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A systematic review of transcranial direct current stimulation effects in attention-deficit/hyperactivity disorder

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INTRODUCTION

Attention-deficit/hyperactivity disorder (ADHD) is a chronic and heterogeneous neurodevelopmental disorder, characterized by hyperactivity, impulsivity and/or reduction of sustained attention (Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition – DSM-5; 11th revision of the International Classification of Diseases and Related Health Problems - ICD‐11) (Posner et al., 2020; Reed et al., 2019) ADHD is also associated with memory deficits, which are related to distractibility, reduced attention, and working memory impairments. (Owens and Hoza, 2003; Posner et al., 2020; Steinau, 2013) While the precise etiology remains under study, ADHD is thought to result from the interaction of genetic and environmental factors, resulting in pervasive maturational and functional impairments of the prefrontal cortex and associated neural networks.(Arnsten and Li, 2005; Gallo and Posner, 2016; Lange et al., 2010; Posner et al., 2020)

CC managed the literature searches. CC and EPS reviewed the papers and selected the studies included. CC wrote the first draft of the manuscript. All authors reviewed, contributed to and have approved the final manuscript.

CONFLICTS OF INTEREST

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AUTHORS' CONTRIBUTIONS

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ADHD stands out as the most prevalent neurodevelopmental disorder of childhood. (Feldman and Reiff, 2014; Owens and Hoza, 2003; Steinau, 2013) For example, Polanczyk et al. estimated an 3.4% (95% Confidence Interval [CI], 2.6–4.5%), while a recent systematic review indicated of 7.2% (95% CI 6.7–7.8), with differences likely reflecting heterogeneity in study methodology. (Polanczyk et al., 2015; Sayal et al., 2018) In adults, these numbers are more modest, with an estimated prevalence of 2.5% (95% CI 2.1–3.1), possibly due to the maturation of the cerebral cortex, more specifically of prefrontal areas, and to epigenetic mechanisms. (Polanczyk et al., 2007; Posner et al., 2020; Simon et al., 2009)

Current therapeutic approaches for ADHD are associated with significant clinical challenges. Although studies demonstrated the effectiveness of stimulant drugs (e.g., methylphenidate) to reduce ADHD symptoms, there are many aspects of concern, such as: tachyphylaxis, particularly when chronically used; (Volkow and Swanson, 2013), significant side effects; (Feldman and Reiff, 2014; Matza et al., 2005; Okie, 2006; Volkow and Swanson, 2013) the risks of abuse and addiction; (Shier et al., 2013) and unclear long-term cost-effectiveness. (Gilmore and Milne, 2001; Matza et al., 2005; Torrance, 1986)

In this context, novel therapeutic strategies are currently under investigation for ADHD. Transcranial direct current stimulation (tDCS) has emerged as a promising tool in modulating spontaneous neural network excitability. (Brunoni et al., 2012; DaSilva et al., 2011; Stagg and Nitsche, 2011) tDCS uses low-intensity electrical stimulation to modulate targeted brain regions, such that it can increase or decrease excitability of the neural tissue. (Philip et al., 2017) Recent studies have found significant improvement in attention and behavioral inhibition in children with ADHD following tDCS treatment. (Bandeira et al., 2016a; Breitling et al., 2016; Cachoeira et al., 2017; Soltaninejad et al., 2019) In other domains of development, such as memory, no robust effects have been observed. (Nejati et al., 2017; Soff et al., 2017; Sotnikova et al., 2017)

Therefore, in order to better understand the potential modulatory effects of tDCS in individuals with ADHD, and to summarize and discuss the level of evidence available to inform the design of future clinical trials, we analyzed the current state of the literature through this systematic review.

Background

Neurobiological basis of ADHD

Although pathophysiological mechanisms of ADHD remain unknown, the available literature suggests that symptoms arise from the combination of complex etiological processes described above. (Arnsten and Li, 2005; Gallo and Posner, 2016; Lange et al., 2010; Posner et al., 2020)

Neuroimaging studies have shown structural and functional brain impairments in individuals with ADHD, including cortical and subcortical volumetric abnormities. (Castellanos et al., 2001; Castellanos et al., 1996; Dickstein et al., 2006; Lukito et al., 2020; Norman et al., 2016) Deficits in cognitive processing have been associated with hypoactivation and

decreased volume in the prefrontal area, caudate nucleus and cerebellum. (Antshel et al., 2011; Brown et al., 2006; Lukito et al., 2020; Puig and Gulledge, 2011; Spencer-Smith and Anderson, 2009) This is generally attributed to a deviation from typical development, resulting in a delay in structural maturation. (Posner et al., 2020; Rubia et al., 2014; Shaw et al., 2007; Spencer-Smith and Anderson, 2009) Using a longitudinal approach using cortical thickness as an index of maturation, Shaw et al. found that the median age for children with ADHD to reach 50% of cortical thickness was 10.5 years compared to 7.5 years in typically developing children; findings were more pronounced in the middle prefrontal cortex. (Shaw et al., 2007) This observation supports the higher prevalence of ADHD in childhood when compared to adults, however it does not explain the persistence of symptoms in some adults.

