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Thromboembolic and hemorrhagic outcomes in the direct oral anticoagulant trials across the spectrum of kidney function

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Abstract

Chronic kidney disease (CKD) is a common comorbidity among patients taking direct-acting oral anticoagulants (DOACs). Herein, we evaluate the influence of kidney function on Stroke/SEE, hemorrhage and composite endpoints (Stroke/SEE/hemorrhage/death and Stroke/SEE/death) among patients on DOACs and warfarin. Baseline kidney function was categorized as GFR 60 (reference), 45-59 and <45ml/min/1.73m² for participants in the RE-LY (n=18,049), ARISTOTLE (n=18,187), and ENGAGE AF (n=20,798) trials. Incidence of events was compared across GFR categories. Hazard ratios for events was estimated using Cox regression using intention-to-treat analysis adjusting for known predictors. A large proportion of participants had GFR<60 (25-29% had GFR 45<60 and 9.5 to 12.6% with GFR <45). Compared to patients with GFR 60, warfarin users across the trials with GFR 45-59 and GFR<45 had a higher incidence of hemorrhage (pvalues<0.0001) and warfarin users in the ARISTOTLE and ENGAGE trials had higher incidence of stroke/SEE (p-values 0.05). Compared to patients with GFR 60, dabigatran users with GFR 45-59 and GFR<45 had a higher incidence of stroke/SEE (p 0.02), hemorrhage (p<0.001) and both composite endpoints (p<0.0001). Compared to patients with GFR 60, apixaban and edoxaban users with GFR 45-59 and GFR<45 had a higher incidence of hemorrhage (pvalues 0.05) and composite endpoints (p-values 0.05). After adjustment, compared to patients with GFR 60, warfarin users with GFR<60 in the ARISTOTLE and RELY trials had a higher risk of hemorrhage (p < 0.05), as did dabigatran (p < 0.001) and edoxaban (p = 0.005) users, while

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NAL, MB, JS, NS and JF wrote the manuscript; NAL, MP and JF designed the research; NAL, MP, NS and JF performed the research; NAL, MB, JS and JF analyzed the data.

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apixaban users did not exhibit an increased risk (p=0.08 GFR 45-59; p=0.71 GFR<45). Kidney function significantly influences the safety and efficacy of oral anticoagulants.

Keywords

chronic kidney disease; kidney function; kidney impairment; representation; warfarin; rivaroxaban; apixaban; dabigatran; edoxaban; hemorrhage; thromboembolism; net benefit

Introduction

Chronic kidney disease (CKD) is a public health problem affecting 8–16% people worldwide and 13-15% (estimated 31 million people) in the US.^{1,2} Among patients with cardiovascular disease (CVD), the prevalence of CKD is as high as 30–35%.³ It is widely recognized that CKD and CVD influence outcomes related to the other, and their co-management remains challenging. CVD is the leading cause of death for patients with CKD, and patients with moderate CKD are more likely to die of CVD than to progress to kidney failure.⁴ Despite the need for high-quality evidence for CVD interventions, systematic reviews demonstrate a clear and persistent under-representation of patients with CKD in randomized clinical trials (RCTs).⁵⁻⁷ This renders extrapolation of trial results to guide the treatment of complex patients across the spectrum of kidney function seen in real-world clinical practice tenuous.

Consider atrial fibrillation (AF) for instance. Both AF and CKD are increasingly prevalent in the general population and share common risk factors such as older age, hypertension and diabetes mellitus. The presence of CKD increases the risk of incident AF, and, likewise, AF increases the risk of CKD development and progression. Both conditions are associated with substantial thromboembolic risk and patients with CKD exhibit a paradoxical increase in bleeding risk.⁸⁻¹¹ These findings have important implications for the use of oral anticoagulants (OACs) and expected cardiovascular risk reduction with treatment.

Direct-acting oral anticoagulants (DoACs; dabigatran, rivaroxaban, apixaban, edoxaban) offer several key advantages including superior or equal efficacy, favorable bleeding risk profile (especially ICH), fewer drug interactions and lack of monitoring requirements.¹²⁻¹⁶ The increasing use of DOAC (over OACs) among patients with AF¹⁷⁻²⁰ is expected to expand further with the new American Heart Association and European Society of Cardiology guidelines recommending the use of DOACs over warfarin.^{21,22} Therefore, given the high prevalence of CKD in patients qualifying for anticoagulation understanding the efficacy and safety of DOACs in patients across the spectrum of CKD is vital.

We explore data from the DoAC clinical trials to highlight the representation of patients across the CKD spectrum and evaluate the influence of kidney function on the endpoints of stroke or systemic embolism (Stroke/SEE; primary efficacy) and hemorrhage (primary safety) for warfarin and the DOACs. We also assess evaluate the influence of kidney function on two composite endpoints; Stroke/SEE/ hemorrhage/death and Stroke/SEE/ death.

