

# Longitudinal profiling of circulating miRNA during cardiac allograft rejection: a proof-of-concept study

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## Abstract

**Aims** Allograft rejection following heart transplantation (HTx) is a serious complication even in the era of modern immunosuppressive regimens and causes up to a third of early deaths after HTx. Allograft rejection is mediated by a cascade of immune mechanisms leading to acute cellular rejection (ACR) and/or antibody-mediated rejection (AMR). The gold standard for monitoring allograft rejection is invasive endomyocardial biopsy that exposes patients to complications. Little is known about the potential of circulating miRNAs as biomarkers to detect cardiac allograft rejection. We here present a systematic analysis of circulating miRNAs as biomarkers and predictors for allograft rejection after HTx using next-generation small RNA sequencing.

**Methods and results** We used next-generation small RNA sequencing to investigate circulating miRNAs among HTx recipients (10 healthy controls, 10 heart failure patients, 13 ACR, and 10 AMR). MiRNA profiling was performed at different time points before, during, and after resolution of the rejection episode. We found three miRNAs with significantly increased serum levels in patients with biopsy-proven cardiac rejection when compared with patients without rejection: hsa-miR-139-5p, hsa-miR-151a-5p, and hsa-miR-186-5p. We identified miRNAs that may serve as potential predictors for the subsequent development of ACR: hsa-miR-29c-3p (ACR) and hsa-miR-486-5p (AMR). Overall, hsa-miR-486-5p was most strongly associated with acute rejection episodes.

**Conclusions** Monitoring cardiac allograft rejection using circulating miRNAs might represent an alternative strategy to invasive endomyocardial biopsy.

**Keywords** Heart transplantation; MiRNA; Allograft rejection; Biomarker

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## Introduction

Heart transplantation (HTx) is the only causative therapy for end-stage heart failure (HF) patients. Over 5000 hearts are transplanted yearly.<sup>1</sup> Although the overall 1 year survival of 86% observed between 2009 and 2013 represents an improvement compared with previous eras, graft failure caused by rejection remains the leading cause of death beyond 30 days after transplantation.<sup>1</sup> The two major rejection mechanisms leading to allograft rejection are

acute cellular rejection (ACR) and antibody-mediated rejection (AMR). Endomyocardial biopsy is the gold standard for monitoring allograft rejection, but the need for frequent biopsies during the first year after HTx exposes patients to complications such as significant patient discomfort, valve injury, and the risk of potentially life-threatening complications including cardiac perforation, tamponade, and arrhythmias.<sup>2,3</sup> Thus, there is a need for new methods for non-invasive graft rejection monitoring.

Potential biomarkers of rejection derived by peripheral blood sampling have been studied. One commercially available bioassay to test for allograft rejection, Allomap (XDx, Brisbane, CA), is based on leucocyte gene expression profiling.<sup>4</sup> Other examples include human leucocyte antigen antibodies, anti-endothelial cell antibodies, soluble CD30 from membrane glycoprotein, CXCR3-binding chemokines, and IFN- $\gamma$  ELISPOT assay or flow cytometry, although these offer insufficient specificity and sensitivity to differentiate rejections from other immune responses.<sup>5</sup> Classic cardiac biomarkers such as troponin and brain natriuretic peptide have also been investigated but due to the non-specificity for the immunological process have not been established as distinct markers of rejection.<sup>6–8</sup> Most recently, cell-free DNA (cfDNA)-based methods are being developed.<sup>9–11</sup> The concept of utilizing cfDNA as rejection biomarker is based on the release of genomic DNA during cell death, and initial studies show that circulating cfDNA levels correlate with severity of HF and that donor-derived cell-free DNA measurements show promise in being able to detect (or rule out) acute rejection.<sup>12–14</sup>

MiRNAs represent a class of regulatory short single-stranded non-coding RNAs with tissue specificity that can be detected in the blood.<sup>15</sup> Although their regulatory mechanisms and specific targets are not completely understood, they are promising candidates for allograft rejection biomarkers.<sup>16</sup> Evidence suggests that urinary or serum miRNAs may be used for allograft rejection monitoring for kidney transplant rejection.<sup>17–19</sup> Plasma miRNA may be a marker for acute rejection in liver transplantation.<sup>20</sup> Further, profiles of miRNAs have been shown to be able to indicate the severity of HF.<sup>21</sup>

Limited data on circulating miRNA in cardiac rejection are available from animal models, and a small number of human studies using quantitative polymerase chain reaction (qPCR)-based profiling assays have been conducted.<sup>22–25</sup> However, these studies all used a biased approach focusing on preselected miRNAs with a known function relevant to cardiac allograft rejection, endothelial activation, injury, and vascular inflammation.

