


# Immune adverse events (irAEs) with adjuvant ipilimumab in melanoma, use of immunosuppressants and association with outcome: ECOG-ACRIN E1609 study analysis

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## ABSTRACT

**Background** The impact of immune-related adverse events (irAEs) occurring from adjuvant use of immunotherapy and of their management on relapse-free survival (RFS) and overall survival (OS) outcomes is currently not well understood.

**Patients and methods** E1609 enrolled 1673 patients with resected high-risk melanoma and evaluated adjuvant ipilimumab 3 mg/kg (ipi3) and 10 mg/kg (ipi10) versus interferon- $\alpha$ . We investigated the association of irAEs and of use of immunosuppressants with RFS and OS for patients treated with ipilimumab (n=1034).

**Results** Occurrence of grades 1–2 irAEs was associated with RFS (5 years: 52% (95% CI 47% to 56%) vs 41% (95% CI 31% to 50%) with no AE; p=0.006) and a trend toward improved OS (5 years: 75% (95% CI 71% to 79%) compared with 67% (95% CI 56% to 75%) with no AE; p=0.064). Among specific irAEs, grades 1–2 rash was most significantly associated with RFS (p=0.002) and OS (p=0.003). In multivariate models adjusting for prognostic factors, the most significant associations were seen for grades 1–2 rash with RFS (p<0.001, HR=0.70) and OS (p=0.01, HR=0.71) and for grades 1–2 endocrine+rash with RFS (p<0.001, HR=0.66) and OS (p=0.008, HR=0.7). Overall, grades 1–2 irAEs had the best prognosis in terms of RFS and OS and those with grades 3–4 had less RFS benefits and no OS advantage over no irAE. Patients experiencing grades 3–4 irAE had significantly higher exposure to corticosteroids and immunosuppressants than those with grades 1–2 (92% vs 60%; p<0.001), but no significant associations were found between corticosteroid and immunosuppressant use and RFS or OS. In investigating the impact of non-corticosteroid immunosuppressants, although there were trends toward better RFS and OS favoring cases who were not exposed, no significant associations were found.

**Conclusions** Rash and endocrine irAEs were independent prognostic factors of RFS and OS in patients treated with adjuvant ipilimumab. Patients experiencing lower grade irAEs derived the most benefit, but we found no significant

evidence supporting a negative impact of high dose corticosteroids and immunosuppressants more commonly used to manage grades 3–4 irAEs.

## INTRODUCTION

Immune-related adverse events (irAEs) associated with immune checkpoint inhibitor (ICI) therapy represent a new and major challenge to patients and managing clinicians.<sup>1,2</sup> The incidence of irAEs varies by the type of ICI used and is lowest with anti-PD1/PDL1 antibodies, followed by anti-CTLA4 and is highest with the combination of anti-PD1 and anti-CTLA4 antibodies.<sup>2</sup> CTLA-4 and PD-1 receptors have distinct mechanisms in T cell differentiation and function. While anti-CTLA-4 antibodies release T cell inhibition at the priming phase of the antitumor immune response, anti-PD-1 antibodies release T cell inhibition at the effector phase. Furthermore, dual blockade of CTLA-4 and PD-1 appears to lead to a potentially synergistic or additive effect, not only in terms of antitumor activity but also as related to the risk of irAEs.<sup>3</sup> The incidence of irAEs following CTLA4 blockade is dose dependent and increases with the dose of ICI used where our main experience is derived from studies that tested ipilimumab. In the CA184-169 study that tested ipilimumab at 3 mg/kg (ipi3) and ipilimumab at 10 mg/kg (ipi10) in metastatic melanoma, the incidence of grades 3–4 irAEs was 14% and 30%, respectively.<sup>4</sup> Similarly, the same dose levels tested as adjuvant therapy for high-risk resected melanoma in the E1609 trial led to an incidence of 19% and 34% of grades 3–4



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irAEs, respectively.<sup>5</sup> More importantly, irAEs can lead to treatment discontinuation, can be life threatening and rarely lead to death. Management of irAEs can also be complex and frequently requires the use corticosteroids, immunosuppressants and hormone replacement therapy. In the context of E1609, the toxicity attrition rate related to irAEs was 35% with ipi3 and 54% with ipi10.<sup>5</sup> Therefore, there is a need to better understand irAEs and their management strategies and their association with clinical outcomes.

North American Intergroup trial E1609 tested ipi3 and ipi10 as adjuvant therapy as compared with high-dose interferon- $\alpha$  (HDI) and demonstrated significant improvement in overall survival (OS) with ipi3 compared with HDI and less toxicity with ipi3 compared with ipi10.<sup>6</sup> This trial was the largest adjuvant trial reported to date in melanoma, with participation from 1673 patients including 1034 patients treated with ipilimumab.<sup>6</sup> This trial was unique in investigating varying dose levels with an ICI in the melanoma phase 3 adjuvant setting through an international consortium of sites across the USA and Canada. The study was conducted at a time when relatively little experience with immune checkpoint blockade was present among treating oncologists. Therefore, it provided for continuous and close monitoring of irAEs and offered unprecedented comprehensive educational and training efforts in the management of irAEs. In this report, we provide the outcome of our in-depth analysis of irAEs with ipi3 and ipi10 in E1609 and the use of immunosuppressants and hormone replacement therapy and the association with outcome.

