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Comparison of the Relation of Carotid Intima-Media Thickness with Incident Heart Failure with Reduced Versus Preserved Ejection Fraction (From the Multi-Ethnic Study of Atherosclerosis [MESA])

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Abstract

Increased carotid intima-media thickness (cIMT) is associated with heart failure (HF) in previous studies, but it is not known whether the association of cIMT differs between HF with reduced (HF_rEF) versus preserved ejection fraction (HF_pEF). We studied 6699 participants (mean age 62 ± 10 years, 47% male, and 38% white) from the Multi-Ethnic Study of Atherosclerosis (MESA) with baseline cIMT measurements. We classified HF events as HF_rEF (EF <50%) or HF_pEF (EF ≥50%) at the time of diagnosis. Cox proportional hazard regression was used to compute hazard ratios (HR), and 95% confidence intervals (CI) for the association between the IMT Z-score (measured maximum IMT of Internal Carotid (IC) and Common Carotid (CC) sites as the mean of the maximum IMT of the near and far walls of right and left sides), and incident HF_rEF or HF_pEF.

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Disclosures:

None

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Models were adjusted for covariates and interim coronary artery disease (CAD) events. A total of 191 HFrEF and 167 HFpEF events occurred during follow-up. In multivariable analysis, each 1 standard deviation increase in the measured maximum IMT (Z-score) was associated with both HFrEF and HFpEF in the unadjusted and demographically adjusted models [HR, 95% CI 1.57 (1.43-1.73)] and [HR, 95% CI 1.61 (1.47-1.77)] but not in the fully adjusted models [HR, 95% CI 1.11 (0.96-1.28)] and [HR, 95% CI 1.13 (0.98-1.30)]. In conclusion, cIMT was significantly associated with incident HF, but the association is partially attenuated with adjustment for demographic factors and becomes non-significant after adjustment for other traditional heart failure risk factors and interim CAD events. There was no difference in the association of IMT measures with HFrEF versus HFpEF.

Keywords

Carotid intima-media thickness; heart failure; coronary artery disease

Heart failure (HF) related mortality, morbidity, health care costs, and poor quality of life are major public health problems in the United States as the prevalence and incidence of HF continue to rise.¹⁻⁴ The prevalence and the rates of adverse clinical outcomes for both HF with reduced ejection fraction (HFrEF) and HF with preserved ejection fraction (HFpEF) are generally similar.⁵⁻⁷ Most studies of cIMT have focused on its relationship with coronary artery disease (CAD).^{8,9} However, cIMT has been shown to be associated with incident HF¹⁰. cIMT may be associated with risk of HFrEF due to shared atherosclerotic pathways.^{11,12} On the other hand, cIMT may be associated with HFpEF through mechanisms other than myocardial ischemia or infarct¹³. For instance, an increase in cIMT is associated with a decrease in arterial distensibility, which in turn leads to increased pressure afterload, pressure wave propagation, and diastolic dysfunction.^{14,15} Therefore, utilizing data from MESA we studied the association between cIMT and HF both overall and stratified by HFrEF versus HFpEF. We also studied the relationship between ICA versus CCA IMT with HFrEF and HFpEF (Figure 1).

Methods

MESA is a multi-ethnic, multicenter, prospective observational cohort¹⁶ of 6,814 men and women aged 45 to 84 years without clinical CVD at baseline (participation rate was 60% among those eligible), who were recruited between July 2000 and August 2002 from 6 US communities (Forsyth county, NC, Baltimore, MD, Chicago, IL, Los Angeles County, CA, northern Manhattan, NY; and St. Paul, MN). All participants provided written informed consent and the study was approved by the institutional review boards at all field centers. For this analysis, participants (N=88) were excluded if they were missing baseline cIMT data.

Participant's characteristics were collected during the initial MESA visit. Age, sex, race/ethnicity, and education were self-reported. Education was categorized as high school or less or some college or more. Smoking was defined as ever (current or former) versus never smoker. Blood samples were obtained after a 12-hour fast, and measurements of total cholesterol, high-density lipoprotein cholesterol, and plasma glucose were used. Diabetes

mellitus was defined as fasting glucose values ≥ 126 mg/dl or a history of diabetes medication use. Blood pressure was measured for each participant after 5 minutes in the seated position, and systolic measurements were recorded 3 separate times, and the mean of the last 2 values was used. The use of aspirin, statins, and antihypertensive medications was collected by medication inventory. Body mass index was computed as the weight in kilograms divided by the square of height in meters. Resting heart rate was obtained from baseline ECGs.

