

## MATERIALS SCIENCE

Soft anharmonic phonons and ultralow thermal conductivity in  $\text{Mg}_3(\text{Sb, Bi})_2$  thermoelectricsJingxuan Ding<sup>1</sup>, Tyson Lanigan-Atkins<sup>1</sup>, Mario Calderón-Cueva<sup>2</sup>, Arnab Banerjee<sup>3,4</sup>, Douglas L. Abernathy<sup>3</sup>, Ayman Said<sup>5</sup>, Alexandra Zevalkink<sup>2</sup>, Olivier Delaire<sup>1,6,7\*</sup>

The candidate thermoelectric compounds  $\text{Mg}_3\text{Sb}_2$  and  $\text{Mg}_3\text{Bi}_2$  show excellent performance near ambient temperature, enabled by an anomalously low lattice thermal conductivity ( $\kappa_l$ ) comparable to those of much heavier  $\text{PbTe}$  or  $\text{Bi}_2\text{Te}_3$ . Contrary to common mass-trend expectations, replacing  $\text{Mg}$  with heavier  $\text{Ca}$  or  $\text{Yb}$  yields a threefold increase in  $\kappa_l$  in  $\text{CaMg}_2\text{Sb}_2$  and  $\text{YbMg}_2\text{Bi}_2$ . Here, we report a comprehensive analysis of phonons in the series  $\text{AMg}_2\text{X}_2$  ( $A = \text{Mg, Ca, and Yb}$ ;  $X = \text{Bi and Sb}$ ) based on inelastic neutron/x-ray scattering and first-principles simulations and show that the anomalously low  $\kappa_l$  of  $\text{Mg}_3\text{X}_2$  has inherent phononic origins. We uncover a large phonon softening and flattening of low-energy transverse acoustic phonons in  $\text{Mg}_3\text{X}_2$  compared to the ternary analogs and traced to a specific  $\text{Mg-X}$  bond, which markedly enlarges the scattering phase-space, enabling the threefold tuning in  $\kappa_l$ . These results provide key insights for manipulating phonon scattering without the traditional reliance on heavy elements.

## INTRODUCTION

Thermoelectric (TE) materials directly interconvert electrical and thermal gradients, providing opportunities in waste heat recovery and cooling applications (1–4). Improving TE conversion efficiency requires minimizing the thermal conductivity  $\kappa_{\text{tot}} = \kappa_e + \kappa_l$  (sum of electronic and lattice components) and simultaneously maximizing the electronic power factor (3, 4). While first-principles band structure calculations have enabled a predictive understanding of electronic transport properties and in silico material design, achieving a quantitative microscopic understanding of thermal transport in strongly anharmonic materials has remained challenging (3, 4). Impressive advances in TE performance have been achieved over the past decade at intermediate to high temperature ( $T > 450$  K) (5–12), enabled in part because  $\kappa_l$  is strongly suppressed by anharmonic scattering for high phonon occupations (scaling with  $T$ ). Around room temperature, a relative paucity of material candidates has hampered TE developments, with  $(\text{Bi, Sb})_2(\text{Te, Se})_3$  alloys remaining the best for decades (13, 14). Recently, however, n-type  $\text{Mg}_3(\text{Bi, Sb})_2$  Zintl compounds were reported to achieve comparable performance near ambient conditions, potentially with much lower cost (15–18).

Despite their much lighter weight than conventional TE compounds  $\text{PbTe}$  or  $\text{Bi}_2(\text{Te, Se})_3$ , these promising Zintl compounds exhibit strikingly low values of  $\kappa_l$ . For instance,  $\kappa_l = 1.4 \text{ W m}^{-1} \text{ K}^{-1}$  was achieved in polycrystalline  $\text{Mg}_3\text{Sb}_2$  at 323 K (19), despite its low mass and relatively simple crystal structure. This low  $\kappa_l$  is comparable to those of  $2.5\times$  heavier compounds  $\text{PbTe}$  and  $\text{Bi}_2(\text{Te, Se})_3$  or barium clathrates with complex structures, contradicting the expected trend of  $\kappa_l \propto M^{-1}$  [from the inverse relationship between the

square of phonon frequency,  $\omega$ , and mass,  $M$ , and the proportionality of group velocity  $v_g$  and  $\omega$ .  $\kappa_l$  of polycrystalline  $\text{PbTe}$ ,  $\text{Bi}_2\text{Te}_3$ , and  $\text{Ba}_8\text{Ga}_{16}\text{Ge}_{30}$  are 2.0, 1.2, and  $1.5 \text{ W m}^{-1} \text{ K}^{-1}$  at room temperature (20–22). The mass densities of  $\text{Mg}_3\text{Sb}_2$ ,  $\text{PbTe}$ , and  $\text{Bi}_2\text{Te}_3$  are 4.02, 8.16, and  $7.74 \text{ g/cm}^3$ , respectively.] Further, this surprisingly low  $\kappa_l$  in  $\text{Mg}_3\text{X}_2$  is strongly tunable upon targeted substitutions: Replacing A-site  $\text{Mg}$  with heavier  $\text{Ca}$  or  $\text{Yb}$  results in a striking anomalous increase in  $\kappa_l$  by a factor of 2 to 3 (23, 24), again directly contradicting the expected suppression from more complex composition and heavier mass, and suggests heretofore unknown mechanisms capable of strongly suppressing phonon propagation in simple, lightweight crystalline compounds.

