



Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

their worth in preventing both onwards transmission and more widespread restrictive interventions.

IEB has received personal fees from AstraZeneca for his role as a chief data scientist advisor via the University of Liverpool. The other authors declare no competing interests.

*Elizabeth Fearon, Iain E Buchan, Rajenki Das, Emma L Davis, Martyn Fyles, Ian Hall, T Deirdre Hollingsworth, Thomas House, Caroline Jay, Graham F Medley, Lorenzo Pellis, Billy J Quilty, Miguel E P Silva, Helena B Stage, Tom Wingfield
elizabeth.fearon@lshrm.ac.uk

Department of Global Health and Development, Faculty of Public Health and Policy (EF, GFM), Centre for the Mathematical Modelling of Infectious Diseases (EF, GFM, BJQ), and Department of Infectious Disease Epidemiology (BJQ), London School of Hygiene and Tropical Medicine, London WC1H 9SH, UK; Institute of Population Health, University of Liverpool, Liverpool, UK (IEB); Department of Mathematics (RD, MF, IH, TH, LP, HBS), and School of Computer Science (C), MEPS), University of Manchester, Manchester, UK; Big Data Institute, Li Ka Shing Centre for Health Information and Discovery, Oxford, UK (ELD, TDH); The Alan Turing Institute, London, UK (MF); Emergency Response Department, Public Health England, Salisbury, UK (IH); IBM Research, Warrington, UK (TH); Department of Clinical Sciences, and Department of International Public Health, Liverpool School of Tropical Medicine, Liverpool, UK (TW); Tropical and Infectious Disease Unit, Liverpool University Hospitals NHS Foundation Trust, Liverpool, UK (TW); WHO Collaborating Centre in Tuberculosis and Social Medicine, Department of Global Public Health, Karolinska Institutet, Solna, Sweden (TW)

1 Wolf A, Hulmes J, Hopkins S. Lateral flow device specificity in phase 4 (post marketing) surveillance. Department of Health and Social Care. March 10, 2021. https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/968095/lateral-flow-device-specificity-in-phase-4.pdf (accessed April 26, 2021).

- 2 Halliday J. Rapid covid testing in England may be scaled back over false positives. April 15, 2021. <https://www.theguardian.com/world/2021/apr/15/rapid-covid-testing-in-england-may-be-scaled-back-over-false-positives> (accessed April 26, 2021).
- 3 UK Office for National Statistics. Coronavirus and the impact on students in higher education in England: September to December 2020. Dec 21, 2020 <https://www.ons.gov.uk/peoplepopulationandcommunity/educationandchildcare/articles/coronavirusandtheimpactonstudentsinhighereducationinenglandseptembertodecember2020/2020-12-21> (accessed April 26, 2021).
- 4 Public Health England. Investigation of novel SARS-CoV-2 variant: variant of concern 202012/01. 2020. https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/959361/Technical_Briefing_VOC202012-2_Briefing_2.pdf (accessed April 26, 2021).
- 5 Smith LE, Potts HWW, Amlôt R, Fear NT, Michie S, Rubin GJ. Adherence to the test, trace, and isolate system in the UK: results from 37 nationally representative surveys. *BMJ* 2021; **372**: n608.
- 6 Fancourt D, Bu F, Mak HW, Steptoe A. COVID-19 Social Study results release 28. Jan 13, 2021. https://b66dbc03-332c-4ff9-8b9d-28f9c957493a.filesusr.com/ugd/3d9db5_bf013154aed5484b970c0cf84ff109e9.pdf (accessed Jan 20, 2021).
- 7 Mina MJ, Peto TE, García-Fiñana M, Semple MG, Buchan IE. Clarifying the evidence on SARS-CoV-2 antigen rapid tests in public health responses to COVID-19. *Lancet* 2021; **397**: 1425-27.
- 8 Quilty B, Hellewell J, Clifford S, CMMID COVID-19 Working Group. Confirmatory testing with a second lateral flow test may mitigate false positives at low levels of SARS-CoV-2 prevalence in English schools. March 12, 2021. https://cmmid.github.io/topics/covid19/ft_confirm_testing_schools.html (accessed May 28, 2021).
- 9 Love N, Ready D, Turner C et al. The acceptability of testing contacts of confirmed COVID-19 cases using serial, self-administered lateral flow devices as an alternative to self-isolation. *medrxiv* 2021; published online March 26. <https://doi.org/10.1101/2021.03.23.21254168> (preprint).
- 10 Quilty BJ, Clifford S, Hellewell J, et al. Quarantine and testing strategies in contact tracing for SARS-CoV-2: a modelling study. *Lancet Public Health* 2021; **6**: e175-83.