The participants were imaged supine with their head rotated 45 degrees away from the side being imaged, and the images were recorded on superVHS videotape. The CCA was imaged at 45 degrees from the vertical with the beginning of the bulb shown to the left of the image. The ICA was imaged in three projections centered on the ICA flow divider: anterior, lateral (at 45 degrees), and posterior.¹⁷ A matrix array probe (M12L, General Electric, Waukesha, WI) was used.¹⁸ cIMT was measured on near and far walls of the common carotid (1 projection) and the ICA (3 projections) using hand-drawn continuous tracings of the intima-lumen and media-adventitia interfaces that were then processed using a previously described algorithm.¹⁹ The average of the mean far wall CC IMT and the maximum of the near and far wall IC IMT values seen on either side or projection were used for these analyses and it was consistent with prior studies.^{17,20}

In addition, we created a composite Z score for overall maximal IMT by summing the maximum IMT from the two carotid IMT sites (right and left if both were measured) after standardization (subtraction of the mean and division by standard deviation of each measure), and then dividing by the standard deviation of the sum. If only one of the two measures were available, it was used. The resulting variable is hereafter referred to as Z score maximum IMT.²¹

The ascertainment of incident HF events in MESA has been described previously.²² Participants were contacted by telephone every 9 to 12 months or at MESA follow up examinations and data obtained for interim hospitalizations, outpatient diagnoses and deaths from baseline through December 31, 2013. Two physicians reviewed each record for independent endpoint classification and assignment of event dates. Incident HF was defined as including symptoms of HF, a physician diagnosis of HF, and another objective feature of HF (dilated or poor LV function, pulmonary edema by chest radiograph, heart failure treatment, or evidence of diastolic dysfunction). HF events were identified per the MESA events committee and they provide information on EF. HFpEF events were defined as cases with ejection fraction $\geq 50\%$ per ACC/AHA guidelines, which classify patients with a LVEF of $\geq 50\%$ as having a preserved EF.²³ Comprehensive statistics were performed to characterize the data, and baseline characteristics were compared by HF status. Categorical variables were reported as frequency and percentage, whereas continuous variables were recorded as mean \pm SD. Statistical significance for categorical variables was tested using χ^2 method and the ANOVA procedure for continuous variables.

Follow-up time was defined as the time between the baseline cIMT measurement until a diagnosis of HF, death, loss to follow-up, or end of study follow-up (December 31, 2013). Cox regression was used to compute hazard ratios (HRs) and 95% confidence intervals (CI)

for the association of each CCA IMT and ICA IMT measurement with HF. *P* values for the HRs were computed using the likelihood ratio method. Separate analyses were conducted for HFrEF and HFpEF outcomes. In another set of analyses, Cox regression was used to compute HRs and 95% CI for the association between Z-score for maximal IMT with HF total, HFrEF and HFpEF (Figure 2), in which Z-score for maximal IMT (measured maximum IMT of the ICA and CCA sites) of the near and far walls of the right and left sides. A sequence of nested multivariable models were constructed as follows: model 1 adjusted for age, sex and race/ethnicity; model 2 adjusted for model 1 covariates plus body mass index (BMI), diabetes mellitus (DM), systolic blood pressure, left ventricular hypertrophy and heart rate; model 3 adjusted for model 1 and model 2 covariates in addition to interim CAD events. The Fine-Gray model was used to account for competing risk of developing HFrEF and HFpEF. This method allowed us to model time to first HF with either HFrEF or HFpEF as the main event of interest and the alternative as the competing risk. This method allowed mutual exclusivity of the classification of HF event types.²⁴ Statistical significance was defined as $p < 0.05$ SAS version 9.4 (Cary, NC, United States) was used for all analyses.

Results

A total of 6699 participants (mean age 62 ± 10 years, 47% male, 38% whites, 20% blacks, 24% Hispanics, 14% Chinese American) were included in the final analysis. Over a median follow-up of 12.1 years, a total of 385 HF cases (incidence rate per 1000 person-years: 4.16) were identified. Of these, 191 (50%) were HFrEF and 167 (43%) were HFpEF. Baseline characteristics stratified by the development of HF are shown in Table 1. As shown, participants who did not develop HF were more likely to be younger, to be female, to have higher educational attainment, and to have fewer cardiovascular risk factors than those who developed HFrEF or HFpEF. Participants with incident HFpEF were more likely to be older, to be female, to report smoking, and to have higher systolic blood pressure and resting heart rate than participants with incident HFrEF.