Methods:

Data sources:

To examine the relationship between GFR and clinical outcome in a post-hoc exploratory analysis, subject-level data were obtained for patients with atrial fibrillation treated with warfarin or a DoAC. Data from three large RCTs; Randomized Evaluation of Long-term Anticoagulant Therapy (RE-LY),¹⁷ Apixaban for Reduction in Stroke and Other Thromboembolic Events (ARISTOTLE),¹⁹ and The Effective Anticoagulation with Factor Xa Next Generation in AF-Thrombolysis in Myocardial Infarction 48 (ENGAGE AF-TIMI 48) were analyzed.²⁰ These trials supported approval of dabigatran (Pradaxa), apixaban (Eliquis), and edoxaban (Savaysa), respectively. Data from the study supporting approval of rivaroxaban (Xarelto) were not included because of concerns about the accuracy of the point-of-care International Normalized Ratio (INR) devices used in the study.^{18,23}

Efficacy and Safety outcomes:

Each of the trials assessed the efficacy of the DoAC against warfarin dosed to maintain an INR of 2-3 among patients with non-valvular AF in a non-inferiority design. The **primary efficacy outcome** was stroke or systemic embolism (Stroke/SEE), uniformly defined across the trials.¹⁷⁻²⁰ The key secondary efficacy outcome was death from any cause. The **primary safety endpoint** was major bleeding defined according to the ISTH criteria²⁴ as clinically overt bleeding accompanied by a decrease in the hemoglobin level of at least 2 g per deciliter or transfusion of at least two units of packed red cells, occurring at a critical site, or resulting in death. The trials also presented composite safety and efficacy endpoints as measures of net benefit. For each trial we assessed, two composite endpoints the first including Stroke/SEE/ hemorrhage/death and the second including stroke/SEE/death (without major bleeding).

Kidney function:

We categorize CKD based on baseline glomerular filtration rate (GFR)²⁵ following the Kidney Disease- Improving Global Outcomes (KDIGO) recommendations.^{1,26}

We used the four-variable MDRD Study equation²⁷ to calculate the GFR at baseline and categorized patients kidney function into five groups: more than 90 ml/min/1.73m² (stage 1), 60–89 ml/min/1.73m² (stage 2), 45-59 ml/min/1.73m² (Stage 3a) and 30-44 ml/min/1.73m² (Stage 3b) and <30 ml/min/1.73m² (stage 4, 5). Patients with severely impaired kidney function, CrCl<25 ml/min (apixaban) ¹⁹ or <30 ml/min (dabigatran, rivaroxaban, and edoxaban) were excluded from the trials.^{17,18,20} However, our analysis included a few patients with severely impaired kidney function. We assume that at the time of enrollment, patients met inclusion criteria related to kidney function. Consider the following as an example. A patient with a previous laboratory result reporting a CrCL of 31ml/min meets inclusion criteria, and is considered enrolled into the trial. However, after the patient has initiated study treatment, results of labs drawn at the baseline study visit report a CrCL of 29ml/min. We retained such patients in our analysis.

Statistical Methods:

Group differences were assessed using analysis of variance for continuous variables and χ^2 test of independence for categorical variables.

Absolute risk: For each individual patient, person years of follow-up (p-years) were calculated by dividing the days from first dose (DOAC or warfarin) to event or end of follow-up by 365.25 days. Incidence rate for Stroke/SEE, hemorrhage and composite stroke/SEE/bleeding/death endpoint within each kidney function category was calculated by dividing the number of events experienced by total follow-up time (p-years) accrued among patients within the kidney function category.

We computed a moving average (Supplementary Figure 1) of the Stroke/SEE, major bleeding and composite stroke/SEE/bleeding/death endpoint incidence by GFR for each treatment arm to depict the relationship between event rate and kidney function. Informed by the KDIGO, we grouped patients in RE-LY (Supplementary Table 1a), ARISTOTLE (Supplementary Table 2a), and ENGAGE (Supplementary Table 3a), into five-kidney function categories. With GFR>90ml/min/1.73m² as the reference group, we calculated the incidence rate ratio (IRR) for patients with 60-89 ml/min/1.73m² (stage 2), 45-59 ml/min/ 1.73m² (Stage 3a) and 30-44 ml/min/1.73m² (Stage 3b) and <30 ml/min/1.73m² (stage 4, 5). The incidence rates for Stroke/SEE and major bleeding are similar for patients with GFR 90 and GFR 60-89. Similarly, given the limited number of patients with GFR<30, recognition that stage 3b CKD (GFR 30-44) reflects progression of CKD and a similar increase risk of events, patients with GFR<30 and GFR 30-44 were combined for subsequent analyses. Therefore, we present the incidence and IRR for Stroke/SEE and major bleeding and the composite stroke/SEE/bleeding/death endpoint for patients with GFR 60 (reference group), 45-59 ml/min/ $1.73m^2$ (Stage 3a) and <45 ml/min/ $1.73m^2$ (Stage 3b, 4, 5) for warfarin and each of the DOACs across trials.

Relative Risk—To assess the risk of clinical (thromboembolic and hemorrhagic) events, the hazard ratios (HR) and 95% CI were calculated using Cox regression in an intention-to-treat analysis. The relative risk of Stroke/SEE, major bleeding and stroke, Stroke/SEE and major bleeding, and death across the spectrum of kidney impairment, are presented within each treatment arm after adjustment for known risk factors (e.g. age, hypertension, etc). All analyses were performed using SAS version 9.3 (SAS Institute, Cary, NC) at a non-directional alpha level of 0.05.