Experimental and theoretical TE investigations of  $\text{Mg}_3\text{X}_2$  have so far mainly focused on the electronic properties, for example, the origin of n-type behavior (25, 26), the multivalley character of the conduction band (27–30), and routes to increased carrier mobility (17, 18, 31–33). In contrast, the origin of the intrinsically low  $\kappa_l$  of  $\text{Mg}_3\text{X}_2$  and the counterintuitive increase of  $\kappa_l$  in homologous ternary  $\text{AMg}_2\text{X}_2$  have remained puzzling, although the low  $\kappa_l$  plays an equally important role in achieving high  $zT$ . Previous computational studies suggested that the anharmonic interlayer shearing transverse acoustic (TA) modes (23, 24) and anharmonic mid-energy optical phonons (24) suppress  $\kappa_l$ . Simulations of alloys also predicted that doping 25%  $\text{Ca}$  or  $\text{Yb}$  on the A-site reduces  $\kappa_l$  by reducing the averaged phonon velocity, while the averaged phonon lifetime remains unchanged (29). Measurements of elastic moduli revealed soft shear moduli in  $\text{Mg}_3\text{Sb}_2$  and a higher rate of softening upon warming than in ternary  $\text{AMg}_2\text{X}_2$ , which was interpreted in terms of ionic radii, unit cell volumes, and large negative Grüneisen parameters in TA modes (23). Yet, a clear microscopic picture of phonon scattering remains elusive because of the lack of detailed phonon measurements, precluding a clear understanding of the origin of the abnormally low  $\kappa_l$  in  $\text{Mg}_3\text{X}_2$ .

Here, we reveal the phononic origins of the unusual thermal conductivity of  $\text{Mg}_3\text{X}_2$  using both inelastic neutron scattering (INS) and inelastic x-ray scattering (IXS), supported by computational modeling based on density functional theory (DFT) and ab initio molecular dynamics (AIMD). We uncover a striking phonon softening (shift to

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lower energy) in  $Mg_3X_2$  compared with ternary compounds ( $A = Ca$  or  $Yb$ ) and an unusual flat low-frequency TA phonon branch in  $Mg_3X_2$  that considerably stiffens upon substitution with  $Ca$  or  $Yb$ . Combining our extensive phonon measurements and simulations, we quantitatively determined the origin of the low  $\kappa_1$  in  $Mg_3X_2$ . In particular, we identify the importance of a weak  $A-X$  nearest-neighbor bond in  $Mg_3X_2$ . This weak bond effectively destabilizes TA phonons, leading to a soft branch along  $\Gamma - M$ , overcoming expected mass trends between  $Mg$  and heavier  $A$ -site ions ( $A = Ca$  and  $Yb$ ). Concomitant with a suppression in group velocities, we show how the phonon softening markedly increases (fivefold) the weighted scattering phase-space, overcoming an actual suppression (50%) in third-order force constants (FC). These results quantitatively account for the anomalous two- to threefold suppression in  $\kappa_1$  in binary  $Mg_3X_2$  compared to ternary  $AMg_2X_2$  despite the lighter mass and simpler chemistry of the former. Our momentum and energy-resolved phonon measurements thus rationalize the basis of the high thermal performance of  $Mg_3X_2$  for TE applications.

## RESULTS AND DISCUSSION

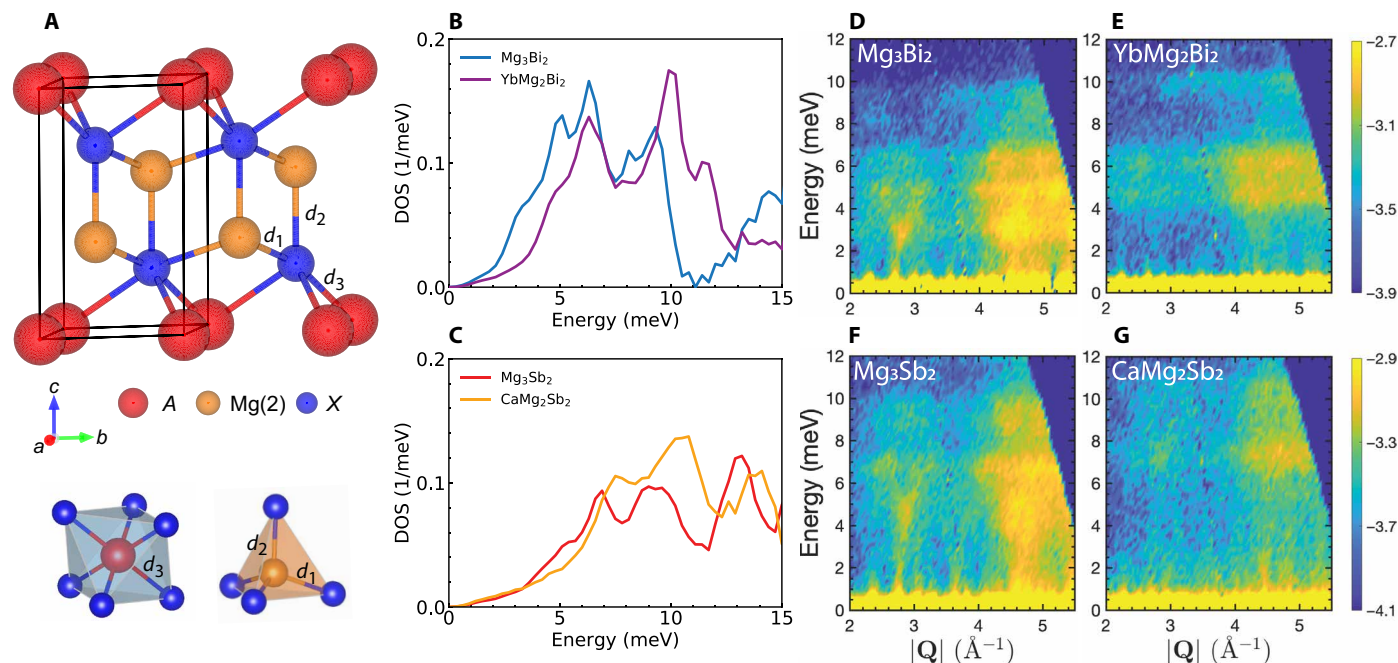
### Soft phonons in $Mg_3X_2$

The Zintl compounds  $AMg_2X_2$  ( $A = Mg, Ca,$  and  $Yb$ ;  $X = Sb$  and  $Bi$ ) belong to the  $CaAl_2Si_2$ -type family that crystallize in a trigonal conventional cell with space group  $P\bar{3}m1$  (no. 164). Their structures consist of alternating  $[Mg_2X_2]$  and  $A$  atom layers, as shown in Fig. 1A. Despite the structural anisotropy, the bonding and electronic structure are fairly isotropic (24). In the following, we refer to

