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Presenteeism and Absenteeism Before and After Total Hip and Knee Arthroplasty

Mark Alan Fontana, PhD^{a,b}, Wasif Islam, BS^{c,a}, Michelle A. Richardson, BS^{d,a}, Cathlyn K. Medina, BA^a, Alexander S. McLawhorn, MD, MBA^{a,e}, Catherine H. MacLean, MD, PhD^{a,f}

^aCenter for the Advancement of Value in Musculoskeletal Care, Hospital for Special Surgery, New York, NY, USA

^bDepartment of Population Health Sciences, New York, NY, USA

^cWeill Cornell Medical College, New York, NY, USA

^dUniversity of Rochester School of Medicine and Dentistry, Rochester, NY, USA

^eDepartment of Orthopedics, Hospital for Special Surgery, New York, NY, USA

^fDepartment of Medicine, Weill Cornell Medical College, New York, NY, USA

Abstract

Background: Absenteeism is costly, yet evidence suggests that presenteeism—illness-related reduced productivity at work—is costlier. We quantified employed patients' presenteeism and absenteeism before and after total joint arthroplasty (TJA).

Methods: We measured presenteeism (0–100 scale, 100 full performance) and absenteeism using the World Health Organization's Health and Work Performance Questionnaire before and after TJA among a convenience sample of employed patients. We captured detailed information about employment and job characteristics and evaluated how and among whom presenteeism and absenteeism improved.

Results: In total, 636 primary, unilateral TJA patients responded to an enrollment email, confirmed employment, and completed a pre-operative survey (mean age: 62.1, 55.3% female). Full at-work performance was reported by 19.7%. Among 520 (81.8%) who responded to a one-year follow-up, 473 (91.0%) were still employed, and 461 (88.7%) had resumed working. Among patients reporting at baseline and one year, average at-work performance improved from 80.7 to 89.4. A Wilcoxon signed-rank test indicated that post-operative performance was significantly higher than pre-operative performance ($p < 0.0001$). The percentage of patients who reported full at-work performance increased from 20.9% to 36.8% ($\text{delta} = 15.9\%$, 95% CI = [10.0%, 21.9%], $p < 0.0001$). Presenteeism gains were concentrated among patients who reported *declining* work

Corresponding Author: Mark Alan Fontana, Ph.D., 535 East 70th Street, New York, NY 10021, Telephone: (917) 260-3907, fontanam@hss.edu.

*All work for this study was performed at Hospital for Special Surgery

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performance *leading up to surgery*. Average changes in absences were relatively small. Combined, the average monthly value lost by employers to presenteeism declined from 15.3% to 8.3% and to absenteeism from 16.9% to 15.5% (i.e., mitigated loss of 8.4% of monthly value).

Conclusion: Among employed patients before TJA, presenteeism and absenteeism were similarly costly. After, employed patients reported increased performance, concentrated among those with declining performance leading up to surgery.

Keywords

presenteeism; absenteeism; return to work; performance; productivity; employment

Introduction

Illness can reduce worker effectiveness through absenteeism and presenteeism. Absenteeism refers to time spent away from work. Presenteeism refers to decreased productivity or performance at work because of illness (1). A cross-sectional study of randomly dialed US workers from 2001–2002 estimated that the lost value from absenteeism and presenteeism from health conditions was \$225.8 billion per year, with more than 60% attributable to presenteeism (2). More recent evidence suggests presenteeism accounts for more economic loss than absenteeism (3),(4) across different occupations (5) and medical conditions (6),(7), (8).

Musculoskeletal conditions cost approximately \$213 billion in direct and indirect costs in the US in 2011 (9) and accounted for the greatest proportion of lost productivity in the workplace (10). Several studies have shown increased presenteeism in patients with musculoskeletal conditions (4) such as arthritis,(1),(11),(12),(13),(14),(15) chronic knee pain,(16) and low back pain (17),(18). Although total joint arthroplasties (TJAs) are among the most common procedures (19),(20), little is known about their impact on worker performance.

Many orthopedic studies measuring work-related outcomes focus on return to work (21). Although existing evidence indicates TJA improves workplace performance,(22),(23),(24) to our knowledge, no existing study estimates the economic cost of presenteeism and absenteeism around TJA. One previous study investigated absenteeism and presenteeism six and 24 months after knee replacement, but not before surgery (25). Other studies have evaluated the impact of non-TJA musculoskeletal surgery on presenteeism (26),(27).

This study quantifies presenteeism and absenteeism among patients with advanced osteoarthritis of the hip and knee prior to primary, unilateral total hip or knee arthroplasty (THA or TKA) and evaluates time to return to work and changes in presenteeism and absenteeism among a convenience sample of employed patients six months and one year after surgery.

Materials and Methods

This prospective cohort study was based on a convenience sample of patients visiting one single high-volume urban musculoskeletal specialty hospital who were at least 18 years old,

not retired, and scheduled to undergo primary unilateral THA or TKA with one of 40 surgeons between August 2017 and October 2018 (and willing to participate if eligible). Patients were emailed once 2–4 weeks before surgery, inviting them to provide consent online, confirm employment and eligibility, and complete a pre-operative survey (28),(29). We only attempted to enroll patients scheduled to undergo arthroplasty who were contactable through email (i.e., had a valid email address on file) with a single email outreach. We did not attempt to contact patients for enrollment in-person during any of their pre-surgical screening or other appointments, nor via telephone, nor letter, nor through repeated email requests. The resulting cohort of patients who responded to this single email invitation constituted the convenience sample. Patients were considered enrolled if they affirmed being employed and completed the online presenteeism questions before surgery. Patients receiving workers' compensation were excluded. Enrolled patients were invited to complete follow-ups six and 12 months later. Patients who did not complete a follow-up were reminded twice, called, and, for 12 month follow-ups, mailed paper versions. The study was approved by our hospital's Institutional Review Board.

Surveys

Presenteeism and absenteeism were measured using the World Health Organization's Health and Work Performance Questionnaire (WHO-HPQ) (30),(31). Although systematic reviews have found no superior instrument,(12),(32) the WHO-HPQ was designed to work across a variety of job types (31) and health conditions (33) and has demonstrated validity, reliability, and sensitivity to measuring changes in presenteeism and absenteeism (30),(31),(34).

The presenteeism battery asked three questions on a scale of 0–10 (worst performance to top performance): “The usual performance of most workers in a job similar to yours?”, “Your usual job performance over the past year or two?”, and “Your overall job performance on the days you worked during the past 4 weeks?” We multiplied the third question by 10 to create an “absolute presenteeism” score, the percentage of time spent productively at work over the past four weeks (35). We also compared the second question to the third to gauge how presenteeism changed *leading up to surgery*.

The absenteeism battery asked about the past 28 days as well as the past seven. The former included questions about the number of workdays missed for personal health; for other reasons (e.g. vacation); and days worked early, late, or overtime. We added a question about work missed for family members' health. The latter (7-day absenteeism) included questions about the number of hours expected to work and actually worked, from which we estimated hours absent from work per month. We added a question about the number of *days* expected to work per week.

We also asked about job status; job industry; main responsibilities at work; employer accommodations; commute to work; physical activities (including job requirements and general difficulty); and a single assessment numerical evaluation (SANE) of joint health. Follow-up surveys were identical to each other, asking about changes in employment status, and, if still employed, whether and when work was resumed. Presenteeism and absenteeism questions were also repeated.

Analyses

Baseline patient demographics and survey responses were summarized with descriptive statistics. Changes in employment status, and, among those still employed, the number who resumed working, and their average and median days to return, were calculated. Differences in days to return between THA and TKA patients were tested with a two-sided Wilcoxon rank-sum (Mann-Whitney) test.