Here, we present the, to our knowledge, first study using next-generation small RNA sequencing to investigate circulating miRNAs among HTx recipients and their potential for the prediction and detection of allograft rejection.

## Material and methods

### Study design and clinical data

We included a total of 43 patients, recruited at New York Presbyterian/Columbia University Medical Center, with serum samples ranging from January 2003 to October 2013.

The cohort consisted of four groups: a group of 10 control patients with HF but no HTx (Ctr), a group of 10 patients following HTx but no allograft rejection (TX), a group of 13 patients following HTx who were diagnosed with ACR (all International Society for Heart and Lung Transplantation Grade 3A), and a group of 10 patients following HTx who presented with AMR. Serial samples were analysed from patients with ACR and AMR (ACR: mean of 3.8 per patient and AMR: mean of 3.5 samples per patient) at various time points before, during, and after rejection in order to detect dynamic changes in miRNA profiles related to rejection.

### Sample collection

Blood was obtained from all patients and processed for miRNA isolation from serum. The samples were stored at  $-80^{\circ}\text{C}$  until analysis. In the rejection cohort, samples from before and after the rejection episode were available for analysis, collected at the time of cardiac allograft implantation or, respectively, during the first follow-up surveillance myocardial biopsy visit showing no evidence of rejection on histology. Rejection episodes were defined by endomyocardial biopsy, the gold standard for the detection of cardiac allograft rejection. The rejection type was determined according to the International Society for Heart and Lung Transplantation guidelines for HTx rejection by interpretation by two independent experienced cardiac transplant pathologists at the Columbia University Department of Pathology. The study was approved by the Institutional Review Board at Columbia University. The investigation conforms to the principles outlined in the Declaration of Helsinki.

The detailed protocols on RNA isolation, sRNA library preparation, and bioinformatic methods can be found in Supporting Information, *Data S1*.

In brief, total RNA was isolated from 425  $\mu\text{L}$  serum using commercially available kits; 8.5  $\mu\text{L}$  RNA was used for sRNAseq cDNA library preparation. The cDNA library preparation for the tissue samples was performed according to a previously published protocol.<sup>26</sup>

### Bioinformatics analysis of RNA sequencing

Details on the methodological considerations of the bioinformatical analysis can be found in the Supporting Information, *Data S1*. In brief, we analysed the FASTQ output files from the HiSeq 2500 next-generation sequencing platform using a pipeline inspired by methods presented by Farazi *et al.*<sup>27</sup> and Brown *et al.*<sup>28</sup> The principal variations of these analysis guidelines were the use of Cutadapt<sup>29</sup> for trimming and demultiplexing, Bowtie<sup>30</sup> for simple alignments, and miRdeep2<sup>31–37</sup> for the quantification of miRNA levels. For the differential abundance analysis, we used DESeq2.<sup>38,39</sup>

We performed this analysis on each possible pair of case-control groups, the control group always being the group 'transplant without rejection (TX)'. Case patients were patients who had an allograft rejection episode at any point of the longitudinal study. The differential abundance analysis returned the list of miRNAs with the average count, a log<sub>2</sub> fold change (logFC) for the case-control comparison, a P-value from Wald test, and an adjusted P-value using the Benjamini-Hochberg method,<sup>40</sup> reporting the false discovery rate. MiRNAs with an false discovery rate ≤0.05 were considered statistically significant.

## Identification of biomarker miRNAs and prediction of abundance levels

We evaluated how predictive the significant miRNAs were by defining abundance level thresholds when considering a unique miRNA or linear combinations of features using logistic regression with least absolute shrinkage and selection operator (L1 regularization) when using multiple miRNAs. We built receiver operating characteristic curves, computed the area under the curve (AUC), and evaluated these metrics on the whole training set with cross-validation, as well as by using a leave-one-out (LOO) strategy. Details on this approach can be found in Supporting Information, Data S1. This approach enabled us to evaluate how generalizable the normalized levels of significant miRNAs can be to classify a new patient.

