

HHS Public Access

Author manuscript *Semin Liver Dis.* Author manuscript; available in PMC 2021 May 20.

Published in final edited form as:

Semin Liver Dis. 2017 November; 37(4): 296-304. doi:10.1055/s-0037-1608775.

Overdiagnosis: An Understudied Issue in Hepatocellular Carcinoma Surveillance

Nicole E. Rich¹, Neehar D. Parikh², Amit G. Singal¹

¹Department of Internal Medicine, UT Southwestern Medical Center, Dallas, TX

²Department of Internal Medicine, University of Michigan, Ann Arbor, MI

Abstract

Overdiagnosis, the detection of clinically insignificant disease that would not otherwise impact the patient's lifespan, is a phenomenon that has been described in several solid tumors such as prostate, breast, thyroid, and lung cancers. Population-based efforts to reduce hepatocellular carcinoma (HCC) mortality in cirrhosis patients by screening and early detection may result in the overdiagnosis of HCC. One of the harms of overdiagnosis is subsequent overtreatment, which can result in increased costs, as well as physical side effects, psychological harms, and poorer quality-of-life. In this review, we explore the potential for overdiagnosis in HCC.

Keywords

Overdiagnosis; overtreatment; harms; surveillance; screening; HCC

Introduction

Hepatocellular carcinoma (HCC) is the third leading cause of cancer-related death worldwide, and its incidence is increasing in the United States^{1, 2}. The prognosis for HCC is typically poor, largely related to most patients being diagnosed at late stages when curative therapies are not available. Therefore, professional societies in Western countries, including the American Association for the Study of Liver Diseases (AASLD) and European Association for the Study of the Liver (EASL), recommend routine biannual surveillance with ultrasound, with or without serum alpha-fetoprotein (AFP), in patients with cirrhosis with the goal of detecting HCC at an early stage^{3, 4}. More intensive surveillance guidelines from Eastern professional societies reflect the higher prevalence of HCC in the East compared to Western countries, predominately due to high rates of endemic viral hepatitis.

The value of any cancer screening program is determined by a balance of screening benefits and screening harms. The goal of HCC screening and primary measure of benefit is a reduction in cancer-specific and all-cause mortality. These benefits must be weighed against

Conflicts of Interests: None of authors have relevant conflicts of interest

Correspondence: Amit G. Singal M.D., M.S., Division of Digestive and Liver Diseases, University of Texas Southwestern, 5959 Harry Hines Blvd, POB 1, Suite 420, Dallas TX 75390-8887, Tel: 214-645-6029, Fax: 214-645-6294, amit.singal@utsouthwestern.edu.

potential physical, financial, and psychological harms including radiologic or invasive tests performed for indeterminate or false positive results. Additionally, screening carries a risk of overdiagnosis, i.e. detection of tumors that would not have caused symptoms or death had they not been detected. Overdiagnosis has been described and quantified for other malignancies, including prostate, breast, and lung; however, there are few studies evaluating overdiagnosis in patients with HCC⁵. The aim of this review is to discuss the potential for overdiagnosis in patients with HCC and its clinical significance.

Benefits of HCC surveillance

The goal of HCC surveillance is to detect tumors at an early stage, when curative treatment is possible, thereby reducing HCC-related mortality. HCC surveillance was shown to significantly increase early tumor detection and reduce HCC-related mortality in a large randomized controlled trial among patients with chronic hepatitis B⁶. There is currently no Level I evidence to support a similar survival benefit of HCC surveillance in patients with cirrhosis; however, a meta-analysis of available cohort studies found an association between HCC surveillance and increased early HCC detection (OR 2.08, 95% CI 1.80-2.37), increased curative treatment receipt (OR 2.24, 95% CI 1.99-2.52), and improved 3-year survival (OR 1.90, 95% 1.6702.17)⁷, which remained significant in the subset of studies adjusting for lead-time bias. Additionally, a recent analysis of data from the prospective French ANRS cohort found adherence to semiannual ultrasound surveillance was associated with improvement in lead-time adjusted survival⁸. Lead-time bias is the perception of improved survival due to finding a tumor earlier in its course and following it for longer periods of time, without changing overall survival. Length time bias, another inherent bias of non-randomized data, relates to an increased likelihood of detecting indolent tumors than aggressive tumors. Although some studies have attempted to adjust for lead-time bias, they have assumed a wide range of tumor doubling times, and no studies to date have adjusted for length time bias. Despite these limitations, currently available data suggest HCC surveillance is likely associated with increased early tumor detection and improved overall survival. Several cohort studies of demonstrate underuse of HCC surveillance in patients with cirrhosis, likely contributing to the high rates of advanced stage presentation and poor survival observed in clinical practice.9-11

