



Evaluation of HIV-1 Latency Reversal and Antibody-Dependent Viral Clearance by Quantification of Singly Spliced HIV-1 *vpu/env* mRNA

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ABSTRACT The latent reservoir of HIV-1 is a major barrier for viral eradication. Potent HIV-1 broadly neutralizing antibodies (bNabs) have been used to prevent and treat HIV-1 infections in animal models and clinical trials. Combination of bNabs and latency-reversing agents (LRAs) is considered a promising approach for HIV-1 eradication. PCR-based assays that can rapidly and specifically measure singly spliced HIV-1 *vpu/env* mRNA are needed to evaluate the induction of the viral envelope production at the transcription level and bNab-mediated reservoir clearance. Here, we reported a PCR-based method to accurately quantify the production of intracellular HIV-1 *vpu/env* mRNA. With the *vpu/env* assay, we determined the LRA combinations that could effectively induce *vpu/env* mRNA production in CD4⁺ T cells from antiretroviral therapy (ART)-treated individuals. None of the tested LRAs were effective alone. A comparison between the quantitative viral outgrowth assay (Q-VOA) and the *vpu/env* assay showed that *vpu/env* mRNA production was closely associated with the reactivation of replication-competent HIV-1, suggesting that *vpu/env* mRNA was mainly produced by intact viruses. Finally, antibody-mediated killing in HIV-1-infected humanized mice demonstrated that the *vpu/env* assay could be used to measure the reduction of infected cells in tissues and was more accurate than the commonly used *gag*-based PCR assay, which measures unspliced viral genomic RNA. In conclusion, the *vpu/env* assay allows convenient and accurate assessment of HIV-1 latency reversal and bNab-mediated therapeutic strategies.

IMPORTANCE HIV-1 persists in individuals on antiretroviral therapy (ART) due to the long-lived cellular reservoirs that contain dormant viruses. Recent discoveries of HIV-1-specific broadly neutralizing antibodies (bNabs) targeting HIV-1 Env protein rekindled the interest in antibody-mediated elimination of latent HIV-1. Latency-reversing agents (LRAs) together with HIV-1 bNabs is a possible strategy to clear residual viral reservoirs, which makes the evaluation of HIV-1 Env expression upon LRA treatment critical. We developed a PCR-based assay to quantify the production of intracellular HIV-1 *vpu/env* mRNA. Using patient CD4⁺ T cells, we found that induction of HIV-1 *vpu/env* mRNA required a combination of different LRAs. Using *in vitro*, *ex vivo*, and humanized mouse models, we showed that the *vpu/env* assay could be used to measure antibody efficacy in clearing HIV-1 infection. These results suggest that the *vpu/env* assay can accurately evaluate HIV-1 reactivation and bNab-based therapeutic interventions.

KEYWORDS HIV reservoirs, antibody-dependent killing, human immunodeficiency virus, latency reversal, singly spliced *vpu/env* mRNA

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Despite antiretroviral therapy (ART), HIV-1 persists in a small pool of latently infected resting memory CD4⁺ T cells (1–5). The “shock and kill” approach to purging the latent HIV-1 reservoirs involves pharmacologic reactivation of latent HIV-1 (6). Next, induction of virus-specific host immune responses is required to eliminate infected cells in which HIV-1 gene transcription has been induced by latency reversal agents (LRAs) (7). Antibodies targeting HIV-1 envelope (Env) protein can mediate killing of HIV-1-infected cells through antibody effector functions, such as antibody-dependent cellular cytotoxicity (ADCC) and antibody-dependent cellular phagocytosis (ADCP). In the past 10 years, a variety of bNabs have been isolated from a small subset of HIV-1-positive individuals. bNabs can act against a wide spectrum of viruses by targeting conserved regions on the HIV-1 envelope trimer (8). Recent discoveries of HIV-1-specific bNabs rekindled interest in antibody therapy for HIV-1 prevention as well as for elimination of HIV-1 latent reservoirs. In several phase-I clinical trials, passive administration of a single bNab that included 3BNC117, VRC01, or 10-1074 delayed viral rebound to a level comparable to that in the analytical treatment interruption trials (9–13). bNab infusion accelerated clearance of HIV-1-infected cells via an Fc receptor-dependent mechanism but was not sufficient to clear viral reservoirs (13). Combination therapy with two bNabs that included 3BNC117 and 10-1074 maintained viral suppression after ART discontinuation in patients who did not have preexisting 3BNC117- or 10-1074-resistant viruses (14). Since bNabs cannot target transcriptionally silent HIV-1, LRA and bNab combination for the killing of HIV-1 latently infected cells may be a promising strategy for viral eradication.

The efficacy of LRA and bNab combination therapy is determined by how effectively LRAs could induce HIV-1 Env expression and whether the infused bNabs can target the Env of patient viral isolates. Sensitivity of patient viruses to the chosen bNabs can be measured by *in vitro* neutralization assays. It is important to quantify HIV-1 Env production induced by LRAs. Although flow cytometry-based measurement of HIV-1 Env expression on the target cell surface is the most accurate method to predict killing efficiency, it is not practical to measure surface HIV-1 Env because of the rarity of latently infected cells and the variability of Env epitopes in patients. PCR-based assays are sensitive and accurate for the measurement of HIV-1 DNA and RNA. Quantitative PCR assays targeting conserved regions of unspliced HIV-1 RNA within *gag* or *pol* have been the standard methods to measure LRA-induced cell-associated HIV-1 RNA (15–18). However, the vast majority of HIV-1 proviruses (>95%) in patients under ART are defective, and a significant fraction of the defective viruses carries either entire or truncated *gag/pol* (19–21). The two major limitations of the *gag*- or *pol*-based PCR assay are that (i) it detects some defective viruses that can be transcribed despite carrying lethal mutations or deletions, and (ii) it only detects unspliced viral genomic RNA, which may not be well correlated with the production of spliced viral mRNAs (22). In some studies, spliced HIV-1 *tat/rev* and *env* mRNA was measured to evaluate HIV-1 transcription and LRA efficacy (23–25). HIV-1 Vpu and Env are expressed from the same bicistronic mRNA (26). To produce HIV-1 Env protein, induction of HIV-1 multiply spliced *tat/rev* mRNA and singly spliced HIV-1 *vpu/env* mRNA are required. Expression of HIV-1 Env protein requires leaky scanning of bicistronic *vpu/env* mRNA, thereby allowing ribosomes access to the downstream *env* open reading frame (27). Another advantage for detecting *vpu/env* transcripts is that they are more likely produced from intact proviruses, but not from defective proviruses, because more than 90% of the defective HIV-1 proviruses in patients carry deletions or splice site mutations in the *vpu/env* open reading frame (ORF) (20, 21, 28). Here, we report a quantitative PCR-based assay to specifically measure singly spliced HIV-1 *vpu/env* mRNA. This *vpu/env* assay can be used to evaluate the production of HIV-1 Env at the transcriptional level and evaluate bNab-mediated killing of infected cells in which HIV-1 latency is reversed by LRAs.

RESULTS

Measurement of singly spliced HIV-1 *vpu/env* transcripts. HIV-1 Vpu and Env are expressed from the same bicistronic mRNA, and HIV-1 *vpu/env* mRNA utilizes the major