## Results

### Patient cohort

Twenty-three patients were HTx recipients: of these, the majority were male (80% for AMR; 69% for ACR). Mean age was 48 ± 10 years in the AMR cohort and 51 ± 14 years for the ACR group. The aetiology of end-stage HF leading to transplant was a non-ischaemic cardiomyopathy in

two-thirds of cases, after coronary artery disease had been ruled out (Table 1).

### MiRNAs as stable markers of rejection

We assessed for miRNAs that are significant at all time for a given type of rejection (AMR, ACR, or ACR + AMR). For ACR, hsa-miR-615-3p, hsa-miR-186-5p, hsa-miR-139-5p, and hsa-miR-151a-5p were significantly different before, during, and after ACR (all increased) (Figure 1). For AMR, eight miRNAs were significant across all time points: hsa-miR-3615, hsa-miR-361-5p, hsa-miR-186-5p, hsa-miR-139-5p, hsa-miR-151a-5p, hsa-miR-150-5p, hsa-miR-127-3p, which were increased, and hsa-miR-182-5p, which was decreased (Figure 2). Finally, the analysis combining both types of rejection (AMR + ACR) highlighted eight miRNAs significant at all time points: hsa-miR-186-5p, hsa-miR-3615, hsa-miR-18a-5p, hsa-miR-139-5p, hsa-miR-151a-5p, hsa-miR-150-5p, hsa-miR-127-3p, which were increased, and hsa-miR-486-5p, which was decreased (Supporting Information, Data S2 and Table S9).

Three miRNAs were consistently increased across all time points ( $P < 0.005$ ) for all types of rejection: hsa-miR-139-5p with an average log fold change (logFC) of 5.26 ± 0.37 in ACR and 4.80 ± 0.41 in AMR, hsa-miR-151a-5p with 4.22 ± 0.35 in ACR and 4.50 ± 0.48 in AMR, and hsa-miR-186-5p with an average logFC of 5.22 ± 0.29 in ACR and 5.52 ± 0.30 in AMR, all compared with transplant with no rejection subjects. These miRNAs with a consistently higher abundance in patients who have developed cardiac allograft rejections in their post-transplant course might be an expression of an immunological predisposition to develop significant rejection episodes.

### Rejection treatment-sensitive miRNAs

Next, we assessed for miRNAs that would have a significant change in abundance both before and during the rejection [compared with controls (TX group)], but with no significant change after rejection treatment. For ACR, we identified four

**Table 1** Patient demographics

	AMR (n = 10)	ACR (n = 13)	No rejection—TX (n = 10)	No transplant—Ctr (n = 10)	P
Male, n (%)	8 (80)	9 (69)	8 (80)	5 (50)	0.42
Age (years)	48 ± 10	51 ± 14	46 ± 23	60 ± 19	0.17
Aetiology, n (%)					0.24
ICM	3 (30)	3 (23)	5 (50)	1 (10)	
NICM	7 (70)	10 (67)	5 (50)	9 (90)	
Medications					
Antiarrhythmics	5 (50)	3 (23)	0	2 (20)	0.22
Inotropes/pressors	4 (40)	7 (54)	0	0	0.68
Steroids	2 (20)	11 (85)	6 (60)	0	0.003

ACR, acute cellular rejection; AMR, antibody-mediated rejection; ICM, ischaemic cardiomyopathy; NICM, non-ischaemic cardiomyopathy.

**Figure 1** Significant miRNA abundance changes before, during, and after acute cellular rejection (ACR). Down-regulated in blue, up-regulated in yellow, and greyed out where non-significant ( $P \geq 0.05$ ).