Harms of HCC surveillance

The benefits of HCC surveillance must be considered in light of screening-related physical, financial, and psychological harms. Screening physical harm can include direct complications of screening tests as well as subsequent diagnostic testing, whether invasive or non-invasive. Although ultrasound and alpha fetoprotein (AFP) have minimal direct physical harms, they can lead to high rates of diagnostic imaging for false positive or indeterminate lesions. Imaging studies including CT and/or MRI are associated with contrast injury, radiation exposure, and cost. Liver biopsy may be required for liver lesions not characterized by CT or MRI and can be associated with risk of bleeding, tumor seeding, and/or injury to nearby organs^{12, 13}. In a retrospective cohort study of 680 cirrhosis patients undergoing HCC surveillance, Atiq and colleagues found 27.5% experienced downstream surveillance-related physical harms over a 3-year period, with a higher proportion of ultrasound-related

harm than AFP-related harm¹⁴. In another single-center study among 999 patients with cirrhosis followed for 2.2 years, HCC surveillance resulted in 2.7-times more surveillance-related harms than benefits¹⁵. In a Markov model of cirrhosis patients undergoing surveillance, Taylor and colleagues found surveillance was associated with 13 (95% CI 12–14) fewer deaths for every 1000 patients followed over a 5-year period, equivalent to a number needed to treat of 77 to prevent one death from HCC. However, the authors noted significantly more patients were harmed by surveillance test, leading to 65 cross-sectional imaging (CT/MRI) studies and 39 liver biopsies.¹⁶ Although psychological harms, e.g. anxiety and depression, have been described for other cancer screening programs, including lung cancer and colon cancer, no studies to date have characterized this aspect for HCC surveillance. Together, these data highlight the potential for HCC surveillance-related harms and the need for further studies.

Overdiagnosis in Cancer Screening

In addition to screening-related harms discussed above, overdiagnosis is a potential pitfall of any screening program. Overdiagnosis should be suspected when a rise in incidence for a disease is observed without a concomitant rise in mortality. Of note, overdiagnosis is distinct from low specificity and false-positive findings. For example, a false positive finding in HCC surveillance would include a regenerative nodule detected on surveillance ultrasound that led to subsequent biopsy; however, this would not be categorized as overdiagnosis. Several scenarios can lead to overdiagnosis; 1) detection of pre-malignant lesions; 2) detection of indolent tumors that slowly progress; or 3) detection of a tumor in a patient with high competing risk of mortality.

Overdiagnosis of premalignant lesions

Overdiagnosis of small, premalignant lesions has been described in other cancer screening programs including breast cancer screening. In an analysis of Surveillance, Epidemiology, and End Results (SEER) data from 1975 to 2012, Welch and colleagues found a shift in the size of detected lesions with increasing use of screening mammography. The proportion of small (<2 cm) breast tumors increased from 36% to 68%. However, the authors noted a significant increase in small tumor detection (162 more cases per 100,000 women) without a significant decrease in large tumor detection (30 fewer per 100,000 women), suggesting a significant number of tumors were overdiagnosed¹⁷. While breast cancer mortality has declined over time, the degree to which screening mammography is responsible remains controversial¹⁸. A large multicenter, randomized screening trial performed in Canada found annual mammograms in women aged 40-59 did not reduce breast cancer mortality, and nearly one-fourth (22%) of tumors were classified as overdiagnosis¹⁹. Improvement in imaging techniques has also led to frequent incidental detection of small, asymptomatic pancreatic cysts, which may occur in up to 13% of adults²⁰. Despite a lack of data supporting pancreatic cancer screening, prolonged surveillance of these lesions with CT/ MRI, invasive testing, and surgical resection is often performed. This practice may cause harm in patients with low-risk lesions, such as small side-branch intraductal papillary

mucinous neoplasms (IPMNs), in which rates of malignant transformation are relatively low²¹.

For HCC, the potential for overdiagnosis due to detection of pre-malignant lesions is driven by surveillance intensity, including surveillance intervals and test choice. Prior trials comparing HCC surveillance intervals have found more frequent surveillance intervals (e.g. 4 months vs. 12 months) identified a larger proportion of early stage tumors, resulting in more patients receiving curative therapies but no difference in overall survival^{22, 23}. Similarly, Trinchet and colleagues found 3 vs. 6 month intervals for HCC surveillance identified a higher proportion of small liver lesions, but there was no difference in HCC detection or overall survival between the two groups²².

HCC diagnosis is typically made based solely on radiographic criteria (using dynamic CT/ MRI), and does not necessarily require histologic confirmation, however biopsy plays an important role if atypical imaging features are present. In recent years, diagnostic imaging modalities for HCC have improved significantly, resulting in increased sensitivity and more frequent detection of small liver nodules in patients with cirrhosis, increasing the potential for overdiagnosis. Based on current Western societal guidelines (AASLD and EASL), the diagnosis of HCC may only be confirmed in lesions 1 cm in size. Lesions <1 cm are less likely to be HCC, and CT/MRI traditionally have low accuracy for characterization of subcentimeter HCCs^{24, 2526}. Current guidelines recommend close observation of lesions <1 cm using ultrasound every 3 months for up to 2 years, reserving diagnostic imaging with CT or MRI for lesions that enlarge³. However, CT/MRI is often used in cases with subcentimeter lesions in clinical practice, despite guideline recommendations, contributing to the burden of overdiagnosis.

The LI-RADS (Liver Imaging Reporting and Data) system, proposed in 2011 to standardize classification of liver nodules identified on CT or MRI, categorizes nodules on a scale of 1-5 ranging from LR-1 (definitely benign) to LR-5 (definitely HCC)²⁷. Indeterminate lesions may be characterized as LR-2, LR-3, or LR-4. Limitations of the LI-RADS criteria include some discordance with other systems and guidelines (e.g. AASLD and OPTN) and there is a lack of head-to-head comparisons between LI-RADS and other criteria, which may result in unnecessary downstream testing for indeterminate, but benign nodules. Further, the LI-RADS system is dynamic and there is a need for prospective validation of the respective LR 1-5 categories with regard to likelihood of HCC to mitigate the risk of overdiagnosis. Of particular concern with regard to overdiagnosis is the case of a subcentimeter lesion with arterial enhancement and washout, which is classified as LR-4 (suspicious for HCC). This classification may prompt providers to pursue further testing with frequent CT/MRI and even biopsy; however, the lesion is more likely to be benign than HCC at this small size. In fact, prior studies have shown less than 20% of subcentimeter tumors are HCC, whereas the rest are more likely dysplastic or regenerative nodules²². Overall, the natural history of subcentimeter lesions has not been clearly determined. Several benign hepatic lesions may mimic HCC, and continued monitoring of these lesions may result in harms including radiation exposure, contrast exposure, and complications from biopsy or other invasive procedures.