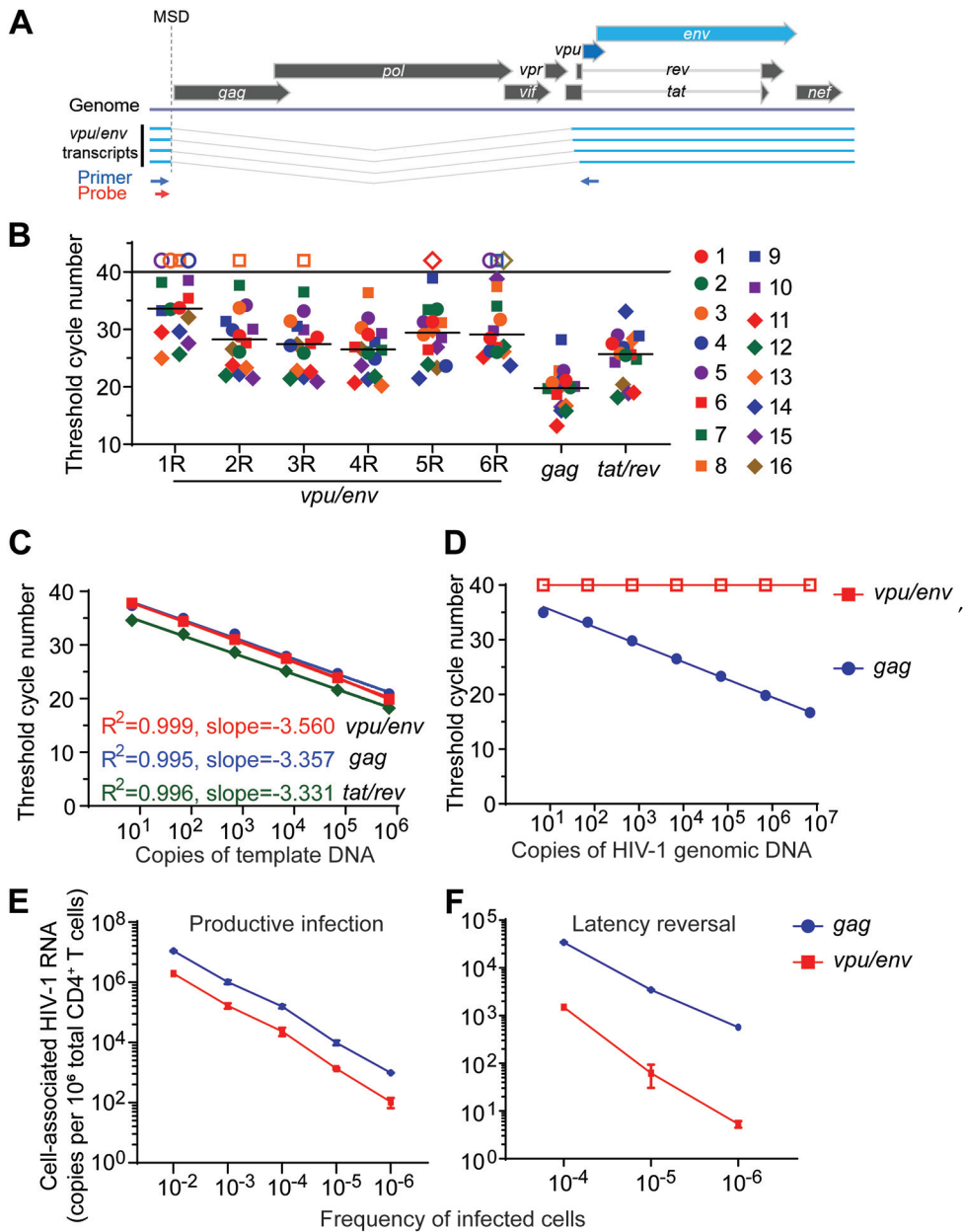


FIG 1 Sensitivity and specificity of the *vpu/env* assay. (A) The singly spliced HIV-1 *vpu/env* mRNA. Position of primers (blue arrow) and probe (red arrow) of the *vpu/env* assay. (B) Evaluation and comparison of *vpu/env*-specific primers. $CD4^+$ T cells were infected by 10 HIV-1 clade B isolates (1–10) and viruses isolated from six HIV-1-positive patients (11–16). Total RNA was used for *vpu/env* mRNA detection. Each of the six 3' primers was paired with the same 5' primer and probe. Threshold cycle (C_T) numbers are shown. Open symbols and horizontal lines represent no detection and the median C_T values, respectively. (C) Assay sensitivity. Serially diluted *vpu/env*, *gag*, and *tat/rev* standard DNA were measured separately in duplicate by quantitative PCR (qPCR). (D) Assay specificity. Serially diluted pNL4-3 was used as the template for qPCR. Open squares, undetected. (E and F) Detection of intracellular HIV-1 mRNA. Intracellular HIV-1 mRNA in serially diluted infected cells. Productively (E) or latently (F) infected primary $CD4^+$ T cells were serially diluted in uninfected $CD4^+$ T cells. For latent infection, cells were then treated with phorbol myristate acetate (PMA)-ionomycin for 24 h. HIV-1 *vpu/env* and *gag* mRNA were measured by reverse transcription-quantitative PCR (RT-qPCR).

splicing donor site (MSD) and A4 or A5 splicing acceptor site (29). To design primers and probes for the detection of HIV-1 *vpu/env* mRNA, the 5' primer should be located upstream of the MSD, while the 3' primer should be downstream of the A5 splicing acceptor site to account for all the *vpu/env* splicing variants (Fig. 1A). The length between 5' primer and the *env* start codon is about 310 to 350 bp, which is much larger than the ideal size of a

TABLE 1 List of probes and primers for *vpu/env* RT-qPCR

Probe or primer purpose	Probe or primer type	Sequence (5'–3') ^a
<i>gag</i> qPCR	Probe	VIC-CTATCCATTCTGCAGCTTCCTCATTGATG-TAMRA
	Forward primer	ACATCAAGCAGCCATGCAAAT
	Reverse primer	TCTGGCCT GGTGCAATAGG
<i>tat/rev</i> qPCR	Probe	VIC-TTCCTTCGGGCTGTCCGGTCCC-TAMRA
	Forward primer	CTTAGGCATCTCCTATGGCAGGAA
	Reverse primer	GGATCTGTCTGTCTCTCTCCACC
<i>vpu/env</i> qPCR	Probe	<u>FAM-CGCACRGAAGAGGCGAGGG-MGB</u>
	Forward primer	<u>AGCTCTCTCGACGCAGGACTC</u>
	R1 reverse primer	TACTACTYACTGCTTTGRTAGA
	R2 reverse primer	CATYACATGTACTACTYACTGCTTTG
	R3 reverse primer	GCATYACATGTACTACTYACTGCTTT
	R4 reverse primer	AAAGTTGCATTACATGTACTACTGCTTT
R5 reverse primer	GCTACTACTAATGCTACTATTGCTAATAT	
R6 reverse primer	GCTAATATTTGAAAGTTGCATTACATGTACTAC	

^aTAMRA, 6-carboxytetramethylrhodamine; FAM, 6-carboxyfluorescein. The underlined probe and primer were chosen for the *vpu/env* assay.

quantitative PCR (qPCR) amplicon (70 to 200 bp); qPCR efficiency would be low if the 3' primer is in the *env* ORF. Therefore, we placed the 3' primer within conserved regions of the *vpu* ORF, based on the HIV Sequence Compendium 2018 (www.hiv.lanl.gov), and designed six primer/probe sets to measure *vpu/env* mRNA (Table 1). Ten clade B HIV-1 isolates (NIH AIDS Reagent Program) and six viral isolates from HIV-1-positive individuals were used for assay optimization. HIV-1 *gag*- or *tat/rev*-based PCRs were used as controls. qPCR results showed that *vpu/env* mRNA was detected in all samples using the no. 4 primer set (Fig. 1B). HIV-1 *gag* and *tat/rev* mRNA were also detected in all samples (Fig. 1B). Therefore, the no. 4 primer set was selected for the following studies. Next, standard plasmids containing the *vpu/env*, *gag*, or *tat/rev* PCR fragments were measured separately with corresponding primer/probe sets to confirm the assay sensitivity (Fig. 1C). The 95% confidence intervals for the slope of each assay are -3.637 to -3.482 (*vpu/env*), -3.526 to -3.188 (*gag*), and -3.487 to -3.176 (*tat/rev*). The *vpu/env* assay only targets singly spliced *vpu/env* RNA transcripts, but not unspliced viral genomic RNA or proviral DNA. As predicted, no signal could be detected by the *vpu/env* assay, even with the presence of 1×10^7 copies of HIV-1 genomic DNA (Fig. 1D). Together, these results demonstrated that the *vpu/env* assay had great sensitivity and specificity for the spliced *vpu/env* RNA, but not for viral genomic RNA or proviral DNA.

Next, we sought to determine whether this assay could detect *vpu/env* mRNA in samples with low frequencies of HIV-1-infected cells. CD4⁺ T cells infected with the HIV-1 reporter virus NL4-3-ΔEnv-EGFP were serially diluted with uninfected cells. In samples containing 1 HIV-1-infected cell out of 1 million total cells, about 100 copies of intracellular *vpu/env* mRNA were detected, which was 10-fold lower than the unspliced viral genomic RNA detected by the *gag* assay (Fig. 1E). Reactivation of latent HIV-1 by LRA is the first step of the “shock and kill” strategy (30–32). To test if the *vpu/env* assay can detect reactivated viruses, we generated latently infected cells *in vitro* using a previous described reporter virus (33). Cells containing known percentages of latent HIV-1 were serially diluted into uninfected CD4⁺ T cells to achieve the low frequency of latently infected cells. After latency reversal by phorbol myristate acetate (PMA)-ionomycin, *vpu/env* mRNA could be detected in samples containing as little as a single latent HIV-1 in 1 million cells (Fig. 1F). Taken together, these experiments demonstrate that the *vpu/env* assay is highly specific and sensitive and is capable of capturing low levels of *vpu/env* mRNA production after viral latency reversal.

