Two studies in human epidermal cell lines (A431, NHEK) to which high relevance was assigned were positive ((NANOGENOTOX Project, 2013 Documentation provided to EFSA No 7 and 8); Shukla et al., 2011).

Twenty-six studies carried out in various other types of cell lines of different origin, reporting results on MN frequency or on structural CAs were evaluated: eight of them were classified of high relevance and ten of limited relevance. The differences in the results observed in different studies could not be attributed to a certain parameter such as the crystalline form, particle size, degree of aggregation, treatment medium used, concentrations applied and treatment time.

The Panel noted that around 60% of the available results were obtained with TiO<sub>2</sub> NPs < 30 nm. The majority of *in vitro* MN or CA tests gave negative results, regardless of the size of the tested particles (55% for TiO<sub>2</sub> NPs < 30 nm and 67% for TiO<sub>2</sub> NPs > 30). A single study tested E 171 in intestinal cells and reported positive results (Proquin et al., 2017).

### ***In vivo* micronuclei/chromosomal aberrations**

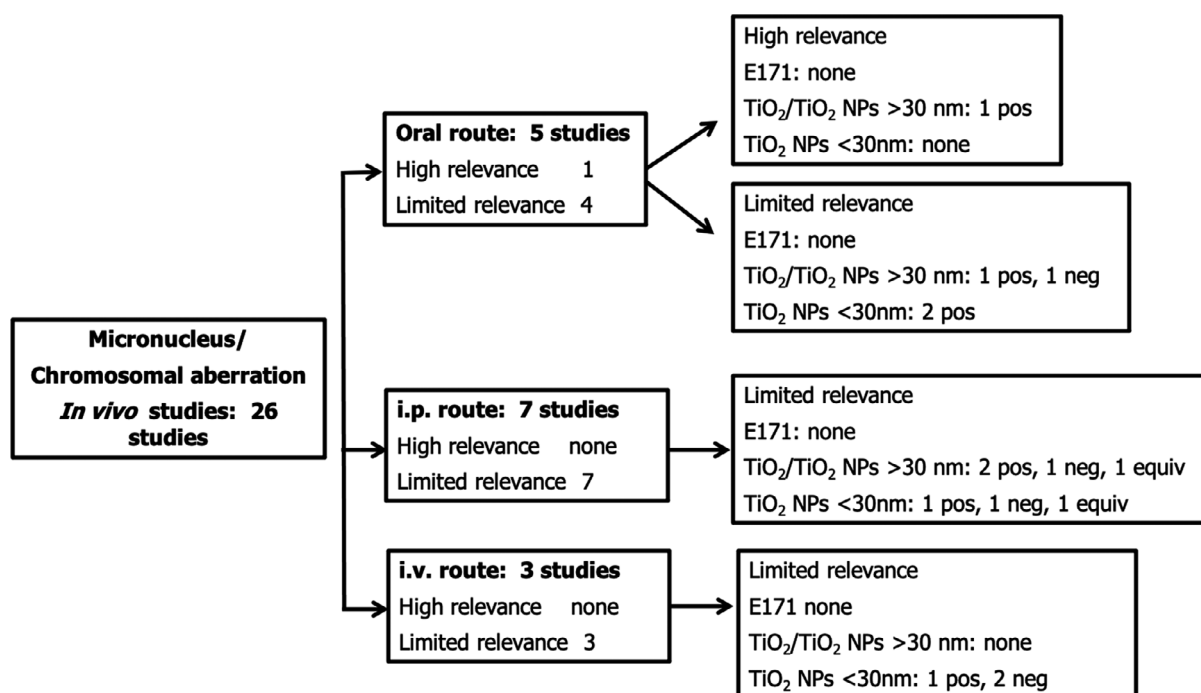
Twenty-six *in vivo* studies addressing the potential of TiO<sub>2</sub> to induce MN and structural CA through various routes of exposure were available for the evaluation. After a screening for reliability and relevance of the results (Appendices K, M), 15 studies, ranked as of high (one study) and limited (14 studies) relevance, were selected for further consideration in the assessment (Table 8, Figure 2).

**Table 8:** Summary table of test results of micronucleus and chromosomal aberrations *in vivo* studies. Studies within the same route of exposure are ordered by the size of the tested material

<b>Study design</b>	<b>Test material</b>	<b>Results</b>	<b>Reliability/Relevance</b>	<b>Reference</b>
<b>Oral</b>				
MN in bone marrow rats 10–200 mg/kg per bw 30 days	NSC: 2, TiO <sub>2</sub> NPs, Anatase <b>75 ± 15 nm</b>	<b>Negative</b>	2/limited	Chen et al. (2014)
MN and CA in bone marrow mice 200 and 500 mg/kg per bw 90 days	NSC: 4, TiO <sub>2</sub> NPs, crystalline form unknown, mean <b>58 nm</b>	<b>Positive</b>	2/limited	Chakrabarti et al. (2019)
MN in bone marrow mice 10, 50, 100 mg/kg per bw 14 days	NSC: 1, TiO <sub>2</sub> NPs Anatase, <b>20–50 nm</b>	<b>Positive</b>	1/high	Shukla et al. (2014)
CA in bone marrow mice 0.2–0.8 mg/kg per bw 28 days	NSC: 2, TiO <sub>2</sub> NPs, Rutile, <b>21–31 nm</b>	<b>Positive</b>	2/limited	Manivannan et al. (2020)
MN in bone marrow mice 50, 100 and 200 mg/kg bw 60 days	NSC: 2, TiO <sub>2</sub> NPs Anatase, <b>5–12 nm</b>	<b>Positive</b>	2/limited	Grissa et al. (2015)
<b>Intraperitoneal injection</b>				
MN assay in peripheral blood and in bone marrow mice 250, 500 and 1,000 mg/kg (1st exp.), 500, 1,000 and 1,500 mg/kg bw (2nd exp.)	NSC: 3, TiO <sub>2</sub> Anatase <b>&gt; 100 nm</b>	<b>Equivocal</b>	2/limited	Shelby et al. (1993)
Chromosomal aberration in bone marrow mice 625–2,500 mg/kg bw Single administration	NSC: 3, TiO <sub>2</sub> , Anatase, <b>&gt; 100 nm</b>	<b>Negative</b>	2/limited	Shelby and Witt (1995)
MN in bone marrow mice 500–2,000 mg/kg bw 5 days	NSC: 1 TiO <sub>2</sub> NPs, anatase/rutile, <b>44 nm</b>	<b>Positive</b>	1/limited	El-Ghor et al. (2014)
MN in bone marrow mice 0.1–3 g/kg bw Single administration	NSC: 2, TiO <sub>2</sub> NPs, Rutile, 28.88 nm (XRD) and <b>5–45 nm</b> (TEM)	<b>Positive</b>	2/limited	Lotfi et al. (2016)

Study design	Test material	Results	Reliability/Relevance	Reference
MN in bone marrow mice 9.38–150 mg/kg bw 5 days	NSC: 2, TiO <sub>2</sub> NPs Anatase, < 30 nm	<b>Positive</b>	1/limited	Fadoju et al. (2019)
MN in bone marrow mice 10, 100 and 500 mg/kg bw Single administration	NSC: 2, TiO <sub>2</sub> NPs Anatase, 20.17 nm (XRD) and <b>1–25 nm</b> (TEM)	<b>Equivocal</b>	2/limited	Zirak et al. (2016)
MN assay in peripheral blood reticulocytes Mice 0.5, 5.0 and 50 mg/kg bw/day, for 3 days	NSC: 1, TiO <sub>2</sub> NPs, Anatase, ellipsoidal shape (TEM), minor axes 12.1 ± 3.2 nm	<b>Negative</b>	2/limited	Sadiq et al. (2012)
<b>Intravenous injection</b>				
MN test in bone marrow PCE and reticulocytes Rats 5 mg/kg bw Single administration	NSC: 2, TiO <sub>2</sub> NPs (NM-105), <b>15–24 nm</b>	<b>Positive</b>	2/limited	Dobrzynska et al. (2014)
MN in peripheral blood reticulocytes Transgenic mice 2–50 mg/kg bw per week for 4 consecutive weeks	NSC: 1, TiO <sub>2</sub> NPs (P25) <b>15–24 nm</b>	<b>Negative</b>	2/limited	Suzuki et al. (2016)
MN test in peripheral blood reticulocytes Transgenic mice 10 and 15 mg/kg bw 2 days	NSC: 1, TiO <sub>2</sub> NPs (NM-102), <b>21–22 nm</b>	<b>Negative</b>	2/limited	Louro et al. (2014)

NSC: nanoscale considerations, MN: micronucleus assay, CA: chromosomal aberration assay, XRD: X-ray diffraction; TEM: transmission electron microscopy, PCE: polychromatic erythrocytes; bw: body weight.



**Figure 2:** *In vivo* micronucleus and chromosomal aberration studies – Summary of test results

The five studies by the oral route were given higher weight. All these studies entailed repeated gavage administration of TiO<sub>2</sub> NPs (from 14 to 90 daily treatments): of these, four were evaluated as positive for the induction of MN (Shukla et al., 2014; Grissa et al., 2015; Chakrabarti et al., 2019) or structural CAs (Manivannan et al., 2020) in mice. One (Chen et al., 2014) tested negative in the rat bone marrow MN assay, although some evidence of bone marrow exposure was provided by the concurrent analysis of H2AX foci.

Supporting evidence was provided by studies via intraperitoneal and intravenous injection.

Seven studies using the intraperitoneal route were considered. Increased incidences of MN in mouse bone marrow were observed after single (Lotfi et al., 2016) or repeated (El-Ghor et al., 2014; Fadoju et al., 2019) injections of TiO<sub>2</sub> NPs. Negative results were reported in a MN assay in mouse peripheral blood after three daily injections of TiO<sub>2</sub> NPs (Sadiq et al., 2012), and in a CA assay in bone marrow cells of mice after single injection of TiO<sub>2</sub> (> 100 nm) (Shelby and Witt, 1995). Equivocal results were reported in two studies (Shelby et al., 1993; Zirak et al., 2016).

Out of three intravenous studies, sufficiently reliable for consideration, one study was positive. The study was performed in rats, with single administration of TiO<sub>2</sub> NPs. Increased incidence of MN was observed in bone marrow polychromatic erythrocytes (but not in reticulocytes) (Dobrzynska et al., 2014). Two other studies in mice, with two daily (Louro et al., 2014) or four weekly injection (Suzuki et al., 2016) did not report any significant increase of MN in peripheral blood reticulocytes.

In summary, the *in vivo* studies – one of them of high relevance and the others of limited relevance – were predominantly positive, independently of the route of exposure. Discrepant results were reported in some studies using comparable dose ranges, species and endpoint, which cannot be traced to size or other specificities of the test material. Rather, it is possible that differences in handling of TiO<sub>2</sub> NPs, and dispersion protocols, which were insufficiently reported for most studies, were important variability factors.

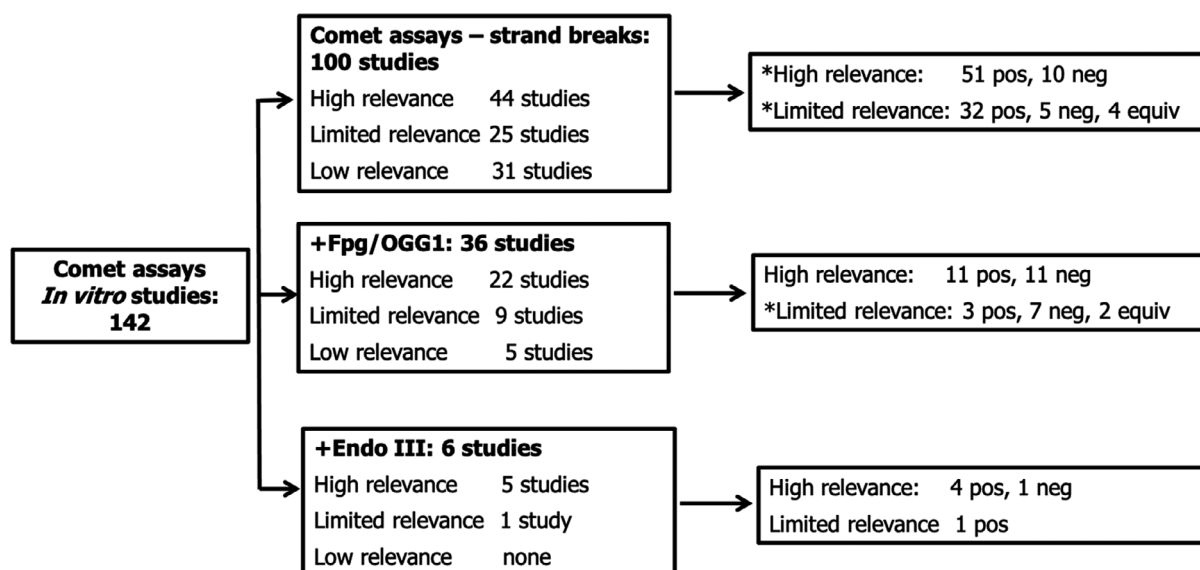
### Concluding remarks

Overall, based on the available lines of evidence, the Panel considered that - on balance - TiO<sub>2</sub> NPs have the potential to induce MN/CA. The Panel noted that a significant portion of the studies was performed using TiO<sub>2</sub> NPs < 30 nm, however some positive results were observed with TiO<sub>2</sub> particles > 30 nm and no clear dependence of the particle size on positive effects in MN/CA assay was observed.

### 4.3.3. *In vitro* and *in vivo* Comet assay

#### *In vitro* Comet assay

One hundred and forty-two *in vitro* studies (reported in 68 publications) using Comet assays in different cell lines were available for the evaluation, including data provided by the NANOGENOTOX Project (Appendices J, L, N). The NANOGENOTOX report summarises results from several laboratories who investigated TiO<sub>2</sub> NPs in various cell lines ((NANOGENOTOX Project, 2013 Documentation provided to EFSA No 7, 8 and 10)). One hundred and six studies (reported in 43 publications plus NANOGENOTOX project, 2013) were classified as of high or limited relevance and further considered in the assessment (Figure 3). The range of TiO<sub>2</sub> particle size tested was from 2.3 nm to 5 µm.



\*: Some of the studies used more than one test material and in these cases, the results are reported separately.

**Figure 3:** *In vitro* Comet assays – Summary of study results from 142 studies reported in 68 publications

Of all the studies analysed, the great majority were performed on human cell lines originating from colon epithelium (Caco-2, HT-29 or a co-culture of both), blood or lung tissue. Other human cells used were derived from liver, lymphoid, endothelial, epidermal, kidney or macrophage cell lines. Rodent cells from rat, mouse or hamster were also used.

The majority of studies ((NANOGENOTOX project, 2013 Documentation provided to EFSA No 7, 8 and 10); Zijno et al., 2015; Proquin et al., 2017; Schneider et al., 2017; Garcia-Rodriguez et al., 2018; Brown et al., 2019; Murugadoss et al., 2020) were positive on Colon cancer cells (Caco-2, HT-29 alone or in co-culture) showing an increase in DNA damage, i.e. strand breaks or strand breaks and formamidopyrimidine DNA glycosylase (Fpg)-sensitive sites (Fpg detects oxidised purines). Some of the studies have been found to be negative (Dorier et al., 2019), or equivocal (Vila et al., 2018).

All five studies performed on human peripheral blood mononuclear cells (PBMC) were positive, most of them for strand breaks (Demir et al., 2013; Cowie et al., 2015; Kurzawa-Zegota et al., 2017; Andreoli et al., 2018; Kazimirova et al., 2019) and also for Fpg- and Endo III-sensitive sites (Demir et al., 2013). One from these studies showed a negative response in some donors (Kazimirova et al., 2019).

Two studies performed with human lymphoblastoid TK6 cells, showed DNA damage after exposure to TiO<sub>2</sub> particles (Cowie et al., 2015; El Yamani et al., 2017) and two studies were negative (Magdolenova et al., 2012; Woodruff et al., 2012).

Fourteen studies (nine with high and five with limited relevance) used a lung model (cell lines A549, BEAS-2B, 16HBE14o, HBE). The majority of these studies showed positive results regarding strand breaks (Falck et al., 2009; Karlsson et al., 2009; Jugan et al., 2012; NANOGENOTOX project, 2013 Documentation provided to EFSA No 7, 8 and 10; Prasad et al., 2013; Cowie et al., 2015; Wang et al., 2015; Biola-Clier et al., 2017; El Yamani et al., 2017; Stoccoro et al., 2017; Murugadoss et al., 2020; Zijno et al., 2020) as well as oxidised DNA lesions (Di Bucchianico et al., 2017; El Yamani et al., 2017; Stoccoro et al., 2017; Zijno et al., 2020). Only two studies were negative for strand breaks (Vales et al., 2015; Di Bucchianico et al., 2017).

A number of other Comet assay studies were performed with various human cell types such as HepG2, THP-1, BeWo b30 placenta, HEK293, cerebral endothelial cells, HeLa, HUVECs, THP-1, TH-1, GM07492, MCF-7, L-02 human fetus hepatocytes, NHEK normal keratinocytes, HEp-2 derived from HeLa, A431 keratinocytes, EUE human embryonic epithelial cells. The majority of these studies showed positive results (Osman et al., 2010; Shukla et al., 2011, 2013; Demir et al., 2013; NANOGENOTOX project, 2013 Documentation provided to EFSA No 7, 8 and 10); Cowie et al., 2015, Shi et al., 2015; Ferraro et al., 2016; Brown et al., 2019; Liao et al., 2019; Murugadoss et al., 2020; Kumar et al., 2020); however,

some of them demonstrated negative results (Woodruff et al., 2012; Franchi et al., 2015; Sramkova et al., 2019; Elje et al., 2020) or were equivocal (Magdolenova et al., 2012; Brzicova et al., 2019).

The Panel also evaluated *in vitro* comet assay studies that were conducted on cells from monkey, rat, mouse or hamster origin showing a similar pattern of response, the majority of studies were positive (Nakagawa et al., 1997; Barillet et al., 2010; Guichard et al., 2012; Cowie et al., 2015; Stoccoro et al., 2016; Jain et al., 2017; Brown et al., 2019; Chakrabarti et al., 2019). Three from four different types of TiO<sub>2</sub> tested in mouse lymphoma L5178Y cells by Nakagawa et al. (1997) were negative (anatase 21 nm, rutile 255 nm and rutile 420 nm) and one was positive (anatase 255 nm). In a study of Brown et al. (2019), E 171 was positive for strand breaks in all studied cell lines, and positive for oxidised DNA lesions only in one of them (HepG2) (Brown et al., 2019).

The Panel noted that around 57% of the available results were obtained with TiO<sub>2</sub> NPs < 30 nm. No clear dependence of the positive effects on the particle size in the comet assay was observed. The majority of *in vitro* comet assay gave positive results, regardless of the size of the tested particles (87% positive findings for TiO<sub>2</sub> particles > 30 nm and 78% positive findings for TiO<sub>2</sub> NPs < 30 nm). Five studies of high or limited relevance investigated, by the *in vitro* Comet assay, the effect of E 171 treatment; 4 studies were positive for strand breaks and 1 negative.

### **In vivo Comet assay**

The ability of TiO<sub>2</sub> to induce single-strand breaks (SSBs) and Fpg-sensitive sites has been investigated by the *in vivo* comet assay. Thirty-four studies published between 2015 and 2020, in addition to 10 previously considered by the ANS Panel (EFSA ANS Panel, 2016), have been evaluated in the current assessment. Eighteen studies out of 44 were classified as of high or limited relevance (Appendices K, M, Table 9 and Figure 4).

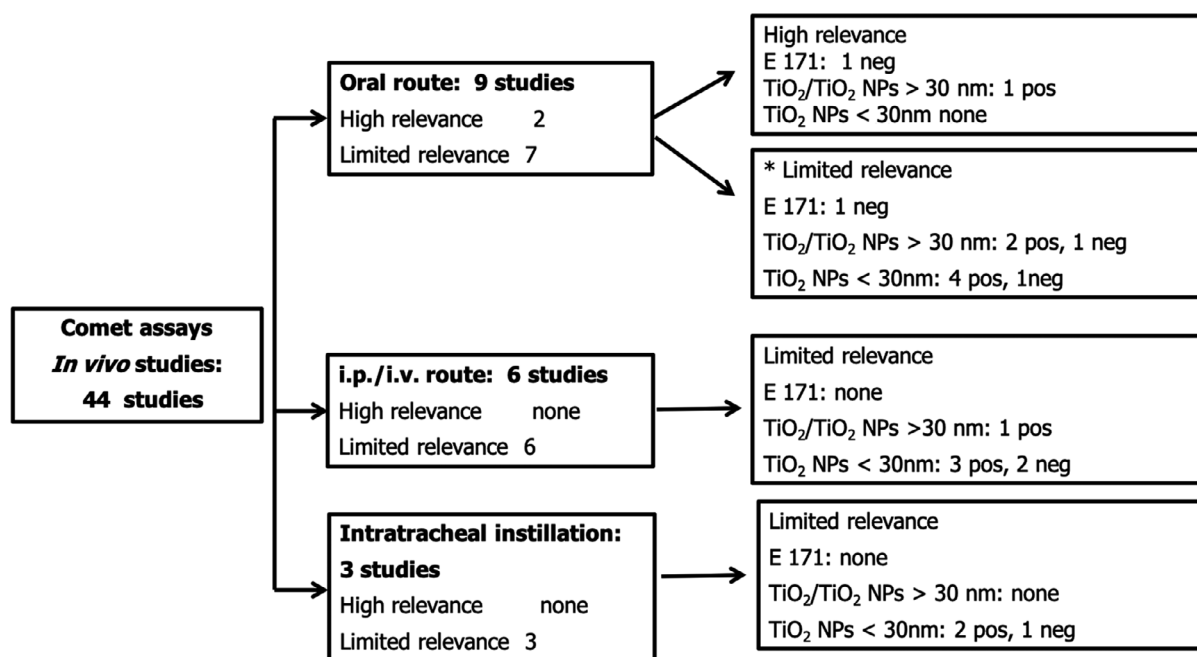
**Table 9:** Summary table of test results *in vivo* Comet assay. Studies within the same route of exposure are ordered by the size of the tested material

Study design	Test material	Results	Reliability/Relevance	Reference
<b>Oral</b>				
Rat; 50 and 500 mg/kg bw; once/week, 10 weeks; analysis: 24 h	NSC: 2, E 171 Anatase, three size groups of particles: 135, 305, 900 nm (TEM image)	<b>Negative</b> liver, lung	1/high	Jensen et al. (2019)
Mice; 40 and 200 mg/kg bw per day; 7 days; analysis 24 h after the last dose	NSC: 3, TiO <sub>2</sub> , Anatase <b>160 nm</b>	<b>Positive</b> BM <b>Negative</b> liver, brain	2/limited	Sycheva et al. (2011)
Rat; 10 mg/kg bw per day; 7 days	NSC: 1, E 171: <b>118 nm</b> Anatase (range 20–340 nm) and TiO <sub>2</sub> NPs NM-105) <b>15–24 nm</b>	<b>Negative</b> Peyer's patch cells (both materials)	2/limited	Bettini et al. (2017)
Mice; 10, 50, 250 µg/mouse; analysis: 3 days after treatment	NSC: 1, TiO <sub>2</sub> , Anatase <b>117 nm</b> ; and TiO <sub>2</sub> NPs, anatase <b>17 nm</b>	<b>Positive</b> Blood leucocytes	2/limited	Murugadoss et al. (2020)
Mice; 10, 50, 100 mg/kg bw per day; 14 days; analysis: 24 h	NSC: 1, TiO <sub>2</sub> NPs, Anatase, <b>20–50 nm</b>	<b>Positive</b> Liver	1/high	Shukla et al. (2014)
Rat; 0.5 mg/kg bw per day; 45 days; analysis: immediate	NSC: 1, TiO <sub>2</sub> NPs, crystalline form unknown, <b>42 nm</b>	<b>Negative</b> Blood and liver	2/limited	Martins et al. (2017)
Mice; 0.2, 0.4, 0.8 mg/kg bw per day; 28 days; analysis: immediate	NSC: 2, TiO <sub>2</sub> NPs, Rutile, <b>25 nm</b>	<b>Positive</b> Liver, BM, spleen, thymus, lymph nodes	2/limited	Manivannan et al. (2020)
Mice; 500, 1,000, 2,000 mg/kg bw per day; 7 days; analysis: day 8	NSC: 4, TiO <sub>2</sub> NPs, Anatase, <b>10–25 nm</b>	<b>Positive</b> Liver and kidney	2/limited	Shi et al. (2015)



Study design	Test material	Results	Reliability/Relevance	Reference
Rat; 50, 100, 200 mg/kg bw per day; 60 days; analysis: 24 h	NSC: 2; TiO <sub>2</sub> NPs, Anatase, <b>5–12 nm</b>	<b>Positive</b> Leucocytes	2/limited	Grissa et al. (2015)
<b>Intraperitoneal injection</b>				
Mice; 500, 1,000, 2,000 mg/kg bw per day 5 days; analysis: 24 h	NSC: 1; TiO <sub>2</sub> NPs, Anatase/rutile <b>44 nm</b>	<b>Positive</b> BM, liver, brain	2/limited	El-Ghor et al. (2014)
Mice; 50 mg/kg bw per day; 3 days; analysis: immediate	NSC: 1; TiO <sub>2</sub> NPs Anatase <b>8.9–15.3 nm</b>	<b>Positive</b> Liver +OGG1/ EndoIII: lung and liver	2/limited	Li et al. (2017b)
<b>Intravenous injection</b>				
Rat; 5 mg/kg bw; single dose; analysis: 24 h, 1 and 2 weeks	NSC: 2; TiO <sub>2</sub> NPs (NM-105), <b>15–24 nm</b>	<b>Negative</b> Leucocytes (BM)	2/limited	Dobrzynska et al. (2014)
Mice; 2,10, 50 mg/kg bw per week; 4 weeks; analysis: 3 days	NSC: 1; TiO <sub>2</sub> NPs (P25), <b>15–24 nm</b>	<b>Negative</b> Liver	2/limited	Suzuki et al. (2016)
Rats; 0.59 mg/kg bw (single); analysis: 24 h, 1, 2, 4 weeks	NSC: 1; TiO <sub>2</sub> NPs (NM-105), <b>15–24 nm</b>	<b>Positive</b> PBMC (only at 24 h)	2/limited	Kazimirova et al. (2019)
Rat; 5, 25, 50 mg/kg bw per week; once/week, 4 weeks; analysis: immediate	NSC: 1; TiO <sub>2</sub> NPs, Anatase <b>10–20 nm</b>	<b>Positive</b> Brain	2/limited	Meena et al. (2015b)
<b>Intratracheal instillation</b>				
Rat; 0.5, 2.5, 10 mg/kg bw (3 times every 4 days, over 8 days); analysis 2 h and 35 days	NSC: 1; TiO <sub>2</sub> NPs (P25), <b>15–24 nm</b>	<b>Positive</b> Lung (2 h, 35 days), liver (2 h, 35 days), blood (35 days)	2/limited	Relier et al. (2017)
Mice; 18, 54, 162 µg/mouse (single); analysis: 1, 3 and 28 days	NSC: 1; TiO <sub>2</sub> NPs, Rutile, <b>10 nm</b>	<b>Positive</b> , Lung (28 days), liver (3–28 days); (negative: BAL)	2/limited	Wallin et al. (2017)
Rat; 1, 5 mg/kg bw (single); 0.2, 1 mg/kg bw (once/week) x 5 weeks; analysis: 3 and 24 h	NSC: 1; TiO <sub>2</sub> NPs, Anatase, <b>5 nm</b>	<b>Negative</b> Lung	1/limited	Naya et al. (2012b)

BAL: bronchoalveolar lavage cells; NSC: nanoscale considerations, NP: nanoparticle; PBMC: peripheral blood mononuclear cells.



\*: Some of the studies used more than one test material and, in these cases, the results are reported separately.

**Figure 4:** *In vivo* Comet assays – Summary of test results

Among the nine gavage studies selected for the assessment, six were positive (Sycheva et al., 2011; Shukla et al., 2014; Grissa et al., 2015; Shi et al., 2015; Manivannan et al., 2020; Murugadoss et al., 2020) and three were negative (Bettini et al., 2017; Martins et al., 2017; Jensen et al., 2019) including the two studies performed with E 171 (Bettini et al., 2017; Jensen et al., 2019). To identify possible factors responsible for the different outcomes of the assays, the Panel took into consideration physico-chemical characteristics of TiO<sub>2</sub> NPs (crystalline form, size of constituent particles, shape and agglomeration state), time of exposure, doses and target tissues.

The crystalline form, the size and the agglomeration state of TiO<sub>2</sub> NPs have all been hypothesised to play a role in the genotoxic potential. The majority of the comet assays (in which the crystalline form is indicated) contained > 90% TiO<sub>2</sub> in the anatase form. Only one study used pure rutile (Manivannan et al., 2020). No obvious correlation could be identified between specific physico-chemical properties of the TiO<sub>2</sub> particles and the outcome of the assays.

Neither the time of exposure, which ranged from a few days (3–7 days) up to several weeks (4–10 weeks) nor the administered TiO<sub>2</sub> particles doses discriminated positive from negative results. In addition, the Panel calculated a cumulative dose by integrating dose and time of treatment (Table 9). This factor alone, however, appeared not to be the main determinant of the assay results.

Comet assays were performed on several target tissues. Negative results were reported for the lung (Jensen et al., 2019) and in the immune cells of the jejunal and ileal Peyer's patches (Bettini et al., 2017) and brain (Sycheva et al., 2011). Contradictory results were reported for leucocytes (Grissa et al., 2015; Martins et al., 2017; Murugadoss et al., 2020) and liver (Sycheva et al., 2011; Shukla et al., 2014; Shi et al., 2015; Martins et al., 2017; Jensen et al., 2019). Positive results were observed for bone marrow (Sycheva et al., 2011; Manivannan et al., 2020), thymus and afferent lymph node (Manivannan et al., 2020) as well as in the kidney (Shi et al., 2015) and spleen (Manivannan et al., 2020). The Panel noted that the majority of the positive results were obtained from organs of the reticulo-endothelial system.

Additional information was provided by four studies with intravenous administration of TiO<sub>2</sub> NPs (anatase or anatase/rutile being anatase > 80%, < 30 nm size). Negative results were reported in liver with TiO<sub>2</sub> NPs (P25) (Suzuki et al., 2016), while positive ones were reported in the brain with TiO<sub>2</sub> NPs (anatase, 10–20 nm) (Meena et al., 2015b). Using the same test item (NM-105, a mixture of anatase and rutile), experimental conditions and harvest time, positive results were observed from peripheral blood leucocytes (Kazimirova et al., 2019) whereas leucocytes from the bone marrow were negative (Dobrzynska et al., 2014).

Intraperitoneal administration of TiO<sub>2</sub> NPs induced SSBs in the liver, lung, brain and bone marrow in a large range of doses and a relatively short time of exposure (50–2,000 mg/kg bw for 3–5 days) (El-Ghor et al., 2014; Li et al., 2017b).

Two studies using intratracheal instillation were negative with no DNA damage observed in bronchoalveolar lavage (BAL) (Wallin et al., 2017) and lung (Naya et al., 2012) (single and chronic exposures up to 5 weeks in the range of 1–5 mg/kg bw). In contrast, Wallin et al. (2017) and Relier et al. (2017) reported positive results in liver and lung. The induction of DNA damage in liver following intra-tracheal instillation demonstrates a systemic effect which is possibly triggered by an inflammatory response observed in the lung.