## PATIENTS AND METHODS

### Patients

E1609 enrolled patients with melanoma of cutaneous or unknown primary origin that was histologically confirmed. Patients were required to have American Joint Committee on Cancer (AJCC) 7th edition stages IIIB, IIIC, M1a or M1b and were rendered disease free surgically within 12 weeks of randomization on the trial.<sup>6</sup> An Eastern Cooperative Oncology Group (ECOG) performance status (PS) of 0 or 1 and screening safety laboratory test criteria were mandated. No history of autoimmune disorders or conditions of immunosuppression that necessitated the use of systemic corticosteroids or other immunosuppressants was allowed.

### Trial design and treatments

E1609 was an open-label phase III trial that randomized patients to adjuvant therapy with either ipi3, HDI or ipi10. Patients were stratified by the AJCC 7th edition stage (IIIB, IIIC, M1a and M1b).<sup>6</sup> Details of the treatment regimens, randomization, clinical trial endpoint points and trial oversight were previously published.<sup>6</sup>

### Methods and statistical analysis

irAEs were defined as select adverse events (AEs) of interest that were considered to be consistent with ICIs.<sup>1</sup>

Descriptive statistics were used to evaluate the distributions of irAEs by type and grade, and the use of corticosteroids, immunosuppressants and hormone replacement therapy on the ipi arms. For each irAE type, the worst degree was assessed from each patient. Then the worst degree was categorized as grades 1–2 or grades 1–4. Individuals with no irAE of the type under study was considered as no irAEs. For multiple irAEs, the worst degree was assessed among the specified multiple irAE types. Patients with no irAEs of any of the multiple irAE were coded as no irAE. Patients with grade 5 AEs were not included.

The association between the use of corticosteroids and immunosuppressants and clinical outcome was tested. Distributions of OS and relapse-free survival (RFS) were estimated using the Kaplan-Meier method by irAE type/grade and compared using the log-rank test.<sup>7</sup> Since most irAEs were observed within 3 months of initiating ipilimumab (induction phase), a 3-month landmark adjustment was made for all analyses involving OS and RFS.<sup>8</sup> The landmark analysis provides a valid method of evaluating OS and RFS by irAE status by only including cases who were alive at a 3-month landmark time regardless of irAE status.

Cox proportional hazards regression models were developed to evaluate the prognostic significance of individual irAEs adjusting for established prognostic factors, including age, gender, ECOG PS, Breslow thickness of the primary tumor, Clark level, ulceration status, mitotic rate and AJCC stage group. The categorical variables used are presented in [table 1](#); continuous variables (age, size of primary melanoma lesion and Breslow depth) were dichotomized using the median value. Fisher's exact test was used to compare associations of categorical data.

Cox multivariate models were developed using the SAS stepwise selection process with a significance level of 0.1 for variable entry into the model and 0.1 to remain in the model. HRs were estimated and presented with 95% CIs. Two-sided p values were reported for all analyses. Multiplicity was not corrected as this was a secondary analysis of irAE data. All analyses were conducted using SAS software V.9.4.

## RESULTS

The characteristics of patients enrolled in E1609 and the treatment details as well as the incidence rate of irAEs were previously published.<sup>6</sup> Patient disposition is described in the consort diagram ([figure 1](#)). [Table 1](#) summarizes the baseline and disease characteristics of the 1034 patients randomized to the ipilimumab arms. The use of corticosteroids, immunosuppressants and hormone replacement therapy in order to manage irAEs is summarized by treatment arm and by irAE severity in [table 2](#). The HDI arm is included as a comparator. Non-corticosteroid immunosuppressants most commonly included infliximab, mycophenolate and cyclosporine. Hormones used to manage endocrinopathies included thyroid hormone, hydrocortisone and testosterone. There was significantly more use

**Table 1** Summary of patient baseline and disease characteristics for E1609 patients randomized to the ipilimumab arms

| Characteristic          | No. of patients (n=1034) | %             |
|-------------------------|--------------------------|---------------|
| 5-year OS rate, 95% CI  | –                        | 71 (68 to 74) |
| 5-year RFS rate, 95% CI | –                        | 48 (44 to 51) |
| Age, years              |                          | –             |
| Median                  | 54                       |               |
| Range                   | 18–80                    |               |
| Sex                     |                          |               |
| Male                    | 670                      | 64.8          |
| Female                  | 364                      | 35.2          |
| ECOG PS                 |                          |               |
| 0                       | 865                      | 83.6          |
| 1                       | 167                      | 16.2          |
| Missing                 | 2                        | 0.2           |
| Breslow depth, mm       |                          | –             |
| Median                  | 2.5                      |               |
| Range                   | 0–6.7                    |               |
| Clark level             |                          |               |
| I                       | 10                       | 1             |
| II                      | 30                       | 2.9           |
| III                     | 119                      | 11.5          |
| IV                      | 552                      | 53.4          |
| V                       | 105                      | 10.2          |
| Missing                 | 218                      | 21.1          |
| AJCC stage group        |                          |               |
| IIIB                    | 548                      | 53            |
| IIIC                    | 410                      | 39.7          |
| M1a                     | 56                       | 5.4           |
| M1b                     | 20                       | 1.9           |
| Ulceration              |                          |               |
| No                      | 405                      | 39.1          |
| Yes                     | 479                      | 46.3          |
| Missing                 | 150                      | 14.6          |
| Mitotic rate            |                          |               |
| 0                       | 41                       | 4.0           |
| 1                       | 114                      | 11.0          |
| 2 to 3                  | 146                      | 14.1          |
| 4+                      | 491                      | 47.5          |
| Missing                 | 242                      | 23.4          |
| Treatment arm           |                          |               |
| Ipilimumab 3 mg/kg      | 511                      | 49.4          |
| Ipilimumab 10 mg/kg     | 523                      | 50.6          |

