




PPAR γ induces PD-L1 expression in MSS+ colorectal cancer cells

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ABSTRACT

Only a small subset of colorectal cancer (CRC) patients benefits from immunotherapies, comprising blocking antibodies (Abs) against checkpoint receptor “programmed-cell-death-1” (PD1) and its ligand (PD-L1), because most cases lack the required mutational burden and neo-antigen load caused by microsatellite instability (MSI) and/or an inflamed, immune cell-infiltrated PD-L1+ tumor microenvironment. Peroxisome proliferator-activated-receptor-gamma (PPAR γ), a metabolic transcription factor stimulated by anti-diabetic drugs, has been previously implicated in pre/clinical responses to immunotherapy. We therefore raised the hypothesis that PPAR γ induces PD-L1 on microsatellite stable (MSS) tumor cells to enhance Ab-target engagement and responsiveness to PD-L1 blockage. We found that PPAR γ -agonists upregulate PD-L1 mRNA/protein expression in human gastrointestinal cancer cell lines and MSS+ patient-derived tumor organoids (PDOs). Mechanistically, PPAR γ bound to and activated DNA-motifs similar to cognate PPAR γ -responsive-elements (PPREs) in the proximal –2 kb promoter of the human *PD-L1* gene. PPAR γ -agonist reduced proliferation and viability of tumor cells in co-cultures with PD-L1 blocking Ab and lymphokine-activated killer cells (LAK) derived from the peripheral blood of CRC patients or healthy donors. Thus, metabolic modifiers improved the antitumoral response of immune checkpoint Ab, proposing novel therapeutic strategies for CRC.

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Introduction

Genome-wide sequencing classified gastrointestinal cancers including colorectal cancer (CRC) into subtypes with distinct mutations¹ and immune microenvironments.² However, translation of molecular profiles to the clinic for individualized treatments or response prediction (“Precision Medicine”) remains a challenge.³ The recent success of immune checkpoint inhibitors, as exemplified by therapeutic Abs which block the “programmed-cell-death-1” (PD1/PD-L1) receptor–ligand system (e.g. pembrolizumab, atezolizumab), is limited to a minority of patients. As such, only cases with microsatellite-unstable (MSI+) tumors, having a high mutational burden, neoantigen load and immune cell infiltration score, so far benefit from checkpoint inhibitor therapy.⁴ Thus, increasing eligibility rates is of urgent medical need.


To close the gap between *in vitro* cancer models and the clinics, patient-derived-tumor-organoids (PDOs) have been

recently developed and hold the promise to improve translational research. PDOs are living biobanks for personalized, mechanistic studies recapitulating the clinical performance of patients in hospital’s real-life.⁵ Since tumor/epithelium-centered therapies fail due to intrinsic unresponsiveness and/or acquired resistance, extension of treatment concepts to other cell types is warranted. Reconstitution of these “avatars” with autologous or allogenic immune cells shall identify novel drugable targets to avoid lack of response, prevent resistance, relieve anergy and empower the full antitumor potential of innate and adaptive immunity.^{6,7} In this highly individualized contact-dependent co-culture model system, genomic profiles and response performances can be monitored in time and space and correlated with real-time clinical responses of patients in combination with standard of care (e.g. radio/chemotherapy).^{8,9}

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Peroxisome-proliferator-activated-receptors (PPARs) belong to the nuclear hormone receptor superfamily and comprise three genes PPAR α , β/δ and γ .¹⁰ Beyond its appreciated role as an insulin sensitizer in patients with type-2-diabetes-mellitus, PPAR γ promotes differentiation of mucosal epithelial cells and orchestrates the immune response in the intestinal tract.¹¹ Lipids derived from the diet, such as nitrated linoleic acid (LNO₂) and eicosanoids released from sites of tissue inflammation, e.g. during colitis, activate PPAR γ together with prescription-approved anti-diabetic drug agonists of the thiazolidinedione class: rosiglitazone (rosi) and pioglitazone (pio).¹² PPAR γ is expressed throughout the gastrointestinal tract with high levels in the colorectum. It inhibits inflammation and, thereby, may prevent cancers associated with chronic inflammation.¹³ As such, PPAR γ increases expression of genes related to mucosal defense, reshapes the intestinal immune response toward polarization of M2 macrophages and mitigates Th1-driven inflammatory responses in pre-clinical rodent models.¹¹ In this context, PPAR γ is also a major driver for regulatory T-cells in the white adipose tissue.¹⁴

On the other hand, PPAR γ has been shown to exert efficacy against established human hematopoietic malignancies, e.g. in leukemia^{15–17} and multiple preclinical settings (as reviewed in Peters et al.¹¹). Thus, the involvement of PPAR γ may be in part mediated by alterations in bone marrow-derived cell lineages which determine the “host” immune response also in solid tumors.¹⁸ For example, PPAR γ potentiates the pro-tumor actions of cytotoxic T-lymphocytes and macrophages.^{19–22}

In this context, PPAR γ could be a suitable target for immunotherapy. A functional connection between metabolism and the cytotoxic activity of natural killer (NK) and T-cells has been established by demonstrating a role for all three PPAR proteins (α, γ, δ).^{23–25} Conclusively, mitochondrial fatty acid oxidation seems to be one predominant factor determining immune cell activity.^{26–28} Moreover, PPAR γ is a master transcription factor for adipocyte differentiation and fat deposition, causative for its insulin-sensitizing action. Notably, obesity has also been linked to an improved response to PD1/PD-L1 inhibition in patients with solid tumors (melanoma, lung e. a.).^{29,30} Intrigued by the fact that PPAR γ is drugable by clinically approved agonists and rewires metabolism with NK/T-cell activity, we resorted to this member of the PPAR gene family to assess its antitumor and immunostimulatory features in CRC.

We raised the hypothesis that the immunogenicity of MSS+ CRC can be increased by pharmacological administration of PPAR γ -agonists inducing PD-L1 expression³¹ on tumor cells, followed by enhanced sensitivity to immune cell attack in presence of therapeutic blocking Abs against PD1/PD-L1.² Thus, the overall aim was to assess whether Ab-target engagement and antitumoral efficacy can be improved by this approach. To test this idea, we employed a translational program studying molecular aspects of *PDL1* promoter regulation in CRC cell lines, organoids and patients.

Materials and methods

Reagents

Chemicals were purchased from Merck (Darmstadt, Germany) if not stated otherwise. Antibodies (Ab) for detection and therapeutic use (functional grade) and conditions for Western blotting (WB), immunofluorescence (IF), immunohistochemistry (IHC) and flow cytometry (FC) are listed in Table S1. Rosiglitazone (rosi), pioglitazone (pio) and GW9662 were from Cayman (Ann Arbor, MI), recombinant human IL2 and IFN γ from PeproTech (Hamburg, Germany).

Cell lines

Human embryonic kidney cells immortalized by the large T-antigen from Simian Virus-40 (HEK293T), leukemia (K562) and gastrointestinal adenocarcinoma cell lines (CRC: HT29, HCT116, SW480; Gastric: AGS) were obtained from the American Type Culture Collection (ATCC, Manassas, VA) and cultivated in complete Roswell Park Memorial Institute (RPMI) 1640 medium or Dulbecco's Modified Eagle's Medium (DMEM) according to the guidelines of the distributors. Basal media, herewith termed “complete” media, were supplemented with 10% (*v/v*) fetal calf serum (FCS), 2 mM L-glutamine and 100 U/ml penicillin/streptomycin (all from ThermoFisher, Waltham, MA).

Patients

Written informed consent was provided by all patients. The study followed the principles of the Declaration of Helsinki and was approved by the Medical Ethics Committee II of the Medical Faculty Mannheim, Heidelberg University (2014–633 N-MA; 2016–607 N-MA).^{32,33} Patients with a fresh diagnosis of primary colon or rectal carcinoma (University Hospital Mannheim, Heidelberg University, Mannheim, Germany) were included prior to any intervention treatment. Cases with active HIV, HBV or HCV infections were excluded. Biopsies from primary tumors were collected by endoscopy and transferred into ice-cold phosphate-buffered saline (PBS) for generation of PDOs as detailed in.³² A prospective anonymized database was established merging clinical parameters and molecular tumor characteristics.³²

A subset of seven patients ($n = 3$ female; $n = 4$ male) with MSS+ CRC and a mean age of 69 y [median: 70 y] was selected with respect to their *KRAS* gene mutation profiles³² (Table S2). Viable and expandable PDOs were available from four patients for all assays; no tissue material was archived for two patients.

Software and statistics

Results are displayed as mean \pm S.E. from independent experiments, herewith defined as replicates, from different cell passages or individuals (healthy donors or patients). Optical densities (O.D.) of bands in gels from Western blots and PCRs were measured using automated imaging devices and quantified with Image J (imagej.nih.gov/ij). Data were normalized to housekeeping genes or proteins as indicated in the legends to figures and calculated as -

fold or % compared to control. Statistical analysis was done with GraphPad Prism software (version 4.0, La Jolla, CA). Therein, data were first tested for non- vs. parametric distribution, followed by the appropriate statistical procedures with Bonferroni posttests for two-way ANOVA and Tukey or Dunn posttests for one-way-ANOVA or Kruskal–Wallis/Friedmann test, respectively. The two group comparisons were done with Mann–Whitney/Wilcoxon for nonparametric or *t*-tests for parametric data. All tests were unpaired and two-sided if not stated otherwise. *p*-Values <0.05 were considered significant (*).

Additional information has been deposited under *Supplementary Materials and Methods*.

Results

PPAR γ binds to and activates the proximal –2 kb promoter of the human *PDL1* gene

MSI+ and a PD-L1+ inflamed/immune-infiltrated (“hot”) tumor microenvironment predicts favorable clinical response to PD1/PD-L1 blocking Abs, whereas lack of response is associated with MSS+ and absence of PD-L1.² We therefore asked if PPAR γ induces PD-L1 in MSS+ tumor cells to strengthen the physical contact (synapse) between PD-L1+ tumor and PD1 + immune cells, followed by improved antitumoral efficacy of PD1/PD-L1 blocking Abs.