### Concluding remarks

Based on the results of the *in vitro* and *in vivo* comet assays, the Panel concluded that TiO<sub>2</sub> particles have the potential to induce DNA damage. The Panel noted that a significant portion of the studies were performed using TiO<sub>2</sub> NPs < 30 nm, however some positive results were also observed with TiO<sub>2</sub> particles > 30 nm and no clear dependence of the particle size on positive effects in Comet assay was observed.

#### 4.3.4. DNA Binding

The ability of TiO<sub>2</sub> NPs (anatase, 5 nm) to bind DNA *in vivo* was investigated by Li et al., 2010. Binding to genomic DNA prepared from livers of TiO<sub>2</sub> NPs-treated ICR mice (by i.p. 5, 10, 50, 100 and 150 mg/kg bw per day for 14 days) was investigated by UV–Vis absorption spectroscopy, circular dichroism (CD), extended X-ray absorption fine structure (EXAFS) spectroscopy and gel electrophoresis. A dose-dependent increase in the content of TiO<sub>2</sub> NPs in liver DNA was identified by ICP-MS. CD spectroscopy indicates that changes in the DNA conformation occur in the 50–150 mg/kg bw per day dose range. In addition, EXAFS spectroscopy indicates that nano-anatase TiO<sub>2</sub> NPs can be bound with the oxygen or phosphorus atoms of the nucleotide and nitrogen atoms of base pairs in DNA.

After intranasal administration (300 µg/rat per day for 45 days), the interaction between TiO<sub>2</sub> particles and liver DNA extracted from Sprague–Dawley rats was investigated by UV–Vis absorption spectrometry, atomic force microscopy (AFM), TEM, micro-synchrotron radiation X-ray fluorescence (m-SRXRF) and gel electrophoresis (Jin et al., 2013). The analysed TiO<sub>2</sub> particles were a) nano-anatase (d < 25 nm); b) micro-rutile (d < 5 µm); c) a mixture of 5–10% rutile and 90–95% anatase (d < 100 nm). DNA binding was observed with the TiO<sub>2</sub> NPs anatase a) and TiO<sub>2</sub> NPs rutile/anatase mixture c) but not with micro rutile b). According to the authors TiO<sub>2</sub> NPs anatase can insert itself between DNA base pairs or covalently bind to DNA nucleotide via P–O–Ti–O bond. The Panel noted that evidence for covalent binding was not provided since a decrease in UV absorption can also be explained by non-covalent interactions.

Assessment of *in vitro* DNA binding capacity of TiO<sub>2</sub> NPs (< 100 nm) by UV–Vis spectroscopy identified an hyperchromic effect, probably due to strong stacking interactions between human genomic DNA and TiO<sub>2</sub> NPs (Patel et al., 2016, 2017). In addition, fluorescence emission spectra of intercalated ethidium bromide and human genomic DNA incubated with increasing concentrations of TiO<sub>2</sub> NPs indicate that these NPs also intercalate DNA strands. The authors suggest that all these results are due to electrostatic interactions between DNA and TiO<sub>2</sub> NPs leading to conformational changes in DNA. A strong binding affinity of TiO<sub>2</sub> NPs with human genomic DNA was identified by fluorescence spectroscopy (binding constant:  $4.1 \times 10^6 \text{ M}^{-1}$ ). TiO<sub>2</sub> NPs (14 nm) interaction with calf thymus DNA was studied by UV–Vis spectroscopy and molecular docking analysis (Ali et al., 2018). The hyperchromic behaviour observed by UV–Vis spectrometry confirms unwinding of double-stranded DNA. The DNA binding constant was found to be  $5.4 \times 10^3 \text{ M}^{-1}$ . Molecular docking analysis revealed a selective binding of TiO<sub>2</sub> NPs with A-T bases in minor groove of DNA.

Hekmat et al. (2013) investigated the structural changes in calf thymus DNA caused by the combined exposure to TiO<sub>2</sub> NPs anatase (< 10 nm) and doxorubicin (DOX) as well as by the single components. UV–Vis and CD spectrometry, thermal denaturation and fluorescence emission spectra demonstrated that there is an interaction of TiO<sub>2</sub> NPs with DNA leading to changes in the secondary structure of the DNA helix.

By using a similar approach, the same group investigated the structural changes in calf thymus DNA induced by a combined treatment of TiO<sub>2</sub> NPs anatase (< 10 nm) + paclitaxel (PTX) in comparison to single exposures to either compound. Upon addition of TiO<sub>2</sub> NPs to the solution of DNA,

hyperchromism was observed, indicating the formation of a complex between DNA and TiO<sub>2</sub> NPs (Hekmat et al., 2020).

The ability of TiO<sub>2</sub> NPs (21 nm) to interact with DNA (from salmon sperm) was confirmed by other analytical techniques (capillary electrophoresis coupled with UV and Fourier transform infrared spectroscopy). Electrostatic interactions of TiO<sub>2</sub> NPs via the sugar-phosphate backbone were demonstrated both with double-stranded and single-stranded DNA, with the last one showing stronger interactions (Alsudir and Lai, 2017).

The Panel noted that the interaction between TiO<sub>2</sub> NPs and DNA resulted in spectrally contrasting effects when examined by UV–VIS spectrometry *in vitro* (hyperchromicity) or after exposure *in vivo* (hypochromicity).

In conclusion, there is evidence, from both *in vitro* and *in vivo* studies, for interaction(s) of TiO<sub>2</sub> NPs with DNA. However, due to the techniques employed, the precise nature of these interactions, i.e. whether involving covalent or non-covalent binding, could not be established.

#### 4.3.5. Other studies

##### **γH2AX foci and other markers of DNA Damage**

The induction of γH2AX foci, a marker of DNA double-strand breaks, by TiO<sub>2</sub> particles was investigated in four *in vitro* and two *in vivo* studies.

Kathawala et al. (2015) reported a slight but statistically significant increase in the percentage of cells with γH2AX foci in human primary epidermal keratinocytes and a concomitant increase in the intracellular ROS. Toyooka et al. (2012) reported a concentration-dependent increase in the induction of γH2AX foci in A549 human lung carcinoma cells both with micro- and (more pronounced) with nanoparticles and a concomitant induction of double-strand breaks, as detected by biased sinusoidal field gel electrophoresis (BSFGE). On the contrary, Jugan et al. (2012) in the same cell line observed no similar effect on the induction of γH2AX foci. Exposure to TiO<sub>2</sub> particles (12, 24 and 142 nm) did not significantly increase the amount of γH2AX foci in the nuclei of NRK-52E rat kidney cells (Barillet et al., 2010).

*In vivo*, a dose-dependent increase in cells with γH2AX foci was reported in the bone marrow of C57BL/6Jp<sup>un</sup>/p<sup>un</sup> mice by Trouiller (Trouiller et al., 2009). Another study (Chen et al., 2014) also reported a similar result in the bone marrow of Sprague–Dawley male rats, in the absence of MN induction.

Overall, the induction of γH2AX foci, a marker of DNA double-strand breaks, was observed in two out of four *in vitro* studies and was also reported in two *in vivo* studies.

A ToxTracker assay, that includes the analysis of the expression of genes responsive to DNA damage and oxidative stress, was negative for all the endpoints in primary mouse embryonic fibroblasts (Brown et al., 2019).

Limited relevance was assigned to all of these studies.

##### **Oxidised DNA bases**

The induction of 8-oxodG (as a marker of oxidation-induced DNA damage) by TiO<sub>2</sub> particles was investigated in five *in vitro* studies (to which limited relevance was assigned), four of which were positive.

Significant increases of 8-oxodG in DNA (measured by HPLC with electrochemical detection) were reported in human peripheral blood mononuclear cells (PBMC, mixed population of lymphocytes and monocytes) after treatment with TiO<sub>2</sub> NPs and TiO<sub>2</sub> particles covering the nano and micro range (anatase, rutile and a mixture of anatase and rutile) (Andreoli et al., 2018). Also in human colon carcinoma Caco-2 cells a significant increase in basal levels of 8-oxodG compared to control was reported after treatment with anatase NPs (20–60 nm) (Zijno et al., 2015).

A treatment with TiO<sub>2</sub> NPs (21 ± 9 nm) increased the level of 8-oxodG lesions (HPLC–MS/MS) in two different human cell lines: BEAS-2B normal bronchial lung cells and A549 alveolar carcinoma lung cells (Biola-Clier et al., 2017). In another study using A549 alveolar carcinoma lung cells, the only oxidised base detected in cells exposed to TiO<sub>2</sub> NPs (anatase 12 nm, P25 and rutile 21 nm) was 8-oxodG whereas other lesions such as thymidine glycols, 5-(hydroxymethyl)-2'-deoxyuridine, 5-formyl-2'-deoxyuridine or 8-oxo-7,8-dihydro-2'-deoxyadenosine were either below the detection limit or were not produced in higher frequency upon treatment. No induction of oxidised bases were observed with TiO<sub>2</sub> (anatase, 140 nm) in this study (Jugan et al., 2012)

In contrast, no significant increase of the 8-oxodG (HPLC–MS/MS) level was reported in a study (Dorier et al., 2019) in which a co-culture of Caco-2 colon and HT-29 human colon cancer cells was treated with the additive E 171 and two different TiO<sub>2</sub> NPs (12 ± 3 nm and 24 ± 6 nm).

In an *in vivo* study, oxidation-induced DNA damage was investigated by measuring the level of 8-oxodG in DNA isolated from livers of TiO<sub>2</sub> NP-treated and untreated mice (Trouiller et al., 2009). Administration of TiO<sub>2</sub> NPs (P25) with drinking water at a dose of 100 mg/kg bw per day for 5 days resulted in a 1.5-fold increase of the 8-oxodG level in NP-treated mice, compared to the control group.

Another *in vivo* study on the induction of 8-oxodG (Rehn et al., 2003) was not considered relevant for this assessment because it was based on the analysis of lung cells after intratracheal instillation.

Overall, based on the available *in vitro* studies, nano- and microparticles of anatase and rutile seem to have a potential to induce oxidation of DNA resulting in 8-oxodG.

### Reactive oxygen species

The induction of ROS, such as superoxide radicals, hydroxyl radicals and hydrogen peroxide, was investigated in many *in vitro* and *in vivo* studies in parallel with investigation of DNA damage by comet and MN assays.

There are several *in vitro* studies in which the induction of ROS by TiO<sub>2</sub> particles has been observed in different cell lines (Kang et al., 2008; Xu et al., 2009; Shukla et al., 2011, 2013; Wang et al., 2011, 2019; Guichard et al., 2012; Jugan et al., 2012; Saquib et al., 2012; Kathawala et al., 2015; Khan et al., 2015; Shi et al., 2015; Tomankova et al., 2015; Jain et al., 2017; Dorier et al., 2019; Liao et al., 2019; Santonastaso et al., 2019). In some of these studies, it was shown that the generation of ROS was inhibited by the addition of antioxidants (Kang et al., 2008; Xu et al., 2009) or SOD (e.g. Wang et al., 2011). In the study performed by Guichard et al. (2012), the induction of ROS in SHE cells was higher with TiO<sub>2</sub> NPs (anatase and rutile < 100 nm) than with TiO<sub>2</sub> anatase particles of 160 nm size and rutile particles of 530 nm size.

In contrast, no induction of intracellular ROS (evaluated by flow cytometry) was observed in an *in vitro* study in BEAS-2B normal bronchial lung cells after one week of exposure to anatase TiO<sub>2</sub> NPs (NM-102) (Vales et al., 2015) and no significant increase of ROS was observed with E 171 at concentrations of 0.143 or 1.43 µg/cm<sup>2</sup> in human colon carcinoma Caco-2 cells (Proquin et al., 2017).

*In vivo*, increased levels of ROS, NO, MDA, IFN-γ, TNF-α and activation of NF-κB and decreased levels of SOD and GSH-Px as well as expression of apoptosis markers (p53, Bax, Bcl-2 and cyto c) were observed in rats exposed to TiO<sub>2</sub> NPs (anatase) by intravenous injection at doses up to 50 mg/kg bw once a week for 4 weeks (Meena et al., 2015b). TiO<sub>2</sub> NPs induced increased ROS levels also after intratracheal instillation of mice (Danielsen et al., 2020). Likewise, exposure of mice to TiO<sub>2</sub> NPs (anatase), administered by gavage at doses of up to 100 mg/kg bw per day for 14 days, resulted in increased levels of ROS (Shukla et al., 2014). In a study in which TiO<sub>2</sub> NPs (anatase) were administered by gavage to mice at doses of 500, 1,000 and 2,000 mg/kg bw per day for 7 days, increased levels of ROS were observed at 1,000 and 2,000 mg/kg bw in a dose-dependent manner in liver and kidney (Shi et al., 2015). However, no changes in the ROS levels were observed in these organs at 500 mg/kg bw, compared to the control group. The administration of TiO<sub>2</sub> NPs at the dose of 1,000 mg/kg bw to Nrf2<sup>(-/-)</sup> mice (in which Nrf2, a regulator for the expression of antioxidant genes, was knocked out) resulted in higher increases of the ROS levels in liver and kidney than the administration of the same dose to wild type mice (Shi et al., 2015).

### Epigenetic DNA methylation

There are some studies in which epigenetic DNA methylation has been investigated.

A decrease of global DNA methylation was observed with TiO<sub>2</sub> NPs (P25) in several mammalian cell cultures, whereas promotor methylation of several specific genes was increased in a study performed by Pogribna et al. (2020). In this study, altered expression levels of several genes involved in the regulation of DNA methylation were also observed (Pogribna et al., 2020). In a human lung carcinoma cell line A549, TiO<sub>2</sub> NPs (P25) induced a statistically significant demethylation after 72 h of exposure while no effect was observed after 48 h (Stoccoro et al., 2017). A significant reduction of genomic DNA methylation levels after treatment with TiO<sub>2</sub> NPs (60 nm) was observed in the human lung carcinoma cell line A549 and a human bronchial epithelial cell line in a study by Ma et al. (Ma et al., 2017). Bidirectional changes in methylation of some specific loci were observed *in vitro* by (Emi et al., 2020) with TiO<sub>2</sub> particles (1 µm).

Epigenetic modifications, particularly in gene promoter regions, have been shown to result in changes in the expression of DNA repair genes. Biola-Clier et al. (2017) studied overall DNA



methylation and specific methylation of DNA repair gene promoters after TiO<sub>2</sub> NPs (21 nm) exposure. They found that 31 out of 44 upstream DNA regulators for genes involved in several DNA repair pathways including nucleotide excision repair, base excision repair, mismatch repair and double-strand break repair were downregulated.

These studies might be worth to address epigenetic endpoints. They were considered as supporting information for the evaluation of genotoxicity.

### Cell transformation

Statistically significant increases in the frequency of morphologically transformed Balb/c 3T3 cells (mouse embryo fibroblasts) were observed after treatment with TiO<sub>2</sub> NPs (P25) (Stoccoro et al., 2016). In a further cell transformation assay with the same cell line, a significant induction of transformed colonies (foci type III) was observed with rutile (micro- and nanosized), whereas no significant effects were observed with anatase (micro and nanosized) (Uboldi et al., 2016). Increased number of colonies growing in soft agar has been observed with TiO<sub>2</sub> NPs (anatase, 21 and 50 nm) in human embryonic kidney cells and in mouse embryonic fibroblasts (NIH/3T3). The effect was concentration-related and statistically significant at 1,000 µg/ml but not at 10 and 100 µg/mL and also not with microparticles (Demir et al., 2015). In another study, a statistically significant concentration-dependent increase in the number of colonies growing on soft-agar in human bronchial epithelial cells (BEAS-2B cells) was shown for both the total of colonies and medium-large size colonies after exposure to TiO<sub>2</sub> NPs (anatase, 22 nm) at concentrations up to 20 µg/mL (Vales et al., 2015).

The cell transformation assays provide information on initial steps of carcinogenesis that may include both genotoxic and non-genotoxic events. Results from cell transformation assays are considered to be of limited relevance for the evaluation of genotoxicity. Their relevance for the assessment of carcinogenicity is also limited.

#### 4.3.6. Mode of Action

Numerous studies, both *in vitro* and *in vivo*, indicate that TiO<sub>2</sub> particles, over a wide range of sizes, induce DNA strand breaks, oxidatively generated DNA lesions and chromosomal damage.

Several mechanisms have been proposed to explain the genotoxicity associated with TiO<sub>2</sub> NPs exposure. They invoke DNA damage by reactive oxygen and nitrogen species (ROS/RNS) which may be triggered by inflammation, by intrinsic ability of TiO<sub>2</sub> NPs or via induction of mitochondrial dysfunction. There is also some evidence that TiO<sub>2</sub> NPs:DNA binding, possibly via electrostatic interactions, may alter DNA secondary structure.

*In vivo* exposure to TiO<sub>2</sub> NPs can be associated with an inflammatory response. This occurs independently of the route of administration (Saber et al., 2012; El-Ghor et al., 2014; Shukla et al., 2014; Meena et al., 2015b; Shi et al., 2015; Relier et al., 2017; Wallin et al., 2017; Murugadoss et al., 2020). This response is characterised by increased macrophage and neutrophil infiltration, the release of inflammatory mediators (chemokines, cytokines) and the increased production of ROS and oxidative stress markers (Dankovic et al., 2007; Olmedo et al., 2008; Chen et al., 2009). Following oral administration, the induction of DNA strand breaks was associated with an inflammatory response (Shukla et al., 2014). Along the same lines, intravenous administration of TiO<sub>2</sub> NPs induced an increase in MN frequency associated with an inflammatory response (Kumar et al., 2016).

Co-administration of TiO<sub>2</sub> NPs and chlorophyllin, a free-radical scavenger, was associated with a reduction in DNA strand breaks and chromosomal damage (El-Ghor et al., 2014). In addition, TiO<sub>2</sub> NPs induced more DNA strand breaks in mice defective in the Nrf2 transcription factor (Nrf2<sup>-/-</sup>) than in their wild type counterparts. Since Nrf2 regulates antioxidant and inflammatory responses (Shi et al., 2015), both sets of observations are consistent with a role of ROS/RNS and inflammation in TiO<sub>2</sub> NPs-associated DNA damage, which is reflected in positive findings in several genotoxicity studies. The Panel noted, however, that there is no simple correlation between the extent of TiO<sub>2</sub> NP-induced inflammation and DNA damage since an inflammatory response without genotoxic effects can also be observed (e.g. Saber et al., 2012).

An alternative mechanism invokes the intrinsic ability of TiO<sub>2</sub> NPs to generate ROS/RNS, i.e. to generate reactive radicals also in an acellular system (Knaapen et al., 2004). This hypothesis is supported by experimental evidence coming from investigations with acellular systems (Gilmour et al., 1997; Fenoglio et al., 2009). Intracellular superoxide can also be formed by interaction of TiO<sub>2</sub> NPs with proteins to form a TiO<sub>2</sub> NPs corona (Jayaram and Payne, 2020). Additional potential sources of ROS include activation of cytoplasmic NADPH oxidases (Bedard and Krause, 2007) and damage to the

mitochondrial membrane (Ghosh et al., 2013). With regard to the latter possibility, TiO<sub>2</sub> NPs (< 100 nm) have been shown to be localised in liver mitochondria (Louro et al., 2014) and to induce mitochondrial swelling, promote membrane fluidity and increase ROS generation (Kathawala et al., 2015; Barkhade et al., 2019).

*In vitro* studies in different experimental models using blood, GI tract, liver, lung and other organs and tissues, showed an association between TiO<sub>2</sub> induced DNA strand breaks/chromosome damage and oxidative stress, measured as increased ROS level and/or decreased level of antioxidants (Turkez and Geyikoglu, 2007; Shukla et al., 2011, 2013; Prasad et al., 2013; Srivastava et al., 2013; Proquin et al., 2017; Stocco et al., 2017; Liao et al., 2019; Tables on *in vitro* comet assay in Appendices J, L, N)

Additionally, *in vitro* studies indicated that TiO<sub>2</sub> NPs exposure induced the well-known premutagenic DNA lesion produced by ROS, i.e. 8-oxodG (Shukla et al., 2011, 2013; Jugan et al., 2012; Demir et al., 2013; Stocco et al., 2016, 2017; Di Bucchianico et al., 2017; El Yamani et al., 2017; Schneider et al., 2017; Andreoli et al., 2018; Zijno et al., 2020). However, a lack of association between DNA strand breaks and DNA 8-oxodG levels has also been reported. Indeed, increased DNA 8-oxodG levels in Caco-2 cells (Zijno et al., 2015) and human lung cells (Bhattacharya et al., 2009) were not associated with increased DNA strand breaks. Moreover, no firm conclusions can be drawn from the few *in vivo* studies investigating the level of TiO<sub>2</sub> NPs-induced oxidatively damaged DNA. No changes in the levels of liver fpg-sensitive sites were found in intravenous-treated Ogg1<sup>-/-</sup> mice that are defective in the repair of DNA 8-oxodG (Asare et al., 2016), whereas oral exposure increased levels of oxidatively damaged liver DNA (Trouiller et al., 2009; Shukla et al., 2014).

TiO<sub>2</sub> NPs-induced production of ROS may also trigger the formation of DNA double-strand breaks as measured by γH2AX foci *in vitro* (Kathawala et al., 2015; Wang et al., 2019). This property is reflected in an increase of CA and MN frequency in several *in vitro* studies (Turkez and Geyikoglu, 2007; Osman et al., 2010; Shukla et al., 2011; Prasad et al., 2013; Srivastava et al., 2013; Kurzawa-Zegota et al., 2017; Proquin et al., 2017; Stocco et al., 2017; Liao et al., 2019). In some of these studies the increased MN frequency was correlated with DNA damage measured by the comet assay (Osman et al., 2010; Shukla et al., 2011, 2013; Prasad et al., 2013; Kurzawa-Zegota et al., 2017; Proquin et al., 2017; Stocco et al., 2017; Liao et al., 2019). In addition, six *in vivo* studies investigated both the induction of DNA strand breaks and chromosomal damage in the same experimental setting. Four of these studies provided concordant positive results for both endpoints (El-Ghor et al., 2014; Shukla et al., 2014; Grissa et al., 2015; Manivannan et al., 2020) indicating a potential clastogenic mode of action.

An indirect confirmation of an association between TiO<sub>2</sub> NPs exposure and induction of DNA double-strand breaks comes from the positive result reported in an *in vivo* DNA deletion assay in the p<sup>un</sup> locus (eye-spot assay) by Trouiller et al. (2009). The eye-spot assay detects deletions of one copy of a duplicated 70-kb DNA fragment within the p<sup>un</sup> locus and is considered an experimental method suitable for the detection of homologous recombination events (Karia et al., 2013). Homologous recombination can be associated with the repair of double-strand breaks or the processing of a blocked DNA replication fork. Therefore, a positive result in the eye-spot assay could reflect the ability of TiO<sub>2</sub> NPs to cause DNA breaks, consistently with the findings reported in the comet and in MN/CA studies.

On the other hand, no evidence of induction of gene mutations *in vivo* was reported in four different studies analysing target genes that are considered suitable for detecting a wide range of molecular events, including point mutations, small and large deletions (Pig-a, *gpt*, *Spi* and *lacZ* mutations).

Studies applying kinetochore staining (Rahman et al., 2002) or FISH analysis (Stocco et al., 2017) indicate that MN induction by TiO<sub>2</sub> NPs predominantly occurs via clastogenic events.

TiO<sub>2</sub> NPs have been found in the nucleus either as single particles or as agglomerates (Jugan et al., 2012; Ahlinder et al., 2013; Shukla et al., 2013; Louro et al., 2014; Jain et al., 2017). Some studies failed to demonstrate internalisation of TiO<sub>2</sub> particles into nuclei (Singh et al., 2007; Di Virgilio et al., 2010). In contrast, Ahlinder et al. (2013) demonstrated nuclear uptake by two techniques – TEM and Raman spectroscopy. Most of TiO<sub>2</sub> uptake studies were performed *in vitro* on TiO<sub>2</sub> NPs smaller than 30 nm. Bettini et al. (2017) reported that after administration of E 171, TiO<sub>2</sub> particles could be internalised into nucleus. In addition, TiO<sub>2</sub> particles were identified in the nuclei closely associated with the phosphorus-positive chromatin signal (Bettini et al., 2017).

The mechanisms underlying nuclear internalisation is to a large extent unknown. Generally, NPs may be transported to the cell nucleus through nuclear pores by passive diffusion, or interaction with transport receptors (Panté and Kann, 2002; Terry et al., 2007). They also could enter the nucleus

during the cell division (Kim et al., 2012). According to Ahlinder et al. (2013), their findings provide evidence of possible direct interactions between TiO<sub>2</sub> NPs and DNA as a cause of genotoxicity.

Following nuclear internalisation, TiO<sub>2</sub> NPs might interact with proteins involved in the control of chromosome segregation and the spindle apparatus. Chromosome mis-segregation due to disturbance of mitotic progression has been described in NIH 3T3 cells following long-term exposure to TiO<sub>2</sub> NPs (Huang et al., 2009) and an interaction of TiO<sub>2</sub> particles in E 171 with the centromere region during mitosis has been reported in colon HCT116 cells (Proquin et al., 2017). While aneugenicity seems to be a plausible effect for TiO<sub>2</sub> particles present in the nucleus, the MN studies with centromere staining suggest that clastogenicity is the predominant mode of action.

A limited number of studies indicated that TiO<sub>2</sub> NPs can interact with DNA. The authors of these studies conclude that binding of TiO<sub>2</sub> NPs to DNA occurs *via* electrostatic and/or other kinds of non-covalent interaction leading to minor alterations in DNA conformation and secondary structure (Hekmat et al., 2013; Jin et al., 2013; Patel et al., 2016; Alsudir and Lai, 2017; Ali et al., 2018; 2020). However, the Panel considered that while there is limited understanding of the non-covalent interactions with DNA, there is no evidence of covalent binding to DNA.

#### 4.3.7. Conclusions

Overall, combining the available lines of evidence, the Panel concluded that TiO<sub>2</sub> particles had the potential to induce DNA strand breaks and chromosomal damage, but not gene mutations.

No clear correlation was observed between the physico-chemical properties of TiO<sub>2</sub> NPs, such as crystalline form, size of constituent particles, shape and agglomeration state, and the outcome of either *in vitro* or *in vivo* genotoxicity assays.

There is some evidence for internalisation of TiO<sub>2</sub> nanoparticles in the nucleus and mitochondria.

There is evidence for several modes of action for genotoxicity that may operate in parallel:

- Direct interaction of TiO<sub>2</sub> nanoparticles with DNA (there is no proof for covalent binding).
- Direct formation of reactive (oxygen) species due to intrinsic properties of TiO<sub>2</sub> nanoparticles.
- Reactive (oxygen) species formation via TiO<sub>2</sub> particles-induced inflammation.
- Reactive (oxygen) species formation via interference of TiO<sub>2</sub> nanoparticles with mitochondrial function.

Additionally, there are indications that TiO<sub>2</sub> particles may:

- induce epigenetic modifications affecting the expression of genes involved in the maintenance of genome function (e.g. downregulation of some genes involved in DNA repair pathways).
- interact with proteins involved in the control of chromosome segregation and the spindle apparatus.

The relative contribution of the modes of action mentioned above to the genotoxicity elicited by TiO<sub>2</sub> particles is unknown and there is uncertainty on whether a threshold mode of action could be assumed. Even if it was assumed that all modes of action would be indirect, the available data would not allow identification of a threshold dose. Therefore, the Panel concluded that a concern for genotoxicity of TiO<sub>2</sub> particles that may be present in E 171 cannot be ruled out. A cut-off value for TiO<sub>2</sub> particle size with respect to genotoxicity could not be identified.

## 4.4. Exposure

### 4.4.1. Authorised uses and use levels

Maximum levels of E 171 have been defined in Annex II to Regulation (EC) No 1333/2008 on food additives, as amended. In this document, these levels are named maximum permitted levels (MPLs).

Currently, E 171 is authorised in four food categories at *quantum satis* (QS), in addition it is listed among the food colours of Group II authorised at QS in other 44 food categories. Table 10 lists the 48 food categories in which E 171 is authorised.

**Table 10:** MPLs of E 171 in foods according to the Annex II to Regulation (EC) No 1333/2008

Food category number	Food category name	E-number/group	Restrictions/exceptions	MPL (mg/L or mg/kg as appropriate)
01.4	Flavoured fermented milk products including heat-treated products	Group II		QS
01.5	Dehydrated milk as defined by Directive 2001/114/EC	Group II	Except unflavoured products	QS
01.6.3	Other creams	Group II	Only flavoured creams	QS
01.7.1	Unripened cheese, excluding products falling in category 16	Group II	Only flavoured unripened cheese	QS
01.7.3	Edible cheese rind.	Group II		QS
01.7.4	Whey cheese	Group II		QS
01.7.5	Processed cheese	Group II	Only flavoured processed cheese	QS
01.7.6	Cheese products, excluding products falling in category 16	Group II	Only flavoured unripened products	QS
01.8	Dairy analogues, including beverage whiteners	Group II		QS
03	Edible ices	Group II		QS
04.2.4.1	Fruit and vegetable preparations, excluding compote	Group II	Only <i>mostarda di frutta</i>	QS
04.2.4.1	Fruit and vegetable preparations, excluding compote	E 171	Only seaweed based fish roe analogues	QS
04.2.5.3	Other similar fruit or vegetable spreads	Group II	Except <i>crème de pruneaux</i>	QS
05.2	Other confectionery including breath-refreshening microsweets	Group II		QS
05.3	Chewing gum	Group II		QS
05.4	Decorations, coatings and fillings, except fruit-based fillings covered by category 4.2.4	Group II		QS
06.3	Breakfast cereals	Group II	Only breakfast cereals other than extruded, puffed and/or fruit-flavoured breakfast cereals	QS
06.5	Noodles	Group II		QS
06.6	Batters	Group II		QS
06.7	Pre-cooked or processed cereals	Group II		QS
07.2	Fine bakery wares	Group II		QS
08.3.3	Casings and coatings and decorations for meat	Group II	Except edible external coating of <i>pasturmas</i>	QS
09.2	Processed fish and fishery products, including molluscs and crustaceans	Group II	only surimi and similar products and salmon substitutes based on <i>Theragra chalcogramma</i> , <i>Pollachius virens</i> and <i>Clupea harengus</i>	QS
09.2	Processed fish and fishery products, including molluscs and crustaceans	E 171	Only fish paste and crustacean paste	QS
09.2	Processed fish and fishery products, including molluscs and crustaceans	E 171	Only precooked crustacean	QS

Food category number	Food category name	E-number/group	Restrictions/exceptions	MPL (mg/L or mg/kg as appropriate)
09.2	Processed fish and fishery products, including molluscs and crustaceans	E 171	Only smoked fish	QS
09.3	Fish roe	Group II	Except Sturgeons' eggs (caviar)	QS
12.2.2	Seasonings and condiments	Group II	Only seasonings, for example, curry powder, tandoori	QS
12.4	Mustard.	Group II		QS
12.5	Soups and broths	Group II		QS
12.6	Sauces	Group II	Excluding tomato-based sauces	QS
12.7	Salads and savoury-based sandwich spreads	Group II		QS
12.9	Protein products, excluding products covered in category 1.8	Group II		QS
13.2	Dietary foods for special medical purposes defined in Directive 1999/21/EC (excluding products from food category 13.1.5)	Group II		QS
13.3	Dietary foods for weight control diets intended to replace total daily food intake or an individual meal (the whole or part of the total daily diet)	Group II		QS
13.4	Foods suitable for people intolerant to gluten as defined by Regulation (EC) No 41/2009	Group II		QS
14.1.4	Flavoured drinks	Group II	Excluding chocolate milk and malt products	QS
14.2.3	Cider and perry	Group II	Excluding <i>cidre bouché</i>	QS
14.2.4	Fruit wine and made wine	Group II	Excluding <i>wino owocowe markowe</i>	QS
14.2.5	Mead	Group II		QS
14.2.6	Spirit drinks as defined in Regulation (EC) No 110/2008	Group II	Except spirit drinks as defined in Article 5(1) and sales denominations listed in Annex II, paragraphs 1–14 of Regulation (EC) No 110/2008 and spirits (preceded by the name of the fruit) obtained by maceration and distillation, Geist (with the name of the fruit or the raw material used), London Gin, Sambuca, Maraschino, Marrasquino or Maraskino and Mistrà	QS
14.2.7.3	Aromatised wine-product cocktails	Group II		QS
14.2.8	Other alcoholic drinks including mixtures of alcoholic drinks with non-alcoholic drinks and spirits with less than 15% of alcohol	Group II		QS
15.1	Potato-, cereal-, flour- or starch-based snacks	Group II		QS



Food category number	Food category name	E-number/group	Restrictions/exceptions	MPL (mg/L or mg/kg as appropriate)
15.2	Processed nuts	Group II		QS
16	Desserts, excluding products covered in categories 1, 3 and 4	Group II		QS
17.1	Food supplements supplied in a solid form, excluding food supplements for infants and young children	Group II		QS
17.2	Food supplements supplied in a liquid form, excluding food supplements for infants and young children	Group II		QS

MPL: maximum permitted level.