Aerosol generating procedures: are they of relevance for transmission of SARS-CoV-2?

It is now generally accepted that SARS-CoV-2 can be spread by aerosols as well as larger droplets from the upper respiratory tract, although the relative importance of aerosol transmission remains incompletely answered.¹ Despite this, current UK infection control guidance for hospitals is centred on the premise that aerosols are only generated by specific medical interventions designated as aerosol generating procedures (AGPs).² This draws from epidemiological observations during the 2003 outbreak of severe acute respiratory syndrome, during which certain procedures appeared to be associated with an increased risk of staff infection (particularly tracheal intubation), and these procedures had a theoretical risk of viral aerosolisation.³ However, the evidence supporting aerosolisation during these procedures was, before the pandemic, remarkably slim,

with aerosolisation being assumed on the basis of the precautionary principle and low quality mechanistic studies.⁴

This view of aerosol generation subsequently led to a dichotomisation—later codified in international guidance²—that categorised all medical activities into either AGPs, where potentially infectious aerosols are generated, versus everything else, where the risk of potentially infectious aerosol is presumed to be negligible. The logical extension of this dichotomy has resulted in health-care workers in many countries undertaking interventions classified as AGPs wearing higher levels of personal protective equipment (PPE), such as FFP3 or N95 masks, whereas those health-care workers providing other medical care have not been afforded the same protection, as infectious aerosol is not considered a risk outside of AGPs.⁵



Published Online
May 6, 2021
[https://doi.org/10.1016/S2213-2600\(21\)00216-2](https://doi.org/10.1016/S2213-2600(21)00216-2)

Although this dichotomy was reasonable at the start of the pandemic, recent aerosol sampling studies from multiple groups investigating several currently defined AGPs have revealed more information on the potential risk of aerosols from these procedures. In fact, the aerosol emissions from tracheal intubation, high-flow nasal oxygen, and non-invasive ventilation are low, with similar sampled aerosol concentrations to tidal breathing and speaking.⁶⁻⁹

Critically, these aerosol studies also confirm that coughing by both healthy volunteers, and patients (with or without COVID-19), generates orders of magnitude more aerosol than many AGPs.⁶⁻⁸ Despite this, the generation of a cough during a procedure is not considered sufficient for that procedure to be designated an AGP. Consequently, current UK infection control guidance advises the highest aerosol precaution PPE for procedures that are demonstrably not high risk (compared with coughing) and advises lower grade droplet precaution PPE when infectious aerosol risk is high (eg, caring for coughing patients with confirmed COVID-19 for a prolonged period of time in poorly ventilated health-care settings).

Although we and others have sought to quantify aerosol generation, it should also be clear that a simple

observation of increased aerosol emission does not confirm the potential for pathogen transmission; the much more exacting task of quantifying airborne infectious SARS-CoV-2 concentrations carried in aerosols has so far proven intractable.

