



Published in final edited form as:

Nat Struct Mol Biol. 2021 May ; 28(5): 418–425. doi:10.1038/s41594-021-00583-9.

Structures of Chaperone-Associated Assembly Intermediates Reveal Coordinated Mechanisms of Proteasome Biogenesis

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Abstract

The proteasome mediates most selective protein degradation. Proteolysis occurs within the 20S core particle (CP), a barrel-shaped chamber with an $\alpha_7\beta_7\beta_7\alpha_7$ configuration. CP biogenesis proceeds through an ordered multistep pathway requiring five chaperones, Pba1–4 and Ump1. Using *S. cerevisiae*, we report high-resolution structures of CP assembly intermediates by cryogenic-electron microscopy. The first structure corresponds to the 13S particle which consists of a complete α -ring, partial β -ring (β_2 –4), Ump1, and Pba1/2. The second structure contains two additional subunits (β_5 –6) and represents a later pre-15S intermediate. These structures reveal the architecture and positions of Ump1 and β_2/β_5 propeptides, with important implications for their functions. Unexpectedly, Pba1's N-terminus extends through an open CP pore, accessing the CP interior to contact Ump1 and the β_5 propeptide. These results reveal how the coordinated activity of Ump1, Pba1, and the active site propeptides orchestrate key aspects of CP assembly.

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Author contributions

H.M.S., J.H., M.B., and A.G.M. performed the biochemical aspects of the work. R.W. and S.R. performed cryo-EM sample preparation, data collection, data processing, model building and refinement while R.W., S.R., and H.M.S. performed the data analysis. M.K. and J.R. performed the experiments of Fig 4F. G.T. helped with size exclusion chromatography, while M.A.P. performed mass spectrometry with supervision from J.A.P., S.P.G., and D.F. H.M.S., J.H., S.R., and R.W. prepared the figures. J.H. wrote the paper with assistance from H.M.S., R.W., and S.R., and input from all authors.

Competing Interests Statement

The authors declare that they have no conflict of interest.

Keywords

proteasome; core particle; Ump1; 13S; assembly; propeptide

The proteasome is responsible for most selective protein degradation and preferentially recognizes substrates that have been covalently modified by the small protein ubiquitin. The 2.5 MDa 26S proteasome holoenzyme consists of the central core particle (CP), a barrel shaped chamber, capped at either axial end by the regulatory particle (RP). The RP recognizes the ubiquitin tag, unfolds the substrate, and threads it through the central pore of the CP, while also releasing ubiquitin for reuse. The CP has three types of active site--chymotryptic, tryptic, and post-acidic--which endow the proteasome with the capacity to render most proteins into small peptides^{1,2}.

Like other large multisubunit protein complexes, the proteasome cannot assemble spontaneously. Rather, it is built through defined pathways orchestrated by dedicated chaperones that mediate assembly but which are themselves excluded from the final proteasome product. There are ten such chaperones known to contribute to assembly of the full 26S proteasome^{1,2}. Given the essential nature of the proteasome for viability, defects in proteasome assembly are typically associated with cellular dysfunction and a reduced capacity to respond to proteotoxic stress. In some cases, loss of chaperone proteins is the direct cause of human disease³.

The CP is assembled first and is a 700 kDa 28-member complex with an $\alpha_7\beta_7\beta_7\alpha_7$ configuration. Its assembly requires 5 dedicated chaperones: the heterodimeric pairs Pba1/2 and Pba3/4, and Ump1. Current models of CP assembly^{1,2}, based mainly on biochemical and genetic analyses, suggest that a complete α -ring is formed first with the assistance of Pba1/2 and Pba3/4, followed by sequential incorporation of β -subunits (Fig. 1a). The first β -subunit-containing intermediate that has been identified is the 13S complex, which contains the alpha ring (α_1-7), three beta subunits (β_2-4), Pba1/2, and Ump1⁴⁻⁶ (Fig. 1a). Pba3/4 are released prior to 13S formation, which has been thought to reflect steric clash between Pba4 and β_4 ^{7,8}. β_1 , β_5 , and β_6 join next, resulting in the 15S complex⁹. β_7 enters last, creating the half-CP, two of which then fuse in a poorly understood Ump1-dependent fashion to create the pre-holo-proteasome¹. Five β -subunits, including the three proteolytic subunits (β_1 , β_2 , β_5), are synthesized as precursor proteins with N-terminal propeptides. These propeptides prevent premature activation of the proteasome, but also play critical but still unexplained roles in CP assembly^{6,10}. Final activation of the proteasome results in cleavage of these propeptides, degradation of Ump1, and release of Pba1/2, generating mature 20S proteasome.