Our primary outcome was change in absolute presenteeism between baseline and one year. We first evaluated its mean and distribution. Noting non-normality, we evaluated whether absolute presenteeism increased between baseline and one year with a Wilcoxon matched-paired signed-rank test. We also compared the percentage of participants who reported full (100/100) at-work performance at baseline and one year with a two-sided, two-sample test of proportions. We repeated these analyses between baseline and 6 months.

We stratified our analysis of changes in absolute presenteeism in two ways. First, we stratified between patients who reported (1) *full (100/100) pre-operative* at-work performance and (2) *less than full pre-operative* at-work performance, applying the same methods as above. We also analyzed which patient characteristics (age, sex, body mass index, race, ethnicity, type of joint replacement) and employment characteristics (employment status, job industry, main job responsibilities, job accommodations, commute, job involving various physical activities, and difficulty with various physical activities) were associated with reporting full pre-operative performance (dichotomous outcome) using multivariable linear regressions. Second, we stratified based on type of joint (hip or knee) and whether patients reported static (identical), declining, or improving performance *leading up to surgery*. We also analyzed which patient and employment characteristics were associated with reporting declining performance leading up to surgery (dichotomous outcome) using multivariable linear regressions.

We next detailed changes in 28-day and 7-day absenteeism, evaluating the mean and distribution of each relevant metric. However, once again, noting non-normality, we evaluated whether each metric changed with Wilcoxon matched-paired signed-rank tests.

Finally, we quantified the monthly average value an employer loses to presenteeism and absenteeism by combining the two measures to be on the same scale (in percentage terms). Following a human capital accounting approach,(4),(5),(36) for each patient we assumed that the percentage of time spent absent from scheduled work was lost to absenteeism (including time spent away because of own health, family health, and for other reasons including vacation), and the percentage of remaining time spent present but unproductive at work was lost to presenteeism. We performed these analyses at baseline and one year after surgery (among patients answering both and who were still employed and back to work) and reported how the associated average percentages changed.

All analyses were performed with Stata/SE version 14.2 for Windows.

Results

A single email inviting potential subjects to participate was sent to 4,233 patients. Among the resulting convenience sample of 958 patients who responded, 322 (33.6%) did not meet our enrollment criteria (Figure 1). An additional 47 originally met all enrollment criteria and filled out a baseline survey, but ended up delaying or cancelling their surgery; 39 either never rescheduled or did not re-take the survey before their new surgery (their responses were therefore dropped); 8 rescheduled and re-took the survey before their new surgery (only their final responses were counted). One participant took a baseline survey and met enrollment criteria but withdrew from the study. The remaining 636 patients enrolled in the study, among whom 482 (75.8%) responded at six-months, and 520 (81.8%) at one-year (Figure 1). Among enrolled patients, 325 underwent THA, and 311 underwent TKA.

Before surgery, mean age was 62.1; a majority of patients were female (55.3%) and white (88.1%) (Table 1). Mean body mass index (BMI) was 29.9 kg/m². In terms of employment, 73.1% reported full-time employment, 9.1% part-time, and 17.8% self-employed. Moreover, 19.5% reported some type of employer job accommodation. Before surgery, average at-work performance was 78.9/100 (median 80.0/100), and 19.7% reported full (100/100) at-work performance. Modal job industry and main job responsibilities reported were “Health Care and Social Assistance” (15.4%) and “Professional” (33.5%), respectively (Appendix Table 1). Patients reported having jobs that most consistently require standing, walking, and sitting (Appendix Table 2), and difficulty with squatting (Appendix Table 3).

Among one-year respondents, 473 patients were still employed (91.0%), among whom 461 had resumed work. Patients reported returning to work on average 51.1±46.9 days after surgery (median 42 days), with a significant, meaningful difference between THA (mean 44.2±38.4 days, median 33.5 days) and TKA (mean 58.9±53.5 days, median 46.0 days) (p=0.0007).

Among patients who responded at both baseline and follow-up, mean at-work performance moved from 81.9 to 89.0 at six months and 80.7 to 89.4 at one year (Table 2). Both Wilcoxon signed-rank tests yielded p<0.0001, indicating significant increased performance between baseline and each follow-up. Among THA patients these mean numbers were 80.9 to 90.0 at six months and 79.9 to 89.4 at one year, and among TKA patients the numbers were 82.9 to 88.0 and 81.6 to 89.3 (Appendix Table 4). All Wilcoxon signed-rank tests yielded p<0.0001.

The overall proportion of patients who reported full performance increased from 21.2% at baseline to 37.2% at six months (delta=15.9%, 95% CI = [10.0%, 21.9%], p<0.0001) and from 20.9% to 36.8% at one year (delta = 15.9%, 95% CI = [10.0%, 21.8%], p<0.0001) (Table 2). Among THA patients these numbers were 21.0% to 42.0% (p<0.0001) at six months and 21.6% to 35.5% at one year (p<0.0001) (Appendix Table 4). Among TKA patients, they were 21.5% to 32.1% (p=0.0151) and 20.1% to 38.3% (p<0.0001) (Appendix Table 4).

Stratifying by *pre-surgical* performance, among patients who did *not* report 100/100 pre-surgical performance, mean performance moved from 77.0 to 87.5 at six months and from

75.6 to 88.1 at one year (Table 2). Both Wilcoxon signed-rank tests yielded $p < 0.0001$, indicating significant increases in performance. Among patients who reported 100/100 pre-surgical performance, mean performance moved from 100 to 94.6 and from 100 to 94.1, respectively (Table 2). Both Wilcoxon signed-rank tests yielded $p < 0.0001$, indicating decreases in performance at each time point. That said, among patients who reported 100/100 pre-surgical performance, 68.5% remained fully productive at one year.

Reporting full (100/100) performance before surgery was significantly associated with employment type, as well as lack of difficulty standing, lifting, and walking, but not with patient nor other employment characteristics. In particular, self-employed workers were 9.1% less likely than full-time employees to report full performance before surgery (95% CI = [-17.3%, -0.99%], $p = 0.028$).

Moving on to performance *leading up to surgery*, 53.0% reported *static* performance in the year or two leading up to surgery; 5.0% reported *improving* performance; and 42.0% reported *declining* performance. Patients with declining performance leading up to surgery, undergoing THA and TKA procedures, reported average performance gains between baseline and one year from 68.7 to 88.9 (delta=20.2) and 70.1 to 89.5 (delta=19.3), respectively (Appendix Table 5). Both associated rank tests were significant ($p < 0.0001$). Patients with static or improving performance leading up to surgery had more or less flat presenteeism between baseline and one year (with averages in the 80–90 range before and after surgery). This means that the overall gains in average performance were concentrated among those with declining productivity leading up to surgery. This is driven for the most part by their initially lower scores. These results generally held at six months: the overall average performance gains for patients with declining performance leading up to surgery were 17.2 and 14.1, for patients undergoing THA and TKA, respectively (Appendix Table 5).

Reporting declining performance leading up to surgery was associated with being younger; having a job that involves squatting, lifting, or walking; difficulty with all physical activities; and having job accommodations. In particular, while 37.2% of patients reporting no job accommodations had declining performance leading up to surgery, among those who reported job accommodations of “change time to start and stop work” or “someone to help you out” an *additional* 29.5% had declining performance leading up to surgery (95% CI = [14.1%, 44.8%], $p < 0.001$ and 95% CI = [8.2%, 50.7%], $p = 0.007$, respectively).

