#### **ORIGINAL PAPER**



# Prognostic Factors and Survival in Adenoid Cystic Carcinoma of the Head and Neck: A Retrospective Clinical and Histopathological Analysis of Patients Seen at a Cancer Center

Everton Freitas de Morais<sup>1</sup> · Leorik Pereira da Silva<sup>1,2</sup> · Deborah Gondim Lambert Moreira<sup>1</sup> · Rodrigo Porpino Mafra<sup>1</sup> · Larissa Santos Amaral Rolim<sup>1</sup> · Edilmar de Moura Santos<sup>1</sup> · Lélia Batista de Souza<sup>1</sup> · Roseana de Almeida Freitas<sup>1</sup>

Received: 7 June 2020 / Accepted: 3 August 2020 / Published online: 10 August 2020 © Springer Science+Business Media, LLC, part of Springer Nature 2020

#### Abstract

Adenoid cystic carcinoma (AdCC) is a rare malignancy that accounts for approximately 1% of all head and neck cancers. This neoplasm is characterized by slow but often relentless growth and dissemination. Our aim was to retrospectively evaluate the clinical-pathological features of patients diagnosed with head and neck AdCC and to identify possible prognostic factors. This retrospective observational study analyzed 87 cases of AdCC of the head and neck. Clinical parameters (tumor size, lymph node and distant metastasis, clinical stage, and survival) were obtained from the records. Survival curves were constructed using the Kaplan–Meier method. A *p* value  $\leq 0.05$  was considered significant. There was a slight predominance of cases diagnosed in female patients (54%). The mean age at diagnosis was 51.5 years. Analysis using Cox's proportional hazards model considering 10-year disease-specific survival identified histologic pattern and presence of perineural invasion as independent prognostic variables. Primary tumor size and distant metastasis were prognostic predictors of 5- and 10-year disease-free survival. Detailed analysis of the association between clinical-pathological parameters and prognosis can assist professionals with cancer treatment planning and adequate patient management. Considering the long-term aggressive behavior of AdCC, rigorous patient follow-up is important to identify possible locoregional or distant recurrences.

Keywords Head and neck cancer · Adenoid cystic carcinoma · Prognostic factors · Survival

# Introduction

Malignant salivary gland tumors comprise a heterogenous group with distinct histopathological features and biological behaviors. Their diagnosis and management often represent a challenge [1]. Adenoid cystic carcinoma (AdCC) accounts for approximately 22% of all malignant salivary gland tumors [2, 3]. This neoplasm can be diagnosed in the major salivary glands (parotid, submandibular, and sublingual) and in the minor salivary glands found in the oral

mucosa, as well as in the secretory units found throughout the upper aerodigestive tract and other glands (lacrimal) [4]. Although AdCC can occur at different sites in the head and neck region, the major salivary glands are the most affected [1].

Clinically, AdCC is characterized by slow and progressive growth and is often associated with painful symptoms because of its propensity for perineural invasion [5, 6]. The tumor shows a female predilection and is mainly diagnosed in patients at about 50 years of age. However, AdCC can affect patients of all age groups [2, 7]. Local recurrences and late distant metastases are common findings and AdCC is frequently associated with a poor prognosis [3, 6].

Several factors have been suggested as prognostic indicators of AdCC. Previous studies reported that the presence of perineural invasion, histopathological pattern, status of surgical margins, anatomical location, clinical stage, and treatment used are directly associated with the control of the disease and survival [5, 8, 9]. Thus, a survey of updated data

Roseana de Almeida Freitas roseanafreitas@hotmail.com

<sup>&</sup>lt;sup>1</sup> Department of Oral Pathology, Federal University of Rio Grande do Norte, Av. Senador Salgado Filho, 1787, Lagoa Nova, CEP 59056-000, Natal, RN, Brazil

<sup>&</sup>lt;sup>2</sup> Health and Biotechnology Institute, Federal University of Amazonas-UFAM, Coari, AM, Brazil

on the clinical-pathological profile, therapeutic approach, and recurrence and survival rates of patients with head and neck AdCC is fundamental considering its aggressive biological behavior and grim prognosis.

Within this context, the aim of the present study was to retrospectively evaluate the clinical-pathological features of patients with head and neck AdCC seen at a cancer referral center, as well as to identify parameters associated with the prognosis of these patients.

## **Materials and Methods**

#### **Ethical Issues and Study Design**

The study was approved by the Ethics Committee of Liga Norte-Riograndense Contra o Câncer (CEP/LNRCC) (Approval number 1.714.150), and the protocol was in accordance with the ethical guidelines of the Declaration of Helsinki. The records of all patients diagnosed with AdCC between 2002 and 2016 were revised and the following data were collected: sex, age anatomical location, clinical stage, histopathological pattern, perineural invasion, surgical margins, treatment modality, recurrence, follow-up, and clinical outcome.

For survival analysis, the parameters were categorized as follows: age  $\leq$  50 or > 50 years; T stage as small (T1 or T2) or large (T3 or T4); N stage as negative (N0) or positive (N1, N2 or N3); clinical stage as early (stage I/II) or advanced (stage III/IV); histopathological pattern as predominantly cribriform/tubular or solid; perineural invasion as absent or present; surgical margins as negative or positive, and treatment as surgery, surgery combined with radiotherapy, and surgery combined with adjuvant radio/chemotherapy.