Results:

Charateristics of 57,934 patients with AF from the RE-LY (n=18,049), ARISTOTLE (n=18,187), and ENGAGE (20,798) trials categorized by baseline GFR are presented in Table 1.

Within each of the trials, the proportional distribution of patients with varying degrees of kidney function was similar in the DOAC and warfarin arms. Of note, 35 to 43% of patients in these trials have impaired kidney function (GFR<60 ml/min/ $1.73m^2$) with 25-29% with GFR 45<60 ml/min/ $1.73m^2$ and 9.5 to 12.6% with GFR <45 ml/min/ $1.73m^2$ (Figure 1).

Across the trials, patients with impaired kidney function were older (p<0.001), and more likely to be female (p<0.001; Supplementary Figure 2a). Whites (60-88%) and Asians (6-30%) constituted a majority of patients, with Blacks constituting a minority (1-2.5%; Supplementary Figure 2b). Additionally, the prevalence of permanent or persistent AF was higher (range 63-75%) than that of paroxysmal AF (25-37%; Supplementary Figure 2c). As expected, the prevalence of hypertension (p<0.001), diabetes (p<0.001), and heart failure (p<0.001) was higher among patients with impaired kidney function (Table 1) contributing to higher CHADS₂ score in these patients (Supplementary Figure 2d, p<0.001). Parallel to the increase in comorbidities, concomitant antiplatelet use was also higher in patients with impaired kidney function (Supplementary Figure 2e).

Outcomes among warfarin-treated patients across the DOAC trials displayed a consistent trend. Patients with impaired kidney function had poorer outcomes (Figure 2). In the RELY trial (Supplementary Table 1b), among warfarin treated patients, the incidence of stroke/SEE did not differ significantly by kidney function (Figure 2a-left). However, compared to patients with GFR 60, those with GFR 45-59 and GFR<45 had a higher incidence of major bleeding (Figure 2b-left) and composite stroke/SEE/ death endpoint with bleeding (Figure 3a-left) and without bleeding (Figure 3b-left). In the ARISTOTLE trial (Supplementary Table 2b), among warfarin treated patients, compared to patients with GFR 60, those with GFR 45-59 and GFR<45 had a higher incidence of stroke/SEE (Figure 2a-center), major bleeding (Figure 2b-center) composite stroke/SEE/ death endpoint with bleeding (Figure 3a-center) and without bleeding (Figure 3b-center). In the ENGAGE trial (Supplementary Table 3b), compared to patients with GFR 60, those with GFR 60, those with GFR 45-59, but not GFR<45 had a higher incidence of stroke/SEE (Figure 2a-center) and without bleeding (Figure 2a-right). The risk of major bleeding (Figure 2b-right) and composite stroke/SEE (Figure 3a-right) and without bleeding (Figure 3b-right) was higher in patients with GFR<45.

Outcomes among DOAC treated patients:

Poorer outcomes were observed among patients with impaired kidney function who were treated with dabigatran (Figure 4). In the RELY trial (Supplementary Table 1b), among patients receiving DBG, compared to patients with GFR 60, those with GFR 45-59 and GFR<45 had a higher incidence of stroke/SEE (Figure 4a-left), bleeding (Figure 4b-left) and composite stroke/SEE/ death endpoint with bleeding (Figure 5a-left) and without bleeding (Figure 4b-center) or edoxaban (Figure 4a-right), stroke SEE/rates were not significantly different. However, similar to dabigatran, a higher incidence of major bleeds and the composite stroke/SEE/ death endpoint with bleeding and without bleeding was observed in patients treated with apixaban (Figure 4b, 5a, 5b center) or edoxaban (Figure 4b, 5a, 5b right) who had impaired kidney function.

Accounting for other predictors:

Among warfarin users across the DOAC trials (Supplementary Tables 4,5,6), after accounting for known stroke/SEE factors, there were no differences in risk of stroke/SEE across kidney function. Predictors associated with an increased risk of stroke/SEE included heart failure (RELY), older age (age>75 years RELY, >65 years ARISTOTLE and

ENGAGE), prior stroke or TIA (all trials) diabetes (RELY), female gender (RELY) and antiplatelet use (RELY and ENGAGE).

Among warfarin users, after accounting for other predictors, compared with GFR 60, the risk of hemorrhage was higher among patients with GFR 45-59 and those with GFR<45 (25% and 69%, respectively in RELY; 51% and 200% respectively in ARISTOTLE). Among warfarin users in the ENGAGE trial, the hemorrhage risk was not significantly influenced by kidney function. Age>65 years and antiplatelet use were associated with an increased risk of hemorrhage across trials.