For 28-day absenteeism, between baseline and one year, average reported days missed because of one’s own health decreased (1.15 to 0.68 days per month), stayed nearly constant because of family’s health (0.25 to 0.26 days), increased for other reasons (2.08 to 2.40 days), and decreased for extra work (6.16 to 5.20 days) (Table 2). No average changes were large in magnitude. In fact, the first three components net approximately zero average change in absences. The exception was perhaps the decrease in average extra work (about one day per month); however, the Wilcoxon signed-rank test did not indicate the underlying distributional decrease was significant ($p = 0.0829$). The associated test for problems with one’s own health did indicate decreased absenteeism along that dimension ($p < 0.0001$). The other two Wilcoxon tests were not significant ($p = 0.4032$ and 0.0941 , respectively). Between

baseline and 6 months results were similar, although there was additional evidence of decreased absenteeism because of other reasons including vacation ($p < 0.0001$) (Table 2).

All 7-day absenteeism metrics stayed approximately the same on average between each pair of time points, with associated non-parametric tests indicating non-significant distributional changes (Table 2).

Finally, we turn to the average monthly value lost to presenteeism and absenteeism, combining the two measures to be on the same scale (in percentage terms). Among patients who had THA, before surgery, given the above estimates, an average 32.3% of monthly value was lost to absenteeism and presenteeism combined. Breaking this out, 16.4% was attributable to absenteeism and 15.9% was attributable to presenteeism (Figure 2). By one year, these numbers moved to 16.1% attributable to absenteeism and 7.7% to presenteeism. The mitigated losses were therefore, on average, 8.5% of monthly value. For patients who had TKA, results were similar. Before surgery, an average 32.0% was lost to absenteeism and presenteeism combined (with 17.4% attributable to absenteeism and 14.6% to presenteeism). By one year, these numbers moved to 14.7% and 9.0%, respectively, for an average mitigated loss of 8.4% of monthly value (Figure 3).

Discussion

We demonstrated that patients reported substantial presenteeism (and to a lesser extent, absenteeism) before elective TJA. Our estimates were consistent with past, general reports of presenteeism being costly (3),(4), with the additional, key findings that (1) patients reported moderate ~10% average improvement in presenteeism one year after surgery and (2) the percentage reporting full performance increased from 21% to 37%. We also found that among patients who returned to work, those who had THA returned an average ~2 weeks sooner than those who had TKA. With respect to absenteeism, we found average changes between baseline and one year were small in magnitude (even if the underlying distributional changes were statistically significant), with the exception that patients reported working extra about one day less per month on average one year after surgery (although the underlying distributional change was not statistically significant).

Two related studies, measuring pre- and post-operative presenteeism around orthopedic procedures, involved hand surgery. One measured post-surgical improvement in presenteeism, but on a small, non-US sample (26), and another focused associations with six-month “work role functioning” (combining return to work and presenteeism) (27). For TJA, another study included 38 patients employed pre-operatively, which focused on return to work, but also reported that workplace performance increased by 27% (higher than our results), yet the exact instrument is not specified (23). Another study measured whether “performance at work [had] improved since the operation,” finding 63% of THA patients answered affirmatively, but their focus was on return to work, and they did not measure pre-operative performance (22).

The closest study to ours measured presenteeism and sick leave on 76 workers at six and 24 months after TKA (25). Although we did not measure 24-month outcomes, we collected pre-

surgical outcomes, allowing assessment of *improvements* after surgery. Their study reported that workers were on average 81.6/100 productive six months after TKA, which is similar to our 88.0/100. Assuming a 40-hour work week (and four 5-day work weeks per month), their study reported on-average 0.3 days sick per month six months after TKA, which was similar to our 0.2 personal health days per month. At twelve months, we found 89.3/100 average performance and 0.6 days sick per month among TKAs. Their study did not report 12 month outcomes, but at 24 months they reported TKA workers were on average 85.8/100 productive and took 0.1 days sick per month. Differences in absenteeism likely reflect the fact that that many patients attend one-year but not two-year follow-up appointments.

More broadly, one paper (31), which does not focus on a population undergoing surgery, also reported absolute presenteeism using the WHO-HPQ among several professions: reservation agents, customer service agents, railroad engineers, and executives. For the latter group, which is most similar to our sample, the authors reported that 8.2% of participants report between 0–7 on the original 0–10 presenteeism scale, 80.0% reported 8–9, and 11.9% reported 10/10. For our sample, between baseline and one year, the percentage of patients moved from 29.8% to 8.2% reporting 0–7; from 49.4% to 55.0% reporting 8–9; and from 20.9% to 36.8% reporting 10. So, on the low end, our patient population went from reporting a much higher incidence of low at-work productivity to reporting a comparable rate. This is consistent with related work finding elevated absolute presenteeism on a population with arthritis (33), which is exactly what TJA is meant to alleviate. On the higher end, we had a lower percentage of patients reporting high-but-imperfect productivity, but a higher percentage reporting perfect productivity both before, and to an even greater extent, after surgery. This is consistent with these patients being *actually* more productive, or else being more optimistic in their self-reports.

In terms of stratified analyses, we found performance gains after surgery were almost entirely concentrated among patients who reported *declining* performance in the year *leading up* to surgery, which in turn was associated with being younger, certain physical activities, and job accommodations. Moreover, these patients had lower baseline at-work performance, so it is not surprising that, given greater room for improvement, they indeed improved more. An additional hypothesis is that patients with static or improving presenteeism before surgery had more stable OA, allowing them to learn how to work around their condition or adjust employment, and hence had better baseline work performance. This could also explain the relatively low levels of average absenteeism we reported, as well as the high percentage of patients with stable at-work performance leading up to surgery, and their lack of improvement. We are not aware of other research investigating declining work performance before surgery and its association with changes in outcomes.

Our study is also novel in its calculation of mitigated loss in value to an employer, given that once patients returned to work, they were more present and productive on average. Altogether, average improvements that we estimated one year after surgery represented approximately 8.4% of monthly value to an employer that would have otherwise been lost to presenteeism and absenteeism. Although employees might have the good fortune to retain their full salaries while off, it is important to acknowledge that employers likely do not reap

any productivity or output gains *until* a patient returns to work. Even then, the impact may not be immediate (the soonest we measured was 6 months after surgery). From an employer's perspective, there is therefore a sort of up-front "cost" in terms of an employee being out of work because of their surgery, but a medium- to long-term sustained benefit in terms of improved performance.

There were limitations to this study. First, it was based on a convenience sample from a single high-volume institution located in a large urban setting. As such, it is likely that enrolled patients were not representative of employed patients undergoing TJA broadly. However, we are aware of no other study reporting this information about patients before and after TJA. Future work would benefit from conducting a representative study of TJA patients. Second, we measured presenteeism and absenteeism with self-reported surveys, which are subject to recall bias and misreporting. It would be ideal to get absenteeism and performance reports directly from employers, but this would have been infeasible. We also did not account for "frictions" incurred by employers, such as resources spent temporarily replacing a patient, or the opportunity cost of their colleagues' time in terms of taking over work. To the extent that patients' work can be handled seamlessly while absent, it is also possible that our value calculations may be inflated from an employer's perspective (37). We also did not measure patients' work-related benefits (beyond excluding workers' compensation recipients), which may affect absenteeism and presenteeism, and would be necessary to better appraise the impact of these phenomena on patients. Next, for 28-day absenteeism, it was unclear how to compare days absent from work with days working extra; one day working extra does not necessarily offset one day of absence. It was therefore not possible for us to evaluate the extent to which extra work might "compensate" for absences or reduced performance, nor how this changed over time. This led us to omit working extra days from the absenteeism component of our value calculations, which means the relative cost of absenteeism at each time point was likely inflated. Future studies should endeavor to ask how much extra patients work, not just the number of days they do so. We also did not know patients' salaries, so made calculations of lost value in percentage terms; this allowed us to combine absenteeism and presenteeism on the same scale, although not in dollars, which would have been ideal. Moreover, we did not explicitly account for whether patients had post-operative complications impacting their ability to work. However, these were implicitly factored into our calculations, given complications would have impacted how patients responded to follow-up absenteeism and presenteeism questions. But, we cannot say exactly how this subset of patients were affected. We also did not measure nor account for other painful joints (beside the one being operated on) nor back pain, which would likely be consequential for presenteeism and absenteeism. Finally, our sample was restricted to patients undergoing unilateral TJAs; patients undergoing bilateral total joint arthroplasty, revision arthroplasty, or other orthopedic procedures could differ, and further research is warranted to investigate how.