Abundance of *vpu/env* mRNA correlates with expression of Env protein. Next, we determined whether the *vpu/env* assay measuring *vpu/env* transcripts can be used

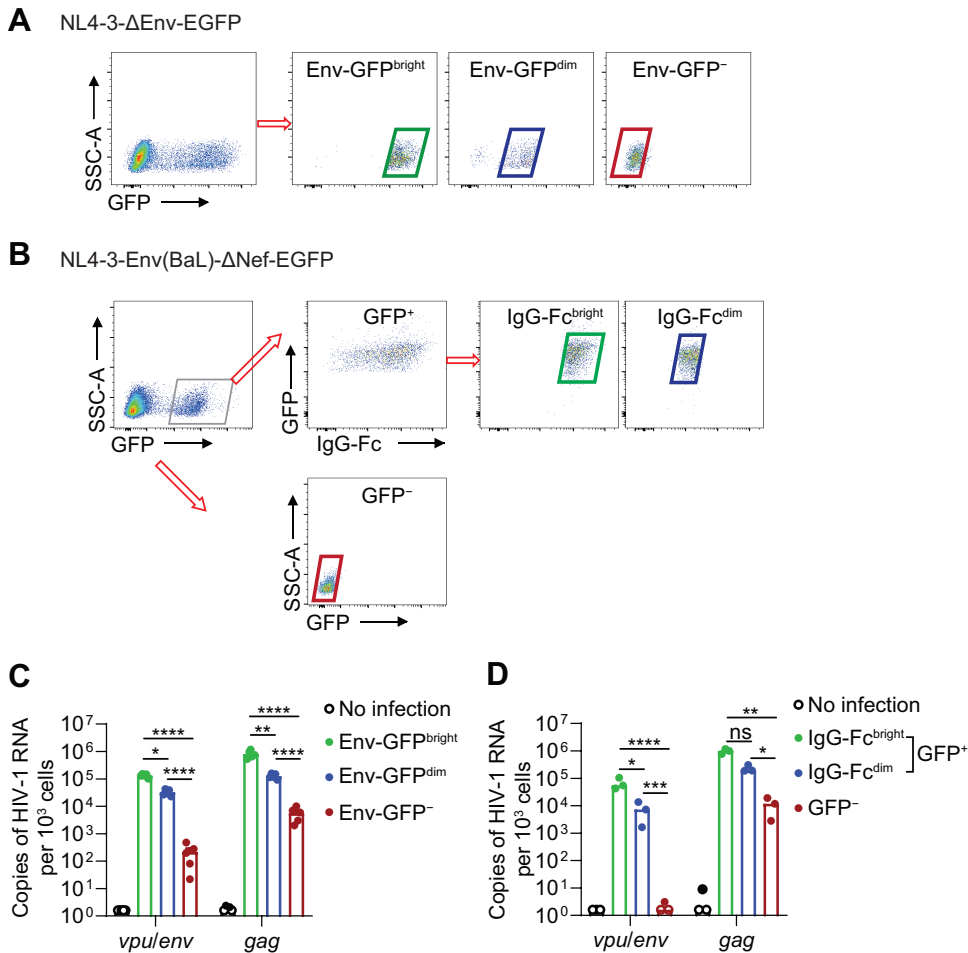


FIG 2 Correlation between HIV-1 *vpv/env* mRNA level and Env protein level. (A) Sorting strategy for Env-GFP^{bright}, Env-GFP^{dim}, and Env-GFP⁻ cells. Activated CD4⁺ T cells were infected with NL4-3 Δ Env-EGFP. Env-GFP^{bright}, Env-GFP^{dim}, and Env-GFP⁻ cells were sorted based on green fluorescent protein (GFP) intensity on day 3 postinfection. (B) Sorting strategy for IgG-Fc^{bright}, IgG-Fc^{dim}, and GFP⁻ cells. Activated CD4⁺ T cells were infected with NL4-3-Env(BaL)- Δ Nef-EGFP. On day 3 postinfection, surface Env protein was labeled by PGT121 followed with anti-human IgG Fc antibodies. (C and D) Cell-associated *vpv/env* or *gag* mRNA was measured by qPCR. *P* values were calculated by one-way analysis of variance (ANOVA) with Tukey's test. *, *P* < 0.05; **, *P* < 0.01; ***, *P* < 0.001; ****, *P* < 0.0001.

to evaluate the production of HIV-1 Env protein, especially on the surface of infected cells. CD4⁺ T cells were infected with NL4-3- Δ Env-EGFP in which *env* was disrupted by inserted *egfp*. Thus, enhanced green fluorescent protein (EGFP) expression mirrored total Env protein level. We found that both *vpv/env* and *gag* mRNA correlated well with EGFP expression and were detectable even in cells without EGFP expression (Fig. 2A and C), suggesting some discordance between viral gene transcription and EGFP expression. To further determine the correlation between *vpv/env* mRNA level and surface expression of Env protein, we infected CD4⁺ T cells with NL4-3-Env(BaL)- Δ *nef*-EGFP, which encoded Env of HIV-1_{BaL} and contained an EGFP coding gene inserted in *nef*. We used bNab PGT121 and anti-human IgG-Fc antibodies sequentially to detect cell surface Env protein. Green fluorescent protein-positive (GFP⁺) cells were purified to exclude uninfected cells coated with free GP120 because of its shedding from infected cells. The abundance of *vpv/env* but not *gag* transcripts correlated very well with cell surface Env expression (Fig. 2B and D). These results demonstrated that the *vpv/env* assay can be used to quantitatively measure the change of cell surface HIV-1 Env protein.