Increasing use of gadoxetate-enhanced MRI, particularly in the East, may compound the issue of overdiagnosis, both for subcentimeter lesions as well as larger pre-malignant lesions. Prior studies suggest this test lacks perfect specificity and a proportion of high-grade dysplastic nodules will have overlapping features with early HCC²⁶. Finally, the suboptimal performance of ultrasound in subgroups of patients, including the morbidly obese and those with nonalcoholic steatohepatitis, may also lead to increased use of CT/MRI as primary screening tests, further increasing the likelihood of overdiagnosed lesions and subsequent harms²⁸.

Overdiagnosis due to Detection of Indolent Tumors

The success of a cancer screening program is contingent upon the assumption that the tumor has a gradual, predictable growth pattern. Cancer screening is less likely to be effective for aggressive tumors with rapid growth patterns due to a lower likelihood of detecting these patients at an earlier stage and lower effectiveness of treatments. Likewise, screening is likely of low benefit in patients with slow growing tumors, although for different reasons. Screening is highly likely to detect these patients at an earlier stage and facilitate treatment (commonly known as length time bias), thereby often regarded as a success. However, the natural history of these patients without cancer detection and treatment could have been similar given the indolent nature of these tumors. If these patients suffer treatment-related complications or significant adverse events, cancer screening could have actually decreased survival and/or quality of life.

Overdiagnosis of indolent tumors has been observed in other malignancies, most notably prostate cancer. Use of prostate specific antigen (PSA) for prostate cancer screening has led to a significant burden of overdiagnosed, asymptomatic tumors which likely would not have impacted patient survival or quality of life. In the U.S. Prostate, Lung, Colorectal, and Ovarian Cancer (PLCO) trial of 77,000 men randomized to either annual PSA and digital rectal exam vs. no PSA screening, investigators observed a 22% increased detection of prostate cancer in the screening group but no difference in overall mortality²⁹. However, the European Randomized Study of Screening for Prostate Cancer found PSA screening resulted in a mortality benefit with reduction of 0.71 prostate-cancer deaths per 1000 men aged 55-69 screened³⁰. At 11-year and 13-year follow-up, further reduction in mortality was noted in the PSA-screened group, and the number needed to screen to prevent one prostate cancer death decreased to 1,055 and 781 men, respectively^{31, 32}. The conflicting results from these two large multicenter RCTs led to significant controversy regarding PSA screening. resulting in discordant recommendations from professional societies, and in 2012 the U.S. Preventative Services Task Force (USPSTF) issued a recommendation against prostate cancer screening in men of all ages³³. These guidelines were a departure from 2008 USPSTF guidelines that recommended against PSA screening only in men 75 years, and American Urological Association guidelines recommending shared-decision making regarding PSA screening in men between 55–69 years of age^{34, 35}. These various guidelines have led to significant confusion amongst both patients and health-care providers regarding the merits and harms of PSA testing, including the impact of overdiagnosis. In long-term follow-up data from the Prostate Cancer Intervention versus Observation Trial (PIVOT), Wilt and colleagues reported that in 731 men with localized prostate cancer randomized to

radical prostatectomy vs. observation alone, surgery was not associated with all-cause or cancer-specific mortality benefit. Additionally, surgical intervention was associated with more frequent adverse events lasting up to 10 years later, including treatment-related limitations in activities of daily living (ADLs), urinary incontinence, and erectile dysfunction³⁶. Consequently, overdiagnosis of prostate cancer may lead to unnecessary treatment with associated harms and no impact on survival.

Traditionally, HCC has been considered an aggressive tumor and rarely viewed as indolent, with 5-year survival rate of $<15\%^{37}$. An indicator of the malignant potential, or "aggressiveness", of a tumor is the tumor volume doubling time (TVDT), which reflects the intrinsic growth rate of a tumor; however, TVDT may be highly variable given the biologic heterogeneity of HCC. Villa and colleagues found tumor doubling times ranged widely from 30-621 days (median 83 days) with median survival significantly lower in those with TVDT in the 1st quartile (<53 days) compared to the three quartiles (11 vs. 41–47 months respectively, p<0.0001).³⁸ Other studies of HCC growth rates have similarly found heterogeneous TVDT³⁹⁻⁴², and HCC tumors may even spontaneously regress⁴³ TVDT may vary based on liver disease etiology (i.e. hepatitis B-associated HCC has faster TVDT than HCV-related HCC) which may partially explain observed differences in prognosis⁴⁴. Of note, studies assessing TVDT are limited by small sample sizes and potential for misclassification given most studies lack histologic diagnosis, and further studies are needed. Additionally, there is a lack of data supporting a constant tumor growth rate, and it remains unclear whether indolent lesions will remain slow growing or may develop a more rapid growth rate over time. Further studies evaluating TVDT are needed to determine if overdiagnosis related to detection of indolent tumors is of potential concern.