Brain structural, neuroimmunological and neurochemical abnormalities have also been implicated in the pathophysiological framework of ADHD. One study found ADHD symptoms were associated with a reduction in prefrontal and anterior cingulate grey matter volume, as well as reductions in the amygdala, hippocampus, striatal and temporoparietal regions. (Gallo and Posner, 2016; Hoogman et al., 2017; Hoogman et al., 2019; Hoogman et al., 2012; Norman et al., 2017)

Likewise, neuroinflammation has been investigated as a potential mechanism in ADHD. Although this area of research appears preliminary, it indicates that impaired neurotransmitter action, increased oxidative stress, abnormal neuronal development, and damage to the blood-brain barrier (with associated glial activation) are related to impaired brain development and maturation, and consequently the unfolding of neurodevelopmental disorders such as ADHD. (Dunn et al., 2019; Leffa et al., 2018b)

Deficits in the levels of monoaminergic neurotransmitters such as dopamine, norepinephrine, and serotonin in tracts associated with attention, and motivation have also been reported. (Arnsten and Li, 2005; Castellanos and Proal, 2012; Volkow and Swanson, 2013) These investigations were empirically motivated by the positive response of patients with ADHD to psychostimulants. (Arnsten and Pliszka, 2011; Prince, 2008; Sharma and Couture, 2014), and are bolstered by significant research indicating involvement of dopamine transporters. (Gallo and Posner, 2016; Sharma and Couture, 2014) Genetic studies have reinforced this hypothesis, revealing polymorphisms in genes that encode dopamine transporters in addition to genes responsible for the expression of dopamine receptors, resulting in impairment of dopaminergic circuits, which are in turn intrinsically related to noradrenergic and serotonergic signaling. (Arnsten and Pliszka, 2011; Gallo and Posner, 2016; Sharma and Couture, 2014) Furthermore, disruption of dopaminergic pathways in ADHD have also been associated with dysfunctional glutamatergic and GABAergic networks, ensuing impaired modulation of inhibitory response. (Prince, 2008)

In addition to the mesocorticolimbic pathways, involvement of broader cortical networks, such as the default mode network (DMN) and executive control network, have also been implicated in ADHD. (Castellanos and Proal, 2012; Posner et al., 2020; Volkow and Swanson, 2013) The DMN is involved in introspective processes associated with the brain's resting-state, and includes the ventral medial prefrontal cortex, posterior cingulate cortex and inferior parietal lobe. (Buckner et al., 2008; Mak et al., 2017) In a recent study, Bozhilova et

al. proposed that ADHD-related cognitive dysfunction could be explained by an overactive DMN, culminating in exaggerated spontaneous internal distractibility. (Bozhilova et al., 2018)

One unifying feature of the existing literature is the involvement of the prefrontal cortex in nearly all ADHD symptoms. The prefrontal cortex is the main brain region associated with executive functions, (Puig and Gulledge, 2011) corresponding to neurocognitive skills related to planning and performing intentional and self-organized actions. (Arnsten and Li, 2005; Spencer-Smith and Anderson, 2009) Among the executive functions, attention and inhibitory control are the most-often affected in ADHD. There is further evidence of a physiological association between the prefrontal cortex and modulation of inhibitory control and attention. (Arnsten and Li, 2005; Brown et al., 2006) The interplay between functional and anatomical abnormalities related to connectivity and the development of ADHD is also an area of intense interest.

Therapeutic approaches to modulate neuronal activity in prefrontal area as well as to reduce impulsivity, hyperactivity, inattention symptoms and associated cognitive dysfunctions remain a challenge. (Antshel et al., 2011)

Technical aspects of tDCS

Transcranial direct current stimulation is recognized as a technically simple method, involving the application of a weak galvanic current on the scalp, which moves from the anode electrode (positive pole) to the cathode (negative pole), thereby forming an electrical circuit. (Nitsche and Paulus, 2000; Stagg and Nitsche, 2011) The stimulation promotes spontaneous modulation of neuronal activity, increasing or reducing cortical excitability, by facilitating the neuronal depolarization and hyperpolarization, respectively, according to the electric current direction in relation to the axonal orientation. (Lefaucheur et al., 2017; Nitsche et al., 2008; Nitsche and Paulus, 2000; Stagg and Nitsche, 2011) Its mechanisms of action are likely based in the modulation of synaptic plasticity of glutamatergic and GABAergic pathways (Lefaucheur et al., 2017; Nitsche et al., 2004)

Specific polarity effects in tDCS have been widely investigated. (Liebetanz et al., 2002; Nitsche et al., 2008; Nitsche et al., 2003c; Nitsche and Paulus, 2000) Overall, anodal stimulation is thought to promote increased cortical excitability by neuronal depolarization, whereas cathodal stimulation is considered to induce hyperpolarization, and a consequent reduction of neuronal activity. (Liebetanz et al., 2002; Nitsche et al., 2003c; Nitsche and Paulus, 2000) However, this notion is likely inconsistent as the effect of tDCS is more complex and depends on many factors, such as location and orientation of cells and associated dendritic trees, and also the rate and nature of local neuronal activity. As an example, in a clinical trial conducted by Nitsche and Paulus in 2000, neuronal spatial positioning and current direction were each found to present polarity-dependent effects. (Nitsche and Paulus, 2000)

Transcranial direct current stimulation effects may be increased by changes in electrode size and montage. (Nitsche et al., 2008) Small electrodes may induce effects more restricted to

the stimulated area, and cephalic montage (i.e. stimulatory and reference electrodes placed on the scalp) promotes a more extensive modulation. (Nitsche et al., 2008)

tDCS stands out among other brain stimulation techniques, such as transcranial magnetic stimulation and deep brain stimulation, due to its ability to modulate spontaneous neuronal activity, rather than directly inducing action potentials. (Liebetanz et al., 2002; Nitsche et al., 2008; Nitsche et al., 2003c; Nitsche and Paulus, 2000) In addition to its favorable safety profile, tDCS is low cost, and a simple technique to administer. (Brunoni et al., 2011a; Nitsche et al., 2008; Nitsche et al., 2003c; Nitsche and Paulus, 2000)

Over the last decade, there is some indication that tDCS may be efficacious to reduce symptoms for neurological and psychiatric disorders such as major depressive disorder, (Lefaucheur et al., 2017; Palm et al., 2016; Sharafi et al., 2019; Wang, 2019) obsessivecompulsive disorder (OCD), (D'Urso et al., 2016; Gowda et al., 2019) addiction, (Batista et al., 2015; Lefaucheur et al., 2017) fibromyalgia, (Khedr et al., 2017) neuropathic pain, (Ngernyam et al., 2015; Yoon et al., 2014) and ADHD as extensively discussed below.