Annex II part B1 lists all authorised food colours and according to Annex II part E, all food colours are authorised for use for the decorative colouring of egg shells, therefore E 171 is also authorised on the shell of eggs (FC 10.1 and 10.2).

E 171 is not authorised according to Annex III to Regulation (EC) No 1333/2008.

#### 4.4.2. Exposure data

##### Reported use levels or data on analytical levels of E 171

Data on the occurrence of E 171 in food were collected at the time of the re-evaluation of E 171 by the ANS Panel by means of a call for data launched in 2013.<sup>20</sup> In response to this call, 61 use levels and 28 analytical results on E 171 were submitted to EFSA by industry and Member States, respectively (EFSA ANS Panel, 2016). These use levels cover 14 food categories.

Levels of E 171 in food have been reported in scientific publications (Lomer et al., 2000; Chen et al., 2013; Weir et al., 2012; Peters et al., 2014; Rompelberg et al., 2016; Kim et al., 2018; Lim et al., 2018; Taboada-López et al., 2019). Most of these publications are reporting on the development of new methodologies for the analysis of E 171 in food. Foods usually analysed are chewing-gum, coatings, fine bakery wares, beverage whiteners, flavoured drinks and sauces. Most of the analysed foods correspond to food categories already considered in the re-evaluation of E 171 (EFSA ANS Panel, 2016). It has been noted that some of the use levels reported in scientific publications refer to food categories for which E 171 is not authorised in the EU. Since most of the publications are from outside Europe, it is uncertain if products in which E 171 has been analysed, are available on the European market.

In addition, in a report issued by the Dutch National Institute for Public Health and the Environment (RIVM) (Sprong et al., 2015), data on use levels submitted by industry in the Netherlands, as well as analytical data afterwards also published by Rompelberg et al. (2016) are reported.

For the current exposure assessment, the Panel considered, in addition to the data collected during the EFSA call for data in 2013, use levels reported by industry in the Netherlands in order to increase the number of food categories having data from 14 to 16, since two additional food categories were covered (Sprong et al., 2015). Levels used for estimating the dietary exposure assessment of E 171 are presented in Appendix Q.

##### Summarised data extracted from the Mintel's Global New Products Database

The Mintel's GNPD is an online database which monitors new introductions of packaged goods in the market worldwide. It contains information on over 3.6 million food and beverage products of which more than 1,300,000 are or have been available on the European food market. Mintel started covering EU's food markets in 1996, currently having 24 out of its 27 member countries plus Norway and the UK presented in the Mintel's GNPD.<sup>21</sup>

<sup>20</sup> <https://www.efsa.europa.eu/sites/default/files/consultation/callsfordata/130327.pdf>

<sup>21</sup> Missing Cyprus, Luxembourg and Malta.

For the purpose of this Scientific Opinion, the Mintel's GNPD<sup>22</sup> was used for checking the labelling of food and beverage products and food supplements for E 171 within the EU's food market as the database contains the compulsory ingredient information on the label.

According to the Mintel's GNPD, E 171 was labelled on more than 13,000 products of which 5,300 products were published in the database between January 2016 and February 2021. Since 2016, the number of food products labelled with E 171 is decreasing each year.

Appendix R lists the percentage of the food products labelled with E 171 out of the total number of food products per food subcategory according to the Mintel's GNPD food classification for the period January 2016–February 2021.<sup>22</sup> The percentages ranged from less than 0.1% in many food subcategories to 52%. The highest percentages are for the subcategories 'Sticks, Liquids & Sprays' (52.2%), 'gum' (39%), 'mixed assortments' (20.9%), 'lollipops' (14.6%), 'vitamins and dietary supplements' (13.9%). The average percentage of food products in the EU labelled as containing E 171 was 1% of all the food products included in the subcategories in Mintel in which E 171 is listed.

As can be seen in Appendix R, some foods are labelled with E 171 because the additive is authorised in an ingredient of the food. This is the case for instance for 'spoonable yoghurt' (FC 01.4) and 'chocolate tablets' (FC 05.1) which contain dragées (i.e. confectionary) authorised to contain titanium dioxide (E 171) as belonging to the FC 05.4. For a few other food categories in which the use of E 171 is not authorised, foods were found labelled as containing E 171 (e.g. nectars, sucrose, tea, bread and bread products). In these categories, the number of foods labelled with titanium dioxide (E 171) is low (approximately 35 products in total).

## Food consumption data used for exposure assessment

### EFSA Comprehensive European Food Consumption Database

Since 2010, the EFSA Comprehensive European Food Consumption Database (Comprehensive Database) has been populated with national data on food consumption at a detailed level.<sup>23</sup> Competent authorities in the European countries provide EFSA with data on the level of food consumption by the individual consumer from the most recent national dietary survey in their country (cf. Guidance of EFSA on the 'Use of the EFSA Comprehensive European Food Consumption Database in Exposure Assessment' (EFSA, 2011).

The food consumption data gathered by EFSA were collected by different methodologies and thus direct country-to-country comparisons may not be appropriate. Depending on the food category and the level of detail used for exposure calculations, uncertainties could be introduced owing to possible subjects' underreporting and/or misreporting of the consumption amounts. Nevertheless, the EFSA Comprehensive Database includes the currently best available food consumption data across Europe.

Food consumption data from the following population groups were used for the exposure assessment: infants, toddlers, children, adolescents, adults and the elderly. For the present assessment, food consumption data were available from 40 different dietary surveys carried out in 23 European countries (Table 11). Since more dietary surveys are available in the EFSA comprehensive database compared to 2016, more countries are now considered in each population group. As the 95th percentile of exposure was only calculated for those population groups with a sufficiently large sample size (EFSA, 2011), in the present assessment, it was not estimated for infants from Italy and France, for toddlers from Belgium and Italy and for adolescents from Estonia.

**Table 11:** Population groups considered for the exposure estimates of E 171

Population	Age range	Countries with food consumption surveys covering more than 1 day
Infants	From more than 12 weeks up to and including 11 months of age	Bulgaria, Cyprus, Denmark, Estonia, Finland, France, Germany, Italy, UK
Toddlers <sup>(a)</sup>	From 12 months up to and including 35 months of age	Belgium, Bulgaria, Cyprus, Denmark, Estonia, Finland, France, Germany, Italy, Latvia, Netherlands, Portugal, Slovenia, Spain, UK

<sup>22</sup> <http://www.gnpd.com/sinatra/home/> accessed on 8/2/2021.

<sup>23</sup> February 2020 release. Available online: <http://www.efsa.europa.eu/en/datexfoodcdb/datexfooddb.htm>

Population	Age range	Countries with food consumption surveys covering more than 1 day
Children <sup>(b)</sup>	From 36 months up to and including 9 years of age	Austria, Belgium, Bulgaria, Cyprus, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Italy, Latvia, Netherlands, Portugal, Spain, Sweden, UK
Adolescents	From 10 years up to and including 17 years of age	Austria, Belgium, Cyprus, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Italy, Latvia, Netherlands, Portugal, Slovenia, Spain, Sweden, UK
Adults	From 18 years up to and including 64 years of age	Austria, Belgium, Croatia, Cyprus, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Netherlands, Portugal, Romania, Slovenia, Spain, Sweden, UK
The elderly <sup>(b)</sup>	From 65 years of age and older	Austria, Belgium, Cyprus, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Netherlands, Portugal, Romania, Spain, Sweden, UK

(a): The term 'toddlers' in the Comprehensive Database (EFSA, 2011) corresponds to 'young children' in Regulations (EC) No 1333/2008 and (EU) No 609/2013.

(b): The terms 'children' and 'the elderly' correspond, respectively, to 'other children' and the merge of 'elderly' and 'very elderly' in the Comprehensive Database (EFSA, 2011).

Consumption records were codified according to the FoodEx2 classification system (EFSA, 2015). Nomenclature from the FoodEx classification system was linked to the food categorisation system (FCS) as presented in Annex II of Regulation (EC) No 1333/2008, part D, to perform the exposure assessments. In practice, the FoodEx2 food codes were matched to the FCS food categories.

#### Food categories considered for the exposure assessment of E 171

The food categories for which occurrence data of E 171 are available were selected from the nomenclature of the EFSA Comprehensive Database (FoodEx2 classification system), at the most detailed level possible (EFSA, 2015).

Data on the food supplements (FC 17) do not indicate which is the form (liquid or solid) of the product. Therefore, the levels were assigned to the whole FC 17.

The EFSA Comprehensive European Food Consumption Database considered in the current assessment is different from the one used in the re-evaluation of E 171 (EFSA ANS Panel, 2016) and allows to consider FC 05.4 for which reported use level were available at that time.

16 food categories were taken into account as occurrence data were available (Appendix Q). For relevant food categories, the refinements considering the restrictions/exceptions when as set in Annex II to Regulation No 1333/2008 were applied.

#### 4.4.3. Exposure estimates

##### Dietary exposure to E 171 from its use as a food additive

The Panel estimated the chronic dietary exposure to E 171 for the following population groups: infants, toddlers, children, adolescents, adults and the elderly. The methodology to estimate dietary exposure to E 171 in the current assessment and the different scenarios – maximum level exposure assessment scenario, refined exposure assessment scenarios (brand-loyal and non-brand-loyal) and food supplements consumers only exposure assessment scenario – are described in the approach for the refined exposure assessment of food additives under re-evaluation (EFSA ANS Panel, 2017).

Table 12 summarises the estimated exposure to E 171 from its use as a food additive in six population groups (Table 11) according to the different exposure scenarios. Detailed results per population group and survey are presented in Appendix S.

**Table 12:** Summary of dietary exposure to E 171 from its use as a food additive in the maximum level exposure assessment scenario and in the refined exposure scenarios, in six population groups (minimum–maximum across the dietary surveys in mg/kg bw per day)

	<b>Infants (12 weeks–11 months)</b>	<b>Toddlers (12–35 months)</b>	<b>Children (3–9 years)</b>	<b>Adolescents (10–17 years)</b>	<b>Adults (18–64 years)</b>	<b>The elderly (≥ 65 years)</b>
<b>Maximum level exposure assessment scenario</b>						
• Mean	0.06–3.6	0.9–12.8	1.9–11.5	1.3–6.2	0.7–6.7	0.4–4.9
• 95th percentile	0.2–15.8	2.9–31.4	5.9–31.3	4.0–18.6	2.4–15.9	1.9–12.7
<b>Refined exposure assessment scenarios</b>						
<b>Brand-loyal scenario</b>						
• Mean	0.05–3.5	0.8–10.0	1.7–9.7	1.1–5.0	0.6–5.5	0.4–4.2
• 95th percentile	0.1–14.3	2.6–28.0	5.2–25.4	3.3–14.9	2.0–13.1	1.7–10.4
<b>Non-brand-loyal scenario</b>						
• Mean	0.03–2.9	0.6–6.0	0.9–6.9	0.6–3.6	0.3–3.8	0.2–2.8
• 95th percentile	0.1–9.9	1.9–27.5	2.5–23.7	1.6–13.2	1.2–9.5	0.9–7.1

bw: body weight.

In the *maximum level exposure assessment scenario*, mean exposure to E 171 from its use as a food additive ranged from 0.06 mg/kg bw per day in infants to 12.8 mg/kg bw per day in toddlers. The 95th percentile of exposure ranged from 0.2 mg/kg bw per day in infants to 31.4 mg/kg bw per day in toddlers.

In the *brand-loyal refined exposure assessment scenario*, mean exposure to E 171 from its use as a food additive ranged from 0.05 mg/kg bw per day in infants to 10.0 mg/kg bw per day in toddlers. The 95th percentile of exposure ranged from 0.1 mg/kg bw per day in infants to 28.0 mg/kg bw per day in toddlers. In the *non-brand-loyal scenario*, mean exposure ranged from 0.03 mg/kg bw per day in infants to 6.9 mg/kg bw per day in children. The 95th percentile of exposure ranged from 0.1 mg/kg bw per day in infants to 27.5 mg/kg bw per day in toddlers.

It can be noted that for infants, toddlers, children and adolescents, the maximum of the range of dietary exposure calculated is higher than in the 2016 EFSA ANS opinion. This is due to one dietary survey which was recently included in the EFSA Comprehensive database.

For the *food supplements consumers only* (results reported in Appendix T), mean exposure to E 171 from its use as a food additive ranged from 0.8 mg/kg bw per day for adults to 11.7 mg/kg bw per day for children. The 95th percentile ranged from 3.1 mg/kg bw per day for the elderly to 41 mg/kg bw per day for children.

The main food categories contributing to the exposure to E 171 are presented in Appendix U. In the non-brand-loyal exposure assessment scenario, the main contributing food categories for infants, toddlers and adolescents were fine bakery wares, soups and broths and sauces; they were soups and broths, sauces and salads and savoury-based sandwich spreads for children, adults and the elderly. Processed nuts were also a main contributing food category for adults and the elderly (Appendix U).

An exposure estimate for E 171 considering data from scientific publications (Weir et al., 2012; Taboada-López et al., 2019) was also performed. The six additional food categories considered were unripened cheeses (FC 01.7.1), processed cheeses (FC 01.7.5), breakfast cereals (FC 06.3), rice (FC 06.7), surimi (FC 09.2) and snacks (FC 15.1). Levels of E 171 for these foods were based on analytical data and were low compared to use levels reported for the other food categories. It is uncertain if products from these additional food categories are available on the European market, and therefore, the relevance of the data is unclear. However, even taking these six additional food categories into consideration resulted in only a small increase in the calculated dietary exposure to E 171 (up to an additional 0.01 mg/kg bw per day for some populations groups) (Appendix V). Some publications reported levels of E 171 in foods where E 171 is not authorised to be added in the EU (e.g. raw cows milk, fresh milk, long-life milk, plain yoghurt (Rompelberg et al., 2016); chocolate products, ripened cheese, (Weir et al., 2012) and seafood products (Yin et al., 2017). As these are not authorised uses in the EU, these levels were not considered in the above assessment.

## Uncertainty analysis

In accordance with the guidance provided in the EFSA opinion related to uncertainties in dietary exposure assessment (EFSA, 2007), the following sources of uncertainties have been considered and summarised in Table 13.

**Table 13:** Qualitative evaluation of influence of uncertainties on the dietary exposure estimate

Sources of uncertainties	Direction <sup>(a)</sup>
Consumption data: different methodologies/representativeness/underreporting/misreporting/no portion size standard	+/-
Methodology used to estimate high percentiles (95th) long-term (chronic) exposure based on data from food consumption surveys covering only a few days	+
Correspondence of reported use levels and analytical data to the food items in the EFSA Comprehensive Database: uncertainties to which types of food the levels refer	+/-
Uncertainty in possible national differences in use levels of food categories	+/-
Occurrence data:	
– use levels considered applicable to all foods within the entire food category, whereas the average percentage of food products in the EU labelled as containing E 171 was only 1% of all the food products in the subcategories in Mintel in which E 171 is listed	+
– not fully representative of foods on the EU market	+/-
The 16 food categories which were taken into account in the refined exposure assessment scenarios out of all authorised food categories (N = 48), corresponded to different percentage, depending on the food categories (32%–96% of the amount (gram of foods by body weight) of food consumption documented in the EFSA Comprehensive Database)	–
Food categories included in the exposure assessment: no data for certain food categories which were therefore not considered in the exposure estimates (n = 32/48)	–
Maximum level exposure assessment scenario:	
– exposure calculations based on the maximum reported use levels (reported use from industries)	+
Refined exposure assessment scenarios:	
– exposure calculations based on the maximum or mean levels (reported use from industries)	+/-

(a): +, uncertainty with potential to cause overestimation of exposure; –, uncertainty with potential to cause underestimation of exposure.

E 171 is authorised in four food categories and listed among the food colours of Group II authorised in other 44 food categories (Table 10).

In the current exposure assessment, 16 food categories were considered in the maximum level and in the refined scenarios. These food categories are the main food categories in terms of consumption levels. The Panel calculated that out of the foods authorised to contain E 171 according to Annex II to Regulation (EC) No 1333/2008, 32–96% of the amount of food consumed (by weight) per population group was reported to potentially contain E 171 as a food additive.

The Panel noted that information from the Mintel's GNPD (Appendix R) indicated that 49 out of 86 food subcategories, categorised according to the Mintel's GNPD nomenclature, in which E 171 was labelled were included in the current exposure assessment. These 49 food subcategories represented approximately 95% of the food products labelled with E 171 in the database. Furthermore, the percentage of foods per subcategory labelled to contain E 171 was maximally about 52% in sticks, liquids and sprays (on average, the percentage of food products in the EU labelled as containing E 171 was 1% of all the food products included in the subcategories in Mintel in which E 171 is listed) (Appendix R), while in the assessment, it was assumed that 100% of the foods belonging to an authorised food category contained the food additive.

Given these observations, the Panel considered overall that the uncertainties identified resulted in an overestimation of the exposure to E 171 from its use as a food additive according to Annex II to Regulation No 1333/2008 for all scenarios.



## Oral exposure via other sources

E 171 is widely used as an excipient in medicinal products (Section 3). No information on the extent and level of use of E 171 in medicinal products was made available to EFSA and, therefore, its exposure from this use could not be considered. Exposure to TiO<sub>2</sub> via cosmetics (e.g. toothpaste) is not considered in this opinion.

### 4.4.4. Exposure to TiO<sub>2</sub> NPs from the use of E 171

Number-based particle size distributions of a series of pristine E 171 food additives and of E 171 particles extracted from food products have been reported (EFSA FAF Panel, 2019; Verleysen et al., 2020, 2021; Geiss et al., 2021). In these publications, the size and shape of isolated constituent particles and of constituent particles in aggregates and agglomerates were measured by image analysis of representative transmission electron micrographs using ellipse fitting. The short (a-axis) and long axes (c-axis) of the ellipse fitted to the 2D projection of each constituent particle was measured as a proxy of its minimal and maximal external dimension, respectively. Assuming that the particles are prolate ellipsoids (a axis = b axis < c axis), the volume (V) of each particle was estimated as  $V = \frac{4}{3} \cdot \pi \cdot a^2 \cdot c$  and the mass (M) of each particle was calculated as  $M = V \cdot \phi$ , with  $\phi$  = the density of the particles (3.89 g/cm<sup>3</sup> for anatase and 4.32 g/cm<sup>3</sup> for rutile particles). More information on the analysis of E 171 is available in the report of a project focusing on the development of analytical methodologies that allow identification and characterisation of nanoparticles in food additives in their pristine state and in simple food matrices (Verleysen et al., 2021). Using this methodology, the mass and number percentages of particles in different samples of E 171 were calculated (also Appendix W; reported in Verleysen et al., 2021).

Taking into account the available data (Verleysen et al., 2021), it can be presumed that the mass of constituent particles below 100 nm could be up to 30%, where the mean of the 12 analysed samples is 25%. The Panel noted that different types of E 171 are used in food and the percentage by number of constituent particles below 100 nm can range from 5% (rutile) to around 50% (EFSA FAF Panel, 2019). The use levels of the different types of E 171 are unknown, and therefore, it is not possible to estimate accurately the exposure to nanoparticulate TiO<sub>2</sub> from the use of E 171 (Table 12).

## 5. Uncertainty considerations

The Panel, after evaluating the scientific evidence available, has identified uncertainties related to the following points:

- The size distribution of the particles in marketed E 171 that consumers are exposed to, related to the different types of E 171, as presented in the EFSA FAF Panel (2019) opinion.
- The processes used by industry when using E 171 in food and to what extent these processes may affect the degree of agglomeration and thus internal exposure.
- State of agglomeration i.e. presence of 'free' (non-agglomerated) particles of tested material in GIT of the animals and its effect on absorption.
- Representativity of different tested materials used in toxicity and genotoxicity studies for the food additive E 171 when used in food.
- Differences in the physico-chemical properties of the different tested materials and the extent of their impact on the observed results.
- Interference in the measurements of Ti/TiO<sub>2</sub> in blood, tissues or organs with the most widely used analytical technique, i.e. ICP-MS, and its impact on the reliability of tissue concentration data.
- Confidence in the limited kinetic data as the basis for estimating half-lives and accumulation and for assessment of internal exposure and, related to that, the extent of systemic availability.
- None of the rodent studies were sufficiently long to cover the time needed for reaching the steady state for accumulation and this impacted the interpretation of the study results.
- Relative contribution of different molecular mechanisms leading to the production of ROS resulting in the genotoxicity of TiO<sub>2</sub> (inflammation, interaction with mitochondria, intrinsic potential of TiO<sub>2</sub> to generate ROS).
- Several modes of action for the genotoxicity may operate in parallel. The relative contributions of different molecular mechanisms elicited by TiO<sub>2</sub> particles are unknown; it is unclear if a threshold mode of action could be assumed.
- Nature of the interactions between DNA and TiO<sub>2</sub> particles leading to conformational changes in DNA

Due to large amount of information that needed reviewing in the limited time available, a structured uncertainty analysis in line with the EFSA Guidance on Uncertainty Analysis in Scientific Assessment (EFSA Scientific Committee, 2018b) was not possible. However, the Panel took a conservative approach in reaching the final conclusions.

In relation to the exposure assessment (Section 4.4.3), the Panel considered that the uncertainties identified resulted in an overestimation of the exposure to E 171 from its use as a food additive according to Annex II to Regulation No 1333/2008 for all scenarios.

## 6. Discussion

The safety of E 171 was re-evaluated by EFSA in 2016 in the frame of Regulation (EU) No 257/2010, as part of the re-evaluation programme for food additives authorised in the EU before 20 January 2009 (EFSA ANS Panel, 2016). On the basis of the information available at that time, the EFSA ANS Panel considered that E 171 mainly consisted of micro-sized TiO<sub>2</sub> particles, with a nano-sized (< 100 nm) fraction less than 3.2% by mass. Uncertainties around the identity and characterisation of E 171 were however highlighted, noting that no limits for the particle size of E 171 were set in the EU specifications. The ANS Panel concluded that, based on the data available at that time, E 171 when used as a food additive did not raise concern with respect to genotoxicity and that it was not carcinogenic after oral administration. Taking into account the presumed limited absorption of TiO<sub>2</sub>, the ANS Panel concluded that, based on a margin of safety (MoS) calculated from the no-observed-adverse-effect level (NOAEL) of 2,250 mg TiO<sub>2</sub>/kg bw per day (identified from a carcinogenicity study in rats) and the exposure, calculated based on the reported use levels and analytical data, E 171 would not be of concern. However, given the toxicological data set at that time, the ANS Panel identified data gaps and uncertainties that required follow-up by the European Commission by means of a call for data aimed at gathering information from IBOs. In particular, in order to address concerns related to the lack of adequate data on reproductive and developmental toxicity, the ANS Panel recommended that an EOGRT study be performed. An EOGRT study was commissioned by IBOs and its study protocol was later amended to accommodate the investigation of additional parameters related to the occurrence and TiO<sub>2</sub>-related induction of ACF in the colon, which are preneoplastic lesions that had been reported by Bettini et al. (2017) shortly after the completion of the ANS Panel re-evaluation of E 171.

Subsequent to the evaluation of data submitted by IBOs on the characterisation of E 171 used as a food additive in the EU, the Panel recommended that the EU specifications for E 171 include the parameter of median minimum external dimension by particle number should be higher than 100 nm, measured by electron microscopy, which is equivalent to less than 50% of constituent particles by number with a minimum external dimension below 100 nm (EFSA FAF Panel, 2019).

Based on the presence of a fraction of nanoparticles in E 171, the food additive falls under the scope of the EFSA Guidance on nanotechnology, which was broadened in its 2018 revision to cover also 'a material that is not engineered as nanomaterial but contains a fraction of particles, less than 50% in the number-size distribution, with one or more external dimensions in the size range 1–100 nm' (EFSA Scientific Committee, 2018a).

For the reason given above, the proposed amendment to the specifications of the food additive E 171 (EFSA FAF Panel, 2019) was accompanied by a recommendation by the Panel for a re-assessment of the toxicological data set in line with the data requirements specified in the EFSA Guidance on nanotechnology (EFSA Scientific Committee, 2018a).

Scientific criteria for implementing the provisions of the EFSA Guidance on nanotechnology (EFSA Scientific Committee, 2018a) and specific information on the characteristics of TiO<sub>2</sub> nanoparticles were considered when preparing the advice from the ccWG Nano. The advice elaborated on the NSC and adaptations related to specific aspects of study design with TiO<sub>2</sub> which are adequate for a hazard identification and hazard characterisation of small particles, including nanoparticles (Appendix E). Following this advice (Appendix E), toxicokinetic and toxicity studies were scored for NSC (dispersion and/or confirmation of internal exposure). The confidence for assessing the toxicological effects of the fraction of small particles, including nanoparticles was as follows:

- Scoring 1 for NSC: the study is suitable.
- Scoring 2 for NSC: the study has some limitations.
- Scoring 3 for NSC: the relevance of the results cannot be verified.
- Scoring 4 for NSC: the relevance of the results is low.

As mentioned above, the characterisation of E 171 was previously evaluated by the Panel who noted that E 171 currently contains nanoparticles at different percentages (EFSA FAF Panel, 2019). Moreover, from samples of E 171 or in E 171 extracted from foods the percentage by number of particles below 30 nm is in the order of 1% or less (Verleysen et al., 2020, 2021; Geiss et al., 2021, Appendix W) Therefore, the Panel considered that studies performed with TiO<sub>2</sub> NPs that predominantly consist of particles smaller than 30 nm (e.g. P25) are of limited relevance to the safety assessment of E 171. However, data from toxicity studies performed with TiO<sub>2</sub> < 30 nm have been considered for completeness of the database and may be relevant with respect to whether a minimum limit for particle size should be included in the EU specifications for E 171.

The Panel considered that E 171 has a low oral systemic availability, probably not higher than 0.5% (Section 4.1.2). It may pass the placenta and be transferred to the fetus. Rat studies showed long half-lives for TiO<sub>2</sub> NP (7–90 nm) (roughly 200–450 days), a potential for accumulation (accumulation factor of 290–450) and a long time to reach steady state (3–5 years) could be estimated from these studies. The oral systemic availability of TiO<sub>2</sub> NP was low (most probably < 1%) but higher than for E 171. In tissues from deceased subjects, TiO<sub>2</sub> particles were identified in liver, spleen, kidney and intestinal tissues. The low Ti amount of the investigated organs indicated low oral systemic availability of TiO<sub>2</sub> ingested from a number of sources, including dietary exposure to E 171.

The Panel noted that none of the studies were sufficiently long to cover the time needed for reaching the steady-state for accumulation. Therefore, the Panel considered that this could contribute to the uncertainty for the interpretation of the toxicological findings.

In mice, no adverse effects associated with general toxicity were observed up to 1,000 mg E 171/kg bw per day, the highest dose tested, for dosing durations up to 90 days (Han et al., 2020a, scoring 2 for NSC). Also in rats, no adverse effects associated with general toxicity were observed in the EOGRT study with E 171 (Documentation provided to EFSA No 1) (scoring 4 for NSC) at doses up to 1,000 mg/kg bw per day (Section 4.2). In rat toxicity studies with TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing a fraction of nanoparticles, having different duration (14–90 days), no adverse effects were observed up to the highest dose tested (from 40 to 100 mg/kg bw per day). Overall, in a weight of evidence consideration, no adverse effects associated with general toxicity were observed in rats orally exposed to E 171, TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing nanoparticles.

In mice orally exposed to TiO<sub>2</sub> NPs < 30 nm for up to 90 days, some effects were reported, which by their nature could be adverse. However, mild hyperbilirubinaemia was not accompanied by any in liver enzymes (Yang et al., 2017); the effect size of increased fasting glycaemia and impaired glucose tolerance (Hu et al., 2015) was not accompanied by changes in insulin or other changes in lipid metabolism and therefore was not of toxicological relevance. Histopathological changes were reported in the heart (Yu et al., 2016), however, these findings were not supported by incidences and severity scores. Histopathological findings indicating inflammation were reported in the liver but investigations to confirm hepatic injury were not performed (Hong et al., 2016).

In rats orally exposed to TiO<sub>2</sub> NPs < 30 nm, inconsistent and/or unexplained sex differences in some parameters were reported (e.g. hypobilirubinaemia in females (Chen et al., 2015a); heart rate and blood pressure changes in females (Chen et al., 2015b); leucocyte changes in females (Heo et al., 2020); higher absolute pituitary weights in males (Heo et al., 2020); lower blood insulin levels in females, lower C-peptide levels in males and differences in blood concentrations compared to controls in a glucose tolerance test in males (Chen et al., 2020b).

The Panel considered that the effects reported in mouse studies with TiO<sub>2</sub> NPs < 30 nm could be associated with accumulation of NPs in various tissues, whereas inconsistent findings in rats were considered incidental.

No effects of E 171 on sexual function and fertility in either male or female rats, and on pre- and postnatal developmental were observed in the EOGRT study with E 171 (Documentation provided to EFSA No 1, scoring 4 for NSC) up to 1,000 mg/kg bw per day, the highest dose tested (Section 4.2.7). No other reproductive or developmental toxicity studies performed with E 171 have been identified from the published literature that were considered sufficiently reliable (see Appendix H). No maternal and developmental effects were observed up to 1,000 mg/kg bw per day, the highest dose tested, in a single rat developmental toxicity study with five different TiO<sub>2</sub> materials, TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing a fraction of nanoparticles (Warheit et al., 2015b) (scoring 4 for NSC).