In multivariable analysis, we computed hazard ratios (HR), and 95% confidence intervals (CI) for the association between the ICA IMT and incident HF, HFrEF and HFpEF. Models were adjusted for covariates and interim CAD. In the unadjusted model, ICA IMT was significantly associated with total HF ($HR = 1.90$, 95% *CI*: 1.69 to 2.14), HFrEF ($HR = 1.84$, 95% *CI*: 1.58 to 2.14), and HFpEF ($HR = 1.93$, 95% *CI*: 1.66 to 2.25) $p < 0.001$. The strength of this association was partially attenuated with adjustment for demographic factors, total HF, HFrEF and HFpEF (Table 2, figure 3). Furthermore, after adjustment for other traditional risk factors and interim CAD events, there were no significant associations between ICA IMT and HFrEF or HFpEF (Table 2, Figure 3 a,b). Moreover, there was a nominal positive association between ICA IMT and total HF (Figure 3c). Finally, after controlling for diabetes in non-diabetic there was a statistically significant association between ICA IMT and total HF, HFrEF and HFpEF (Figure 4).

In a similar manner we computed HR, and 95% CI for the association between the CCA IMT and incident HF, HFrEF and HFpEF. In the unadjusted model, (1 SD, 0.19 mm increase in IMT) was significantly associated with total HF ($HR = 1.49$, 95% *CI*: 1.38 to 1.60), HFrEF

($HR= 1.47$, 95% CI : 1.34 to 1.61), and HFpEF ($HR= 1.47$, 95% CI : 1.35 to 1.60) $p<0.001$. The strength of association between CCA IMT with incident HF and HFpEF was partially attenuated with adjustment for demographic factors, total HF and HFpEF (Table 2, figure 3). Furthermore, after adjustment for other traditional risk factors and interim CAD events, there were no significant associations between CCA IMT and total HF, HFpEF or HFpEF (Table 2, figure 3).

Cox proportional hazard regression was used to compute HR, and 95% CI for the association between the Z-score IMT (measured maximum IMT of ICA and CCA sites as the mean of the maximum IMT of the near and far walls of right and left sides), and incident HF, HFpEF and HFpEF, models were adjusted for covariates and interim CAD events (Table 3). In the unadjusted model (Figure 2), Z-score IMT (1 SD, 0.18 mm increase in IMT) was significantly associated with total HF ($HR= 1.60$, 95% CI : 1.49 to 1.73), HFpEF ($HR= 1.57$, 95% CI : 1.43 to 1.73), and HFpEF ($HR= 1.61$, 95% CI : 1.47 to 1.77) $p<0.001$ (Table 3). The strength of association between Z-score IMT with incident HF and its phenotypes is partially attenuated with adjustment for demographic factors, total HF, HFpEF and HFpEF (Table 3). Furthermore, after adjustment for other traditional risk factors and interim CAD events, there were no significant associations between Z-score IMT and HFpEF or HFpEF (Table 3, Figure 3 a,b). Moreover, there was a nominal positive association between Z-score IMT and total HF (Figure 3c). Finally, after controlling for diabetes in non-diabetics there was no significant association between Z-score IMT and total HF including HFpEF and HFpEF (Figure 4).

Discussion

The objective of this study was to investigate the association of carotid IMT with incident HF and HF phenotypes. There were significant unadjusted associations between carotid IMT combined measurement and incident HFpEF, HFpEF and HF total, but these were partially attenuated with adjustment for demographic factors and became non-significant after adjustment for other traditional HF risk factors and interim CAD events. Similarly, neither ICA nor CCA IMT was significantly associated with HFpEF and HFpEF after adjustment for traditional HF risk factors.