While the structure of mature CP has been known for decades¹¹, there has been little structural analysis of CP assembly, largely due to an inability to generate intermediates in sufficient quantities for analysis. In particular, the structure, localization, and function of Ump1 and the active site propeptides remain poorly understood. We hypothesized that specific CP mutants might stall in the assembly process, leading to enrichment of intermediates. We report here the first high-resolution structures of CP assembly intermediates, which explain key aspects of the process, identify new aspects of the

assembly pathway, and establish a robust framework for mechanistic analysis of CP biogenesis.

Results

Enrichment of Assembly Intermediates in Defined CP Mutants

We selected the *pre1-1* (β 4; S142F)¹² and *pre3-1* mutants (β 1, the post-acidic active site; G34D)¹³ mutants for initial analysis. *Pre1-1* was previously known to accumulate CP assembly intermediates¹⁴. *Pre3-1* not previously known to accumulate intermediates, but it showed a strong phenotype¹² and so we considered it worth investigating. We purified CP from both mutants using a C-terminal Pre1-TEV-ProA affinity tag inserted at the endogenous locus. CP from both mutants showed an altered electrophoretic profile compared to wild-type (Fig. 1b). Additional bands present around 31 kD (Fig. 1b) represent Pba1/2 and immature, unprocessed β 5 (Pre2), the latter specifically identified using a novel antibody directed against the 75-residue β 5-propeptide (Fig. 1b,c). A band at 16 kD, more prominent in *pre3-1* than *pre1-1*, was identified as Ump1 by mass spectrometry (Supplementary Table 1, Extended Data Set 1). Thus, both *pre1-1* and *pre3-1* preparations are enriched in immature CP species.

We analyzed the purified species by size exclusion chromatography using a Superose 6 column. Most *pre1-1* species co-migrated with wild-type 20S CP (Fig. 1d), suggesting a late block in assembly. Consistent with this, a prior study reported a low resolution (>20 Å) cryo-EM structure of pre-holo-proteasome from this mutant¹⁴. In contrast, *pre3-1* showed a prominent second peak of lower molecular weight (Fig. 1d,e), indicating that sub-20S particles were enriched in this mutant. These findings confirm that individual CP mutants may arrest at different stages of assembly. We chose to focus on the *pre3-1* mutant given its strong accumulation of sub-20S species.

Structure and Function of Ump1

Cryo-electron microscopy of the *pre3-1* material identified a mixture of 20S and sub-20S particles (Extended Data Fig. 1–3 and Table 1). We focused on two abundant sub-20S species, the first of which precisely corresponded to the 13S particle (3.6 Å) and consisted of Pba1/2, α 1–7, β 2–4, and Ump1 (Fig. 2a). The second (3.2 Å) consisted of the 13S and two additional subunits, β 5 (the chymotryptic active site) and β 6 (Fig. 2b). Consistent with this, native gel analysis of the *pre3-1* sample detected immature β 5 within sub-20S particles, confirming the presence of post-13S species (Fig. 1c). We refer to this particle as pre-15S. These structures reveal four key aspects of CP maturation: the overall architecture and position of Ump1, the structures of the β 2 and β 5 active site propeptides, and the relationship of Pba1/2 to the maturing CP.