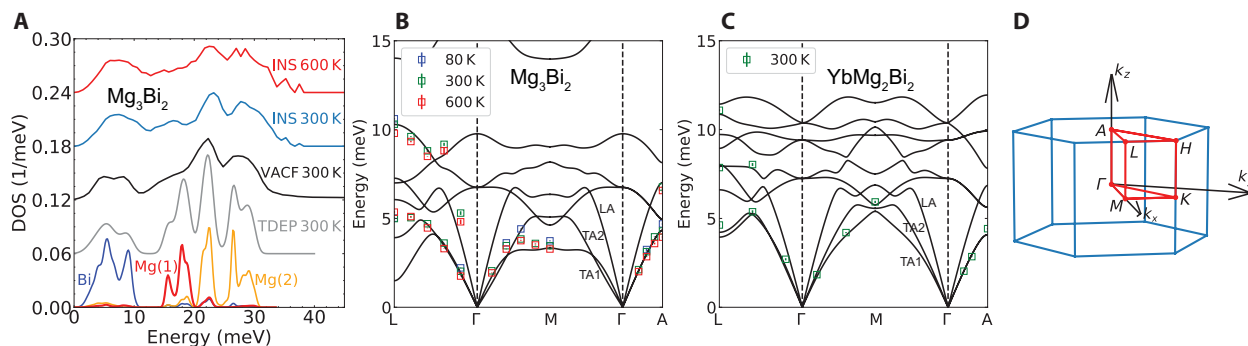
$Mg$  on the octahedrally coordinated  $A$ -site by  $X$  atoms as  $Mg(1)$  and  $Mg$  on the tetrahedrally coordinated sites as  $Mg(2)$ .

The phonon density of states (DOS) of six  $AMg_2X_2$  ( $A = Mg, Ca,$  and  $Yb$ ;  $X = Sb$  and  $Bi$ ) powder samples were measured with INS as a function of temperature, using the wide angular-range chopper spectrometer (ARCS) at the Spallation Neutron Source (34). The contributions from different atoms are spectrally well separated, as seen from the calculated partial DOS (Fig. 2A and fig. S9). For instance, in  $Mg_3Bi_2$ ,  $Mg(2)$  contributes mainly at energies greater than 20 meV,  $Bi$  contributes mostly below 10 meV, and  $Mg(1)$  dominates the intermediate region. The lower vibrational frequency of  $Mg(1)$  than  $Mg(2)$  thus suggests a weaker bonding environment of  $Mg(1)$ . When replacing  $A$ -site  $Mg(1)$  with  $Ca$  or  $Yb$ , the  $Mg(2)$  modes at  $E > 20$  meV remain almost unaffected in both experiments and simulations (figs. S5 and S9). This indicates relatively unchanged local environments and bondings for  $Mg(2)$  across compositions. Considering that the atomic mass directly shifts phonon frequencies ( $\omega^2 \propto K/M$ , where  $K$  is the spring constant and  $M$  is the mass), all else being fixed, heavier atoms should exhibit lower phonon energies. Naturally, the heavier  $Yb$  atoms vibrate at a lower frequency than  $Mg$  or  $Ca$  (173, 24, and 40 atomic mass units, respectively). However, when comparing  $Mg(1)$  and  $Ca$ , the partial DOS of  $Mg(1)$  contributes more to the lower energy side and is substantially broader than for  $Ca$  (fig. S9). Substitution on the  $A$ -site also strongly affects the  $X$  partial DOS at low energy, reflecting a strong change in the  $A-X$  interaction, which we will explain later.

A strong phonon stiffening (energy increase) is observed at  $E < 12$  meV when substituting  $Mg(1)$  with  $Ca$  or  $Yb$ . This is clearly seen



**Fig. 1. Soft phonons from inelastic neutron scattering.** (A) Crystal structure of  $AMg_2X_2$  and illustration of octahedral  $A$ -site and tetrahedral  $Mg(2)$  atoms. Red, orange, and blue represent  $A$ ,  $Mg(2)$ , and  $X$ , respectively.  $d_1$  and  $d_2$  are the nearest- and second-nearest-neighbor  $Mg(2)$ - $X$  bonds. The nearest-neighbor  $A$ - $X$  bond is  $d_3$ . Comparison of experimental neutron DOS  $E_i = 20$  meV at 300 K of (B)  $Mg_3Bi_2$  (blue) and  $YbMg_2Bi_2$  (purple) and (C)  $Mg_3Sb_2$  (red) and  $CaMg_2Sb_2$  (orange). Significant stiffening is observed in ternary compounds despite a heavier mass of  $Ca$  or  $Yb$  than  $Mg$ . Extra shoulders at low energy are observed only in binary compounds. Neutron dynamical structure factor  $S(|Q|, \omega)$  of (D)  $Mg_3Bi_2$ , (E)  $YbMg_2Bi_2$ , (F)  $Mg_3Sb_2$ , and (G)  $CaMg_2Sb_2$ , the same datasets as in (B) and (C). Total intensities are normalized to the value of  $Mg_3Bi_2$ . Much stronger intensities at the low-energy region can be observed in binary compared to ternary compounds.