In conclusion, this is the first study to demonstrate how presenteeism and absenteeism among employed patients changed after TJA. Presenteeism and absenteeism were similarly costly in terms of average monthly value lost to employers among patients before having TJA. Patients reported significantly increased average work performance six months and one year after surgery, concentrated among patients whose self-reported performance declined

leading up to surgery. Although some measures of absenteeism significantly changed, the associated magnitudes were small; changes in presenteeism were more consequential.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Appendix

Appendix Table 1.

Job characteristics before surgery

Characteristic	Overall		Total Hip Arthroplasty		Total Knee Arthroplasty	
	(n = 636)		(n = 325)		(n = 311)	
What sector best describes your current job?	Number (%)		Number (%)		Number (%)	
Agriculture, Forestry, Fishing, and Hunting	1	0.2%	1	0.3%	0	0.0%
Utilities	4	0.6%	3	0.9%	1	0.3%
Construction	21	3.3%	10	3.1%	11	3.5%
Manufacturing	9	1.4%	4	1.2%	5	1.6%
Wholesale Trade	7	1.1%	2	0.6%	5	1.6%
Retail Trade	12	1.9%	6	1.8%	6	1.9%
Transportation and Warehousing	6	0.9%	3	0.9%	3	1.0%
Information	7	1.1%	4	1.2%	3	1.0%
Finance and Insurance	74	11.6%	32	9.8%	42	13.5%
Real Estate and Rental and Leasing	21	3.3%	10	3.1%	11	3.5%
Professional, Scientific, and Technical Services	87	13.7%	50	15.4%	37	11.9%
Management of Companies and Enterprises	23	3.6%	13	4.0%	10	3.2%
Administrative and Support and Waste Management and Remediation Services	5	0.8%	1	0.3%	4	1.3%
Educational Services	82	12.9%	46	14.2%	36	11.6%
Health Care and Social Assistance	98	15.4%	48	14.8%	50	16.1%
Arts, Entertainment, and Recreation	32	5.0%	20	6.2%	12	3.9%
Accommodation and Food Services	5	0.8%	3	0.9%	2	0.6%
Other Services (except Public Administration)	7	1.1%	4	1.2%	3	1.0%
Public Administration	10	1.6%	3	0.9%	7	2.3%
Other	81	12.7%	40	12.3%	41	13.2%
Missing	44	6.9%	22	6.8%	22	7.1%
Which category best describes your main job?	Number (%)		Number (%)		Number (%)	

Characteristic	Overall		Total Hip Arthroplasty		Total Knee Arthroplasty	
	(n = 636)		(n = 325)		(n = 311)	
Executive, administrator, or senior manager (e.g. CEO, sales VP, plant manager)	167	26.3%	83	25.5%	84	27.0%
Professional (e.g. engineer, accountant, systems analyst)	213	33.5%	122	37.5%	91	29.3%
Technical support (e.g. lab technician, legal assistant, computer programmer)	8	1.3%	5	1.5%	3	1.0%
Sales (e.g. sales representative, stockbroker, retail sales)	46	7.2%	24	7.4%	22	7.1%
Clerical and administrative support (e.g. secretary, billing clerk, officer supervisor)	36	5.7%	15	4.6%	21	6.8%
Service occupation (e.g. security officer, food service worker, janitor)	13	2.0%	7	2.2%	6	1.9%
Precision production and crafts worker (e.g. mechanic, carpenter, machinist)	14	2.2%	8	2.5%	6	1.9%
Operator or laborer (e.g. assembly line worker, truck driver, construction worker)	10	1.6%	2	0.6%	8	2.6%
Other	85	13.4%	37	11.4%	48	15.4%
Missing	44	6.9%	22	6.8%	22	7.1%
How do you get to work?	Number (%)		Number (%)		Number (%)	
Bike	4	0.6%	3	0.9%	1	0.3%
Drive	334	52.5%	164	50.5%	170	54.7%
Public Transportation	117	18.4%	56	17.2%	61	19.6%
Taxi	17	2.7%	12	3.7%	5	1.6%
Walk	22	3.5%	15	4.6%	7	2.3%
Work from home	85	13.4%	46	14.2%	39	12.5%
Other	12	1.9%	7	2.2%	5	1.6%
Missing	45	14.5%	22	6.8%	23	7.4%

NAICS = North American Industry Classification System

Appendix Table 2.

Frequency of job involving different physical activities

How often does your job involve...	Overall (n=636)											
	Always		Often		Sometimes		Seldom		Never		Missing	
	n	%	n	%	n	%	n	%	n	%	n	%
Squatting	17	2.7%	38	6.0%	97	15.3%	149	23.4%	273	42.9%	62	9.7%
Standing	113	17.8%	198	31.1%	184	28.9%	52	8.2%	37	5.8%	52	8.2%
Lifting	21	3.3%	47	7.4%	130	20.4%	186	29.2%	181	28.5%	71	11.2%
Walking	157	24.7%	204	32.1%	148	23.3%	39	6.1%	33	5.2%	55	8.6%
Sitting	247	38.8%	246	38.7%	63	9.9%	23	3.6%	5	0.8%	52	8.2%
How often does your job involve...	Total Hip Arthroplasty (n=325)											
	Always		Often		Sometimes		Seldom		Never		Missing	
	n	%	n	%	n	%	n	%	n	%	n	%

Squatting	10	3.1%	18	5.5%	53	16.3%	80	24.6%	136	41.8%	28	8.6%
Standing	59	18.2%	111	34.2%	92	28.3%	23	7.1%	15	4.6%	25	7.7%
Lifting	12	3.7%	23	7.1%	71	21.8%	92	28.3%	95	29.2%	32	9.8%
Walking	87	26.8%	101	31.1%	78	24.0%	16	4.9%	17	5.2%	26	8.0%
Sitting	132	40.6%	124	38.2%	32	9.8%	9	2.8%	4	1.2%	24	7.4%
How often does your job involve...	Total Knee Arthroplasty (n=311)											
	Always		Often		Sometimes		Seldom		Never		Missing	
	n	%	n	%	n	%	n	%	n	%	n	%
Squatting	7	2.2%	20	6.2%	44	13.5%	69	21.2%	137	42.2%	34	10.5%
Standing	54	16.6%	87	26.8%	92	28.3%	29	8.9%	22	6.8%	27	8.3%
Lifting	9	2.8%	24	7.4%	59	18.2%	94	28.9%	86	26.5%	39	12.0%
Walking	70	21.5%	103	31.7%	70	21.5%	23	7.1%	16	4.9%	29	8.9%
Sitting	115	35.4%	122	37.5%	31	9.5%	14	4.3%	1	0.3%	28	8.6%

Appendix Table 3.