In solution, free Ump1 is largely disordered¹⁵. In contrast, Ump1 formed a well-defined density within the 13S and pre-15S with similar local resolution to the rest of the structures (Fig. 2c,d and Extended Data Fig. 4). Ump1 was nestled into the undersurface of the α -ring, making multiple contacts with α 7 and α 1– α 4 (Fig. 2e, Extended Data Fig. 5, and Supplementary Table 2). Interestingly, Ump1 was situated opposite from the α 4–6 side,

which is the site occupied by Pba3/4 at an earlier stage of assembly⁸. Nevertheless, there would be significant steric clash between Ump1 and Pba4 in the vicinity of α 4 (Extended Data Fig. 6), suggesting that Pba3/4 exit the complex prior to Ump1's incorporation. This could explain prior observations that co-immunoprecipitation with Ump1 was much stronger for Pba1/2 than for Pba3/4¹⁶.

Ump1's overall structure consists of seven α -helices with intervening loops of variable length (Fig. 2d). Its N-terminus is situated near β 5/6 and winds around the β -ring towards β 2-4. Its central portion then forms a hinge or open safety pin-like structure that crosses from the β -ring into the α -ring (Fig. 2f). This region begins near the highly conserved HPLE sequence at residue 51 with a short helix (H2) that is immediately followed by a longer helix (H3) that runs from the β 3- β 4 interface alongside β 2 to end near α 7, where it is cradled between two adjacent α 7 helices (Fig. 2f). After a short turn, there is a second long helix (H4) that traverses deeper into the α -ring. A series of loops and smaller helices then wind around the CP cavity, ultimately terminating near the α 3/ α 4 interface. Ump1 is fully enclosed within the CP cavity (i.e. not extended beyond the β -ring), although Ump1's first 26 residues are not resolved. The overall position of Ump1 is supported by its concordance with prior cross-linking studies (Supplementary Table 3)¹⁴.

The β 2 and β 5 Propeptides are Coordinately Positioned with Ump1

The propeptides of active site subunits prevent premature proteolysis, but also play enigmatic roles in CP maturation^{6,10,17,18}. The 29-residue β 2 propeptide was resolved and ran across the inner surface of the β -ring from β 2's active site threonine along the entire width of β 3 (Fig. 3a). The propeptide then turned sharply, forming a helix that coursed along the length of β 3 toward the α -ring where, surprisingly, the propeptide terminated in contact with Ump1, sandwiched in between two segments of Ump1, β 3, and β 4 (Fig. 3b). Additional contacts were present between Ump1 and two adjacent helices within the main body of β 2 (Fig. 3c and Supplementary Table 2), implicating Ump1 in the overall positioning of β 2.

β 2 possesses a C-terminal extension that wraps around the exterior surface of β 3 and is thought to promote proper placement of β 3 during maturation (Extended Data Fig. 7)¹⁹. The propeptide's position, running across the inner surface of β 3, suggests that the propeptide may further promote β 3 incorporation. Interestingly, in the mature CP β 2's C-terminal extension also makes contacts with the other half of the CP barrel¹¹ and the C-terminal extension's central portion was largely unresolved in the 13S and pre-15S complexes (Extended Data Fig. 7), suggesting that it may be fully stabilized only upon joining of CP half-mers. If the C-terminal extension is not structurally stable at early stages of maturation, this might create a need for further stabilization of β 3 by the β 2 propeptide.

In the pre-15S, a small segment of the β 5 propeptide was resolved and corresponded to its N-terminal ten residues (Fig. 3a, d, and Extended Data Fig. 8). This density was in direct contact with Ump1's helix #4 and α 7, situated near the point where the hinge area of Ump1 is cradled by α 7. This position is compatible with a previously detected cross-link between Lys-16 in β 5 and α 6¹⁴.