**Fig. 2. Anharmonic Mg(1) phonon modes and momentum-resolved measurements from inelastic x-ray scattering.** (A) Phonon DOS of  $\text{Mg}_3\text{Bi}_2$  from INS at 300 K (blue) and 600 K (red), compared with VACF calculation at 300 K (black) and renormalized DOS from TDEP (gray). The computed total DOS is NW and convolved with the experimental resolution. (B and C) Momentum-resolved IXS measurements on single crystals (markers), compared with DFT phonon dispersions (solid lines) for  $\text{Mg}_3\text{Bi}_2$  and  $\text{YbMg}_2\text{Bi}_2$ . Blue, green, and red markers correspond to the fitted phonon energy at 80, 300, and 600 K. (D) Brillouin zone (irreducible wedge in red) and high-symmetry points.

in the  $E_{\Gamma} = 20$  meV INS data at 300 K, as shown in Fig. 1 (B and C) and fig. S4. Compared with  $\text{Mg}_3\text{X}_2$ , all the peaks in  $\text{CaMg}_2\text{X}_2$  below 12 meV are stiffened, with relative shifts ( $\Delta E/E$ ) as large as +6.4, +15.1, and +4.6% in Bi compounds, and +12.3, +12.7, and +6.2% in Sb compounds (see table S1). On the basis of mass alone, one would predict a softening of  $-3\%$  in  $\text{CaMg}_2\text{X}_2$  from DFT. Even for the heavy  $\text{YbMg}_2\text{Bi}_2$ , where Yb contributes significantly below 12 meV, stiffer phonon energies are observed compared to  $\text{Mg}_3\text{Bi}_2$  for  $8 < E < 12$  meV (see fig. S4B). The frequency stiffening of the X partial DOS in ternary compounds relative to the binaries again suggests that the interactions between Ca-X and Yb-X are stronger than Mg(1)-X. While a 10% stiffening on average is observed in the DOS, specific modes stiffen significantly more. Our DFT calculations show that the lowest TA mode at the zone boundary M-point stiffens by more than 60% ( $E = 3.33, 5.40, \text{ and } 5.53$  meV for  $\text{Mg}_3\text{Bi}_2, \text{CaMg}_2\text{Bi}_2, \text{ and } \text{YbMg}_2\text{Bi}_2$ ; see fig. S8). Notably, an extra shoulder is seen at low energy around 3.5 meV in  $\text{Mg}_3\text{Bi}_2$  (5 meV in  $\text{Mg}_3\text{Sb}_2$ ) that does not exist in the ternaries.

The powder-averaged dynamical structure factor from INS,  $S(|\mathbf{Q}|, \omega)$ , shown in Fig. 1 (D to G), reveals more details. In all four compounds, vertical intensity streaks disperse out from the elastic line (at 0 meV), corresponding to acoustic phonons. The top of the acoustic phonon and the low-energy optical phonons contribute to the strong intensity between 6 and 10 meV. At 2 to 4 meV, only the binary  $\text{Mg}_3\text{X}_2$  show strong intensity, especially visible at higher  $\mathbf{Q}$ , which originate from the softened acoustic phonons, and give rise to the extra shoulder in the DOS.

The soft phonon and low-energy shoulder seen in  $\text{Mg}_3\text{X}_2$  with INS are well captured by our DFT simulations, as shown in fig. S6. We resolve the low-E shoulder as a softened TA branch in binaries, as shown by the red curves in fig. S7 (a peak/shoulder in the DOS arises from a less dispersive branch over an extended volume of the Brillouin zone). The lowest-energy TA branch along  $\Gamma - M$  is drastically less dispersive in  $\text{Mg}_3\text{X}_2$  than in  $\text{CaMg}_2\text{X}_2$  or  $\text{YbMg}_2\text{X}_2$  (highlighted in red in fig. S8). We note that previous studies (24) reported an unphysical unstable phonon branch for  $\text{Mg}_3\text{Bi}_2$ , in particular around the L-point. Our simulations resolved this issue by using the Perdew-Burke-Ernzerhof revised for solids (PBEsol) functional and including spin-orbit coupling (SOC). The softer dispersion and flat TA branch in  $\text{Mg}_3\text{X}_2$  result in suppressed phonon group velocities ( $v_g = |\nabla_{\mathbf{k}}\omega|$ , where  $E = \hbar\omega$ ). Simultaneously, the three-phonon scattering phase-space is strongly expanded, as detailed below and in section S4.

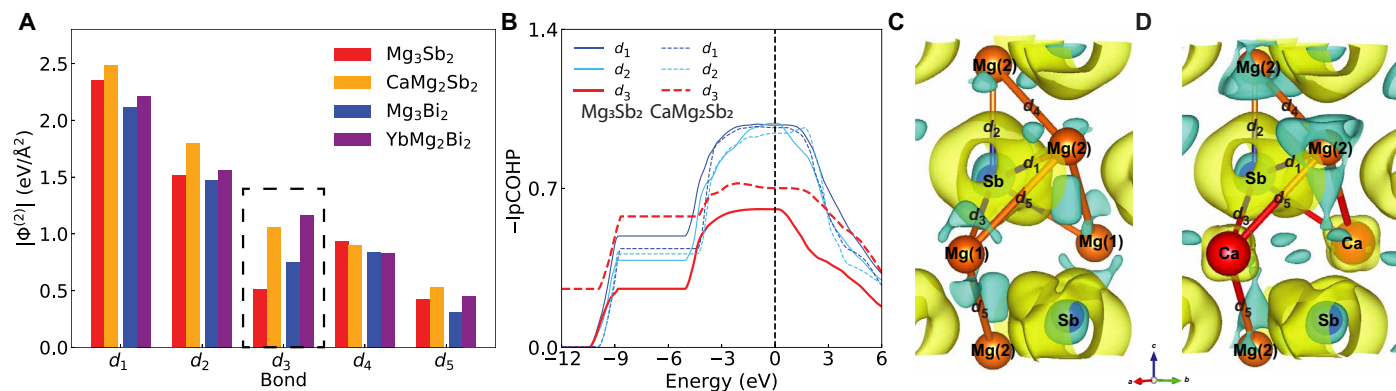
We further compare the INS DOS with first-principles simulation including anharmonic effects. We performed temperature-dependent effective potential (TDEP) simulations, which include anharmonic frequency renormalization but no anharmonic broadening, and calculations of the velocity autocorrelation function (VACF) from AIMD, capturing anharmonic mode broadening as well as shifts. As seen in Fig. 2A, peak positions from TDEP (gray) agree well with the INS DOS for Mg(2) and Bi-dominated modes at 300 K (blue). However, a stronger broadening is seen in INS for  $10 < E < 20$  meV, corresponding to Mg(1) modes, reflecting an anharmonic bonding environment for Mg(1). This broadening is well captured by the VACF at 300 K (black). Additional INS results for all compounds as a function of temperature are shown in figs. S2 and S3. The entire spectrum broadens upon warming as a result of anharmonic lifetime suppression when vibrational amplitudes increase, but the Mg(1) modes broaden the most in panels (A) and (D).