In mice, the effects of TiO<sub>2</sub> NPs < 30 nm on the testis (decreased weight, decreased seminiferous tubule diameter, germ cell apoptosis) and sperm (decreased sperm counts and motility, increased percentage of abnormal spermatozoa) were observed in three studies (Khorsandi et al., 2016, 2017; Karimi et al., 2019) at doses ranging from 50 to 300 TiO<sub>2</sub> NPs mg/kg bw per day. In a mouse study by

Lu et al. (2020), no effects were observed at the lowest dose tested, 10 mg/kg bw per day (scoring 4 for NSC). In rats, administration of TiO<sub>2</sub> NPs (21 nm) did not show effects at any dose level in a developmental toxicity study up to 1,000 mg/kg bw per day (Lee et al., 2019) (scoring 3 for NSC).

No neurotoxicity studies performed with E 171 have been identified from the published literature that were considered sufficiently reliable (see Appendix C). Based on the results of the EOGRT study in rats with E 171 (Documentation provided to EFSA No 1, scoring 4 for NSC), the Panel considered that E 171 had no adverse effects on neurofunctional endpoints in F1 cohort 2A offspring up to 1,000 mg/kg bw per day (Section 4.2.8).

TiO<sub>2</sub> NPs orally administered to rats during embryofetal and early postnatal development reduced hippocampal neurogenesis with TiO<sub>2</sub> NPs (< 100 nm) at 100 mg/kg bw per day exposure (Ebrahimzadeh et al., 2017), and dosed to adult rats produced adverse effects in the brain consistent with indications of oxidative stress with TiO<sub>2</sub> NPs (90 nm) at 500 mg/kg bw per day (Kandeil et al., 2019).

After oral dosing with TiO<sub>2</sub> NPs < 30 nm, adverse effects in both adult and developing mouse and rat brain were observed in studies identified from the published literature. Most of these effects are possibly related to oxidative stress. In mice, the Panel noted that the reduced volume of the polymorph layer of the hippocampal dentate gyrus and reduced density and number of dentate gyrus granular neurons reported by Rahnama et al. (2020, scoring 4 for NSC) with TiO<sub>2</sub> NPs (21 nm), is consistent with the behavioural effects reported by Zhang et al. (2020, scoring 3 for NSC), i.e. increased open field anxiety-like behaviour and unaffected spatial learning and memory. Ventral dentate gyrus is associated with anxiety behaviour, CA regions with spatial learning/memory (Eagle et al., 2016; Anacker et al., 2018). In adults rats, the most sensitive endpoint in the evaluated studies was reduced brain cholinesterase activity and increased brain Na/K-ATPase activity with TiO<sub>2</sub> NPs (21 nm) at a dose of 0.5 mg/kg bw per day in females dosed for 14 days (Canli et al., 2020, scoring 4 for NSC).

The Panel noted that inhibition of cholinesterase activity by nanoparticles other than TiO<sub>2</sub>, both metal and plastic, has been reported in a number of species (Prüst et al., 2020). Since oxidative stress-related inflammation is generally associated with increased and not decreased cholinesterase activity (Corrêa Mde et al., 2008; Vaknine and Soreq, 2020), it is unclear whether there is a link between TiO<sub>2</sub>-induced oxidative stress and TiO<sub>2</sub>-induced decrease in cholinesterase activity.

For neurotoxicity, adverse effects were seen with TiO<sub>2</sub> NPs < 30 nm. In mice, Zhou et al. (2017), reported adverse effects (i.e. inhibited dendritic outgrowth, increased autophagy and oxidative stress and reduced mitochondrial function) in *ex vivo* hippocampal neurons of weanling mice after dosing TiO<sub>2</sub> NPs (6–7 nm) during gestation and early lactation at a dose of 1 mg/kg bw per day, the lowest dose tested. In adult female rats (Canli et al., 2020), adverse effects (reduced brain cholinesterase activity, and increased brain Na/K-ATPase activity) were observed with TiO<sub>2</sub> NPs (21 nm) at 0.5 mg/kg bw per day, the lowest of three doses tested, in a 14-day study. The Panel noted that Canli et al. (2020) was scored 4 for NSC.

In the immunotoxicity cohort of the EOGRT study with E 171 (Documentation provided to EFSA No 1, scoring 4 for NSC), a slight, but statistically significant decrease (–9%) in antigen specific IgM level was measured at the highest dose tested (1,000 mg/kg bw per day) in males, but without an apparent dose response. Due to technical limitations in this part of the study, it is currently not possible to conclude on the developmental immunotoxicity of E 171 (Section 4.2.9).

From other studies identified from the published literature with E 171, the Panel concludes that these studies suggest an immune dysregulatory activity of E 171, evidenced by several immune-related and inflammatory markers.

In the Han et al. (2020a) 90-day study, effects on GM-CSF and IgM and were observed following exposure to E 171, however the Panel noted the lack of a dose response, the magnitude of the effect was small that did not allow a firm conclusion given the natural variability in the parameters measured. It should be noted that in three single dose level studies with E 171 inflammatory effects were noted at lower doses, i.e. 2, 5 and 10 mg/kg per day, respectively, in rats (Bettini et al., 2017) and in mice (Urrutia-Ortega et al., 2016; Talamini et al., 2019).

Effects of E 171 may, at least in part, stem from the activity of the fraction of the smaller TiO<sub>2</sub> particles, as studies with these particles also indicate inflammatory effects of exposure to TiO<sub>2</sub> NPs (5–6 nm) at 2.5 mg/kg per day (Yu et al., 2016).

Although not a requirement in the OECD TG 443, an evaluation of ACF in the colon of satellite F0 animals was investigated in the EOGRT study (Section 4.2.6). From this study (scoring 4 for NSC), the Panel considered that oral exposure to E 171 at doses up to 1,000 mg/kg bw per day did not induce



ACF in the colon. Two additional studies reporting information on ACF were identified from the literature search. From the study by Bettini et al. (2017) (scoring 1 for NSC), previously reviewed by the ANS Panel (EFSA ANS Panel, 2018), the Panel considered that E 171 at a dose of 10 mg/kg bw per day may induce ACF per se. In addition, E 171 enhanced ACF formation after pretreatment with a genotoxic carcinogen (i.e. DMH) in rats. From a more recent study (Blevins et al., 2019) (scoring 3 for NSC), the Panel noted that no changes in the number of ACF and ABC were observed due to E 171 exposure alone. However, limitations in the pathological examination of ABC and ACF (sampled colon area limited; technical issues with fixation) precluded a conclusion by the Panel on any potential for ABC and ACF formation. Dietary E 171, with or without treatment with DMH, had no effect on the length of the colonic glands examined or the number of goblet cells/unit.

Overall, the Panel noted that the effect of E 171 alone (without prior initiation) in producing ACF reported by Bettini et al., has not been replicated in later investigations (EOGRT and Blevins et al., 2019), but one of these investigations (Blevins et al., 2019) had methodological limitations. Furthermore, it is unclear to what extent animals were exposed to NPs in the EOGRT and Blevins et al. (2019). The Panel considered that E 171 may induce ACF in male rats at a dose of 10 mg/kg bw per day when it is dispersed in test vehicle preventing agglomeration of NPs prior to administration. The Panel noted that there is literature indicating that ACFs may be a risk factor for human colorectal cancer (Anderson et al., 2012; Drew et al., 2018; Quintanilla et al., 2019; Hong et al., 2019; Clapper et al., 2020; Kowalczyk et al., 2020; Siskova et al., 2020).

No new publications on chronic toxicity or carcinogenicity have been identified in the literature search. During the re-evaluation of E 171 in 2016, the ANS Panel had evaluated a carcinogenicity study in mice and rats (NCI, 1979), performed with TiO<sub>2</sub> mixed with the diet. The ANS Panel had concluded that the study indicated that TiO<sub>2</sub> was not carcinogenic in rats and mice. However, in the current opinion, the Panel considered that this study was not appropriate to ascertain the absence of a potential to elicit chronic toxicity and carcinogenicity by TiO<sub>2</sub> nanoparticles.

A number of studies, considered to be reliable by the Panel, have examined or included analyses of GIT microbiota changes in response to exposure to E 171, TiO<sub>2</sub> NPs and TiO<sub>2</sub> NPs < 30 nm. There is no consensus on quantifying the extent of GIT microbiota changes and when such changes should be considered adverse. Therefore, the Panel was unable to come to any conclusion regarding the effects of E 171 on GIT microbiota and related effects on health.

Combining the available lines of evidence, the Panel considered that TiO<sub>2</sub> particles have the potential to induce DNA strand breaks and chromosomal damage, but not gene mutations. The Panel noted that the largest particle sizes resulting in genotoxicity after oral exposure were TiO<sub>2</sub> (160 ± 59 nm) in an *in vivo* comet assay (Sycheva et al., 2011) and TiO<sub>2</sub> NPs (58 ± 8 nm) in *in vivo* MN and CA assays (Chakrabarti et al., 2019). Negative results were observed in two studies with particles of similar or larger size, i.e. in an *in vivo* MN assay with TiO<sub>2</sub> NPs (75 ± 15 nm) (Chen et al., 2014) and in an *in vivo* comet assay with E 171 reported by the authors to be distributed in three size groups of particles as observed from the TEM images (135 ± 46 nm, 305 ± 61, 900 ± 247 nm) but without quantitative information of each size group (Jensen et al., 2019). The Panel noted that the ranges of the particles sizes in studies resulting in a positive or negative outcome were overlapping, the number of negative studies performed with large particles is low. Accordingly, a cut-off value for TiO<sub>2</sub> particle size with respect to genotoxicity could not be identified. The Panel also considered that no clear correlation was observed between other physico-chemical properties of TiO<sub>2</sub> NPs, such as crystalline form, shape and agglomeration state and the outcome of genotoxicity assays.

The relative contribution of the MOAs, which may operate in parallel, to the resulting genotoxicity of TiO<sub>2</sub> is unknown and there is uncertainty on whether a thresholded mode of action could be assumed. Even if it was assumed that all potential modes of action would be indirect, the available data would not allow identification of a threshold dose. Therefore, the Panel concluded that a concern for genotoxicity of TiO<sub>2</sub> particles cannot be ruled out.

Considering the technical data, including heterogeneous information on particle size of E 171, as provided by IBOs during the re-evaluation of this food additive (EFSA ANS Panel, 2016), the ANS Panel considered that the highest reported percentage value of 3.2% of nanoparticles (< 100 nm) by mass, could be used as an estimate of NPs in E 171. In contrast, the current data (Verleysen et al., 2020) suggest E 171 can contain about 30% of nanoparticles < 100 nm by mass. Therefore, in the current assessment, the Panel took into consideration the principles established in the EFSA Guidance on Nanotechnology (2018). In addition, a literature review was performed for the current assessment that also captured studies performed specifically with TiO<sub>2</sub> NPs. Data on the potential genotoxicity of TiO<sub>2</sub> – that was not previously identified as relevant based on the available information on maximum



percentage value of nanoparticles present in E 171 for the 2016 re-evaluation of E 171 – were also included in the current assessment.

## 7. Conclusions

Concerning the content of nanoparticles in E 171, the Panel considered that:

- according to the Regulation EU (No) 231/2012, there is currently no limitation for the content of nanoparticles in E 171.
- according to data received from interested business operators, less than 50% of constituent particles in E 171 have a minimum external dimension below 100 nm by number (EFSA FAF Panel, 2019).
- the percentage by number of constituent particles below 30 nm was in the order of 1% or less in samples of pristine E 171 or in E 171 extracted from foods analysed after dispersion.
- TiO<sub>2</sub> particles in pristine E 171 likely form large agglomerates. When dispersion procedures are applied, these agglomerates may deagglomerate, resulting in increased numbers of 'free' nanoparticles. The extent of agglomeration and number of 'free' nanoparticles present may be further affected by the conditions in food and the GIT environment.

Accordingly, the Panel concluded that studies with TiO<sub>2</sub> nanoparticles were relevant in the current risk assessment of E 171. However, studies performed with TiO<sub>2</sub> NPs that predominantly consisted of particles smaller than 30 nm were considered to be of limited relevance.

Concerning absorption and toxicity of TiO<sub>2</sub> particles that are present in E 171, the Panel concluded that:

- the absorption of TiO<sub>2</sub> particles is low, however they can accumulate in the body due to their long half-life;
- studies on general and organ toxicity, including the newly performed EOGRT study with E 171, did not indicate adverse effects up to a dose of 1,000 mg/kg bw per day. Also, no effects were seen in studies retrieved from the literature with TiO<sub>2</sub> NP > 30 nm up to the highest dose tested of 100 mg/kg bw per day;
- no effects on reproductive and developmental toxicity up to a dose of 1,000 mg/kg bw per day, the highest dose tested, were observed in the EOGRT study with E 171. No other reliable studies were found in the literature addressing these effects with E 171;
- some findings regarding immunotoxicity and inflammation with E 171 as well as neurotoxicity with TiO<sub>2</sub> NPs may be indicative of adverse effects;
- there are indications of the induction of aberrant crypt foci with E 171;
- no studies appropriately designed and conducted to investigate the potential carcinogenicity of TiO<sub>2</sub> nanoparticles were available;
- combining the available lines of evidence on genotoxicity, TiO<sub>2</sub> particles have the potential to induce DNA strand breaks and chromosomal damage, but not gene mutations. No clear correlation was observed between the physico-chemical properties of TiO<sub>2</sub> particles – such as crystalline form, size of constituent particles, shape and agglomeration state – and the outcome of either *in vitro* or *in vivo* genotoxicity assays;
- a concern for genotoxicity of TiO<sub>2</sub> particles that may be present in E 171 could not be ruled out
- several modes of action for the genotoxicity may operate in parallel. The relative contributions of different molecular mechanisms elicited by TiO<sub>2</sub> particles are unknown and there is uncertainty whether a threshold mode of action could be assumed;
- a cut-off value for TiO<sub>2</sub> particle size with respect to genotoxicity could not be identified.

Overall, on the basis of all currently available evidence along with all the uncertainties, in particular the fact that genotoxicity concern could not be ruled out, the Panel concluded that E 171 can no longer be considered as safe when used as a food additive.

This conclusion applies to E 171 as described in Commission Regulation (EU) No 231/2012 as well as to E 171 specified in the EFSA FAF Panel (2019).

## Documentation provided to EFSA

- 1) LPT (Laboratory Pharmacology and toxicology), 2020a. Interim Report - EOGRT study of Titanium dioxide E171-E in rats by oral administration via the diet. Report No. 36222.

- Submitted by the European Commission on 27 May 2020; updated version of the report submitted by Titanium Dioxide Manufacturers Association on 1st September 2020
- 2) LPT (Laboratory Pharmacology and toxicology), 2020b. Dietary Analysis - EOGRT study of Titanium dioxide E 171-E in rats by oral administration via the diet. Report No. 36222, Submitted by the European Commission on 14 September 2020.
  - 3) Global Pathology Support D.V, 2020. Pathology assessment - EOGRT study of Titanium dioxide E 171-E in rats by oral administration via the diet (Draft amendment to final pathologist report). Test site reference no. 523/LPT Report No. 36222, Submitted by the European Commission on 15 September 2020; Draft amendment to final pathologist report submitted by Titanium Dioxide Manufacturers Association on 16 November 2020; update submitted 08 January 2021.
  - 4) Fraunhofer Institute for Molecular Biology and Applied Ecology, 2020a. Determination of titanium in rat blood and urine of an EOGRT study with Titanium Dioxide E 171-E. Study number: U-EBR-244/6-27, Submitted by the European Commission on 16 September 2020.
  - 5) Fraunhofer Institute for Molecular Biology and Applied Ecology, 2020b. Validation: Digestion of Titanium dioxide E 171-E in rat blood samples and determination of dissolved titanium in digested samples. Submitted by the European Commission on 16 September 2020.
  - 6) Fraunhofer Institute for Molecular Biology and Applied Ecology, 2020c. Validation: Digestion of Titanium dioxide E 171-E in rat urine samples and determination of dissolved titanium in digested samples. Submitted by the European Commission on 16 September 2020.
  - 7) Finish Institute of occupational health (FIOH), 2013. Facilitating the safety evaluation of manufactured nanomaterials by characterising their potential genotoxic hazard Project Coordinator French Agency for Food, Environmental and Occupational Health & Safety (ANSES) report. In vitro testing strategy for nanomaterials including database. Final report, March 2013. Submitted by FIOH, October 2020.
  - 8) French Agency for Food, Environmental and Occupational Health & Safety (ANSES), 2013. Nanogenotox, Deliverable 5: In vitro testing strategy for nanomaterials including database. Final report, March 2013. Submitted by ANSES, October 2020.
  - 9) National Research Centre for the Working Environment (NRCWE), 2013. NANOGENOTOX work package six report, Comet and Micronucleus in vivo data. Submitted by NRCWE, November 2020.
  - 10) Federal Institute for Risk Assessment (BfR) 2012. BfR study reports of the comet for TiO<sub>2</sub> NP as part of the work package five of the Nanogenotox project. Submitted by BfR, November 2020.
  - 11) Additional clarifications submitted in response to a request from EFSA. Submitted by Titanium Dioxide Manufacturers Association, 16th and 18th November 2020.
  - 12) Additional clarifications submitted in response to a request from EFSA. Submitted by Titanium Dioxide Manufacturers Association, 7 January 2021.
  - 13) Additional clarifications submitted in response to a request from EFSA. Submitted by Titanium Dioxide Manufacturers Association, 12 January 2021.
  - 14) BioReliance, 2020a. Bacterial Reverse Mutation Assay. Submitted by Titanium Dioxide Manufacturers Association, 12 January 2021.
  - 15) BioReliance, 2020b. In Vitro Mammalian Cell Micronucleus Assay in Human Peripheral Blood Lymphocytes (HPBL). Submitted by Titanium Dioxide Manufacturers Association, 12 January 2021.
  - 16) Additional clarifications submitted in response to a request from EFSA. Submitted by Titanium Dioxide Manufacturers Association, 22 January 2021.
  - 17) Additional clarifications submitted in response to a request from EFSA. Submitted by Titanium Dioxide Manufacturers Association, 29 January 2021.
  - 18) Additional clarifications submitted in response to a request from EFSA. Submitted by Titanium Dioxide Manufacturers Association, 09 February 2021.

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## Abbreviations

8-oxodG	8-oxo-7,8-dihydro-2'-deoxyguanosine
ABC	aberrant crypt
ACF	aberrant crypt foci
AChE	acetylcholinesterase
ADI	accepted daily intake
AFM	atomic force microscopy
AGD	anogenital distance
ALB	albumin
ALT	alanine aminotransferase
ALP	alkaline phosphatase
ANOVA	analysis of variance
ANSES	French Agency for Food, Environment and Occupational Health and Safety
AOM	azoxymethane
AST	aspartate aminotransferase
AUC	area under the curve
BAL	bronchoalveolar lavage
BChE	butyrylcholinesterase
BSFGE	biased sinusoidal field gel electrophoresis
BTB	blood–testis barrier
BUN	blood urea nitrogen
bw	body weight
CA	chromosomal aberration
CAC	colitis-associated colorectal cancer
CAT	catalase
ccWG	cross-cutting Working Group
CD	circular dichroism
CK	creatine kinase
COX	cyclooxygenase
CREA	creatinine
CY	cyclophosphamide
DBP	diastolic blood pressure
DG	dentate gyrus
DMH	dimethylhydrazine
DOX	doxorubicin
DSS	dextran sulfate sodium
ECHA	European Chemicals Agency
EFSA	ANS EFSA Panel on Food Additives and Nutrient sources added to Food
ELISA	enzyme-linked immunosorbent assay
ENA-78	epithelial neutrophil-activating protein-78
EOGRT	extended one-generation reproduction toxicity
EXAFS	extended X-ray absorption fine structure
FACS	flow cytometry analysis

FAF	Food Additives and Flavourings
FCS	food categorisation system
FFA	free fatty acid
Fpg	formamidopyrimidine DNA glycosylase
GALT	gut-associated lymphoid tissue
GC–MS/MS	gas chromatography–tandem mass spectrometry
GD	gestation day
GEF	global evaluation factor
GI	gastrointestinal
GIT	gastrointestinal tract
GLB	globulin
GLP	Good laboratory practice
GM-CSF	granulocyte-macrophage colony-stimulating factor
GNPD	Global New Products Database
GPx	glutathione peroxidase
GSH	glutathione
GSP	glycated serum protein
H&E	haematoxylin and eosin
HBDH	$\alpha$ -hydroxybutyrate dehydrogenase
HBGV	health-based guidance value
HDL	high-density lipoprotein
HDL-C	high-density lipoprotein cholesterol
HMC	hydroxypropyl methylcellulose
HPLC	high-performance liquid chromatography
HPRT	hypoxanthine-guanine phosphoribosyl transferase
HR	heart rate
IBO	interested business operator
ICP-AES	inductively coupled plasma atomic emission spectroscopy
ICP-DRC-MS	inductively coupled plasma dynamic reaction cell mass spectrometry
ICP-MS	inductively coupled plasma mass spectrometry
ICP-OES	inductively coupled plasma optical emission spectroscopy
Ig	immunoglobulin
IL-6	interleukin-6
i.p.	intraperitoneal
i.v.	intravenous
JAK2	Janus protein tyrosine kinase 2
KLH	keyhole limpet haemocyanin
LDH	lactate dehydrogenase
LDL	low-density lipoprotein
LDL-C	low-density lipoprotein cholesterol
LOD	limit of detection
LOQ	limit of quantification
LPO	lipid peroxidation
m-SRXRF	micro-synchrotron radiation X-ray fluorescence
MALDI-TOF	matrix-assisted laser desorption/ionization time-of-flight
MDA	malondialdehyde
MMP	mitochondrial membrane potential
MN	micronuclei
MoS	margin of safety
MP	microparticulate
MPL	maximum permitted level
MS/MS	tandem mass spectrometry
MWM	Morris water maze
NBT	nitro blue tetrazolium
NGAL	neutrophil gelatinase-associated lipocalin
NOAEL	no-observed-adverse-effect level
NSC	nanoscale considerations

NVWA	Netherlands Food and Consumer Product Safety Authority
OECD	glycated serum protein
OFT	open field test
OGTT	oral glucose tolerance test
PA	passive avoidance
PBMC	peripheral blood mononuclear cell
PC	protein carbonylation
PCE	polychromatic erythrocyte
PGC-1 $\alpha$	PPAR-gamma coactivator 1 alpha protein
PND	postnatal day
PPAR- $\gamma$	peroxisome proliferator-activated receptor-gamma
PTX	paclitaxel
qRT-PCR	quantitative real-time polymerase chain reaction
QS	<i>quantum satis</i>
RAC	Committee for Risk Assessment
RP	reference point
SBP	systolic blood pressure
SCFA	short-chain fatty acid
SEM	<i>scanning electron microscopy</i>
SOD	superoxide dismutase
spICP-MS	single particle inductively coupled plasma mass spectrometry
SSB	single-strand break
STAT6	signal transducers and activators of transcription 6
STEM-EDX	Scanning transmission electron microscopy coupled to energy dispersive X-ray
SOCS1	suppressors of cytokine signalling
TAS	total antioxidant status
TBAR	total antioxidant capacity
TBARS	thiobarbituric acid reactive substances
TBILI	total bilirubin
TC	total cholesterol
T/D	transformation/dissolution
TEM	transmission electron microscopy
TEM-EDX	transmission electron microscopy energy-dispersive X-ray spectroscopy (
TG	triglyceride
TNF- $\alpha$	tumour necrosis factor-alpha
TP	total protein
T-SOD	total superoxide dismutase
UA	uric acid
WBC	white blood cells
XRD	X-ray diffraction

**Appendix A – Search methodology for the literature search**

**Appendix B – Criteria for inclusion and exclusion applied to screening of publication retrieved in the literature search**

**Appendix C – Approach for assessing toxicokinetic and toxicity studies**

**Appendix D – Approach for assessing genotoxicity studies**

**Appendix E – Advice from EFSA ccWG Nanotechnology: Nanoscale considerations for the assessment of the study design and study results of TiO<sub>2</sub> toxicity studies**

**Appendix F – List of *in vivo* toxicokinetic and toxicity studies retrieved from the literature search**

Appendix A–F can be found in the online version of this output (in the 'Supporting information' section): <https://efsa.onlinelibrary.wiley.com/doi/10.2903/j.efsa.2021.6585>



## Appendix G – Description of test materials, scoring for nanoscale consideration and internal exposure for toxicokinetic studies

Reference	Test material	Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E	Internal exposure
<b>Talamini et al. (2019)</b>	E 171 (35% nano), anatase, 201 nm in suspension (NTA)	1	Quantitative analysis in tissues with methodology reliable with some limitations
<b>Coméra et al. (2020)</b>	E 171, anatase, 20–340 nm (118 nm) (TEM); 44.7% particles < 100 nm	1	Quantitative analysis in tissue; methodology reliable with some limitations
<b>Riedle et al. (2020)</b>	E 171, anatase, 119 nm (TEM)	1	Qualitative analysis in tissues, methodology reliable with some limitations
<b>Pele et al. (2015)</b>	E 171, anatase, d50 = 260 nm	1	Qualitative analysis in blood, methodology highly reliable
<b>Guillard et al. (2020)</b>	E 171, anatase, 20–440 nm (mean 104.9 nm (SEM-EDX), 55% nanoparticles	1	Quantitative analysis in tissues, methodology reliable with some limitations
<b>Disdier et al. (2015)</b>	TiO <sub>2</sub> NPs, P25 (15–24 nm)	1	Quantitative analysis in blood and tissues, methodology reliable with some limitations
<b>Kreyling et al. (2017a)</b>	TiO <sub>2</sub> NPs, anatase, 50 nm (TEM), purity unknown	1	Quantitative analysis in blood and tissues; methodology highly reliable
<b>Geraets et al. (2014)</b>	NM-100 (50–150 nm) and NM-102 (21–22 nm)	1	Quantitative analysis in tissues; methodology highly reliable
<b>Tassinari et al. (2014)</b>	TiO <sub>2</sub> NPs, anatase, two different morphologies: spherical shape with a size 20–60 nm (TEM) and irregular 60–40 nm (TEM)	1	Quantitative analysis in tissues; methodology highly reliable
<b>Hendrickson et al. (2016)</b>	TiO <sub>2</sub> NPs, anatase, 20–25 nm (TEM)	1	Quantitative analysis in blood and tissue, methodology reliable with some limitations
<b>Ammendolia et al. (2017)</b>	TiO <sub>2</sub> NPs, anatase, spherical shape 20–60 nm and irregular shape 40x60 nm (TEM)	1	Quantitative analysis in tissues, methodology highly reliable
<b>Kreyling et al. (2017b)</b>	TiO <sub>2</sub> NPs, anatase, 50 nm (TEM), purity unknown	1	Quantitative analysis in blood and tissue, methodology highly reliable
<b>Hendrickson et al. (2020)</b>	TiO <sub>2</sub> NPs, rutile, needle- or rod-like shape, 5 × 30 nm (TEM)	1	Particles identified in tissues by EM, methodology reliable with some limitations
<b>Chen et al. (2020a,b)</b>	TiO <sub>2</sub> NPs, anatase, 29 nm (SEM)	1	Quantitative analysis in blood and tissue, methodology reliable with some limitations
<b>Heringa et al. (2018)</b>	TiO <sub>2</sub> particles	Not experimental study	Quantitative analysis in tissue, methodology highly reliable
<b>Peters et al. (2020)</b>	TiO <sub>2</sub> particles	Not experimental study	Quantitative analysis in tissue, methodology highly reliable

NTA: nanoparticle tracking analysis; SEM: scanning electron microscopy; TEM: transmission electron microscopy.

## Appendix H – Description of toxicity studies classified with reliability 1 and 2

### General and organ toxicity studies

#### E 171

##### Mice

##### **Talamini et al. (2019)**

*Test material:* E 171 (35% nano), anatase, 201 nm in suspension (NTA).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 1.

*Internal exposure* examined: quantitative analysis in tissues with methodology reliable with some limitations.

Eight-week-old male NFR mice were randomly divided into two groups (22 animals/group). One group was orally treated with 5 mg E 171/kg bw, freshly dispersed in water. The other group was treated with water (vehicle control). E 171 or water was slowly dripped with a pipette into the mice mouths, allowing each drop to be swallowed. Mice were treated 3 days/week for 3 weeks for a total of nine treatments in 21 days. According to the authors, this treatment schedule resulted in the mice receiving an average daily dose of 2 mg E 171/kg body weight (bw).

On day 21, three days after the last dose, mice were anaesthetised with 5% isoflurane and blood was collected in heparinised tubes from the retro-orbital plexus. They were then killed by cervical dislocation and liver, spleen and whole intestine excised for either histological examination (4/group); superoxide determinations after first snap freezing in dry ice and storing at  $-80^{\circ}\text{C}$  (4/group) or gene expression analyses (10/group).

A statistically significant increase in 'necroinflammatory area' was observed in treated mouse liver based on bright-field haematoxylin and eosin (H&E) images acquired with an Aperio Scanscope System CS2 microscope and an ImageScope program (the areas occupied by necroinflammatory foci in the liver were manually identified by three different operators blinded to treatment allocation).

Statistically significant increases in apparent superoxide levels were reported in stomach (~ 50%) and whole intestine (~ 25%) – but not liver – according to the authors, through nitro blue tetrazolium (NBT) reduction.

Statistically significant increases in IL-1 $\beta$  – but not TNF- $\alpha$  or IL-10 – mRNA transcripts were reported in both stomach (~ 75%) and whole intestine tissues (~ 75%), but not liver tissues. A statistically significant reduction in liver IL-10 mRNA transcript expression was observed (~ 40%). These data are based on quantitative real-time polymerase chain reaction (qRT-PCR) methodology.

The Panel noted that this study was limited to one dose group and that the increase in necroinflammatory area was not accompanied by additional endpoints indicative of evidence for liver injury. Furthermore, the Panel noted that the histological examination of the liver was performed only on two male mice in the control group and four male mice in the group exposed to E 171. Considering that this type of inflammatory foci (inflammatory cell infiltrates with adjacent necrotic hepatocytes with distinct eosinophilic cytoplasm) of variable size are known to be present in not-treated mice, a conclusion of the relation to the treatment of these lesions cannot be drawn. The biochemical changes in the stomach and small intestine were considered indicative for increases in oxidative stress and inflammation and adaptive, but not evidence of adversity.

##### Rats

##### **Talbot et al. (2018)**

*Two test materials:* E 171, anatase, 118 nm (TEM), 45% nanoparticles; 2) TiO<sub>2</sub>NPs (NM-105), anatase/rutile, 15–24 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 1.

*Internal exposure* not examined.

Adult male Wistar rats (175–200 g) were randomly divided into three groups (n = 8) and daily administered by gavage E 171 (10 mg/kg bw per day), NM-105 (10 mg/kg bw per day) or vehicle

(water) for 7 days. In the second series of experiments, rats were randomly divided into three groups ( $n = 10$ ) and exposed for 60 days to E 171 at doses of 10 mg/kg bw per day or 0.1 mg/kg bw per day, through the drinking water, or water alone for the control animals. The stock suspensions of E 171 or  $\text{TiO}_2$  NPs in water were prepared fresh prior to each experiment. At the end of study rats were sacrificed and the caecal contents collected for analysis of short-chain fatty acids (SCFAs) and tissues from the small intestine and distal colon sampled for mucin O-glycosylation. SCFAs were assessed by gas-liquid chromatography. Jejunal and/or ileal and distal colonic mucosa were scraped and the mucins solubilised and purified, permethylated oligosaccharides were analysed by matrix-assisted laser desorption/ionisation time-of-flight (MALDI-TOF) mass spectrometry.