In addition to its application in clinical studies, the efficacy of tDCS to modulate ADHD neuroimmune mechanisms in cognitive domains has been evaluated in animal models with encouraging results. (Leffa et al., 2018a; Leffa et al., 2016) Improvement in long-term memory has been observed in spontaneously hypertensive rats, an animal model of ADHD, in addition to downregulation of pro-inflammatory cytokines, increased production of reactive oxygen species and levels of glutathione (antioxidant). (Leffa et al., 2018a) As a comprehensive approach of animal studies applying tDCS for ADHD modulation is beyond the scope of this review, please see the following references for further discussion. (Leffa et al., 2018a; Leffa et al., 2016)

METHODS

Search Strategy

A systematic approach was applied to perform the current review. To achieve a comprehensive overview of the our review topic, a search on Medline/PubMed, Cochrane Library, Web of Science, ScienceDirect and Embase was conducted using a combination of the following descriptors and its contractions, including the Medical Subject Headings (MeSH) terms: (a) "attention-deficit/hyperactivity disorder" or "ADHD"; and (b) "transcranial direct current stimulation" or "tDCS". Additionally, we searched the references of included manuscripts. The final date of all searches was November 20, 2019.

Eligibility Criteria

The inclusion criteria used to select the studies were: a) application of tDCS in individuals with ADHD; b) use of cognitive tasks, or neurophysiological assessments, to assess ADHD symptoms before and after tDCS; c) presentation of mean and standard deviation of outcomes analyzed; d) studies published in indexed periodicals; and e) publications written in English. The following exclusion criteria were applied: a) review/meta-analysis articles and/or study protocols; b) trials not investigating tDCS in ADHD population; and c) studies in animals.

Studies Selection

Two experienced researchers independently performed the search and selection of studies. A total of 374 records were identified through the database searching, and an additional nine were identified after reviewing references of included manuscripts. Following the removal of duplicates, 45 studies were assessed for eligibility. Titles and abstracts from 45 articles were revised, and twelve addressing the application and/or physiological mechanisms of tDCS in ADHD individuals were eligible. The screening resulted in the exclusion of 33 manuscripts: review/meta-analysis articles and/or study protocols $(n=20)$; animal studies $(n=2)$, and trials not investigating tDCS applied to ADHD population (n=11). Following review of the identified manuscripts, eleven clinical studies fulfilled the eligibility criteria and were included. Figure 1 presents a flowchart of the review search process adapted from PRISMA flow diagram. (Moher et al., 2009) Of note, we considered performing a meta-analysis, but concluded the methods and outcomes of the available papers were too varied to provide meaningful meta-analytic information.

Data Extraction and Synthesis

One author extracted data from the included studies for scoping review. Table 1 summarizes the characteristics and findings of the clinical studies included, all addressing the effects of tDCS in ADHD. The trials were published between 2015 and 2019 - two in 2015, (Cosmo et al., 2015a; Cosmo et al., 2015b) other two in 2016, (Bandeira et al., 2016b; Breitling et al., 2016) four in 2017, (Cachoeira et al., 2017; Nejati et al., 2017; Soff et al., 2017; Sotnikova et al., 2017) two in 2018, (Allenby et al., 2018; Jacoby and Lavidor, 2018) and one in 2019. (Soltaninejad et al., 2019); and conducted in Brazil (4 publications, two by the same research group), (Bandeira et al., 2016b; Cachoeira et al., 2017; Cosmo et al., 2015a; Cosmo et al., 2015b) Germany (3 studies, two by the same team at Philipps-University), (Breitling et al., 2016; Soff et al., 2017; Sotnikova et al., 2017) Iran (2 articles from the same group, one in collaboration with researchers from Germany and UK), (Nejati et al., 2017; Soltaninejad et al., 2019) Israel (1 trial), (Jacoby and Lavidor, 2018) and US (1 study performed by researchers from the University of Pennsylvania). (Allenby et al., 2018)

The included manuscripts addressed the application of tDCS in individuals with ADHD for the modulation of attention (n=5), (Bandeira et al., 2016b; Cachoeira et al., 2017; Jacoby and Lavidor, 2018; Nejati et al., 2017; Soff et al., 2017) working memory (n=4), (Bandeira et al., 2016b; Nejati et al., 2017; Soff et al., 2017; Sotnikova et al., 2017) inhibitory control/ impulsivity (n=8), (Allenby et al., 2018; Bandeira et al., 2016b; Breitling et al., 2016; Cachoeira et al., 2017; Cosmo et al., 2015a; Nejati et al., 2017; Soff et al., 2017; Soltaninejad et al., 2019) and 2 articles assessed neurophysiological parameters; (Cosmo et al., 2015b; Sotnikova et al., 2017) Most articles assessed adults (n=5), (Allenby et al., 2018; Cachoeira et al., 2017; Cosmo et al., 2015a; Cosmo et al., 2015b; Jacoby and Lavidor, 2018) four studies included adolescents, (Breitling et al., 2016; Soff et al., 2017; Soltaninejad et al., 2019; Sotnikova et al., 2017) one evaluated children, (Nejati et al., 2017) and one study included adolescents and children. (Bandeira et al., 2016b)

Risk of bias assessment

The Cochrane Collaboration's tool for assessing risk of bias was applied to evaluate included trials in six domains - random sequence generation (selection bias), allocation concealment (selection bias), blinding of participants and personnel (performance bias), blinding of outcome assessment (detection bias), incomplete outcome data (attrition bias) and selective reporting (reporting bias). (Higgins and Thomas, 2019) The results are summarized in Figure 2.