### Origin of soft TA modes in $\text{Mg}_3\text{X}_2$

To fully elucidate the origins of the anomalous low-E acoustic modes, we performed  $\mathbf{Q}$ -resolved dispersion measurements with IXS on small single crystals of  $\text{Mg}_3\text{Bi}_2$  ( $T = 80, 300, \text{ and } 600$  K) and  $\text{YbMg}_2\text{Bi}_2$  ( $T = 300$  K), using the high-energy-resolution inelastic x-ray spectrometer (HERIX) beamline (sector 30) at the Advanced Photon Source (35, 36). The IXS spectra show well-defined peaks (see fig. S16), enabling accurate estimates of the phonon energies. The phonon energies from IXS are compared with our DFT simulations in Fig. 2 (B and C). Very good agreement is observed for  $\text{YbMg}_2\text{Bi}_2$ , which exhibits strongly dispersive TA along  $\Gamma - M, \Gamma - A$ , and the long-wavelength portion of  $\Gamma - L$  (we track the lowest-energy TA by phonon polarization; see fig. S13). Good agreement is also found in  $\text{Mg}_3\text{Bi}_2$ . Upon warming  $\text{Mg}_3\text{Bi}_2$  from 80 to 600 K, most phonons soften and broaden, but the TA branch at the L-point anomalously stiffens, consistent with the negative Grüneisen parameter from our quasi-harmonic simulations (fig. S10) and those in (23).

The lowest TA branch along  $\Gamma - M$  is significantly softer in  $\text{Mg}_3\text{Bi}_2$  than in  $\text{YbMg}_2\text{Bi}_2$ , which explains the extra shoulder observed in the DOS. To rationalize this soft TA branch, we analyze the modulus of the second-order FC ( $|\Phi^{(2)}|$ ) from DFT for  $\text{Mg}_3\text{Sb}_2$  (red),  $\text{CaMg}_2\text{Sb}_2$  (orange),  $\text{Mg}_3\text{Bi}_2$  (blue), and  $\text{YbMg}_3\text{Bi}_2$  (purple), respectively, as shown in Fig. 3A and as a function of bond length in fig. S14A. The two strongest bonds are the nearest-neighbor ( $d_1$ ) and





**Fig. 3. Weakened A-X bonds in binary  $\text{Mg}_3\text{X}_2$  compared with ternary  $\text{CaMg}_2\text{X}_2$ .** (A) Modulus of second-order FC ( $|\Phi^{(2)}|$ ) in  $\text{Mg}_3\text{Sb}_2$  (red),  $\text{CaMg}_2\text{Sb}_2$  (orange),  $\text{Mg}_3\text{Bi}_2$  (blue), and  $\text{YbMg}_2\text{Bi}_2$  (purple). Bonds  $d_1$  through  $d_5$  are defined in (C) and (D). The largest change is found for the  $d_3$  bond, which is much weaker in binaries than in ternaries. (B) The negative integrated pCOHP ( $-\text{IpCOHP}$ ) for  $d_1$ ,  $d_2$ , and  $d_3$ . The larger absolute value of  $\text{IpCOHP}$  at the Fermi energy of  $d_3$  in  $\text{CaMg}_2\text{Sb}_2$  than in  $\text{Mg}_3\text{Sb}_2$  is in accordance with the  $|\Phi^{(2)}|$ . Deformation electron density in (C)  $\text{Mg}_3\text{Sb}_2$  and (D)  $\text{CaMg}_2\text{Sb}_2$  at an isosurface value of  $0.004 e/\text{\AA}^3$ . Yellow and blue are positive and negative values, respectively.

second-nearest-neighbor ( $d_2$ )  $\text{Mg}(2)$ -X bonds. For these bonds,  $|\Phi^{(2)}|$  varies less than 20% between binaries and ternaries. However, for the A-X nearest-neighbor bond ( $d_3$ ),  $|\Phi^{(2)}|$  very strongly depends on the A cation: 1.06 and  $0.52 \text{ eV}/\text{\AA}^2$  in  $\text{CaMg}_2\text{Sb}_2$  and  $\text{Mg}_3\text{Sb}_2$  and 1.17 and  $0.75 \text{ eV}/\text{\AA}^2$  in  $\text{YbMg}_2\text{Bi}_2$  and  $\text{Mg}_3\text{Bi}_2$ , respectively. This large increase in  $|\Phi^{(2)}(d_3)|$  by 105% (Sb) and 56% (Bi) in the ternary compounds reveals a strong change in A-X bonding. The much smaller  $|\Phi^{(2)}|$  for  $d_3$  than  $d_1$  or  $d_2$  also leads to the lower phonon frequency of  $\text{Mg}(1)$  than  $\text{Mg}(2)$  in the DOS. The weak  $d_3$  bond directly controls the TA branch along the  $\Gamma - M$  direction. As we show in fig. S14F, artificially replacing  $\Phi^{(2)}(d_3)$  in  $\text{Mg}_3\text{Bi}_2$  with that from  $\text{YbMg}_2\text{Bi}_2$  leads to a more dispersive TA branch along  $\Gamma - M$ , while changing  $\Phi^{(2)}$  for  $d_1$  or  $d_2$  has relatively little effect.