The Panel considered that the results indicate that  $\text{TiO}_2$  did not modify caecal short-chain fatty acid profiles (involved in the regulation of intestinal mucin MUC2 expression) and gut mucin O-glycosylation patterns (it influences the cohesive properties of mucus and hence its protective function), indicating the absence of a mucus barrier impairment. The absence of mucin O-glycan alterations indicates that the protective function of mucus against particle uptake remained intact, even after subchronic oral exposure to E 171 (NM-105 was not tested at 60 days).

### **Han et al. (2020a)**

*Test material:* E 171, anatase, 150 nm (dynamic light scattering (DLS)),

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure:* quantitative analysis in tissues; methodology not reliable.

Sprague-Dawley rats (10/sex per group) received by gavage 0, 10, 100 or 1,000 mg E 171/kg bw per day for 90 day. The study was conducted according to OECD TG 408. No mortality was observed. Clinical appearance and body weights of the treated males and females were comparable with those in the controls. Feed intake in high-dose males was statistically significantly increased from day 11 onwards with exception for days 39 and 74, the difference to controls ranging from 6% to 10%. The statistically significant increase in feed intake was also seen in the low-dose males on days 11, 18 and 88 (+8%, +5% and +7%, respectively). Haematological examination revealed a slight but statistically significant decrease in relative lymphocyte count in low- and high-dose males (8% at each dose). No differences relative to controls were reported in all treated groups of both sexes in clinical chemistry, absolute and relative organ weights or type and incidence of macroscopic and histopathological findings. In the stomach from high-dose rats (sex not informed), the test material was observed on the surface and in mucosa. The authors referred to this finding as 'E 171 accumulation in the stomach wall'. Analysis of Ti amount in the colon revealed a higher amount of the element in the high-dose group relative to control, while Ti amount in the kidney and spleen were similar to those in the controls.

The Panel noted that oral administration of E 171 in doses amounting to 1,000 mg/kg bw per day to rats had no adverse effects on general toxicity endpoints.

### **$\text{TiO}_2$ NPs or $\text{TiO}_2$ containing a fraction of nanoparticles**

#### **Rats**

#### **Warheit et al. (2015a)**

*Test material:*  $\text{TiO}_2$  (11% nano), rutile,  $d_{50} = 173$  nm (TEM), purity unknown. Two samples were tested, one was a research grade and the other a commercial grade of the pigment; both having the same physico-chemical characterisation.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 4.*

*Internal exposure* not examined.

In a 28-day repeated dose study (OECD TG 407), two groups of young adult male rats ( $n = 5$ /group) were gavaged with  $\text{TiO}_2$  (11% nano) as a suspension (4 mL/100 g bw) at 24,000 mg/kg bw per day. A control group ( $n = 5$ ) was administered nanopure water (vehicle). Clinical pathology endpoints were evaluated after the end of the 29-day treatment.

No clinical signs of toxicity, and no effects on body weight or nutritional and clinical pathology parameters were observed. No adverse effects on organ weights (adrenal glands, brain, epididymides,

heart, kidneys, liver, spleen, testes and thymus) and no gross or microscopic changes were reported. The authors reported (data not shown) that the evidence of the test substance was observed in intestinal lymphoid tissue of the treated group through microscopic examination but this was not considered to be an adverse effect. They concluded that the no-observed-adverse-effect level (NOAEL) was 24,000 mg/kg bw per day, the only dose tested.

The Panel noted that a 90-day toxicity study (OECD TG 408) with TiO<sub>2</sub> (21% nano) rutile coated with Al<sub>2</sub>O<sub>3</sub> is also described in this publication. Since this tested material was TiO<sub>2</sub> coated with Al<sub>2</sub>O<sub>3</sub> and was not representative of E 171, the data pertaining to this material was not considered relevant for the assessment of E 171 (according to Appendix B).

### **Vasantharaja et al. (2015)**

*Test material:* TiO<sub>2</sub> NPs, anatase/rutile, < 100 nm, purity unknown.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 2.

*Internal exposure* not examined.

Adult male Wistar rats divided in groups (n = 6) received TiO<sub>2</sub> NPs suspended in 0.9% saline by gavage at doses of 0, 50 and 100 mg/kg bw per day for 14 consecutive days.

Serum was obtained at the end of the experiment and different clinical biochemistry parameters were measured. Total protein (TP), albumin (ALB), globulin (GLB), cholesterol, triglycerides (TGs) and high-density lipoprotein (HDL), alanine aminotransferase (ALT), aspartate aminotransferase (AST), alkaline phosphatase (ALP) and total bilirubin (TBILI), blood urea nitrogen (BUN), uric acid (UA) and creatinine (CREA) as well as glucose

The following changes were observed: glucose increased in the treated groups (1.17- and 1.19-fold, at 50 and 100 mg/kg bw, respectively; cholesterol increased (1.25- and 1.5-fold, respectively), with mild elevation of HDL (1.13-fold) at the high dose; TG decreased to 64% of the control in both groups no significant difference in ALB, GLB and TBILI. TP changes while statistically significant, were both very limited (< 10% change) and not dose dependent (with a decrease to 92% of the control at the low dose and an increase to 1.06% at the high dose).

Serum AST levels were significantly increased only at the high treatment dose by ca 10%, while ALT levels were unchanged. A decrease (by ca. 10%) of ALP activity was observed in both the treated groups. BUN increased at both doses (1.17- and 1.21-fold at low and high doses, respectively) and UA increased by 1.3- and 1.5-fold, at low and high doses, respectively, but the statistical significance of these changes was either absent or weak (p < 0.05). There was no change of CREA.

The Panel noted a single major change, reduction of serum TG in both the treated groups. The Panel considered that no adverse effects of toxicological significance were observed.

### **El-Din et al. (2019)**

*Test material:* TiO<sub>2</sub> NPs, anatase/rutile, < 100 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 4.

*Internal exposure* not examined.

In a study investigating whether curcumin could ameliorate cardiotoxic (and genotoxic) effect of TiO<sub>2</sub> NPs male albino rats (strain not informed; n = 8/group) received by gavage either saline (vehicle control A), corn oil (vehicle control B), 200 mg curcumin/kg bw in corn oil, 1,200 mg TiO<sub>2</sub> NPs/kg bw per day in saline or 200 mg curcumin/kg bw per day in corn oil and 1,200 mg TiO<sub>2</sub> NPs/kg bw per day in saline for 90 days (El-Din et al., 2019). Additionally, an untreated control group (n = 8) was included. In this assessment only the results from the TiO<sub>2</sub> NPs and the control groups are presented. Histopathological examinations were made on heart ventricular tissue, accompanied by some immunohistochemical staining. Photomicrographs of the H&E-stained samples revealed wide intercellular spaces between cardiomyocytes, vacuolation of cytoplasm, deeply stained homogenous acidophilic cytoplasm with fading striation or pale acidophilic material indicative of oedema in some cardiomyocytes, severely congested capillaries, extravasation, pyknotic and peripherally displaced nuclei of cardiomyocytes, prominent mononuclear cell infiltration of the tissue in the TiO<sub>2</sub> NPs group. Immunohistochemically stained specimens of the heart ventricle revealed a statistically significant increased per cent areas for collagen and anti-3-nitrotyrosine staining parts and a statistically

significantly decreased per cent area for anti-desmin in the TiO<sub>2</sub> NPs group as compared to the untreated or vehicle control groups. The authors concluded that TiO<sub>2</sub> NPs could cause cardiac toxicity. The Panel noted that the number of stained samples examined per group were not reported and information of blinding of samples was not given. Based on the limited reporting of the morphological changes in the heart ventricular tissue, the Panel was not able to conclude on the relationship between the reported histopathological changes and treatment with TiO<sub>2</sub> NPs.

### TiO<sub>2</sub> NPs < 30 nm

#### Mice

#### *Hu et al. (2015)*

*Test material:* TiO<sub>2</sub> NPs, anatase, 25.6 nm (TEM, SEM), purity unknown.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 1.

*Internal exposure* examined: quantitative analysis in blood and tissues; methodology with important flaws

In a study designed to explore potential endocrine effects of TiO<sub>2</sub> NPs, 6-week-old CD-1 male mice (25.37 ± 0.71 g; n = 10/group) were acclimatised for 7 days and provided with autoclaved water and rodent diet ad libitum then randomly divided into three groups: control (PBS vehicle), 64 mg TiO<sub>2</sub> NPs/kg bw per day and 320 mg TiO<sub>2</sub> NPs/kg bw per day and dosed daily for 14 weeks by gavage.

Body weights of mice and food intakes were determined every week and biweekly, respectively.

Every 2 weeks, mice were fasted for 16 h prior to daily administration of TiO<sub>2</sub> NPs and blood was collected from the tail vein to measure the plasma glucose level. If plasma glucose was increased in comparison to control group, mice plasma glucose was tested for 4 weeks. After 14 weeks, blood was also collected from the heart.

Ti concentration was measured in organs (liver lobes, spleen, middle part of small intestine, gastrocnemius muscle, middle part of the kidney and pancreas) using ICP-OES, and Ti particles in the tissue were detected by EDXA. The Panel noted that the analytical technique (ICP-OES) was not appropriate for a reliable Ti quantification in tissues.

Ti concentrations were in both the treated groups increased in all organs, with no significant difference between the two dose groups. Ti concentration in kidney and small intestine was the highest. No significant difference in body weights or food intake was observed between the 3 groups. However, plasma glucose levels (tail vein) were increased from week 10 in both TiO<sub>2</sub> NPs groups. The plasma glucose concentration in heart blood at termination was also statistically significantly increased. There were no changes in the concentrations of plasma insulin, blood TG, free fatty acid (FFA), high-density lipoprotein cholesterol (HDL-C), low-density lipoprotein cholesterol (LDL-C) or total cholesterol (TC) in any group. Levels of total superoxide dismutase (T-SOD) and glutathione (GSH) were significantly reduced and the level of MDA was significant increased for both dose group in serum and liver. The authors concluded that oral exposure to TiO<sub>2</sub> NPs increased reactive oxygen species. No apoptotic pancreatic β-cells were observed.

In an oral glucose tolerance test (OGTT), a significant increase in plasma glucose levels was observed in the treated animals compared to control group, but no differences in plasma insulin levels at 30, 60 and 120 min were observed.

At week 14, western blot results showed that the phosphorylation of IRS1 (Ser307) was increased and phosphorylation of Akt (Ser473) was reduced in the liver in both the dose groups. The serum levels of tumour necrosis factor-alpha (TNF-α) were significantly increased for both groups compared to control. IL-6 was significantly increased in the serum of the high-dose group. Phosphorylation of JNK1 and p38 MAPK was significantly increased in the liver in both dose groups.

According to the authors, this study indicates that oral administration of TiO<sub>2</sub> NPs increases plasma glucose in mice, in fasting state and after OGTT without showing a dose response.

According to the authors, TiO<sub>2</sub> NPs increased ROS by activating an inflammatory response and MAPK pathways, which induce the insulin resistance and cause an increased plasma glucose levels in mice.

The Panel considered that the oral administration of TiO<sub>2</sub> NPs (25.6 nm) at both doses, 64 and 320 mg/kg bw per day leads to increases in fasting state plasma glucose, and also to increases in glucose



levels in a glucose tolerance test without showing a dose response and without differences in plasma insulin levels, indicating inconsistency between the measured outcomes.

### **Yu et al. (2016)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 5–6 nm (further information on characterisation from Hu et al., 2011).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 2.

*Internal exposure* not examined.

In a study assessing effects of TiO<sub>2</sub> NPs on the heart, female CD 1 (ICR) mice (initial body weight 20 ± 2 g, n = 20/group) received by gavage 0, 2.5, 5 or 10 mg TiO<sub>2</sub> NPs/kg bw per day for 90 days. The endpoints evaluated were body weight gain, absolute and relative heart weight (for 20 mice per group), morphology of the heart, activities of ATPases and protein expression of CAMK II, NCX-1, α-1AR and β-1AR in the heart tissue by ELISA (each parameter for 5 mice per group). The results for endpoints related to the immunological system are not included. Body weight gain was statistically significantly decreased in a dose-dependent manner up to 30.3% in all TiO<sub>2</sub> NPs groups. Mean absolute heart weights were comparable with those of the control group but the relative heart weights were increased with increasing dose and the difference to the control group reached statistical significance at the high dose. Microscopic examination of H&E-stained heart tissue revealed fragmentation, interstitial spaces or disordered myocardial fibre arrangement, fatty degeneration or necrosis at all doses, and cardiomyocyte hypertrophy, myocardial haemorrhage or hyperaemia and focal inflammatory cell infiltration in myocardial interstitium at mid- and high-dose groups. There were statistically significant decreases in the activities of cardiac Na<sup>+</sup>/K<sup>+</sup>-ATPase and Ca<sup>2+</sup>/Mg<sup>2+</sup>-ATPase at all doses and of Ca<sup>2+</sup>-ATPase in mid and high doses. The decreases were dose related. The decreases at the high dose were approximately 25%, 26% and 23% for Ca<sup>2+</sup>-ATPase, Na<sup>+</sup>/K<sup>+</sup>-ATPase and Ca<sup>2+</sup>/Mg<sup>2+</sup>-ATPase, respectively, as estimated by the Panel. The Panel noted that reporting of morphological changes in the heart was based solely on the presented photomicrographs from the heart sections but the incidence and severity scores of the morphological changes were not presented. Based on this limited reporting, the Panel was not able to conclude on the decreased body weight gain and the relationship between the reported histological changes and treatment with TiO<sub>2</sub> NPs (5–6 nm). The Panel further noted that the decreases in activities of ATPases were not indicative for an impaired cardiac pump function (Akeru and Brody, 1978; Dostanic et al., 2004; Griffiths et al., 2009; Figtree et al., 2012).

### **Hong et al. (2016)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 5–6 nm (further information on characterisation from Hong et al., 2014).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 2.

*Internal exposure* not examined.

CD-1 (ICR) male mice were divided into four groups (n = 40) and administered TiO<sub>2</sub> NPs by gavage at doses of 0, 2.5, 5 and 10 mg/kg bw per day for 90 days.

A dose-dependent decrease in body weight gain was observed at all tested doses (approx. 5%, 5% and 7% decrease in body weight gain compared to control at 2.5, 5 and 10 mg/kg bw per day, respectively), with statistically significant differences at the two highest doses. Relative liver weights increased by ~ 10–15% compared to control however, absolute liver weights were unchanged. According to the authors, histopathology 'showed dose-related severity of liver injury, such as hyperaemia, lymphocyte infiltration and necrosis'. However, the Panel noted that quantitative data (incidence and severity) were not reported and there may be operator bias in selection of images.

According to the authors, the inflammatory response in the liver was demonstrated by dose-dependent increases in protein expression of cyclooxygenase (COX, ca. 30% and 100% at 5 and 10 mg/kg, respectively), neutrophil gelatinase-associated lipocalin (NGAL, by ca. 50 and 80%) and epithelial neutrophil-activating protein-78 (ENA-78, by ca. 23%), and decreased protein expression of peroxisome proliferator-activated receptor-gamma (PPAR-γ, to ca. 29 and 27% of control). The

decreased expression of PPAR-gamma coactivator 1 alpha protein (PGC-1 $\alpha$ , to ca. 82 and 61% of control) indicates, according to the authors, possible decreased capacity in mitochondrial metabolism.

The ET-1 and P2X7 levels were not significantly different among the four groups. Western blot analysis of biomarkers of inflammation showed increased expression of IL-6, Janus protein tyrosine kinase 2 (JAK2), signal transducers and activators of transcription 6 (STAT6), COX, NGAL and ENA-78, and decreased expression of suppressors of cytokine signalling (SOCS1), PPAR- $\gamma$  and PGC-1 $\alpha$ . According to the authors, 'the JAK-STAT pathway may play an important role in nano-TiO<sub>2</sub>-induced liver injury'.

The Panel noted that the histopathological data in the liver was not accompanied by any other confirmatory investigations (e.g. clinical chemistry) and considered the effects reported in this study as likely an hepatic inflammatory response to TiO<sub>2</sub> NPs (5–6 nm).

### **Yang et al. (2017)**

*Test material:* TiO<sub>2</sub> NPs, mixture (anatase and rutile), 21 nm (the Panel noted that from the product number catalogue indicated in the publication, it is P25).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 4.

*Internal exposure* examined: particles identified in liver; methodology with important flaws.

Male 5- to 7-week-old C57/Bl6 mice (22–25 g, 5/group) were treated by gavage once daily for 14 days with either vehicle control (0.5% CMC-Na, not defined), 250 mg TiO<sub>2</sub> NPs/kg bw or 500 mg TiO<sub>2</sub> NPs/kg bw. The Panel noted there was no randomisation of animals in this study.

After 14 days, blood was collected from the retro-orbital vessel. Mice were then killed by CO<sub>2</sub> asphyxiation. Two samples of each liver from three mice in each group were examined via TEM, with the remainder either fixed for histopathological examination or frozen for later analyses of gene expression.

There were no significant effects of TiO<sub>2</sub> NPs on relative liver weight, serum ALT, AST, ALP and total bile acid levels. Conjugated bilirubin (direct) and bilirubin (indirect) serum levels were statistically significantly elevated ~ 2.5- to 3-fold in the highest dose group. However, no histopathological changes were observed in the liver (livers from three randomly selected mice from each experimental group were examined).

The authors reported increases in particles, the number of mitochondria and oedema of the endoplasmic reticulum in hepatocytes based on analysis by TEM in both the dose groups. However, none of these data were analysed quantitatively.

qRT-PCR and western blotting on liver tissues identified significant increases in the expression of selected genes (Oatp1, Mrp3, Cyp2b10 and Cyp2c37) with increasing dose of TiO<sub>2</sub> NPs (21 nm).

The Panel noted the increases in serum bilirubin levels along with structural changes in hepatocytes which were not quantified. However, these increases occurred in the absence of any changes in relative liver weight, changes in other serum markers for liver injury or quantitative histopathological changes in the liver. Changes in the hepatic expression of selected genes were considered as either spontaneous or adaptive, but not evidence of adversity.

### **Rats**

#### **Chen et al. (2015a)**

*Test material:* TiO<sub>2</sub> NPs, anatase 24 nm (TEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 2.

*Internal exposure* not examined.

Four-week-old healthy Sprague-Dawley rats (n = 10 per group) were randomised into experimental and control groups, with five male and five female rats in each group. Suspensions in ultrapure water of TiO<sub>2</sub> NPs (0, 2, 10 and 50 mg/kg bw per day), glucose (1.8 g/kg bw per day) and TiO<sub>2</sub> NPs (0, 2, 10, 50 mg/mg bw per day) + glucose (1.8 g/kg bw per day) were gavaged daily for 30 or 90 consecutive days. The animals were kept under standard environmental conditions. In this assessment only the results from the TiO<sub>2</sub> NPs and the control groups are presented.

During the experiments, no significant changes in the body weight and food intake of the exposed rats were found and no mortality was observed.

After 30 days or 90 days, animals were weighed and sacrificed. Blood samples were collected for haematological and clinical chemistry investigations. The liver, kidney, spleen, testicle, ovary and heart were collected.

The relative kidney weight was increased in male rats only in the 2 and 10 mg/kg bw per day groups, not in the 50 mg/kg bw per day group; the increase being statistically significant, but biologically not relevant (about 10%). No difference in heart body weight was recorded after 30 or 90 days.

No effect was seen on the relative heart weight. No adverse effect on heart rate and blood pressure was noted. Some laboratory parameters were changed ( $\alpha$ -hydroxybutyrate dehydrogenase (HBDH) decreased at 90 days in males in the dose group of 50 mg/kg bw per day, CK decreased at 90 days in females in the dose group of 10 mg/kg bw per day, serum BUN increased at 90 days in males the dose group of 10 mg/kg bw per day without increased serum creatinine, serum creatinine decreased at 90 days in males the dose group of 50 mg/kg bw per day), however, the Panel considered of no toxicological relevance.

Relative organ weight of liver and spleen were unchanged in all groups.

No histopathological findings were reported, except for the liver for which the authors reported 'edema, fatty degeneration and necrosis were evident in all the 50 mg/kg bw per day treated groups'. However, the images shown are too small to independently assess the reported findings. In addition, serum enzyme activities reflecting liver injury (the aminotransferases ALT, AST and ALT/AST) were unchanged in females or males exposed to TiO<sub>2</sub> NPs, irrespective of the dose, and this does not confirm the histopathological changes reported by the authors. Mostly random, modest changes in total bilirubin values were observed across the control and treated groups of both sexes. The authors considered that decreased total bilirubin values in the female groups treated with 10 and 50 mg TiO<sub>2</sub> NPs/kg bw per day was a sign of liver dysfunction, but the Panel did not agree since there is no liver injury which is known to result in hypobilirubinaemia.

The Panel noted that no TiO<sub>2</sub> measurements were performed in blood or tissues. The Panel considered that no adverse effects were identified in this study up to a dose of 50 mg TiO<sub>2</sub> NPs (24 nm)/kg bw per day.

### **Chen et al. (2015b)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 24 nm (TEM) (physico-chemical properties were described in detail at Chen et al., 2015a).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 1.

*Internal exposure* was not examined.

In a study investigating effects of TiO<sub>2</sub> NPs on the cardiovascular system, Sprague–Dawley rats (n = 10/sex per group) received by gavage 0, 2, 10 or 50 mg TiO<sub>2</sub> NPs/kg bw per day for 30 or 90 days (5/sex per group terminated at each time point). Body weight was recorded weekly and feed intake every 3–4 days. The heart rate (HR), systolic and diastolic blood pressure (SBP and DBP) were monitored at the start, weekly up to 30 days and every second week thereafter (no information was given about the number of animals per group used for the measurements and whether there were the same animals used repeatedly). At each termination, body and heart weights were recorded and blood (from abdominal aorta) was collected for measurements of activities of creatine kinase (CK), lactate dehydrogenase (LDH) and HBDH as biomarkers of cardiac damage, for clinical chemistry parameters (glucose, TGs, TC, HDL-C, LDL-C and absolute leucocyte (WBC) count, TNF- $\alpha$ ) and IL-6 as indicators of inflammatory response in the body. Heart tissue samples were taken for histological examination. Treatment with TiO<sub>2</sub> NPs at all doses had no effect on body weight, feed intake or the relative heart weight. Statistically significant changes in heart rate and blood pressure relative to the control group were recorded in mid- and high-dose females as increased DBP on day 47 and decreased SBP on day 57 (no data shown to evaluate a dose response), and mid-dose males as a decreased HR on day 88. The area under curve for SBP was statistically significantly lower for mid-dose females. According to the authors, TiO<sub>2</sub> NPs could induce changes in HR and blood pressure. The Panel noted that each of these transient changes were limited to one sex and considered them not to be treatment related. Statistically significant differences relative to control in biomarkers of cardiac injury were decreased

serum activities of LDH and HBDH in high-dose males in the absence of an apparent dose response relationship and of CK in mid-dose females after 90 days of the treatment. According to the authors, these findings suggested that TiO<sub>2</sub> NPs at high doses could induce cardiac impairment detectable at the level of blood molecular markers. The Panel noted that clinical diagnostic increases in activities of the CK and LDH are regarded as indicative of myocardial injury and increased serum activity of HBDH may reflect renal, red blood cell and/or myocardial damage. No increase in activities of the three biomarkers is in agreement with no histopathological changes in the heart. Values of clinical chemistry parameters were comparable to controls at two terminations with exception of not dose related statistically significantly lower TG in mid- and high-dose males on day 90. Statistically significant increases relative to the control group were reported for total leucocyte count and granulocyte count in high-dose females after 90 days and in total leucocyte count, lymphocyte and monocyte counts in high-dose males after 30 days. The concentration of TNF- $\alpha$  was statistically significantly higher relative to the control in mid-dose females after 30 days. The concentration of IL-6 was statistically significantly increased in mid-dose females and in mid- and high-dose males after 30 days. This, according to the authors, indicated that TiO<sub>2</sub> NPs at high doses could induce inflammatory response in rats. The Panel noted that the changes in WBC, TNF- $\alpha$  and IL-6 were not time- or dose-related. Overall, the Panel considered that gavage administration of TiO<sub>2</sub> NP (24 nm) in doses up to 50 mg/kg bw per day to rats for up to 90 days did not induce any treatment-related effects on the evaluated endpoints.

### **Grissa et al. (2015)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 5–12 nm (TEM, XRD).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 2.

*Internal exposure* not examined.

Four-month-old healthy male Wistar rats were provided a commercial pelleted diet and provided drinking water ad libitum. The rats were weighed and randomised into three experimental and one control group (n = 6 per group). Rats were daily administered fresh suspensions of TiO<sub>2</sub> NPs in distilled water by gavage at doses of 0 (distilled water control), 50, 100 or 200 mg/kg bw for 60 days. Body weight changes, feed and water consumption, activity and mortality were monitored daily.

No data on feed consumption, body weight or mortality were reported.

After 60 days, animals were anaesthetised and blood samples were collected by cardiac puncture for haematological parameters, blood smears (and comet assay). Rats were then euthanised. The haematological results indicated statistically significant dose-related decreases in RBC (up to 28%), HCT (up to 23%) and haemoglobin (up to 28%) in exposed animals although the decreases in the last parameter were not dose dependent. Mean corpuscular volume (up to 29%), platelets (up to 42%), mean platelet volume (up to 30%) and WBC (up to 235%) were statistically significantly and dose-dependently increased in exposed animals. The reported data fails to indicate the number of animals used in each investigation. According to the authors, animals exposed to 100 or 200 mg/kg bw TiO<sub>2</sub> NPs (5–12 nm) per day had poikilocytotic (abnormally shaped) hyperchromatic RBCs and abnormally shaped nuclei and hyper-segmented nuclei in lymphocytes and neutrophils.

The Panel considered the changes to be of no toxicological significance.

### **Grissa et al. (2017)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 5–10 nm (the Panel noted that this material is mentioned as E 171, however, the same material produced by AZ tech (Italy) is described in Grissa et al. (2015) as paint pigment in ceramics).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 4.

*Internal exposure* not examined.

Four-month-old male Wistar albino rats (n = 6 per group) were randomly divided into control and a TiO<sub>2</sub> NPs (5–10 nm) groups and maintained under control conditions (12-h light cycle, temperature and humidity). The control group received 10 ml/kg bw distilled water and the treated rats received 100 mg TiO<sub>2</sub> NPs/kg bw in suspension in distilled water by gavage, daily for 8 weeks.



During the 8-week treatment, all animals were observed daily for clinical signs and mortality, body weights were evaluated weekly. After 8 weeks and 24 h after the last TiO<sub>2</sub> NPs dose, the animals were weighed and anaesthetised with ether and blood samples were collected by cardiac puncture.

A statistically significantly reduced body weight gain in the treated animal group was observed compared to the control. Serum cholesterol (Chol), glucose (Glu) and TG concentrations were statistically significantly higher in treated rats than in controls (Chol:  $2.41 \pm 0.11$  mmol/L and  $1.53 \pm 0.02$  mmol/L; Glu:  $13.37 \pm 0.18$  mmol/L and  $8.18 \pm 0.33$  mmol/L; TG:  $0.69 \pm 0.1$  mmol/L and  $0.34 \pm 0.11$  mmol/L, treated vs. control).

According to the authors, oxidative stress markers SOD, total antioxidant status (TAS) and catalase (CAT) in plasma were significantly decreased and changes in the lipid peroxidation (LPO) potential were observed in treated rats compare to control. Furthermore, plasma IL-6 was significantly increased in treated rats compare to control.

The Panel noted the changes in glucose levels which are potentially adverse, and considered that the changes in cholesterol and TGs are of unclear toxicological relevance.

### **Hassanein and El-Amir (2017)**

*Test material:* TiO<sub>2</sub> NPs, 21 nm [no further information].

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 4.

*Internal exposure* not examined.

Male Sprague–Dawley rats, weighing 160–200 g, maintained under standard environmental conditions, provided standard feed and water ad libitum. After 2 weeks of acclimatisation, the rats were randomly divided into 6 groups (n = 10 rats/group) that received TiO<sub>2</sub> NPs only for 6 weeks. TiO<sub>2</sub> NPs (150 mg/kg bw) in 1% Tween 80 was administered daily by gastric intubation. The control group received 1% Tween 80 (0.5 mL/rat). After 6 weeks of treatment, the animals were terminated and samples from the brain, kidney, liver, lung, heart and testes were stored in 10% buffered formalin for histopathology and prepared for H&E staining. A complete blood count, including erythrocyte count (RBC), haemoglobin (Hb) and total and differential leucocytes (WBC, Lym, Monocy, Neutro), eosinophils (EOS) were performed for each sample. Activities of ALT and AST in the serum samples, serum LPO, total antioxidant capacity (TBAR), glutathione (GSH) and TNF- $\alpha$  were measured by appropriate methods. Furthermore, serum testosterone was determined.

According to the authors, RBC, Hb, WBC, Monocy and EOS were not different compared with control, whereas the Lym and Neutro were statistically significantly increased by treatment with TiO<sub>2</sub> NPs. No units were given for Lym, Monocy and Neutro. Hence, the Panel could not conclude on these data. ALT increased 2.5-fold, AST 2-fold, LPO 2-fold, TNF- $\alpha$  5-fold, whereas TBAR decreased 2-fold, GSH 4-fold and testosterone 5.5-fold.

In all organs investigated (liver, brain, lung, heart, testis, kidney) 'histopathological' lesions were reported in either 4–6 (++) or 7–10 (+++) rats per treated group, with no lesions found in the control group. The reported findings in the liver were congestion, vacuolar degeneration, mononuclear infiltration in the portal area, focal necrosis with mononuclear infiltration; in the brain: haemorrhage, congestion of choroid plexus blvs, chromatolysis, neuronal degeneration, perivascular lymphocytic cuffing; in the lung: congestion, thrombosis, hyalinisation of the blood vessels wall, hyperplasia of peribronchial lymphoid aggregation; in the heart: vacuolar degeneration and myocardial necrosis; in the testis: congestion and coagulative necrosis; in the kidney: congestion and perivascular mononuclear infiltration. It is not mentioned whether the pathologist performing the histopathology was blinded or whether there was a blinded second reading of the slides. The Panel considered that the descriptions of 'histopathological' lesions are unclear; some of the findings are not histopathological lesions. In addition, the organs in which lesions are reported, the number of lesions per organ and the number of animals with any lesions are not clearly stated.

The Panel noted that only one dose of 150 mg TiO<sub>2</sub> NPs (21 nm)/kg bw has been tested. Based on the many flaws in the study reporting, the Panel was not able to draw any conclusions.

### **Heo et al. (2020)**

*Test material:* TiO<sub>2</sub> NPs (P25), anatase/rutile, 15–24 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 4.

*Internal exposure* not examined.