To identify potential PPAR γ -binding sites in the human *PDL1* promoter, we searched for cognate PPAR γ -responsive-elements (PPREs, 5'-AGGTCA-3') in the primary sequence of the upstream regulatory region of the gene. To this end, we performed an *in silico* query in the proximal –2 kb promoter using AliBaba2.1. The genomic DNA sequence of the *PDL1* promoter with predicted PPAR γ -binding motifs is depicted in **S1**. Three putative PPRE sites (1691, 2172, 2923 bp) upstream of the transcriptional start site were identified in proximity to consensus steroid hormone receptor- and interferon-responsive elements.³⁴ To measure DNA-binding, we amplified the predicted PPREs by genomic PCR upon chromatin immunoprecipitation (ChIP) of the endogenous *PDL1* promoter in human CRC cell lines (**Figure 1a**). MSS+ HT29 and MSI+ HCT116 (as pos. control³⁵) cells were treated with the PPAR γ -agonist rosiglitazone (rosi, 1–10 μ M) for 48 h, followed by ChIP. PPAR γ Ab pulled-down DNA harboring the three PPREs under basal conditions in both cell lines, however, only the distal motif was enriched upon ligand treatment of HT29 cells (by ~2-fold; **p* < .05 vs. vehicle or bead control, two-way ANOVA with Bonferroni posttests, *n* = 3 per cell line) (**Figure 1b**, **S2**). These data indicated that PPAR γ occupies the *PDL1* promoter and is responsive to pharmacological activation.

To explore if PPAR γ also transactivates the human *PDL1* promoter (**Figure 1c**), the upstream region of the *PDL1* gene (transcript variant 1), covering –2 kb until the start of the protein coding sequence, was inserted into the pGL3 luciferase reporter plasmid. Then, HT29 and HCT116 cells were transfected and incubated with rosi (0.1–20 μ M) for 48 h.

Rosi increased luciferase activity driven by the –2 kb *PDL1* promoter in both cell lines (by \geq 2-fold; **p* < .05 vs. vehicle, two-way ANOVA with Bonferroni posttests, *n* = 3 per cell line).

Similar though weaker effects were obtained with the related PPAR γ -agonist pioglitazone (pio), but not for the PPAR γ -antagonist GW9662 (abbrev. GW) (**S3a**).

To decide if PD-L1 promoter activation is mediated through the receptor itself or off-target effects of the agonist(s), HT29 and HCT116 cells were transfected with empty vector (EV) or an expression plasmid encoding a dominant-negative (DN) PPAR γ mutant and stimulated with rosi as earlier. This mutant was deficient in heterodimerization with retinoid X receptor (RXR) and DNA-binding due to deletion of the “D-box” docking motif in the transition region of the second zinc finger of the DBD and the hinge region (Δ Dbox).³⁶ As expected, luciferase activity was abrogated under these conditions (**p* < .05 vs. vehicle or EV, two-way ANOVA with Bonferroni posttests, *n* = 3 per cell line) (**Figure 1d**). The mutant also attenuated transcription driven by 3xPPREs in the enhancer region of the acyl-CoA oxidase (*ACO*) gene and of other *bona fide* PPAR γ -target genes (**S3b,c**). These data indicated that PPAR γ binds and transactivates the human *PDL1* promoter.

Likewise, knock-down of PPAR γ by shRNA diminished activation of both reporters (**S4**). However, regulation was cell line-dependent, possibly due to different mutations in given lines (e.g. *KRAS*, MSI e.a.). Conclusively, this data showed that the *PDL1* gene can be addressed by pharmacological and genetic modulation of PPAR γ .

PPAR γ -agonists upregulate PD-L1 mRNA and protein expression

To assess whether PPAR γ -ligands also regulate expression of the endogenous *PDL1* gene, HT29 cells, as an exemplary line for MSS + CRC, were treated with vehicle (DMSO), rosi (1–10 μ M), pio (10–100 μ M) or GW9662 (1–10 μ M) for 24–48 h, followed by RNA extraction. RT-qPCR analyses demonstrated that rosi increased *PDL1* mRNA (**Figure 2a**) (by three to fourfold; **p* < .05 vs. vehicle, two-way ANOVA with Bonferroni posttests, *n* = 3 per drug). Pio showed a similar though weaker trend, whereas the antagonist was ineffective.

Next, cells were treated as in A, followed by extraction of total cell lysates and Western blotting. Quantitative analysis evinced that PPAR γ activation also increased PD-L1 protein (**Figure 2b**) (by ~2- to 7-fold; **p* < .05 vs. vehicle, two-way ANOVA with Bonferroni posttests, *n* = 3 per drug). As above, similar results were obtained for other PPAR γ -ligands.

Results from more gastrointestinal cancer cell lines are presented in **S5–6**. Active Ras-MEK1/2-ERK1/2 signaling inhibits transcription driven by PPAR γ .³⁷ Hence, cell lines with low Ras activity (*KRAS*: HT29 wt, HCT116 *G13D*) upregulated PD-L1 to a greater extent upon exposure to PPAR γ -ligand than those with high Ras activity (*KRAS*: SW480 *G12V*, AGS *G12D*).

Finally, cell-surface associated PD-L1 protein was determined by flow cytometry (FC) in live HT29 cells. After a 48 h incubation, rosi increased the frequency of PD-L1+ cells by >10-fold and pio

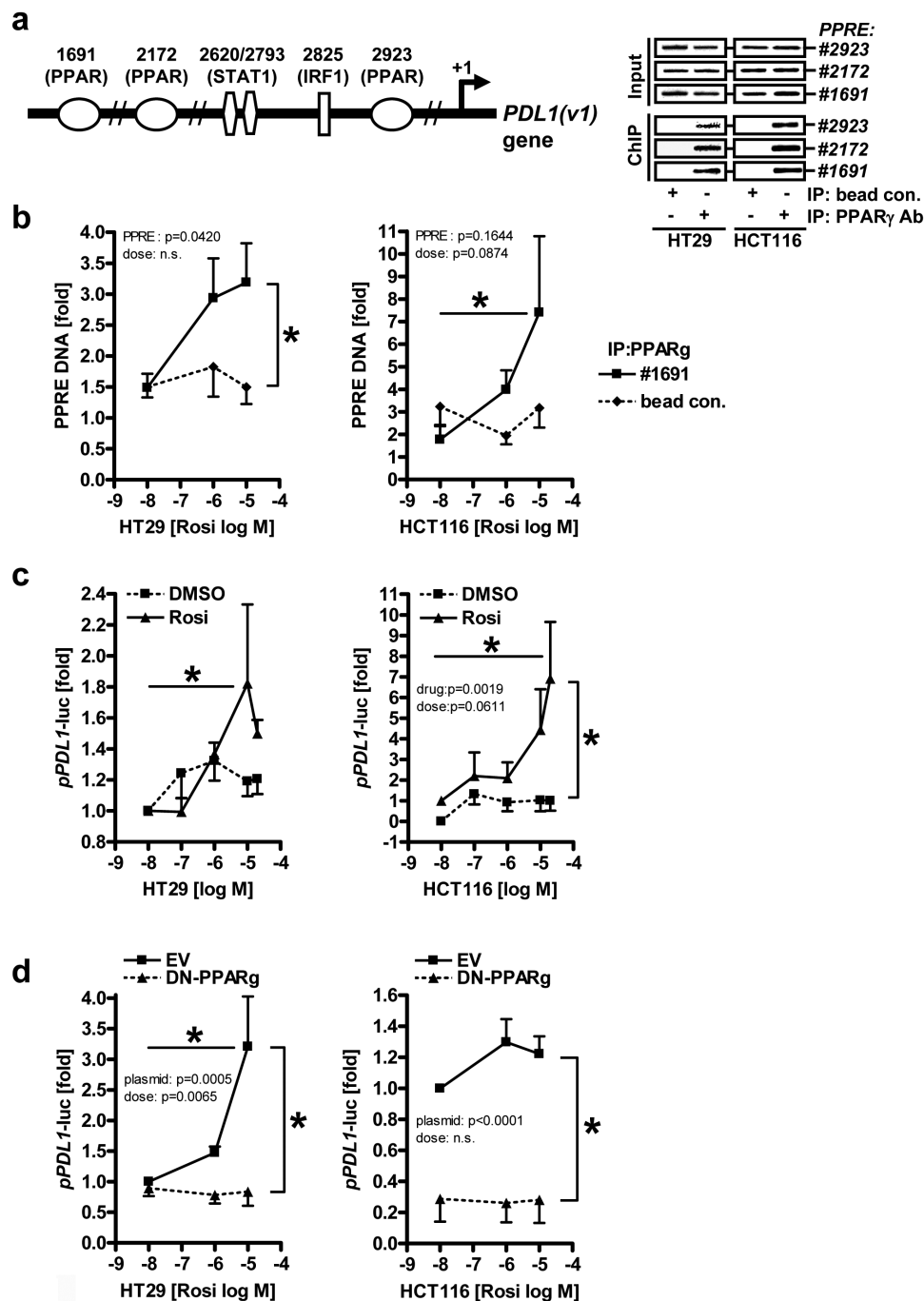


Figure 1. PPAR γ binds to and activates the proximal -2 kb promoter of the human *PDL1* gene.

A-B, PPAR γ protein binds to three predicted PPREs (1691, 2172, 2923 bp) in the DNA of the -2 kb human *PDL1* promoter. Cells (HT29, HCT116) were treated with vehicle (DMSO) or PPAR γ -agonist rosiglitazone (rosi, 1–10 μ M) for 48 h, and chromatin-immunoprecipitation (ChIP) was performed using Abs against PPAR γ for IP and genomic qPCR for amplification of bound DNA; **A**, Left: Scheme of the human *PDL1* promoter (**S1**); Right: Representative genomic amplification products on ethidium bromide-stained agarose gels; **B**, Ct-values for PPRE 1691 were normalized to $\beta 2$ -microglobulin (*B2M*) (of input DNA) and expressed as $-\text{fold} \pm \text{S.E.}$ ($*p < 0.05$ vs. vehicle or bead control, 2way-ANOVA with Bonferroni post-tests, $n=3$ per cell line). Ct-values for PPREs 2172 and 2923 are show in **S2**.; **C**, PPAR γ -agonist activates the proximal -2 kb human *PDL1* promoter. Cells (HT29, HCT116) were transfected with luciferase reporter plasmid and incubated with vehicle (DMSO) or rosi (0.1–20 μ M) for 48 h. Luciferase activity was normalized to protein content and expressed as $-\text{fold} \pm \text{S.E.}$ ($*p < 0.05$ vs. vehicle, 2way-ANOVA with Bonferroni post-tests, $n=3$ per cell line); **D**, Inhibition of PPAR γ -activity by a dominant-negative (DN) mutant reduces activation of the *PDL1* promoter. Cells (HT29, HCT116) were transfected either with empty vector (EV) or GFP-PPAR γ Δ Dbox (abbrev. DN-PPAR γ) together with luciferase reporter plasmid followed by incubation with vehicle (DMSO) or rosi (0.1–10 μ M) for 48 h. Data are presented as in **C** ($*p < 0.05$ vs. vehicle or EV, 2way-ANOVA with Bonferroni post-tests, $n=3$ per cell line).