miRNA	preACR			atACR			postACR		
	log(FC)	IfcSE	adj pval	log(FC)	IfcSE	adj pval	log(FC)	IfcSE	adj pval
hsa-miR-615-3p	7.574	1.277	1.47E-06	3.926	1.161	3.44E-02	4.772	1.351	2.00E-02
hsa-miR-142-3p	5.476	0.980	5.56E-06						
hsa-miR-186-5p	5.458	1.000	5.95E-06	5.399	0.955	5.57E-06	4.815	0.986	2.50E-04
hsa-miR-30b-5p	5.759	1.056	5.95E-06						
hsa-miR-151a-5p	4.701	0.916	2.81E-05	4.031	0.912	7.96E-04	3.913	0.774	2.08E-04
hsa-miR-30c-5p	4.756	0.965	6.64E-05						
hsa-miR-18a-5p	4.712	1.008	2.05E-04						
hsa-miR-139-5p	4.748	1.032	2.55E-04	5.450	0.979	5.57E-06	5.590	1.174	3.10E-04
hsa-miR-3615	5.013	1.145	6.44E-04	4.372	0.995	7.96E-04			
hsa-miR-345-5p	5.883	1.385	1.05E-03						
hsa-miR-361-5p	5.658	1.346	1.17E-03				5.689	1.551	1.47E-02
hsa-miR-127-3p	4.006	0.970	1.47E-03						
hsa-miR-21-3p	5.020	1.250	2.20E-03	4.613	1.043	7.96E-04			
hsa-miR-135a-5p	5.345	1.364	3.05E-03						
hsa-miR-29c-5p	5.875	1.504	3.05E-03						
hsa-miR-200a-3p	4.902	1.366	1.01E-02						
hsa-miR-363-3p	-2.171	0.624	1.37E-02						
hsa-miR-9-5p	5.085	1.457	1.37E-02						
hsa-miR-29c-3p	-1.128	0.326	1.37E-02						
hsa-miR-671-5p	2.729	0.811	1.86E-02						
hsa-miR-190a-5p	-1.944	0.594	2.44E-02				-2.596	0.649	5.11E-03
hsa-miR-486-5p	-2.057	0.632	2.50E-02				-1.975	0.456	1.75E-03
hsa-miR-296-3p	3.626	1.131	2.86E-02						
hsa-miR-106b-5p	-1.623	0.513	3.03E-02						
hsa-miR-143-3p	2.751	0.869	3.03E-02						
hsa-miR-150-5p	2.732	0.885	3.63E-02	3.138	0.911	3.12E-02			
hsa-miR-18b-5p	3.732	1.207	3.63E-02						
hsa-miR-30a-3p	2.285	0.744	3.71E-02						
hsa-miR-604	-4.615	1.510	3.76E-02						
hsa-miR-548d-5p	-2.986	0.987	4.01E-02						
hsa-miR-18a-5p				4.538	1.007	7.96E-04			
hsa-miR-656-3p				3.378	0.982	3.12E-02			
hsa-miR-615-5p							2.702	0.652	3.33E-03
hsa-miR-151b							3.014	0.812	1.43E-02
hsa-miR-155-5p							1.910	0.527	1.56E-02

miRNAs that appeared to return to a level similar as the non-rejection group (TX): hsa-miR-21-3p, hsa-miR-3615, hsa-miR-18a-5p, and hsa-miR-150-5p. For AMR, three miRNAs present a similar pattern: hsa-miR-5701, hsa-miR-486-5p, and hsa-miR-96-5p. Elevated levels of these miRNAs before the histologically confirmed rejection episode is diagnosed could be indicative of preclinical rejection processes and therefore early markers of rejection.

### Predictors and biomarkers

For this section, we assessed how the miRNAs identified previously can be predictive at the individual level, as some

miRNAs might have significant abundance changes but without sufficient predictive power across all samples. For this, we selected an AUC cut-off of 0.9.

We termed miRNAs that correctly classify ‘rejection’ patients before the rejection episode has occurred as ‘predictors’, and miRNAs than correctly classify patients at the time of the rejection as ‘biomarkers’.

### Single predictors of rejection

We evaluated the ability of measured changes in miRNA levels to predict a rejection at the first measurement after transplantation, before any histologically diagnosed rejection

**Figure 2** Significant miRNA abundance changes before, during, and after antibody-mediated rejection (AMR). Down-regulated in blue, up-regulated in yellow, and greyed out where non-significant ( $P \geq 0.05$ ).