Healthy pathogen-free young adult male and non-pregnant female Sprague–Dawley rats ( $n = 140$ ) were used. For a repeated-dose 28-day study, animals were randomly divided into four groups ( $n = 5/\text{sex}$ ) following a 6-day acclimatisation period. For the repeated-dose 90-day study, the animals were randomly assigned to four groups ( $n = 15/\text{sex}$ ) following a 6-day acclimatisation period. While 10 animals in each group were terminated for toxicity evaluation after the repeated-dose 90-day study, the remaining 5 animals underwent a 28-day recovery period. No information on the type of diet was provided. The suspensions of  $\text{TiO}_2$  NPs (15–24 nm) in sodium phosphate buffer were daily administered in the morning based on the body weight of the animals by gavage  $\text{TiO}_2$  NPs at concentrations of 0, 250, 500 and 1,000 mg/kg bw per day with a dosing volume of 10 ml/kg bw.

Food and water intake were recorded before the first administration and then weekly during the study. No statistically significant treatment-related differences with respect to body weight gain, food and water intake were observed. No mortality and clinical signs were detected during the exposure period of 28 and 90 days. No effects were detected in the functional observations battery in the last week of the 90-day study.

Ophthalmoscopic examination and urinalysis did not show statistically significant differences between the groups. Reductions in the number of circulating neutrophils in the 500 mg/kg bw female group and increased numbers of circulating lymphocytes in all three female groups (without a clear dose–response pattern) were reported. These changes were not observed in males. These differences did not persist in the animals in the recovery group and were considered by the authors as spontaneous and not treatment-related. BUN levels were statistically significantly reduced in the 1,000 mg/kg bw female group and blood Na levels were increased in the 1,000 mg/kg bw per day male group.

There were no abnormal gross findings at necropsy in treated animals with or without recovery. Higher absolute pituitary weights in the 1,000 mg/kg bw per day male group, lower absolute uterine weights in the 1,000 mg/kg bw per day female group and higher relative liver weights found in the 1,000 mg/kg bw per day female group. Therefore, the Panel considered these changes as unrelated to the treatment. On histopathological examination, lesions were observed in the kidney, thymus, heart and lung in controls and in the 1,000 mg/kg bw per day dose group in both sexes. The observations did not differ significantly between the control group and the 1,000 mg/kg bw per day group and were not considered to be associated with the treatment by the authors.

The Panel considered that the reported changes were within the historical control range and of no toxicological significance.

### **Chen et al. (2020a)**

*Test material:*  $\text{TiO}_2$  NPs, anatase, 29 nm (STEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 2.

*Internal exposure* not examined.

Three-week-old healthy male Sprague–Dawley rats were randomly divided into groups of six animals receiving daily by gavage  $\text{TiO}_2$  NPs (29 nm) at doses of 0, 2, 10 or 50 mg/kg bw per day for 90 days. Animals were provided a commercial pelleted diet and provided deionised water ad libitum. Behaviour and mortality were monitored daily. Body weights were assessed every 7 days and at termination, while the food intake was recorded every 3–4 days. Blood from the abdominal aorta was taken at termination. In addition, SCFAs in stool samples were analysed by targeted metabolomics using gas chromatography–tandem mass spectrometry (GC–MS/MS).

No mortality was observed during the study. Starting from week 8, the 10 and 50 mg/kg bw per day groups showed decreased body weight gains up to about 15%, while food intake was not different between the groups.

Serum levels of triglycerides in the 10 and 50 mg/kg bw per day groups were statistically significantly lower than in the control group while serum TC, HDL-C and LDL-C were not affected. In an untargeted metabolomic analysis, 343 of 1,837 lipophilic metabolites were differentially expressed between controls and the 50 mg/kg bw per day group.

No statistically significant differences in organ weights for the heart, spleen, liver, kidney, lung, stomach and testis were observed. No further results were reported.

Six SCFAs in faeces, including acetic acid, propionic acid, isobutyric acid, butyric acid, isovaleric acid and hexanoic acid, did not change after exposure to  $\text{TiO}_2$  NPs.

The Panel considered that, while the change in body weight gain may be adverse, other reported changes were of no toxicological significance.

### **Chen et al. (2020b)**

*Test material:* TiO<sub>2</sub> NPs, anatase 24 nm (SEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure),* assigned according to Appendix E, was 2.

*Internal exposure* not examined.

Three-week-old Sprague–Dawley rats were fed a commercial pellet diet and deionised water ad libitum. After 1 week of acclimation, rats were weighed and randomly divided into experimental and control groups. Rats (n = 5/sex per group) were daily administered by gavage with 0, 2, 10 or 50 mg/kg bw per day with TiO<sub>2</sub> NPs (24 nm) with or without 1.8 g/kg bw glucose in 1 mL of water for 90 consecutive days.

Body weights were determined weekly and there were no significant differences in body weight gains in any group during the study.

After fasting overnight, blood was drawn from the tail vein of rats at 9, 16, 23, 30, 44, 58, 72 and 90 days to monitor blood glucose levels. Although differences were seen in treated and control rats, these were only in female rats and at occasional time points. The authors calculated the area under the curve (AUC) of blood glucose and determined that the female rats treated with glucose alone and female rats treated with glucose with either 2 or 10 mg/kg bw per day TiO<sub>2</sub> NPs had slight but significantly reduced AUCs. After 90 days, animals were weighed and killed. Blood samples were collected from the abdominal aorta and the levels of blood glycoproteins, including glycated haemoglobin (HbA1c) and glycated serum protein (GSP) were also measured. Rats treated with glucose and TiO<sub>2</sub> NPs (10 and 50 mg/kg bw per day groups) had a significantly reduced levels of HbA1c in female rats. Male rats treated with glucose and TiO<sub>2</sub> NPs (2 mg/kg bw group) had a significantly reduced level of GSP.

Blood insulin, C-peptide and glucagon levels were also determined at a single undeclared time point in the study by a radioimmunoassay. Considering only those effects in which there appeared to be a potential dose–response effect of TiO<sub>2</sub> NPs (no dose response effects were seen when glucose was co-administered with TiO<sub>2</sub> NPs), blood insulin levels were statistically significantly lower than control in females in the 10 or 50 mg/kg bw per day TiO<sub>2</sub> NPs groups, but there was no effect in males. In males, C-peptide was significantly lower in the 50 mg/kg bw per day TiO<sub>2</sub> NPs group, but no such effect was seen in females. No clear dose–response effect was seen on glucagon levels.

Prior to termination, an OGTT was performed at day 90. The rats were fasted overnight for 16 h and subsequently challenged with glucose alone at 2 g/kg bw via gavage. Blood glucose levels were determined at 0 h (pre-glucose treatment) and at 30, 60, 90 and 120 min (post-glucose treatment). Although differences were seen in blood glucose concentrations, these were only in male rats (at 30 and 60 min after glucose challenge in the 2 mg/kg bw per day TiO<sub>2</sub> NPs group and at 60 min after glucose challenge in the 50 mg/kg bw per day TiO<sub>2</sub> NPs + glucose group). Only rats treated chronically with both glucose and 50 mg/kg bw per day TiO<sub>2</sub> NPs showed a significant increase in AUC for blood glucose in the glucose tolerance tests.

Pancreata were excised, fixed and processed for histopathological examination by a pathologist who was blinded to the treatment group and dosing regimen. No pathological changes associated with TiO<sub>2</sub> NP administration were observed.

In the absence of any clear dose–response effects for TiO<sub>2</sub> NPs (24 nm) and the occasional nature of these changes, the Panel considered the changes in blood glucose, HbA1c, GSP, insulin, C-peptide, glucagon and glucose tolerance as either not test substance related or irrelevant for the safety evaluation of TiO<sub>2</sub> NPs.

## **Reproductive and developmental toxicity studies**

### **TiO<sub>2</sub> NPs or TiO<sub>2</sub> containing a fraction of nanoparticles**

#### **Rats**

#### **Warheit et al. (2015b)**

In this study, there were five different test materials relevant for E 171: 1) anatase/rutile (89/11%) (uf-1), d<sub>50</sub> = 43 nm (XSDC), d<sub>50</sub> = 23 nm (TEM), irregular; 2) anatase (100% nano) (uf-2),

$d_{50} = 42$  nm (XSDC),  $d_{50} = 19$  nm (TEM), irregular; 3) rutile (100% nano) (uf-3),  $d_{50} = 47$  nm (XSDC),  $d_{50} = 22$  nm (TEM), rod-like; 4) anatase (27% nano) (pg-1),  $d_{50} = 153$  nm (XSDC),  $d_{50} = 120$  nm (TEM), irregular; 5) rutile (11% nano) (pg-2),  $d_{50} = 195$  nm (XSDC),  $d_{50} = 165$  nm (TEM), irregular. The purity of each tested material was not reported but the most abundant metals were analysed by inductively coupled plasma atomic emission spectroscopy (ICP-AES).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 4.*

*Internal exposure* not examined.

These studies report prenatal developmental toxicity studies with different tested materials in pregnant rats, performed in accordance with OECD TG 414. The test substances were formulated in sterile water. Dosing formulations were collected and analysed near the beginning and end of the dosing period for analyses. These analyses confirmed that the formulations were at the targeted concentrations, were uniformly mixed and were stable under the experimental conditions used during the study.

In three studies, time-mated pregnant Sprague–Dawley, CrI:CD(SD), rats ( $n = 22$ /group) were daily exposed to  $\text{TiO}_2$  (uf-1, uf-3 and pg-1) by gavage on GDs 6–20. In three additional studies, pregnant Wistar rats ( $n = 22$ –23/group) were daily exposed to  $\text{TiO}_2$  (uf-2 and pg-2) by gavage from GDs 5 to 19. The dose levels used in the studies were 0, 100, 300 or 1,000 mg/kg bw per day. The dose volume was 5 mL/kg bw per day. Clinical signs were recorded at least daily. Body weight and feed intake were measured at regular intervals. Sprague–Dawley rats were killed for a caesarean section on GD 21 and Wistar rats on GD 20. Gross necropsy included gross examination of the dam, counting of the number of corpora lutea, implantation sites, resorptions, live and dead fetuses, fetal sex and weight. Fetal pathological external, visceral and skeletal examinations were performed in order to identify any abnormalities. At 1,000 mg uf-1/kg per day, mean fetal sex ratio and the means for male and female fetuses per litter were statistically significantly different from the control group means. The mean number of male fetuses was 7.2 compared with 5.5 male fetuses for the concurrent control group; the test facility historical control group data ranged at that time from 5.2 to 7.4. The mean number of female fetuses was 4.8 compared with 6.7 for the concurrent control group; the test facility historical control group data ranged at that time from 5.8 to 8.3. Mean fetal sex ratio of the 1,000 mg uf-1/kg bw per day group was 60% (males/females) compared with a sex ratio of 46% in the concurrent control group; the test facility historical control group data ranged at that time from 43% to 53%. Apart from some incidental changes in body weight and feed intake, no other changes were observed in the dams or the fetuses in these studies. The authors concluded that there were no significant toxicological or developmental effects in females or fetuses at any of the dose levels or compounds tested and considered the NOAEL for each compound to be 1,000 mg/kg bw per day, the highest dose tested.

The ANS Panel (EFSA ANS Panel, 2016) agreed with the authors. The Panel agreed with both the author and ANS Panel conclusions.

### ***TiO<sub>2</sub> NPs < 30 nm***

#### ***Mice***

#### ***Khorsandi et al. (2016)***

*Test material:*  $\text{TiO}_2$  NPs (no further information, the Panel assumed that the test material is the same as in Khorsandi et al., 2017).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure* not examined.

The study examined the effects of oral administration of  $\text{TiO}_2$  NPs on testis volume, seminiferous tubules, interstitial tissue and total Leydig cell numbers in male NMRI mice that were 6- to 8-week-old at the start of the study.  $\text{TiO}_2$  NPs dispersed in BSA solution were administered by oral gavage at doses of 0, 75, 100 and 300 mg/kg bw per day to randomly selected groups of 8 animals for 35 days. The authors did not provide data on the general toxicity of the treatment nor for the systemic absorption of the test substance. One day after the last administration blood samples for the determination of circulating testosterone were taken and the mice sacrificed by cervical dislocation

under ether anaesthesia. Endpoints included body and testis weight and volume at termination, testicular testosterone concentration and testicular histology and morphometry after fixation in Bouin's solution. While body weight was unaffected by treatment, the authors reported dose-dependent decreases in testis weight from a dose of 100 mg/kg bw per day. The Panel noted that there is a discrepancy between the statement in the text that a statistically significant decrease was only observed at 300 mg/kg bw per day, and the presentation in Table 1 of the publication that indicates significant differences to the control in both the mid- and high-dose groups. These groups also showed decreases in serum and testicular testosterone levels, the diameter and total volume of seminiferous tubules, the height of the spermatogenic epithelium and total Leydig cell numbers. Contrarily, the total volume of the interstitial tissue was found to be increased.

The Panel considered that TiO<sub>2</sub> NPs (size unknown) at 100 mg/kg bw per day had an effect on testis weight.

### **Khorsandi et al. (2017)**

*Test material:* TiO<sub>2</sub> NPs, 20–30 nm (AFM), crystalline form unknown.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure* not examined.

This single dose level study examined the effects of quercetin on the TiO<sub>2</sub>-induced damage on reproductive parameters in male NMRI mice. In this assessment, only the results from the TiO<sub>2</sub> NPs and the control groups are discussed. TiO<sub>2</sub> NPs were dispersed in BSA solution and administered by oral gavage at a dose of 300 mg/kg bw per day to a randomly selected group of 8 animals for 35 days. Treatment was started after the animals had been given oral saline for 7 days. Controls received saline throughout. The authors did not provide data on the general toxicity of the treatment nor for the systemic absorption of the test substance. One day after the last administration, blood samples for the determination of circulating testosterone were taken and the mice sacrificed by cervical dislocation under ether anaesthesia. Endpoints included body and testis weight, testicular testosterone, MDA, SOD and CAT concentrations, testicular histology and apoptosis assessment by a TUNEL assay after fixation in Bouin's solution, as well as cauda epididymis sperm counts, motility and morphology. The authors reported significant decreases in testis weight, circulating and testicular testosterone, testicular CAT and SOD concentrations, sperm counts and sperm motility. Significant increases were found in the percentage of abnormal or degenerative spermatogenic tubules, germ cell apoptosis, testicular MDA concentration and in the percentage of sperm with abnormal morphology. Body weight in the TiO<sub>2</sub> NPs group was similar to the control at termination.

The Panel considered that testicular toxicity was observed with TiO<sub>2</sub> NPs (20–30 nm) at a dose of 300 mg/kg bw per day, the only dose tested.

### **Karimipour et al. (2018)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 10–25 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure* not examined.

The study examined the effects of oral administration of TiO<sub>2</sub> NPs for 5 weeks on the histology of ovaries, oestrogen and MDA serum levels (7 animals/group), fertility (10 animals/group) and IVF rates (10 animals/group) in female mice (presumably NMRI). TiO<sub>2</sub> NPs dispersed in phosphate buffered saline with 0.5% Tween 80 were administered by gavage at a dose of 100 mg/kg bw per day. Mice were randomly assigned to the control and treatment group. General endpoints for toxicity such as body weight development, food consumption, clinical signs and systemic absorption of TiO<sub>2</sub> were not examined/reported. The authors reported a significantly decreased pregnancy rate (70% vs. 100% in the control group), a 20% decrease in litter size and increases in circulating oestrogen (20%) as well as MDA (25%). They observed degeneration and reduction of follicles, cyst formation and impairment of follicular development in the ovaries of the TiO<sub>2</sub> NPs group but presented no quantitative data. The *in vivo* findings were supported by a lower number of oocytes isolated from the exposed group and a higher percentage of developmental arrest before the blastocyst stage after *in vitro* fertilisation. The

authors suggest that the observed effects could be the consequence of an indirect effect of TiO<sub>2</sub> NPs through the generation of increased ROS levels.

The Panel considered that the study shows an impairment of female fertility at a dose of 100 mg TiO<sub>2</sub> NPs (10–25 nm)/kg bw per day.

### **Karimi et al. (2019)**

*Test material:* TiO<sub>2</sub> NPs, 68 nm (DLS; no direct information on constituent particle size, the Panel considered the majority of constituent particles to be below 30 nm), crystalline form and purity unknown.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure* not examined.

Four groups of eight 6- to 8-week-old male NMRI mice were daily treated by gavage with either (1) saline for 42 days, (2) 200 mg/kg bw per day curcumin for 42 days, (3) with saline for 7 days followed by 50 mg TiO<sub>2</sub> NPs/kg bw per day for 35 days or (4) the simultaneous combination of exposures to curcumin and TiO<sub>2</sub> NPs as in (2) and (3). In this assessment, only the results from the TiO<sub>2</sub> NPs and control groups are presented. Testicular damage was studied. The authors do not report on general health or clinical signs, nor is there data showing systemic absorption of TiO<sub>2</sub>. TiO<sub>2</sub> NPs at 50 mg/kg significantly reduced testis weight in the presence of a non-significant trend towards lower body weight, accompanied by reduced serum testosterone to around 30% of control levels. Similarly, seminiferous tubule diameter and epithelium height were affected by TiO<sub>2</sub> NPs treatment. TiO<sub>2</sub> NPs treatment significantly reduced the maturity of the germinal epithelium, as determined by the Johnsen's scoring system. Similar significant adverse findings were observed in reduced sperm counts, increased sperm abnormalities and reduced sperm motility.

The Panel noted that 50 mg TiO<sub>2</sub> NPs (< 30 nm)/kg bw per day resulted in adverse effects on the testis.

### **Lu et al. (2020)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 7 nm (TEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 3.*

*Internal exposure* not examined.

Four groups of 15 male ICR mice, age 6–8 weeks, were daily treated by gavage with TiO<sub>2</sub> NPs at doses of 0, 10, 50 or 100 mg/kg bw per day for 30 days.

Animals were fasted for 10 h before each administration. Effects were studied on the blood–testis barrier (BTB), MAPK signalling pathways, serum testosterone and oestradiol levels and sperm parameters. The authors did not report on general health and clinical signs, nor is there data showing systemic absorption of TiO<sub>2</sub>. Through TEM, the authors report tight junction damage in the BTB at 50 and 100 mg/kg bw per day, though the histopathological pictures provided are hard to interpret. BTB-related proteins F-actin, ZO-1 and claudin-11 were dose-relatedly significantly increased up to 2-fold at the high dose, with no significant changes observed in connexin-43 and occludin. F-Actin was significantly increased at all doses tested. As to elements of MAPK signalling pathways, ERK and JNK mRNA expression was slightly but significantly increased in testis tissue at the high dose only. No effect was found on p38 mRNA expression. Serum testosterone was 50% decreased at the two highest doses tested, accompanied by a slight but significant reduction in oestradiol at the same doses. Sperm counts were unaffected by exposure, but sperm motility was dose-relatedly reduced from around 70% in controls to around 50% at the high dose, accompanied by increased sperm malformation rates from 3% in controls to 8% at the high dose, both effects being statistically significant at the two highest doses.

The Panel considered that TiO<sub>2</sub> NPs (7 nm), at 50 or 100 mg/kg bw per day, resulted in a dose-related reduction of sperm motility and increased sperm malformations, accompanied by histological observations in the testis, changes in BTB-related protein levels, changes in MAPK-related mRNA levels and reduced circulating testosterone concentrations.



## Rats

### Lee et al. (2019)

*Test material:* TiO<sub>2</sub> NPs (the Panel noted that from the description of the tested material, it corresponds to P25 (15–24 nm)).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 4.

*Internal exposure* examined: quantitative analysis in blood/tissues; methodology reliable with some limitations.

Mated Sprague–Dawley rats (12 females per group) were daily administered by gavage TiO<sub>2</sub> NPs at dose levels of 0, 100, 300 and 1,000 mg/kg bw per day from GD 6 to 19. The study was designed in accordance to OECD Guideline 414 and in compliance with GLP. The dose volume was 10 mL/kg bw per day. Clinical signs were recorded at least daily. Body weight and feed intake were measured at regular intervals. Rats were killed for a caesarean section on GD 20. Gross necropsy included gross examination of the dam, counting of the number of corpora lutea, implantation sites, resorptions, live and dead fetuses, fetal sex and weight. Fetal pathological external, visceral and skeletal examinations were performed in order to detect abnormalities. In addition, the following organs were weighed and the absolute and relative organ weights were presented: adrenal glands, brain, heart, kidney liver, pituitary gland, spleen, ovaries (right, left) and thymus.

There were no statistically significant differences in general clinical signs, body weight, organ weights (absolute and relative to body weight), macroscopic findings, apart from a statistically significant decrease in food intake of the females of the high-dose group. The authors considered that this decrease did not have toxicological significance since it was minimal and there was no correlated decreased body weight or body weight gain during the study period. The Panel agreed with this conclusion.

Caesarean section parameters and fetal external and visceral examinations did not reveal any statistically significant differences. The only difference seen was a small but statistically significant increase (4%) in the number of ossification centres in the metatarsals of both hindlimbs of the fetuses of 100 mg/kg bw per day group. The Panel agreed with the authors that this could be considered as an incidental finding as no other related effects were observed.

The Panel considered that no adverse effects were reported with TiO<sub>2</sub> NPs (21 nm) up to 1,000 mg/kg bw per day, the highest dose tested.

## Neurotoxicity and neurodevelopmental toxicity studies

### TiO<sub>2</sub> NPs

## Rats

### Ebrahimzadeh et al. (2017)

*Test material:* TiO<sub>2</sub> NPs, anatase, < 100 nm (TEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 4.

*Internal exposure* not examined.

Pregnant Wistar rats (n = 6/group, randomly assigned) were administered by gavage TiO<sub>2</sub> NPs in distilled water vehicle (volume not reported) at doses of 0 or 100 mg/kg bw per day from GD 2 to 21 (gestation group) or from PND 2 to 21 (lactation group).

Endpoints were quantification of hippocampal apoptosis (TUNEL, RT-PCR) and neurogenesis (DCX-positive cells) in CA1-3 and dentate gyrus (DG) on PND 1 (gestation group) or PND 21 (lactation group) (n = 6: 1 male/litter, 6 litters/group; unclear if TUNEL and DCX n = 3/group).

Both gestational and lactational exposure significantly increased hippocampal apoptosis in CA1-3 and DG, and reduced neurogenesis in CA1-3.

The Panel agreed with the author's conclusion that exposure with TiO<sub>2</sub> NPs at 100 mg/kg bw per day during pregnancy and lactation increased apoptosis and reduced neurogenesis in the hippocampus of the offspring.

**Kandeil et al. (2019)**

*Test material:* TiO<sub>2</sub> NPs, 90 nm (range 40–140 nm) (TEM), crystalline form and purity unknown.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 4.

*Internal exposure* not examined.

Adult male albino rats (n = 20/group, randomly distributed) were administered by gavage TiO<sub>2</sub> NPs in distilled water (volume not reported) at doses of 0 or 500 mg/kg bw per day for 14 days.

The main endpoints examined were clinical signs, brain homogenate dopamine and 5-hydroxytryptamine, cholinesterase activity, oxidative stress markers (GSH, SOD, MDA, total antioxidant capacity (TAC), TOS, OSI = TOS/TAC), inflammatory and apoptosis markers (IL-1 $\beta$ , TNF- $\alpha$ , caspase-3, Fas; rf2, N N QO1 and INOS gene expression), cerebral mitochondrial viability (MTT), cerebral DNA fragmentation (diphenylamine colorimetric) and prefrontal cortex histopathology (blinded quantitative).

The TiO<sub>2</sub> NPs group showed decreased physical activity, passive behaviour, loss of appetite and tremors. Treatment statistically significantly reduced brain GSH, SOD, TAC, Nrf2 and NQO1 expression; significantly reduced cerebral mitochondrial viability; increased DA and 5-HT; increased cholinesterase activity; increased MDA, TOS and OSI levels; increased IL-1 $\beta$ , TNF- $\alpha$ , caspase-3, Fas; INOS expression; increased cerebral DNA fragmentation; and prefrontal cortical congestion, pericellular oedema, perivascular oedema and pyknosis.

The Panel considered that these data show that oral TiO<sub>2</sub> NPs can induce CNS toxicity at 500 mg/kg bw per day, possibly related to oxidative stress.

**TiO<sub>2</sub> NPs < 30 nm****Mice****Zhou et al. (2017)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 6–7 nm (TEM, XRD, Hu et al., 2011).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 3.

*Internal exposure:* quantitative measured in tissues; methodology not reliable.

Pregnant or lactating CD-1 (ICR) mice (n = 6/group, randomly assigned) were administered by gavage TiO<sub>2</sub> NPs in 0.5% w/v aqueous hydroxypropylmethylcellulose vehicle (volume not reported) at doses of 0, 1, 2 or 3 mg/kg bw per day from 'prenatal day 7', presumed to be GD 7, although possibly GD 14, to PND 21.

Endpoints in primary cultures of hippocampal CA1 neurons harvested after PND 21 from the pups were: neuron ultrastructure (by TEM), dendrite morphology (by SEM), mitochondrial membrane potential (MMP; fluorescence), ROS, MDA, protein carbonylation (PC), ATP levels, apoptosis- and autophagy-related factors (ELISA, western blot) (n = 5/group per endpoint).

The Panel noted that the method applied to randomise the pups from 6 dams/group into 30 pups/group is not reported. It is also unclear whether CA1 neurons were harvested from all 30 pups/group.

The authors reported that the Ti amount in hippocampus was dose-dependently increased at all doses. The Panel noted that the description of the analytical method was insufficient to establish its reliability and tissue concentrations were expressed with incorrect measurement units.

At all doses, there were dose-dependent decreases in dendritic growth (primary dendrite length, significantly reduced by 24.71%, 63.82% and 77.99% at low, mid and high doses, respectively), MMP (significantly reduced by 17.14%, 36.57% and 51.43% at low, mid and high doses), and concentrations of MDA, PC and ATP (nmol/mg protein).

At all doses, apoptosis- and autophagy-related factors (rH2AX, Cytc, caspase 3, PI3K3C, Beclin 1 and c-Jun; LC3I, LC3II, JNK and p-JNK) were significantly and dose-relatedly increased, and anti-apoptosis-related protein Bcl-2 was significantly and dose-relatedly decreased.

Tissue ROS was significantly increased at mid and high doses only (to the same level, no dose response).

Treatment-related neuronal ultrastructural changes were reported (narrative only, no quantitative data), including mitochondrial swelling, carina disappearance, nucleus shrinkage, chromatin marginalisation, anomalous nuclear membrane and dilation of endoplasmic reticulum accompanied by the emergence of autolysosomes and the formation of vacuoles. The Panel noted that TiO<sub>2</sub> NPs (6–7

nm), at all doses tested, inhibited dendritic outgrowth, reduced mitochondrial function and increased autophagy and oxidative stress markers, in *ex vivo* hippocampal CA1 neurons after dosing during gestation and early lactation.

### **Zhang et al. (2020)**

*Test material:* TiO<sub>2</sub> NPs, 21 nm (TEM), crystalline form and purity unknown.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 4.*

*Internal exposure* not examined.

Young adult (age 7 weeks) male C57BL/6J mice (n = 30 randomised into two groups; presumably n = 15/group but not reported) were administered by gavage TiO<sub>2</sub> NPs in vehicle (2% heat-inactivated mouse serum, volume not reported) at doses of 0 or 150 mg/kg bw per day for 30 days.

Main endpoints were small intestine and brain (hippocampus and cerebral cortex) histopathology, gut microbiota (faecal bacterial 16S rRNA gene sequencing), gut and cerebral cortex transcriptomics and functional tests (open field test (OFT), Morris water maze (MWM)). It is noted that the number of animal tested is not reported, but results for some endpoints show n = 10/group.

Treatment had no effect on body weight or histopathology of gut or brain, but significantly decreased the richness and evenness of gut microbiota (decreased Shannon's diversity, chao, observed species and elevated Simpson's diversity) and elevated gut HuC/D and TuJ1, suggesting an effect on the enteric nervous system.

Serotonergic markers Sstr1 and Sstr2 were markedly reduced in the gut but not in the cerebral cortex. Gut-brain peptides secreted by endocrine cells and enteric neurons, and also inflammatory cytokines, were not affected by treatment.

OFT centre field activity was markedly reduced by treatment, consistent with anxiety-like behaviour, but MWM learning and memory were unaffected.

The Panel considered that these data show that TiO<sub>2</sub> NPs (21 nm) can markedly alter the mouse gut microbiota, without pathological changes in small intestine and brain. Spatial learning and memory were not affected but centre field activity in open field testing was decreased at the only dose tested of 150 mg/kg bw per day, consistent with increased anxiety. The Panel is aware of ongoing research on relationships between gut microbiota and anxiety (Yang et al., 2019)

### **Rahnama et al. (2020)**

*Test material:* TiO<sub>2</sub> NPs, 21 nm (TEM), crystalline form and purity unknown.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 3.*

*Internal exposure* not examined.

Effects of TiO<sub>2</sub> NPs were investigated on stereological parameters (volume of brain region and number of neurons) in the hippocampal DG and on the qualitative morphology of hippocampal granular neurons in adult mice.

Adult male mice (n = 20, 10–12 weeks old), food and water ad libitum, were randomly divided over four groups (no further information reported) and administered daily by gavage a suspension of TiO<sub>2</sub> NPs at doses of 0 (saline control), 2.5, 5 or 10 mg/kg bw per day for 35 days.

After formalin perfusion fixation, brains were removed and the two hemispheres carefully divided. One hemisphere (chosen randomly) was used for stereology; the other for qualitative morphological examination of volume appearance of the hippocampus, DG and sublayers (H&E systematic sections; and silver Golgi staining for neuronal dendrite appearances (dendritic length and branching). The total volume of the hippocampus, DG (including also the different sublayers) was estimated using the Cavalieri principle and H&E-stained systematically sampled sections. The numerical density of DG granular cells was estimated with physical disector. The morphology of DG granular cells was studied using qualitative silver nitrate Golgi staining. The Panel noted that in the Methods section, the correct formula for volume estimation according to the Cavalieri principle is missing; instead, the formula for numerical density estimation using physical disector is erroneously given twice.

Compared to the saline control group, TiO<sub>2</sub> NPs induced dose-related reductions in the total volume of the hippocampus (statistically significant in the low-, mid- and high-dose groups), of the DG (statistically significant in the mid- and high-dose groups), including the volume of its molecular and

granular layers (both statistically significant in the mid- and high-dose groups) and the volume of the polymorph layer (statistically significant in the low-, mid- and high-dose groups). In addition, there was a dose-related reduction in the numerical density of DG granular cells, as well as a dose-related reduction in the total number of granular cells of the DG (both statistically significant for the low-, mid- and high-dose groups).

According to the authors, qualitative examination of the morphology of the hippocampus and DG granular cells supported the measured reduction in volume of hippocampus and DG and suggested that the length of the dendrites of the granular cells appeared shorter and the number of branches reduced. The Panel noted that in Figure 9, photographs of five groups are shown (instead of four), illustrating the morphological appearances of the hippocampus/DG. The Panel noted that the results show both a control and a sham without describing these controls in the methods.

The Panel agreed with the authors that the results of the (unbiased) stereological data will contribute to the understanding of memory and learning disorders resulting from exposure to TiO<sub>2</sub> NPs.

The Panel noted that TiO<sub>2</sub> NPs (21 nm) at all doses tested lead to a reduced volume of the hippocampus, and the polymorph layer of the DG, and on reduced numerical density and total number of granular cells of the DG.

## **Rats**

### **Grissa et al. (2016)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 5–10 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 2.

*Internal exposure* not examined.