Carotid IMT is a well validated measure of pre-clinical atherosclerosis.^{25,26} In this community based study, we found mean carotid IMT to be 0.87 ± 0.19 mm. Relatively similar mean far wall estimates have been reported in other populations of similar age groups; in the Carotid Atherosclerosis Progression Study²⁷ and Malmo Diet and Cancer Study,⁸ mean far wall IMT was 0.73 ± 0.16 mm and 0.77 ± 0.15 mm, respectively. The present study has shown that carotid IMT is not independently associated with incident HF, with IMT modeled as combined measured (Z-score) variable and by carotid location subtype (CCA IMT, ICA IMT) variables, after taking into account potential confounding by age, gender, race, BMI, diabetes mellitus, systolic blood pressure, left ventricular hypertrophy, heart rate and interim CAD events. Our results differ somewhat from those described by Engstrom et al,²⁸ who reported a significant association of increased IMT and HF hospitalizations in a sample of 4691 subjects with 75 cases of HF. We show no association after adjustment for prevalent and incident cases of CAD, which is a major cause of HFpEF.¹⁰ Findings from a recent study

from the Atherosclerosis Risk in Communities Study (ARIC)²⁹ that evaluated the association between cIMT and incident HF showed that cIMT was a weaker predictor of incident HF among individuals with normal fasting glucose than those with impaired fasting glucose or type 2 DM. (HR per SD increase in cIMT for DM 1.12, 95% CI: 1.05 to 1.21; compared with HR for normal fasting glucose 1.27, 95% CI: 1.20 to 1.34 and for impaired fasting glucose 1.18 (1.11 to 1.25)) $p=0.015$. However, in our study the fully adjusted models show no associations between carotid IMT combined measurement and incident HFrEF, HFpEF and HF total.

Finally, in a Sweden cohort consisting of 4692 subjects the authors examined the association between cIMT and systemic inflammation marker level such as high sensitivity C-reactive protein with incidence of heart failure hospitalization.²⁸ The outcome of their study was hospitalization with a primary diagnosis of acute decompensated total HF with already altered inflammatory biomarkers. Thus, the results of their study support our study findings and could not prove any independent relationship between cIMT and HF.

One potential mechanism linking cIMT and incident heart failure is suggested by studies showing an association between increasing CC IMT with reduced myocardial flow reserve in adults with and without³⁰⁻³² CAD. Some prospective and cross-sectional studies report an association between increasing carotid IMT and regional LV myocardial systolic and diastolic dysfunction,^{33,34} as a predictor of HF,³⁵ but none of these studies were able to establish a relationship between carotid IMT and HF. Furthermore, aging and hypertension play a major role in carotid artery thickness, dilatation and remodeling.³⁶ Enlargement and thickening of carotid arteries with aging are generally attributed to fracture of the load-bearing elastin fibers in response to the fatiguing effect of tensile stress.^{33,37,38} Indeed no study addressed a relationship through aforementioned pathophysiology. At the same time most prior studies they utilized different imaging protocols^{10,29,39} may be difficult to interpret in a comparison to our approach with IMT modeled as combined measured (Z-score).

By 2030, the prevalence of HF is projected to increase by 23%, with medical costs increasing to nearly \$53 billion.⁴⁰ Accordingly, the identification of at-risk individuals by a low-cost, noninvasive, reproducible and safe measure is important. Although cIMT is associated with incident HF, our study shows this is not independent of other established cardiovascular risk factors. To the best of our knowledge, our study is the first to investigate the association of carotid IMT (including IC and CC IMT) with both incident HFrEF and HFpEF in a large diverse cohort of people who were followed for more than a decade. There are nonetheless a few limitations to this study that should be mentioned. First, although rigorous methods were used to account for all HF cases, some events may have been missed. Second, we did not adjust our analyses for novel biomarkers that could potentially influence the relationship between carotid IMT and HF, such as N-terminal pro-brain natriuretic peptide (NT-proBNP) and high-sensitivity C-reactive protein. However, in a prior published study, the association of carotid IMT with HF remained unaffected by the presence of these markers.^{24,28} This study demonstrated that increasing IMT is not associated with incident HF (whether HFrEF or HFpEF) independent of traditional cardiovascular risk factors and CAD. Further research may be needed to determine whether other imaging or other tests are

able to identify individuals in whom targeted preventive therapies are warranted to reduce the current and future burden of HF.