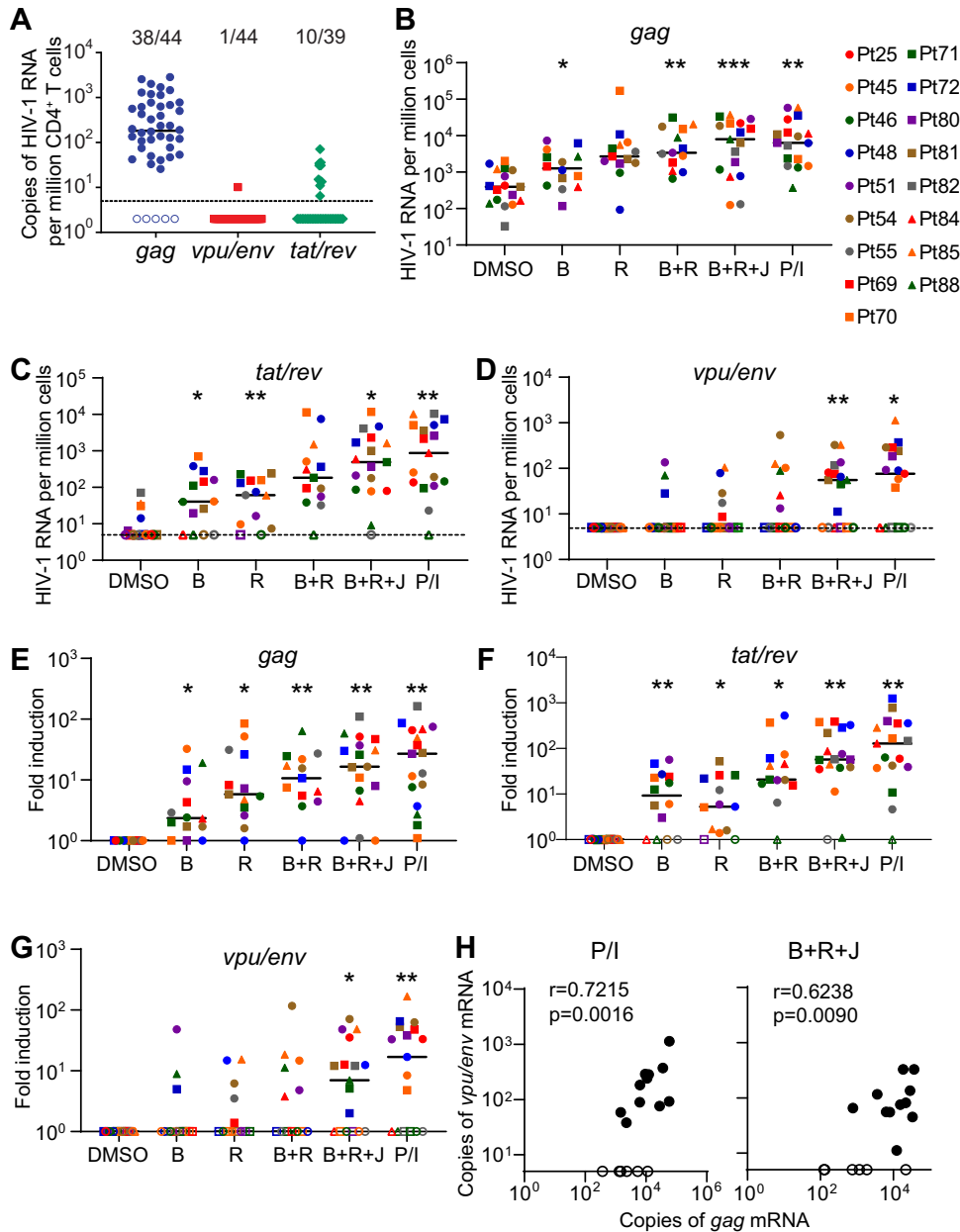


FIG 3 Quantification of HIV-1 *vpu/env* mRNA in blood CD4⁺ T cells from patients under suppressive antiretroviral therapy (ART). (A) Spliced and unspliced cell-associated HIV-1 RNA in freshly isolated CD4⁺ T cells without latency reversal from 44 patients. HIV-1 *gag*, *vpu/env*, and *tat/rev* mRNA was measured by RT-qPCR using total RNA from 0.5 million patient CD4⁺ T cells. (B to G) Reactivation of latent HIV-1 by latency-reversing agents (LRAs) or LRA combinations. Patient CD4⁺ T cells were treated with different LRAs or combination for 18 to 24 h. Virus reactivation was determined by quantitative measurement of indicated HIV-1 transcripts. Total RNA from 0.5 million patient CD4⁺ T cells was used for the *gag*, *vpu/env*, or *tat/rev* qPCR assays. Copies of viral transcripts (B to D) and fold induction (E to G) in each treatment group are shown. *P* values were calculated by one-way ANOVA with Tukey's test. *, $P < 0.05$; **, $P < 0.01$. (H) Correlation between copies of *gag* and *vpu/env* transcripts after latency reversal. Values in panels B and D were used. *r* and *P* values were determined by Spearman correlation. DMSO, dimethyl sulfoxide; B, bryostatatin-1; J, JQ1; R, romidepsin; P/I, PMA plus ionomycin; open symbols, undetectable.

Detection of *vpu/env* mRNA in LRA-treated CD4⁺ T cells from patients on ART.

The *gag* assay that detects unspliced HIV-1 genomic RNA is the most commonly used method to evaluate HIV-1 latency reversal (15, 34). We performed *gag*, *vpu/env*, and *tat/rev* assays to compare the levels of unspliced, singly spliced, and multiply spliced HIV-1 transcripts in unstimulated CD4⁺ T cells from 44 patients on ART. The unspliced viral genomic RNA (*gag*) was detectable in 38/44 samples, much more frequently than

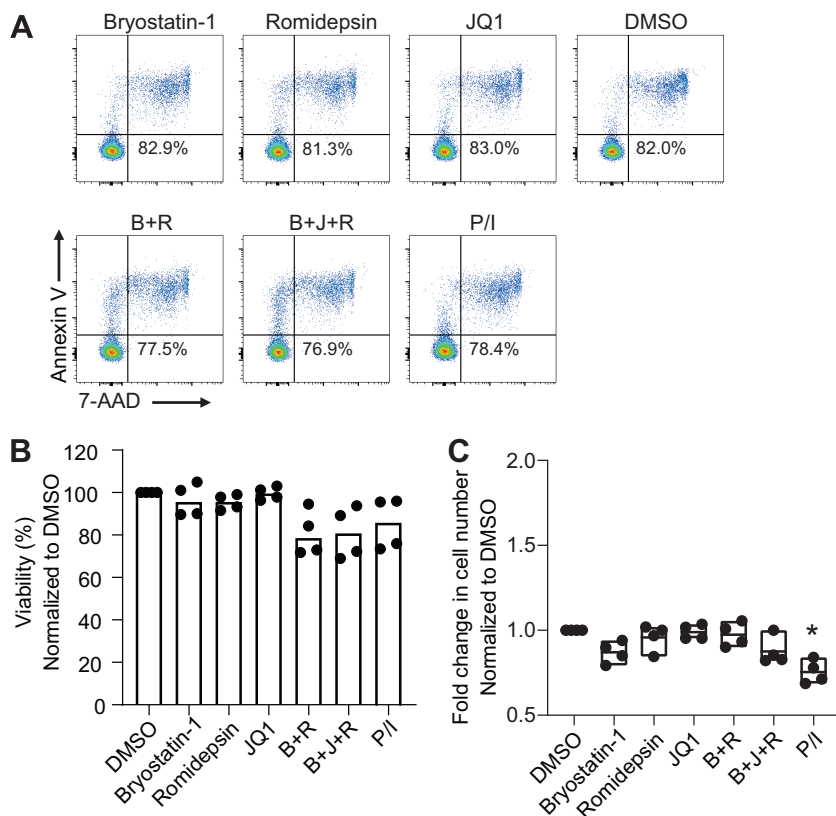


FIG 4 Toxicity of LRA to CD4⁺ T cells. (A and B) Viability of CD4⁺ T cells after LRA treatment. Unstimulated CD4⁺ T cells from four healthy donors were treated with single LRAs or LRA combination (3 million cell/group) for 18 h. Cell viability was measured by annexin V and 7-aminoactinomycin D (7-AAD). (C) Cell counts in each treatment group. *P* values were calculated by one-way ANOVA. *, *P* < 0.05.

vpu/env (1/44) and *tat/rev* mRNA (10/39) (Fig. 3A). Unspliced HIV-1 genomic RNA can be produced by defective but transcriptionally active HIV-1 that does not trigger cell death or immune recognition. It may also be derived from chimeric host-HIV-1 transcripts because the virus integrates into actively transcribed host genes (35, 36). To evaluate the induction of *vpu/env* mRNA, patient CD4⁺ T cells were treated with various LRAs for less than 24 h. Bryostatin-1 or romidepsin alone can induce unspliced HIV-1 RNA (18, 37). In our study, induction of *gag* mRNA (fold change, >1) by single LRAs, as well as by LRA combinations, was observed in most individuals (Fig. 3B and E). The magnitude of increase in *tat/rev* mRNA was comparable to that of *gag* mRNA in different LRA groups (Fig. 3C and F). In contrast, significant induction of *vpu/env* mRNA was only achieved when (i) a combination of bryostatin-1, JQ1, and romidepsin or (ii) PMA-ionomycin was used (Fig. 3D and G). The induction of *gag* and *vpu/env* mRNA was positively correlated in the PMA-ionomycin and the triple LRA combination groups (Fig. 3H). In the LRA experiments, patient CD4⁺ T cells were treated for less than 24 h, and no significant cytotoxicity was observed in single LRA groups (Fig. 4), which excluded the possibility that the lack of detection of HIV-1 transcripts by single LRAs was due to cytotoxicity. Single LRAs had poor induction of *vpu/env* mRNA in most samples, indicating the presence of multiple blocks in HIV-1 transcription in latently infected cells, such as sequestration of essential transcription factors like nuclear factor of activated T cells (NFAT) and NF- κ B in the cytoplasm, transcriptional interference by the upstream portion of the host gene, DNA methylation, histone deacetylation, and restrictive chromatin structures (38–41).

Induction of *vpu/env* mRNA is correlated with reactivation of replication-competent latent HIV-1. PCR-based measurement of HIV-1 transcripts relies on detecting a small region of the viral genome and does not distinguish intact and defective proviruses.