Difficulty with different physical activities

How much difficulty do you have with...	Overall (n=636)													
	Extreme		A lot		Moderate		A little		None		Does not apply		Missing	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Squatting	257	40.4%	141	22.2%	87	13.7%	34	5.3%	10	1.6%	51	8.0%	56	8.8%
Standing	27	4.2%	113	17.8%	177	27.8%	166	26.1%	94	14.8%	4	0.6%	55	8.6%
Lifting	55	8.6%	104	16.4%	136	21.4%	146	23.0%	79	12.4%	54	8.5%	62	9.7%
Walking	60	9.4%	154	24.2%	205	32.2%	124	19.5%	33	5.2%	4	0.6%	56	8.8%
Sitting	17	2.7%	48	7.5%	127	20.0%	138	21.7%	245	38.5%	7	1.1%	54	8.5%
How much difficulty do you have with...	Total Hip Arthroplasty (n=325)													
	Extreme		A lot		Moderate		A little		None		Does not apply		Missing	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Squatting	122	37.5%	71	21.8%	53	16.3%	18	5.5%	3	0.9%	29	8.9%	29	8.9%
Standing	12	3.7%	55	16.9%	99	30.5%	75	23.1%	53	16.3%	2	0.6%	29	8.9%
Lifting	38	11.7%	65	20.0%	65	20.0%	61	18.8%	34	10.5%	31	9.5%	31	9.5%
Walking	33	10.2%	88	27.1%	111	34.2%	53	16.3%	10	3.1%	2	0.6%	28	8.6%
Sitting	13	4.0%	32	9.8%	84	25.8%	74	22.8%	90	27.7%	4	1.2%	28	8.6%
How much difficulty do you have with...	Total Knee Arthroplasty (n=311)													
	Extreme		A lot		Moderate		A little		None		Does not apply		Missing	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Squatting	135	41.5%	70	21.5%	34	10.5%	16	4.9%	7	2.2%	22	6.8%	27	8.3%
Standing	15	4.6%	58	17.8%	78	24.0%	91	28.0%	41	12.6%	2	0.6%	26	8.0%

Lifting	17	5.2%	39	12.0%	71	21.8%	85	26.2%	45	13.8%	23	7.1%	31	9.5%
Walking	27	8.3%	66	20.3%	94	28.9%	71	21.8%	23	7.1%	2	0.6%	28	8.6%
Sitting	4	1.2%	16	4.9%	43	13.2%	64	19.7%	155	47.7%	3	0.9%	26	8.0%

Appendix Table 4.

Statistical tests of changes in presenteeism and absenteeism at pairs of time points, stratified by joint

Total Hip Arthroplasty										
Presenteeism	Before Surgery to 6 Months After					Before Surgery to 1 Year After				
	N	Mean Before	Mean After	Mean	Rank P-value	N	Mean Before	Mean After	Mean	Rank P-value
Absolute Presenteeism, All Patients	224	80.9	90.0	9.0	<0.0001	231	79.9	89.4	9.5	<0.0001
Full Performance (Absolute Presenteeism = 100%)	224	21.0%	42.0%	21.0%	<0.0001*	231	21.6%	35.5%	0.1	0.001*
Absolute Presenteeism, Before Surgery < 100%	177	75.9	88.0	12.1	<0.0001	181	74.4	88.8	14.4	<0.0001
Absolute Presenteeism, Before Surgery = 100%	47	100.0	97.2	-2.8	0.0003	50	100.0	91.6	-8.4	<0.0001
Absenteeism (28-Day Recall) In the past 4 weeks (28 days), how many days did you...	Before Surgery to 6 Months After					Before Surgery to 1 Year After				
	N	Mean Before	Mean After	Mean	Rank P-value	N	Mean Before	Mean After	Mean	Rank P-value
... miss work because of problems with your physical or mental health?	214	0.89	0.61	-0.27	<0.0001	220	1.16	0.77	-0.39	<0.0001
... miss work because of problems with a family member's physical or mental health?	214	0.37	0.33	-0.04	0.2642	220	0.33	0.26	-0.07	0.1457
... miss work for any other reason (including vacation)?	214	2.05	1.91	-0.14	0.2581	220	1.86	2.53	0.67	0.0785
... come in early, go home late, work	212	6.22	5.84	-0.38	0.7105	219	6.26	5.41	-0.85	0.5795

extra from home, or work on your day off?										
Absenteeism (7-Day Recall)	Before Surgery to 6 Months After					Before Surgery to 1 Year After				
	N	Mean Before	Mean After	Mean	Rank P-value	N	Mean Before	Mean After	Mean	Rank P-value
How many days are you expected to work in a typical 7-day week?	219	4.87	4.82	-0.05	0.4267	228	4.92	4.85	-0.07	0.3547
How many hours does your employer expect you to work in a typical 7-day week?	218	36.59	36.86	0.27	0.3513	227	36.73	36.87	0.14	0.2252
About how many hours altogether did you work in the past 7 days?	219	36.61	37.12	0.51	0.254	228	36.50	37.35	0.85	0.1503
7-Day Absenteeism Score (hours per month)	218	-0.39	-1.38	-0.99	0.4381	227	0.63	-2.21	-2.84	0.4507
Total Knee Arthroplasty										
Presenteeism	Before Surgery to 6 Months After					Before Surgery to 1 Year After				
	N	Mean Before	Mean After	Mean	Rank P-value	N	Mean Before	Mean After	Mean	Rank P-value
Absolute Presenteeism, All Patients	209	82.9	88.0	5.2	<0.0001	209	81.6	89.3	7.7	<0.0001
Full Performance (Absolute Presenteeism = 100%)	209	21.5%	32.1%	10.6%	0.0151*	209	20.1%	38.3%	0.2	<0.0001*
Absolute Presenteeism, Before Surgery < 100%	164	78.2	87.0	8.8	<0.0001	167	77.0	87.4	10.4	<0.0001
Absolute Presenteeism, Before Surgery = 100%	45	100.0	91.8	-8.2	<0.0001	42	100.0	97.1	-2.9	0.0016
Absenteeism (28-Day Recall) In the past 4 weeks (28 days), how many days did you...	Before Surgery to 6 Months After					Before Surgery to 1 Year After				
	N	Mean Before	Mean After	Mean	Rank P-value	N	Mean Before	Mean After	Mean	Rank P-value
... miss work because of problems with	187	0.83	0.21	-0.62	<0.0001	189	1.14	0.57	-0.57	<0.0001

your physical or mental health?										
... miss work because of problems with a family member's physical or mental health?	187	0.18	0.18	0.00	0.4488	189	0.15	0.25	0.10	0.6449
... miss work for any other reason (including vacation)?	187	2.10	1.29	-0.81	0.0054	189	2.34	2.26	-0.08	0.572
... come in early, go home late, work extra from home, or work on your day off?	187	5.71	4.93	-0.78	0.1538	189	6.04	4.95	-1.09	0.0488
Absenteeism (7-Day Recall)	Before Surgery to 6 Months After					Before Surgery to 1 Year After				
	N	Mean Before	Mean After	Mean	Rank P-value	N	Mean Before	Mean After	Mean	Rank P-value
How many days are you expected to work in a typical 7-day week?	199	4.78	4.69	-0.09	0.7576	200	4.74	4.65	-0.09	0.0907
How many hours does your employer expect you to work in a typical 7-day week?	198	35.66	35.54	-0.12	0.5423	198	34.80	34.81	0.01	0.9361
About how many hours altogether did you work in the past 7 days?	199	36.09	37.60	1.51	0.3197	199	35.20	36.05	0.85	0.5584
7-Day Absenteeism Score (hours per month)	198	-2.45	-8.19	-5.74	0.4684	196	-3.10	-4.84	-1.74	0.6664

Note: P-values are from Wilcoxon signed-rank tests, except those with an astericks, which are from two-sample test of proportions.

Appendix Table 5.