ECOG PS, Eastern Cooperative Oncology Group performance status; OS, overall survival; RFS, relapse-free survival.

of corticosteroids, immunosuppressants and hormone replacement therapy on the ipi10 arm compared with the ipi3 arm (75.9% vs 57.4%;  $p$  value <0.001). Furthermore,

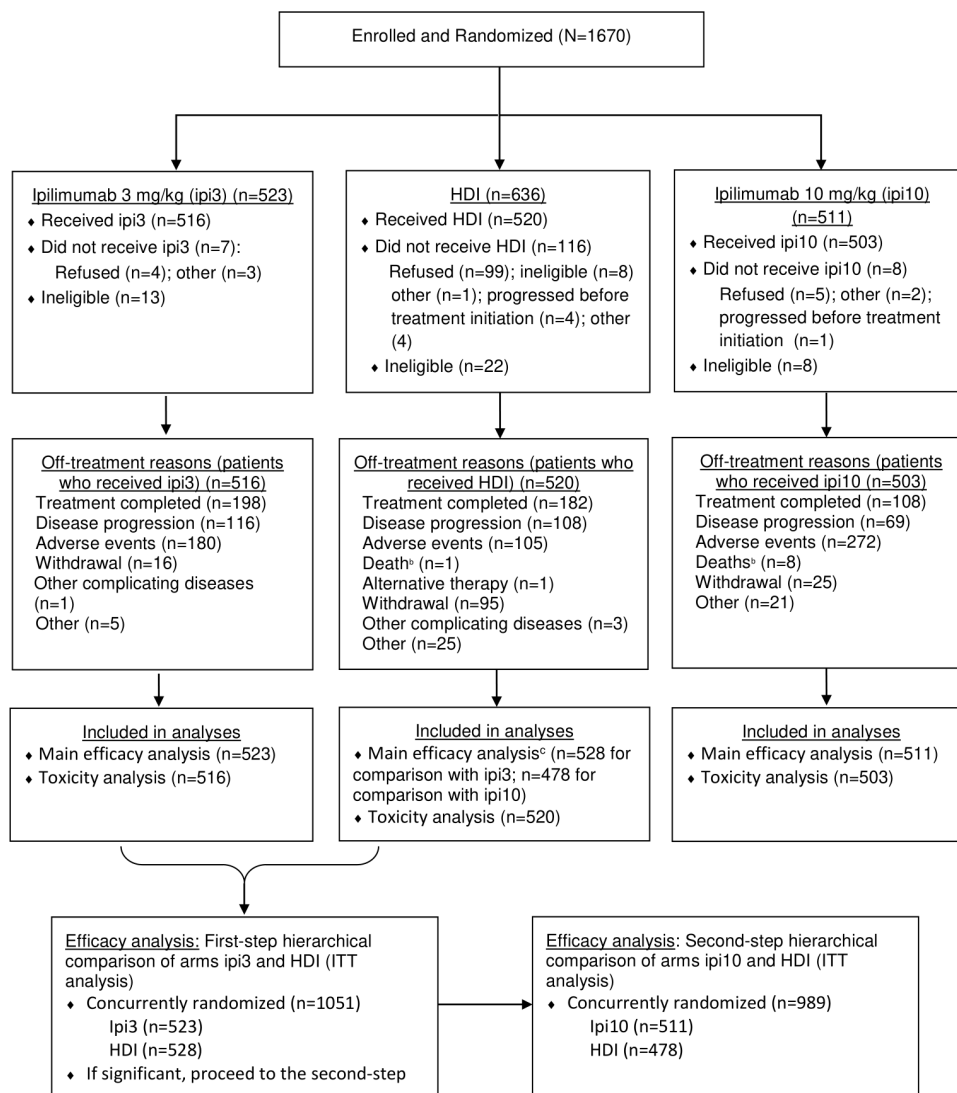
the use of ‘corticosteroids or immunosuppressants’ was significantly more frequent among patients experiencing grades 3–4 irAE (92%) compared with those experiencing grades 1–2 (60%) ( $p$ <0.001). However, when testing the association between any use of corticosteroids and immunosuppressants for any grade irAE and RFS or OS, no significant associations were found.

We assessed the association between non-corticosteroid immunosuppressant use and outcome (RFS and OS) among cases who experienced grades 1–4 irAEs in the ipi10 and ipi3 arms. Of 896 cases with grades 1–4 irAEs, 67 cases used immunosuppressants and 829 cases did not. The 5-year RFS rates were 40.2% (95% CI 27.7 to 52.5) for the cases who used immunosuppressants and 49.5 (95% CI 45.8 to 53.1) for the cases who did not. Similarly, the 5-year OS rates were 69.3% (95% CI 56.1 to 79.3) for the cases who used immunosuppressants and 72.3% (95% CI 68.9 to 75.3) for the cases who did not. Although there were trends toward better outcomes favoring cases who did not use immunosuppressants, no significant associations were found.

In investigating the association between irAEs and outcome, there was a significant association between grades 1–2 irAEs (vs no irAEs) and RFS as shown in [figure 2A](#). The 5-year RFS rates were 52% (95% CI 47% to 56%) versus 41% (95% CI 31% to 50%) with no irAE;  $p$ =0.006. In testing the association between grades 1–2 irAEs (vs no irAE) and OS, the 5-year OS rates were 75% (95% CI 71% to 79%) with irAEs compared with 67% (95% CI 56% to 75%) with no irAE;  $p$ =0.064 ([figure 2B](#)). Including severe irAEs in the analysis (grades 1–4), the association persisted. The 5-year RFS rates were 49% (95% CI 45% to 52%) with grades 1–4 irAEs compared with 41% (95% CI 31% to 50%) with no irAE, as shown in online supplemental figure S1A;  $p$ =0.010. The association was not significant for OS (online supplemental figure S1B). [Figure 3A](#) (RFS) and [3B](#) (OS) show the Kaplan-Meier plots for patients with grades 1–2 versus grades 3–4 versus no irAEs. Patients with grades 1–2 irAEs had the best prognosis in terms of RFS and OS and those with grades 3–4 had less RFS benefits and no OS advantage over no irAE.