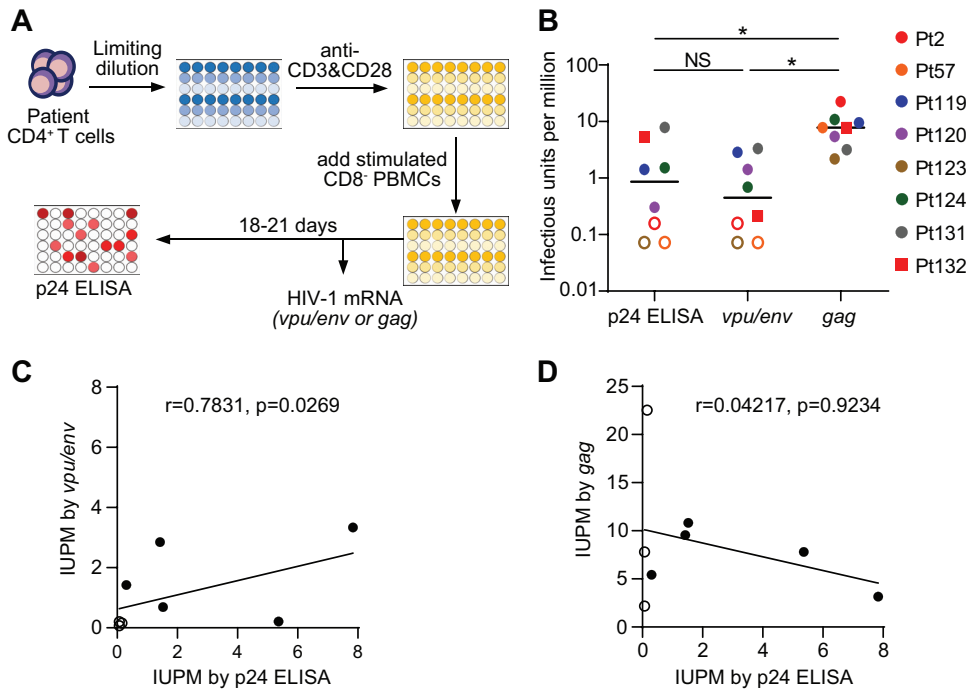


FIG 5 Production of *vpu/env* mRNA is correlated with reactivation of replication-competent HIV-1. (A) Scheme of quantitative viral outgrowth assay. At day 0, CD4⁺ T cells were isolated from patient blood. Cells were seeded by serial dilutions and stimulated with anti-CD3/CD28 for 3 days. After costimulation, PHA-stimulated CD8⁺ PBMCs from healthy donors were added to support viral outgrowth. At day 7, half of the cells were harvested for HIV-1 mRNA measurement. The remaining cells were cultured for another 11 to 14 days before p24 enzyme-linked immunosorbent assay (ELISA). (B) Infectious units per million CD4⁺ T cells (IUPM) measurement by different assays. HIV-1 infection was determined by p24 ELISA and the *vpu/env* or *gag* assay. IUPM was calculated by the frequency of p24⁺ or HIV-1 mRNA⁺ wells, as previously described (44). *P* values were calculated by one-way ANOVA with Tukey's test. *, *P* < 0.05. (C and D) Correlation of p24 ELISA and the PCR-based assays. *r* and *P* values were determined by Spearman correlation. Open symbols indicate undetectable.

Interestingly, a recent study demonstrated that the majority of the defective HIV-1 proviruses carried mutations or deletions that abolished the production of singly spliced *vpu/env* transcripts (21). It is possible that the *vpu/env* assay preferentially detects viral transcripts from replication-competent viruses. To evaluate if this assay can measure reactivation of replication-competent viruses, we determined the frequency of latent HIV-1 after virus reactivation by the standard quantitative viral outgrowth assay (Q-VOA) and the *vpu/env* assay (Fig. 5A). The infectious units per million CD4⁺ T cells (IUPM) determined by the *vpu/env* assay (median, 0.45; range, 0.07 to 3.33) were positively correlated with the values determined by the Q-VOA (median, 0.86; range, 0.07 to 7.84) (Fig. 5B and C). In contrast, the IUPM values determined by *gag*-based qPCR were much higher (median IUPM, 7.80; range, 2.18 to 22.54) (Fig. 5B and D), primarily due to viral transcripts produced by replication-defective viruses. Our results demonstrated that the spliced *vpu/env* mRNA is a better surrogate than the full-length viral genomic RNA to evaluate the reactivation of replication-competent latent HIV-1. Since we could not exclude the possibility that the *vpu/env* assay detected transcripts from defective proviruses, it is helpful to monitor the exponential increase in *vpu/env* transcripts from the same wells over time. Nonetheless, the *vpu/env* assay can accurately predict IUPM values on day 7, making it a rapid assay to determine the size of HIV-1 reservoirs.

Antibody-mediated killing of infected cell can be measured by the *vpu/env* assay.

Antibodies suppress HIV-1 infection by neutralization of cell-free viruses and killing of virus-infected cells through ADCC and ADCP (42). Antibody-mediated killing of infected cells relies on the expression of HIV-1 Env on the target cells, which are *vpu/env* mRNA⁺ cells. We hypothesize that *vpu/env* mRNA measurement could be used to evaluate antibody efficacy against persistent HIV-1 reservoirs. To test this hypothesis,