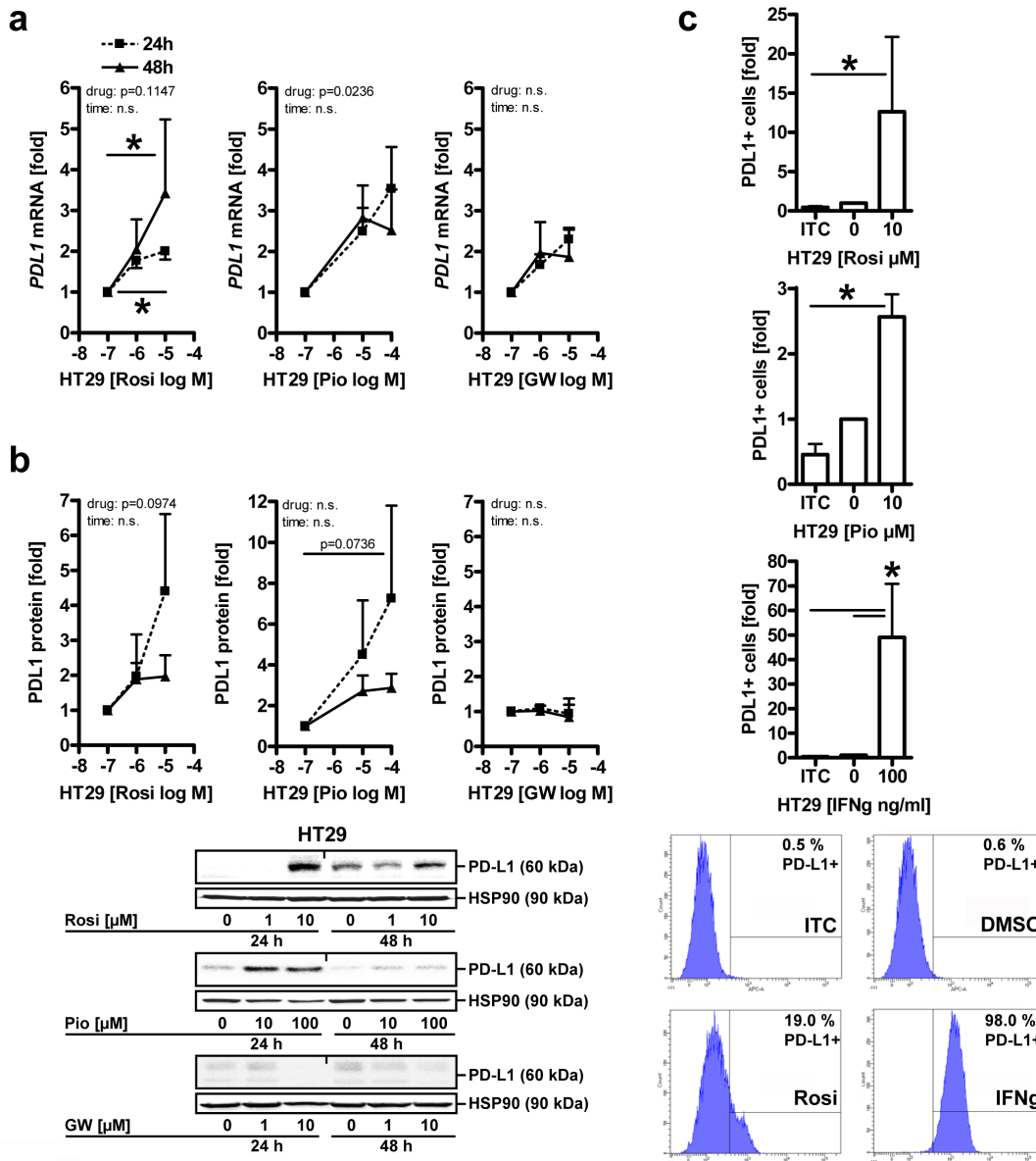


Figure 2. PPAR γ -agonists upregulate PD-L1 mRNA and protein expression.

A, Agonists, but not antagonists of PPAR γ increase *PDL1* mRNA. HT29 cells were treated with vehicle (DMSO), rosi (1–10 μ M), pio (10–100 μ M) or GW9662 (1–10 μ M) for 24–48 h, followed by RNA extraction. Ct-values from RT-qPCRs were normalized to *B2M* and calculated as -fold \pm S.E. (* p <0.05 vs. vehicle, 2way-ANOVA with Bonferroni post-tests, n =3 per drug); **B**, Agonists, but not antagonists of PPAR γ increase PD-L1 protein. HT29 cells were treated as in A, followed by extraction as total cell lysates. Quantitative analyses (top) and representative images (bottom) from Western blots. O.D. values from gels were normalized to HSP90 and are -fold \pm S.E. (* p <0.05 vs. vehicle, 2way-ANOVA with Bonferroni post-tests, n =3 per drug); **C**, PPAR γ increases surface PD-L1 protein. HT29 cells were treated with vehicle (DMSO), rosi or pio (both 10 μ M) or IFN γ (100 ng/ml as pos. control) for 48 h, then dissociated with Accutase[™], and single cells were stained with Abs and viability dye (7AAD) as indicated in **Table S1** and analysed by flow cytometry (FC). Quantitative analyses (top) and representative intensity plots (bottom). Data were calculated as -fold \pm S.E. PD-L1+ cells compared to unstained samples (* p <0.05 vs. isotype control (ITC), Kruskal–Wallis test with Dunn post-test, n =5 per drug).

by 2- to 3-fold (* p < .05 vs. isotype control (ITC), Kruskal–Wallis test with Dunn posttest, n = 5 per drug) (Figure 2c).

PPAR γ -agonists upregulate PD-L1 expression in a subset of PDOs

We then resorted to PDOs with the aim to investigate the role of PPAR γ in regulation of PD-L1 in a more clinically relevant setting. Constitutively active Ras signaling is a major oncogenic driver for CRC¹ and a negative regulator of PPAR γ .³⁷ We therefore selected four patients with MSS+ CRC based on the

tumor's *KRAS* gene mutation (wt/*A146T*, n = 2; *G12D/C*, n = 2) (Table S2), and who had been well characterized in our hospital with regard to their clinical performance and tumor genetics.³²

Tumor organoids from these patients were treated with vehicle (DMSO), rosi (1 and 10 μ M) or IFN γ (100 ng/ml as pos. control) for 48 h, followed by RNA extraction. RT-qPCR analyses evinced that all PDOs were responsive to IFN γ ,³⁴ whereas the PPAR γ -agonist increased *PDL1* mRNA only in a subset (2 of 4/50%) by more than twofold (Figure 3a) (* p < .05 vs. vehicle, Friedmann test with Dunn posttests, n = 4 patients, n = 3 replicates per patient). As before in cell

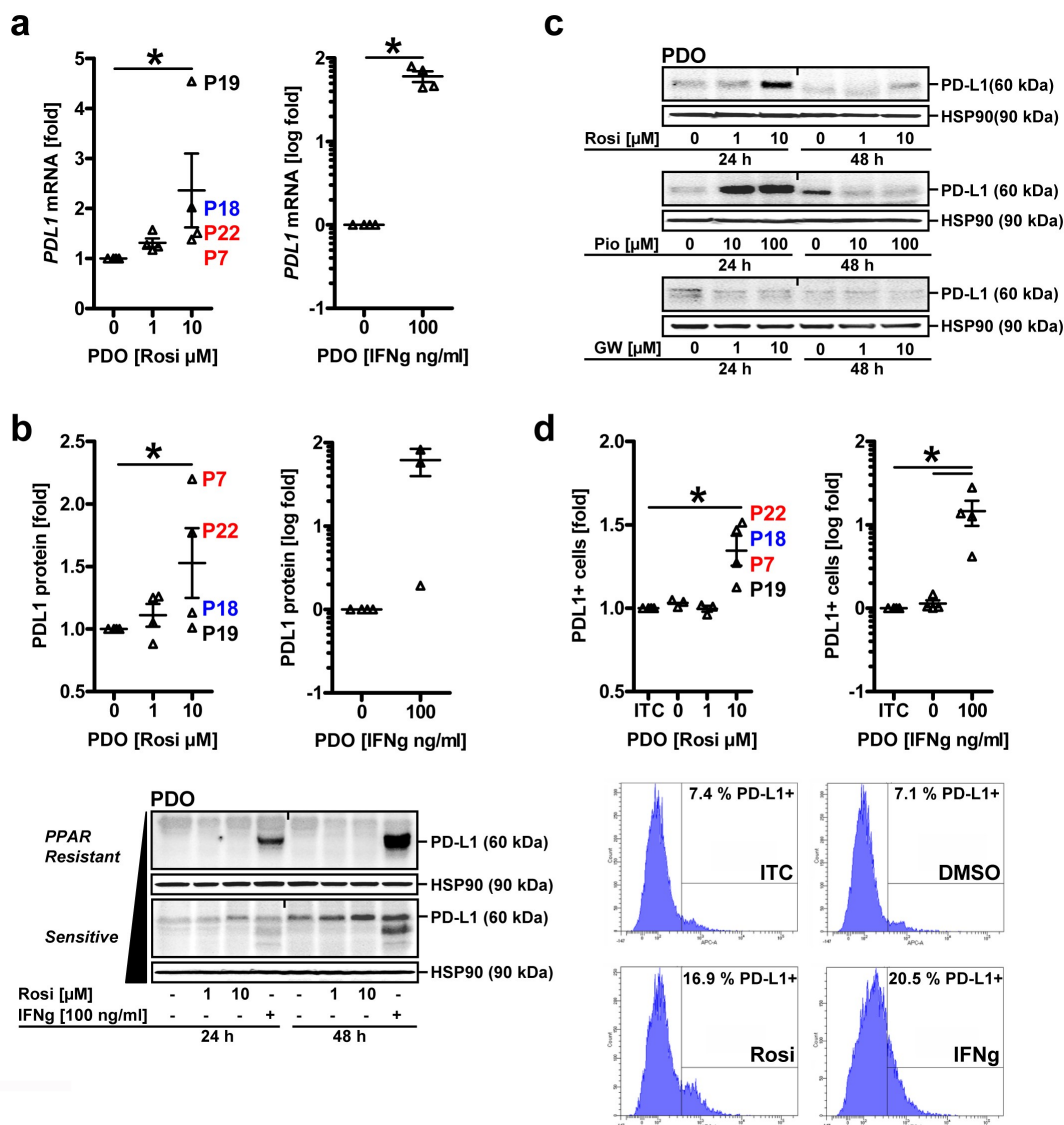


Figure 3. PDO subsets are sensitive to upregulation of PD-L1 by PPAR γ -agonist.