All cases were diagnosed by a group of experienced pathologists. The clinical staging system of the American Joint Committee on Cancer (AJCC, 7th edition) was used to classify all cases [10]. Each tumor was examined to determine the proportion of tubular, cribriform or solid patterns. Briefly, tumors with predominantly tubular growth and absence of solid component were classified as tubular pattern; tumors exhibiting predominantly cribriform pattern and less than 30% solid growth were considered as cribriform pattern; and tumors containing > 30% of solid areas were classified as pattern. Neural invasion was defined as invasion of neoplastic cells into the perineural space (perineural) or between nerve fascicles (intraneural), irrespective of the size of the nerve.

Disease-specific survival (DSS) and disease-free survival (DFS) were analyzed based on the extracted data. The DSS was defined as the time between the beginning of treatment and death due to AdCC or the last date of clinical follow-up. The DFS was established as the time between the beginning of treatment and diagnosis of first recurrence (local, regional, or distant) or the last date of follow-up (for cases without recurrences).

#### **Statistical Analyses**

The SPSS 20.0 (IBM Corporation, USA) and STATA 12.0 (Stata Corporation, USA) programs were used for statistical analysis. Possible associations between the clinical-pathological variables were investigated using Pearson's chi-squared test ( $\chi^2$ ) and Fisher's exact test. The survival curves (DSS and DFS) were constructed by the Kaplan–Meier method and compared by the log-rank test. In multivariate analysis, Cox's proportional hazards model was applied to determine the hazard ratio (HRa) and adjusted hazard ratio (HRa), assuming a 95% confidence interval (CI). A level of significance of 5% ( $p \le 0.05$ ) was adopted for all tests.

#### Results

## Clinicopathological Characteristics and Therapeutic Modality

A total of 3367 cases of malignant head and neck tumors were diagnosed during the study period at the cancer center (excluding tumors in the central nervous system, thyroid and parathyroid and metastases). Among all malignancies, 87 (2.5%) were AdCC. The age of patients with AdCC ranged from 24 to 90 years (mean =  $51.5 \pm 14.8$ ). Most patients were diagnosed at 50 years or older (n = 45; 51.7%). The most affected anatomical site was the parotid gland (31%). There was a higher incidence of the tumor among women (n = 47; 54.0%), with a male/female ratio of 0.85:1 (Table 1).

For the clinicopathological analysis, lesions were classified according to the predominant histologic pattern (cribriform, solid, and tubular). The most frequent predominant histologic pattern was the cribriform pattern (n=36; 41.4%), followed by the tubular (n=31; 35.6%) and solid (n=20; 23.0%) patterns (Fig. 1). Perineural invasion was observed in 62.1% (n=54) of the cases included in the study. Positive margins were found in 42.5% (n=37) of the cases. The margins were defined as positive if the tumor was located  $\leq 5$  mm from the resection margin.

Surgery combined with adjuvant radiotherapy was the most common treatment (n = 38; 43.7%), followed by surgery combined with radiotherapy and chemotherapy (n = 26; 29.9%) and surgery alone (n = 16; 18.4%). Regarding radiotherapy, the patients received between 90 and 200 cGy per fraction, with the number of fractions ranging from 20 to 64 (maximum dose of 4000–12,800 cGy). The chemotherapy protocol used in the AdCC cases consisted

Head and Neck Pathology (2021) 15:416–424

Characteristics	n (%)
Sex	
Male	40 (46.0)
Female	47 (54.0)
Age	
$\leq$ 50 years	42 (48.3)
> 50 years	45 (51.7)
Mean $\pm$ standard deviation	$51.5 \pm 14.8$
Primary site	
Parotid gland	27 (31.0)
Submandibular gland	15 (17.2)
Palate	19 (21.8)
Tongue	5 (5.7)
Nasal cavity/paranasal sinus/nasopharynx	10 (11.4)
Lacrimal gland	4 (4.6)
Other sites	7 (8.0)
Tumor size (T)	
T1	23 (26.4)
T2	21 (24.1)
T3	14 (16.1)
T4	29 (33.3)
Lymph node metastasis (N)	_, (cere)
N0	75 (86.2)
N1	7 (8.0)
N2	3 (3.4)
N3	2 (2.3)
Distant metastasis (M)	2 (2.5)
M0	73 (83.9)
M1	14 (16.1)
TNM stage	14 (10.1)
I	21(24 1)
I II	21 (24.1) 17 (19.5)
III	
IV	10 (11.5) 39 (44.8)
	39 (44.8)
Histopathological pattern	21 (25 ()
Tubular	31 (35.6)
Cribriform	36 (41.4)
Solid	20 (23.0)
Perineural invasion	22 (25 0)
Absent	33 (37.9)
Present	54 (62.1)
Surgical margins	
Negative	50 (57.5)
Positive	37 (42.5)
Treatment	
Surgery	16 (18.4)
Surgery + RT	38 (43.7)
Surgery + ChT	2 (2.3)
Surgery $+ RT + ChT$	26 (29.9)
RT+ChT	4 (4.6)