We investigated the contributions of the individual toxicities to the associations observed with RFS and OS, testing those with grades 1–2 irAEs versus those with no irAE in a univariate analysis (log-rank test). Rash and endocrinopathy provided the most significant associations. These were followed by neurologic irAEs, then diarrhea/colitis. [Table 3](#) summarizes the 5-year RFS and OS rates by irAE type, testing grade 1–2 versus grade 0.

In developing a multivariate model, univariate analyses were first carried out using the incidence of worst grade irAE, testing grades 1–2 ( $n$ =525) or 1–4 ( $n$ =525+371) versus no irAE ( $n$ =115). The categorical prognostic variables were tested as defined in [table 1](#), and the continuous variables (age, Breslow depth, Clark level and mitotic rate) were dichotomized using the median value. We treated RFS and OS as outcomes separately



**Figure 1** E1609 Consolidated Standards of Reporting Trials diagram (adult patient population<sup>a</sup>). <sup>a</sup>E1609 included a pediatric component (ages 12–17 years) consisting of three separate cohorts randomized to the three treatment regimens and analyzed separately for safety per study protocol. Total pediatric accrual was three subjects; <sup>b</sup>these overlap with but are not limited to treatment-related grade 5 events previously reported; <sup>c</sup>concurrently randomized cases. HDI, high-dose interferon alpha-2b; Ipi3, ipilimumab 3 mg/kg; Ipi10, ipilimumab 10 mg/kg; ITT, Intent to Treat.

and combined the data from the two ipilimumab arms. Table 4 summarizes the univariate analysis outcomes. We selected any irAE grades 1–2, any irAE grades 1–4, rash, endocrine, endocrine plus rash and gastrointestinal (GI) as our main adverse events in this analysis because of the statistically significant associations with RFS and OS seen in the univariate analysis. Online supplemental table S5 summarizes the outcomes of the multivariate models for grades 1–2, grades 1–4, rash and endocrine plus rash that maintained significant associations after adjusting for other significant prognostic factors. We show our findings related to endocrine and GI irAEs below.

### Rash

We compared any rash irAE versus no rash irAE; 496 patients had a grades 1–2 rash irAE and 498 no rash irAE. In the multivariate Cox models, the occurrence of grades 1–2 rash was significantly associated with RFS

( $p=0.001$ , HR=0.71; 95% CI 0.57 to 0.87) while adjusting for age ( $p=0.013$ , HR=1.31), Breslow thickness ( $p=0.036$ , HR=1.29), Clark level ( $p<0.003$ , HR=1.31), PS ( $p=0.040$ , HR=1.31) and stage group ( $p<0.001$ , HR=1.36) (online supplemental table S5C). Similarly, grades 1–2 rash was significantly associated with OS ( $p=0.024$ , HR=0.72; 95% CI 0.55 to 0.96) while adjusting for age ( $p=0.025$ , HR=1.38) and Clark level ( $p=0.002$ , HR=1.40) (online supplemental table S5D). Similar observations were found in testing grades 1–4 rash (n=536) versus no rash irAE (n=498) as shown in online supplemental table S5E,F.

### Endocrine

We compared any endocrine irAE versus no endocrine irAE; 299 had grades 1–2 endocrine irAEs and 690 had no endocrine irAE. In the multivariate Cox models, the occurrence of grades 1–2 endocrine irAEs was not significantly associated with RFS ( $p=0.200$ , HR=0.86) after

**Table 2** Use of corticosteroids, immunosuppressants and hormone replacement therapy by E1609 treatment arm (A) and by irAE severity among patients treated with ipilimumab (Ipi3+Ipi10) (B)

| A  | Ipi3 (n=523)    | HDI (n=636)                 | Ipi10 (n=511)                |
|--|-----------------|-----------------------------|------------------------------|
| Corticosteroids, n (%)                       | 299 (57.2)      | 66 (10.4)                   | 387 (75.7)                   |
| Immunosuppressants*, n (%)                   | 28 (5.4)        | 4 (0.6)                     | 42 (8.2)                     |
| Hormones†, n (%)                             | 90 (17.2)       | 40 (6.3)                    | 147 (28.8)                   |
| Corticosteroids or immunosuppressants, n (%) | 300 (57.4)      | 68 (10.7)                   | 388 (75.9)                   |
| B  | No irAE (n=115) | irAE grades 1 and 2 (n=525) | irAE grades 3, and 4 (n=371) |
| Corticosteroids, n (%)                       | 18 (15.7)       | 314 (59.8)                  | 341 (91.9)                   |
| Immunosuppressants*, n (%)                   | 2 (1.7)         | 10 (1.9)                    | 57 (15.4)                    |
| Hormones†, n (%)                             | 4 (3.5)         | 113 (21.5)                  | 119 (32.1)                   |
| Corticosteroids or immunosuppressants, n (%) | 18 (15.7)       | 315 (60.0)                  | 342 (92.2)                   |

\*Infliximab, mycophenolate, cyclosporine and other.

†Thyroid hormone, hydrocortisone and testosterone.