The change in  $\Phi^{(2)}$  can be related to the electronic bonding via the crystal orbital Hamiltonian population (COHP), here calculated with the LOBSTER software (37–40). Figure S15 shows the projected partial COHP for bonds  $d_1$ ,  $d_2$ , and  $d_3$ , comparing  $\text{Mg}_3\text{Sb}_2$  (solid lines) and  $\text{CaMg}_2\text{Sb}_2$  (dashed lines). Bonding states are negative and antibonding states are positive. All states are bonding below  $-2 \text{ eV}$ . In the valence band near the Fermi energy ( $E_F = 0 \text{ eV}$ ),  $\text{Mg}_3\text{Sb}_2$  and  $\text{CaMg}_2\text{Sb}_2$  have similar pCOHP for  $d_1$  and  $d_2$ . However, for  $d_3$ ,  $\text{Mg}(1)$ -Sb remains nonbonded down to  $-1 \text{ eV}$ , while Ca-Sb has antibonding interaction in the valence band down to  $-2 \text{ eV}$ . The pCOHP for  $\text{Mg}(1)$ - $\text{Mg}(2)$  ( $d_5$ ) in  $\text{Mg}_3\text{Sb}_2$  was shown to involve nonbonding states at the valence band maximum but bonding states at the conduction band minimum in (33). The integrated pCOHP (IpCOHP) probes covalency and relative bond strength, where a more negative value corresponds to a stronger covalent bonding (41). We note that all  $\text{AMg}_2\text{X}_2$  compounds are isostructural with only A-site substitution, so comparing their pCOHP is justified. As seen in Fig. 3B, the  $-\text{IpCOHP}$  values at  $E_F$  for  $d_1$  are slightly larger than  $d_2$  in both  $\text{Mg}_3\text{Sb}_2$  and  $\text{CaMg}_2\text{Sb}_2$ , and much larger than  $d_3$ , which matches the trend of the corresponding  $|\Phi^{(2)}|$ . While a slightly smaller  $-\text{IpCOHP}$  for  $d_1$  and  $d_2$  is found in  $\text{CaMg}_2\text{Sb}_2$  than in  $\text{Mg}_3\text{Sb}_2$ , the  $-\text{IpCOHP}$  for  $d_3$  is larger, which supports the observation of weaker  $\text{Mg}(1)$ -Sb than Ca-Sb bonds. Meanwhile, the deformation charge density (Fig. 3, C and D) shows that despite the larger charge transfer in the case of  $\text{CaMg}_2\text{Sb}_2$ , the charge density around  $\text{Mg}(2)$  is similar in both cases. A more ionic character was

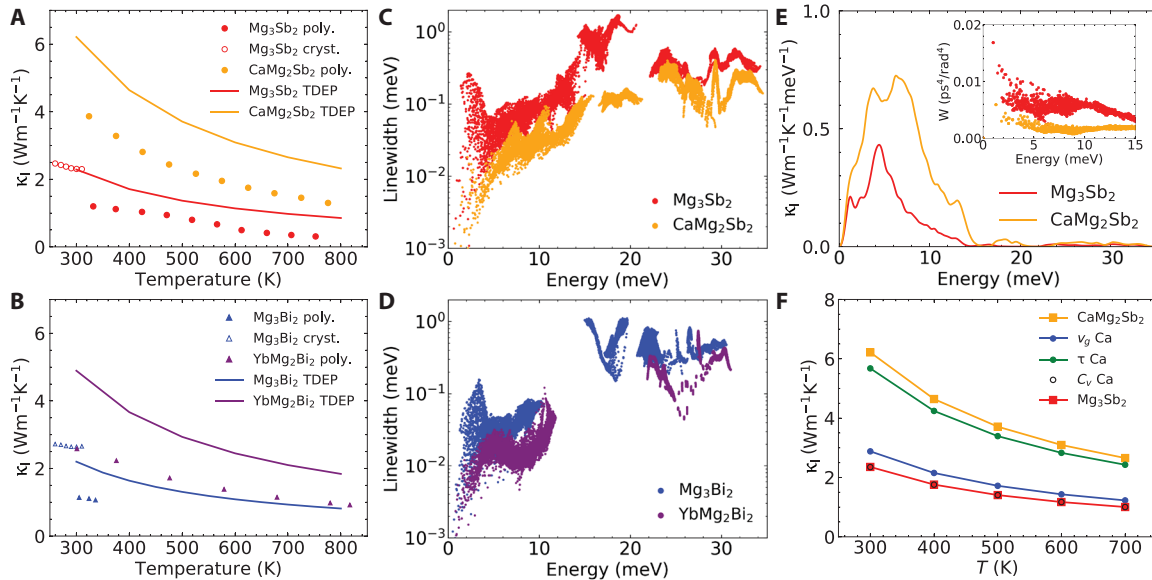
found in Ca-Mg(2) compared to  $\text{Mg}(1)$ - $\text{Mg}(2)$  ( $d_5$ ) in agreement with a prior study (29).