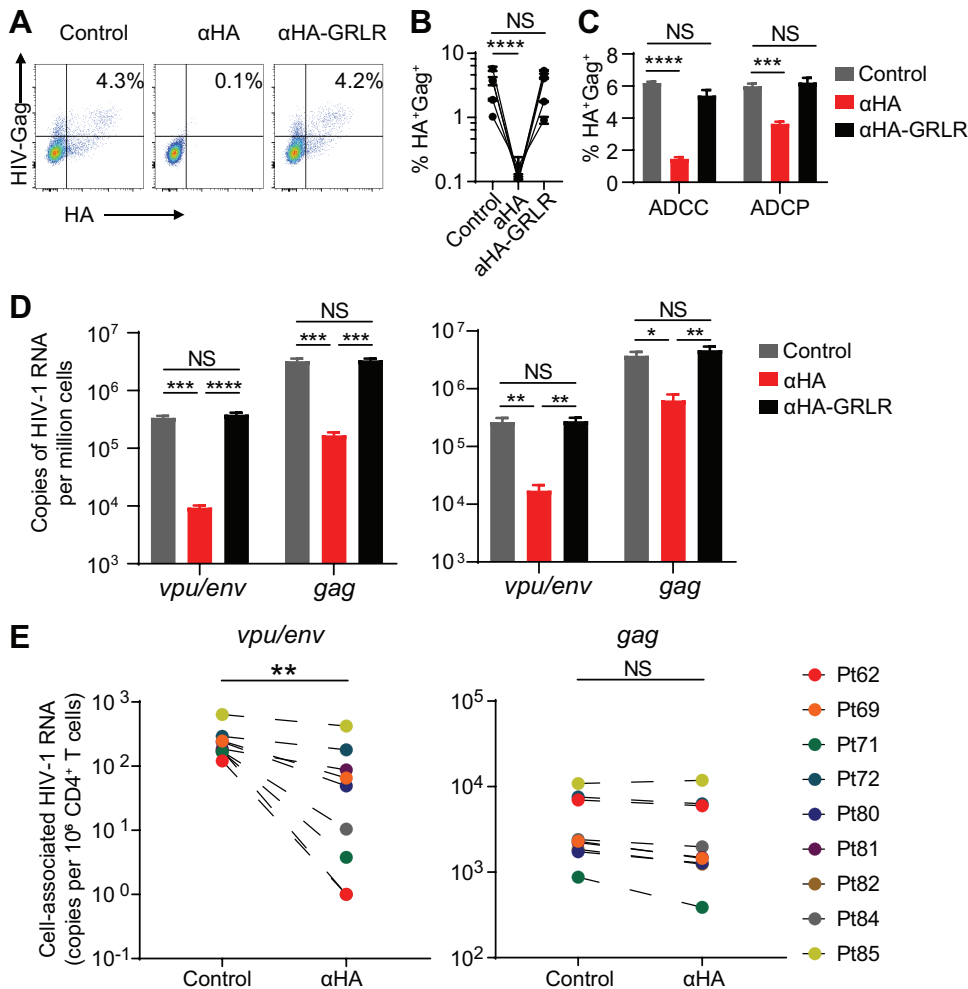


FIG 6 Antibody-mediated killing of infected cells can be accurately measured by *vpu/env* RT-qPCR. (A and B) Antibody-mediated clearance of HIV-1-infected cells. Healthy donor PBMCs were infected with the HIVivoHA reporter virus. After antibody treatment, residual infected cells (HA⁺ Gag⁺ cells) were determined by flow cytometry at day 4 postinfection. Experiments were performed using PBMCs from four different donors. (C) Antibody-dependent cellular cytotoxicity (ADCC) and antibody-dependent cellular phagocytosis (ADCP). HIVivoHA-infected CD4⁺ T cells were cocultured with purified autologous NK cells and monocyte-derived-macrophages at a 1:1 ratio for 6 h with the presence of indicated antibodies. Remaining live infected cells were determined by flow cytometry. (D and E) Antibody-mediated clearance of HIV-1 mRNA⁺ cells. (D) Experiments were performed using PBMCs from two healthy donors. After antibody treatment, residual infected cells were used to measure cell-associated *vpu/env* or *gag* RNA. (E) The same antibody treatment experiments were performed as described in panels A to D. After anti-hemagglutinin (HA) treatment, remaining *in vitro*-infected cells were diluted with blood CD4⁺ T cells from 9 HIV-1-positive patients right before cellular RNA extraction. *vpu/env* mRNA and *gag* mRNA were measured by qPCR. In panels B, C, and D, error bars show mean values with standard error of the mean (SEM). *P* values were calculated by one-way ANOVA with Tukey's test. *, *P* < 0.05; **, *P* < 0.01; ***, *P* < 0.001; ****, *P* < 0.0001. In panel E, *P* values were calculated by paired *t* test. **, *P* < 0.01.

we used a recombinant HIV-1 reporter virus (HIVivoHA) expressing a murine heat-stable antigen (HSA) bearing a hemagglutinin (HA) tag (43). Anti-HA antibodies can trigger antibody-dependent killing by binding to the HA on the surface of infected cells. We infected CD8-depleted healthy donor peripheral blood mononuclear cells (PBMCs) with the reporter virus in the presence or absence of anti-HA antibodies. Anti-HA antibodies cleared HIVivoHA-infected cells (Fig. 6A and B). To confirm that the cell killing was Fc-dependent, a modified anti-HA antibody with mutations that abrogate Fc receptor binding (anti-HA:GRLR) was generated, which failed to clear infected cells (Fig. 6A and B). In this culture system, we used CD8-depleted PBMCs that contained both NK cells and monocytes. We further confirmed that both NK cells and monocytes could mediate Fc-dependent cell killing through ADCC and ADCP, respectively (Fig. 6C).

Having confirmed clearance of infected cells by flow cytometry, we performed the same *in vitro* antibody killing assay shown in Fig. 6A and measured intracellular viral mRNA by the *vpu/env* and *gag* assays. We observed significant decreases of HIV-1 mRNA upon anti-HA antibody treatment by both *vpu/env* and *gag* assays (Fig. 6D). While defective viruses do not accumulate during short-term *in vitro* infection, HIV-1 proviruses in patient samples are predominantly defective and produce mutated or truncated viral transcripts upon latency reversal. Antibodies cannot clear the vast majority of HIV-1 RNA⁺ cells in patients who do not have an intact *vpu/env* ORF. Therefore, it is important to assess whether the *vpu/env* assay could accurately quantify the clearance of *vpu/env* mRNA⁺ cells by antibodies without being affected by the overwhelming presence of the defective viruses. When antibody-treated or control HIVivoHA-infected cells were spiked into patient CD4⁺ T cells (<5 infected cells in 1 million patient cells) to mimic the frequency of reactivable intact HIV-1 in patients, the *gag* assay was not able to sense the antibody effect, because the mutant viral transcripts from patient CD4⁺ T cells vastly outnumbered the ones produced by HIVivoHA-infected cells. In contrast, the reduction of infected cells by antibodies was detectable by the *vpu/env* assay (Fig. 6E). Our results suggest that the *vpu/env* assay is more sensitive and accurate to denote the reduction of HIV-1-infected cells from patients.

Assessment of HIV-1 bNab efficacy by the *vpu/env* assay in humanized mice.

The *gag* assay is commonly used to quantify cell-free plasma HIV-1 levels and cell-associated viral RNA in patients and experimental animals. However, defective HIV-1 rapidly accumulates during acute infection in patients (20, 21) and humanized mice (44, 45). Passive administration of a single HIV-1-specific bNab in humanized mice resulted in a small and transient reduction in viremia (45). Treatment with bNab combinations led to prolonged viral suppression in viremic mice and delayed viral rebound from aviremic mice (45, 46). To evaluate whether the *vpu/env* assay could be more sensitive and accurate in quantifying HIV-1 in experimental animals under bNab therapy, we infected humanized MISTRG-6-15 mice with HIV-1_{Ba-L} and then treated the mice with a combination of two HIV-1 bNabs, PGT121 and N6 (Fig. 7A). Antibody therapy started at day 15 postinfection, when plasma viral load peaked. The levels of plasma HIV-1 RNA had an average decrease of 23.3-fold in bNab-treated mice (Fig. 7B). To determine bNab efficacy in tissues, cell-associated viral RNA was quantified by the *vpu/env* and *gag* assays. A significant reduction of *vpu/env* mRNA was observed in lymphoid tissues (spleen and lymph node) and nonlymphoid tissues (liver and lung), while *gag* mRNA was modestly reduced in some tissues (Fig. 7C and D). This discrepancy is probably attributed to the accumulation of defective HIV-1 proviruses in tissues, which could not be cleared by bNabs. The results from bNab-treated humanized mice suggest that the *vpu/env* assay can more accurately quantify cell-associated HIV-1 RNA and evaluate antibody efficacy *in vivo*, when specimens contain defective HIV-1.

DISCUSSION

The combination of LRAs and bNabs is considered a promising approach to reduce or eliminate latent HIV-1 in patients on suppressive ART (31, 47). To evaluate the efficacy of latency reversal and bNab-mediated killing, a quantitative method for HIV-1 Env expression is needed. HIV-1 mRNA is often measured by reverse transcription-quantitative PCR (RT-qPCR) using *gag* or *pol*-specific primers (15–18). However, these methods only detect unspliced HIV-1 mRNA. The unspliced viral mRNA in patient CD4⁺ T cells is detectable by the *gag* assay without LRA treatment. It is likely from three difference sources, namely chimeric HIV-1/host transcripts, defective but transcriptionally active viruses, or sporadic reactivation of latent HIV-1. The vast majority of HIV-1 proviruses (>95%) in patients under ART are defective and are not able to cause viral rebound (19–21). The *vpu/env* assay can disregard the vast majority of the transcripts from defective proviruses, because the majority of the HIV-1 proviruses in patients carry deletions or splice site mutations in *vpu* and *env* (15–18). We acknowledge that the *vpu/env* assay can detect defective viruses which have intact Vpu and functional