HDI, high-dose interferon; Ipi3, ipilimumab 3 mg/kg; Ipi10, ipilimumab 10 mg/kg; irAE, immune-related adverse event.

adjusting for age ( $p=0.012$ ,  $HR=1.26$ ), Breslow thickness ( $p=0.001$ ,  $HR=1.34$ ), Clark level ( $p<0.001$ ,  $HR=1.36$ ) and stage group ( $p<0.001$ ,  $HR=1.29$ ) or OS ( $p=0.400$ ,  $HR=0.87$ ) after adjusting for age ( $p=0.019$ ,  $HR=1.33$ ), Breslow thickness ( $p=0.002$ ,  $HR=1.45$ ) and Clark level ( $p<0.001$ ,  $HR=1.43$ ). Similar observations were found in testing grades 1–4 endocrine irAEs ( $n=344$ ) versus no endocrine irAE ( $n=690$ ).

#### Endocrine plus rash

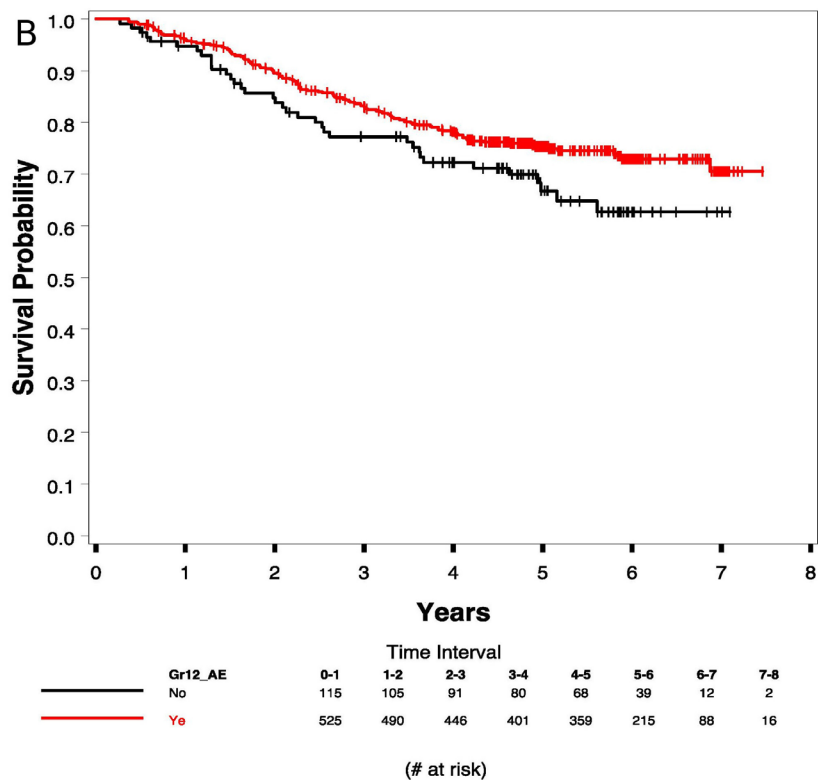
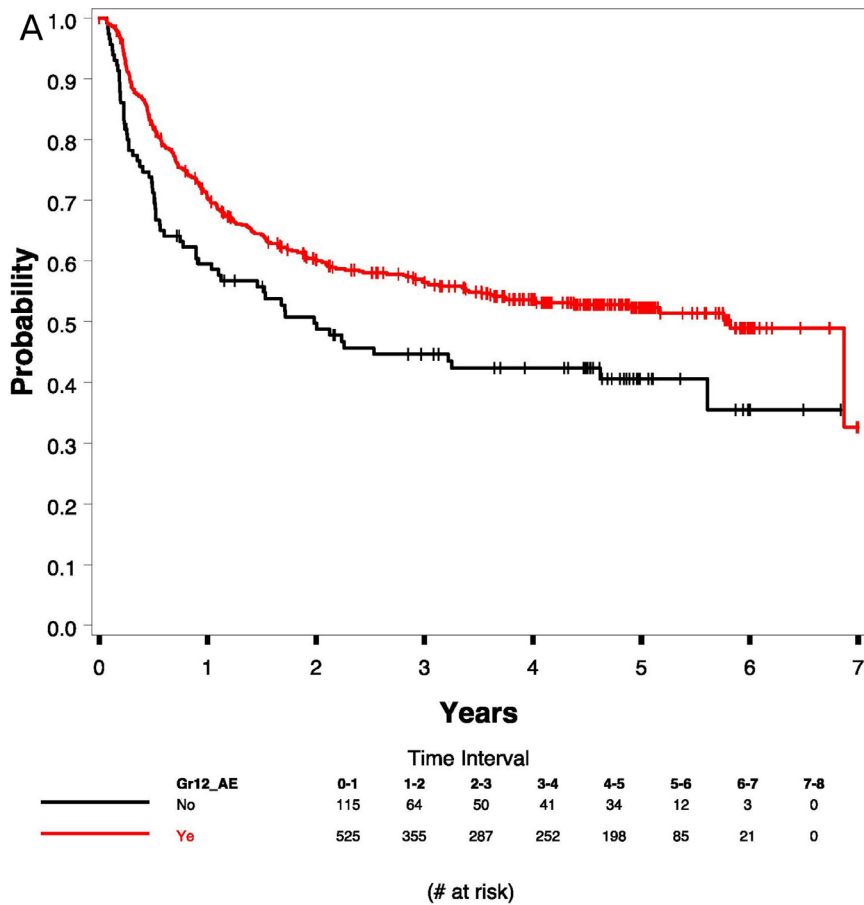
We compared endocrine+rash AE versus no endocrine or rash irAE; 759 patients had either an endocrine or rash irAE or both and 176 did not. In the multivariate Cox models, the occurrence of grades 1–2 endocrine+rash irAEs was significantly associated with RFS ( $p<0.001$ ,  $HR=0.66$ ) after adjusting for age ( $p=0.006$ ,  $HR=1.29$ ), stage group ( $p<0.001$ ,  $HR=1.32$ ), Breslow thickness ( $p=0.001$ ,  $HR=1.37$ ), Clark level ( $p<0.001$ ,  $HR=1.35$ ) and mitotic rate ( $p=0.05$ ,  $HR=1.05$ ) and OS ( $p=0.008$ ,  $HR=0.7$ ) after adjusting for age ( $p=0.009$ ,  $HR=1.39$ ), Breslow thickness ( $p=0.003$ ,  $HR=1.45$ ) and Clark level ( $p=0.009$ ,  $HR=1.41$ ) as shown in online supplemental table S5G,H. Similar observations were found in testing grades 1–4 endocrine ( $n=858$ )+rash irAEs versus no endocrine or rash irAE ( $n=176$ ) as shown in online supplemental table S5I,J.