Characteristics	n (%)
ChT	1 (1.1)
Recurrence	
No	57 (65.5)
Yes	30 (34.5)
Survival status <sup>a</sup>	
Remission	40 (49.4)
Disease in progression	12 (14.8)
Death caused by tumor	29 (35.8)
Total	87 (100.0)

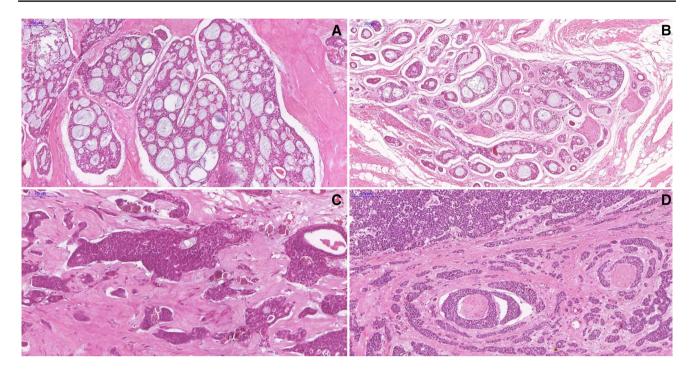
*TNM* tumor-node-metastasis, *RT* radiotherapy, *ChT* chemotherapy <sup>a</sup>Six cases had no information regarding survival status

of different combinations of cisplatin, carboplatin, docetaxel, methotrexate, and paclitaxel.

Lymph node and distant metastases were diagnosed in 13.7% (n = 12) and 16.1% (n = 14) of cases, respectively. The site most affected by metastases was the lung (n = 9; 47.4%), followed by the central nervous system (n = 2; 10.5%), bones (n = 2; 10.5%), and concomitant involvement of bones and liver (n = 2; 10.5%). In our sample, 34.5% of the cases (n = 30) developed recurrences and 35.8% (n = 29) of the patients died from the cancer. The mean time between the initial diagnosis of AdCC and recurrence was 3.4 years. In some cases, recurrence occurred 7 years after the initial diagnosis of AdCC. In cases of death due to AdCC, the mean time between diagnosis and the registration of death was 5.6 years (range 0.4–14.1 years).

With respect to associations between clinical and pathological parameters, tubular and cribriform AdCCs were significantly associated with early clinical stages (p=0.020), a smaller tumor size (p=0.009) and absence of distant metastases (p=0.001), but not with the degree of lymph node involvement (p=0.098). A predominance of the solid pattern was significantly associated with location in the major salivary gland (p=0.048). Positive surgical margins were associated with T3/T4 tumors (p < 0.001), lymph node involvement (p=0.003), distant metastases (p < 0.001), and advanced clinical stage (p < 0.001). Additionally, the presence of perineural invasion was significantly associated with distant metastases (p=0.014).

Most cases of AdCC with predominant tubular and cribriform patterns had free surgical margins (p = 0.021). The presence of perineural invasion was significantly associated with compromised surgical margins (p = 0.024) but not with the histopathological pattern (p = 0.405).



**Fig. 1** Microscopic features of AdCC of the head and neck. **a** Photomicrograph showing a typical AdCC with cribriform features. **b** Tumor cells arranged in tubular growth pattern. **c** Histopathological

image showing tumor cells arranged in solid pattern.  $\mathbf{d}$  AdCC solid pattern with perineural invasion

#### **Analysis of Survival and Prognostic Factors**

The DSS and DFS rates were considered for prognostic analysis of patients with AdCC (Tables 2, 3). Among the 81 cases submitted to survival analysis, 10 (12.3%) patients died within 5 years and 27 (33.3%) died within 10 years after the beginning of treatment.

The 5- and 10-years DSS rates were 87.65% (CI 78.27%–93.16%) and 66.67% (CI 55.28%–75.78%), respectively. Table 2 shows the associations between DSS and clinical-pathological variables. At both intervals, the risk of death due to AdCC was significantly higher among cases with distant metastases, advanced clinical stage (III/IV), positive margins, and a predominantly solid histopathological pattern (p < 0.05). Patients older than 50 years (p = 0.029), with T3/T4 tumors (p < 0.001), with evidence of perineural invasion (p = 0.007), or submitted to multiple treatments (surgery, radiotherapy, and chemotherapy) (p < 0.001) had lower 10-year DSS rates. Patient sex or location of the primary tumor was not significantly associated with DSS.

In multivariate analysis of 5-year DSS, no independent prognostic variables could be identified (p > 0.05), possibly because of the limited number of deaths (n = 10) over this follow-up period. Considering 10-year DSS, Cox's proportional hazards model revealed that histopathological pattern (p = 0.001) and the presence of perineural invasion (p = 0.025) were independent prognostic parameters (Fig. 2; Table 4).