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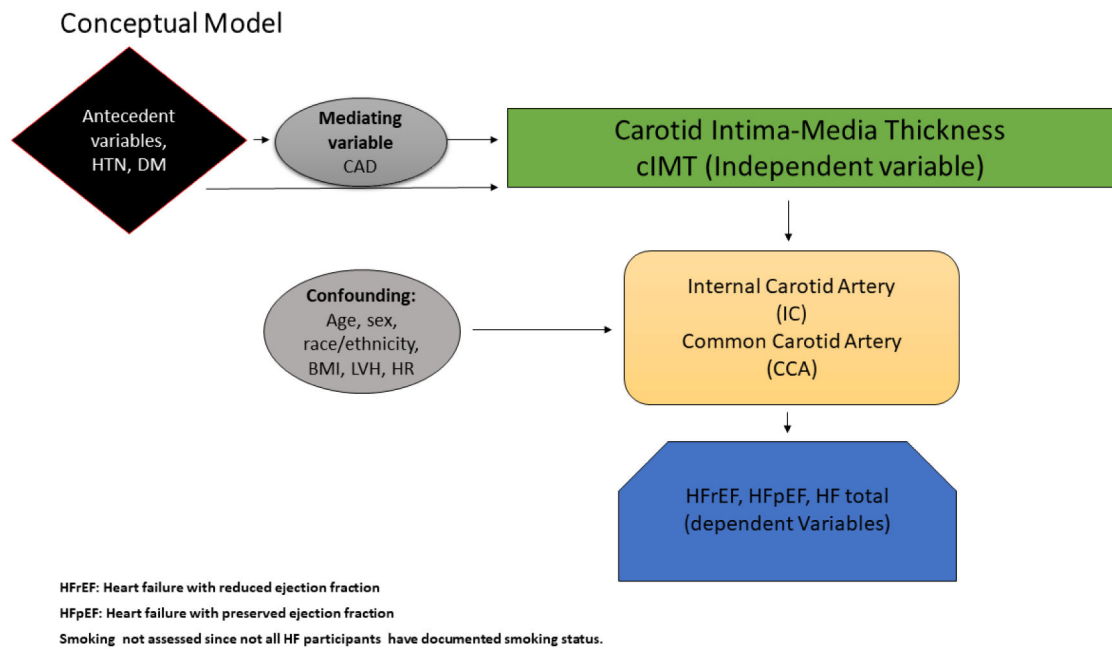


Figure 1. Conceptual Model showing Comparison of Relationship of Carotid Intima-Media thickness with Heart Failure All and Heart Failure Phenotypes.

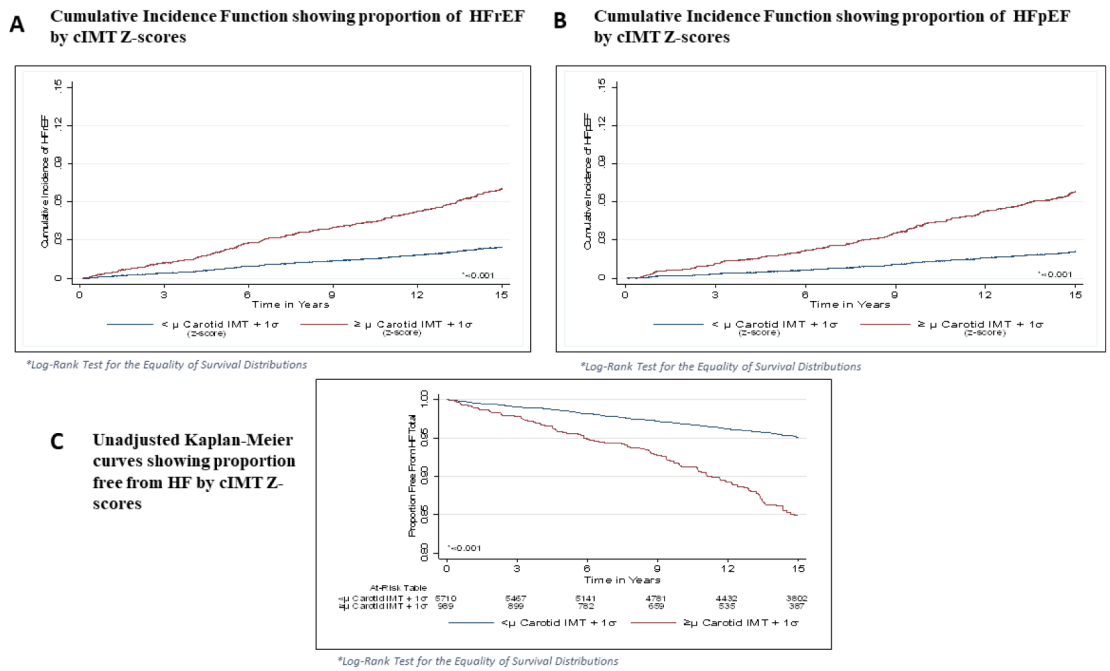


Figure 2. Cumulative Incidence Function of proportion of HFrEF by cIMT Z-scores (A) Proportion of HFpEF by cIMT Z-scores (B) and of unadjusted Kaplan-Meier curves showing proportion free from HF by cIMT Z-scores (C).