#### Gastrointestinal

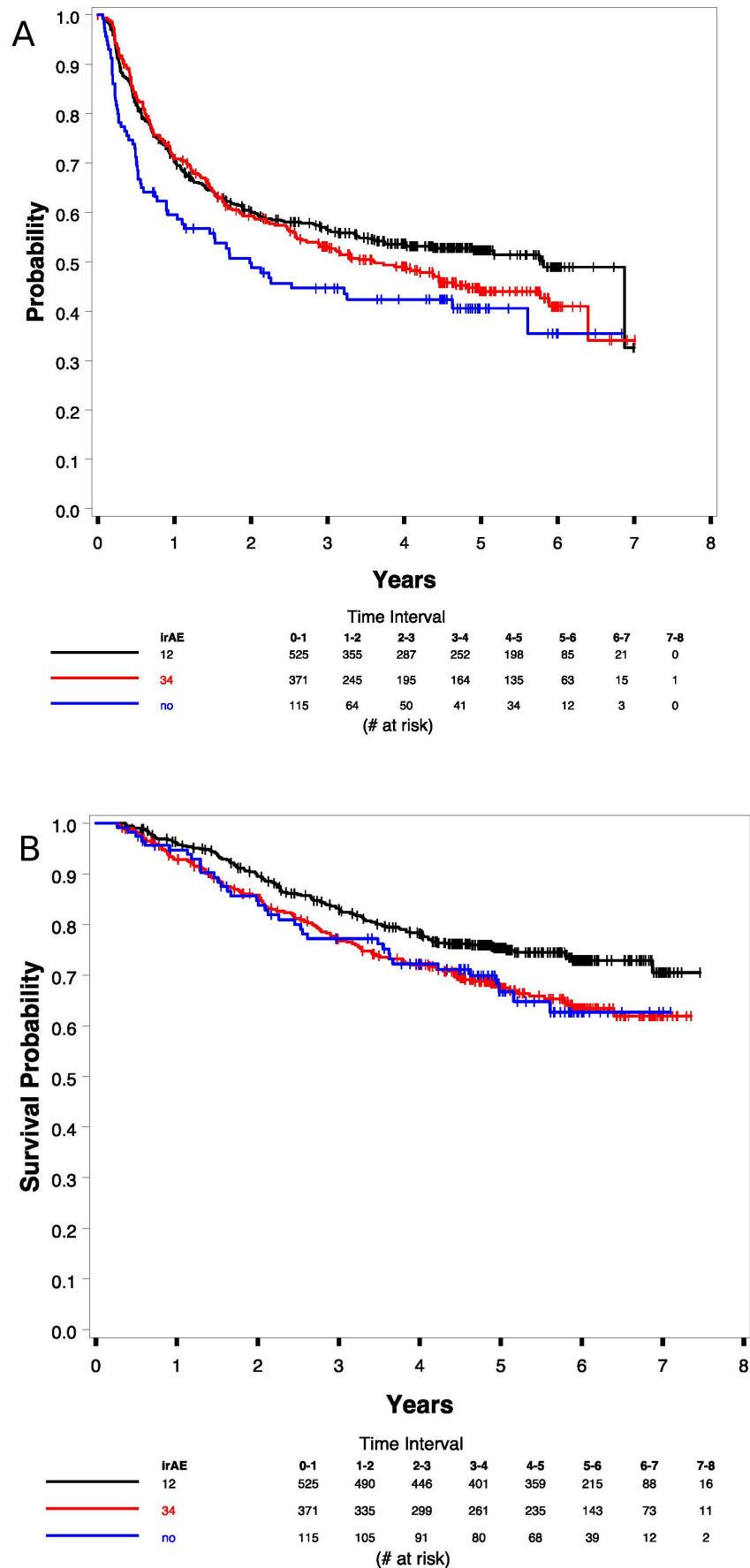
We compared any GI irAE versus no GI irAE; 449 had a grade 1–2 GI irAE versus no GI irAE ( $n=507$ ). In the multivariate Cox models, the occurrence of grades 1–2 GI irAEs was not significantly associated with RFS ( $p=0.3$ ,  $HR=0.9$ ) after adjusting for age ( $p=0.006$ ,  $HR=1.29$ ), stage group ( $p<0.001$ ,  $HR=1.28$ ), Breslow thickness ( $p<0.001$ ,  $HR=1.40$ ), Clark level ( $p<0.001$ ,  $HR=1.34$ ) and mitotic rate ( $p=0.02$ ,  $HR=1.06$ ) or OS ( $p=0.48$ ,  $HR=0.9$ ) after adjusting for age ( $p=0.009$ ,  $HR=1.38$ ), Breslow thickness ( $p<0.001$ ,  $HR=1.56$ ) and Clark level ( $p<0.001$ ,  $HR=1.45$ ). Similar observations were found in testing grades 1–4 GI irAEs ( $n=527$ ) versus no GI irAE ( $n=507$ ).