The following events after the beginning of treatment were considered in the analysis of DFS: recurrence, metastasis (lymph node or distant), and death. The 5- and 10-year DFS rates were 61.73% (CI 50.24–71.31%) and 48.15% (CI 36.95–58.48%), respectively. A significant reduction in DSS was observed in patients with T3/T4 tumors, distant metastases, advanced clinical stage (III/ IV), and positive surgical margins 5 and 10 years after the beginning of treatment. The solid pattern (p = 0.042) and perineural invasion (p = 0.047) were associated with lower 5-year DFS. Patients submitted to surgical excision of the tumor combined with radio- and chemotherapy exhibited lower DFS rates than individuals of the other groups (p = 0.004). The remaining clinical-pathological variables were not significantly associated with DFS (Table 3).

Multivariate analysis using Cox's proportional hazards model showed that tumor size (T) (p = 0.015) and distant metastasis (M) (p = 0.026) were independent predictors of 5-year DFS. Similarly, analysis of 10-year DFS revealed the independent prognostic value of tumor size (p < 0.001) and presence of distant metastasis (p = 0.001) (Fig. 3; Table 4).

Table 2	Univariate analysis for the	5-year and 10-year DS	SS in patients $(n=81)$	with head and neck AdCC
---------	-----------------------------	-----------------------	-------------------------	-------------------------

Parameter	n	5-year		р	10-year		р
		DSS (95% CI)	HR (95% CI)		DSS (95% CI)	HR (95% CI)	
Age							
$\leq$ 50 years	38	94.74 (80.56–98.66)	3.63 (0.77–17.14)	0.080	78.95 (62.29–88.87)	2.43 (1.06-5.56)	0.029
>50 years	43	81.40 (66.22–90.23)			55.81 (39.85-69.10)		
Sex							
Male	37	89.19 (73.71–95.80)	1.22 (0.34-4.34)	0.752	56.76 (39.43-70.84)	0.54 (0.25–1.17)	0.114
Female	44	86.36 (72.14–93.63)			75.00 (59.42-85.30)		
Primary site							
Major SG	43	86.05 (71.55–93.48)	0.75 (0.21-2.66)	0.659	67.44 (51.31–79.25)	1.07 (0.50-2.29)	0.844
Minor SG/other sites	38	89.47 (74.34–95.91)			65.79 (48.48–78.49)		
Tumor size (T)							
T1/T2	38	94.74 (80.56–98.66)	3.77 (0.80–17.76)	0.071	86.84 (71.23–94.30)	4.81 (1.81–12.72)	< 0.001
T3/T4	43	81.40 (66.22–90.23)			48.84 (33.34–62.65)		
Lymph node metastasis (N)							
NO	69	85.51 (74.74–91.93)	a	0.172	68.12 (55.73–77.71)	1.23 (0.46-3.25)	0.672
N1/N2/N3	12	a			58.33 (27.01-80.09)		
Distant metastasis (M)							
M0	67	97.01 (88.59–99.24)	27.11 (5.72–128.52)	< 0.001	80.60 (68.94-88.24)	16.15 (7.19–36.24)	< 0.001
M1	14	42.86 (17.73-66.04)			7.14 (0.45–27.52)		
Clinical stage							
I/II	32	96.88 (79.82–99.55)	6.27 (0.79–49.57)	0.045	96.88 (79.82–99.55)	22.83 (3.09–168.50)	< 0.001
III/IV	49	81.63 (67.67-89.99)			46.94 (32.59-60.04)		
Histopathological pattern							
Tubular/cribriform	62	93.55 (83.72–97.53)	5.35 (1.50-18.98)	0.003	77.42 (64.87-85.95)	3.94 (1.84-8.42)	< 0.001
Solid	19	68.42 (42.79-84.39)			31.58 (12.91-52.25)		
Perineural invasion							
Absent	29	96.55 (77.9–99.51)	5.29 (0.67-41.79)	0.076	86.21 (67.31–94.59)	3.84 (1.32–11.13)	0.007
Present	52	82.69 (69.38–90.59)			55.77 (41.32-67.99)		
Surgical margins							
Negative	44	95.45 (83.02–98.84)	5.26 (1.11-24.78)	0.018	95.45 (83.02–98.84)	22.45 (5.29–95.24)	< 0.001
Positive	37	78.38 (61.39–88.55)			32.43 (18.23-47.47)		
Treatment							
Surgery	12	91.67 (53.90–98.78)	1.83 (0.65–5.17)	0.403	83.33 (48.17–95.55)	4.20 (1.91–9.25)	< 0.001
Surgery + RT	36	91.67 (76.35–97.23)			88.89 (73.05–95.68)		
Surgery $+ RT + ChT$	26	80.77 (59.81–91.51)			34.62 (17.46–52.48)		

Seven cases were not included in analysis of association between DSS and treatment: two patients submitted to surgery and ChT; four patients submitted to RT and ChT; and one submitted to ChT

Bold values indicate statistically significant results

DSS disease-specific survival, CI confidence interval, HR hazard ratio, SG salivary gland, RT radiotherapy, ChT chemotherapy

<sup>a</sup>It was not possible to determine

# Discussion

Among malignant salivary gland tumors, AdCC is characterized by an insidious biological behavior that results in an unfavorable long-term prognosis [11, 12]. In our sample, AdCC cases corresponded to 2.5% of all head and neck malignancies. The most affected anatomical site was the parotid gland. Among cases diagnosed in the minor salivary glands, the palate was the most common site. The present findings agree with those described in the literature [11, 13, 14]. There was a slight female predilection among the cases analyzed here and most patients were diagnosed in their 5th and 6th decades of life.