A, PPAR γ -agonist increases *PD-L1* mRNA in a subset of PDOs. Tumor organoids from CRC patients ($n=4$ MSS+ cases: *KRAS* wt = blue, mutant *A146T* = black, *G12D/C* = red) were treated with vehicle (DMSO), rosi (1 and 10 μ M) or IFN γ (100 ng/ml) for 48 h, followed by RNA extraction. Ct-values from RT-qPCRs normalized to *B2M* are -fold \pm S.E. (* $p < 0.05$ vs. vehicle, Friedmann test with Dunn post-tests, $n=4$ patients, $n=3$ replicates per patient); **B**, PPAR γ -agonist increases total PD-L1 protein in a subset of PDOs. Organoids were treated as in **A**, followed by extraction as whole cell lysates. Quantitative analyses (top) and representative images (bottom) from Western blots. O.D. values of bands in gels normalized to HSP90 are -fold \pm S.E. ($t=48$ h: * $p < 0.05$ vs. vehicle, Friedmann test with Dunn post-tests, $n=4$ patients, $n \geq 3$ replicates per patient). Arrow (black) marks exemplary PDOs sensitive (lower) vs. resistant (upper) to rosi-mediated PD-L1 up-regulation. IFN γ served as pos. control for all PDOs; **C**, Agonists, but not the antagonist for PPAR γ increase PD-L1 protein in PDOs. Organoids were treated with vehicle (DMSO), rosi (1 and 10 μ M), pio (10 and 100 μ M) or GW (1 and 10 μ M) for 24–48 h. Representative images from Western blot on whole cell lysates are shown; **D**, PPAR γ increases cell surface-associated PD-L1 protein in a subset of PDOs. Organoids were treated as in **A** (rosi: 10 μ M), then dissociated with Accutase[™], and single cells were stained with Abs and viability dye (7AAD) as indicated in **Table S1** and analysed by FC. Quantitative analyses (top) and representative intensity plots (bottom). Data are calculated from intensity plots as -fold \pm S.E. PD-L1+ cells (* $p < 0.05$ vs. isotopy control (ITC), Friedmann test with Dunn post-tests, $n=4$ patients with $n \geq 2$ passages per patient).

lines, PPAR γ bound to PPREs in the *PDL1* promoter, and PPAR γ -agonist induced mRNA/protein expression of cognate PPAR γ -target genes (*ACO*, *CD36* e.a.) in organoids (**S7**).

This upregulation was confirmed by quantitative analyses from Western blots upon extraction of PDOs as total cell lysates. After 24–48 h, IFN γ augmented total cellular PD-L1 protein in all patients tested. Again, rosi increased PD-L1 only in a subset (2 of 4/50%) (**Figure 3b**) ($t=48$ h: * $p < .05$ vs. vehicle, Friedmann test with Dunn posttests, $n=4$ patients, $n \geq 3$ replicates per patient). Similar effects were obtained with pio, but not for the PPAR γ -antagonist GW9662 (**Figure 3c**). Due to lack of consistent efficacy in MatriGel[®], pio was discontinued for further PDO

assays. Nevertheless, cell surface-associated PD-L1 protein as determined by FC after 48 h was elevated in presence of rosi (by $\sim 50\%$, * $p < .05$ vs. ITC, Friedmann test with Dunn posttests, $n=4$ patients with $n \geq 2$ passages per patient) (**Figure 3d**).

Notably, total cellular PD-L1 levels did not correlate with the *KRAS* status of a given PDO line. PD-L1 is subjected to glycosylation for proper insertion into and function at the plasma membrane and also exists as secreted forms.³⁸ To distinguish between these PD-L1 pools,³⁹ we compared its *in situ* expression in PDOs with the corresponding matched primary tumor (abbrev. pTU) tissue of the same patient (**Figure 4a**). FFPE-sections from PDOs and surgical resection material were

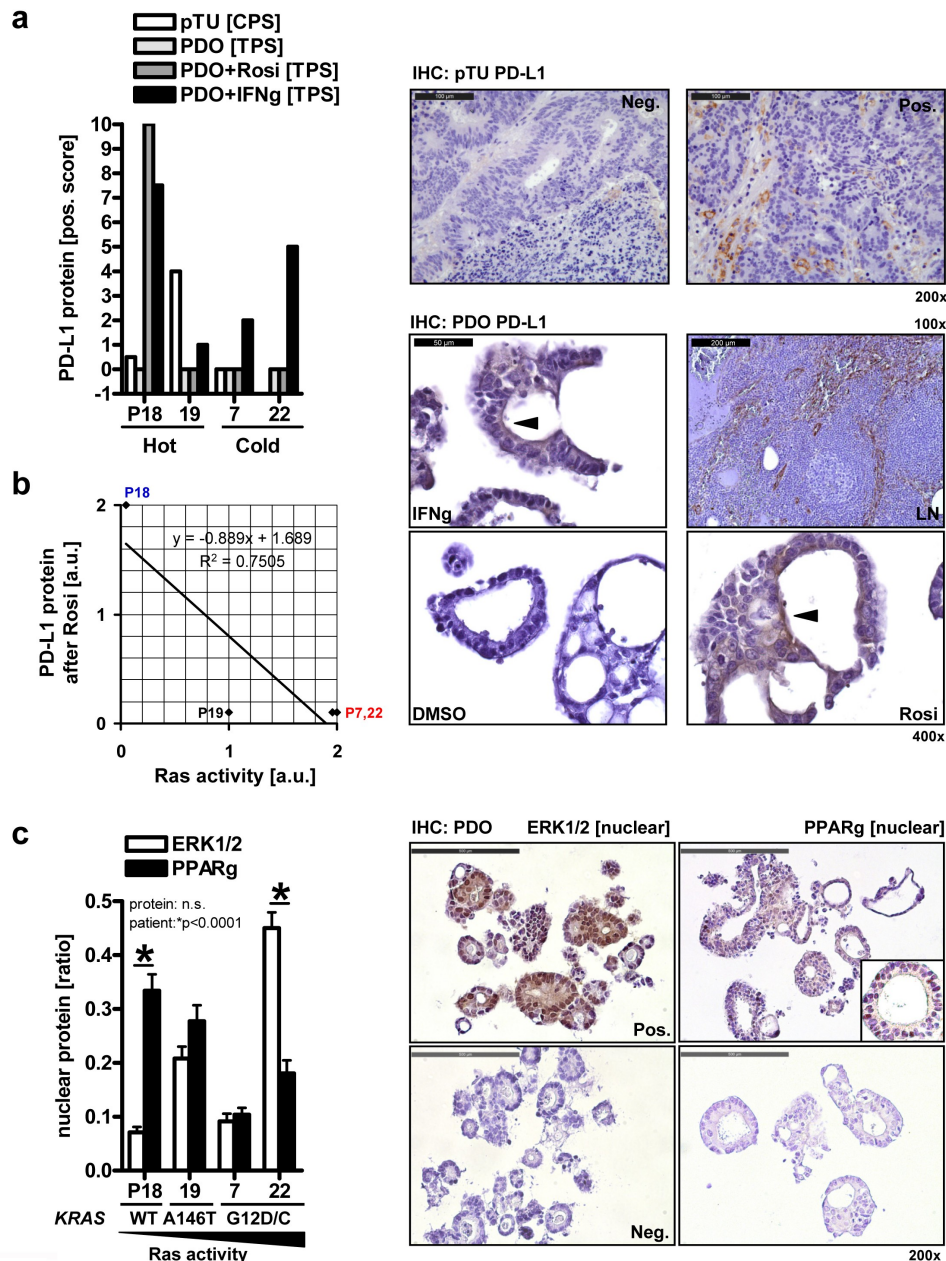


Figure 4. PD-L1 expression in PDO subsets and matched patients' primary tumor tissue.

A, Expression of PD-L1 protein in PDOs compared with the primary tumor tissue of the same patient. PDOs ($n=4$ MSS+ cases) were treated with vehicle (DMSO), rosi ($10 \mu\text{M}$) or IFN γ (100 ng/ml as pos. control) for 48 h, followed by processing for FFPE. Sections from PDOs and matched surgery resection material were stained with PD-L1 Ab by immunohistochemistry (IHC). Right: Representative pictures; original magnifications 200x. Left: Data are combined PD-L1 positivity scores derived from tumor/epithelial cells and infiltrated immune cells per patient. Legend: pTU = primary tumor tissue; TPS [%], IC [%], CPS = TPS+IC [a.u.]; "hot" = observable immune cell infiltration; "cold" = no immune cell infiltration; Y-axis: Value <0 = no material available; Value 0 = no staining recorded; **B**, Correlation plot. Organoids were treated as in A, and FFPE-sections were stained with PD-L1 Abs by IHC. Human lymph node tissue served as pos. control. Right: Representative pictures; original magnifications 100-400x. PD-L1 was in part localized to the cytoplasm. Note the membrane-accentuated (arrow: brown color) PD-L1 staining in this exemplary *KRAS* wt patient (P18). Left: Values (a.u.) for Ras activity according to the *KRAS* gene mutation status were aligned to the ability of individual PDOs to up-regulate membrane-bound PD-L1 protein upon treatment with rosi (Pearson correlation coefficient: $n=4$ patients: $r = -0.8$, $p=0.13$; $n=6$ all patients: $r = -0.8$, $p=0.06$, **Table S5**). Legend (x/y axis units): 0 = no; 1 = weak; 2 = high; **C**, Localisation of ERK1/2 and PPAR γ in PDOs. FFPE-sections from B were stained by IHC. Right: Representative pictures; original magnifications 200x. Left: Quantitative analyses; White bars: In PDOs with mutant *KRAS* G12, ERK1/2 localized to the nucleus. Data are means \pm S.E. of nEPI (nuclear ERK1/2 positivity index = ratio of nuclear positive cells / total cells); Black bars: High nuclear PPAR γ positivity was evident in the *KRAS* wt PDO with low nuclear ERK1/2. Data are means \pm S.E. of nPPI (nuclear PPAR γ positivity index = ratio of nuclear positive cells / total cells) (* $p < 0.05$, 2way-ANOVA with Bonferroni post-tests, $n=4$ cases; $n \geq 3$ fields per patient).

stained by immunohistochemistry (IHC). Human lymph node tissue served as pos. control. Patient-wise assessment of baseline PD-L1 positivity in tumor cells and tumor-infiltrating immune cells, quantified as "tumor proportion score" [TPS in %], "inflammatory cell score" [IC in %] and "combined positivity score" [CPS = TPS+IC in a.u.],³⁹ revealed that all MSS+

specimens ($n = 4$ patients; $n = 1$ no material available) tested were negative for PD-L1 in tumor cells (TPS = 0%), but some displayed a low intensity and frequency of membrane-accentuated PD-L1 with cytoplasmic staining in cells of the tumor-adjacent stroma (IC<5%) Overall, a positive association of PD-L1 expression in PDOs and the matched primary tumor

tissue (CPS>0), as defined by observable immune cell infiltration (IC \geq 1),³⁹ was evident in only 2 of 4 (50%) cases (Table S5). Tissue biopsies contained a mixture of tumor and stroma (immune) cells. Hence, we could not exclude the possibility that PD-L1 positivity was progressively lost during generation and passaging of PDOs in selection media.³²

We then investigated treatment-induced changes of PD-L1 in the same PDOs. Organoids were treated as detailed in legend to Figure 3a, and FFPE-sections were stained as above. PD-L1 was again in part distributed to the cytoplasm and the plasma membrane. All untreated or vehicle-treated PDOs were PD-L1 negative (TPS = 0%) and, again, INF γ increased the TPS in all PDOs tested (4 of 4/100%), whereas rosi (at 10 μ M) augmented staining only in the *KRAS* wt PDO of patient P18 (S8, Table S5).