miRNA	preAMR			atAMR			postAMR		
	log(FC)	IfcSE	adj pval	log(FC)	IfcSE	adj pval	log(FC)	IfcSE	adj pval
hsa-miR-151a-5p	5.177	0.928	1.03E-05	4.184	0.887	6.94E-04	4.125	0.886	1.34E-04
hsa-miR-186-5p	5.836	1.080	1.39E-05	5.114	1.012	2.53E-04	5.612	0.934	3.03E-07
hsa-miR-18a-5p	5.699	1.070	1.40E-05				4.332	0.998	4.28E-04
hsa-miR-139-5p	5.237	1.033	3.89E-05	4.254	0.995	3.70E-03	4.902	0.928	1.40E-05
hsa-miR-3615	6.175	1.233	3.89E-05	4.950	1.205	5.09E-03	4.914	1.031	1.04E-04
hsa-miR-486-5p	-2.257	0.449	3.89E-05	-2.050	0.507	5.09E-03			
hsa-miR-18b-5p	5.282	1.136	2.00E-04				3.893	1.025	3.97E-03
hsa-miR-5701	6.004	1.305	2.23E-04	4.307	1.326	4.67E-02			
hsa-miR-30b-5p	4.490	0.998	3.24E-04						
hsa-miR-361-5p	6.036	1.376	4.93E-04	4.801	1.486	4.67E-02	5.769	1.283	2.28E-04
hsa-miR-375	4.945	1.192	1.30E-03				4.233	1.353	2.29E-02
hsa-miR-150-5p	3.714	0.902	1.37E-03	4.073	1.002	5.09E-03	3.948	0.841	1.26E-04
hsa-miR-30c-5p	3.464	0.922	5.61E-03						
hsa-miR-345-5p	4.523	1.328	2.00E-02						
hsa-miR-96-5p	-2.808	0.838	2.30E-02	-2.755	0.752	1.33E-02			
hsa-miR-182-5p	-1.775	0.547	3.00E-02	-1.854	0.503	1.33E-02	-1.847	0.594	2.29E-02
hsa-miR-656-3p	3.907	1.207	3.00E-02						
hsa-miR-127-3p	3.301	1.038	3.33E-02	3.439	0.935	1.33E-02	4.346	0.954	1.91E-04
hsa-miR-142-3p	2.958	0.931	3.33E-02						
hsa-miR-503-5p	1.659	0.535	4.10E-02						
hsa-miR-9-5p	4.458	1.462	4.65E-02				4.652	1.320	8.02E-03
hsa-miR-29c-3p				-1.062	0.278	1.09E-02			
hsa-miR-629-5p				-1.855	0.501	1.33E-02			
hsa-miR-15a-5p				-1.253	0.383	4.67E-02			
hsa-miR-4286				2.238	0.680	4.67E-02			
hsa-miR-624-5p				-3.162	0.982	4.67E-02			
hsa-miR-615-3p							7.373	1.177	1.23E-07
hsa-miR-29c-5p							5.995	1.169	2.43E-05
hsa-miR-200a-3p							5.545	1.116	4.47E-05
hsa-miR-22-3p							1.171	0.327	7.61E-03
hsa-miR-30c-2-3p							4.132	1.146	7.61E-03
hsa-miR-3168							2.983	0.832	7.61E-03
hsa-let-7g-5p							-1.364	0.388	8.02E-03
hsa-miR-106b-5p							-1.989	0.568	8.02E-03
hsa-miR-1290							-2.838	0.809	8.02E-03
hsa-miR-497-5p							2.953	0.850	8.37E-03
hsa-miR-193a-5p							1.532	0.446	9.34E-03
hsa-miR-873-5p							4.535	1.337	1.04E-02
hsa-let-7a-5p							-1.338	0.407	1.46E-02
hsa-miR-1307-5p							1.415	0.451	2.29E-02
hsa-miR-143-3p							2.588	0.833	2.29E-02
hsa-miR-1246							-2.542	0.828	2.51E-02
hsa-miR-27a-5p							-1.628	0.559	3.93E-02
hsa-miR-30a-3p							2.134	0.730	3.93E-02
hsa-miR-941							-2.084	0.718	3.94E-02

is noted. This evaluation represents the ability of a miRNA to correctly classify patients between rejection (ACR and/or AMR) and no rejection (TX). Our first evaluation involved using the normalized abundance counts and compute specificity and sensitivity of a model only relying on these to perform

the classification. As ACR predictor (elevated level before an ACR episode is diagnosed by biopsy), the miRNAs with abundance changes who performed the best with this method were hsa-miR-29c-3p (AUC = 0.938), hsa-miR-486-5p (AUC = 0.938), and hsa-miR-615-3p (AUC = 0.913). The only

miRNA with a LOO AUC above 0.9 showing sufficient generalizability was hsa-miR-29c-3p (LOO AUC = 0.925) (Supporting Information, *Figure S5A*).