Among DBG users (Figure 6, Supplementary Table 4), compared to patients with GFR 60, those with GFR 45-59 had a higher risk of stroke/SEE (HR 1.46, 95% CI1.14-1.87, p=0.003) and major hemorrhage (HR 1.37, 95% CI 1.15-1.63, p<0.001). Patients with GFR<45 had a higher risk of hemorrhage (HR 1.89, 95% CI 1.54-2.31, p<0.001). Among DBG users, heart failure, age>75 years, prior stroke or TIA, female gender and prior antiplatelet use were associated with higher risk of Stroke/SEE and heart failure, age>65 years, diabetes, and prior antiplatelet and prior warfarin use were associated with higher risk of hemorrhage.

In the ARISTOTLE trial (Figure 6, Supplementary Table 5), among APIXABAN users, the risk of stroke/SEE or hemorrhage did not differ by kidney function. Among APIXABAN users, heart failure, age>75 years, prior stroke or TIA, PAD or MI, and prior warfarin use were associated with higher risk of Stroke/SEE and age>65 years, diabetes, prior stroke or TIA, PAD or MI, female gender, and prior antiplatelet use were associated with higher risk of hemorrhage.

In the ENGAGE trial (Figure 6, Supplementary Table 6), among edoxaban users, the risk of stroke/SEE did not differ by kidney function. However, compared with GFR 60, the risk of hemorrhage was higher among edoxaban users with GFR 45-59 (HR 1.29, 95%CI 1.08-1.53, p=0.004) and GFR<45 (HR 1.39, 95%CI 1.11-1.75, p=0.005). Age>75 years and prior stroke were associated higher risk of Stroke/SEE and age>65 years, and prior antiplatelet use were associated higher risk of hemorrhage.

Discussion

The underrepresentation of vulnerable patient subgroups in clinical trials creates an evidence gap, rendering management of complex patients seen in clinical practice challenging. The persistent underrepresentation and exclusion of patients with kidney disease from clinical trials ^{5,6,28-30} is particularly concerning given the increased risk of CVD in patients with CKD, and the significantly poor outcomes in patients with co-existent CKD-CVD. Recognizing this knowledge gap, we evaluated individual level data from the DoAC clinical trials to demonstrate the representation of CKD and assess its influence on the primary efficacy and safety endpoints in each of the DoAC trials.

To our knowledge, this is the first publication detailing the representation of patients across the kidney function spectrum and addressing key knowledge gaps by presenting the primary safety and efficacy endpoints by degree of kidney impairment. To date, post-hoc analysis of

trial have categorized kidney function based on creatinine clearance (CrCL) estimated using the Cockcroft and Gault equation. However, we use GFR to categorize kidney function based on KDIGO recommendations.^{1,26} Concordant with the literature, the correlation between CrCL (using the Cockcroft and Gault equation),³¹ Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI)³² GFR and the MDRD GFR²⁷ were lower (range for Pearson correlation coefficients 0.69 to 0.71 and 0.64 to 0.66, respectively) than that between the MDRD-GFR and CKD-EPI-GFR (range 0.96 to 0.98).³³⁻³⁵ Despite exclusion of patients with severe or end-stage renal disease (CrCl<25 ml/min (apixaban) ¹⁹ or <30 ml/min (dabigatran, rivaroxaban, and edoxaban), we demonstrate that clinical trials afford a unique opportunity to assess efficacy and safety of therapeutic interventions across the kidney function spectrum. A large proportion of trial participants had GFR between 45 and 60 (25-29%) or GFR <45 (9.5 to 12.6%), creating robust subgroups for analyses.

We evaluated of stroke/SEE risk, hemorrhage and a combined outcome across the kidney function spectrum for the DOACs. Given the differences in patient characteristics across trials and the lack of head to head comparisons for the DOACs, we refrain from drawing comparisons between trial results, but rather present the risk differences across kidney function within each treatment arm of the trials. Among trial participants, the stroke/SEE risk reduction (efficacy) afforded by warfarin (ARISTOTLE and ENGAGE trials) and dabigatran (RE-LY) was lower among patients with compromised kidney function. Importantly, our results demonstrate a significantly increased risk of major hemorrhage among warfarin and DOAC treated patients with compromised kidney function. This effect was consistent, with increased risk of hemorrhage observed for dabigatran, apixaban and edoxaban and for warfarin treated patients across the DOAC trials. The increased risk of hemorrhage among patients with compromised kidney function significantly lowers treatment net-benefit as demonstrated by the analysis of composite stroke/SEE/bleeding/ death endpoint. While this composite endpoint presentation follows the precedent set by clinical trials allowing the reader to draw comparison with the original trial reports, we recognize that combining bleeding with thromboembolic endpoints is counterintuitive. Therefore we also report the composite endpoint without bleeding.

Renal elimination plays a prominent role in DOAC clearance, accounting, on average, 80% for dabigatran, 36% for rivaroxaban, 27% for apixaban and 50% for edoxaban elimination. ³⁶⁻⁴¹ Impaired kidney function is associated with longer half-lives and greater exposure (area under the curve) ⁴²and therefore, likely has a greater impact on DOAC response. Current guidelines recommend reduction in DOAC doses based on kidney function. ⁴³ Although, ARISTOTLE included a limited cohort (n=427) receiving reduced apixaban doses (2.5mg bid),¹⁹ the small sample size and limited number of events (12 stroke/systemic embolism, 20 major bleed events), did not allow for a separate assessment of events within the reduced dose group. We could not assess the influence of antiplatelet dose or therapy combinations on outcomes as data was not available. Finally, although gastroprotective therapies have been shown to decrease bleeding risk, as data on H2blocker and PPI use was not uniformly available, we could not evaluate their influence on bleeding risk.