Of the 11 manuscripts included in this systematic review, 27.3% had a high risk of selection and performance bias, and 9.1% a high risk of detection bias. The risk of attrition bias was low in all trials. The proportion of studies with an unclear risk of bias was higher for allocation concealment (72.7%) and blinding of outcome assessment (72.7%) than in other domains.

RESULTS

To date, few studies have investigated the application of tDCS in individuals with ADHD. The trials selected for the current review address the effects of transcranial direct current stimulation in the modulation of ADHD inhibitory control symptoms, attention, working memory and neurophysiological parameters. (Table 1)

Inhibitory control, Attention, and Working Memory findings

In a recent randomized, sham-controlled trial, Cosmo et al. assessed adults with ADHD regarding the modulation of inhibitory control following anodal stimulation or sham. (Cosmo et al., 2015a) The assessment of n=60 ADHD subjects who underwent a single 20 minute 1mA offline session (anodal tDCS over the left DLPFC and cathodal at the right DLPFC) or sham with similar montage revealed no significant effects on inhibitory control in the two Go/No-Go tasks applied. (Cosmo et al., 2015a)

Soltaninejad et al. showed an improvement in inhibitory control after 15 minutes of online tDCS application at 1.5mA over left DLPFC. (Soltaninejad et al., 2019) Following a single cathodal stimulation, n=20 adolescents with ADHD presented improved performance during Go/No-Go task regarding no-go stimuli, compared to anodal and sham. However, anodal tDCS (1 session) over the same area resulted in increased correct go responses, but no significant results for no-go stimulus, compared to sham. No differences were found in accuracy and reaction time of the Stroop task. This crossover, single-blind and shamcontrolled study concluded that left cathodal tDCS and left anodal stimulation over L DLPFC could enhance inhibition accuracy and prepotent response inhibition, respectively, resulting in improved inhibitory control. (Soltaninejad et al., 2019)

Breitling et al. investigated the use of tDCS in the right inferior frontal gyrus (rIFG), with reference electrode placed posterior to the left mastoid area, on interference control (a component of the inhibitory control) in ADHD adolescent patients, compared to healthy individuals. (Breitling et al., 2016) Subjects underwent three sessions of stimulation in a random order: anodal, cathodal and sham, with one-week interval between interventions. Overall, this study showed no group differences. However, after noticing a learning effect,

the authors performed an exploratory analysis that evaluated only the first session of each subject; using this approach they reported a reduction in the number of commission errors and variability in reaction time in the modified Eriksen Flanker tasks among ADHD patients during anodal stimulation, compared to sham. No significant findings were observed following cathodal stimulation. (Breitling et al., 2016)

In a within-subject crossover trial, adults $(n=37)$ with ADHD received two intervention periods with tDCS or sham stimulation, each one composed by three sessions, separated by a 2-week washout period. (Allenby et al., 2018) Active stimulation was applied online, combined with a visual working memory training task, delivering a current density of 0.08mA/cm² for 20 minutes with anode positioned on the left DLPFC and cathode on the right supraorbital area, with the aim to improve impulsivity, estimated by the performance in the Conners' Continuous Performance Task (CPT) scores and Stop Signal Task (SST). A decrease in false positive errors (commission errors, β = −0.36, 95% CI: −0.54 to −0.18, $p<.001$) was noticed on the CPT between the baseline session and end of active tDCS treatment, however the effect did not last up to the follow up visit (3 days after the last stimulation). No significant differences were seen for true positive errors (omission errors), true positive response time, or SST by stimulation condition or by session interaction. Based in these findings, they suggested that three sessions of anodal tDCS over the left DLPFC might transiently decrease impulsivity in individuals with ADHD. (Allenby et al., 2018)

In a recent crossover, double-blind, sham-controlled study, Sotnikova et al. analyzed the effect of tDCS to improve working memory in n=16 adolescents with ADHD. (Sotnikova et al., 2017) A current density of 0.029mA/cm2 was delivered for 20 minutes with the anodal electrode over left DLPFC and cathodal placed in the vertex. For the working memory assessment, they used an adapted test that combined N-back and Go/No-Go tasks features. tDCS was applied simultaneously to the cognitive task and functional magnetic resonance imaging (fMRI). Surprisingly, more omission errors and less accuracy were observed in the active group when compared to sham, despite an improvement in reaction time. The authors hypothesized that, in adolescents with ADHD, anodal stimulation may improve motor performance, but at the cost of poorer precision. (Sotnikova et al., 2017)

A study applying double anodal tDCS was performed to assess the sustained attention in adults with ADHD. In a crossover design with three sessions (baseline, active tDCS and sham), healthy individuals ($n=16$) and ADHD participants ($n=21$) were evaluated with regard to their performance in the MOXO Continuous Performance Test (MOXO-CPT), a cognitive test that assess attention, impulsivity, timing and hyperactivity. (Jacoby and Lavidor, 2018) For the active tDCS session, subjects received 1.8mA bilateral offline anodal stimulation for 20 minutes over the right (R) and left DLPFC, with cathode placed over the cerebellum (1cm below the inion); this montage was designed to obtain a spreading effect and modulate a broader cortical area. For sham, an identical montage was used, however the stimulator was turned off after 30 seconds of ramp up. However, they found no group differences or enhanced performance, and lack of effect was attributed to learning and repetition effects that potentially concealed stimulation response. (Jacoby and Lavidor, 2018)

In a pilot study, Bandeira et al. applied five daily sessions of anodal tDCS online at 2mA for five 30-minute sessions, over the left DLPFC, with return electrode on the right supraorbital area, in n=9 children and adolescents with ADHD. (Bandeira et al., 2016a) A decrease in uncorrected and total errors in switching task, and completion time in the naming task of the Neuropsychological Development Assessment (NEPSY-II), an inhibitory control subtest, were observed when comparing pre and post intervention results. In addition to enhancement in inhibitory control, a decrease in the omission errors using the Visual Attention Test (TAVIS-3) was observed when comparing post- and pre-intervention results, indicating an improvement in attention tDCS. No significant differences were found in the Digit Span subtest of the Wechsler Intelligence Scale for Children (WISC-III). Based on these findings, the authors proposed that tDCS could increase selective attention, reduce attention deficits and improve inhibitory control. (Bandeira et al., 2016a)