Overdiagnosis due to Detection of HCC in Patients with Competing Mortality Risk

Whether a tumor is indolent or aggressive, it would be classified as overdiagnosed if it occurs in a patient with multiple comorbid conditions and associated competing risk of mortality. These patients would be more likely to die from a non-cancer related cause, so the HCC would unlikely result in morbidity or mortality.

This phenomenon of overdiagnosis due to competing mortality risk has been well-described in colorectal cancer (CRC) screening. While the elderly are disproportionately affected by CRC, routine screening with colonoscopy may be inappropriate in some patients with advanced age and underlying comorbid conditions that might otherwise significantly limit their life expectancy. CRC screening in the elderly (age > 75 years) is controversial and not specifically addressed in societal guidelines^{45, 46}. Nonetheless, some studies have found utilization of CRC screening to be highest in the elderly, particularly those covered by Medicare, as well as those with multiple comorbidities given their more frequent contact with the healthcare system^{47, 48}. Though the yield of colonoscopy is likely higher in the elderly than younger populations, they are also more likely to have comorbid conditions and more vulnerable to procedural complications.

Examples of overdiagnosis due to competing mortality risk have also been noted in breast, lung, and prostate cancer screening programs. In a cross-sectional analysis of data from the National Health Interview Survey (NHIS), Deshpande and colleagues found a greater

number of chronic conditions was associated with increased adherence to screening mammography⁴⁹. Similar findings have also been noted in lung cancer, where a substantial number of screening-detected cases are likely overdiagnosed due to a high all-cause mortality rate in smokers. This was noted in the Mayo Lung Project, a randomized trial designed to evaluate an intense lung cancer screening program using chest X-ray and sputum cytology compared to routine clinical care, which found no mortality benefit for patients in the intervention arm, even after extended follow-up⁵⁰. In a longitudinal multicenter study of 11,521 men treated with radical prostatectomy, those with histologically-confirmed Gleason score 6 had a 20-year prostate cancer-specific mortality rate of only 1.2%. Further, irrespective of age at diagnosis, prostate cancer-specific mortality risk was significantly lower than the risk of mortality from competing causes⁵¹.

For HCC surveillance, approximately 80-90% of HCC patients in the United States have underlying cirrhosis, which may range from asymptomatic compensated cirrhosis to decompensated liver disease, which carries a high risk of associated morbidity and mortality³⁷. Unlike other malignancies, HCC is unique in that prognosis and treatment options depend not only on tumor stage, but also on underlying liver function, as evidenced by the inclusion of the Child Pugh score in the Barcelona Clinic Liver Cancer (BCLC) staging system⁵². Given the high 1-year mortality rate of patients with advanced (Child Pugh C) cirrhosis, the competing risk of liver-related mortality renders HCC surveillance ineffective in this subset of patients. In a multicenter Italian study of 1051 patients, Trevisani and colleagues demonstrated HCC surveillance had the largest survival benefit in patients with Child Pugh A cirrhosis, marginal benefit in patients with Child B cirrhosis, and no benefit in those with Child Pugh class C outside of liver transplantation⁵³. At the present time, there is widespread underuse of recommended HCC surveillance in the United States among patients with cirrhosis, particularly among those with subclinical cirrhosis, racial/ ethnic minorities, and the underinsured^{10, 54}. It is possible that ongoing efforts to increase adherence to HCC surveillance programs may result in a greater proportion of overdiagnosed lesions in the future, highlighting the need for appropriate implementation of HCC surveillance in clinical practice⁵⁵.

The issue of overscreening in patients with advanced cirrhosis who are not acceptable liver transplant candidates is potentially avoidable. However, due to providers' under-recognition of subclinical or compensated cirrhosis, patients with decompensated, symptomatic cirrhosis may actually be more likely to receive HCC surveillance³. In a U.S. study of surveillance-related harms, Atiq and colleagues found 13.1% of cirrhosis patients receiving surveillance in a safety-net hospital system had decompensated cirrhosis (Child Pugh C) and over one-fourth (29.2%) of these patients experienced physical harms, defined as the receipt of diagnostic evaluation related to false positive surveillance tests¹⁴. Patients with Child Pugh C cirrhosis may be particularly prone to complications of diagnostic evaluation (e.g. contrast nephropathy or post-biopsy bleeding). Further, Child Pugh C patients who are not candidates for liver transplantation are also unable to undergo HCC-directed therapy due to risk of further hepatic decompensation, and thus can only be harmed by additional investigation of overdiagnosed lesions. It is also important to note that an individual patient's competing risk of cirrhosis-related mortality is dynamic, and the need for ongoing HCC surveillance should be continually reassessed to minimize potential for overdiagnosis.

To date, there are few studies assessing overdiagnosis in HCC related to competing risk of non-liver mortality, and longitudinal data on the natural history of HCC is sparse. A population-based Danish study of 8482 patients with alcoholic cirrhosis found 5-year cumulative HCC risk was 1.0% (95% CI 0.8–1.3%). Although the 5-year cumulative mortality in these patients was high at 43.7% (95% CI 42.6–44.7%), only 1.8% of deaths were related to HCC⁵⁶. These data suggest high competing risk of mortality in these patients and the possibility of HCC overdiagnosis; however, further studies are needed, particularly in patients with NASH who have high rates of non-liver mortality.

Why is Overdiagnosis Important?