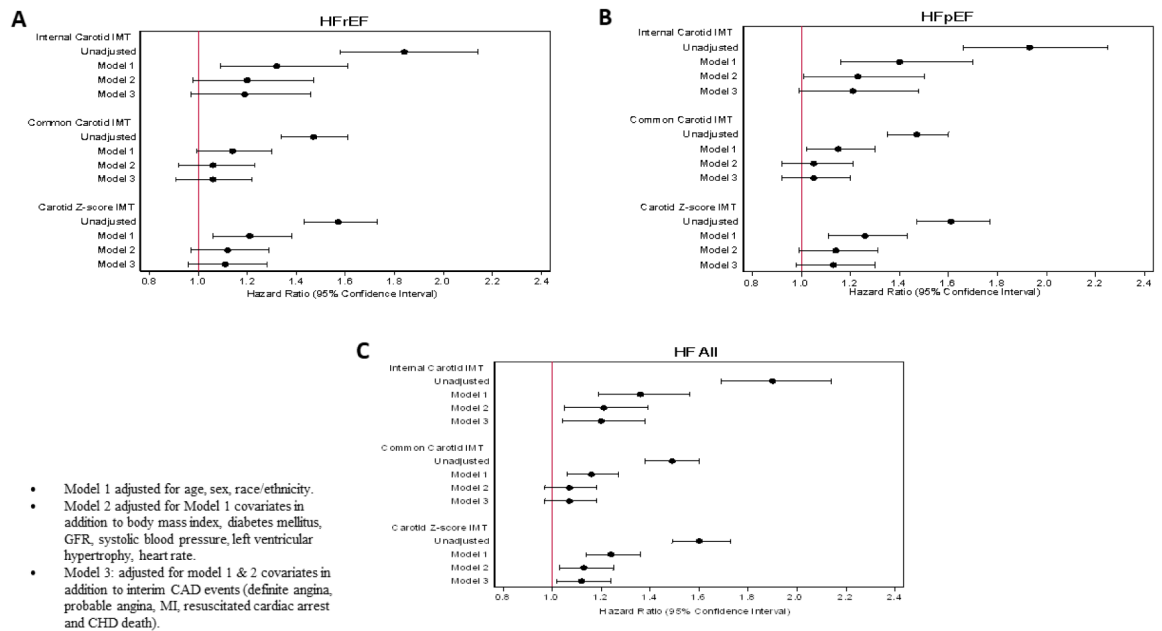


Figure 3. Showing Comparison of Relationship HR (95% CI) of Carotid IMT Subsegment and Z-score IMT with HF All (C) and HF Phenotypes (A, B).