To elucidate the underlying mechanisms of resistance in PDOs toward PPAR γ -agonist-mediated PD-L1 upregulation, we resorted to the Ras pathway. This signaling cascade inactivates PPAR γ by (i) ERK1/2-mediated phosphorylation of serine 84/112 (γ 1/ γ 2) within its N-terminal transcriptional activation domain (AF1) and (ii) nuclear export and cytosolic retention by MEK1/2.³⁷ We hypothesized that this post-translational mechanism also exists in PDOs. To this end, we aligned the *KRAS* gene mutation status of each PDO line³² with its ability to exhibit membrane-accentuated PD-L1 *in situ* expression in FFPE-sections after treatment with rosi (Figure 4b). Herein, PDOs with high Ras activity (*KRAS* mut) were less sensitive than those with weak Ras activity (*KRAS* wt) (Pearson correlation coefficient: $n = 4$ patients: $r = 0.8$, $p = .13$; $n = 6$ all patients: $r = 0.8$, $p = .06$, Table S5).

To test this further, we detected ERK1/2 in PDOs by monitoring their nuclear translocation as a surrogate for active kinase signaling. FFPE-sections (from Figure 4a) were stained with ERK1/2 Ab by IHC. ERK1/2 were localized to the nucleus in the PDO with the activating mutant *KRAS G12C* (P22), whereas the lowest level of nuclear ERK1/2 was found in the *KRAS* wt case (P18) (Figure 4c). Likewise, the highest nuclear accumulation of PPAR γ , as an indicator for its transcriptional activity, was observed in the same *KRAS* wt PDO which had the lowest levels of active nuclear ERK1/2 (Figure 4c).

In sum, the *KRAS* mutation status in PDOs could be in part correlated with a reciprocal subcellular distribution of PPAR γ and ERK1/2 ($*p < .05$, two-way ANOVA with Bonferroni posttests, $n = 4$ cases; $n \geq 3$ fields per patient). A similar pattern was recorded upon subcellular fractionation (SCF) of PDOs from the same two patients, *KRAS* wt (P18) and *KRAS G12C* (P22) ($*p < .05$, two-way ANOVA with Bonferroni posttests, $n = 2$ patients, $n = 3$ replicates per patient) (S9). However, due to the low case numbers assessed, correlation of cellular phenotypes with individual gene mutations (e.g. *Her2*, *PI3KCA*) has to be handled with caution.

LAK adhere to, invade and reduce growth and viability of PDOs

The observed induction of PD-L1 by PPAR γ -agonists allowed us to assess if the lack of response to PD1/PD-L1 blockage in MSS+

CRC can be alleviated by combination with PD-L1-inducing treatments. To test this hypothesis, we generated co-cultures of PDOs with lymphokine-activated killer cells (abbrev. LAK), a mixture of CD3+ CD16+ CD56+ CD8+ NK/T-like cells.⁴⁰ PBMCs were isolated from healthy donors, and suspended lymphocytes stimulated with phytohemagglutinin (PHA at 10 μ g/ml) and IL2 (100–1,000 IU/ml) for 48–72 h, followed by live FC analysis using fluorescence-labeled PD1 detection Ab. Consistent with general knowledge,⁴⁰ LAK contained >80% CD8+ T-lymphocytes, and a subpopulation was PD1+ (>10%) at the cell surface, justifying the use of PD1/PD-L1 blocking Abs in this model system (not shown).

First, the localization of LAK was determined by fluorescence microscopy. PMBCs were isolated from healthy donors as above, and suspended lymphocytes were stimulated with IL2 for 24 h, labeled with Qtracker (red) and co-embedded in MatriGel[®] with intact PDOs (“spheroids”) at an effector:target ratio of 100:1, followed by live cell imaging after 1–3 d. LAK adhered to and partially invaded PDOs (Figure 5a). Again, patient-dependent phenotypes were recorded, as exemplified by the two *KRAS* mutant PDOs, P7 who allowed adhesion of LAK to a higher extent than P22 ($n = 2$ patients, $n \geq 2$ images per patient & day). Herewith, we defined this differential behavior as “Responder to LAK” (abbrev. R) vs. “Non-Responder to LAK” (abbrev. NR) in the consecutive assays.

To detect cell death (Figure 5b), organoids were co-cultured with LAK (as in Figure 5a), and viability was visualized after 1–7 d by annexin (ANX)/propidium iodide (PI) staining and fluorescence imaging. Both, necrotic (PI+) and apoptotic (ANX+) cells were recorded. Note that also LAK suffered from cell death, not only tumor cells. Again, co-cultures with P7 displayed more dead cells ($n = 2$ patients, $n \geq 2$ images per patient & day).

We then asked if co-culture of PDOs with LAK reduces overall cell viability (Figure 5c). Organoids were co-cultivated as in A, followed by colorimetric MTT assay after 5 d. O.D. values were compared between PDO+LAK co-culture (CC) vs. PDO single culture (SC). Cell viability was diminished by 20–50% after co-culture ($*p < .05$ R vs. NR, two-way ANOVA with Bonferroni posttests, $n = 6$ patients, $n = 3$ replicates per patient). Again, co-cultures with P7 were less viable than those with P22. FC allowed the distinction of dead (ANX+ 7AAD+) EpCAM+ PDO cells from CD45+ LAK in single-cell suspensions from Accutase[™]-dissociated 5-d MatriGel[®]-embedded co-cultures (not shown).

Moreover, co-cultures of PDOs with LAK also reduced the proliferation of CRC cells (Figure 5d). FFPE-sections were stained with Ki67 Ab for immunofluorescence microscopy. The ratio of Ki67+ nuclei vs. total nuclei was calculated yielding a reduction in cell growth by 30–65% upon co-culture ($*p < .05$ R vs. NR, two-way ANOVA with Bonferroni posttests, $n = 6$ patients, $n = 3$ replicates per patient). Again, co-cultures with P7 were less proliferative than those with P22. Other patients followed this dichotome

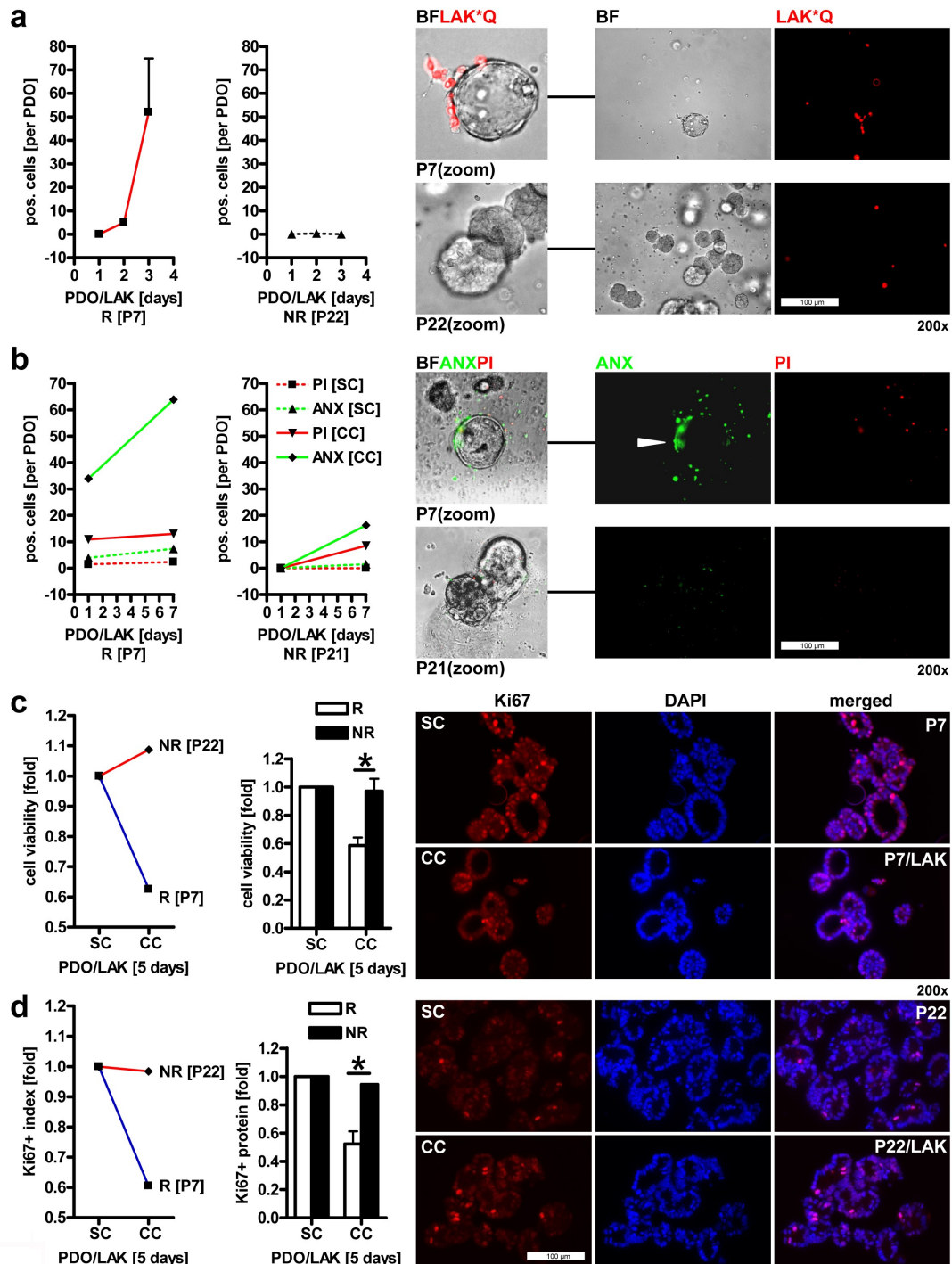


Figure 5. LAK adhere to, invade and reduce growth and viability of CRC PDOs.