Although early detection of HCC and delivery of curative treatment is the mediating pathway to improving survival, these outcomes are not equivalent. By definition, a patient with an overdiagnosed lesion will not receive any benefit from treatment, and can only be harmed. Some of the harms associated with overdiagnosis include not only adverse physical harms of downstream diagnostic testing and procedures, but also psychological and financial harms. Screening tests and a resultant cancer diagnosis can have adverse psychological effects, including resultant depression and anxiety, previously described in patients undergoing PSA testing or mammography^{57, 58}. In HCC, false positive testing may result in physical harms but psychological harms have not been quantified. Additionally, the patient may experience adverse psychological consequences from being "labeled" as a cancer patient. Further, overdiagnosis does not reduce disease-specific mortality for the individual. Rather, it can lead to overtreatment, which is costly and carries its own risks. For example, most (>90%) men in the United States with screen-detected prostate tumors received aggressive treatment, and Welch and colleagues estimated PSA screening has resulted in >1 million additional men receiving prostate cancer treatment in the U.S.^{29, 59, 60}.

In HCC, overdiagnosis has several potential implications, including harm to the individual patient, negative effects on quality of life (QOL), and increased financial burden. Many HCC tumors are diagnosed at an asymptomatic stage; however, treatment for a small, screen-detected HCC can result in significant morbidity and mortality. Curative and palliative treatments can result in prolonged survival in well-selected patients but may result in complications and debility, resulting in poorer QOL without a concomitant increase in survival, in poorly selected patients and those with overdiagnosed tumor. For example, surgical resection has a perioperative mortality of approximately 5–10%⁶¹. Similarly, transarterial chemoembolization (TACE) may result in post-embolization syndrome, contrast-induced nephropathy, liver decompensation, as well as other complications⁶².

On a larger, societal scale, overdiagnosis leads to misleading and incorrect information about screening tests. For instance, overdiagnosis not only overestimates disease incidence and inflates survival statistics, but also the sensitivity, specificity, and positive predictive value of a particular screening test, as it misclassifies "healthy" patients as "disease-affected". These miscalculations can thus hinder efforts to accurately assess the benefit of a screening test or novel therapeutic interventions.

Addressing overdiagnosis in HCC

It is clear the potential for overdiagnosis is largely dependent on surveillance recommendations and how surveillance programs are implemented in clinical practice. The intensity of HCC surveillance programs should not only take into account the incidence of HCC in the at-risk population but also patient-level risk factors, competing mortality risk, and availability of effective treatment options. There are currently significant differences in professional society guideline recommendations for HCC surveillance between Eastern and Western countries, likely resulting in differential risk of overdiagnosis. Eastern society guidelines (e.g. JSH and KLCSG) suggest more aggressive surveillance strategies, including biannual CT/MRI and follow-up of lesions with gadoxetate-enhanced MRI, and tend to err on the side of overdiagnosis. These recommendations likely reflect the higher incidence of HCC in Eastern populations compared to the West^{63, 64}. As previously described, Western professional society guidelines (AASLD and EASL) have attempted to minimize the propensity for overdiagnosis through measures such as serial ultrasound monitoring for small lesions. Further, underuse of HCC surveillance in cirrhosis patients remains a significant issue in Western countries, so overdiagnosis of HCC may increase as surveillance programs are more widely implemented in the future.

The best way to evaluate to evaluate the impact of overdiagnosis in cancer screening programs is by conducting a randomized controlled trial (RCT), where one can determine if screening results in improved overall survival. While some patients with HCC will die despite early diagnosis and treatment, others will have a good outcome despite lack of screening. An RCT would also account for confounders inherent to observational studies including differences in age, comorbidity degree of liver dysfunction and performance status. Although a prior study suggests a RCT for HCC surveillance is unfeasible based on patient preference, and some believe it may be unethical, it should be noted these data are important to not only quantify screening benefits but also harms and overdiagnosis⁶⁵. The importance of this approach has been highlighted by our experiences with breast and prostate cancer screening, where the potential for overdiagnosis and harms is being increasingly recognized.

In the absence of RCT data, modeling studies, including cost effectiveness analyses, can be conducted to evaluate the impact of cancer screening programs and overdiagnosis. These have been conducted for several other cancers including breast and lung cancer screening programs. However, the quality of any modeling study is dependent upon data inputs, including information on the natural histories of HCC with and without screening, which are inadequately characterized. Further, these studies often underestimate competing mortality risk and therefore underestimate the impact of overdiagnosis.

Unfortunately, it can be difficult to quantify the effect of overdiagnosis due to lack of longterm natural history studies on patients with untreated lesions. The extent of overdiagnosis in HCC is difficult to quantify, as it is not feasible to perform a trial in which all liver nodules are biopsied and followed off therapy to determine the natural history of such lesions. The precise magnitude of HCC overdiagnosis is inherently difficult to quantify as the only definitive proof occurs when untreated patients die of unrelated causes. Further,

overdiagnosis of indolent lesions can be difficult to diagnose and quantify as abnormal lesions are almost always treated. However, given the rising incidence of HCC and improvement in imaging studies, patients and providers must be aware of the propensity for overdiagnosis.

In HCC, tumor size itself may be a less important determinant of HCC prognosis than the underlying biologic characteristics of the tumor. A limitation of currently available imagingbased screening exams (e.g. ultrasound, CT) is that they assess tumor size rather than molecular/biologic characteristics. Future studies that aim to better characterize tumor growth patterns and predict HCC natural history (e.g. tumor growth rate estimation) are needed. Prognostic biomarkers, including those that can characterize a tumor's natural history, may also help distinguish indolent vs. aggressive tumors in the future; however, none with sufficient accuracy are currently available.