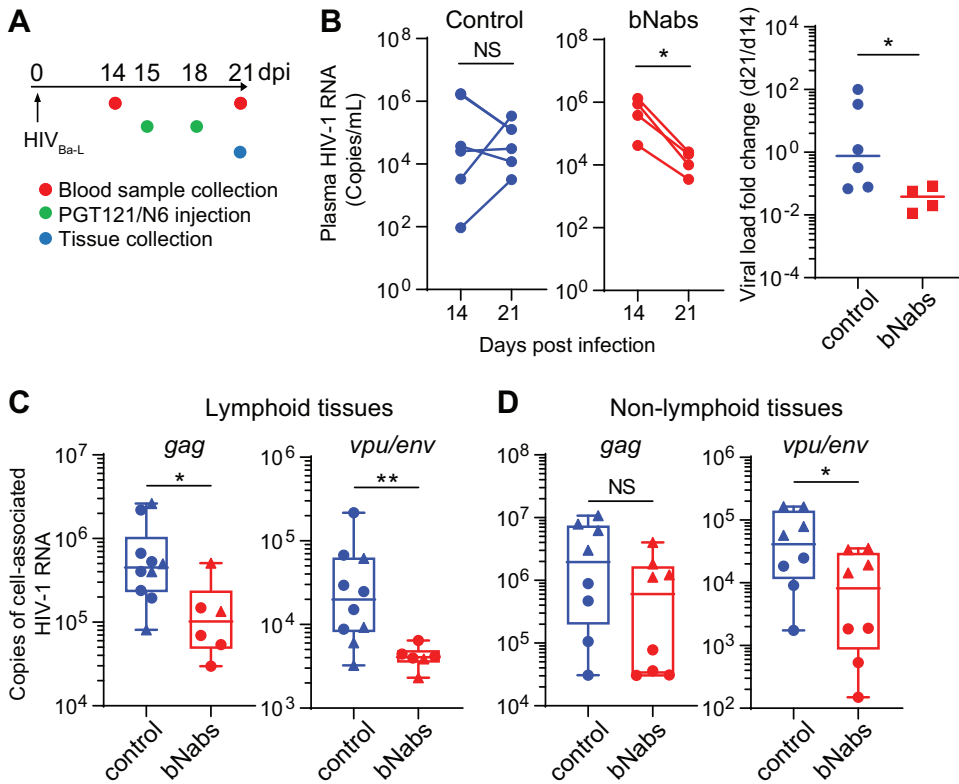


FIG 7 Quantification of *vpu/env* mRNA accurately assesses bNab efficacy in HIV-1-infected humanized mice. (A) Treatment scheme. (B and C) bNab treatment suppresses HIV-1 replication. MISTRG-6-15 mice engrafted with human cord blood CD34⁺ cells were infected with HIV_{Ba-L}. Plasma viral load was measured at days 14 and 21 postinfection. (C and D) Quantification of cell-associated *gag* and *vpu/env* RNA. In panel C, cell-associated HIV-1 RNA was measured in spleens (circle) or lymph nodes (triangle) of control or bNab-treated mice. In panel D, lungs (triangle) or livers (circle) were collected for the measurement of cell-associated HIV-1 RNA. (B) *P* values were calculated by paired *t* test. (C, D, and E) *P* values were calculated by the Mann-Whitney U test. *, *P* < 0.05; **, *P* < 0.01.

MSD and A4 or A5 splicing acceptor sites. Such defective viruses are probably rare and do not affect the quantification of replication-competent viruses by the *vpu/env* assay (Fig. 5). It is important to compare the *vpu/env* assay with other latent HIV-1 quantification methods, including an alternative version of Q-VOA using RT-PCR as the readout (48), *tat/rev*-induced limiting dilution assay (TILDA) (24), and intact proviral DNA assay (IPDA) (21). Although the *vpu/env* assay cannot replace the *gag* assay to measure cell-free HIV-1, it is a better assay to quantify cell-associated HIV-1 RNA for *in vivo* studies due to the presence of defective viruses (Fig. 6 and 7). In conclusion, we developed and validated a PCR-based assay to measure HIV-1 *vpu/env* mRNA. The *vpu/env* assay is sensitive and highly specific for HIV-1 *vpu/env* mRNA. It can serve as an alternative to existing assays to quantify cell-associated HIV-1 RNA. This assay is better suited for the evaluation of LRAs and bNab-based HIV-1 cure strategies.

MATERIALS AND METHODS

Plasmids, antibodies, and viruses. The following reagents were obtained through the NIH AIDS Reagent Program, Division of AIDS, NIAID, NIH: N6 heavy chain- and light chain-expressing plasmids (no. 12967 and 12966, from J. Huang and M. Connors), pNL4-3 (no. 114, from M. Martin), pNL4-3ΔEnv-enhanced green fluorescent protein (EGFP) (no. 11100, from H. Zhang, Y. Zhou, and R. Siliciano), HIV-1_{Ba-L} (no. 510, from S. Gartner, M. Popovic, and R. Gallo), and 10 HIV-1 clade B isolates (no. 11412). HA antibody heavy chain- and light chain- expressing plasmids, as well as pHIVoHA, were kindly provided by Michel Nussenzweig. Codon-optimized PGT121 heavy chain- and light chain-expressing plasmids were kindly provided by Dennis Burton. PGT12, N6, and anti-HA antibodies were produced by transfecting the FreeStyle 293-F cells (Thermo Fisher). pNL4-3-Δ6-drEGFP and the packaging vector pC-Help were kindly provided by Robert Siliciano. HIVoHA and NL4-3-Env(Ba-L)-Δnef-EGFP were prepared by transfecting HEK293T with pHIVoHA and pNL4-3-Env(Ba-L)-Δnef-EGFP. NL4-3ΔEnv-EGFP was prepared with viral vector and NL4-3

TABLE 2 qPCR conditions

qPCR component or condition	Concn		Cycle length	No. of cycles
	Stock	Final		
TaqMan Universal PCR master mix	2×	1×		
Forward primer	10 μM	500 nM		
Reverse primer	10 μM	500 nM		
Probe	2.5 μM	125 nM		
Cycle temperature				
95°C			2 min	
95°C			2 s	40
60°C			20 s	40

envelope-expressing plasmid. NL4-3-Δ6-drEGFP was prepared with viral vector, NL4-3 envelope-expressing plasmid, and pC-Help. For animal infection, HIV-1_{BaL} was prepared from phytohemagglutinin (PHA)-stimulated CD8-depleted PBMCs from healthy donors. Concentrated viral stocks were prepared using the Lenti-X concentrator (TaKaRa).

Flow cytometry analysis. Antibodies, which included mouse CD45 (clone 30-F11), human CD45 (clone HI30), human CD3 (clone HIT3a), human CD4 (clone OKT4), human CD8 (clones HIT8a and RPA-T8), human CD14 (clone M5E2), human NKp46 (clone 9E2), and human IgG Fc (clone QA19A42), were purchased from BioLegend. Anti-HA antibody (clone GG8-1F3.3.1) was purchased from Miltenyi Biotec. Anti-HIV-1 p24 (clone KC57-RD1) was purchased from Beckman Coulter, and anti-HIV-1 p24 (clone KC57-FITC) was obtained from the NIH AIDS Reagent Program. For intracellular staining, cells were fixed with Cytofix/Cytoperm (BD Biosciences). Annexin V and 7-aminoactinomycin D (7-AAD) viability staining solution (BioLegend) were used for the detection of dead and apoptotic cells.

Human subjects. HIV-1-positive adult patients were recruited by the Infectious Diseases Clinic at Barnes-Jewish Hospital. Both male and female patients were included. All patients were on ART and had maintained undetectable plasma HIV-1 RNA levels (<20 copies per ml) for at least 6 months prior to blood collection. This study was approved by Washington University School of Medicine Internal Review Board. All study participants were provided with written informed consent. To obtain PBMCs for *in vitro* studies, anonymous peripheral blood samples were acquired from the Mississippi Valley Regional Blood Center as waste cellular products. Human PBMCs or purified CD4⁺ T cells were cultured in RPMI 1640 medium containing 10% fetal bovine serum at 37°C and 5% CO₂. CD4⁺ T cells were isolated using the EasySep human CD4⁺ T-cell isolation kit (Stemcell Technologies).

In vitro HIV-1 infection. CD4⁺ T cells from healthy donors were stimulated with anti-CD3 antibody at 1 μg/ml⁻¹ (BioLegend), anti-CD28 antibody at 1 μg/ml (BioLegend), and 20 ng/ml IL-2 (BioLegend) for 3 days. Activated CD4⁺ T cells were infected with 10 clade B HIV-1 isolates and six clinical isolates, with NL4-3ΔEnv-EGFP. Then cells were cultured with 20 ng/ml interleukin 2 (IL-2) for 2 to 3 days. To generate latently infected cells, activated CD4⁺ T cells were infected with NL4-3-Δ6-drEGFP and cultured with 2 ng/ml IL-2 for 2 weeks. Then, GFP-negative (GFP⁻) cells were sorted and reactivated by 50 ng/ml PMA (Sigma) plus 1 μM ionomycin (Sigma) for 24 h.

Latency reversal agents and in vitro treatment. Patient blood CD4⁺ T cells were treated in the presence of 10 μM T-20 (AIDS Reagent Program no. 9409) and 5 μM raltegravir (AIDS Reagent Program no. 11680) with latency-reversing agents (LRAs) at the following concentrations: 10 nM bryostatin-1 (Sigma), 1 μM JQ1 (Selleck), 40 nM romidepsin (Sigma), and 50 ng/ml PMA (Sigma) plus 1 μM ionomycin (Sigma), or with medium alone plus dimethyl sulfoxide (DMSO) for all single and combination treatments. The concentrations of LRAs were chosen based on a previously published *in vitro* study (18). No fewer than 2.5 million cells were used in each group of cells. Because of variable yields of CD4⁺ T cells, a few agents were not tested in all individuals. After 18 to 24 h of LRA treatment, cells of each group were harvested and lysed with 0.6 ml of TRIzol reagent (Invitrogen) for the quantification of HIV-1 RNA transcripts.