Differences in  $\text{Mg}(1)$ -X versus Ca-X bonding character cannot fully explain the surprising disparity in  $d_3$  FC. The softer  $\text{Mg}(1)$ -X bonds likely arise in part from negative chemical pressure experienced by  $\text{Mg}(1)$ . Although Mg is much smaller than Ca (atomic radii: 1.45 versus 1.94; ionic radii: 0.72 versus 1.00 in  $\text{\AA}$ ), the difference between the Ca-Sb versus  $\text{Mg}(1)$ -Sb bond lengths ( $d_3$ ) is only  $0.14 \text{ \AA}$ . In the binary compounds, the  $\text{Mg}(1)$  coordination environment can thus be described as an oversized pnictide cage. The  $\text{MgX}_6$  octahedra in the binaries are highly distorted compared with the near-ideal octahedral geometry of the ternary compounds. Both binaries exhibit a high-temperature  $\beta$ -phase in which Mg is tetrahedrally coordinated by X (42). The formation of the  $\text{Mg}_3\text{X}_2$  compounds in the  $\text{CaAl}_2\text{Si}_2$  structure type at lower temperatures is a testament to the extreme chemical adaptability of Mg (43). The binaries can be seen as a textbook example of driving a system to the edge of structural stability to achieve soft TA modes by negative chemical pressure.

### Thermal conductivity analysis

We now rationalize the anomalously low  $\kappa_1$  in  $\text{Mg}_3\text{Sb}_2$  and  $\text{Mg}_3\text{Bi}_2$ . Replacing  $\text{Mg}(1)$  with Ca or Yb leads to two- to threefold higher  $\kappa_1$  in polycrystalline samples, as shown in Fig. 4 (A and B) (filled markers) (24, 44, 45). The  $\kappa_1$  of  $\text{Mg}_3\text{X}_2$  is twice higher in single crystals (46) versus polycrystals owing to the absence of boundary scattering. Our calculated  $\kappa_1$  using TDEP (details in the Supplementary Materials) matches very well with the single-crystal measurements in  $\text{Mg}_3\text{X}_2$  at 300 K (red and blue hollow markers versus solid lines). Further, we find an almost isotropic  $\kappa_1$  at all temperatures (e.g., 2.38 in-plane, 2.29 out-of-plane for  $\text{Mg}_3\text{Sb}_2$  and 6.30 in-plane, 6.05 out-of-plane for  $\text{CaMg}_2\text{Sb}_2$  at 300 K), in agreement with previous  $\text{Mg}_3\text{Sb}_2$  simulations (29). The calculated  $\kappa_1$  of  $\text{CaMg}_2\text{Sb}_2$  is about  $3\times$  higher than for  $\text{Mg}_3\text{Sb}_2$  ( $2\times$  in Bi compounds), matching the experimental trend (only polycrystalline data available).

Figure 4E and fig. S18 show the spectrally decomposed thermal conductivity,  $\kappa_1(E)$ , revealing that phonons below 15 meV dominate the overall  $\kappa_1$  in both  $\text{Mg}_3\text{Sb}_2$  and  $\text{CaMg}_2\text{Sb}_2$  (12 meV in  $\text{AMg}_2\text{Bi}_2$ ). The peak contribution to  $\kappa_1(E)$  corresponds to the top of the acoustic



**Fig. 4. Lattice thermal conductivity analysis and origin of suppression in binary  $Mg_3X_2$ .** Reported  $\kappa_l$  of (A)  $AMg_2Sb_2$  and (B)  $AMg_2Bi_2$  from (24, 44–46) compared with our TDEP simulations.  $\kappa_l$  of  $Mg_3X_2$  is two to three times lower than in the Ca or Yb compounds in both experiments and simulations. Comparison of phonon linewidths from TDEP for (C)  $AMg_2Sb_2$  and (D)  $AMg_2Bi_2$ . Binary compounds exhibit a factor of 2 to 3 larger linewidths, similar to the ratio in  $\kappa_l$ . (E) Spectrally decomposed  $\kappa_l$  in  $Mg_3Sb_2$  and  $CaMg_2Sb_2$ . Inset shows the corresponding weighted phase-space ( $W$ ). A three times larger  $W$  is observed in  $Mg_3Sb_2$  below 10 meV. (F)  $\kappa_l$  comparison of  $Mg_3Sb_2$  and  $CaMg_2Sb_2$ . Red and orange markers are the same  $\kappa_l$  in (A). Blue, green, and black hollow markers represent the  $\kappa_l$  when replacing  $v_g$ ,  $\tau$ , and  $C_v$  with the values from  $CaMg_2Sb_2$  (details in the main text).

branches, around 5 meV in  $Mg_3Sb_2$  and 7 meV in the  $CaMg_2Sb_2$ . A marked suppression of  $\kappa_l$  is observed in  $Mg_3Sb_2$  over the range  $1 < E < 15$  meV. The  $\kappa_l$  ratios (2 to 3) between binaries and ternaries are directly reflected in their phonon linewidths ( $\propto \kappa_l^{-1}$ ) in both Sb and Bi compounds (Fig. 4, C and D, and fig. S18). The much larger phonon linewidths below 4 meV in  $Mg_3X_2$  correspond to the flat TA mode (e.g., along  $\Gamma - M$ ). These are strongly scattered, as they satisfy the energy conservation rules for phonon-phonon scattering easily. They also have low phonon group velocities and thus contribute little to the total  $\kappa_l$ . In ternary compounds, these phonon branches are more dispersive, are less scattered, and carry more heat. Zhang *et al.* (24) emphasized these TA phonons and mid-energy optical phonon (13 to 20 meV) as the main suppression mechanism. However, this is insufficient, as the suppression happens over the entire energy range. The anharmonic scattering rates ( $\propto$  linewidth) calculated in (24), using harmonic  $\Phi^{(2)}$ , are almost 10 times larger in  $Mg_3Sb_2$  than in  $CaMg_2Sb_2$ , leading to an underestimated  $\kappa_l$  even lower than polycrystalline data. We note that using harmonic phonon dispersions significantly overestimates the scattering phase-space.