Collectively, these results demonstrate that for the large proportion of oral anticoagulant users with compromised kidney function,⁸ determination of the net-benefit of OAC therapy

is challenging.⁴⁴ These findings have significant implications for assessment of bleeding risk at the time of DOAC initiation for a significant portion of patients with AF.⁴⁵ Commonly used clinical risk prediction rules such as the HASBLED and ATRIA risk scores assign risk points for severe CKD/ESRD (e.g. GFR<30).^{46,47} Concordant with ORBIT bleeding score, ⁴⁸ our results demonstrate that the risk of bleeding is also higher for patients with GFR<60, indicating that kidney function has an impact on a significant proportion (42% of AF patients at our institution, data not shown) of patients on OACs. A recent report from the Geisinger health care system supports our findings; the risk of hemorrhage (minor and major) increases with decline in kidney function, for both warfarin and DOACs.⁴⁹ Additionally, we demonstrate an incremental increase in risk as kidney function spectrum (e.g. GFR 45-59, 30-44, <30) to facilitate more nuanced decisions around bleeding risk and net benefit.

This analysis provided a unique opportunity to highlight the effect of kidney function on the efficacy and safety of warfarin, a drug with predominant non-renal clearance. The higher risks of hemorrhage among warfarin users across the trials support reports from our group and others. ⁴⁹ We have reported on the influence of kidney function on warfarin response, showing ⁵⁰⁻⁵³ that patients with GFR<45 have a higher risk of hemorrhage even after adjustment for age, race, gender, INR at the time of the event, CYP2C9 and VKORC1 genotypes and concurrent antiplatelet therapy.⁵² Compared to patients with eGFR 60 ml/min/1.73m², those with eGFR <45 ml/min/1.73 m² are at a 2-fold higher risk of hemorrhage and those with eGFR< 30 ml/min are at a 5.6-fold higher risk.

Given the current American Heart Association and European Cardiology Society recommendations on the preferential use of DOACs over warfarin in patients with AF,^{21,22} the use of DOACs is expected to continue to rise. The increasing prevalence of CKD and its co-prevalence with CVD calls for comparative effectiveness research to assess risk/ benefit tradeoff and enable personalized treatment decisions in patients with compromised kidney function.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Study Highlights

What is the current knowledge on the topic?

Patients on oral anticoagulants with impaired kidney function experience lower benefit and a higher risk of adverse effects. The effect of severely impaired kidney function (on drug response is recognized. However, the influence (and effect size) on risk/ benefit in patients with moderate impairment needs to be elucidated.

What question did this study address?

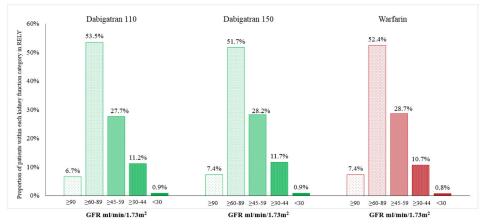
We present the influence and impact of kidney function on Stroke/SEE, hemorrhage and composite endpoints (Stroke/SEE/hemorrhage/death and Stroke/SEE/death) among patients on direct acting oral anticoagulants and warfarin. Importantly kidney function–event associations are presented across the spectrum of impairment.

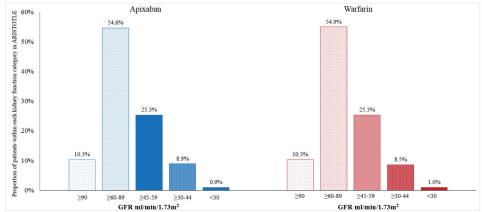
What does this study add to our knowledge?

The study demonstrates and quantifies the influence of kidney function on the safety and efficacy of oral anticoagulants.

How might this change clinical pharmacology or translational science?

Kidney function should be considered in estimating individual risk-benefit to inform oral anticoagulant treatment. Clinical trials should include appropriate representation of patients with impaired kidney function and report medication safety and efficacy across the spectrum of kidney function at thresholds that can inform decision making.