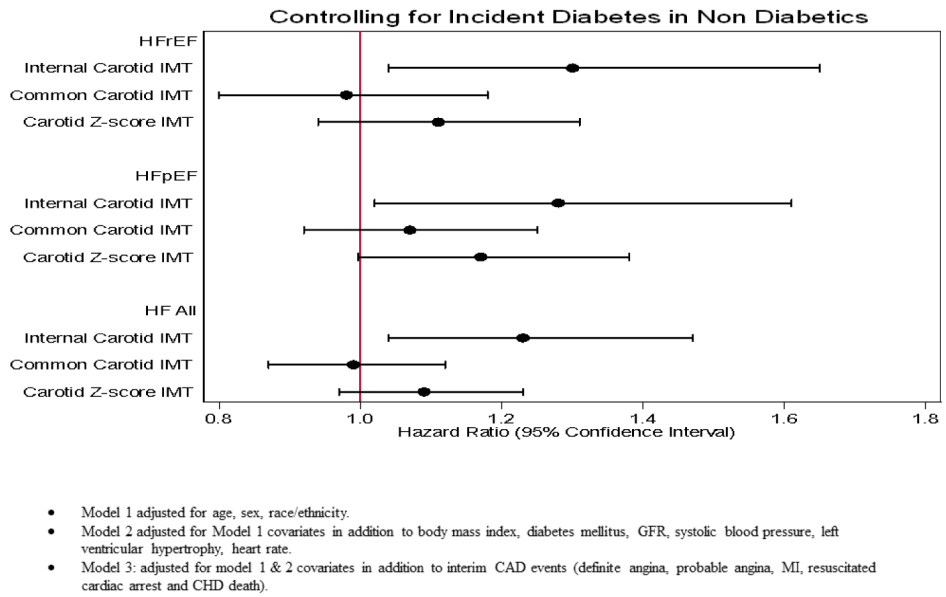


Figure 4. Showing Comparison of Relationship HR (95% CI) of Carotid IMT Subsegment and Z-score IMT with HF All and HF Phenotypes after Controlling for Incident Diabetes in Non-Diabetics.

Table 1.

Baseline characteristics by heart failure phenotypes

	No Heart Failure			
(N=6341)	HFrEF (N=191)	HFpEF (N=167)	P Value	
Age (years)	61.8 +/- 10.2	67.2 +/- 9.0	61.8 +/- 10.2	<0.001
Men	2952 (46.6%)	128 (67.0%)	78 (46.7%)	<0.001
				< 0.001
White	2436 (38.4%)	73 (38.2%)	74 (44.3%)	0.001
Chinese	773 (12.2%)	5 (2.6%)	17 (10.2%)	
Black	1732 (27.3%)	72 (37.7%)	43 (25.8%)	
Hispanic	1400 (22.1%)	41 (21.5%)	33 (19.8%)	
Education, high school or less	5205 (82.3%)	151 (79.5%)	127 (76.1%)	0.07
Body mass index (kg/m ²)	28.2 +/- 5.4	29.3 +/- 5.3	30.2 +/- 6.2	<0.001
Diabetes mellitus	742 (11.7%)	53 (27.8%)	44 (26.4%)	<0.001
Total cholesterol (mg/dL)	194.4 +/- 35.9	189.4 +/- 35.0	189.5 +/- 33.5	0.04
Low-density lipoprotein cholesterol (mg/dL)	117 +/- 31	114 +/- 32	112 +/- 29	0.079
High-density lipoprotein cholesterol (mg/dL)	51.1 +/- 14.9	47.3 +/- 13.0	49.9 +/- 13.7	0.002
Lipid-lowering medication use	1010 (15.9%)	41 (21.5%)	32 (19.2%)	0.07
Healthy diet *	2858 (47.0%)	85 (47.8%)	84 (52.2%)	0.42
Systolic blood pressure (mmHg)	126.9 +/- 21.2	137.0 +/- 22.7	139.3 +/- 22.9	<0.001
Heart rate (beat-per-minute)	63.0 +/- 9.6	63.3 +/- 10.7	65.4 +/- 9.8	0.001
Glomerular Filtration Rate (mL/min/1.73m ²)	74.6 +/- 16.3	70.9 +/- 19.2	71.3 +/- 19.3	<0.001
Left Ventricular Hypertrophy	54 (0.86%)	7 (3.7%)	54 (0.86%)	<0.001
Anti-hypertensive medication use	2261 (36.0%)	112 (58.6%)	98 (58.7%)	<0.001
Family history of coronary heart disease	2516 (42.3%)	91 (51.7%)	69 (44.0%)	0.04
Cigarette smoking				
Never	3217 (50.9%)	80 (42.1%)	72 (43.1%)	
Former	2281 (36.1%)	81 (42.6%)	78 (46.7%)	
Current	824 (13.0%)	29 (15.3%)	17 (10.2%)	
Alcohol use				<0.001
Never	1302 (20.7%)	27 (14.2%)	33 (19.8%)	
Former	1469 (23.3%)	64 (33.7%)	58 (34.7%)	
Current	3525 (56.0%)	99 (52.1%)	76 (45.5%)	
Internal Carotid IMT (mm)	1.1 +/- 0.59	1.3 +/- 0.71	1.4 +/- 0.73	<0.001
Common Carotid IMT (mm)	0.87 +/- 0.19	0.95 +/- 0.21	0.95 +/- 0.18	<0.001
Z- Score Maximum IMT	-0.025 +/- 0.989	0.487 +/- 1.099	0.512 +/- 1.025	<0.001

Continuous variables presented as mean (standard deviation) and categorical variables as count (percentage).