Pba1 Accesses the CP Interior through a Novel Open Pore Configuration

Pba1 and Pba2 are thought to contribute to the generation of properly configured α -rings but may also function to prevent α - α ring dimerization and premature association of the RP with CP^{20,21}. Both proteins contain C-terminal HbYX motifs which are found in proteasome activators like the RP that open the CP gate. Interestingly, Pba1/2 show higher affinity for immature versus mature CP²² and are released from the 20S upon final CP activation. A prior crystal structure visualized Pba1/2 bound to CP, but since this structure was generated by combining recombinant bacterially produced Pba1/2 with wild-type mature yeast 20S²¹, it did not visualize Pba1/2 within its physiologic context of CP assembly, and could not explain why Pba1/2 preferentially bind immature CP (Fig. 1c) or how Pba1/2 are released upon completion of assembly. Of note, Pba1's N-terminus, which is discussed in detail below, was also not resolved in that crystal structure²¹.

In the 13S and pre-15S, Pba1/2 cap the outer surface of the α -ring with Pba1 located mainly at the α 5- α 6 interface and Pba2 positioned more over the central pore with its C-terminus extending into the α 6- α 7 interface (Fig. 4a). Both proteins' C-terminal HbYX motifs are inserted into their respective α -ring pockets (Fig. 4a), consistent with the prior study²¹. The CP pore is open and, unexpectedly, the N-terminus of Pba1 extends through the pore into the CP interior (Fig. 4b,c). The pore displays a conformation distinct from previously described open and closed states^{11,23}. The N-termini of α 5-6 are rotated dramatically upward, contacting Pba1 and running in parallel for a significant distance (Fig. 4d,e). In contrast, the N-terminus of α 2 is rotated downward into the CP cavity and runs in parallel with Pba1. Remarkably, Pba1's N-terminus contacts not only α 2, but also Ump1, the β 5 propeptide, and the other 6 α -subunits (Fig. 4d). This unique pore/ α -ring conformation is dependent on interactions between Pba1, Ump1, and the β 5 propeptide, and therefore likely exists only during CP maturation.

Despite possessing HbYX motifs, prior work found that Pba1/2 do not activate the CP in vitro²¹. This finding may be explained by occlusion of the pore by Pba1's N-terminus (Fig. 4b). To test this model, we deleted Pba1's N-terminal 12 residues, purified Pba1/2 from bacteria, and added them to purified CP. Pba1¹²/Pba2 stimulated hydrolysis of the peptide substrate LLVY-AMC, while Pba1^{wt}/Pba2 did not (Fig. 4f).

Discussion

Several lines of evidence support the physiologic relevance of the structures reported here. First, the composition of one of the two structures precisely recapitulates the biochemically defined 13S, a bona fide assembly intermediate that has been detected even in wild-type cells⁴⁻⁶. Second, the positions of Ump1 and the β 5 propeptide are fully consistent with previously determined cross-links to other CP subunits (Supplementary Table 3)¹⁴. Third, an arrest at the 13S or pre-15S stage is consistent with a molecular defect in *pre3-1* since maturation of 13S to 15S requires the addition of β 1 (Pre3), β 5, and β 6, although the exact order of addition remains unsettled⁹. An important, but unanswered question, is why the specific mutation in *pre3-1* results in such a strong assembly defect.

By providing the first high-resolution structures of maturing CP, the results presented here allow us to reassess important aspects of CP biogenesis. Ump1 was identified over 20 years ago²⁴, but little was known about its structure. It is intrinsically disordered outside of the CP¹⁵ and two low resolution (~20 Å) structures of CP assembly intermediates could not resolve its structure¹⁴. Furthermore, most models assumed that Ump1 projected out of the β -ring, an orientation that could have explained its role in promoting CP half-mer dimerization/fusion²⁴. In contrast, we show that Ump1 is highly structured in the context of maturing CP and no part of it extends beyond the β -ring (although residues 1–26 are not resolved). Ump1 appears to coordinate multiple aspects of CP biogenesis, interacting with the β 2/5 propeptides and Pba1, as well as 9 different α/β subunits (Extended Data Fig. 5 and Supplementary Table 2). A major aspect of Ump1 function appears to be proper β -ring configuration, and this central organizing role is facilitated by its extended α -helical nature which allows it to wind around the CP interior. It has been proposed that conformational changes in Ump1 drive CP half-mer dimerization/fusion²⁴. It is hard to envision how that might occur from these structures, although we can't exclude that such conformational changes might occur later in assembly. An alternate model is that Ump1 facilitates proper configuration of the β -ring, leading to half-CP which may have the inherent capacity to dimerize. Ump1's position also clashes strongly with Pba4, suggesting that it is the entry of Ump1 that evicts Pba3/4, and not β 4 as previously thought^{7,8}. Thus, Pba3/4 likely exit the maturing CP soon after completion of the α -ring.