## DISCUSSION

CTLA4 blockade with ipilimumab as tested in E1609 for adjuvant therapy of high-risk melanoma was associated with significant irAEs that appear to be related to the underlying immune mechanism of action of this anti-CTLA4 antibody. As immune checkpoints play a pivotal role in regulating tolerance to self-antigens, blockade can result in autoimmune damage of various organ systems, leading to irAEs. There was a significant association between high-grade irAEs (3–4) and the use of corticosteroids and immunosuppressants. Among patients with grades 3–4 diarrhea/colitis, 37.2% required additional use of immunosuppressants such as infliximab. Therefore, we decided to investigate the differential impact of varying irAE grades on patient outcome. In testing the association between irAEs and clinical outcome, we first evaluated the lower grade AEs, grades 1–2 and whether significant associations were seen in relation to RFS and OS. We later added the severe adverse events to the analysis (grades 1–4) and noted that the associations persisted, although the survival benefits appeared to erode. As presented in figure 3A,B, patients with grades 1–2 irAEs had the best prognosis in terms of RFS and OS and those with grades 3–4 had less RFS benefit and no OS advantage over no irAE. These observations raise questions about the potential negative impact on clinical outcome of high-dose corticosteroids and other immunosuppressants that were primarily initiated during the ipilimumab induction phase in response to grades 3–4 toxicities as required by the study protocol and toxicity management guidelines. This is compared with primarily symptomatic management of grade 1 irAEs and the use of low to moderate dose corticosteroids to manage grade 2 irAEs. Other reports have suggested an adverse effect of high dose corticosteroid exposure (primarily at baseline) on survival in patients with melanoma and other malignancies treated with ICIs.<sup>9–11</sup> These data warrant further investigation and support a review and potential revisions



**Figure 2** Kaplan-Meier plots of relapse-free survival (RFS) (A: 5-year RFS 52% (95% CI 47% to 56%) versus 41% (95% CI 31% to 50%);  $p=0.006$ ) and overall survival (OS) (B: 5-year OS 75% (95% CI 71% to 79%) vs 67% (95% CI 56% to 75%);  $p=0.064$ ) with grade 1–2 irAEs versus no irAE, respectively. irAE, immune-related adverse event.



**Figure 3** Kaplan-Meier plots of relapse-free survival (A) and overall survival (B) with grades 1–2 versus grades 3–4 versus no irAE. irAE, immune-related adverse event.

**Table 3** Five-year RFS and OS rates for patients with individual irAEs and a highest CTCAE grades of 1–2 versus grade 0

|                    | 5-year RFS, % (95% CI) |               |          | 5-year OS, % (95% CI) |               |          |
|--------------------|------------------------|---------------|----------|-----------------------|---------------|----------|
|                    | Grades 1–2             | No AE         | P value* | Grades 1–2            | No AE         | P value* |
| Rash               | 52 (47 to 57)          | 45 (40 to 50) | 0.002    | 75 (71 to 79)         | 68 (65 to 72) | 0.004    |
| Endocrine          | 54 (47 to 60)          | 47 (43 to 50) | 0.002    | 79 (73 to 84)         | 70 (66 to 74) | 0.013    |
| Endocrine and rash | 54 (49 to 53)          | 41 (36 to 47) | <0.001   | 77 (73 to 80)         | 68 (62 to 73) | <0.001   |
| Diarrhea/colitis   | 51 (46 to 57)          | 48 (45 to 51) | 0.094    | 72 (68 to 77)         | 71 (66 to 75) | 0.343    |

\*Based on the log-rank test.

CTCAE, Common Terminology for Adverse Events

; irAE, immune-related adverse event; OS, overall survival; RFS, relapse-free survival.

of current irAE management guidelines. One could also envision the investigation of adaptive strategies that may judiciously minimize the use of corticosteroids and other immunosuppressants by dose and duration.

In investigating the contributions of the individual toxicities, rash followed by endocrinopathy provided the most significant associations. Similar but weaker associations were seen for neurologic irAEs followed by diarrhea/colitis. The associations persisted through multivariate modeling analyses adjusted for established prognostic factors in this prospectively conducted randomized controlled trial. While one retrospective analysis of metastatic melanoma patients treated with ipilimumab showed no association between irAEs and clinical outcome,<sup>12</sup> another retrospective study of 139 patients with metastatic melanoma who received ipilimumab with or without a peptide vaccine reported that the development

of irAEs was significantly associated with the likelihood of response.<sup>13</sup> In terms of PD1 blockade, a pooled analysis of patients with metastatic melanoma treated with nivolumab reported significantly higher response rates among patients developing irAEs. Separately, the development of cutaneous adverse events was associated with significantly longer progression-free survival in patients treated with pembrolizumab.<sup>14</sup> In the melanoma adjuvant setting, an association between irAEs and improved RFS was reported among stage III patients treated with pembrolizumab.<sup>15</sup> We also had previously reported an association between the development of autoimmunity and improved outcome for patients treated tremelimumab and interferon- $\alpha$ .<sup>16</sup> Separate from ICIs, we and others reported that the development of autoimmunity is a favorable prognostic factor following cytokine therapy with interferon- $\alpha$  and interleukin-2.<sup>17,18</sup>