Nejati et al. performed a crossover, double-blinded, sham-controlled trial to investigate the effects of tDCS over the DLPFC and orbitofrontal cortex (OFC) on executive functioning in 25 children with ADHD. (Nejati et al., 2017) Subjects were divided into two experiments: 1) Included n=15 individuals that underwent anodal stimulation over L DLPFC with cathode placed on R DLPFC, then after 3 days the same montage was applied for the sham intervention; and 2) Delivered active tDCS, in n=10 children, with anode over the L DLPFC and cathode on the R OFC (montage 1), followed by 72hrs of washout. After that, anode was positioned on R OFC and cathode over the L DLPFC (montage 2), with subsequent sham stimulation after 3 days from prior session. For both experiments, active tDCS was delivered at 1mA (current density of 0.04mA/cm²) for 15 minutes, and during sham stimulation the current was ramped up for 30 seconds then turned off. With regards to working memory, they observed a significant decrease in reaction time using the N-back following anodal stimulation over the L DLPFC with cathode on the R DLPFC (experiment 1) compared to sham $(F=21.01, p\le 01)$, but no difference was observed in the number of accurate responses $(F=0.21, p=.65)$. In experiment 2, only the montage with anode over the L DLPFC and cathode on the R OFC significantly increased accuracy and decreased RT ($p<0$), compared to montage 2 and sham. In addition to examining working memory, the authors investigated the role of tDCS in inhibitory control, interference control and cognitive flexibility, using the Go/No-Go, Stroop task and the Wisconsin Card Sorting Test (WCST), respectively. (Nejati et al., 2017) For the experiment 1, no significant differences were found in the Go/No-Go task between the active and sham interventions, suggesting no enhanced inhibitory control in individuals with ADHD following anodal L DLPFC and cathodal R DLPFC stimulation. Additionally, no improvement was observed in the WCST between groups. However, a significant effect on interference response inhibition was noted in the performance of the Stroop task with improved accuracy $(F=9.01, p<.01$ and RT $(F=7.7, p<.02)$. As for the experiment 2, the second montage (anode on R OFC/cathode over L DLPFC) demonstrated a robust increase of no-go accuracy compared to sham $(p<.01)$. There was a significant reduction in perseverative errors, completed categories and total errors ($p<0.01$) on WCST following stimulation with both montages, with more effective findings associated to the first montage, implying that increased activity of left DLPFC and down modulation of right OFC enhances cognitive flexibility and reduces impulsivity. The authors concluded that anodal L DLPFC stimulation improved working memory in children with ADHD.

Furthermore, an improved interference response inhibition was also observed when applying anodal tDCS over L DLPFC with reference electrode on R DLPFC (experiment 1), however no differences were seen on Go/No-Go and WCST for this montage. As for experiment 2, an enhanced inhibitory control was noted following active stimulation over R OFC (montage 2), as well as improved cognitive flexibility when using both montages (more pronounced in the montage 1). (Nejati et al., 2017)

Soff et al. in a randomized double-blind sham-controlled crossover trial indicated improvements in cognition in adolescents with ADHD with tDCS. (Soff et al., 2017) This study assessed inattention and impulsivity by the application of Quantified Behavioral Test (Qb-Test), a neurophysiological test that aims to assess three domains - inattention, hyperactivity and impulsivity. It includes N-back working memory assessment and a measurement of patient's activity. In this paradigm, inattention was assessed based on increased omission errors, slower reaction time and greater reaction time variability. In this trial, patients (n=15) placed in one group received 20 minutes of anodal 1mA stimulation (current density: 0.029mA/cm2) over the left (L) dorsolateral prefrontal cortex (DLPFC), with return electrode placed in the vertex, once daily for 5 days. Then, after two weeks, they underwent sham procedure with the same montage. Initially, group 2 received sham procedure, and, after two weeks, they received active treatment. With regards to cognition assessment, patients in both groups performed Qb-Test from days 2 to 5. The same cognitive tests were additionally conducted seven days after the end of application sessions. This study demonstrated active stimulation was associated with improvement in inattention and N-back performance, with significant improvement in impulsivity associated with active tDCS compared to sham based on Qb-Test performance, when compared to the sham stimulation. In addition, this trial aimed to analyze the lasting clinical effect of the active treatment, and there was an even more robust reduction in hyperactivity by the seventh day following the treatment end. (Soff et al., 2017)

Cachoeira et al. in a randomized sham-controlled trial demonstrated improvement in ADHD symptoms in n=17 adults with ADHD who received active tDCS. (Cachoeira et al., 2017) The authors utilized the Adult ADHD Self-Report Scale Symptom Checklist-1 v1 (ASRS) to evaluate inattentiveness and hyperactive/impulsive symptoms. Functional impairment in three domains (school, work and family life) was evaluated by using Sheehan Disability Scale (SDS). In this study, the anodal electrode was placed over the right DLPFC and cathode over the left DLPFC, and delivered 2 mA for 20 minutes during five consecutive days. Sham procedures were identical, except that during sham stimulation the device was turned off after one minute of active tDCS. The authors found significant lower ASRS inattention and SDS scores after active tDCS in comparison with sham, suggesting improvement in inattention and functional impairment, respectively; although effects were transient, and attenuated over the following 4 weeks (Cachoeira et al., 2017)