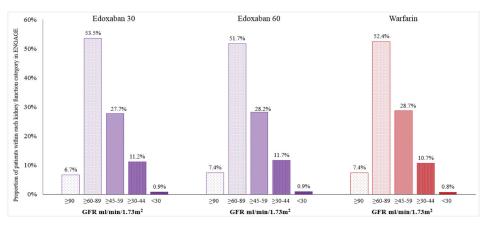
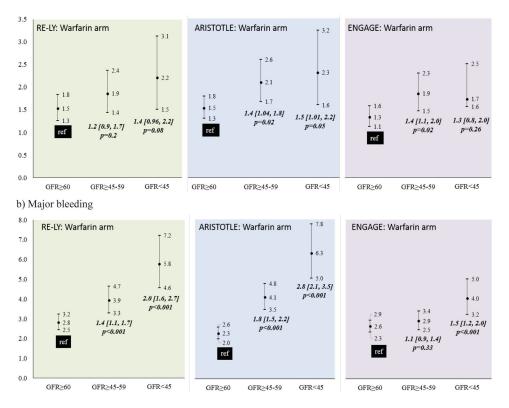


Figure 1:

Representation of patients with compromised kidney function (based on baseline glomerular filtration rate; GFR) in DOAC trials

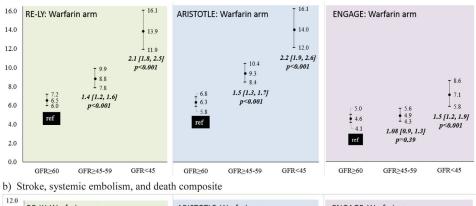


a) Stroke and systemic embolism

Figure 2:

Incidence of a) stroke and systemic embolism, b) major bleeding among warfarin treated patients in the DOAC trials

a) Stroke, systemic embolism, major bleeding, and death composite



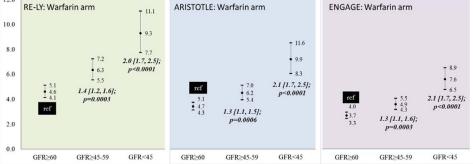
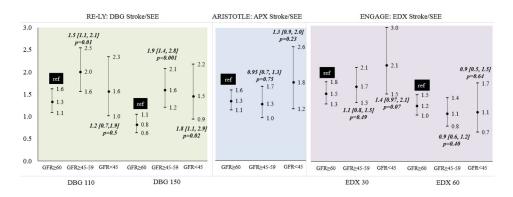


Figure 3:

Incidence of composite endpoint of a) Stroke/SEE/ hemorrhage/death and b) Stroke/SEE/ death among warfarin treated patients in the DOAC trials



b) Major bleeding

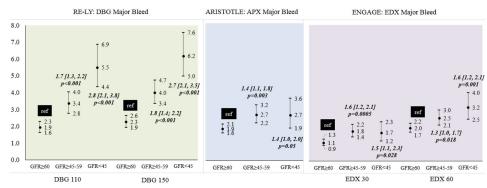
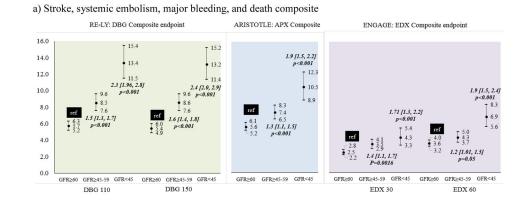


Figure 4:

Incidence of a) stroke and systemic embolism, b) major bleeding among DOAC treated patients in RELY, ARISTOTLE and ENGAGE trials





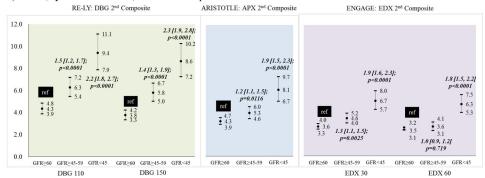


Figure 5:

Incidence of composite endpoint of a) Stroke/SEE/ hemorrhage/death and b) Stroke/SEE/ death among DOAC treated patients in RELY, ARISTOTLE and ENGAGE trials

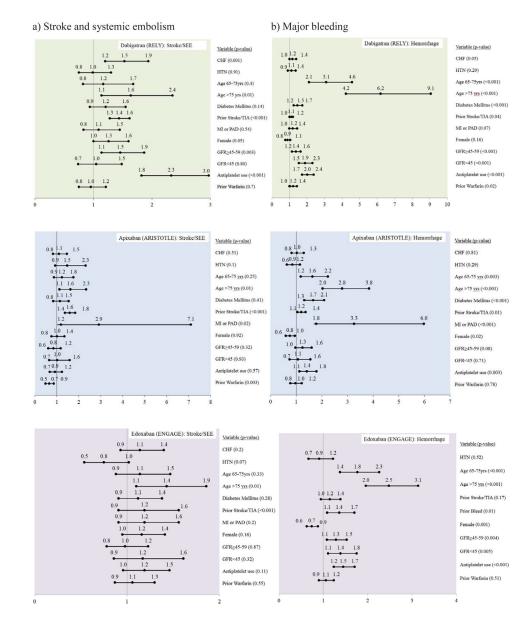


Figure 6:

Adjusted models for a) stroke and systemic embolism, b) major bleeding among DOAC treated patients in RELY, ARISTOTLE and ENGAGE trials

Table 1:

Demographics, AF phenotype and key risk factors at baseline by level of kidney function (glomerular filtration rate; GFR) impairment