Adult male Wistar rats (n = 6/group, randomly assigned) were administered by gavage TiO<sub>2</sub> NPs at doses of 0, 50, 100 or 200 mg/kg bw per day in 10 mL water vehicle/kg bw for 60 days.

The main study endpoints were necropsy body and brain weight, plasma and brain IL-6, whole brain homogenate cholinesterase activity, cerebral cortex GFAP-positive cells (by immunohistochemistry, counted in 'areas that had a maximum of positive cells', n = 3/group)

Body weights were unaffected by treatment, but relative brain weight was dose-dependently decreased, significantly at 100 and 200 mg/kg bw per day. Plasma IL-6 was increased at all doses (no dose response). Brain IL-6 was dose-dependently increased significantly at 100 and 200 mg/kg bw per day. Plasma cholinesterase activity was statistically significantly and dose-dependently reduced at all doses (by about 35%, 50% and 50% at 50, 100 and 200 mg/kg bw per day, respectively). The Panel noted the methodology of the authors did not indicate whether plasma cholinesterase activity represented acetylcholinesterase or butyrylcholinesterase or both. Brain cholinesterase activity was reduced at 100 and 200 mg/kg bw per day only (at both doses by about 50%, i.e. no dose response). Cerebral cortex GFAP-positive cell counts were dose-dependently increased at 100 and 200 mg/kg bw per day. The Panel noted that TiO<sub>2</sub> NPs (5–10 nm) reduced plasma cholinesterase activity and increased plasma IL-6 at all dose tested levels, and reduced brain cholinesterase activity at doses of 100 and 200 mg/kg bw per day. The Panel noted reduced plasma cholinesterase activity and increased plasma IL-6 at all doses and reduced brain cholinesterase activity at 100 mg/kg bw per day.

### **Mohammadipour et al. (2016)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 10 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 4.

*Internal exposure* not examined.

Wistar rat dams (n = 6/group, randomisation not reported) were administered by gavage TiO<sub>2</sub> NPs in distilled water (volume not reported) at doses of 0 or 100 mg/kg bw per day.

To avoid possible dermal and oral transfer, the animals' cages were cleaned daily. Thus, according to the authors, the exclusive and significant way of offspring exposure to TiO<sub>2</sub> nanoparticles was through maternal milk.

Offspring were weaned and housed 5/cage from PND 21, and learning/memory tested from PND 60.

Endpoints were learning and spatial recognition memory in Morris water maze (MWM) and two-compartment light/dark shock passive avoidance (PA) tests.

MWM acquisition path length and latency were initially higher in the treated group on days 1–3, but normal on days 4–5. Performance in the memory probe trial was unaffected by treatment.

In the PA test, latency to enter the dark compartment was significantly lower in the treated than the control group at 1 and 24 but not 48 h post-shock; total time spent in the dark compartment was significantly higher than in controls at all three time points post-shock.

The authors concluded that TiO<sub>2</sub> NPs dosing of lactating mothers impairs memory and learning of pups in adulthood. However, the Panel noted that MWM acquisition (days 4 and 5) and retention probe trial were normal, and the reduced latency to dark compartment entry post-shock was transient (1 and 12, not 48 h). The Panel considered that these data do not show that maternal exposure to TiO<sub>2</sub> NPs (10 nm) during lactation impairs learning and memory in the offspring.

### **Hassanein and El-Amir (2017)**

*Test material:* TiO<sub>2</sub> NPs, 21 nm [no further information].

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 4.

*Internal exposure* not examined.

Adult male Sprague–Dawley rats (n = 10/group, randomly assigned) were daily administered by gavage TiO<sub>2</sub> NPs in 1% Tween 80 (0.5 mL/rat) at doses of 0 or 150 mg/kg bw per day for 6 weeks.

The only reported endpoint relevant to neurotoxicity was brain histopathology.

Reported findings were haemorrhage, congestion of choroid plexus 'blvs' (the Panel interpreted this as blood vessels), chromatolysis, neuronal degeneration and perivascular lymphocytic cuffing, each in at least 4 of 10 treated animals.

The Panel noted that effects on brain histopathology were observed at a dose of 150 mg/kg bw per day TiO<sub>2</sub> NPs (21 nm), the only dose tested, although the tissue fixation method was not optimal for histology of brain tissue (non-perfused formaldehyde fixation).

### **Canli et al. (2020)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 21 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 3.

*Internal exposure:* qualitative analysis in tissues; methodology with important flaws.

Adult female albino rats (n = 6/group, randomisation not reported) were administered by gavage TiO<sub>2</sub> NPs at doses of 0, 0.5, 5 or 50 mg/kg bw per day for 14 days.

Main endpoints were liver thiobarbituric acid reactive substances (TBARS) and GSH, ATPase activity in supernatant of homogenised kidney, intestine and brain, and cholinesterase activity in brain homogenate supernatant. TEM images of TiO<sub>2</sub> NP in liver, kidney and brain were recorded. Body and organ weights were not reported.

The authors reported that TEM demonstrated the presence of TiO<sub>2</sub> particles in the liver, kidney and brain which 'seemed dose dependent'. The Panel noted that verification of the elemental composition of the particles of interest was not performed. There was one death at 0.5 mg/kg bw per day group (no further details reported), but no other notable clinical signs (e.g. 'eye colour, feeding habits, activity'). Treatment had no effect on liver total, reduced or oxidised glutathione (tGSH, rGSH or GSSG) or the ratio between reduced and oxidised glutathione (GSH/GSSG ratio), or on kidney and intestine ATPase activity. Brain Na/K-ATPase activity was significantly increased (approximately 2-fold) at 0.5 and 5 mg/kg bw per day, Mg-ATPase and total ATPase activity at 5 mg/kg bw per day. Brain cholinesterase activity was significantly reduced at all doses (by about 50%, 35% and 50% at 0.5, 5 and 50 mg/kg bw per day, respectively, i.e. no dose response).

The Panel noted that reduced brain cholinesterase activity was observed at all dose levels tested, while increased brain Na/K-ATPase activity was observed only at the lowest dose tested of 0.5 mg/kg bw per day and at the highest dose tested of 50 mg/kg bw per day of TiO<sub>2</sub> NPs (21 nm).



**Grissa et al. (2020)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 5–12 nm (TEM, XRD).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure:* quantitative in tissues; methodology with important flaws.

Male Wistar rats were administered by gavage (aqueous suspension; volume of 10 mL/kg bw) with TiO<sub>2</sub> NPs (five times/week; 8 weeks) after being randomised over 4 groups (n = 8/group): 0 (control), 50, 100 and 200 mg TiO<sub>2</sub> NPs/kg bw per day. Clinical signs and mortality were recorded daily. Rats were euthanised the day after treatment. The brain was removed and weighed and frontal lobes isolated (right one was formalin-fixed; part of left homogenised). Titanium amount was quantified in the homogenate.

Brain frontal lobe histopathology, biomarkers of oxidative stress (antioxidant enzyme activity (SOD), glutathione peroxidase (GPx), TAS, CAT, TBARS and inflammatory markers nitric oxide (NO) and TNF- $\alpha$ ) were examined.

The amount of titanium in brain after intragastric administration of TiO<sub>2</sub> NPs for 8 weeks was significantly and dose-dependently elevated in all dose groups compared to controls (in which no titanium was detected). However, the Panel noted that the measured Ti levels in tissues were below the LOQ.

Brain histopathology was reported at mid and high doses (qualitative description only): lymphoid infiltration, probably due to cerebral inflammation and hypersensitivity, vascular congestion, cerebral oedema, proliferation of glial cells, some cell necrosis and neuron cells cleaved into filamentous shapes. At low dose, authors reported 'quasi-normal histological structure, suggesting no abnormal pathological changes in the cerebral cortex'.

SOD and CAT activities were significantly decreased at all doses, and GPx activity and total antioxidative status (TAS) at mid and high doses. Inflammatory biomarkers: NO was significantly increased at mid and high dose, and TNF- $\alpha$  at high dose. Lipid peroxidation: TBARS was significantly increased at mid and high doses. Apoptosis (by TUNEL) was significantly increased at the high dose.

The Panel noted that reduced activity of brain frontal lobe SOD and CAT were observed at all doses of TiO<sub>2</sub> NP (5–12 nm).

**Inflammation and immunotoxicity studies****E 171****Mice****Urrutia-Ortega et al. (2016)**

*Test material:* E 171, particles below and above 100 nm, crystalline form not reported.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 1.*

*Internal exposure:* qualitative analysis in tissues, methodology reliable with some limitations.

BALB/c male mice of 4- to 6-week-old after 1 week of acclimation were randomly divided in to four groups (n = 6): a) control, b) E 171 group, c) chemically colitis-associated colorectal cancer (CAC) group and d) CAC + E 171 group. The E 171 group of mice received an administration by gavage of 5 mg/kg bw per day for 5 days/week during 10 weeks. The CAC group received a single intraperitoneal dose of 12.5 mg/kg bw azoxymethane (AOM) and 2% dextran sulfate sodium (DSS) in the third, sixth and ninth week in water ad libitum. The CAC + E 171 group received a single dose of AOM and DSS in the same scheme of CAC group, and in addition, received administration of E 171 by gavage according the same scheme as the E 171 group. The control group received a single intraperitoneal injection of saline solution. After 11 weeks, mice were sacrificed and the colon, kidney, liver, spleen, lung tissue and blood samples collected. The colon was dissected, opened and fixed in paraformaldehyde. For histological examination, sections were stained with H&E (blinding is not mentioned). Tumour progression was evaluated by the detection of COX2,  $\beta$ -catenin and Ki67, while NF- $\kappa$ B was used as a key marker of inflammation in colon tissue by immunohistochemistry. Goblet cells were identified by counting Alcian blue-stained blue cells. In colon homogenates tissue levels of IL-2, TNF- $\alpha$ , IFN- $\gamma$ , IL-10 and GM-CSF were determined by Bio-Plex multiplex MAGPIX.

Mice body weight and consumption of food and water remained unchanged by administration to E 171 by gavage. Regarding organ weights, the spleen, liver and lung weights remained unchanged, with no histological abnormalities in these organs. Mice from group CAC + E 171 group had a decrease of 74% in kidney weight; however, E 171 administration had no effect on this parameter. BUN and serum creatinine, used as kidney function markers, however, remained without changes (data not shown).

Regarding tumour formation and inflammation, this study aimed to investigate the impact of oral E 171 intake on the enhancement of colorectal tumour formation in a CAC mouse model. Results obtained indicate that E 171 alone was unable to induce tumour formation, but dysplastic alterations were observed in the distal colon with a statistical significant enhancement of tumour formation in CAC + E 171 group vs. CAC group ( $p < 0.01$ ). Some E 171 particles were internalised in colonic cells of the E 171 and CAC + E 171 groups, and both groups showed a decrease in goblet cells in the distal colon. CAC tumour progression markers including COX2, Ki67 and b-catenin indicated that E 171 exacerbates tumour progression and p65 NF- $\kappa$ B, a key regulator of inflammation was also induced by E 171 administration in CAC group. In the E 171 group, despite the absence of tumour formation, a slight statistical significant increase in COX2, Ki67 and b-catenin, and p65 NF- $\kappa$ B were observed. Regarding cytokines in colon tissue, no changes, despite enhanced the p65-NF- $\kappa$ B expression, were found in the E 171 alone and CAC groups. Furthermore, a statistically significant decreases of IL-2, TNF- $\alpha$ , INF-g and IL-10 were observed in the CAC + E 171 group when compared to the E 171 group, probably as a consequence of colon tissue damage.

The Panel considered that the results suggest that while E 171 (5 mg/kg bw per day) alone administered for 10 weeks has no effect on tumour formation, it can potentiate intestinal tumour formation in mice exposed to AOM/DSS.

### **Talamini et al. (2019)**

*Test material:* E 171 (35% nano), anatase, 201 nm in suspension (NTA).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 1.

*Internal exposure* examined: quantitative analysis in tissues with methodology reliable with some limitations.

Eight-week-old male NFR mice were housed in pathogen-free animal rooms. Mice were randomly divided in to two groups (22 animals/group). One group was orally treated with 5 mg E 171/kg bw, freshly dispersed in water, and control animals received water. Mice were treated 3 days/week for 3 weeks. According to this treatment schedule, mice received an average daily dose of approximately 2 mg/kg bw per day. On day 21, three days after the last dose, mice were sacrificed and lung, liver, stomach, spleen, kidney, brain, testes and whole intestine were excised. At autopsy, the organs were sampled for each mouse, fixed in formalin, processed, embedded in paraffin and sections cut and stained with H&E or further processed for immunohistochemical analyses. Immunohistochemical staining for tissue monocytes/macrophages was performed utilising an anti-F4/80 specific antibody. Necroinflammatory foci in the liver were manually identified by three different operators blinded to treatment allocation and quantified using ImageScope software. Cytokine mRNA expression was evaluated by quantitative real-time PCR in stomach, intestine and liver. The levels of IL-1b, TNF- $\alpha$  and IL-6 in plasma samples were analysed with the MESO QuickPlex SQ 120, while SDF-1 (stromal-cell-derived factor-1) was determined using a ELISA kit.

This study aimed to investigate whether TiO<sub>2</sub> is deposited in the digestive system and internal organs and whether there are any molecular and cellular alterations associated with an inflammatory response. Regarding inflammation, to assess whether TiO<sub>2</sub> accumulation and/or the production of superoxide in target organs may lead to histological changes, H&E and F4/80 staining were performed. Neither overt structural and morphological histological alterations nor significant recruitment of monocytes/macrophages were observed in the stomach and whole intestine in E 171-treated animals. Neither disruption of crypt structure nor atypical epithelial cell proliferation in colon was observed. No changes in spleen histology were mentioned.

In the liver, increased size of necroinflammatory foci infiltrated with F4/80 monocytes/macrophages was noted three days after the last E 171 dose ( $n = 4$ ) vs. controls ( $n = 2$ ), while no changes were observed in stomach or intestine of treated animals. Liver histological findings are not supported by ROS production data or cytokine levels. An increased ROS production (superoxide anion) was observed

in the stomach and in the intestine, with no changes in the liver ( $n = 4$ ), of treated animals. Regarding cytokine mRNAs, as assessed by quantitative real-time PCR in stomach, whole intestine and liver, data were not striking: a very modest increase of IL-1 $\beta$  was observed in stomach and whole intestine of animals exposed to E 171, a decrease in TNF- $\alpha$  was observed in the whole intestine but in the stomach, with no changes in liver. A slight decrease in IL-10 was observed in the liver, which was not associated with an increase in pro-inflammatory cytokines (IL-1 $\beta$  or TNF- $\alpha$ ). mRNA data were provided for  $n = 10$  for IL-1 $\beta$  and TNF- $\alpha$ ,  $n = 5$  for IL-10. The levels of serum cytokines were not consistent with tissue mRNA expression. As an increase in IL-6 and SDF1, with no changes in TNF- $\alpha$  or IL-1 $\beta$ , were observed in treated animals ( $n = 5$ ).

The Panel considered that analyses, which were limited to few animals (reduced power/data attrition), showed some evidence for modest inflammation which cannot be clearly identified as adverse.

### **Riedle et al. (2020)**

*Test material:* E 171, anatase, 119 nm (TEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 1.

*Internal exposure:* qualitative analysis in tissues, methodology reliable with some limitations.

C57BL/6 mice aged 6 weeks were randomly assigned to one of four diets and exposed to 0 and  $\approx$  1, 10 and 100 mg E 171/kg bw per day. Mice were housed conventionally and feed intake and body weight recorded biweekly. At 6, 12 and 18 weeks, six mice per group were euthanised and the GI tracts harvested. Ileal tissues containing the most distal Peyer's patch were excised and snap frozen. Peyer's patches from 18 weeks feeding were examined by confocal microscopy and SEM with EDX analysis. Remaining Peyer's patches were collected and enzymatically digested to form single-cell suspensions for analysis by flow cytometry after immunostaining for CD4, CD45R and CD8a for lymphocytes or CD11b, CD11c and CD8a for myelocytes.

The Panel considered that this study demonstrates that particles in E 171 administered via the diet are taken up by basal cells of intestinal lymphoid follicles. The Panel considered that the parameters investigated did not show an effect on the immune system or inflammation.

### **Pinget et al. (2019)**

*Test material:* E 171, anatase, 30–300 nm (SEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 2.

*Internal exposure* not examined.

Five to six male C67Bl/6Jausb mice were exposed by drinking water to E 171 (0, 2, 10, 50 mg/kg bw per day) for 4 weeks. Histological analysis of the gut revealed a reduction of colonic crypt length. An increase in colon macrophages and CD8 cells were observed by FACS analysis in cell suspensions prepared from colon, and increased mRNA encoding for IL-10, TNF- $\alpha$  and IL-6 was detected by RT-PCR in RNA extracted from colon tissue.

## **Rats**

### **Bettini et al. (2017)**

*Two test materials:* 1) E 171, anatase, 20–340 nm (118 nm) (TEM); 44.7% particles < 100 nm; 2) TiO<sub>2</sub> NPs (NM-105), anatase/rutile, 15–24 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 1.

*Internal exposure:* qualitative measurement in tissues, methodology reliable with some limitations.

Adult Wistar rats were administered by gavage 10 mg E 171/kg bw per day for one week or 100 days. In addition, a group of animals were exposed to TiO<sub>2</sub> NP (NM-105) 10 mg/kg bw per day for one week. Titanium was detected in the immune cells of Peyer's patches. Effects were not noted in the spleen, but in Peyer's patches dendritic cell percentage were increased, as measured by flow cytometry of cells isolated from tissue samples. This effect was transient, as it was observed 7 days

after exposure, but not at 100 days. The percentage of regulatory T cells and T-helper (Th) cells were significantly decreased at both time points in E 171 exposed animals. Stimulation of immune cells isolated from Peyer's patches showed a decrease in T-helper (Th)-1 IFN- $\gamma$  secretion, while splenic Th1/Th17 inflammatory responses sharply increased, as measured in cells taken from exposed rats, stimulated *in vitro* with anti CD3/CD28 antibodies. Regarding the effects of TiO<sub>2</sub> NP, similar to E 171 an increase in the percentage of dendritic cells in Peyer's patches was observed with no decrease in the percentage of Tregs. Stimulation of immune cells isolated from Peyer's patches showed a decrease in T helper (Th)-1 IFN- $\gamma$  secretion, while splenic Th1/Th17 inflammatory responses sharply increased, as measured in cells taken from exposed rats, stimulated *in vitro* with anti CD3/CD28 antibodies. Regarding intestinal mucosal inflammation, E 171 for one week did not initiate intestinal inflammation, but a 100-day E 171 treatment promoted colon microinflammation evidenced by significantly increased IL-1 $\beta$ , IL-8 and TNF- $\alpha$  expression in the colon. In the same samples, increased IL-10 was also observed. Data on the effects of TiO<sub>2</sub> NP on intestinal mucosa were not presented. In this study, changes (aberrant crypts) were examined in the colon after staining with methylene blue. The authors did not explicitly define an aberrant crypt foci (ACF) but the Panel presumed it was 1-or more aberrant crypts/ACF. The authors defined a 'large ACF' as consisting of more than three aberrant crypts per ACF. In this study, changes (aberrant crypts) in the colon were examined. The authors provided morphological data demonstrating aberrant crypts after staining with methylene blue. The authors did not explicitly define an aberrant crypt foci (ACF) but the Panel presumed it was 1-or more aberrant crypts/ACF. The authors defined a 'large ACF' as consisting of more than three aberrant crypts per ACF.

In the first experiment, in 12 male rats pretreated with a single injection (180 mg/kg intraperitoneal in isotonic saline) of the genotoxic carcinogen dimethylhydrazine (DMH) there were on average per colon approximately 470, 190 and 30 aberrant crypts, ACF and large ACF, respectively, after 100 days. In DMH pretreated rats also subsequently (7 days later) exposed to either 0.2 or 10 mg/kg bw per day E 171 in drinking water (12 rats/group), there was a statistically significant increase per colon in number of aberrant crypts and large ACF and a statistically non-significant increase in total number of ACF in the high-dose group compared to DMN only controls. No statistically significant differences were observed between the low-dose and control groups. The incidence of ACF was not reported.

In the second experiment, male rats received either drinking water (12 controls) or 10 mg E 171/kg bw per day in drinking water (n = 11) for 100 days. No ACF were observed in the colons of controls but four rats in the treated group developed one to three ACF per colon (which in three rats consisted of 1–3 aberrant crypts/ACF with the remaining rat having 12 aberrant crypts in an ACF). The increase in the incidence of rats with ACF (4/11 vs. 0/12 in the control group) was statistically significant.

Regarding inflammation, the Panel considers that these data indicate that E 171 has pro-inflammatory potential at the systemic level, paralleled by the development of an inflammatory microenvironment in the intestinal mucosa.

The Panel considered that E 171 alone at a dose of 10 mg/kg bw per day may induce development of ACF in male rats. The Panel also noted that E 171 at a dose of 10 mg/kg bw per day increased the number of ACF initiated by a genotoxic carcinogen.

### **Blevins et al. (2019)**

*Test material:* E 171, anatase, 110–115 nm (SEM), 36% particles < 100 nm.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 3.

*Internal exposure* not examined.

Six-week-old male Wistar Han IGS (CrI:WI (Han)) rats were exposed to E 171 in a standard diet at a concentration of 0, 40, 400 or 5,000 mg/kg diet (equal to 1.8, 4.8, 31.4, 374 mg/kg bw per day) for 7 and (equal to 1.3, 3.5, 22.4 or 267 mg/kg bw per day<sup>24</sup>) 100 days. There were two different studies, one study was performed over 7 days (n = 5/group) and the other over 100 days (n = 15/group). The two studies were performed at different Institutions, with the 7-day study performed twice whereas the 100 day study was performed once. For the 7-day studies, rats were randomised into 4 groups of 5 animals and the data from the two studies were pooled. Total food and water consumption were determined at the end of each study. Body weights were determined at the start and end of the 7-day

<sup>24</sup> As calculated by the Panel and taking the mean of the two periods given in the paper: 1.5, 3.9, 25.5, 294 or 1.1, 3, 19, 236 mg/kg bw per day for weeks 1-10 or 11-15, respectively (groups 1-4) and 1.5, 4.1, 25.7, 300 or 1.1, 3.1, 19.2, 237 mg/kg bw per day for weeks 1-10 or 11-15, respectively (groups 5-8).



exposure period at the time of euthanasia. For the 100-day study, animals were randomised into 8 groups of 15 animals each. At the start of the study, animals in groups 5–8 were treated with a single intraperitoneal injection of a sterile dose of 180 mg/kg bw dimethylhydrazine (DMH) dihydrochloride while groups 1–4 were treated with vehicle only. Seven days after intraperitoneal injection, dietary administration of 0, 40, 400 or 5,000 mg/kg diet E 171 was started and continued for 100 days. Body weights were determined weekly beginning on day 0 of the study and just prior to euthanasia. Food consumption was determined weekly beginning with administration of the E 171 supplemented diets. Water consumption was determined during weeks 3, 8 and 13 of the study.

No significant changes in food intakes or body weights or liver and spleen weights were found and no mortality was observed. A trend towards increased food consumption in rats of the high E 171 group was observed. According to the authors, dietary E 171 produced no general signs of overt toxicity at the highest dose tested, over 100 days.

The objectives of the study were to evaluate the acute (7 days) and subchronic (100 days) effects of dietary E 171 exposure on the immune system of the GI tract and periphery as well as to evaluate effects of the subchronic exposure either alone or after pre-administration of a known intestinal genotoxic carcinogen, DMH. Concerning the latter an examination of colon for presence of aberrant crypt foci (ACF) and of aberrant crypt (ABC) was included. Concerning the effects on the immune system, the following parameters were investigated: phenotyping of immune cells (i.e. CD103<sup>+</sup> DC, total and activated T helper cells, total and activated Treg cells) and inflammatory cytokines [(IL-1 $\alpha$ , IL-1 $\beta$ , IL-6, interferon  $\gamma$  (IFN $\gamma$ ), IL-12p70, IL-17A, IL-18, IL-33, CCL2/MCP-1, CXCL1/KC (IL-8), GM-CSF and tumour necrosis factor  $\alpha$  (TNF- $\alpha$ )).

Following the 7- and 100-day feeding periods, rats were euthanised and measurements of inflammatory cytokines (using the LEGENDplex rat inflammation Panel) and phenotyping of immune cells (by flow cytometry) in the periphery and GI tract were performed. Peyer's patches, peripheral blood mononuclear cells (PBMC) and spleen cells were analysed for inflammatory and regulatory T-cell responses directly *ex vivo* or after *in vitro* stimulation with anti-ratCD3 (5  $\mu$ g/ml) and anti-rat CD28 (5  $\mu$ g/ml) for 4 days. Histopathology, ACF, ABC and goblet cell evaluations were performed on rats in the 100-day study. All tissues were collected from well-defined areas, and measurements, procedures and evaluations were performed in a standardised and blinded manner.

CD103<sup>+</sup> dendritic cells (DC) were evaluated in the gut, Peyer's patches, spleen and in peripheral blood over time period. No change in the percentage of CD103<sup>+</sup> DC in peripheral blood, spleen or Peyer's patches due to acute or subchronic dietary E 171 consumption alone was observed.

The total percentage of CD4<sup>+</sup> T helper cells, the percentage of T helper cells expressing CD25, an indicator of T helper activation, and the percentage of Treg cells (CD4<sup>+</sup>FoxP3<sup>+</sup>) and activated Treg (CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>+</sup>), critical mediators of local and systemic inflammation, which could lead to a low level inflammatory response in the absence of increased inflammatory cells, were quantified in peripheral blood, spleen and Peyer's patches. Dietary E 171 exposure did not change the frequency of CD4<sup>+</sup> T helper cells systemically or in intestinal Peyer's patches. In addition, there was no detectable impact on the percentage of activated CD4<sup>+</sup> T helper cells or on the percentage of Treg cells either peripherally or locally in the Peyer's patches of treated rats fed for 7 or 100 days. Collectively, these results suggest that E 171 consumption does not alter T-cell-mediated mechanisms of immune control, either promoting inflammatory CD4<sup>+</sup>T helper cell activation or in reducing the percentage of anti-inflammatory Treg cells.

Regarding the effects on cytokines, data presented suggest that dietary E 171 does not induce inflammation peripherally or in the GI tract at both time points. In addition, studies were conducted to explore the possibility that E 171 might alter the effector cytokine profile of T helper cells in lymphoid tissue or circulation, which may not be manifest without T cell-specific stimuli. Lymphocytes were isolated from peripheral blood, spleen and Peyer's patches and activated *ex vivo* with anti-CD3/anti-CD28 for 4 days to induce T helper cell cytokine production. No effects of E 171 exposure on any of the induced cytokines produced from *ex vivo* stimulated T helper cells were observed.

In the 100-day study, all animals were treated with E 171, some groups were initiated with 180 mg/kg bw DMH before the start of the dietary exposure to E 171 and an additional control initiated with DMH was also included. The same parameters as described above were evaluated, with some differences observed. A modest increase in the relative spleen weight in 22.4 mg E 171/kg bw per day + DMH compared to not initiated animals, an increase in IL-17A in colon (22.4 mg E 171/kg bw per day + DMH) and IL-12p70 in plasma (3.5 mg E 171/kg bw per day + DMH), with no dose-related effects, were observed. There were no changes in spleen cellularity across any of the treated groups. No changes were observed in the percentage of CD103<sup>+</sup> DC, CD4<sup>+</sup> T helper cells or total or activated



Treg in peripheral blood, spleen or Peyer's patches in animals exposed to E 171 + DMH compared to animals treated with only DMH.

According to the authors, there were no treatment related histopathological changes in the duodenum, jejunum, ileum, spleen, liver, lung and testes in animals exposed only to E 171. Rats that were initiated with DMH only and those which received 171 in the diet after the initiation displayed several histopathological abnormalities. There were two invasive adenocarcinomas in one animal in the 1.3 mg E 171/kg bw per day + DMH group, and single adenomas in one animal in the 3.5 mg E 171/kg bw per day E 171 + DMH group and in one animal in the 22.4 mg E 171/kg bw per day + DMH group. There were no other histopathological changes in the large intestines of the other animals treated with DMH. One rat in the 1.3 mg E 171/kg bw per day + DMH group and one rat in the 22.4 mg E 171/kg bw per day + DMH group had subpleural lymphocytes in the lung, but without any evidence of acute inflammatory changes or hyperplasia.

Regarding colonic ACF and ABC, according to the authors, a technical issue was encountered in that much of the epithelial surface of the sampled colon (proximal, middle and distal) was obscured when observed by light microscopy. The authors reported that they were unable to examine the entire surface of the colon samples. The results for the areas of epithelium that were examined indicated an increase in ACF/cm<sup>2</sup> and ABC/cm<sup>2</sup> in groups initiated with DMH compared to the groups that were not initiated with DMH. E 171 treatment administered after DMH did not result in statistically significant increases in ACF or ABC. No change in the number of ACF and ABC were observed due to E 171 exposure alone. The Panel noted a considerable variability in the results, which may mask possible effects. Furthermore, the Panel noted that the examination for presence of ACF and ABC was not performed on the whole colon but was limited to three 2 cm long samples (one from the proximal, mid-portion and the distal parts). Dietary E 171, with or without treatment with DMH, had no effect on the length of the colonic glands examined or the number of goblet cells/unit.

Overall, the Panel considered that this study indicates that acute and subchronic dietary intake of E 171 resulted in no significant effects on either peripheral or GI tract immune homeostasis as evidenced by immune cell phenotyping or inflammatory cytokine analysis.

Limitations in the pathological examination for ABC and ACF (sampled colon area limited; delayed fixation) preclude a conclusion on potential for ABC and ACF formation.

### **Han et al. (2020a)**

*Test material:* E 171, anatase, 150 nm (DLS).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 2.

*Internal exposure:* quantitative analysis in tissues; methodology not reliable.

A 90-day study was conducted according OECD TG 408. E 171 (10 rats/sex/dose) was administered daily by gavage for 90 days to Sprague–Dawley rats (0, 10, 100 and 1,000 mg/kg bw per day). Clinical signs of all rats were observed daily during the study period.

Regarding immunological parameters: blood levels of immunoglobulin (Ig) A, IgE, IgG, IgM and granulocyte-macrophage colony-stimulating factor (GM-CSF) were measured. In addition, total and differential white blood cell (WBC) counts and immune organ weights and histology were also evaluated. There were no changes in the weight of immune organs or their histology. The proportion of lymphocytes slightly decreased (by 9%) in male but not female rats administered the highest E 171 dose, without an apparent dose response relationship. According to the study authors, the level of GM-CSF was reduced by 41% in females only at the highest dose of 1,000 mg/kg bw per day. In males there was also a decrement in GM-CSF level (approximately by 30%), that was slightly less pronounced than in females, and due to a higher variability in the controls, did not gain statistical significance. A reduction in the levels of IgM was observed in both sexes at the highest dose tested, i.e. by 12% in females and by 9% in males, but there were no clear dose response relationships. Transcriptomics also showed that immune response-related microRNAs were most strongly affected by E 171 exposure, which may support the effect observed at the high dose.

The Panel noted the lack of a dose response, the magnitude of the effect was small that did not allow a firm conclusion given the natural variability in the parameters measured.