Neurophysiological findings

In regard to neurophysiological mechanisms, a mathematical model was applied to examine the modulation of cortical connectivity by tDCS in ADHD subjects. (Cosmo et al., 2015b) This sophisticated computational algorithm was based on electroencephalographic activity

recorded before and immediately after a single active or sham 20-minute tDCS session at 1mA over the left DLPFC. The authors found significant differences when comparing the functional cortical networks (measured as weighted node degree) within the active group, what was not noted in the sham intervention. The results revealed an increase in cortical connectivity following anodal stimulation in the stimulated area and correlates, suggesting a spreading of the modulatory activity. (Cosmo et al., 2015b)

Consistent with these findings, Sotnikova et al. reported increased in fMRI metrics of connectivity and neuronal activation in the stimulated area, which extended to other regions of the brain. Subjects were submitted to 20 minutes of tDCS (active or sham) synchronized to the fMRI scanning and working memory task, described as a combination of the N-back and Go/No-Go tasks, followed by a 10 minutes resting state fMRI. In addition to the aforementioned findings related to the modulation of working memory performance, the authors observed through the fMRI results that the active anodal tDCS promoted significant hemodynamic changes in the left DLPFC (target area) with propagation of the activation of neural networks involving the left premotor cortex, left supplementary motor area and precuneus. (Sotnikova et al., 2017)

Safety aspects

tDCS has been recognized as a safe technique with transient and mostly mild adverse events. (Matsumoto and Ugawa, 2017) Multiple studies have documented brief and minor side effects such as itching, tingling, burning sensation, skin redness under electrodes, mild headache, fatigue, or insomnia. (Iyer et al., 2005; Nitsche et al., 2003a; Nitsche et al., 2003b; Poreisz et al., 2007) However, some trials reported concerning adverse events, mainly when applying tDCS in individuals with depression. Mania and hypomania have been observed following tDCS, (Arul-Anandam et al., 2010; Brunoni et al., 2011b; Galvez et al., 2011) suggesting a potential cognitive impairment or even harm associated to the technique. In accordance to this hypothesis, in the study performed by Sotnikova et al., the authors found that anodal tDCS resulted in improved motor performance in adolescents, however poor precision with more omission errors and decreased accuracy were noted when compared to sham, (Sotnikova et al., 2017) raising concerns for worsening of impulsivity.

Regarding the use of tDCS in ADHD, no major safety concerns have been identified. To date, no serious adverse events have been observed. Seven studies reported adverse events, mostly mild itching, tingling sensation, or headache. (Allenby et al., 2018; Bandeira et al., 2016a; Breitling et al., 2016; Cachoeira et al., 2017; Nejati et al., 2017; Soff et al., 2017; Sotnikova et al., 2017) One study had an uncommon side effect described as acute mood change (sadness, tension and hypobulia), lasting one day. (Cachoeira et al., 2017) This variability in the occurrence, type, duration and severity of side effects might be explained by different aspects of the stimulation protocol such as montage, current density, skin impedance, duration of stimulation, number of sessions, poor technical compliance, individual predisposition/sensitivity, (Matsumoto and Ugawa, 2017) as well as by the disorder under study and the brain structural and functional abnormalities associated to it. Therefore, while tDCS for ADHD appears safe, this is based on generally small studies, and as larger trials are conducted the field should expect some degree of significant adverse

events, particularly because of the associated comorbidity between ADHD and major depressive disorder.

As aforesaid, side effects are one of the main challenges in the ADHD pharmacological treatment. Therefore, the investigation of tDCS as a potential therapeutic tool to modulate ADHD symptoms is reinforced by its overall safe profile.

DISCUSSION

To obtain accurate information about the application of tDCS in ADHD, its potential indications and limitations, it is necessary to comment on specific aspects if the protocols described above. The main differences noted across studies included variation in the current density, polarity-dependent findings, number of sessions, tDCS online or offline application, and electrodes montage/target area. Furthermore, even when using well-established parameters, differences may be attributed to variations in study designs, possibly explaining the distinct, and even controversial, findings.

Variability in the applied current density is likely a major contributor to the mixed findings reported above. As current density stands for the current intensity divided by the electrode size, a reduction in the electrode dimensions results in increased current density, and may increase focality. (Faria et al., 2011) In prior trials, brain focality has been translated into better cognitive performance. (Naka et al., 2018; Nikolin et al., 2015) However, in the setting of the complex ADHD pathophysiological mechanisms with impairment of mesocorticolimbic pathways, in addition to the involvement of broader cortical networks (Castellanos and Proal, 2012; Dickstein et al., 2006; Posner et al., 2020; Volkow and Swanson, 2013) it is unlikely that a focal stimulation approach would be sufficient. This hypothesis is supported by Jacoby and Lavidor's trial that, among the studies included in this review, applied one of the highest current density (0.2mA/cm²) and yet showed no improvement in cognitive performance in ADHD. (Jacoby and Lavidor, 2018) In contrast, Breitling et al. delivered the lowest current density (0.03mA/cm2) and found a reduction in the number of commission errors and variability in reaction time among ADHD subjects that received anodal stimulation, (Breitling et al., 2016) implying greater cognitive effects could be associated with reduced focality.

Polarity dependent effects were also an important feature of the reviewed studies. While one trial applying anodal stimulation at 1mA over the left DLPFC did not find significantly improvement in inhibitory control, (Cosmo et al., 2015a) another study achieved this using cathodal tDCS at 1.5mA over the same area. (Soltaninejad et al., 2019) These results are consistent with the idea that the traditional mechanistic understanding (i.e., anodal tDCS is excitatory and cathodal tDCS is inhibitory) is likely incorrect. A possible explanation for these findings is supported by Batsikadze et al., which revealed that specific polaritydependent effects were observed only when applying stimulation at 1 mA. (Batsikadze et al., 2013) Thus, at 1.5mA, cathodal stimulation might have enhanced neuronal depolarization rather than hyperpolarization, resulting in increased inhibitory control.