Measurement of cell-associated HIV-1 RNA. To quantify cell-associated HIV-1 RNA, total cellular RNA was isolated using the Direct-zol RNA Miniprep Plus kit (Zymo Research), which incorporates a DNase I digestion step to eliminate cellular DNA. First-strand cDNA was synthesized using SuperScript III reverse transcriptase (Invitrogen) according to the manufacturer's procedures. Real-time PCR was performed using TaqMan Universal PCR master mix (Applied Biosystems) on an ABI QuantStudio3 real-time PCR machine. The qPCR conditions are listed in Table 2. To quantify HIV-1 RNA in infected cells *in vitro*, each qPCR mixture contained cDNA generated from 0.5 million total CD4⁺ T cells. To measure latency reversal in patient samples, each PCR mixture contained cDNA generated from 0.5 million patient CD4⁺ T cells. The detection limit for the qPCR assays is 5 copies of HIV-1 RNA per million cells. Primers and probes used for HIV-1 *gag* and *tat/rev* mRNA measurement were described previously (15, 23). Probes and primers are listed in Table 1. Reverse primer 4R was chosen in this study.

Generation of qPCR standard. To generate a DNA standard for qPCR assays, primary CD4⁺ T cells were infected with HIV_{NL4-3}. Total cellular RNA was extracted for reverse transcription. The cDNA fragments amplified by *gag*-, *vpu/env*-, or *tat/rev*-specific PCR primers were introduced into a TOPO-TA cloning plasmid (Thermo Fisher). The TOPO-TA cloning plasmids containing the PCR fragment were used as a qPCR standard.

Quantitative viral outgrowth assay. Q-VOA was adapted as previously described (49). On day 0, CD4⁺ T cells from HIV-1-positive individuals on suppressive ART were isolated and plated at 1.0×10^6 , 0.5×10^6 , and 0.2×10^6 cells/well before stimulation with anti-CD3/CD28 antibodies for 3 days. CD8-depleted PHA-stimulated PBMCs from healthy donors were added at day 0 and day 7 after costimulation. Briefly, CD8⁺ T cells were depleted using MojoSort human CD8 nanobeads (BioLegend) and subsequently stimulated with 20 ng/ml IL-2 (BioLegend) and 17 μ g/ml PHA-L (Sigma) for 72 h. At day 7, half of the cells were harvested for quantification of HIV-1 *gag* and *vpv/env* mRNA. The remaining cells were cultured for another 11 to 14 days before collecting culture supernatant for HIV-1 p24 ELISA (XpressBio).

Correlation analysis of *vpv/env* transcripts and Env expression in HIV-1-infected cells. Activated primary CD4⁺ T cells were infected with NL4-3 Δ Env-EGFP and cultured with 20 ng/ml IL-2 for 3 days. Env-GFP^{Bright}, Env-GFP^{dim}, and Env-GFP⁻ cells were sorted based on GFP intensity. To isolate cells with surface Env expression, activated primary CD4⁺ T cells were infected with NL4-3-Env(Ba-L)- Δ nef-EGFP and cultured with 20 ng/ml IL-2 for 3 days. Infected cells were incubated with PGT121 (10 μ g/ml) for 30 min at 4°C and washed twice with phosphate-buffered saline (PBS). Cells were then incubated with anti-human IgG Fc antibody for 20 min at 4°C. IgG-Fc^{Bright}, IgG-Fc^{dim}, and GFP⁻ cells were purified by FACS. Cell-associated HIV-1 RNA was quantitated by the *gag* and *vpv/env* assays.

Antibody-mediated killing assay with or without patient CD4⁺ T cells. CD8-depleted healthy donor PBMCs were plated in 48-well plates (0.3 to 0.5 million cells per well) and then infected with HIVoHA at $1,200 \times g$ at room temperature for 2 h. Cells were then washed twice with PBS to remove cell-free viruses and cultured in fresh medium containing 20 ng/ml IL-2 and 3 μ g/ml anti-HA or anti-HA-GRLR antibodies. At day 4 postinfection, the percentage of HA⁺ Gag⁺ cells in CD3⁺ CD8⁻ T cells of each group were measured by flow cytometry. To quantify residual HIV-1 RNA, total cellular RNA was isolated from antibody-treated or control groups. Cell-associated HIV-1 RNA was measured by the *gag* and *vpv/env* assays.

To measure ADCC, human blood NK cells were purified using the EasySep human NK cell isolation kit (catalog no. 17955; Stemcell Technologies). HIVoHA-infected primary CD4⁺ T cells were cocultured with autologous NK cells (1:1 effector to target ratio) for 6 h. Anti-HA or anti-HA-GRLR antibodies were provided at 3 μ g/ml. The frequency of HA⁺ Gag⁺ 7-AAD⁻ cells in CD3⁺ CD8⁻ T cells was measured by flow cytometry.

To measure ADCP, blood monocytes were isolated using the MojoSort human CD14 selection kit (catalog no. 480026; BioLegend) and stimulated with 50 ng/ml macrophage colony-stimulating factor (M-CSF, catalog no. 574806; BioLegend) and 50 ng/ml granulocyte-macrophage colony-stimulating factor (GM-CSF, catalog no. 572904; BioLegend) for 6 days. At day 6, the differentiated macrophages were treated with Accutase (catalog no. 423201; BioLegend) and replated at 0.25 to 0.5 million cells/well in a 48-well non-tissue-culture-treated plate and rested overnight before coculture with HIVoHA-infected autologous CD4⁺ T cells at a 1:1 effector to target ratio. Nonadherent cells were collected from the culture medium at 6 h post coculture. The percentage of remaining infected cells (CD14⁻ CD3⁺ CD8⁻ HA⁺) was determined by flow cytometry.

To test whether the *vpv/env* assay could be accurate when samples contained a large amount of defective HIV-1 transcripts, CD8-depleted healthy donor PBMCs were cultured and infected with HIVoHA with or without antibody treatment as described above. At day 4 postinfection, infected cell cultures (contained <5% HA⁺ Gag⁺ cells) were diluted with untreated CD4⁺ T cells of HIV-1 patients on ART at a ratio of 1 to 10,000. After dilution, the frequency of HA⁺ Gag⁺ cells was reduced to less than 5 per million. The dilution was performed right before RNA extraction to avoid T-cell alloreactivity. After RNA extraction and reverse transcription, cell-associated HIV-1 RNA was quantitated by the *gag* and *vpv/env* assays.

Generation of humanized MISTRG-6-15 mice. All animal experiments were approved by the Institutional Animal Care and Use Committee of the Washington University School of Medicine. The immunodeficient mouse strain named MISTRG-6-15 was generated by Regeneron Pharmaceuticals and the Richard Flavell laboratory at Yale University. The MISTRG-6-15 mouse carries knock-ins of human M-CSF, GM-CSF, IL-3, *SIRPA*, thrombopoietin (THPO), IL-6, and IL-15 coding genes on a BALB/c-*Rag2*^{-/-} *Il2rg*^{null} background (50–52). To generate humanized mice, human cord blood CD34⁺ cells were isolated using the EasySep CD34 positive selection kit II (Stemcell Technologies). Newborn mice (1 to 3 days old) were engrafted with 20,000 cord blood CD34⁺ cells by intrahepatic injection. Reconstitution of human CD45⁺ cells in blood was determined 9 weeks postengraftment.

HIV-1 infection, antibody treatment, and viral RNA quantification in humanized mice. At 10 weeks postengraftment, the MISTRG-6-15 mice were infected with HIV-1_{Ba-L} (10 ng p24 per mouse) by retro-orbital injection. HIV-1 bNabs PGT121 and N6 were injected intraperitoneally at a dose of 500 μ g per mouse (~20 mg/kg) twice at day 15 and day 18 postinfection. To quantify plasma HIV-1 RNA, blood samples were collected by retro-orbital or submandibular bleeding and processed using Quick-RNA viral kits (Zymo Research) for extraction of viral RNA. After reverse transcription using SuperScript III reverse transcriptase (Thermo Fisher), HIV-1 *gag* qPCR was used to quantify plasma HIV-1 RNA levels. To quantify HIV-1 infection in the tissues, tissue RNA was extracted using Direct-zol RNA kits (Zymo Research). Both HIV-1 *gag* and *vpv/env* RT-qPCR assays were used to quantify tissue HIV-1 RNA.

Statistical analysis. Statistical analyses were performed using Prism 9 (GraphPad). The methods for statistical analysis are included in the figure legends.

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