## **TiO<sub>2</sub> or TiO<sub>2</sub> NPs**

### **Mice**

#### **Mohamed (2015)**

*Test material:* TiO<sub>2</sub> NPs, rutile/anatase (77/22%), 47 nm (TEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 2.

*Internal exposure:* quantitative measurement in tissues, methodology with important flaws

Male Swiss Webster mice, aged 10–12 weeks, were housed in plastic cages under standard lighting conditions (12-h day/night cycle) with free access to water and food. Animals were randomly divided into four groups: the negative control group (treated with distilled water); and three experimental groups (15 mice per group) administered by gavage with TiO<sub>2</sub> NPs suspensions at the doses of 5, 50 or 500 mg/kg bw per day for 5 days. Animals from each group were sacrificed at 24 h, 7 days and 14 days after the last treatment. According to the authors, routine histopathology showed dose-dependent effects in the stomach. At 5 mg/kg, submucosal oedema was noted after 24 h that developed into ulcerations and mucosal necrosis after one and two weeks, respectively. After exposure to 50 mg/kg bw per day, submucosal vasculitis, massively degenerated glands and both mucosal and submucosal necrosis was evident after 24 h, 7 days and 14 days, respectively. Submucosal congested blood vessels, focal areas of leucocytic cell infiltrations and necrotic glands with mononuclear cell infiltrations (i.e. the highest grade of damage) were seen with TiO<sub>2</sub> NPs at 500 mg/kg bw per day at all time points.

The Panel noted that histopathological changes were not sufficient reported as no incidences and/or severity score were provided.

The Panel considered that these data suggest an inflammatory response in the stomach after short-term bolus exposure to TiO<sub>2</sub> NPs (47 nm).

#### **Li et al. (2019)**

*Three test materials:* (1) TiO<sub>2</sub> NPs, anatase, 25 nm; (2) TiO<sub>2</sub> NPs, anatase, 50 nm; (3) TiO<sub>2</sub> NPs, anatase, 80 nm. Purity not reported for none of them.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 2 (TiO<sub>2</sub> NPs 25 nm) and 2 ((TiO<sub>2</sub> NPs 50–80 nm).

*Internal exposure:* quantitative analysis in tissues, methodology with important flaws.

Male C57BL/6 mice (8 weeks old) were randomly divided into four groups (n = 28): control, TiO<sub>2</sub> NPs (25 nm), TiO<sub>2</sub> NPs (50 nm) and TiO<sub>2</sub> NPs (80 nm). Mice were administered by gavage TiO<sub>2</sub> NPs suspended in PBS with a dose of 1 mg/kg bw per day for seven consecutive days. The control group was given an equal volume of PBS. Fresh faeces were collected 2 h after dosing every day, and the distal colonic contents from each mouse were collected 24 h after the last dosing, for subsequent analysis.

Following 3-day or 7-day TiO<sub>2</sub> NPs administration, 6 mice per group were randomly selected and sacrificed for analysis. Fresh brain, heart, spleen, liver, kidney, heart, serum and faeces were collected and the samples were weighed.

Mice were randomly selected and sacrificed for the macroscopic and histological examination of tissues. Pathological examinations of the colon were performed in a blinded manner.

The purpose of this study was to study the interaction between TiO<sub>2</sub> NPs of different dimensions, gut microbiota and intestinal barrier function.

Results indicate that short-term ingestion of TiO<sub>2</sub> NPs (25 nm) (1 mg/kg bw per day for 7 days) led to colonic epithelial injury, reduced expression levels of tight junction proteins and reduced thickness of the 'luminal mucus layer'. This was associated with altered gut microbiota composition, with reduction in number of Bifidobacterium compared with controls.

Regarding immunological organs, histological findings were not reported.

The Panel considered that this study provides no relevant information on immunological effects of TiO<sub>2</sub> NPs.

## Rats

### Hashem et al. (2020)

*Test material:* TiO<sub>2</sub> (from Sigma, no information on constituent particle size distribution nor crystalline form).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 3.*

*Internal exposure* not examined.

Adult male Wistar rats were housed in steel mesh cages at 21–24 °C, 50–60% relative humidity and a 12-h light-dark cycle. The rats were weighed and randomly distributed into three groups, that were gavaged with 0.5% hydroxypropyl methylcellulose (HMC), or TiO<sub>2</sub> suspended in HMC at doses of 20 or 40 mg/kg bw per day for 90 consecutive days.

At the end of treatment, the body weight of low- and high-dose groups was 25% lower than that of the control group. Standard haematology using automated counting revealed a statistically significantly dose-dependent leucopenia and thrombocytopenia, as well as eosinophilia and neutrophilia. In the spleens that were evaluated histopathologically in a blinded fashion, statistically significantly dose-dependent alterations were observed that included lymphoid necrosis, white pulp expansion and increased numbers of macrophages. A marked increase in CD4<sup>+</sup> and CD8<sup>+</sup> immunolabelling was noted in the spleen. In addition, IgG and IgM measured by ELISA were statistically significantly elevated in TiO<sub>2</sub>-treated rats. Phagocytic activity measured by a modified colorimetric nitro blue tetrazolium assay as well as lysozyme expression and nitric oxide levels measured by ELISA were significantly reduced following TiO<sub>2</sub> exposure. Lymphocyte proliferation in response to PHA, measured by a lymphocyte transformation assay and using MTT as a proxy for cell number was statistically significantly reduced.

The Panel concluded that these findings taken together indicate that TiO<sub>2</sub>-induced haematological and immunological alterations after exposure for 90 days at all dose tested.

### TiO<sub>2</sub> NPs < 30 nm

## Mice

### Yu et al. (2016)

*Test material:* TiO<sub>2</sub> NPs, anatase, 5–6 nm (further information on characterisation from Hu et al., 2011).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure* not examined.

CD-1 (ICR) female mice were housed in stainless steel cages in a ventilated animal room at 24 ± 2°C with a relative humidity of 60 ± 10% and a 12-h light/dark cycle and were randomly divided in 4 groups of 20 animals each. Control (treated with 0.5% w/v HPMC) and three experimental groups (2.5, 5 and 10 mg/kg bw TiO<sub>2</sub> NPs suspensions in HPMC) were administered daily by gavage for 90 days. After 90 days, the mice were weighed and then anaesthetised. After sacrifice, the hearts were excised, weighed and cryopreserved at –80°C.

Inflammatory lesions and tissue damage were seen histopathologically, and were more pronounced at the mid and high doses. It was not reported whether the histopathology was performed blinded, but the results were corroborated with objective measures. The expression of NF-κB, and of pro-inflammatory cytokines TNF-α, IL-1β, IL-6 and IFN-γ expression were increased in a dose-dependent fashion (statistically significant increase up to 1.8-fold compared with the control); expression of the NF-κB inhibitor I-κB was decreased in a dose-dependent fashion (statistically significant decrease up to 1.55-fold compared with the control), as evidenced by western blotting.

The Panel considered that these data indicate an effect of TiO<sub>2</sub> NPs (5–6 nm) exposure at all dose levels tested, as evidenced by histopathological lesions, corroborated by intermediate endpoints indicating disturbance of intracellular ion homeostasis that were adrenergic receptors in the heart. These lesions are accompanied by increases in the expression of intermediate inflammatory endpoints.

The Panel noted effects on inflammatory mediators with TiO<sub>2</sub> NPs (5–6 nm) at all doses tested and corroborated by histopathological lesions.

**Li et al. (2018)**

*Two test materials:* 1) TiO<sub>2</sub> NPs, anatase, 20 nm (in water, DLS); 2) TiO<sub>2</sub> NPs, rutile, edged with corners morphology (SEM), 15 nm (in water, DLS).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 4.

*Internal exposure:* quantitative measurement in tissues, methodology with important flaws.

Male C57BL/6 mice, 8 weeks old, were kept in a humidity-controlled room on a 12-h/12-h light–dark cycle with food and water available ad libitum. The animals were randomly divided into 3 groups (n = 10 mice per group). TiO<sub>2</sub> NPs (either rutile or anatase) suspended in distilled water were administered by gavage at a dose of 100 mg/kg bw per day for 28 days, whereas the control group received an equal volume of distilled water. There were no effects on body weight. Whereas particles were observed in the spleen, examination of H&E-stained samples of the spleen revealed no histopathological changes. No histopathological changes were seen recorded in other tissues examined (the lung, jejunum, kidney, liver or brain). In colon, the increased length of villi was increased and irregularly arranged epithelial cells were reported irregularly arranged after exposure to TiO<sub>2</sub> NPs.

Phylogenetic analysis was performed by PCR of faecal DNA, extracted using the FastDNA<sup>®</sup> Spin Kit for Stool (MP Biomedicals, Santa Ana, USA) and amplified by barcoded composition primers flanking the V4/V5 regions of the 16S rRNA gene. Rutile NPs had a more pronounced influence on the gut microbiota than anatase NPs. The most influenced phylum was Proteobacteria, which was significantly increased by rutile NPs but not by anatase NPs. At the genus level, Rhodococcus was enriched by rutile NPs, Prevotella was significantly decreased by both the TiO<sub>2</sub> NPs.

The Panel considered that these data support an effect of TiO<sub>2</sub> NPs on the microbiota, but as no immunological parameters other than the histopathology of the spleen were included in this study, any consequence(s) associated with these changes in terms of inflammation and the immune system remain uncertain.

**Zhang et al. (2020)**

*Test material:* TiO<sub>2</sub> NPs, 21 nm (TEM), crystalline form and purity unknown.

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 4.

*Internal exposure* not examined.

C57BL/6J mice, aged 7 weeks, were maintained in the controlled conditions with temperature 23 ± 1°C and humidity 55 ± 10% on a 12-h light/dark cycle, with free access to a standard rodent diet and tap water. Thirty mice were randomly distributed over a vehicle group. Mice were treated with either vehicle or a suspension of TiO<sub>2</sub> NPs at 150 mg/kg bw per day by gavage for 30 days. Microbiota were evaluated by 16S ribosomal RNA (rRNA) gene sequencing in the faecal samples. Total genomic DNA was extracted from the faecal samples and the bacterial 16S rRNA were amplified by PCR. Subsequently PCR products were quantified using the QuantiFluor<sup>™</sup>-ST Blue Fluorescence Quantification. The results show that oral exposure to TiO<sub>2</sub> NPs resulted in significantly changed richness and composition of the gut microbiota. No changes in parameters indicating inflammation (IL-6 and IL-1β) in either intestines or brain were observed.

The Panel considered that exposure to TiO<sub>2</sub> NPs (21 nm) leads to changes in the microbiota composition, but the study does not indicate a local or systemic inflammatory action.

**Rats****Chen et al. (2015a)**

*Test material:* TiO<sub>2</sub> NPs, anatase 24 nm (TEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E,* was 2.

*Internal exposure* not examined.

Four-week-old healthy Sprague–Dawley rats were randomised into experimental and control groups, with 5 male and 5 female rats in each group. Suspensions in ultrapure water of TiO<sub>2</sub> NPs (0, 2, 10 and

50 mg/kg bw per day), glucose (1.8 g/kg bw per day) and TiO<sub>2</sub> NPs (0, 2, 10 and 50 mg/mg bw per day) + glucose (1.8 g/kg bw per day) were gavaged daily for 30 or 90 consecutive days.

Regarding the effects on spleen and white blood cells in animals exposed to TiO<sub>2</sub> NPs alone, no significant histopathological changes were observed in the spleen in all groups. On the contrary, increases in white blood cells parameters (white blood cell counts and granulocytes) were observed in female rats after exposure to TiO<sub>2</sub> NPs 50 mg/kg bw per day for 90 days and among male rats exposed to TiO<sub>2</sub> NPs 50 mg/kg bw per day for 30 (white blood cells counts, lymphocytes, monocytes absolute numbers and in the percentage of lymphocytes and granulocytes) and 90 days (percentage of monocytes); and a decrease in the while blood cells at 90 days in rats exposed to 10 mg/kg bw per day. The Panel considered that the increase in leucocytes may suggest an inflammatory response induced by TiO<sub>2</sub> NPs (24 nm) at the highest dose tested (50 mg/kg bw per day).

### **Chen et al. (2019)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 29 nm (SEM).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure* not examined.

Three-week-old male Sprague–Dawley rats, 6 per group, were administered via gavage with TiO<sub>2</sub> NPs at doses of 0, 2, 10, 50 mg/kg bw per day for 30 days. Morphology of colon was evaluated by routine histopathology and TEM. Serum levels of IL-6 and TNF- $\alpha$  were measured, as well as oxidative stress markers in colon tissue homogenates.

Histopathologically, reduced numbers of goblet cells were found as a result of exposure, as well as inflammatory infiltration, while in serum increased IL-6 expression was observed.

### **Grissa et al. (2020)**

*Test material:* TiO<sub>2</sub> NPs, anatase, 5–12 nm (TEM, XRD).

*Scoring for nanoscale considerations (dispersion and/or confirmation of internal exposure), assigned according to Appendix E, was 2.*

*Internal exposure:* quantitative in tissues; methodology with important flaws.

Male Wistar rats were administered by gavage (aqueous suspension; volume of 10 mL/kg bw) with TiO<sub>2</sub> NPs (five times/week; 8 weeks) after being randomised over 4 groups (n = 8/group): 0 (control), 50, 100 and 200 mg TiO<sub>2</sub> NPs/kg bw per day. One day after the last TiO<sub>2</sub> NPs treatment, rats were euthanised and fresh brain from each rat was excised and weighed. A part of the frontal lobe of the left cerebral hemispheres was homogenised for biochemical assays, and the other part of the frontal lobe was used for the quantification of Ti. TNF- $\alpha$  and nitric oxide levels were measured using commercially available kits.

A statistically significant dose-related increase in the level of NO in 100 and 200 mg/kg bw per day TiO<sub>2</sub> NPs groups was observed together with a statistically significant increase in brain TNF- $\alpha$  in 200 mg/kg bw per day TiO<sub>2</sub> NPs group. The increase was dose-related for both parameters.

The Panel noted changes for the above-mentioned inflammatory markers at doses starting from 100 mg TiO<sub>2</sub> NPs (5–12 nm)/kg bw per day.



## Appendix I – Analysis of Ti-concentration in blood and urine in the EOGRT study

### **Sample collection**

Urine in F2 pups was collected via sterile bladder punctation, whereas the urine in F0 and cohort 1A and 1B animals were collected by using metabolic cages. The applicant assumes that the Ti-levels in urine that were collected via metabolic cages was the result of contamination of urine from faeces in the metabolic cages.

### **Analysis Ti-concentration in blood and urine**

Blood and urine were microwave digested with sulfuric acid, followed by analysis with ICP-MS/MS. A comparison between analysis by ICP-MS/MS and ICP-OES was performed and it was concluded the use of H<sub>2</sub>SO<sub>4</sub> in sample preparation did not result in interferences in the ICP-MS/MS analysis. Background concentrations in blood and urine were determined and used to correct the Ti-concentrations. The instrumental LOD varied between 0.001 and 0.014 µg/L for blood, and between 0.002 and 0.026 µg/L for urine. The instrumental LOQ varied between 0.004 and 0.043 µg/L for blood, and between 0.006 and 0.077 µg/L for urine. Method LODs and LOQs were estimated to be substantially greater.

### **Assessment of background concentration in blood and urine**

The background concentrations in rat blood method blanks varied between 0.008 and 0.046 µg/L, and between 0.010 and 0.036 µg/g for urine. As uncorrected median Ti concentrations in blood for exposed animals ranged between 0.01 and 0.542 µg/g, and between 0.034 and 1.017 µg/g for urine, the background consisted of a considerable fraction of the Ti determined in many blood and urine samples. Together with the considerable variability in background concentrations and the magnitude of the estimated method LODs and LOQs, this suggests there is some uncertainty in the Ti-concentrations of blood and urine, especially in the lower values.

### **Kinetic profile**

For cohort F2, the Ti-concentration blood (n = 10/group) was increased in dose group 300 mg/kg bw/d and further increased in dose group 1,000 mg/kg bw/d. The Ti-concentration in blood for dose group 1,000 mg/kg bw/d in cohort F0 seems to be slightly increased, whereas cohorts 1A and 1B did not show a clear increase in Ti blood levels. The Panel noted that there are a few rats that showed an increased blood concentration that are considered outliers.

Urine (n = 10/group) Ti-levels generally increase in the exposed groups as compared to the control group. The variation between animals is large. For cohort F2, urine concentrations are low and there is not a clear increase with dose.

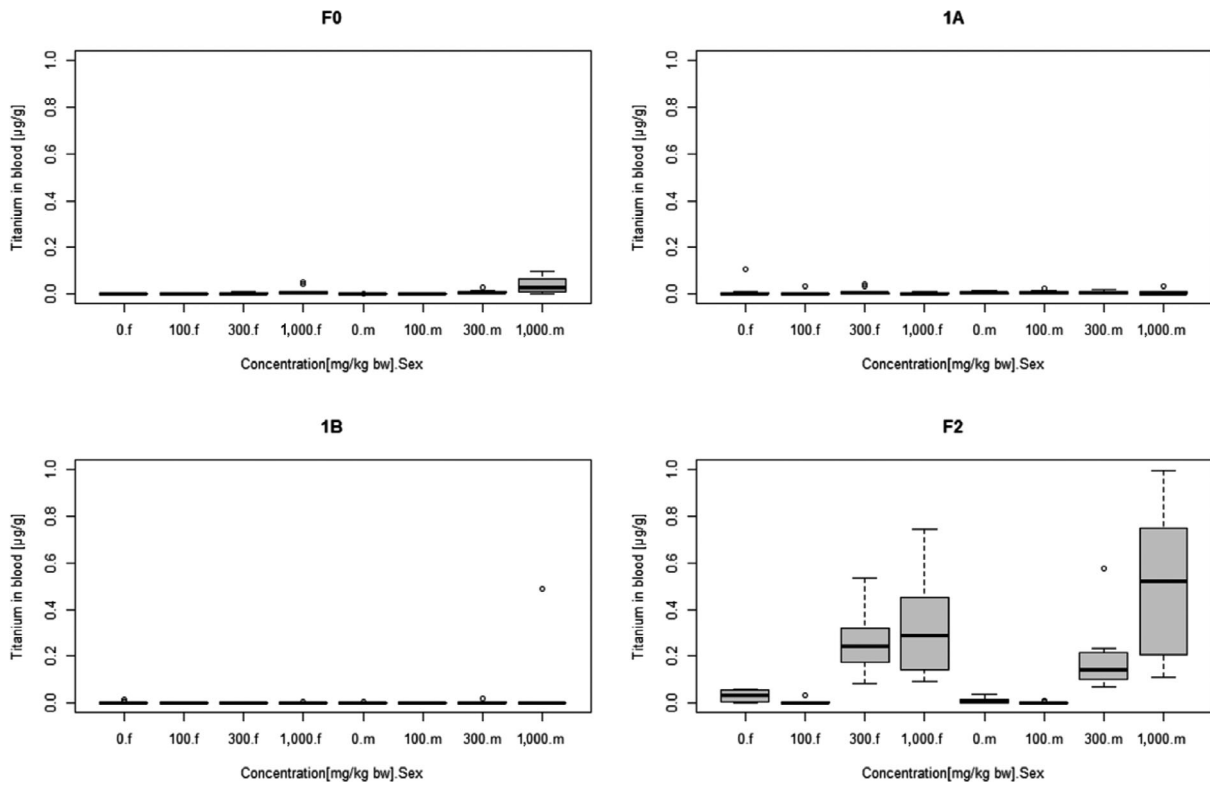
Increased Ti-levels in blood indicate that some Ti from the diet must have been systemically available (Figure I.1). Also Ti in urine suggests that Ti from the diet is absorbed, but for the cohorts F0, 1A and 1B, contamination of urine via faeces caused by the sampling of the excreta could have contributed to the Ti concentration in urine.

An increase in Ti concentrations in blood in the F2 cohort (dose group 300 and 1,000 mg/kg bw per day) at post-natal day 4–7 has been noted. The Panel considered that the pups may have been exposed to TiO<sub>2</sub> via inhalation of dust from powdered feed containing E171. However, the Panel concluded that the pups were primarily exposed to E171 in utero (i.e. via the placenta) and that pup Ti blood levels were able to accumulate to detectable levels soon after birth due to limited foetal excretion. Accordingly, although the blood concentrations of Ti in F1 females were not measurable, the Panel concluded that E171 was systemically available.

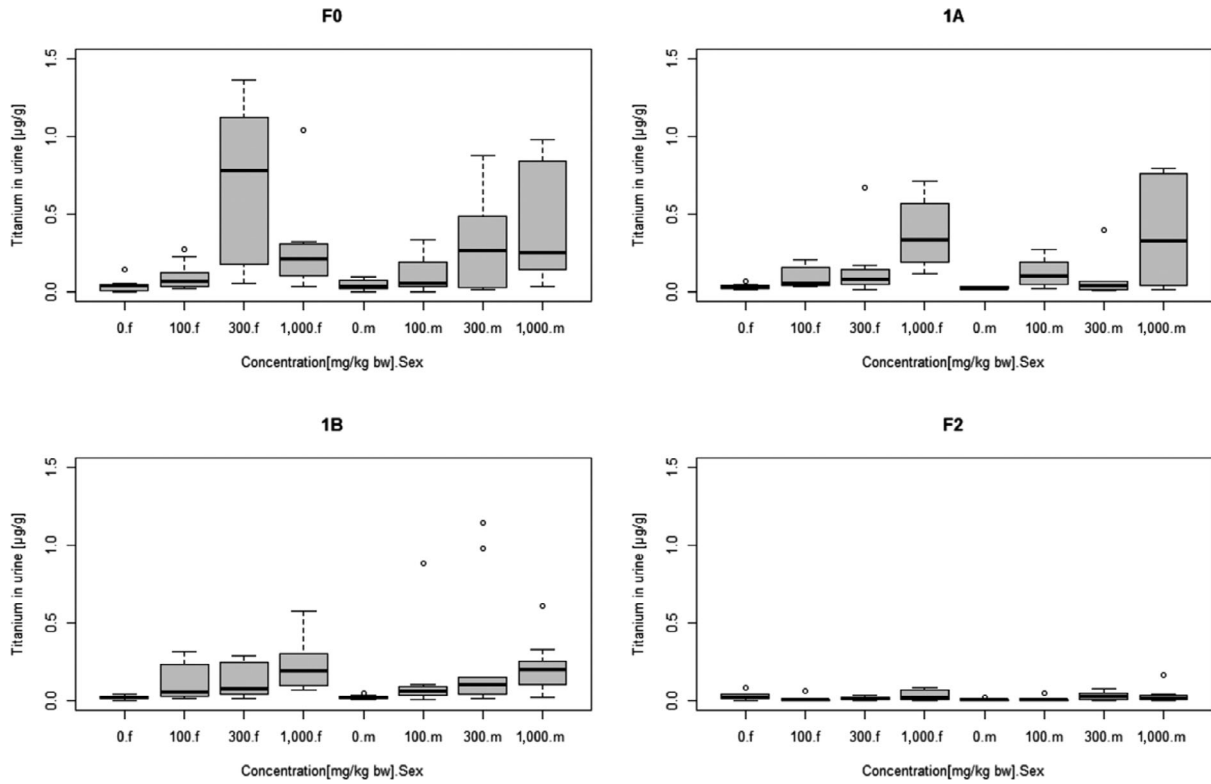
Small particles generally do not remain long in the blood stream (Landsiedel et al., 2012; ISO/TR, 2019). They are taken up by tissues rich in macrophages, such as liver and spleen. The blood kinetics therefore have limited value for assessing the toxicokinetic behaviour. Yet, the presence of Ti in blood suggests that some of the TiO<sub>2</sub> particles in E 171 were absorbed from the GI tract.

It should be noted that cohort F2 showed the highest blood concentration and the lowest urine concentration (Figure I.2). The low urine concentration as compared to the other cohorts may be explained by the manner of collecting urine, i.e. bladder punctation for cohort F2 vs. metabolic cages for the other cohorts. In the latter case, contamination from faeces may have occurred.

The Panel considered that the blood concentrations in the F2 pups on PND 4–7 at concentrations clearly above the LOQ indicate intrauterine exposure and hence internal exposure of the F1 mothers. The percentage of the systemically available fraction is not known and the variability of the concentration data is high: Nevertheless, the F2 pup blood concentrations showed a dose-dependent increase, suggesting that the systemically available fraction in the F1 mothers also increased and that absorption from their GIT did not decrease with increasing dose of E 171 (i.e. any agglomeration of E 171 with increasing dose did not impact on absorption).



**Figure I.1:** Boxplots comparing Ti levels in blood ( $\mu\text{g/g}$  blood) for treatment concentration and sex combinations for each generation and cohort (Documentation provided to EFSA No 18) (for further explanation see text above)



**Figure I.2:** Boxplots comparing Ti levels in urine ( $\mu\text{g/g}$  blood) for treatment concentration and sex combinations for each generation and cohort (Documentation provided to EFSA No 18)

**Appendix J – New *in vitro* genotoxicity studies**

**Appendix K – New *in vivo* genotoxicity studies**

**Appendix L – *In vitro* genotoxicity studies considered in the re-evaluation of E 171 (EFSA ANS Panel, 2016)**

**Appendix M – *In vivo* genotoxicity studies considered in the re-evaluation of E 171 (EFSA ANS Panel, 2016)**

**Appendix N – *In vitro* genotoxicity studies from OECD dossier (OECD, 2016)**

**Appendix O – *In vivo* genotoxicity studies from OECD dossier (OECD, 2016)**

**Appendix P – Genotoxicity studies submitted by Interested Business Operators**

**Appendix Q – Concentration levels of E 171 used in the exposure assessment scenarios (mg/kg or mL/kg as appropriate)**

**Appendix R – Number and percentage of food products labelled with E 171 out of the total number of food products present in the Mintel GNPD per food subcategory between 2016 and 2021**

**Appendix S – Summary of total estimated exposure of E 171 from its use as a food additive for the maximum level exposure scenario and the refined exposure assessment scenarios per population group and survey: mean and 95th percentile (mg/kg bw per day)**

**Appendix T – Summary of total estimated exposure of E 171 from its use as a food additive for the food supplements consumers only exposure assessment scenarios per population group and survey: mean and 95th percentile (mg/kg bw per day)**

**Appendix U – Main food categories contributing to exposure to E 171 using the maximum level exposure assessment scenario and the refined exposure assessment scenarios (> 5% to the total mean exposure)**

**Appendix V – Summary of total estimated exposure of E 171 from its use as a food additive considering reported use levels and analytical data (mg/kg bw per day)**

Appendix J–V can be found in the online version of this output (in the ‘Supporting information’ section): <https://efsa.onlinelibrary.wiley.com/doi/10.2903/j.efsa.2021.6585>

## Appendix W – Reported data on the analysis of pristine E 171 (Verleysen et al., 2020, 2021)

Number- and mass-based percentages of TiO<sub>2</sub> particles smaller than threshold x are reported in Tables W.1 and W.2

**Table W.1:** Number percentage of particles

x (nm)	Number % of particles with minimum Feret diameter smaller than threshold x											
	Sample 1	Sample 2	Sample 3	Sample 4	Sample 5	Sample 6	E 171-A <sup>(a)</sup>	E 171-B <sup>(a)</sup>	E 171-C <sup>(a)</sup>	E 171-D <sup>(a)</sup>	E 171-E <sup>(a)</sup>	E 171-F <sup>(a)</sup>
10	0	0	0	0	0	0	0	0	0	0	0	0
20	0	0	0	0	0	0	0	0	0	0	0	0
30	1	0	0	1	1	1	0	0	0	0	0	0
40	6	1	2	2	8	3	1	2	1	1	2	0
50	13	4	5	5	16	7	3	6	3	1	6	2
60	22	9	12	13	25	15	6	15	9	2	14	3
70	36	21	24	25	37	29	11	29	19	4	24	7
80	50	35	39	38	51	44	20	44	31	8	40	9
90	63	50	54	52	63	58	30	58	44	13	54	14
<b>100</b>	<b>74</b>	<b>64</b>	<b>67</b>	<b>65</b>	<b>73</b>	<b>71</b>	<b>40</b>	<b>70</b>	<b>56</b>	<b>18</b>	<b>65</b>	<b>20</b>
110	81	75	77	74	80	79	50	79	67	24	75	28
120	87	83	85	82	86	85	60	85	75	31	83	35
130	92	89	90	89	91	90	68	90	82	38	88	43
140	94	92	94	93	94	94	77	93	88	44	91	51
150	97	95	96	96	96	96	83	95	92	50	95	59
160	98	97	98	97	98	97	88	97	95	57	97	67
170	98	98	99	99	98	98	91	98	97	65	98	76
180	99	99	99	99	99	99	93	99	98	72	99	81
190	99	100	100	100	99	99	96	99	99	76	99	87
200	100	100	100	100	100	100	97	100	99	83	100	91
250	100	100	100	100	100	100	100	100	100	95	100	99
300	100	100	100	100	100	100	100	100	100	98	100	100
400	100	100	100	100	100	100	100	100	100	100	100	100
500	100	100	100	100	100	100	100	100	100	100	100	100

(a): Sample claimed to be the same of the E 171 for which data were evaluated in EFSA FAF Panel (2019).



**Table W.2:** Mass percentage of particles

x (nm)	Mass % of particles with minimum Feret diameter smaller than threshold x											
	Sample 1	Sample 2	Sample 3	Sample 4	Sample 5	Sample 6	E 171-A <sup>(a)</sup>	E 171-B <sup>(a)</sup>	E 171-C <sup>(a)</sup>	E 171-D <sup>(a)</sup>	E 171-E <sup>(a)</sup>	E 171-F <sup>(a)</sup>
10	0	0	0	0	0	0	0	0	0	0	0	0
20	0	0	0	0	0	0	0	0	0	0	0	0
30	0	0	0	0	0	0	0	0	0	0	0	0
40	0	0	0	0	0	0	0	0	0	0	0	0
50	1	0	0	0	1	1	0	1	0	0	0	0
60	3	1	2	2	3	2	0	2	1	0	2	0
70	7	4	5	5	7	6	1	6	3	0	4	0
80	14	9	11	10	13	12	3	12	7	0	10	1
90	23	18	20	18	22	21	6	20	13	1	18	2
<b>100</b>	<b>33</b>	<b>29</b>	<b>32</b>	<b>29</b>	<b>31</b>	<b>32</b>	<b>10</b>	<b>30</b>	<b>20</b>	<b>2</b>	<b>27</b>	<b>3</b>
110	44	41	43	39	41	43	15	41	30	3	38	6
120	54	52	56	50	52	52	23	50	39	5	49	9
130	64	63	66	64	61	62	30	59	49	8	58	13
140	71	70	75	72	70	73	40	68	59	11	66	19
150	80	79	81	82	78	78	49	73	69	15	75	27
160	84	84	88	87	84	84	58	80	77	20	82	35
170	88	90	92	91	88	88	65	85	84	27	86	47
180	92	93	95	95	92	92	70	90	89	34	90	55
190	94	96	96	96	95	95	77	94	92	40	93	65
200	95	98	99	98	96	97	82	96	95	49	96	74
250	100	99	100	100	100	100	95	100	100	75	99	96
300	100	100	100	100	100	100	98	100	100	88	100	100
400	100	100	100	100	100	100	100	100	100	99	100	100
500	100	100	100	100	100	100	100	100	100	100	100	100

(a): Sample claimed to be the same of the E 171 for which data were evaluated in EFSA FAF Panel (2019).