Conclusion

Cancer overdiagnosis is an inevitable consequence of screening programs, and has been described in other malignancies including breast, prostate, and lung cancers. Recent efforts to reduce HCC mortality with biannual ultrasound to detect tumors at an early stage likely has benefits but may also result in screening-related harms including overdiagnosis. Overdiagnosis in HCC surveillance can be related to detection of indolent tumors, detection of "pre-malignant" tumors, and detection of HCC in patients with high competing risk of mortality. Overdiagnosis can exacerbate screening-related harms, including downstream diagnostic testing and treatment, which results in increased costs, the potential for procedural complications, and poor quality-of-life. However, overdiagnosis has been poorly quantified and characterized in HCC screening programs to date. Further investigation is needed to determine optimal surveillance strategies and target populations for screening, with the goal of mitigating overdiagnosis risk.

Financial support:

This work was conducted with support from NCI RO1 CA212008. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

Abbreviations:

AASLD	American Association for the Study of Liver Diseases
AFP	alpha fetoprotein
BCLC	Barcelona Clinic Liver Cancer
EASL	European Association for the Study of the Liver
нсс	Hepatocellular carcinoma
JSH	Japan Society of Hepatology
KLCSG	Korean Liver Cancer Study Group

PLCO	Prostate, Lung, Colorectal, and Ovarian Cancer
PSA	prostate specific antigen
QOL	quality of life
RCT	Randomized controlled trial
SEER	Surveillance, Epidemiology, and End Results
TACE	transarterial chemoembolization
TVDT	Tumor volume doubling time
USPSTF	U.S. Preventative Services Task Force

References

1. Mittal S Epidemiology of HCC: Consider the Population. 2013;47:S2-6.

- 2. Ryerson AB, Eheman CR, Altekruse SF, et al. Annual Report to the Nation on the Status of Cancer, 1975–2012, featuring the increasing incidence of liver cancer. Cancer 2016;122:1312–37. [PubMed: 26959385]
- 3. Heimbach J, Kulik LM, Finn R, et al. Aasld guidelines for the treatment of hepatocellular carcinoma. Hepatology 2017.
- 4. EASL-EORTC clinical practice guidelines: management of hepatocellular carcinoma. J Hepatol 2012;56:908–43. [PubMed: 22424438]
- Carter JL, Coletti RJ, Harris RP. Quantifying and monitoring overdiagnosis in cancer screening: a systematic review of methods. BMJ : British Medical Journal 2015;350.
- Zhang BH, Yang BH, Tang ZY. Randomized controlled trial of screening for hepatocellular carcinoma. J Cancer Res Clin Oncol 2004;130:417–22. [PubMed: 15042359]

 Singal AG, Pillai A, Tiro J. Early Detection, Curative Treatment, and Survival Rates for Hepatocellular Carcinoma Surveillance in Patients with Cirrhosis: A Meta-analysis. PLoS Med 2014;11.

- 8. Constentin CLR, Boursier V, Corvi L, Petro-Sanchez V, Marcellin P, Guyader D, Pol S, Larrey D, de Ledinghen V, Tran A, Mathurin P, Alric L, Peron J, Sutton A, Roulot D, Letouze E, Zucman-Rossi J, Bronowicki J, Zarski J, Zoulim F, Riachi G, Ouzan D, Cales P, Bourliere M, Roudot-Thoraval F, Nahon P. Compliance to hepatocellular carcioma screening guidelines in patients with compensated viral cirrhosis increases the probability of curative treatment and survival taking into account lead-time bias (ANRS CO12 CirVir Cohort), In International Liver Cancer Association 10th Annual Conference, Vancouver, Canada, 2016.
- 9. Davila JA, Weston A, Smalley W, et al. Utilization of screening for hepatocellular carcinoma in the United States. J Clin Gastroenterol 2007;41:777–82. [PubMed: 17700427]
- Davila JA, Morgan RO, Richardson PA, et al. Use of surveillance for hepatocellular carcinoma among patients with cirrhosis in the United States. Hepatology 2010;52:132–41. [PubMed: 20578139]
- Singal AG, Yopp A, C SS, et al. Utilization of hepatocellular carcinoma surveillance among American patients: a systematic review. J Gen Intern Med 2012;27:861–7. [PubMed: 22215266]
- Silva MA, Hegab B, Hyde C, et al. Needle track seeding following biopsy of liver lesions in the diagnosis of hepatocellular cancer: a systematic review and meta-analysis. Gut 2008;57:1592–6. [PubMed: 18669577]
- Buscarini L, Fornari F, Bolondi L, et al. Ultrasound-guided fine-needle biopsy of focal liver lesions: techniques, diagnostic accuracy and complications. A retrospective study on 2091 biopsies. J Hepatol 1990;11:344–8. [PubMed: 2290025]