Other important factors include the number of sessions, and online vs. offline tDCS application. Regarding the session frequency, three main approaches were observed. Two studies included five tDCS sessions, (Bandeira et al., 2016a; Cachoeira et al., 2017) another one had three sessions, (Allenby et al., 2018) while others applied only a single treatment. (Cosmo et al., 2015a; Cosmo et al., 2015b; Jacoby and Lavidor, 2018; Nejati et al., 2017; Soltaninejad et al., 2019) Significant cognitive results were generally found in the studies utilizing multiple sessions, underscoring the importance of cumulative exposure. Nonetheless, improved inhibitory control was observed in a study with a single tDCS session, (Soltaninejad et al., 2019) although this trial utilized online tDCS, and prior studies have demonstrated greater effects following online tDCS application. (Martin et al., 2014; Stagg et al., 2013) Likely, the stimulation synchronized with the cognitive task might result in the further activation of brain networks required for response, resulting in enhanced brain excitability and improving cognitive performance. Supporting this hypothesis, in the trial performed by Cosmo et al., subjects underwent either active or sham stimulation, without simultaneous application of cognitive task, which may explain their findings. (Cosmo et al., 2015a) Similar results were observed in a trial applying 10 sessions of tDCS offline in patients with depression, were no improvement in cognitive performance was detected. (Loo et al., 2010) Together, these findings raise the possibility that the use of online tDCS might play a more robust role than the number of sessions as a clinical effectiveness modifier and predictor of response.

Relevant to the discussion of number of tDCS sessions, the study by Allenby et al., demonstrated a reduction impulsivity following three sessions of active tDCS. (Allenby et al., 2018) However, the effect was quite limited in duration (i.e., less than three days). Cachoeira et al. observed enhanced attention and functional capacity after five tDCS sessions, with reduced inattention sustained for up to two weeks; these effects are likely attributable to the greater number of sessions. (Cachoeira et al., 2017) Interestingly, Soff et al. observed a reduction in inattention and impulsivity as well as improved hyperactivity, following five consecutive anodal tDCS sessions, with a more robust hyperactivity reduction seen by the 7th day after the treatment, implying a long-lasting tDCS effect when applying repeated sessions. (Soff et al., 2017) Prior studies have shown that tDCS physiological effects might last 30–90 minutes following a single stimulation session, depending on stimulation duration and current intensity; (Cirillo et al., 2017; Nitsche and Paulus, 2000, 2001) with potential for long-lasting neuroplastic changes after multiple sessions, likely due to changes in the synaptic strength induced by long-term potentiation (LTP)-like response and metaplasticity mechanisms. (Cirillo et al., 2017; Cohen Kadosh et al., 2010) Considering the hypoactivity of prefrontal area, caudate nucleus and cerebellum shown in prior ADHD studies, (Antshel et al., 2011; Brown et al., 2006; Lukito et al., 2020; Puig and Gulledge, 2011; Spencer-Smith and Anderson, 2009) it is unlikely that a single or even a small number of sessions, would be sufficient to induce changes required to yield longlasting cognitive improvement. Based on these observations, further studies with multiple tDCS sessions and longer follow-up periods are recommended to determine the optimal therapeutic tDCS protocol, to accomplish more consistent and sustained effects, an essential step to establish this technique as a therapeutic tool for ADHD.

Regarding the stimulation montage, electrode placement was highly variable in the reviewed trials. Some studies applied stimulation over the left dorsolateral prefrontal cortex, in others bifrontal anodal montage was used, modulating right and left DLPFC simultaneously (Jacoby and Lavidor, 2018); while two trials applied active stimulation respectively over the right inferior frontal gyrus (rIFG) and orbitofrontal cortex. Although all studies stimulated the prefrontal cortex, the position of the reference electrode was highly variable; since the reference electrode also contributes to tDCS' neuromodulatory activity, this variability likely impacted observed results. To this point, in the study performed by Jacoby and Lavidor, bifrontal anodal montage was applied with cathode over the cerebellum, with the goal to spread the stimulation effects, and yielded null results. (Jacoby and Lavidor, 2018) In this scenario, it is possible that current spreading resulted in the dissipation of potentially therapeutic effects, by reducing the electric field strength in the target areas. (Cosmo et al., 2015b; Opitz et al., 2015) Furthermore, controversial findings were found among studies regarding target area for inhibitory control. In the study from Nejati et al., active cathodal tDCS was delivered over the left DLPFC resulting in increased inhibitory control as measured by the Go/No-Go task. (Nejati et al., 2017) The authors suggested that this outcome might be explained not by reduced cortical excitability when applying cathodal stimulation, but for supposed indirectly enhanced activity in the right DLPFC by decreased transcallosal inhibition. (Nejati et al., 2017) However, the placement of the anodal on the R OFC (Brodmann area 11- BA11) might have also contributed to enhanced depolarization in the adjacent right inferior frontal gyrus (Brodmann area 47), a known anatomical target for improving interference control (important component of inhibitory control). This hypothesis is supported by the exploratory analysis from Breitling et al. that found improved interference control in individuals with ADHD exposed to anodal tDCS over the rIFG. (Breitling et al., 2016) Enhanced inhibitory control was also observed by Soltaninejad et al. and Cachoeira et al., here both studies applied anodal stimulation on the right DLPFC. (Cachoeira et al., 2017; Soltaninejad et al., 2019) Interestingly, in the trial performed by Bandeira et al., anodal electrode was placed on the left DLPFC yielded improved inhibitory control. (Bandeira et al., 2016a) Allenby et al. and Soff et al., reported similar findings, as both detected improvement in impulsivity using anodal tDCS to the left DLPFC. (Allenby et al., 2018; Soff et al., 2017) Furthermore, a recent meta-analysis assessed the effectiveness of tDCS on inhibitory control in ADHD trials. Salehinejad et al. concluded that this neuromodulation technique improved inhibitory control when applying anodal tDCS over the dorsolateral prefrontal cortex (DLPFC), albeit with a small effect size. (Salehinejad et al., 2019)

The results from the above-mentioned trials suggest that both right and left prefrontal cortices play an important role in improving inhibitory control, consequently decreasing impulsivity in ADHD. In the light of these findings, possibly more robust enhancement of inhibitory control might be obtained from the application of double anodal tDCS, with one electrode over the left DLPFC (F3, according to the 10–20 International EEG system) and another one on the rIFG (F8), with placement of the reference electrode in a cephalic position, for example the vertex.