GFR (ml/min/1.73m ²)		90	60-89	45-59	30-44	< 30	p- value
RELY (n=18,049)		N=1,293	N=9,487	N=5,091	N=2,022	N=156	
Dabigatran 110		400 (30.9%)	3,209 (33.8%)	1,660 (32.6%)	672 (33.2%)	52 (33.3%)	
Dabigatran 150		450 (34.8%)	3,133 (33.0%)	1,710 (33.6%)	708 (35.0%)	57 (36.5%)	
Warfarin		443 (34.3%)	3,145 (33.2%)	1,721 (33.8%)	642 (31.8%)	47 (30.1%)	
Age (years)		67.8 ± 10.7	70.1 ± 8.5	73.7 ± 8.0	74.7 ± 7.3	72.0 ± 8.3	< 0.00
Weight (kg)		81.0 ± 23.5	83.2 ± 19.9	82.0 ± 18.5	82.6 ± 18.3	88.1 ± 19.5	< 0.00
		N (%)	N (%)	N (%)	N (%)	N (%)	
Female		333 (25.8%)	3,136 (33.1%)	2,005 (39.4%)	1,011 (50.0%)	84 (53%)	< 0.00
Race	White	756 (58.5%)	6,626 (69.8%)	3,684 (72.4%)	1,450 (71.7%)	108 (69.2%)	< 0.00
	Black	21 (1.6%)	105 (1.1%)	31 (0.6%)	15 (0.7%)	3 (1.9%)	
	Asian	376 (29.1%)	1,658 (17.5%)	602 (11.8%)	216 (10.7%)	18 (11.5%)	
Paroxysmal AF		426 (33.0%)	3,167 (33.4%)	1,630 (32%)	633 (31.3%)	58 (37.2%)	0.18
Permanent/Persistent AF		867 (67.0%)	6,316 (66.6%)	3,461 (68.0%)	1,388 (68.7%)	98 (62.8%)	
	CHADS ₂ Score						
	0-1	474 (36.7%)	3,523 (37.1%)	1,360 (26.7%)	383 (18.9%)	26 (16.7%)	< 0.00
	2	472 (36.5%)	3,300 (34.8%)	1,896 (37.3%)	723 (35.8%)	46 (29.5%)	
	3	231 (17.9%)	1,732 (18.3%)	1,112 (21.8%)	508 (25.1%)	50 (32.1%)	
	4	88 (6.8%)	703 (7.4%)	524 (10.3%)	267 (13.2%)	26 (16.7%)	
	5 to 6	29 (2.2%)	229 (2.4%)	199 (3.9%)	141 (7.0%)	8 (5.1%)	
Hypertension		912 (70.5%)	7,270 (76.6%)	4,151 (81.5%)	1,756 (86.8%)	139 (89.1%)	< 0.00
History of Stroke/ TIA		176 (13.6%)	1,191 (12.6%)	610 (12%)	273 (13.5%)	19 (12.2%)	0.31
Heart failure		416 (32.2%)	2,786 (29.4%)	1,683 (33.1%)	803 (39.7%)	85 (54.5%)	< 0.00
Diabetes Mellitus		297 (23%)	2,018 (21.3%)	1,190 (23.4%)	636 (31.5%)	64 (41%)	< 0.00
Antiplatelet		496 (38.4%)	3,741 (39.0%)	2,154 (42.3%)	926 (45.8%)	70 (44.9%)	< 0.00
ARISTOTLE (n=18,187)		N=1,872	N=9,960	N=4,598	N=1,582	N=175	
Apixaban		941 (51.3%)	4,975 (50.0%)	2,304 (50.1%)	809 (50.1%)	84 (48.0%)	
Warfarin		931 (49.7%)	4,985 (50.0%)	2,294 (49.9%)	773 (48.9%)	91 (52.0%)	
Age (years)		63.2 ± 10.9	68.0 ± 9.4	71.8 ± 8.8	74.5 ± 8.1	73.3 ± 8.0	< 0.00
Weight (kg)		85.6 ± 23.8	84.6 ± 20.6	83.2 ± 20.0	81.4 ± 19.2	82.3 ± 17.2	< 0.00
		N (%)	N (%)	N (%)	N (%)	N (%)	
Female		513 (27.4%)	3,137 (31.5%)	1,895 (41.2%)	779 (49.2%)	88 (50.3%)	< 0.00
Race	White	1,403 (75%)	8,142 (81.8%)	3,946 (85.8%)	1,382 (87.4%)	154 (88%)	< 0.00
	Black	47 (2.5%)	122 (1.22%)	42 (0.91%)	14 (0.88%)	2 (1.1%)	
	Asian	369 (19.7%)	1,515 (15.2%)	556 (12.1%)	172 (10.9%)	18 (10.3%)	
Paroxysmal AF		282 (15.1%)	1,558 (15.6%)	689 (15%)	240 (15.2%)	22 (12.6%)	0.68
Permanent/Persistent AF		1,589 (84.9%)	8,401 (84.4%)	3,908 (85%)	1,342 (84.8%)	153 (87.4%)	
	CHADS ₂ Score						< 0.00