As AMR predictor, we observed only two predictors with  $AUC > 90\%$ : hsa-miR-486-5p ( $AUC = 0.986$ ) and hsa-miR-182-5p ( $AUC = 0.914$ ). Only hsa-miR-486-5p presented a satisfying LOO AUC of 0.986 (Supporting Information, *Figure S6A*). Combining the pre-AMR and pre-ACR groups in the differential analysis against the TX control, only hsa-miR-486-5p emerged as a consistent predictor when combining the rejection types for both AUC (0.96) and LOO AUC (0.927) (Supporting Information, *Figure S7A*). In this context, this miRNA could be interpreted as a general predictor for allograft rejection irrespective of the type of rejection, ACR or AMR.

### Single biomarkers of rejection

We also studied the miRNAs with significant abundance change at the time of rejection (i.e. at ACR and at AMR) and evaluated their classification power between the ACR, AMR, and TX control patients.

Out of the nine miRNAs with abundance change at the diagnosis time point of ACR, no miRNA presented an AUC above 0.9, with the best biomarker being hsa-miR-615-3p ( $AUC = 0.755$  and LOO AUC = 0.755) (Supporting Information, *Figure S5B*).

For AMR, three potential biomarkers of allograft rejection emerged: hsa-miR-29c-3p ( $AUC = 0.967$ ), hsa-miR-486-5p ( $AUC = 0.944$ ), and hsa-miR-629-5p ( $AUC = 0.9$ ). Only hsa-miR-29c-3p presented acceptable generalizability with a LOO AUC of 0.944 (Supporting Information, *Figure S6B*).

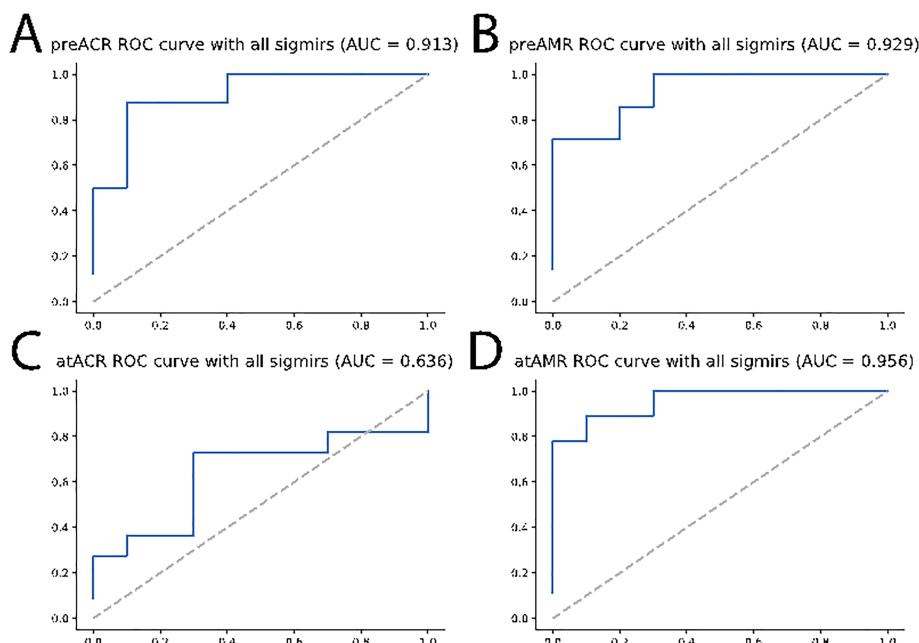
Combining both groups, only hsa-miR-486-5p appears to be a satisfactory biomarker, with  $AUC = 0.895$  and LOO AUC of 0.9 (Supporting Information, *Figure S7B*).

Post-treatment receiver operating characteristic curves for AMR, ACR, and AMR + ACR groups are in Supporting Information, *Figures S5C, S6C*, and *S7C*.