Absolute presenteeism stratified by joint and whether static, declining, or improving performance leading up to surgery

Absolute Presenteeism	Before Surgery to 6 Months After					Before Surgery to 1 Year After				
	N	Mean Before	Mean After	Mean	Rank P-value	N	Mean Before	Mean After	Mean	Rank P-value
Knee, Static	126	88.9	88.8	-0.1	0.5961	123	87.8	89.4	1.5	0.0415
Knee, Declining	75	72.5	86.7	14.1	<0.0001	74	70.1	89.5	19.3	<0.0001

Absolute Presenteeism	Before Surgery to 6 Months After					Before Surgery to 1 Year After				
	N	Mean Before	Mean After	Mean	Rank P-value	N	Mean Before	Mean After	Mean	Rank P-value
Knee, Improving	8	85.0	88.8	3.8	0.666	12	89.2	88.3	-0.8	0.6851
Hip, Static	116	89.9	91.9	2.0	0.0614	118	89.5	90.6	1.1	0.0663
Hip, Declining	100	70.5	87.7	17.2	<0.0001	104	68.7	88.9	20.2	<0.0001
Hip, Improving	9	83.3	88.9	5.6	0.4147	9	83.3	80.0	-3.3	0.7192

Note: n=1 respondent cannot be classified as static, declining, or improving because of insufficient baseline responses

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Highlights

- We measured presenteeism and absenteeism among employed patients before and 1 year after total joint replacement
- Presenteeism is illness-related reduced at-work productivity or performance
- Average at-work performance moved from 80.7 to 89.4 (of 100); the percentage reporting 100/100 moved from 20.9% to 36.8%
- Average changes in absences were relatively small
- Altogether, average improvements represented mitigated losses to an employer of 8.4% of an employee's monthly value

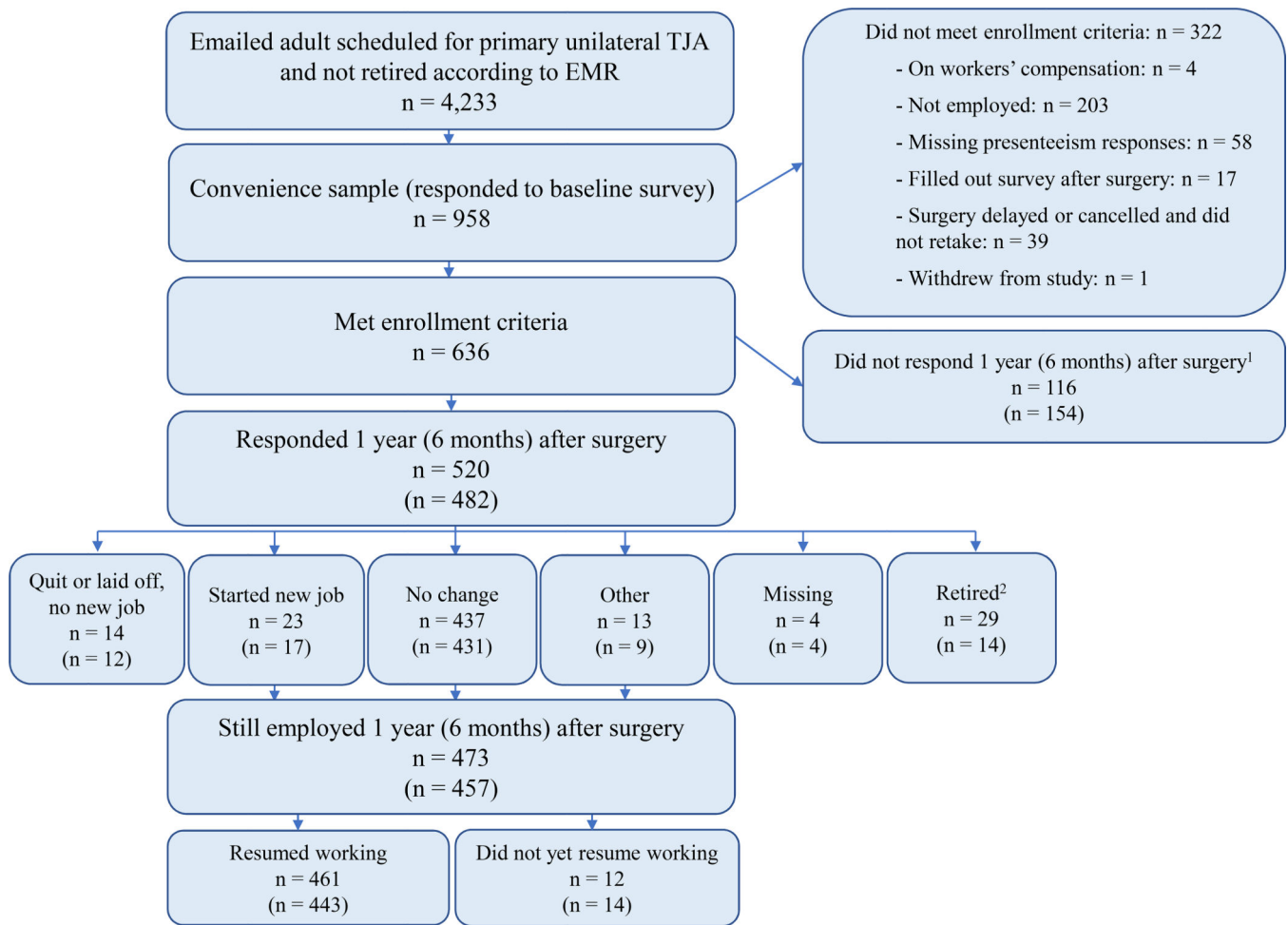


Figure 1. Patient enrollment and follow-ups.

A single email was sent to 4,233 patients who were at least 18 years old, not retired according to our electronic medical record, and scheduled for primary unilateral TJA. Note that 39 patients (not included in the 636) filled out a baseline survey but ended up cancelling their surgery and either did not reschedule or did not re-take the baseline survey before their surgery. An additional 8 patients cancelled their original surgery but ended up rescheduling and filling out the baseline survey again (only their final responses were counted). One participant enrolled but subsequently withdrew from the study (not included in the 636). Footnote 1: n=123 completed neither follow-up; n=80 completely only the 1-year follow-up; n=73 completed only the 6-month follow-up; and n=360 completed both follow-ups. Footnote 2: at 1 year n=10 retired before returning to work and n=19 retired after returning to work; and at 6 months n=4 retired before returning to work and n=10 retired after returning to work.