GFR (ml/min/1.73m ²)		90	60-89	45-59	30-44	< 30	p- value
RELY (n=18,049)		N=1,293	N=9,487	N=5,091	N=2,022	N=156	
	0-1	815 (43.5%)	3,796 (38.1%)	1,276 (27.8%)	267 (16.9%)	29 (16.6%)	
	2	662 (35.4%)	3,501 (35.2%)	1,686 (36.7%)	607 (38.4%)	50 (28.6%)	
	3	266 (14.2%)	1,624 (16.3%)	937 (20.4%)	387 (24.5%)	62 (35.4%)	
	4	103 (5.5%)	768 (7.7%)	486 (10.6%)	200 (12.6%)	24 (13.7%)	
	5 to 6	26 (1.4%)	271 (2.7%)	213 (4.6%)	121 (7.6%)	10 (5.7%)	
Hypertension		1,568 (83.8%)	8,611 (86.5%)	4,107 (89.3%)	1,454 (91.9%)	166 (94.9%)	< 0.001
History of Stroke/ TIA		288 (15.4%)	1,784 (17.9%)	928 (20.2%)	345 (21.8%)	34 (19.4%)	< 0.001
Heart failure		624 (33.3%)	3,331 (33.4%)	1,720 (37.4%)	677 (42.8%)	90 (51.4%)	< 0.001
Diabetes Mellitus		523 (27.9%)	2,325 (23.3%)	1,138 (24.8%)	492 (31.1%)	63 (36%)	< 0.001
Antiplatelet		613 (32.8%)	3,117 (31.3%)	1,525 (33.2%)	571 (36.1%)	73 (41.7%)	
ENGAGE (n=20,798)		N=1,929	N=10,805	N=5,682	N=2,139	N=243	
Edoxaban 30mg		649 (33.6%)	3,591 (33.2%)	1,888 (33.2%)	717 (33.5%)	81 (33.3%)	
Edoxaban 60mg		663 (34.4%)	3,578 (33.1%)	1,895 (33.4%)	718 (33.6%)	78 (32.1%)	
Warfarin		617 (32.0%)	3,636 (33.7%)	1,899 (33.4%)	704 (32.9%)	84 (34.6%)	
Age (years)		65.1 ± 10.4	69.0 ± 9.3	73.0 ± 8.4	74.7 ± 7.9	73.3 ± 8.2	< 0.001
Weight (kg)		85.8 ± 23.0	84.4 ± 21.0	82.8 ± 19.7	83.8 ± 19.4	89.3 ± 20.6	< 0.001
		N (%)	N (%)	N (%)	N (%)	N (%)	
Female		493 (25.6%)	3,606 (33.4%)	2,589 (45.6%)	1,090 (51.0%)	134 (55.1%)	< 0.001
Race	White	1,457 (75.5%)	8,634 (79.9%)	4,698 (82.7%)	1,810 (84.6%)	213 (87.7%)	< 0.001
	Black	51 (2.6%)	143 (1.3%)	57 (1.0%)	19 (0.9%)	4 (1.7%)	
	Asian	345 (17.9%)	1,587 (14.7%)	700 (12.3%)	230 (10.8%)	14 (5.8%)	
Paroxysmal AF		500 (25.9%)	2,708 (25.1%)	1,494 (26.3%)	523 (24.5%)	61 (25.1%)	0.33
Permanent/Persistent AF		1,429 (74.1%)	8,095 (74.9%)	4,188 (73.7%)	1,615 (75.5%)	182 (74.8%)	
	CHADS ₂ Score						
	0-1	excluded	excluded	excluded	excluded	excluded	
	2	1,084 (56.2%)	5,545 (51.3%)	2,537 (44.7%)	785 (32.10%)	78 (32.1%)	< 0.001
	3	520 (27.0%)	3,141 (29.1%)	1778 (31.3%)	754 (35.3%)	97 (39.9%)	
	4	250(13.0%)	1,542 (14.3%)	894 (15.7%)	399 (18.7%)	44 (18.1%)	
	5 to 6	75 (3.9%)	577 (5.4%)	473 (8.3%)	201 (9.4%)	21 (9.9%)	
Hypertension		1,756 (91.0%)	10,030 (92.8%)	5,393 (94.9%)	2,053 (96.0%)	233 (95.9%)	< 0.001
History of Stroke/ TIA		569 (29.5%)	3,351 (31.0%)	1,708 (30.0%)	395 (28.6%)	55 (22.6%)	< 0.001
Heart failure		1,127 (58.4%)	6,086 (56.3%)	3,261 (57.4%)	1,320 (61.7%)	168 (69.1%)	< 0.001
Diabetes Mellitus		789 (40.9%)	3,805 (35.2%)	1,976 (34.8%)	822 (38.4%)	129 (53.1%)	< 0.001
Antiplatelet		609 (31.6%)	3,337 (30.9%)	1,820 (32.0%)	720 (33.7%)	89 (36.6%)	0.04

Patients of other race are not included in this table (edoxaban n=835; apixaban 302)

CHADS₂ denotes a cumulative score assigning one point each for congestive heart failure, hypertension, age >75, Diabetes and two points for a prior stroke/TIA

Missing data for Type of AF (RELY n=5; ARISTOTLE n=3; ENGAGE n=4), History of Stroke/ TIA (ENGAGE n=1)

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