- 14. Atiq O, Tiro J, Yopp AC, et al. An assessment of benefits and harms of hepatocellular carcinoma surveillance in patients with cirrhosis. Hepatology 2017;65:1196–1205. [PubMed: 27775821]
- Verma AKM, Zhao B, Lok AS, Parikh ND. Frequency and work-up of indeterminate nodules during surveillance of hepatocellular carcinoma in patients with cirrhosis., In The Liver Meeting 2016 Boston, MA 2016.
- Taylor EJ, Jones RL, Guthrie JA, et al. Modelling the benefits and harms of surveillance for hepatocellular carcinoma: information to support informed choices. Hepatology 2017.
- Welch HG, Prorok PC, O'Malley AJ, et al. Breast-Cancer Tumor Size, Overdiagnosis, and Mammography Screening Effectiveness. New England Journal of Medicine 2016;375:1438–1447.
- Bleyer A, Baines C, Miller AB. Impact of screening mammography on breast cancer mortality. Int J Cancer 2016;138:2003–12. [PubMed: 26562826]
- Miller AB, Wall C, Baines CJ, et al. Twenty five year follow-up for breast cancer incidence and mortality of the Canadian National Breast Screening Study: randomised screening trial. BMJ : British Medical Journal 2014;348.
- Lee KS, Sekhar A, Rofsky NM, et al. Prevalence of incidental pancreatic cysts in the adult population on MR imaging. Am J Gastroenterol 2010;105:2079–84. [PubMed: 20354507]
- Uehara H, Nakaizumi A, Ishikawa O, et al. Development of ductal carcinoma of the pancreas during follow-up of branch duct intraductal papillary mucinous neoplasm of the pancreas. Gut 2008;57:1561–5. [PubMed: 18477671]
- Trinchet JC, Chaffaut C, Bourcier V, et al. Ultrasonographic surveillance of hepatocellular carcinoma in cirrhosis: a randomized trial comparing 3- and 6-month periodicities. Hepatology 2011;54:1987–97. [PubMed: 22144108]
- Wang JH, Chang KC, Kee KM, et al. Hepatocellular carcinoma surveillance at 4- vs. 12-month intervals for patients with chronic viral hepatitis: a randomized study in community. Am J Gastroenterol 2013;108:416–24. [PubMed: 23318478]
- 24. Forner A, Vilana R, Ayuso C, et al. Diagnosis of hepatic nodules 20 mm or smaller in cirrhosis: Prospective validation of the noninvasive diagnostic criteria for hepatocellular carcinoma. Hepatology 2008;47:97–104. [PubMed: 18069697]
- Baek CK, Choi JY, Kim KA, et al. Hepatocellular carcinoma in patients with chronic liver disease: a comparison of gadoxetic acid-enhanced MRI and multiphasic MDCT. Clin Radiol 2012;67:148– 56. [PubMed: 21920517]
- 26. Roberts LR, Sirlin CB, Zaiem F, et al. Imaging for the Diagnosis of Hepatocellular Carcinoma: a Systematic Review and Meta-analysis. Hepatology 2017.
- Mitchell DG, Bruix J, Sherman M, et al. LI-RADS (Liver Imaging Reporting and Data System): summary, discussion, and consensus of the LI-RADS Management Working Group and future directions. Hepatology 2015;61:1056–65. [PubMed: 25041904]
- Simmons O, Fetzer DT, Yokoo T, et al. Predictors of adequate ultrasound quality for hepatocellular carcinoma surveillance in patients with cirrhosis. Aliment Pharmacol Ther 2017;45:169–177. [PubMed: 27862091]
- Andriole GL, Crawford ED, Grubb RLI, et al. Mortality Results from a Randomized Prostate-Cancer Screening Trial. New England Journal of Medicine 2009;360:1310–1319.
- Schröder FH, Hugosson J, Roobol MJ, et al. Screening and Prostate-Cancer Mortality in a Randomized European Study. New England Journal of Medicine 2009;360:1320–1328.
- Schroder FH, Hugosson J, Roobol MJ, et al. Prostate-cancer mortality at 11 years of follow-up. N Engl J Med 2012;366:981–90. [PubMed: 22417251]
- 32. Schroder FH, Hugosson J, Roobol MJ, et al. Screening and prostate cancer mortality: results of the European Randomised Study of Screening for Prostate Cancer (ERSPC) at 13 years of follow-up. Lancet 2014;384:2027–35. [PubMed: 25108889]
- 33. Moyer VA. Screening for prostate cancer: U.S. Preventive Services Task Force recommendation statement. Ann Intern Med 2012;157:120–34. [PubMed: 22801674]
- 34. Screening for prostate cancer: U.S. Preventive Services Task Force recommendation statement. Ann Intern Med 2008;149:185–91. [PubMed: 18678845]
- Carter HB, Albertsen PC, Barry MJ, et al. Early Detection of Prostate Cancer: AUA Guideline. J Urol 2013;190:419–26. [PubMed: 23659877]