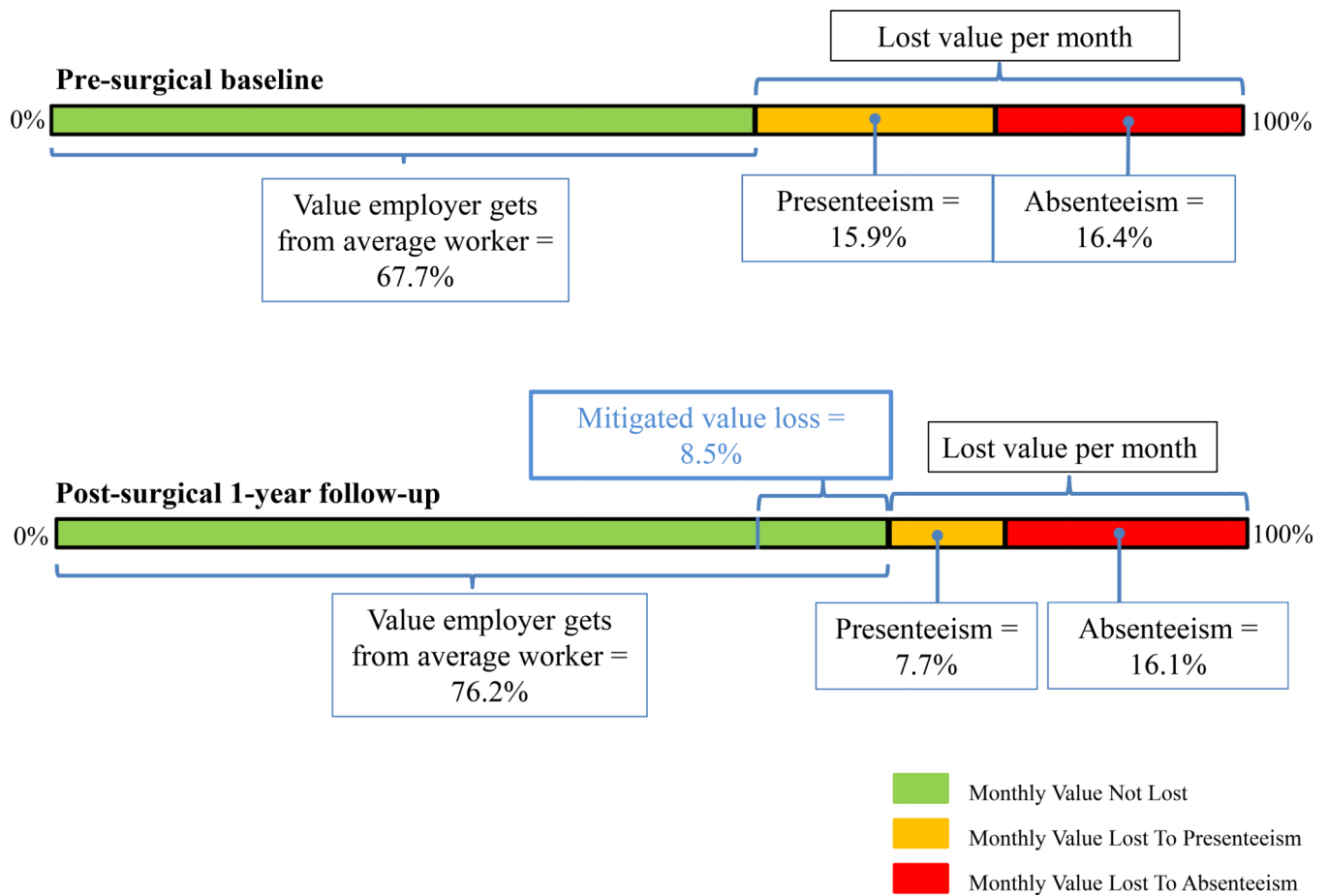


Figure 2. Monthly value lost before and after total hip arthroplasty.

Shown is the average monthly value lost in percentage terms from absenteeism (red, assuming time absent because of personal health problems, family health problems, or other reasons including vacation is completely lost) and presenteeism (orange, assuming value of non-absent work is reduced proportionally) at both pre-surgical baseline (top) and post-surgical one-year follow-up (bottom). Green indicates the value not lost to absenteeism nor presenteeism per month. The green to the right of the blue line (below) indicates the monthly value loss mitigated after surgery, i.e., the combined reduction in absenteeism and presenteeism between baseline and one year.

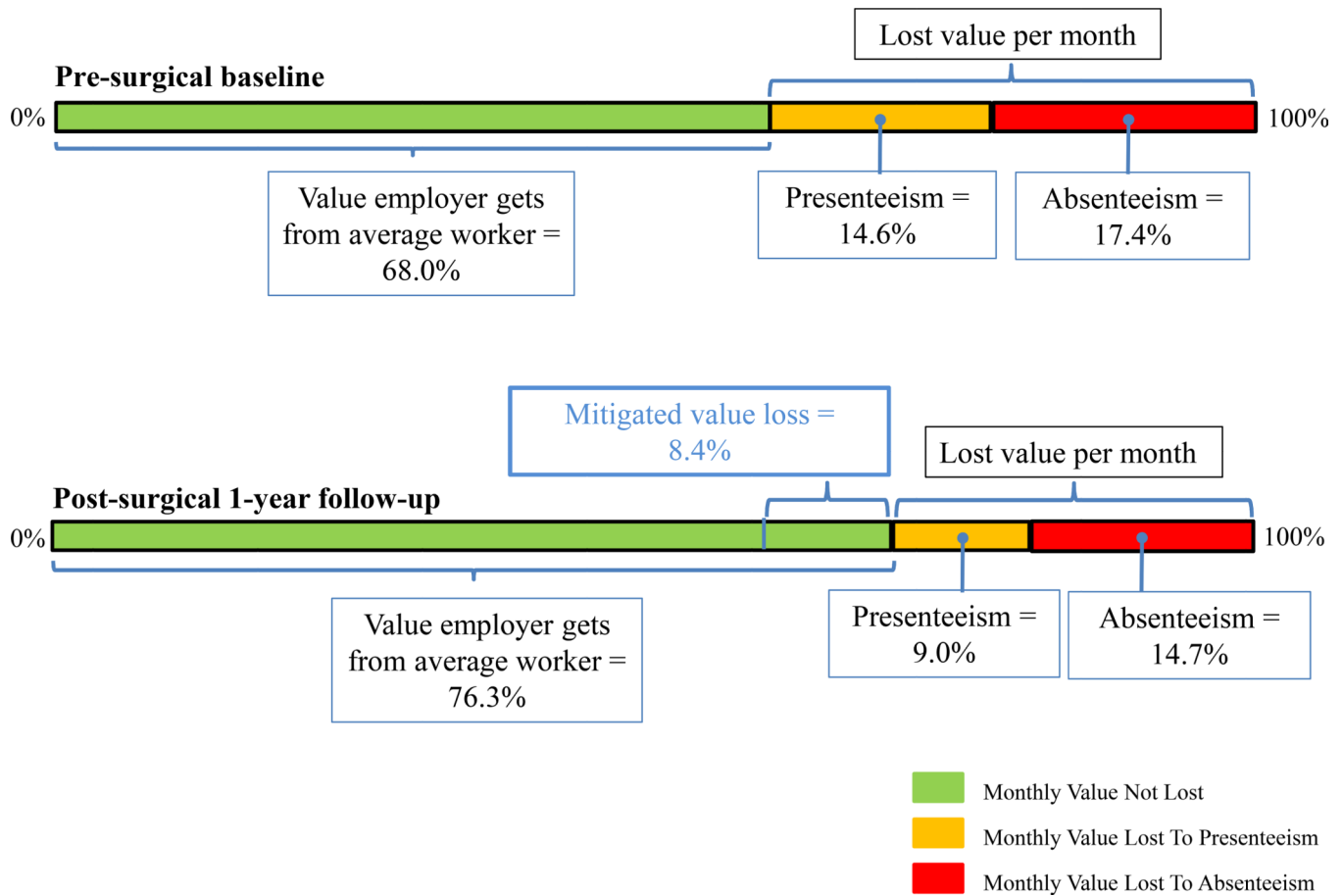


Figure 3. Monthly value lost before and after total knee arthroplasty.

Shown is the average monthly value lost in percentage terms from absenteeism (red, assuming time absent because of personal health problems, family health problems, or other reasons including vacation is completely lost) and presenteeism (orange, assuming value of non-absent work is reduced proportionally) at both pre-surgical baseline (top) and post-surgical one-year follow-up (bottom). Green indicates the value not lost to absenteeism nor presenteeism per month. The green to the right of the blue line (below) indicates the monthly value loss mitigated after surgery, i.e., the combined reduction in absenteeism and presenteeism between baseline and one year.