The term "wolf in sheep's clothing" is frequently used to characterize AdCC of the head and neck because of its slow but relentless growth and dissemination [15, 16]. Within

Table 3 Univariate analysis for the 5-year and 10-year DFS in patients (n=81) with head and neck AdCC

Parameter	п	5-year		р	10-year		р
		DFS (95% CI)	HR (95% CI)		DFS (95% CI)	HR (95% CI)	
Age							
$\leq$ 50 years	38	60.53 (43.29–74.00)	0.88 (0.43-1.79)	0.734	50.00 (33.40-64.52)	1.01 (0.55–1.86)	0.954
> 50 years	43	62.79 (46.63-75.29)			46.51 (31.24–60.44)		
Sex							
Male	37	64.86 (47.30–77.86)	1.11 (0.54–2.28)	0.759	45.95 (29.55-60.88)	0.91 (0.49–1.67)	0.774
Female	44	59.09 (43.19–71.91)			50.00 (34.59-63.60)		
Primary site							
Major SG	43	67.44 (51.31–79.25)	1.46 (0.72–2.97)	0.288	53.49 (37.65-66.98)	1.37 (0.74–2.51)	0.305
Minor SG/other sites	38	55.26 (38.26-69.34)			42.11 (26.42–57.00)		
Tumor size (T)							
T1/T2	38	78.95 (62.29-88.87)	3.23 (1.44-7.24)	0.002	76.32 (59.42-86.90)	4.86 (2.31–10.23)	< 0.001
T3/T4	43	46.51 (31.24–60.44)			23.26 (12.05-36.60)		
Lymph node metastasis (N)							
N0	69	63.77 (51.27–73.86)	1.55 (0.63-3.78)	0.329	52.17 (39.82-63.15)	1.85 (0.88-3.88)	0.094
N1/N2/N3	12	50.00 (20.85-73.61)			25.00 (6.01-50.48)		
Distant metastasis (M)							
M0	67	68.66 (56.09–78.30)	3.07 (1.44-6.54)	0.002	58.21 (45.51-68.94)	4.30 (2.21-8.36)	< 0.001
M1	14	28.57 (8.83-52.37)			7.14 (0.45–27.52)		
Clinical stage							
I/II	32	84.38 (66.46–93.18)	4.31 (1.65–11.26)	0.001	84.38 (66.46–93.18)	7.44 (2.90–19.05)	< 0.001
III/IV	49	46.94 (32.59-60.04)			24.49 (13.60-37.08)		
Histopathological pattern							
Tubular/cribriform	62	64.52 (51.28-75.01)	1.49 (0.68-3.24)	0.306	54.84 (41.69-66.20)	1.91 (1.00-3.64)	0.042
Solid	19	52.63 (28.72–71.88)			26.32 (9.58 - 46.77)		
Perineural invasion							
Absent	29	72.41 (52.34–85.13)	1.67 (0.75–3.75)	0.200	65.52 (45.41–79.73)	2.01 (0.99-4.11)	0.047
Present	52	55.77 (41.32-67.99)			38.46 (25.43–51.34)		
Surgical margins							
Negative	44	84.09 (69.50–92.08)	6.01 (2.57–14.01)	< 0.001	81.82 (66.92–90.46)	10.04 (4.56–22.10)	< 0.001
Positive	37	35.14 (20.40-50.25)			8.11 (2.09–19.57)		
Treatment							
Surgery	12	75.00 (40.84–91.17)	1.53 (0.86–2.70)	0.318	75.00 (40.84–91.17)	2.23 (1.32-3.75)	0.004
Surgery + RT	36	66.67 (48.83–79.50)			61.11 (43.35–74.82)		
Surgery + RT + ChT	26	53.85 (33.29–70.58)			23.08 (9.38-40.31)		

Seven cases were not included in analysis of association between DFS and treatment: two patients submitted to surgery and ChT; four patients submitted to RT and ChT; and one submitted to ChT

Bold values indicate statistically significant results

DFS disease-free survival, CI confidence interval, HR hazard ratio, SG salivary gland, RT radiotherapy, ChT chemotherapy

this context, the identification of clinical-pathological predictors of recurrence and survival in head and neck AdCC is important for a better understanding of this cancer and the consequent prognostic prediction and management of diagnosed cases.

In the present study, lymph node metastasis at diagnosis was observed in 13.7% of the patients. A similar percentage was reported in the recent study of Atallah et al. [17] who evaluated 470 cases of head and neck AdCC. On the other hand, we observed a larger number of cases with distant metastases at diagnosis (16.1%) than those frequently reported in the literature [17, 18]. It should be noted that AdCC is more likely to spread to distant sites than to regional lymph nodes [1].