In addition to optimizing the stimulation protocol, another significant challenge in tDCS trials is to establish biomarkers that integrating the biophysical elements of tDCS with the

neurobiological targets of interest in ADHD. To date, only two ADHD trials have investigated neurophysiological parameters as potential markers of tDCS effects. Cosmo et al. applied a mathematical model that aimed to characterize dynamic spatial parameters of cortical activation resulting from active stimulation, using EEG-based connectivity between electrode pairs over time. (Cosmo et al., 2015b) Using this model, they described network evolution associated with tDCS, described as the number of times that an electrode was connected to others over time, thus providing objective measurements that described the spatial effects of anodal stimulation. This analysis revealed that, in addition to the modulation of the target area (left DLPFC), current spreading over time was responsible for the observation of increased cortical connectivity in regions distal to the site of stimulation, including occipital, left and right temporal and centroparietal areas. (Cosmo et al., 2015b) Similar findings were documented by Sotnikova et al. (Sotnikova et al., 2017) By acquiring fMRI data, the authors found an increase in connectivity and neuronal activation in the stimulated area (left DLPFC) with extension of the modulatory effects to the left premotor area, left SMA and precuneus - neuronal network areas associated with working memory performance. The spreading effect of tDCS found in both studies might be explained by the cortical connections between the stimulated areas, to the transcallosal modulation, in addition to the type of montage applied. (Cosmo et al., 2015b) Previous studies have shown more diffuse tDCS effects when using a cephalic montage, (Nitsche et al., 2008) whereas when using an extracephalic reference, there was more focal tDCS activity under the electrode. In this context, the cephalic montage seems to be more suitable to ADHD trials given that this a chronic neurodevelopmental disorder with complex neurophysiological mechanisms that functionally compromises frontal areas and correlates, as discussed above. Therefore, increases in brain excitability beyond the region under the stimulating electrode could lead to enhanced neuronal activity in correlated cortical networks with consequent improvement of cognitive performance.

The main limitation of this systematic review was the small number of studies investigating the application of tDCS in ADHD. Additionally, as highlighted above, initially we considered performing a meta-analysis, however the methods and outcomes were too varied among the trials to provide a meaningful meta-analytic approach.

In summary, this review of tDCS in individuals with ADHD revealed the potential efficacy of this technique in modulating executive functions, particularly attention, working memory and inhibitory control - domains heterogeneously and dynamically affected in this disorder. Furthermore, an increase in connectivity and neuronal activation was observed in the stimulated areas and correlates, suggesting a spreading of the modulatory activity. Because of the significant diversity of the included trials, it is not possible to definitely propose a standardized protocol for the application of tDCS in ADHD; however based in the review of the aforementioned findings and the specialized literature, future studies could consider multiple sessions of tDCS, online application, double anodal stimulation over left DLPFC and rIFG, with extracephalic reference placement for more focal modulation. Likely, this stimulation approach would reveal more robust, long-lasting cognitive and neurophysiological effects in subjects with ADHD.

As a final comment, the assessment of tDCS as a therapeutic tool for the treatment of ADHD is recent and, as such, there is a general paucity of articles about this topic in the literature. Additional studies are needed in order to clarify several questions, including efficacy, long-lasting effects, and further optimization of stimulation parameters in this population.

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Flowchart of review search adapted from PRISMA flow diagram. (Moher et al., 2009)

Adapted from Cochrane Handbook for Systematic Reviews of Interventions. (Higgins and Thomas, 2019)

Figure 2.

Risk of bias assessment

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Table 1.

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Stimulation Protocol

Stimulation Protocol

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* Stimulated areas are described in accordance with the 10–20 International EEG system. Stimulated areas are described in accordance with the 10–20 International EEG system.

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ADHD: attention-deficit/hyperactivity disorder; tDCS: transcranial direct current stimulation; L: left; R: right; DLPFC: dorsolateral prefrontal cortex; OFC: orbitofrontal cortex; IFG: inferior frontal gyrus; ADHD: attention-deficit/hyperactivity disorder; tDCS: transcranial direct current stimulation; L: left; R: right; DLPFC: dorsolateral prefrontal cortex; OFC: orbitofrontal cortex; IFG: inferior frontal gyrus; Attention Test; WCST: Wisconsin Card Sorting Test; Qb-Test: Quantified Behavioral Test; SDS: Sheehan Disability Scale; CPT: Conners' Continuous Performance Task; SST: Stop Signal Task; MOXO-Attention Test; WCST: Wisconsin Card Sorting Test; Qb-Test: Quantified Behavioral Test; SDS: Sheehan Disability Scale; CPT: Conners' Continuous Performance Task; SST: Stop Signal Task; MOXO-RT: reaction time; ASRS: Adult ADHD Self-Report Scale Symptom; WISC-III: Wechsler Intelligence Scale for Children; NEPSY-II: Neuropsychological Development Assessment; TAVIS-3: Visual RT: reaction time; ASRS: Adult ADHD Self-Report Scale Symptom; WISC-III: Wechsler Intelligence Scale for Children; NEPSY-II: Neuropsychological Development Assessment; TAVIS-3: Visual CPT: MOXO Continuous Performance Test. CPT: MOXO Continuous Performance Test.

Conclusions

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