A, Localisation of LAK in co-cultures with PDOs. IL2-stimulated allogenic LAK (from healthy donors) were labelled with Qtracker (red, abbrev. “*Q”) and co-embedded in MatriGel® with intact PDOs at an effector:target ratio of 100:1, followed by live cell imaging after 1 to 3 days. Quantitative analysis (left) and representative pictures (right). Data are mean numbers of LAK per PDO (as defined by an intact spheroid) \pm S.E. (n=2 patients, n \geq 2 images per patient & day). NR = “non-responder” PDO (P22) with few adherent LAK; R = “responder” PDO (P7) with many adherent LAK. Color code: red = LAK*Q (labelled); BF (bright field) = PDO. Original magnifications 200x; **B**, Detection of cell death in co-cultures of LAK with PDOs. Organoids were co-cultured as in A, and viability was detected after 1 to 7 days by annexin (ANX) / propidium iodide (PI) staining and fluorescence imaging. Tumor cells and LAK suffered from cell death. Quantitative analysis (left) and representative pictures (right). Data are mean numbers of PI+/ANX+ signals per cell (LAK) or spheroid (PDO) \pm S.E. (n=2 patients, n \geq 2 images per patient & day). NR = “non-responder” PDO (here P21, no signals were recorded for P22) with few dead cells; R = “responder” PDO (P7) with many dead cells in co-cultures. Color code: red = PI (necrosis); green = ANX (apoptosis); BF (bright field) = PDO+LAK. Original magnifications 200x; **C**, Co-culture of PDOs with LAK reduces overall cell viability. Organoids were co-cultured as in A, followed by colorimetric MTT assay after 5 days. O.D. values were calculated as -fold \pm S.E. PDO+LAK co-culture (CC) vs. PDO single culture (SC) (*p<0.05 R vs. NR, 2way-ANOVA with Bonferroni post-tests, n=6 patients, n=3 replicates per patient). Representative (left panel) and all (right panel) patients are presented. NR = “non-responder” PDO (P22) with many viable cells; R = “responder” PDO (P7) with few viable cells after co-culture with LAK; **D**, Co-culture of PDOs with LAK reduces CRC cell proliferation. Organoids were co-cultured as in A for 5 days. FFPE-sections were stained with Ki67 Ab for immunofluorescence microscopy. Quantitative analysis (left) and representative pictures (right). The ratio of Ki67+ nuclei vs. total nuclei was calculated as -fold \pm S.E. (*p<0.05 R vs. NR, 2way-ANOVA with Bonferroni post-tests, n=6 patients, n=3 replicates per patient). Representative (left) and all (right) patients are presented. NR = “non-responder” PDO (P22) with many proliferating cells; R = “responder” PDO (P7) with few proliferating cells after co-culture with LAK. Color code: red = Ki67 (Ab), blue = DAPI (nuclei). Original magnifications 200x.

pattern, partitioning into R (P13, P19) (S10) vs. NR (P21, P30) (not shown).

Conclusively, PDOs differed with regard to their adhesiveness to and growth inhibition by LAK, indicative of individual immunogenic properties for each patient.

PPAR γ -agonist plus IFN γ sensitizes PDOs to PD-L1 Ab in co-cultures with LAK

In search of the underlying molecular players why certain PDOs are responder (R) or non-responder (NR) to LAK, we resorted to custom-made PCR arrays. To characterize selected immune receptor–ligand systems which mediate the cross-talk between LAK and tumor cells, expression profiling of 42 genes was conducted (Table S4). Two *KRAS* mutant PDOs, as again exemplified by P7 (R to LAK) and P22 (NR to LAK), were co-embedded with allogenic (from a healthy donor) or syngenic (autologous, from the matched CRC patient) LAK into MatriGel[®] and co-cultivated for 5 d in the presence or absence of rosi (10 μ M). Total RNA was extracted from PDO+LAK co-cultures (CC) vs. PDO single cultures (SC), and Ct-values from RT-qPCRs (Table S6) were calculated as -fold change according to the $\Delta\Delta$ Ct method for further bioinformatics analysis (S11).

Hierarchical clustering of the PCR data confirmed that P22 expressed less activatory (CPA), but more inhibitory (CPI) checkpoint molecules than P7 (S12). For example, non-classical MHC class I genes whose protein products mediate NK/T cell tolerance/nergy (such as *HLA-G*) were elevated, whereas mRNAs encoding classical MHC class I molecules (*HLA-B/C*) which are required for efficient recognition by CD8+ T-cells were reduced. Similar results were obtained for other immune receptor–ligands (Table S7). Thus, case-dependent expression of checkpoints beyond PD-L1 may explain the differential sensitivity of PDOs to LAK.

Moreover, PPAR γ -agonist altered mRNAs for several immune checkpoints beyond PD-L1 in a patient-dependent manner (Table S8). In cocultures with LAK, rosi increased expression of activatory checkpoints (*TNFRSF4/9/18*) in P7 and decreased inhibitory checkpoints (e.g. *NKG2A*, *PD1*) in P22. However, future experiments have to validate those as potential novel PPAR γ -target genes.

After collecting evidence that PDOs differ in their individual immunogenicity profiles, we asked if this feature is translated to differential recognition and attack by the host immune system in presence of PD1/PD-L1 blocking Abs. To test this vulnerability, organoids were co-cultured with LAK in MatriGel[®] for 5 d in presence or absence of rosi (10–100 μ M) or IFN γ (100 ng/ml) supplemented with either the clinically-in-use blocking Abs, anti-PD1 [pembrolizumab, ADCC incompetent, IgG4] or anti-PD-L1 [atezolizumab, ADCC incompetent, IgG1 mutant (mut)], or an experimental anti-PD-L1 Ab [ADCC competent, IgG1 wild type (wt)] and compared with the respective isotype controls (all at 500 μ g/ml). Thereafter, overall cell viability was measured by MTT assay: Rosi (at 100 μ M) in combination with IFN γ (100 ng/ml) decreased the viability of co-cultures to ~50% compared with single cultures or each agent alone ($*p < .05$, Kruskal–Wallis test with Dunn posttests; $n = 6$ patients, $n \geq 2$ passages per patient, $n \geq 2$ healthy donors per patient) (Figure 6a). However, this

treatment failed to augment the cytotoxic/static effect of ADCC incompetent PD1 blocking Ab (Figure 6b). Instead, the same drug combination further reduced cell viabilities to ~40% in presence of ADCC competent PD-L1wt Ab (Figure 6c), possibly supported by enhanced Ab-target engagement upon pharmacological PD-L1 upregulation along the 5 d of co-culture. Again, the clinically-in-use ADCC incompetent PD-L1 Ab was ineffective, indicative of additional cellular mechanisms strengthening the efficacy of epitope blocking Abs.

Stratification of patients' CRCs according to their *KRAS* mutations (S13) confirmed that PDOs with weak Ras activity (*KRASwt/A146T*) were more sensitive to drug-mediated growth inhibition than PDOs with high Ras activity (*KRASG12D/C*). Nevertheless, all PDOs profited from PD-L1wt Ab treatment (Figure 6d). However, due to limited case numbers, larger prospective studies are necessary to corroborate these associations.

PPAR γ -agonist fails to augment degranulation and cytotoxicity of NK cells toward PDOs

To focus on a professional subgroup of killer cells among the LAK, CD56+ NK cells from healthy donors were enriched by magnetic sorting (MACS). We first tested if PBMC-derived LAK contain functional NK cells (S14a). Allogenic isolated CD56+ NK cells were stimulated with IL2 or left untreated, followed by co-incubation (at an 1:1 effector:target ratio) with Accutase[™]-dissociated single tumor cells from PDOs or K562 target cells (as pos. control, not shown) for 4 h. Thereafter, degranulation of NK cells was measured by staining for CD107a+ (LAMP1+) in FC. The percentage of CD107a+ cells in dot plots was calculated (S14b). Alike K562 cells (not shown), PDOs robustly induced degranulation of IL2-stimulated NK cells ($*p < .05$, two-way ANOVA with Bonferroni posttests, $n = 2$ patients, $n = 1$ –3 passages per patient with $n = 2$ donors per passage).

To confirm that PBMC-derived LAK contain cytotoxic NK cells, cells were co-cultured as above, and death protease release was measured by CytoTox-Fluor[™] Cytotoxicity Assay (S14c). Fluorescence intensity in co-cultures was calculated compared with single PDO cultures ($*p < .05$, two-way ANOVA with Bonferroni posttests, $n = 2$ patients, $n \geq 2$ passages per patient with $n = 2$ donors per passage). As for LAK, PDOs classified into high (R: P7) and low (NR: P22) stimulators of NK cell degranulation and cytotoxicity, underscoring the medical need for tailored immunotherapeutic strategies in individual MSS+ CRC patients.

We finally intended to see if PD-L1 blockage also boosts recognition of PDOs by NK cells as it did for LAK (S14b). Thus, allogenic CD56+ NK cells were generated, followed by co-incubation (at an 1:1 effector:target ratio) with Accutase[™]-dissociated single tumor cells from PDOs, which had been pre-treated for 48 h with rosi (10 μ M) and IFN γ (100 ng/ml), or K562 target cells (not shown) for 4 h in presence or absence of anti-PD-L1 Ab. The percentage of CD107a+ NK cells was calculated.