Note: Color is required for Figures 2 and 3.

Table 1.

Demographics and pre-surgical work characteristics

Variable	Total Hip Arthroplasty (n = 325)				Total Knee Arthroplasty (n = 311)				Overall (n = 636)			
	Mean	SD	Min	Max	Mean	SD	Min	Max	Mean	SD	Min	Max
Age (years)	61.1	8.8	29.0	85.0	63.2	7.3	43.0	83.0	62.1	8.2	29.0	85.0
BMI (kg/m ²)	28.6	6.1	18.1	51.6	31.2	6.3	18.7	64.1	29.9	6.3	18.1	64.1
SANE score *	41.4	21.3	0.0	95.0	43.2	21.4	1.0	98.0	42.2	21.3	0.0	98.0
Sex	Number (%)				Number (%)				Number (%)			
Female	182		56.0%		170		54.7%		352		55.3%	
Male	143		44.0%		141		45.3%		284		44.7%	
Race/Ethnicity	Number (%)				Number (%)				Number (%)			
Asian	3		0.9%		4		1.3%		7		1.1%	
Black	17		5.2%		9		2.9%		26		4.1%	
Hispanic	8		2.5%		8		2.6%		16		2.5%	
White	281		86.5%		279		89.7%		560		88.1%	
Other	12		3.7%		13		4.2%		25		3.9%	
Missing	12		3.7%		6		1.9%		18		2.8%	
Employment	Number (%)				Number (%)				Number (%)			
Yes, full-time	237		72.9%		228		73.3%		465		73.1%	
Yes, part-time	30		9.2%		28		9.0%		58		9.1%	
Self-employed	58		17.8%		55		17.7%		113		17.8%	
Job Accommodations	Number (%)				Number (%)				Number (%)			
Someone to help you out	12		3.7%		9		2.9%		21		3.3%	
Shorter work day	5		1.5%		5		1.6%		10		1.6%	
More breaks and rest periods	7		2.2%		9		2.9%		16		2.5%	
Special transportation	5		1.5%		2		0.6%		7		1.1%	
Special equipment	11		3.4%		5		1.6%		16		2.5%	
Change time to start and stop work	21		6.5%		21		6.8%		42		6.6%	

Variable	Total Hip Arthroplasty (n = 325)					Total Knee Arthroplasty (n = 311)					Overall (n = 636)		
	Mean	SD	Min	Max	%	Mean	SD	Min	Max	%	Mean	SD	Min
Change job to something you can do	6		1.8%		4		1.3%		10		1.6%		
Learn new skills	1		0.3%		1		0.3%		2		0.3%		
None	234		72.0%		231		74.3%		465		73.1%		
Missing	23		7.1%		24		7.7%		47		7.4%		
Presenteeism (0: Worst, 10: Top) How would you rate the usual performance of...													
... most workers in a job similar to yours?	8.00	1.49	3.00	10.00	7.93	1.63	0.00	10.00	7.96	1.56	0.00	10.00	10.00
... your usual job performance over the past year or two?	8.68	1.35	3.00	10.00	8.74	1.29	4.00	10.00	8.71	1.32	3.00	10.00	10.00
... your overall job performance on the days you worked during the past 4 weeks (28 days)?	7.78	2.03	0.00	10.00	7.99	1.99	0.00	10.00	7.89	2.01	0.00	10.00	10.00
Absenteeism (28-Day Recall)** In the past 4 weeks (28 days), how many days did you...													
... miss work because of problems with your physical or mental health?	1.61	4.30	0.00	28.00	1.16	3.65	0.00	28.00	1.39	4.00	0.00	28.00	28.00
... miss work because of problems with a family member's physical or mental health?	0.31	1.12	0.00	10.00	0.16	0.80	0.00	10.00	0.24	1.02	0.00	10.00	10.00
... miss work for any other reason (including vacation)?	2.00	3.70	0.00	28.00	2.20	4.30	0.00	28.00	2.10	4.00	0.00	28.00	28.00
... come in early, go home late, work extra from home, or work on your day off?	6.07	7.78	0.00	28.00	5.41	7.16	0.00	28.00	5.75	7.48	0.00	28.00	28.00
Absenteeism (7-Day Recall)***													
How many days are you expected to work in a typical 7-day week?	4.86	0.99	0.00	7.00	4.69	1.22	0.00	7.00	4.78	1.11	0.00	7.00	7.00
How many hours does your employer expect you to work in a typical 7-day week?	36.67	12.72	0.00	70.00	34.64	15.24	0.00	97.00	35.68	14.03	0.00	97.00	97.00
About how many hours altogether did you work in the past 7 days?	35.92	17.24	0.00	70.00	35.76	18.47	0.00	97.00	35.84	17.84	0.00	97.00	97.00

* SANE = Single Assessment Numerical Evaluation, n=300, 285, 585

** n=308, 292, 600

*** n=319, 306, 625

Table 2.

Statistical tests of changes in presenteeism and absenteeism at pairs of time points

Presenteeism	Before Surgery to 6 Months After				Before Surgery to 1 Year After			
	N	Mean Before	Mean After	Rank P-value	N	Mean Before	Mean After	Rank P-value
Absolute Presenteeism, All Patients	433	81.9	89.0	<0.0001	440	80.7	89.4	<0.0001
Full Performance (Absolute Presenteeism = 100%)	433	21.2%	37.2%	<0.0001*	440	20.9%	36.8%	<0.0001*
Absolute Presenteeism, Before Surgery < 100%	341	77.0	87.5	<0.0001	348	75.6	88.1	<0.0001
Absolute Presenteeism, Before Surgery = 100%	92	100.0	94.6	<0.0001	92	100.0	94.1	<0.0001
Absenteeism (28-Day Recall) In the past 4 weeks (28 days), how many days did you... ... miss work because of problems with your physical or mental health ... miss work because of problems with a family member's physical or mental health? ... miss work for any other reason (including vacation)? ... come in early, go home late, work extra from home, or work on your day off?	Before Surgery to 6 Months After				Before Surgery to 1 Year After			
	N	Mean Before	Mean After	Rank P-value	N	Mean Before	Mean After	Rank P-value
... miss work because of problems with your physical or mental health	4101	0.86	0.43	<0.0001	409	1.15	0.68	<0.0001
... miss work because of problems with a family member's physical or mental health?	401	0.28	0.26	0.7262	409	0.25	0.26	0.4032
... miss work for any other reason (including vacation)?	401	2.08	1.62	0.0074	409	2.08	2.40	0.0941
... come in early, go home late, work extra from home, or work on your day off?	399	5.99	5.41	0.2209	408	6.16	5.20	0.0829
Absenteeism (7-Day Recall) How many days are you expected to work in a typical 7-day week? How many hours does your employer expect you to work in a typical 7-day week? About how many hours altogether did you work in the past 7 days? 7-Day Absenteeism Score (hours per month)	Before Surgery to 6 Months After				Before Surgery to 1 Year After			
	N	Mean Before	Mean After	Rank P-value	N	Mean Before	Mean After	Rank P-value
How many days are you expected to work in a typical 7-day week?	418	4.83	4.76	0.4457	428	4.84	4.76	0.066
How many hours does your employer expect you to work in a typical 7-day week?	416	36.15	36.23	0.8225	425	35.83	35.91	0.3281
About how many hours altogether did you work in the past 7 days?	418	36.36	37.35	0.122	427	35.89	36.74	0.1458
7-Day Absenteeism Score (hours per month)	416	-1.38	-4.62	0.2769	423	-1.10	-3.43	0.3991

Note: P-values are from Wilcoxon signed-rank tests, except those with an asterisks, which are from two-sample test of proportions.