A decline in long-term survival was observed in our study, with a high death rate due to AdCC (32.1%), demonstrating the aggressive nature of this tumor. Thus, the likelihood of locoregional and distant recurrence is high

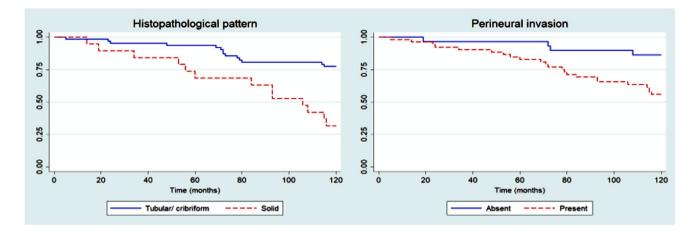


Fig. 2 Kaplan-Meier curves for 10-year disease-specific survival rate of patients with head and neck adenoid cystic carcinoma

**Table 4**Cox proportionalhazards model for multivariateanalysis of AdCCs

Parameter	HR (95% CI)	HRa (95% CI)	р
DSS (10-year)			
Histopathological pattern	3.94 (1.84-8.42)	3.55 (1.65-7.61)	0.001
Perineural invasion	3.84 (1.32–11.13)	3.39 (1.16–9.87)	0.025
DFS (5-year)			
Tumor size (T)	3.23 (1.44–7.24)	2.77 (1.21-6.32)	0.015
Distant metastasis (M)	3.07 (1.44-6.54)	2.39 (1.10-5.18)	0.026
DFS (10-year)			
Tumor size (T)	4.86 (2.31–10.23)	4.08 (1.91-8.72)	< 0.001
Distant metastasis (M)	4.30 (2.21-8.36)	3.09 (1.57-6.08)	0.001

Bold values indicate statistically significant results

DSS disease-specific survival, DFS disease-free survival, HR hazard ratio, HRa adjusted hazard ratio, CI confidence interval

in AdCC and rigorous long-term follow-up of diagnosed cases is necessary [17, 19, 20]. Univariate analysis considering the short-term prognosis (5 years) showed that distant metastasis (p < 0.001), advanced clinical stage (p = 0.045), histopathological pattern (p = 0.003), and positive surgical margins (p=0.018) were associated with poor DSS. Furthermore, age (p = 0.029), tumor size (p < 0.001), distant metastasis (p < 0.001), advanced clinical stage (III,IV) (p < 0.001), solid pattern (p < 0.001), perineural invasion (p = 0.007), compromised surgical margins (p < 0.001), recurrence (p = 0.029), and treatment (p < 0.001) had a negative impact on the long-term prognosis (10 years) of the cases analyzed. In multivariate analysis considering 10-year DSS, the solid pattern (p=0.001) and perineural invasion (p = 0.025) were associated with a poor prognosis. Tumor size (p = 0.015 and p < 0.001) and distant metastasis (p=0.026 and p=0.001) were independent predictors of 5and 10-year DFS, respectively.

The solid pattern is frequently associated with a poor prognosis [20-22], as observed here in the analysis of

DSS. In the renowned histopathological grading systems proposed by Perzin et al. [23] and Szanto et al. [24], a frequency of the solid pattern of 30% and 50%, respectively, in histopathological analysis was associated with a poor prognosis. In the recent histopathological grading system developed by van Weert et al. [21], the authors considered the presence of a solid component in histopathological analysis to be associated with a poor prognosis of AdCC, regardless of its proportion in the specimen.

A meta-analysis conducted by Ju et al. [25] indicated that perineural invasion is strongly associated with poor overall survival and DFS. The neural tropism of AdCC and the capacity of neoplastic cells to migrate along nerve fibers are strong predictors of recurrence of AdCC [5]. According to Amit et al. [26], intraneural invasion is more strongly correlated with a poor prognosis than perineural invasion and is a reliable prognostic predictor of AdCC. Perineural invasion was associated with poor 10-year DSS (p = 0.025) in our study, corroborating the results of other studies.

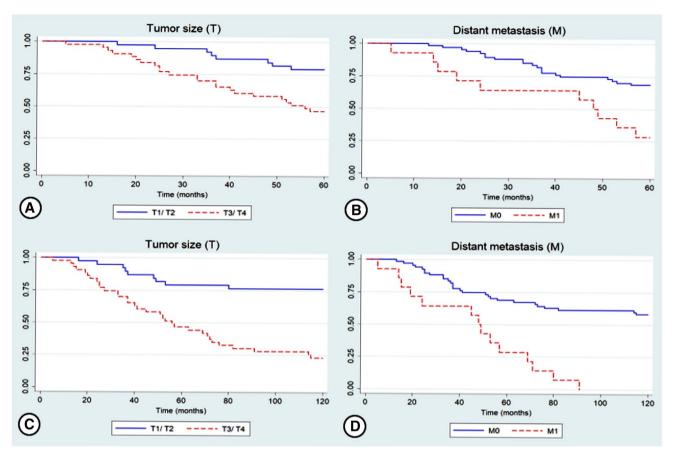


Fig. 3 Kaplan-Meier curves for 5-year (a, b) and 10-year (c, d) disease-free survival rate of patients with head and neck adenoid cystic carcinoma