### Combining biomarkers of acute cellular rejection and antibody-mediated rejection

We also evaluated the LOO AUC by using all significant miRNAs identified for each case group as previously in order to assess how generalizable a combination of these biomarkers would be to classify new patients. For this, pre-ACR markers showed a LOO AUC of 0.913, and pre-AMR presented a high LOO AUC of 0.929. At rejection, significant miRNAs in ACR performed only with a LOO AUC of 0.636, while AMR biomarkers scored a LOO AUC of 0.956 (*Figure 3*). Finally, combining abundance levels between AMR and ACR groups, the significant miRNAs scored a LOO AUC of 0.64 before rejection and 0.65 at rejection (Supporting Information, *Figure S8A* and *S8B*). The observation that combining miRNAs

**Figure 3** Receiver operating characteristic (ROC) curves from logistic regression with least absolute shrinkage and selection operator penalty evaluated with leave-one-out testing for predicting rejection (A) before acute cellular rejection (pre-ACR) and (B) before antibody-mediated rejection (pre-AMR) and identifying rejection (C) at ACR and (D) at AMR. AUC, area under the curve.



of both ACR and AMR leads to a poorer classification result suggests that different miRNAs are relevant for cellular vs. antibody-mediated graft rejection processes.

## Discussion

In this study, we used next-generation sequencing to identify miRNAs associated with cardiac allograft rejection. MiRNAs have ideal features for being potential biomarkers<sup>41</sup>: they can be easily extracted from blood, have a high tissue specificity, are highly stable as ribonucleic acid, and can be relatively easily and cost-efficiently quantified even in a multi-marker approach with modern sequencing technologies. Our analysis focused on a set of patients presenting at various time points following HTx who suffered from cardiac allograft rejection. This longitudinal analysis is a major strength of this analysis. This allowed the characterization of miRNA patterns of patients following HTx with and without allograft rejection.

We studied both types of rejection: ACR and AMR. We identified three miRNAs with significant abundance changes for both types of rejection and at all time points: hsa-miR-139-5p, hsa-miR-151a-5p, and hsa-miR-186-5p. These miRNAs, showing a consistently higher abundance in transplant patients who develop an allograft rejection episode, might be an expression of an immunological predisposition to develop significant rejection episodes. Little is known about these specific miRNAs, but miR-139-5p has been linked to cardiac apoptotic pathways<sup>42</sup> and interestingly in this context of rejection processes to T lymphocyte immune mechanisms.<sup>43</sup> miR-151 has been linked to myocardial damage<sup>44</sup> and similarly apoptotic processes.<sup>45</sup> A larger body of research on miR-186 is available, with strong mechanistic evidence for its role in autophagy.<sup>46,47</sup>

An important clinical scenario in which miRNA-based biomarkers can be of great value is the detection of an allograft rejection before that rejection episode becomes histologically apparent or clinically relevant. Such a pre-rejection diagnosis with high diagnostic power would allow a pre-emptive adjustment of the immunosuppressive regimen. We were able to perform an analysis on samples taken from our patients before a histologically confirmed rejection episode developed and could identify several miRNAs that might qualify as predictors of rejection: for the prediction of allograft rejection, we demonstrated with two different methods that hsa-miR-29c-3p can identify patients who will have ACR from baseline controls, whereas hsa-miR-486-5p can classify patients who will have AMR before a rejection, diagnosed by conventional methods, develops.

Attempting to identify potential miRNA biomarkers of rejection, we applied the previously mentioned stringent criteria to candidates for both types of cardiac rejection (ACR and AMR); however, classification by abundance and logistic regression with LOO cross-validation found no miRNAs in common with

an AUC above 0.9 for ACR. Only hsa-miR-29c-3p seemed to be a satisfactory biomarker for AMR. This particular miRNA might be an interesting candidate for the development of a miRNA-based biomarker test to detect AMR. Mir-29c has mostly been described in the oncological literature, but recently, this miRNA has been found to be part of a miRNA signature associated with lung transplant allograft dysfunction<sup>48</sup> as well as kidney transplant surveillance.<sup>49</sup>

Several studies have been published investigating various modalities of non-invasive allograft rejection surveillance using DNA, RNA, and protein-based approaches. The initial studies designed based on the concept of a 'liquid biopsy' to assess for allograft rejection were the CARGO and CARGO-II studies, from which AlloMap was marketed.<sup>50</sup> AlloMap analyses a leucocyte gene abundance profile to make predictions on rejection events. In other, small studies, a murine model of HTx showed that miR-182 levels increased dramatically during rejection of an HTx.<sup>23</sup> In a pilot study on 10 patients using qPCR-based profiling assays, six miRNAs (miR-326, miR-142-3p, miR-101, miR-144, miR-27a, and miR-424) were found to be significantly increased during rejection compared with before rejection.<sup>25</sup> The largest study analysing circulating miRNAs as potential biomarkers of acute allograft rejection to date has been conducted by Van Huyen *et al.*<sup>24</sup> on 113 HTx recipients, which focused on 14 miRNAs relevant to cardiac allograft rejection, endothelial activation, injury, and vascular inflammation and also used qPCR to screen miRNAs in both endomyocardial biopsies and blood samples. In this study, Van Huyen *et al.* identified miR-10a, miR-31, miR-92a, and miR-155 in circulation to be correlated with myocardial tissue miRNA levels during rejection. Although statistically well powered, this study had a limited screening power as the miRNAs were preselected.