- 36. Wilt TJ, Jones KM, Barry MJ, et al. Follow-up of Prostatectomy versus Observation for Early Prostate Cancer. New England Journal of Medicine 2017;377:132–142.
- 37. El-Serag HB. Hepatocellular Carcinoma. New England Journal of Medicine 2011;365:1118–1127.
- Villa E, Critelli R, Lei B, et al. Neoangiogenesis-related genes are hallmarks of fast-growing hepatocellular carcinomas and worst survival. Results from a prospective study. Gut 2016;65:861– 9. [PubMed: 25666192]
- Kubota K, Ina H, Okada Y, et al. Growth rate of primary single hepatocellular carcinoma: determining optimal screening interval with contrast enhanced computed tomography. Dig Dis Sci 2003;48:581–6. [PubMed: 12757173]
- 40. Sheu JC, Sung JL, Chen DS, et al. Growth rate of asymptomatic hepatocellular carcinoma and its clinical implications. Gastroenterology 1985;89:259–66. [PubMed: 2408960]
- Taouli B, Goh JS, Lu Y, et al. Growth rate of hepatocellular carcinoma: evaluation with serial computed tomography or magnetic resonance imaging. J Comput Assist Tomogr 2005;29:425–9. [PubMed: 16012295]
- 42. Barbara L, Benzi G, Gaiani S, et al. Natural history of small untreated hepatocellular carcinoma in cirrhosis: A multivariate analysis of prognostic factors of tumor growth rate and patient survival. Hepatology 1992;16:132–137. [PubMed: 1352268]
- 43. Huz JI, Melis M, Sarpel U. Spontaneous regression of hepatocellular carcinoma is most often associated with tumour hypoxia or a systemic inflammatory response. HPB : The Official Journal of the International Hepato Pancreato Biliary Association 2012;14:500–505. [PubMed: 22762397]
- 44. An C, Choi YA, Choi D, et al. Growth rate of early-stage hepatocellular carcinoma in patients with chronic liver disease. Clin Mol Hepatol 2015;21:279–86. [PubMed: 26523271]
- 45. Ko CW, Sonnenberg A. Comparing risks and benefits of colorectal cancer screening in elderly patients. Gastroenterology 2005;129:1163–70. [PubMed: 16230070]
- 46. Rex DK, Johnson DA, Lieberman DA, et al. Colorectal cancer prevention 2000: screening recommendations of the American College of Gastroenterology. American College of Gastroenterology. Am J Gastroenterol 2000;95:868–77. [PubMed: 10763931]
- Klabunde CN, Zheng Y, Quinn VP, et al. Influence of Age and Comorbidity on Colorectal Cancer Screening in the Elderly. American Journal of Preventive Medicine 2016;51:e67–e75. [PubMed: 27344108]
- Schenck AP, Peacock SC, Klabunde CN, et al. Trends in colorectal cancer test use in the medicare population, 1998–2005. American journal of preventive medicine 2009;37:1–7. [PubMed: 19423273]
- Deshpande AD, McQueen A, Coups EJ. Different effects of multiple health status indicators on breast and colorectal cancer screening in a nationally representative US sample. Cancer Epidemiol 2012;36:270–5. [PubMed: 22079763]
- Marcus PM, Bergstralh EJ, Fagerstrom RM, et al. Lung Cancer Mortality in the Mayo Lung Project: Impact of Extended Follow-up. JNCI: Journal of the National Cancer Institute 2000;92:1308–1316. [PubMed: 10944552]
- Eggener SE, Scardino PT, Walsh PC, et al. Predicting 15-year prostate cancer specific mortality after radical prostatectomy. J Urol 2011;185:869–75. [PubMed: 21239008]
- Llovet JM, Bru C, Bruix J. Prognosis of hepatocellular carcinoma: the BCLC staging classification. Semin Liver Dis 1999;19:329–38. [PubMed: 10518312]
- Trevisani F, De Notariis S, Rapaccini G, et al. Semiannual and annual surveillance of cirrhotic patients for hepatocellular carcinoma: effects on cancer stage and patient survival (Italian experience). Am J Gastroenterol 2002;97:734–44. [PubMed: 11922571]
- 54. Singal AG, Li X, Tiro J, et al. Racial, social, and clinical determinants of hepatocellular carcinoma surveillance. The American journal of medicine 2015;128:90.e1–7.
- 55. Singal AG, Tiro JA, Marrero JA, et al. Mailed Outreach Program Increases Ultrasound Screening of Patients With Cirrhosis for Hepatocellular Carcinoma. Gastroenterology 2017;152:608–615.e4. [PubMed: 27825963]
- Jepsen P, Ott P, Andersen PK, et al. Risk for hepatocellular carcinoma in patients with alcoholic cirrhosis: a Danish nationwide cohort study. Ann Intern Med 2012;156:841–7, w295. [PubMed: 22711076]

- Brett J, Bankhead C, Henderson B, et al. The psychological impact of mammographic screening. A systematic review. Psycho-Oncology 2005;14:917–938. [PubMed: 15786514]
- 58. Dale W, Bilir P, Han M, et al. The Role of Anxiety in Prostate Carcinoma: A Structured Review of the Literature. Cancer 2005;104:467–478. [PubMed: 15959911]
- Cooperberg MR, Broering JM, Carroll PR. Time trends and local variation in primary treatment of localized prostate cancer. J Clin Oncol 2010;28:1117–23. [PubMed: 20124165]
- Welch HG, Albertsen PC. Prostate cancer diagnosis and treatment after the introduction of prostate-specific antigen screening: 1986–2005. J Natl Cancer Inst 2009;101:1325–9. [PubMed: 19720969]
- Mayo SC, Shore AD, Nathan H, et al. Refining the definition of perioperative mortality following hepatectomy using death within 90 days as the standard criterion. HPB 2011;13:473–482. [PubMed: 21689231]
- 62. Ahmed S, de Souza NN, Qiao W, et al. Quality of Life in Hepatocellular Carcinoma Patients Treated with Transarterial Chemoembolization. HPB Surgery 2016;2016:9.
- 63. Kokudo N, Hasegawa K, Akahane M, et al. Evidence-based Clinical Practice Guidelines for Hepatocellular Carcinoma: The Japan Society of Hepatology 2013 update (3rd JSH-HCC Guidelines). Hepatology Research 2015;45:n/a–n/a.
- 64. 2014 KLCSG-NCC Korea Practice Guideline for the Management of Hepatocellular Carcinoma. Gut Liver 2015;9:267–317. [PubMed: 25918260]
- 65. Poustchi H, Farrell GC, Strasser SI, et al. Feasibility of conducting a randomized control trial for liver cancer screening: is a randomized controlled trial for liver cancer screening feasible or still needed? Hepatology 2011;54:1998–2004. [PubMed: 21800340]