As expected, blockage of PD-L1 on PDOs by ADCC competent PD-L1wt Ab augmented NK cell degranulation compared with untreated organoids ($*p < .05$, two-way

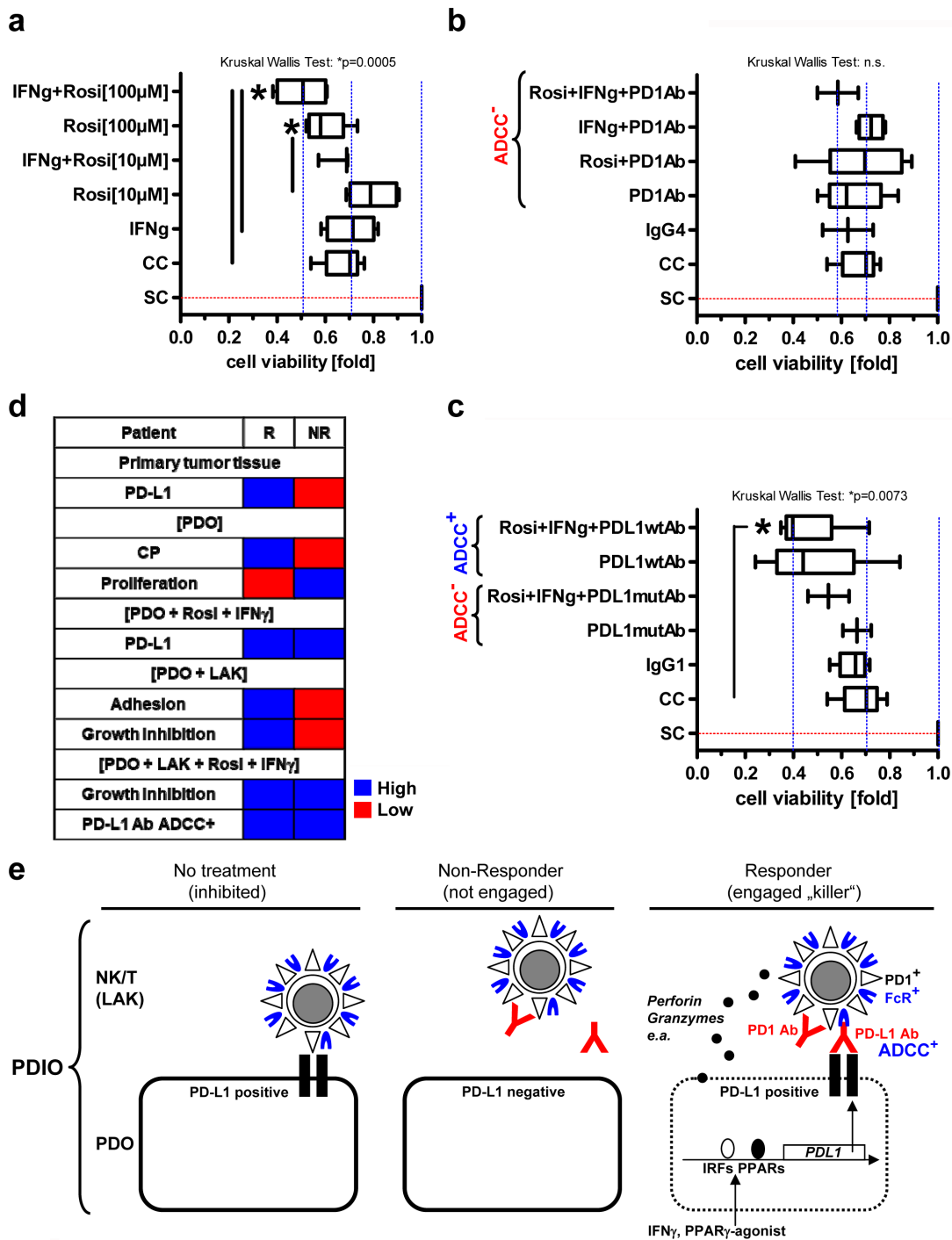


Figure 6. PPAR γ -agonist plus IFN γ sensitizes PDOs to PD-L1 Ab in co-cultures with LAK.

A-C, Organoids were co-cultured with LAK (like in Fig.5) for 5 days in presence or absence of **(A)** rosi (10 or 100 μ M) or IFN γ (100 ng/ml) and **(B)** together with PD1 Ab (pembrolizumab, ADCC-incompetent IgG4) or **(C)** mutant (mut) PD-L1 Ab (atezolizumab, ADCC-incompetent IgG1) or wildtype (wt) PD-L1 Ab (ADCC+ competent IgG1) and compared with the respective isotype controls (all at 500 μ g/ml). Cell viability was measured by MTT assay. O.D. values were calculated as $-\text{fold} \pm \text{S.E.}$ PDO+LAK co-culture (CC) vs. PDO single culture (SC) (* $p < 0.05$, Kruskal Wallis test with Dunn post-tests; $n = 6$ patients, $n \geq 2$ passages per patient, $n \geq 2$ healthy donors per patient); **D,** Alignment of characteristics determining response (R, exemplified by P7) vs. non-response (NR, exemplified by P22) of PDOs to LAK in patients with *KRAS* mutant CRC: R exhibited high levels of PD-L1 and other CPs, slow proliferation, good adhesiveness to and growth inhibition by LAK; NR low levels of PD-L1 and other CPs, rapid proliferation, poor adhesiveness to and no growth inhibition by LAK. Both, R and NR to LAK up-regulated PD-L1 upon exposure to PPAR γ -agonist and displayed growth inhibition in presence of PD-L1 blocking Ab. Comparisons of primary tumor tissues and functional data from co-cultures are presented. Patient-wise information is listed in **(Tables S2&5)**. Color code: blue = high; red = low expression/response; **E,** Proposed model of tumor-immune cell cross-talk in CRC. “Responder” PDOs (R, exemplified by P7) were sensitive, whereas “non-responder” (NR, exemplified by P22) PDOs resistant to NK/T-cell-mediated recognition, growth inhibition and cytotoxicity (“killing”), presumably due to differential expression of regulatory immune checkpoints and other immune-ligand-receptor systems as evinced from our experimental data **(S7-14, Tables S2&5)**. We hypothesize that these highly individualized expression profiles may be exploited by precise tailoring of therapeutic blocking Abs and complemented by ADCC. Pharmacological up-regulation of PD-L1 on tumor cells by interferons or metabolic modifiers (as shown here for PPAR γ ligands) may facilitate Ab-target engagement (as shown here for anti-PD-L1 Abs).

ANOVA with Bonferroni posttests, $n = 2$ patients, $n = 1-3$ passages per patient with $n = 2$ donors per passage). However, the combination of IFN γ with rosi failed to further strengthen recognition of PDOs by NK cells compared with PD-L1wt Ab alone. This finding suggested that drug compounds act both in a patient- and immune cell-type dependent manner.

Conclusively, resistance and/or non-response of MSS+ PDOs to PD1/PD-L1 blockage may be overcome by harnessing of the PD-L1 antigen for improved Ab-target engagement and antitumoral efficacy in CD8 + T- and NK cells.

Discussion

Here, we demonstrated that PPAR γ upregulates PD-L1 in MSS + CRC cells and promotes the antitumoral activity of LAK (mainly CD8+ T-lymphocytes) together with IFN γ and ADCC competent PD-L1 blocking Ab. Modifiers of metabolic reprogramming including ligands for PPARs (α/γ) alter the sensitivity to immune checkpoint Abs in animal models and patients. Overall, metabolic dysfunction seems to be a hallmark of CD8+ T-cell exhaustion.⁴¹ For example, activation of PPAR α by hypoglycemia or hypoxia enhances fatty acid oxidation in tumor-infiltrating CD8+ T-cells followed by increased checkpoint Ab efficacy and attenuation of tumor growth in mice.^{23,24,26} Mechanistically, bezafibrate, a *bona fide* agonist of PPAR α /PPAR γ -coactivator-1-alpha (PGC1 α) transcription augments tumoricidal effects of PD1 blockade by boosting mitochondrial oxidative phosphorylation and proliferation, survival and effector functions of cytotoxic T-cells.

Likewise, PPAR γ in adipose, tumor or immune cells is associated with an altered response to immunotherapy. Here, obesity exerts apparent paradoxical effect on T-cells.³⁰ In general, obesity evokes low-grade inflammation, immune cell aging/exhaustion, tumor progression and PD1-driven T-cell dysfunction. In contrary, obesity also correlates with increased efficacy of PD1/PD-L1 blockade in mice and humans: A retrospective multicenter study of patients with advanced cancers treated with anti-PD1/PD-L1 Abs was conducted regarding clinical outcomes stratified for body mass index (BMI).²⁹ Notably, overall response rate (ORR) and survival (OS/PFS) were higher in overweight/obese patients. Since, PPAR γ is a master transcription factor for adipocyte differentiation,¹⁰ overweight may be regarded as a surrogate for PPAR γ -activity and a tool to improve functions in adipose- and tumor-associated immune cells. Supporting this conjecture, the PD1/PD-L1 axis is altered in peripheral blood cells from individuals with type-2-diabetes-mellitus.⁴² Recent phase II/III clinical trials in lung cancer patients revealed that an elevated BMI is a favorable prognostic factor for overall survival (OS) and response to PD-L1 blockage (atezolizumab) in PD-L1+ tumors.⁴³

In addition to cell-intrinsic mechanisms, para/autocrine factors determine outcomes of Ab or cell-based immunotherapies: As such, PPAR γ in myeloid cells improves the preclinical response to GM-CSF-secreting-tumor-cell-vaccine.²² Instead, others suggested an unfavorable role for anabolic PPAR γ (lipogenesis) vs. catabolic PPAR α (fatty acid oxidation): In bladder cancer patients,⁴⁴ β -catenin, PPAR γ and FGFR3 are activated

in non-T cell-inflamed (“cold”) tumors, and Wnt5a- β -catenin-PPAR γ signaling fosters immune evasion in mouse melanoma.⁴⁵ Adipocytes from visceral fat derived from obese individuals and CRC patients secrete ω 6-polyunsaturated fatty acids to deliver suppressive signals to innate immune cells.⁴⁶

In NK cells, PPARs confer lipotoxicity to limit antitumor responses *in vivo*.²⁵ Obesity evokes lipid accumulation causing “paralysis” of NK cell functions. Herein, PPAR α/δ agonists and natural fatty acids mimic obesity, inhibit glycolysis and abolish delivery of cytotoxic factors from the NK/tumor cell synapse. Natural (15d-PGJ₂) and synthetic (ciglitazone) PPAR γ -agonists compromise IFN γ synthesis and cytotoxic activity of human/murine NK cells.⁴⁷ Thus, metabolic reprogramming of innate and adaptive immune cells in the systemic or local tumor microenvironments via pharmacological targetable nuclear receptors of the PPAR/PGC1 families may be exploited to improve the efficacy of current clinically-in-use checkpoint Ab therapies.

Consistent with this evidence, we demonstrated that PPAR γ binds to the proximal promoter of the human *PDL1* gene followed by upregulation of *PDL1* mRNA and PD-L1 protein expression. Accordingly, others recorded antagonistic effects of PPARs on the *PDL1* promoter, i.e. upregulation by PPAR γ vs. downregulation by PPAR α . For example, PPAR α suppressed PD-L1-driven immune escape in human hepatocellular carcinoma cells.⁴⁸ In contrast, PPAR γ -agonist (rosi) together with IL5-neutralizing Ab prevented chronic rejection of MHC class II-mismatched mouse xenografts³¹ by increasing PD-L1 on grafts and reducing CD8+ T cell and eosinophil infiltration.

PD-L1 expression rises during adipocyte differentiation.⁴⁹ Thus, inhibition of adipogenesis by PPAR γ -antagonists reduced PD-L1 in fat tissue, e.g. in mouse breast cancer. Likewise, PD1 is regulated by PPAR γ in mice to govern host defense against infections and during allergic responses.⁵⁰ As such, PPAR γ -agonists increased, whereas antagonists decreased PD1 on innate lymphoid cells (ILC type 2).