* Healthy diet consisted of adequate quantities of 5 items identified by American heart Association (fruits and vegetables, fish, wholegrains, sodium <1500 mg/day, and sugar-sweetened beverages 450 kcal (36 oz) per week).

Table 2.

Hazard ratios (95% confidence interval) for the association between internal carotid (IC), common carotid (CC) intimal-media thickness (IMT) and incident heart failure with reduced and preserved ejection fractions

Internal carotid IMT									
Outcome	Events (n)	Unadjusted		Model 1		Model 2		Model 3	
		HR (95% CI)	p-value	HR (95% CI)	p-value	HR (95% CI)	p-value	HR (95% CI)	p-value
HFrEF	191	1.84 (1.58-2.14)	<0.001	1.32 (1.09-1.61)	0.005	1.20 (0.98-1.47)	0.08	1.19 (0.97-1.46)	0.11
HFpEF	167	1.93 (1.66-2.25)	<0.001	1.40 (1.16-1.70)	<0.001	1.23 (1.01-1.50)	0.04	1.21 (0.99-1.48)	0.069
HF, total	385	1.90 (1.69-2.14)	<0.001	1.36 (1.19-1.56)	<0.001	1.21 (1.05-1.39)	0.008	1.20 (1.04-1.38)	0.02
Common carotid IMT									
Outcome	Events (n)	Unadjusted		Model 1		Model 2		Model 3	
		HR (95% CI)	p-value	HR (95% CI)	p-value	HR (95% CI)	p-value	HR (95% CI)	p-value
HFrEF	191	1.47 (1.34, 1.61)	<0.001	1.14 (0.995, 1.30)	0.059	1.06 (0.92, 1.23)	0.43	1.06 (0.91, 1.22)	0.91
HFpEF	167	1.47 (1.35, 1.60)	<0.001	1.15 (1.02, 1.30)	0.02	1.05 (0.92, 1.21)	0.454	1.05 (0.92, 1.20)	0.476
HF, total	385	1.49 (1.38, 1.60)	<0.001	1.16 (1.06, 1.27)	0.002	1.07 (0.97, 1.18)	0.17	1.07 (0.97, 1.18)	0.20

• Model 1 adjusted for age, sex, race/ethnicity.

• Model 2 adjusted for Model 1 covariates in addition to body mass index, diabetes mellitus, systolic blood pressure, left ventricular hypertrophy, heart rate.

• Model 3: adjusted for model 1 & 2 covariates in addition to interim CAD events (definite angina, probable angina, MI, resuscitated cardiac arrest and CHD death).

• Bolded items are significant.

Table 3.

Unadjusted and Adjusted HRs (95% CI) for Incident HF_rEF, HF_pEF and HF total per 1 SD (0.18 mm) increase in Carotid intima-media thickness (IMT)*

Outcome	Unadjusted		Model 1		Model 2		Model 3	
	HR (95% CI)	<i>p</i> -value	HR (95% CI)	<i>p</i> -value	HR (95% CI)	<i>p</i> -value	HR (95% CI)	<i>p</i> -value
HF _r EF	1.57 (1.43-1.73)	<0.001	1.21 (1.06-1.38)	0.004	1.12 (0.97-1.29)	0.122	1.11 (0.96-1.28)	0.15
HF _p EF	1.61 (1.47-1.77)	<0.001	1.26 (1.11-1.43)	<0.001	1.14 (0.99-1.31)	0.068	1.13 (0.98-1.30)	0.100
HF, total	1.60 (1.49-1.73)	<0.001	1.24 (1.14-1.36)	<0.001	1.13 (1.03-1.25)	0.01	1.12 (1.02, 1.24)	0.018

* Z-score for maximal IMT (measured maximum IMT of the IC and CC sites as the mean of the maximum IMT of the near and far walls of the right and left sides).

Model 1 adjusted for age, sex, race/ethnicity.

Model 2 adjusted for Model 1 covariates in addition to body mass index, diabetes mellitus, systolic blood pressure, left ventricular hypertrophy, heart rate.

Model 3: adjusted for model 1 & 2 covariates in addition to interim CAD events.

Bolded items are significant.