Amit et al. [27] analyzed the role of surgical margins in 507 cases of head and neck AdCC in an international multicenter study. As observed in our study, the authors found a high rate of positive surgical margins (50% of the cases analyzed) and concluded that positive margins are predictors of poor survival in head and neck AdCC, while negative proximal margins (tumor-free margins < 5 mm) are associated with a favorable prognosis. The ability to achieve broad tumor-free margins depends on a range of factors, including location and size of the tumor, histopathological pattern and previous treatment. In many cases, surgery is limited by the proximity of vital structures. Studies demonstrated that patients with AdCC arising at sites close to the skull base (nasopharynx, nasal cavity, and paranasal sinuses) have a significantly higher risk of local recurrence [27, 28]. Although positive margins were associated with a poor 5and 10-year prognosis in univariate analysis (p = 0.018 and p < 0.001, respectively), surgical margin status showed no independent prognostic value in multivariate analysis.

Like in the present study, surgical treatment combined with radiotherapy remains the most common therapeutic modality for the management of patients with AdCC [4, 17, 19]. Systemic therapy is generally reserved for palliative treatment. Many biomarkers are currently emerging as prognostic and predictive factors of targeted therapies. In this respect, C-kit, VEGF and Notch-1 are described as important molecular prognostic markers [29, 30]. Future studies that thoroughly evaluate possible therapeutic targets considering the molecular features of AdCC will pave the way for targeted therapies.

In conclusion, the present results confirm that clinical stage of the tumor, histopathological pattern and perineural invasion are important prognostic predictors in patients with AdCC. Other parameters such as surgical margins also exert a significant influence on clinical outcome. Considering the long-term aggressive behavior of AdCC, rigorous follow-up of patients is important to identify possible locoregional or distant recurrences. Detailed analysis of clinical–pathological parameters can assist professionals with treatment planning and prognostic prediction.

Acknowledgments This study was supported by Postgraduate Program in Oral Pathology of the UFRN and Coordination for the Improvement of Higher Education Personnel—CAPES. RAF and LBS are Research Productivity Fellows at National Council for Scientific and Technological Development—CNPq.

#### **Compliance with Ethical Standards**

**Conflict of interest** The authors declare no competing financial interests. There is no confict of interest.

# References

- El-Naggar AK, Chan JK, Grandis JR, Takata T, Slootweg PJ. World Health Organization classification of head and neck tumours. 4th ed. Lyon: IARC Press; 2017.
- da Silva LP, Serpa MS, Viveiros SK, Sena DAC, de Carvalho Pinho RF, de Abreu Guimarães LD, et al. Salivary gland tumors in a Brazilian population: a 20-year retrospective and multicentric study of 2292 cases. J Craniomaxillofac Surg. 2018;46(12):2227–33.
- 3. Parikh AS, Khawaja A, Puram SV, Srikanth P, Tjoa T, Lee H, et al. Outcomes and prognostic factors in parotid gland malignancies: a 10-year single center experience. Laryng Investig Otolaryngol. 2019;4(6):632–9.
- Bjørndal K, Krogdahl A, Therkildsen MH, Kristensen CA, Charabi B, Andersen E, et al. Salivary gland carcinoma in Denmark 1990e2005: a national study of incidence, site and histology. Results of the Danish Head and Neck Cancer Group (DAHANCA). Oral Oncol. 1990e;47(7):677–82.
- Dantas AN, Morais EF, Macedo RA, Tinôco JM, Morais ML. Clinicopathological characteristics and perineural invasion in adenoid cystic carcinoma: a systematic review. Braz J Otorhinolaryngol. 2015;81(3):329–35.
- Cordesmeyer R, Schliephake H, Kauffmann P, Tröltzsch M, Laskawi R, Ströbel P, et al. Clinical prognostic factors of salivary adenoid cystic carcinoma: a single-center analysis of 61 patients. J Craniomaxillofac Surg. 2017;45(11):1784–7.
- Girelli L, Locati L, Galeone C, Scanagatta P, Duranti L, Licitra L, et al. Lung metastasectomy in adenoid cystic cancer: is it worth it? Oral Oncol. 2017;65:114–8.
- Mays AC, Hanna EY, Ferrarotto R, Phan J, Bell D, Silver N, et al. Prognostic factors and survival in adenoid cystic carcinoma of the sinonasal cavity. Head Neck. 2018;40(12):2596–605.
- Garg M, Tudor-Green B, Bisase B. Current thinking in the management of adenoid cystic carcinoma of the head and neck. Br J Oral Maxillofac Surg. 2019;57(8):716–21.
- Edge SB, Byrd DR, Compton CC, Fritz AG, Greene FL, Trotti A, et al. AJCC cancer staging manual. 7th ed. New York: Springer; 2010.
- Jang S, Patel PN, Kimple RJ, McCulloch TM. Clinical outcomes and prognostic factors of adenoid cystic carcinoma of the head and neck. Anticancer Res. 2017;37(6):3045–52.
- Martins-Andrade B, Dos Santos Costa SF, Sant'ana MSP, Altemani A, Vargas PA, Fregnani ER, et al. Prognostic importance of the lymphovascular invasion in head and neck adenoid cystic carcinoma: a systematic review and meta-analysis. Oral Oncol. 2019;93:52–8.
- Zhang CY, Xia RH, Han J, Wang BS, Tian WD, Zhong LP, et al. Adenoid cystic carcinoma of the head and neck: clinicopathologic analysis of 218 cases in a Chinese population. Oral Surg Oral Med Oral Pathol Oral Radiol. 2013;115(3):368–75.
- Chang CF, Hsieh MY, Chen MK, Chou MC. Adenoid cystic carcinoma of head and neck: a retrospective clinical analysis of a single institution. Auris Nasus Larynx. 2018;45(4):831–7.
- 15. Cordesmeyer R, Kauffmann P, Laskawi R, Rau A, Bremmer F. The incidence of occult metastasis and the status of elective