Hence, PPAR γ -mediated upregulation of PD-L1, as evident from our study, proposed an enhanced Ab-target engagement for PD-L1 blocking Ab in the synapse of LAK with tumor cells. Several formats of anti-PD-L1 Abs exist that are either competent in mediating ADCC or incompetent due to different isotypes and Fc portions. This concept was supported by our data from MSS+ CRC cases. PDOs were resistant to PD1/PD-L1 blockage by ADCC incompetent clinical Abs (pembrolizumab, atezolizumab), but sensitive to experimental ADCC competent anti-PD-L1 Ab. In addition to lack of ADCC, Ab efficacy may be obliterated by concomitant presence of alternative inhibitory immune checkpoints as exemplified by the TIGIT/PVR system.⁵¹ Namely, all tumor cell lines and PDOs had high levels of TIGIT receptors (PVR/CD155; PVRL2/CD112), whereas TIGIT blocking Ab reduced viability of PDOs (not shown).

Further, PD-L1 induction and engagement may fail in *KRAS*-mutated PDOs due to post-translational inactivation of PPAR γ .³⁷ PDOs expressed similar levels of total PPAR γ mRNA, as evinced by cDNA array transcription profiling,³² and protein in our hands (not shown). In general, ERK1/2 and PPAR γ are more active when localized to the nucleus, whereas inactive when located in the cytoplasm (e.g. ERK1/2 when unphosphorylated and PPAR γ when bound to MEK1/2).³⁷

Consistently, only the wt *KRAS* PDO had considerable amounts of nuclear PPAR γ and was responsive to PPAR γ -ligand. In contrast, *KRAS* mutant PDOs with constitutively active Ras-MEK1/2-ERK1/2 signaling retained PPAR γ in the cytoplasm attenuating their ability to activate transcription.

Moreover, tumor heterogeneity and frequent polymorphisms in the *PPARG* gene locus (e.g. P12A, P115G)⁵² may alter sensitivity of individual PDOs to ligands, including mutations in distinct PPAR γ domains and phosphorylation epitopes.⁵³ As such, high-Ras activity cases may include a higher percentage of PPAR γ loss-of-function cell clones. Consistent with PPAR γ -inhibition by the Ras-MEK1/2-ERK1/2 pathway,³⁷ *KRAS* wt PDOs responded better to all treatments (LAK, drugs or Abs) than PDOs with constitutively activating *KRAS* mutations (S13). However, small case numbers limit this conclusion. Larger prospective patient studies have to corroborate these associations.

Nevertheless, the question remains whether it is clinically desirable to induce PD-L1 on tumor cells. High PD-L1 expression in patients' tissues *per se* may be negative prognostic, marking immune exhaustion and/or anergy, but positive predictive regarding PD1/PD-L1 Ab engagement at the tumor-immune cell synapse.^{2,3} Hence, PD-L1+ MSI+ solid tumors independently of tissue origin have been approved for checkpoint blockage immunotherapy.^{1,4} In analogy, overexpression of the oncogene Her2 is unfavorable regarding overall survival (OS), but allows efficient Ab-target binding (avidity) in Her2 + patient subgroups, such as in breast and gastric cancer, who then profit from Her2 blocking Abs.

This paradox integrates into the concept to convert a "cold" non-immunogenic MSS+ tumor microenvironment with low immune infiltration into a "hot" inflamed, PD-L1+, MSI+-like environment eligible for checkpoint Ab therapy. As such, PD-L1 positivity in tumor-infiltrating immune cells of MSS+ CRC improved prognosis,⁵⁴ and patients with MSS+ tumors and high tumor mutational burden under PD1 Ab therapy displayed prolonged progression-free survival (PFS).⁵⁵ Overall, a high "immunoscore" in CRC seems to be a better predictor of patient survival than the MSI+ status.^{56,57} Consequently, radiation, chemotherapy or targeted drugs which boost immunogenicity of MSS+ cancers are an emerging theme. In this context, PD-L1 induction by interferons is a promising strategy,⁵⁸ as evinced by pegylated IFN α with PD1 Ab (pembrolizumab) in a phase Ib/II study in advanced melanoma.⁵⁹ Likewise, MEK1/2 inhibitor cobimetinib received attention, however, was not confirmed in phase I/Ib and III clinical studies combined with PD-L1 Ab (atezolizumab) (NCT01988896;⁶⁰ IMblaze370⁶¹). Conclusively, high PD-L1 on tumor cells and low PD-L1 on immune cells including antigen-presenting cells (e.g. dendritic cells, myeloid-derived suppressor cells, macrophages) may be optimal to achieve tumor recognition by and antitumor cytotoxic effects of immune cells. Nevertheless, how to reach this critical balance remains to be elaborated in future studies including innate immune cells. Severe immune-related adverse events (IRAE) limit clinical use of current checkpoint blockers, and dose reduction by combinations is warranted.⁶² In this context, anti-diabetic drugs may be beneficial by counteracting autoimmunity and preserving organ function (e.g. β -cells pancreas)

and may complement steroids exerting anti-inflammatory effects.¹¹

As a perspective, alternative immune checkpoints beyond PD1/PD-L1 were identified in our PCR arrays, which may be addressed in future clinical applications. Differential *a priori* expression profiles of immune receptor–ligand systems correlated with different sensitivities of individual PDOs toward recognition, growth inhibition and killing by LAK, a mixture of T/NK cells, personalized features of immunogenicity which may be translated to individualized immunotherapies. Of note, PDOs with few immune checkpoints, poor adhesiveness and rapid growth were less efficiently recognized and killed by LAK than those with many immune checkpoints, good adhesiveness and slow growth (Figure 6d).

Hence, individual immunogenicity profiles of patients' PDOs (Tables S5 and S7) could allow stratification of single cases in "responder" (R) vs. "non-responder" (NR) to LAK, and immunogenicity-enhancing drugs may help to convert NR toward R (Figure 6e). As exemplified in our study, resistance/non-response to clinical PD1/PD-L1 Abs was relieved by an experimental ADCC competent PD-L1 Ab in combination with PPAR γ -agonist plus IFN γ in all PDOs tested. Both "NR and R to LAK" cases profited from drug-mediated upregulation of PD-L1 (and other immune genes) and antitumoral effects (Table S8).

However, the effects of PPAR γ agonists cannot be attributed to PD-L1 upregulation alone, instead a plethora of target genes contributes to growth inhibition complemented by non-genomic receptor-independent effects (e.g. on mitochondria). Overall, pio was the weaker and more transiently active agonist than rosi in our assays. This may be due to different pharmacological stability of the agent in the medium, cells or MatriGel.³² We also used high concentrations of glitazones (μ M) to guarantee diffusion and permeability in 3D matrices; thus, translatability of the observed *in vitro* data is warranted. In other words, the pleiotropic targets of transcription factors driven by interferons and PPAR ligands, affecting the transcriptomes of both immune and epithelial cells (e.g. cell cycle regulator *P21*), shall culminate in a common outcome, i.e. reduced viability and/or proliferation of tumor stem cells.¹¹ Since morphological and functional phenotypes of PDOs and LAK are mutually influenced in a bidirectional cross-talk in the

Abbreviations

7AAD	7-Aminoactinomycin D
Ab	Antibody
ACO	Acyl-CoA oxidase
ADCC	Antibody-dependent cellular cytotoxicity
APC	Antigen-presenting cells
CC	Co-culture
CD	Cluster of differentiation
ChIP	Chromatin-immunoprecipitation
CPA/I	Activatory/inhibitory (immune) checkpoint
CPS	Combined positivity score
CRC	Colorectal cancer
EpCAM	Epithelial cell adhesion molecule
ERK	Extracellular signal-regulated kinase
Fc	Fragment crystallizable (Ab isotype)
FC	Flow cytometry
FFPE	Formalin-fixed (agarose) paraffin-embedded
GW	GW9662

(Continued)

(Continued).

H&E	Hematoxylin and eosin
IC	Inflammatory cell (score)
IF	Immunofluorescence
IFN	Interferon
IgG	Immunoglobulin G
IHC	Immunohistochemistry
IL	Interleukin
IRF	Interferon regulatory factor
ITC	Isotype control
LAK	Lymphokine-activated killer cells
LNO ₂	10-Nitrolinoleate
MEK	Mitogen-activated protein kinase kinase
MSI/MSS	Microsatellite instable/stable
NK	Natural killer
OD	Optical density
PBMCs	Peripheral blood mononuclear cells
PD1	Programmed cell death 1 (<i>PDCD1/CD279</i>)
PD-L1	Programmed cell death 1 ligand 1 (<i>PDCD1LG1/CD274</i>)
PDO	Patient-derived (tumor) organoid
PDIO	Patient-derived immune organoid
pio	Pioglitazone
PPAR γ	Peroxisome proliferator-activated receptor gamma
PPRE	PPAR γ -responsive (DNA binding) element
R/NR	Responder/non-responder (to LAK)
RAS	Rous sarcoma oncogene
rosi	Rosiglitazone
SC	Single culture
TPS	Tumor proportion score
WB	Western blot
WT	Wild type

cocultures and the real-life tumor microenvironment, future in-depth dissection of cell type-specific PPAR-dependent vs. ligand-mediated mechanisms are necessary.

Unexpectedly, rosi added on the efficacy of ADCC competent PD-L1 Ab only in co-cultures with LAK but not with purified NK cells. This discrepancy could be explained by PPAR γ -mediated lipotoxicity evoking NK cell dysfunction.²⁵ Since our LAK cells contained mainly CD8⁺ cytotoxic T-cells, one may conclude that rosi triggers antitumoral effects predominantly in the cross-talk of PDOs with this lymphoid subset. Since clinical ADCC incompetent PD1/PD-L1 Abs (pembrolizumab, atezolizumab) were ineffective against PDOs in all settings tested, the role of the Fc portion of these therapeutics could be reconsidered despite safety concerns.

In summary, metabolic reprogramming of innate or adaptive immune cells by repurposing of approved anti-diabetic drugs and biologicals (like interferons and metabolic modifiers such as metformin or PPAR-ligands) may reinforce Ab-target engagement by induction of PD-L1 (and other checkpoints), reduce effective doses of therapeutic Abs and thereby prevent adverse effects, lower costs and envision eligibility for non-MSI + patients (see model in Figure 6e).

Author contributions

All authors cooperated and contributed to, critically reviewed and approved the manuscript. EB wrote the paper. AC, EB and ME defined the research theme. BL, EB, FH, ID, JB, JP, JR, KK, LH, MEc, PW, TGu, TS, VH, WW designed methods and carried out the experiments. EB, JP, KK, PW and TGu analyzed the data and interpreted the results. TGu gave patient samples, performed and analysed immunohistochemistry stainings. ME, JB, SB and TZ collected biopsies and conducted clinical studies.

CS, JB, TZ and MB provided bioinformatics and sequencing data.

Disclosure of Potential Conflicts of Interest

The authors declare no conflicts of interest.

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