A study that uses circulating miRNA for allograft surveillance, similar to the work at hand, has recently been published: limiting their focus on ACR, Dewi *et al.* identified seven miRNAs that were significantly elevated in ACR compared with quiescent cardiac allografts and propose two miRNAs, miR-142-3p and miR-101-3p, as a potential diagnostic tool for the detection of rejection.<sup>51</sup>

Our study has several limitations. The work is limited by a relatively small cohort, and we therefore noted disparities between patients within the groups, which suggests that larger case and control groups would be more representative of the variations in miRNA levels, which may result from related medical treatments, co-morbidities, and genetic variability.

Further, the bioinformatics treatment of the raw sequencing data showed that only 10% of the total raw reads were mapped to human miRNA references due to quality control and spiked-in synthetic RNAs that accounted for almost 44% of the reads that passed the Illumina quality control. Furthermore, our findings do not overlap with previously reported miRNAs during cardiac rejection. Of note, most prior studies in the field do not apply an unbiased approach but utilize

preselected miRNAs based on the literature. However, the study at hand is a pilot study, and as outlined previously, generalizability is limited given the small sample size, as well as relatively low percentage of raw reads mapped to human miRNA, given the stringent quality control criteria applied. Furthermore, the non-ischaemic cardiomyopathy subgroup within the HF cohort subsumes possibly different aetiologies of HF, which might have an effect on miRNA profiles. However, the exact aetiology of the cardiomyopathy could not definitively be determined and was labelled as non-ischaemic after coronary artery disease had been ruled out.

In conclusion, the present study represents the to our knowledge first systematic approach using deep-sequencing RNA analysis for the detection of circulating miRNA species that qualify as potential biomarkers for the prediction and detection of various types of cardiac allograft rejection.

## Conflict of interest

None declared.

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## Supporting information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

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**Figure S1.** (A) Pre ACR vs TX. (B) At ACR vs. TX. (C) Post ACR vs. TX.

**Figure S2.** (A) Pre AMR vs. TX. (B) At AMR vs. TX. (C) Post AMR vs. TX.

**Figure S3.** (A) Pre AMR + ACR vs. TX. (B) At AMR + ACR vs. TX. (C) Post AMR + ACR vs. TX.

**Figure S4.** (A) ACR patterns. (B) AMR patterns. (C) AMR + ACR patterns.

**Figure S5.** (A) preACR. (B) at ACR. (C) post ACR.

**Figure S6.** (A) Pre AMR. (B) At AMR. (C) Post AMR.

**Figure S7.** (A) pre ACR + AMR. (B) at ACR + AMR. (C) Post AMR + ACR.

**Figure S8.** (A) ROC curve, all sigmirs AMR + ACR before rejection. (B) ROC curve, all sigmirs AMR + ACR before rejection.

**Figure S9.** Significant miRNA abundances.

**Table S1.** 21 multiplexing adapters used for the miRNA sequencing.

**Table S2.** Adapter Id of each group across the five sequencing pools.

**Table S3.** Details of the number of reads in the source files, with number of reads discarded by Illumina quality control and the net count of reads.

**Table S4.** Adapter trimming before demultiplexing.

**Table S5.** Demultiplexing process, discarding reads that could not be assigned with certainty to a group.

**Table S6.** Quality control process removing reads shorter than 17 bps, reads with a Phred score lower than 10.

**Table S7.** Reads mapped to the hg38 human genome reference allowing for 1 mismatch.

**Table S8.** Reads mapped to the miRNA human reference allowing for 2 mismatches.

**Table S9.** Significantly differentially expressed miRNAs before, during and after both rejection types. Down regulated in blue, up-regulated in yellow. Greyed out where non-significant ( $P > = 0.05$ ).

**Data S1.** Supplemental methods.

**Data S2.** Supplemental results.

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