🖄 Springer

neck dissection in salivary adenoid cystic carcinoma: a single center study. Oral Surg Oral Med Oral Pathol Oral Radiol. 2018;125(6):516–9.

- Mosconi C, de Arruda JAA, de Farias ACR, Oliveira GAQ, de Paula HM, Fonseca FP, et al. Immune microenvironment and evasion mechanisms in adenoid cystic carcinomas of salivary glands. Oral Oncol. 2019;88:95–101.
- Atallah S, Casiraghi O, Fakhry N, Wassef M, Uro-Coste E, Espitalier F, et al. A prospective multicentre REFCOR study of 470 cases of head and neck adenoid cystic carcinoma: epidemiology and prognostic factors. Eur J Cancer. 2020;130:241–9.
- Amit M, Binenbaum Y, Sharma K, Ramer N, Ramer I, Agbetoba A, et al. Analysis of failure in patients with adenoid cystic carcinoma of the head and neck. An international collaborative study. Head Neck. 2014;36(7):998–1004.
- 19. Ko JJ, Siever JE, Hao D, Simpson R, Lau HY. Adenoid cystic carcinoma of head and neck: clinical predictors of outcome from a Canadian centre. Curr Oncol. 2016;23(1):26–33.
- Takebayashi S, Shinohara S, Tamaki H, Tateya I, Kitamura M, Mizuta M, et al. Adenoid cystic carcinoma of the head and neck: a retrospective multicenter study. Acta Otolaryngol. 2018;138(1):73–9.
- van Weert S, van der Waal I, Witte BI, Leemans CR, Bloemena E. Histopathological grading of adenoid cystic carcinoma of the head and neck: analysis of currently used grading systems and proposal for a simplified grading scheme. Oral Oncol. 2015;51(1):71–6.
- Dubal PM, Unsal AA, Chung SY, Patel AV, Park RC, Baredes S, et al. Population-based trends in outcomes in adenoid cystic carcinoma of the oral cavity. Am J Otolaryngol. 2016;37(5):398–406.
- Perzin KH, Gullane P, Clairmont AC. Adenoid cystic carcinomas arising in salivary glands: a correlation of histologic features and clinical course. Cancer. 1978;42(1):265–82.
- Szanto PA, Luna MA, Tortoledo ME, White RA. Histologic grading of adenoid cystic carcinoma of the salivary glands. Cancer. 1984;54(6):1062–9.
- 25. Ju J, Li Y, Chai J, Ma C, Ni Q, Shen Z, et al. The role of perineural invasion on head and neck adenoid cystic carcinoma prognosis: a systematic review and meta-analysis. Oral Surg Oral Med Oral Pathol Oral Radiol. 2016;122(6):691–701.
- Amit M, Binenbaum Y, Trejo-Leider L, Sharma K, Ramer N, Ramer I, et al. International collaborative validation of intraneural invasion as a prognostic marker in adenoid cystic carcinoma of the head and neck. Head Neck. 2015;37(7):1038–45.
- Amit M, Na'ara S, Trejo-Leider L, Ramer N, Burstein D, Yue M, et al. Defining the surgical margins of adenoid cystic carcinoma and their impact on outcome: an international collaborative study. Head Neck. 2017;39(5):1008–144.
- Garden AS, Weber RS, Morrison WH, Ang KK, Peters LJ. The influence of positive margins and nerve invasion in adenoid cystic carcinoma of the head and neck treated with surgery and radiation. Int J Radiat Oncol Biol Phys. 1995;32(3):619–26.
- Mitani Y, Li J, Rao PH, Zhao YJ, Bell D, Lippman SM, et al. Comprehensive analysis of the MYB-NFIB gene fusion in salivary adenoid cystic carcinoma: Incidence, variability, and clinicopathologic significance. Clin Cancer Res. 2010;16(19):4722–31.
- 30. Sajed DP, Faquin WC, Carey C, Severson EA, Afrogheh HA, Johnson AC, et al. Diffuse staining for activated NOTCH1 correlates with NOTCH1 mutation status and is associated with worse outcome in adenoid cystic carcinoma. Am J Surg Pathol. 2017;41(11):1473.

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.