We further examine the thermal transport mechanism by isolating the relative contribution of  $C_v$ ,  $v_g$ , and  $\tau$  in  $\kappa_l$ , and revealing the critical importance of the  $d_3$  bond in modulating the scattering phase-space. We use the TDEP  $\kappa_l$  of  $Mg_3Sb_2$  and  $CaMg_2Sb_2$  as references and replace each component in  $Mg_3Sb_2$  with its counterpart from  $CaMg_2Sb_2$ , as shown in Fig. 4F. For instance, the blue curve labeled as “ $v_g$  Ca” represents  $\kappa_l = \frac{1}{3} \sum C_{V_{Mg_3Sb_2}} v_{g_{CaMg_2Sb_2}}^2 \tau_{Mg_3Sb_2}$ . As one would expect, the heat capacity effect is minimal, which almost overlaps with the  $\kappa_l$  of  $Mg_3Sb_2$  (black hollow circles). The energy shift observed in INS indicates a strong change in  $v_g$ . As shown in table S5, at some  $\mathbf{Q}$  vectors,  $v_g$  is enhanced by more than 50% in  $CaMg_2Sb_2$ , showing a corresponding increase in mode  $\kappa_l$  compared to  $Mg_3Sb_2$ .

However, the overall increase in  $\kappa_l$  is only 22% (blue), which is a small part of the actual difference ( $\sim 3\times$ ) in total  $\kappa_l$ . On the other hand, replacing  $\tau$  leads to a  $2.4\times$  higher  $\kappa_l$  (green). The scattering rate ( $\propto \tau^{-1}$ ) results from a product of the scattering phase-space, weighted by the phonon occupation factor, and the scattering probability  $|V_{\lambda\lambda\lambda'}^\pm|$  involving phonon modes  $\lambda$ ,  $\lambda'$ , and  $\lambda''$ . The latter can be viewed as the mass-, frequency-, and eigenvector-weighted Fourier transform of the third-order FC ( $\Phi^{(3)}$ ).  $\Phi^{(2)}$  controls the phonon group velocity, frequency, and eigenvector and determines the scattering phase-space.  $\Phi^{(3)}$  only determines the scattering probability. By separately replacing  $\Phi^{(2)}$  and  $\Phi^{(3)}$  of  $Mg_3Sb_2$  with those of  $CaMg_2Sb_2$ , we further break down the contributions to  $\kappa_l$ . As can be seen in fig. S14B,  $|\Phi^{(3)}|$  only differs by 10% on average between the two Sb compounds, while weak  $Mg(1)-X$  bonds are again found. These weaker  $d_3$  bonds result in a 50% smaller scattering probability, and substituting the Ca  $\Phi^{(3)}$  (blue) leads to 50% decrease in  $\kappa_l$  (fig. S19). However, substituting the Ca  $\Phi^{(2)}$  (green) results in a  $5\times$  increase in  $\kappa_l$ , arising from frequency and scattering phase-space renormalization by the  $d_3$  bond. The weighted scattering phase-space ( $W$ ), defined by equation S7, is shown in the inset of Fig. 4E. A large increase in  $W$  is observed for  $Mg_3Sb_2$  compared with  $CaMg_2Sb_2$ , in the same energy range where  $\kappa_l$  is suppressed. This renormalization is controlled by  $\Phi^{(2)}$  as shown in fig. S18E.

Through a systematic investigation of phonons and thermal transport in  $AMg_2X_2$  compounds with INS, IXS, and first-principles modeling including AIMD, we revealed how the superior thermal properties of  $Mg_3X_2$  for TE applications originate from specific bonding characteristics, especially a weak  $d_3$  bond associated with  $Mg(1)-X$ . This weak bond plays a critical role in destabilizing TA phonons, leading to a soft flat branch along  $\Gamma - M$ , overcoming expected mass trends between Mg and heavier A-site ions (Ca and

Yb). Our thermal conductivity analysis fully accounts for the unexpected threefold suppression in the  $\kappa_1$  of  $\text{Mg}_3\text{X}_2$  compared to heavier ternaries, revealing the impact of this soft  $d_3$  bond. While group velocity suppression reduces  $\kappa_1$  by a modest 20%, the soft anharmonic dispersion enables a threefold increase in phonon scattering primarily by enhancing the scattering phase-space. These findings rationalize the microscopic origins of the outstanding thermal properties of  $\text{AMg}_2\text{X}_2$  compounds and provide fundamental insights into means to control thermal transport properties, which will enable the further design of highly efficient TE materials.

## MATERIALS AND METHODS

For a detailed description of methods, see the Supplementary Materials. Neutron experiments were performed on  $\text{AMg}_2\text{X}_2$  powders using the ARCS time-of-flight neutron spectrometer at the Spallation Neutron Source, Oak Ridge National Laboratory. The samples were sealed in a 1.27-centimeter-diameter thin-walled aluminum can and placed in a high- $T$  closed-cycle refrigerator in an inert atmosphere. IXS measurements were performed on small single crystals mounted on standard copper posts with a beryllium dome and heated with high- $T$  closed-cycle refrigerators in vacuum, using the HERIX beamline at Sector 30 at the Advanced Photon Source, Argonne National Laboratory. Powder samples were grown by solid-state reaction and single crystals were synthesized by the self-flux growth method.

Theoretical calculations were performed with the Vienna ab initio simulation package (VASP 5.4) (47–49), using PBEsol (50–52). SOC was included for Bi compounds. Phonon and thermal conductivity calculations were performed with Phonopy (53), ShengBTE (54), and TDEP (55, 56).

## SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/7/21/eabg1449/DC1>

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