Predictors of irAE risk and understanding the underlying mechanisms are a major gap and need to be thoroughly investigated. Important clues to understanding irAEs come from autoimmune diseases. Many irAEs have substantial similarities to known autoimmune disorders. For example, colitis appears histologically similar to inflammatory bowel disease (IBD), characterized by a lymphocytic and neutrophilic infiltration,<sup>19,20</sup> and has a similar gene expression signature in the infiltrate of immunotherapy-related colitis and Crohn's disease.<sup>21</sup> Similar to IBD, immune-related colitis responds to steroids and Interferon Alfa (TNF- $\alpha$ ) blockade.<sup>1</sup> Genetic variation at the human leukocyte antigen (HLA) locus is associated with a substantially increased risk of the development of autoimmune disorders. Type 1 diabetes is strongly associated with particular HLA haplotypes with ORs up to 10 for some of the haplotypes.<sup>22</sup> Large effects also have been noted for autoimmune hepatitis (threefold),<sup>23</sup> inflammatory bowel diseases (3.7-fold)<sup>24</sup> and thyroiditis (fourfold),<sup>25</sup> which are similar in nature to those observed here for ICI irAEs. Particularly intriguing, genomic variation at the CTLA4 locus is associated with type 1 diabetes, rheumatoid arthritis, Graves' disease, alopecia areata and celiac disease.<sup>26</sup> Furthermore, variants near the PD-1 locus have been associated in genome-wide association studies for Crohn's disease.<sup>27</sup> These data support the hypothesis that inherited variations contribute to irAE susceptibility, which may have substantial overlap with the susceptibility

**Table 4** Cox univariate analysis outcomes by toxicity type for irAE CTCAE grades 1–2 or grade 1–4 versus grade 0

| Toxicity type            | HR (95% CI)                     |                                 |
|--------------------------|---------------------------------|---------------------------------|
|                          | RFS                             | OS                              |
| Any irAE grades 1–2      | 0.60 (0.52 to 0.90),<br>p=0.006 | 0.71 (0.49 to 1.02),<br>p=0.065 |
| Any irAE grades 1–4      | 0.71 (0.55 to 0.93),<br>p=0.011 | 0.82 (0.58 to 1.17),<br>p=0.270 |
| Rash grades 1–2          | 0.75 (0.62 to 0.90),<br>p=0.002 | 0.70 (0.55 to 0.89),<br>p=0.004 |
| Rash grades 1–4          | 0.77 (0.65 to 0.92),<br>p=0.004 | 0.74 (0.59 to 0.94),<br>p=0.012 |
| Endo grades 1–2          | 0.75 (0.61 to 0.92),<br>p=0.005 | 0.76 (0.58 to 0.99),<br>p=0.045 |
| Endo grades 1–4          | 0.79 (0.65 to 0.97),<br>p=0.015 | 0.84 (0.65 to 1.08),<br>p=0.168 |
| Endo and rash grades 1–2 | 0.65 (0.53 to 0.78),<br>p<0.001 | 0.63 (0.48 to 0.81),<br>p<0.001 |
| Endo and rash grades 1–4 | 0.73 (0.57 to 0.92),<br>p=0.009 | 0.73 (0.57 to 0.92),<br>p<0.001 |
| GI grades 1–2            | 0.87 (0.72 to 1.05),<br>p=0.137 | 0.88 (0.69 to 1.13),<br>p=0.305 |
| GI grades 1–4            | 0.88 (0.74 to 1.06),<br>p=0.171 | 0.94 (0.74 to 1.18),<br>p=0.590 |

irAE, immune-related adverse event; OS, overall survival; RFS, relapse-free survival.



to autoimmune diseases apart from ICI therapy. They support testing the association of inherited genetic variation and irAEs in patients treated with ICIs. Furthermore, they support investigating the association of inherited genetic variants related to autoimmunity and irAEs with OS and RFS. Our group and others have ongoing efforts in this setting.

While the exact pathophysiology of immune toxicity with ICIs is not clear, many host inflammatory and immunoregulatory cells appear to be involved.<sup>19, 21</sup> Biopsies of the GI tract from patients with colitis following treatment with ipilimumab showed neutrophilic and lymphocytic inflammation with evidence of increased CD8+ T cell infiltration within the crypt epithelium and increased CD4+ T cells in the lamina propria.<sup>19</sup> We had reported a role for Th17 cells and IL17 in relation to the development of immune-mediated colitis in patients treated with ipilimumab.<sup>28</sup> In a randomized trial by ECOG-ACRIN testing ipilimumab with or without GM-CSF, there were significantly fewer colitis and pneumonitis irAEs observed with the addition of GM-CSF, suggesting a role for GM-CSF in maintaining GI and pulmonary immunoregulatory homeostasis.<sup>29</sup> The ongoing ECOG-ACRIN EA6141 trial is currently testing the role of GM-CSF in combination with ipilimumab and nivolumab.

## CONCLUSIONS

Adjuvant therapy with ipilimumab is associated with a risk for significant irAEs that appears to be related to the immune mechanism of action of this agent. Patients experiencing lower grade irAEs (grades 1–2) derive the most benefit, but we found no significant evidence supporting a negative impact of high-dose corticosteroids and immunosuppressants used to manage grades 3–4 irAEs. Predictors of the risk for irAEs and a fundamental understanding the underlying mechanisms of these irAEs remain major gaps and are currently being actively investigated.

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