More research is ongoing to quantify other AGPs across a range of clinical settings. However, based on the research to date, a coughing patient with acute COVID-19 is likely to generate more infectious aerosol than many AGPs. This appears to be supported by the epidemiological evidence, which points to an increased risk of infection for ward medical staff (who care for acutely dyspnoeic, coughing patients with COVID-19) compared with intensive care staff—although it should be noted that interpretation of that data is confounded by patient mix, among other factors.¹⁰

We propose an end to the term aerosol generating procedure, as it is neither accurate (aerosol is not generated above a cough for many of these procedures), implies aerosol emission is only from specific procedures (rather than being generated during normal respiratory events), potentially misidentifies the source of infection risk, and applies a binary definition to a situation that is more complex. Instead, we propose that clinicians follow an evidence-based framework that accounts for the major drivers of risk, with a focus on physical exposure to patients with suspected or confirmed COVID-19 as the critical component (panel).

Subsequently, additional factors known to be relevant in viral transmission, such as ventilation, proximity, and duration of exposure to patients, should be included in assessing risk, while recognising the changing epidemiology of infection with setting.

In summary, it is increasingly clear that transmission of SARS-CoV-2 via aerosol is possible and might represent a significant transmission route. However, emerging evidence indicates that many currently defined AGPs are unlikely to play any significant role in generation of infectious aerosol that poses a risk to staff. In view of this, the term AGP has neither face validity nor construct validity. Instead, we should focus on the risk in plain sight: close, physical exposure to people suspected, or known to have, COVID-19 for prolonged time or where ventilation remains poor.

We declare no competing interests.

Panel: Proposed factors to be included in risk matrix for respiratory transmission of SARS-CoV-2

Patient risk (by far the largest risk factor)

The probability of the patient having the infection, and time since acquisition. Risk based on symptoms, PCR positivity, and vaccination status. Note symptoms such as coughing and increased respiratory exertion are likely to be major factors in aerosol generation.

Duration of exposure

The duration that the risk is in place. The length of time required to be in close proximity naturally increases risk of both aerosol and droplet transmission.

Health-care practitioner risk from COVID-19

Age, sex, body mass index, comorbidities, vaccination status.

Proximity risk

Exposure to any care intervention requiring close patient contact increases risk. This includes personal care (such as mouthcare) and physical examination (especially relating to upper respiratory tract such as nasal or throat swab, nasendoscopy, or intubation).

Environmental risk

Ventilation, humidity, temperature.

Fergus Hamilton, David Arnold, Bryan R Bzdek, James Dodd, AERATOR group†, Jonathan Reid, *Nick Maskell

nick.maskell@bristol.ac.uk

MRC Integrative Epidemiology Unit, University of Bristol, Bristol UK (FH); Academic Respiratory Unit, University of Bristol, Bristol BS10 5NB, UK (DA, JD, NM); Bristol Aerosol Research Centre, University of Bristol, Bristol, UK (BRB, JR)

†AERATOR group members listed in appendix

- 1 Pöhlker ML, Krüger OO, Förster J-D, et al. Respiratory aerosols and droplets in the transmission of infectious diseases. *arXiv* 2021; published online 1 March. <http://arxiv.org/abs/2103.01188>.
- 2 WHO. Infection prevention and control of epidemic- and pandemic-prone acute respiratory infections in health care. Geneva: World Health Organization, 2014.
- 3 Tran K, Cimon K, Severn M, Pessoa-Silva CL, Conly J. Aerosol generating procedures and risk of transmission of acute respiratory infections to healthcare workers: a systematic review. *PLoS One* 2012; **7**: e35797.
- 4 Jackson T, Deibert D, Wyatt G, et al. Classification of aerosol-generating procedures: a rapid systematic review. *BMJ Open Respir Res* 2020; **7**: e000730.
- 5 Public Health England. 6. COVID-19 infection prevention and control guidance: aerosol generating procedures 2020. <https://www.gov.uk/government/publications/wuhan-novel-coronavirus-infection-prevention-and-control/covid-19-infection-prevention-and-control-guidance-aerosol-generating-procedures> (accessed April 1, 2021).

- 6 Wilson NM, Marks GB, Eckhardt A, et al. The effect of respiratory activity, non-invasive respiratory support and facemasks on aerosol generation and its relevance to COVID-19. *Anaesthesia* 2021; published online March 30. <https://doi.org/10.1111/anae.15475>.
- 7 Hamilton F, Gregson F, Arnold D, et al. Aerosol emission from the respiratory tract: an analysis of relative risks from oxygen delivery systems. *bioRxiv* 2021; published online Feb 1. <http://medrxiv.org/lookup/doi/10.1101/2021.01.29.21250552>.
- 8 Brown J, Gregson FKA, Shrimpton A, et al. A quantitative evaluation of aerosol generation during tracheal intubation and extubation. *Anaesthesia* 2021; **76**: 174–81.
- 9 Alsvéd M, Matamis A, Bohlin R, et al. Exhaled respiratory particles during singing and talking. *Aerosol Sci Technol* 2020; **54**: 1245–48.
- 10 Cook TM, Lennane S. Occupational COVID-19 risk for anaesthesia and intensive care staff—low-risk specialties in a high-risk setting. *Anaesthesia* 2021; **76**: 295–30.

See Online for appendix

The 2021 USPSTF lung cancer screening guidelines: a new frontier

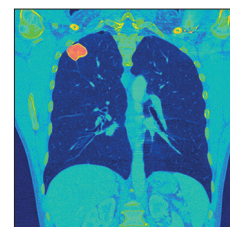
In March, 2021, the United States Preventive Services Task Force (USPSTF) updated their lung cancer screening guidelines. This marks the first change in the guidelines since screening with low-dose CT was initially recommended by the USPSTF in 2013. The new guidelines reduce the lower limit of the screening age from 55 to 50 years and the minimum smoking history from 30 to 20 pack-years. By expanding the screening criteria, the changes made in the 2021 guidelines are key to including more high-risk women and racial minorities in screening. Of note, under the new guidelines, 14.5 million Americans will be eligible for screening, which is an increase of 6.5 million individuals compared with the previous guidelines.¹ Given that screening with low-dose CT has been shown to reduce lung cancer mortality by 20–33% in high-risk populations,^{2,3} it is estimated that the increase in screening under the new guidelines could save an additional 10 000–20 000 lives each year.

Although the new guidelines include two greatly welcomed changes that increase the number of eligible individuals, there continues to be many high-risk individuals who are ineligible for screening. First, under the new guidelines, individuals who quit smoking

more than 15 years ago are not eligible for screening. However, up to 45.7% of lung cancers in former smokers occur more than 15 years after quitting smoking.⁴ Revising the guidelines by removing this 15-year rule would allow more former smokers to be eligible for life-saving screening.

Second, under the new guidelines, individuals aged 80 years or older are not eligible for screening. However, with the rapidly ageing population in the USA, the number of adults aged 80 years or older who would benefit from lung cancer screening will increase. Given the advancement of minimally invasive surgical techniques and stereotactic body radiotherapy, many older individuals diagnosed with early-stage lung cancer will be able to undergo curative-intent treatment, which is associated with excellent 5-year overall survival.

Third, although the 2021 USPSTF guidelines increase the overall number of individuals eligible for screening, racial disparities in screening eligibility are likely to persist. It is well documented that racial minorities diagnosed with lung cancer are diagnosed at an earlier age and smoke fewer cigarettes than White men diagnosed with lung cancer. By decreasing the lower limit of the screening age from 55 to 50 years and the



Voisin/Phantasia/Science Photo Library

Published Online
May 6, 2021
[https://doi.org/10.1016/S2213-2600\(21\)00210-1](https://doi.org/10.1016/S2213-2600(21)00210-1)

For the USPSTF lung cancer screening guidelines see <https://uspreventiveservices.taskforce.org/uspstf/recommendation/lung-cancer-screening>