The β 2 and β 5 propeptides have been known to play key roles in CP assembly for more than 20 years^{10,17}, but their structures were unknown and no precise function has been assigned to either of them. Our data indicate that the β 2 propeptide facilitates incorporation of β 3, and likely also β 4, suggesting that propeptides may play an important role in β -ring assembly. Ump1 helps position the β 2 propeptide in a manner that was not previously anticipated. The β 5 propeptide is the longest and most important of the propeptides, and previous models have suggested that it might also project out of the half-CP to promote dimerization⁶. In contrast, our structures indicate that the β 5 propeptide is directed toward the α -ring, consistent with prior cross-linking data¹⁴. This makes the prior models extremely unlikely, although again we cannot exclude conformational changes later in assembly. An interaction between Ump1 and the β 5 propeptide was first proposed more than two decades ago^{6,24} and our structures identify this interaction for the first time. It is interesting that both the β 2 and β 5 propeptides contact Ump1, and it is possible that the different lengths of the active site propeptides (19, 29, or 75 residues) may primarily be determined by the distance between each subunit and Ump1.

A major conundrum in the field has been the role of the C-terminal HbYX motifs in Pba1/2, which are found in proteasome activators like the RP which open the CP gate. There was no prior rationale for an open gate during CP assembly and experimental evidence indicated Pba1/2 did not stimulate CP activity *in vitro*²¹. Our structures explain these findings: Pba1/2 do open the CP gate but then Pba1's N-terminus enters the pore and fills it, preventing access of substrates to the CP interior. This highly unanticipated structural arrangement clarifies two other major unexplained aspects of Pba1/2: their preferential association with immature CP and their release from the CP upon completion of assembly. By accessing the CP interior, Pba1 makes contacts with immature aspects of the CP, including Ump1 and the

$\beta 5$ propeptide. Upon completion of assembly, the $\beta 5$ propeptide is cleaved and Ump1 is destroyed; disruption of these interactions with Pba1 could reduce its affinity for mature CP.

A final conundrum of CP assembly relates to the observation that while Pba1/2 and Pba3/4 mediate α -ring assembly, all 4 proteins sit on the same side of the ring ($\alpha 4-7$, with minor contacts to $\alpha 1$)^{7,21}. Thus, it has been unclear how Pba1-4 can ensure proper ordering of the subunits of the α -ring. Our structures indicate that Pba1's N-terminus contacts all 7 α -subunits, with major contacts to $\alpha 2$ and $\alpha 1$, providing a mechanistic basis for understanding the proper configuration of complete α -rings.

Proteasome assembly provides one of the most dramatic examples of dedicated molecular chaperone action in nature. Our approach establishes a framework for detailed mechanistic investigation of CP biogenesis, and suggests a general experimental approach to elucidate the structures of additional assembly intermediates. With enough informative mutants, it may be possible to generate a comprehensive structural atlas of the pathway of CP assembly.

Methods

Strains and Antibodies

The isogenic wild-type (YHI29W; *MATa ura3 leu2-3,112 his3-11,15 Can^s Gal⁺*), *pre1-1* (YHI29W/1), and *pre3-1* (YRG11) strains have been previously described¹². A C-terminal *HIS3*-marked TEV-ProA tag was inserted by homologous recombination into each strain to allow for CP purification, yielding sMB163 (WT), sMB164 (*pre1-1*), and sMB186 (*pre3-1*). Yeast (*S. cerevisiae*) were cultured in YPD (1% yeast extract, 2% Bactopectone, and 2% dextrose) at 30°C.

The $\beta 5$ (Pre2) propeptide (residues 1-75) was cloned into pET45 which provides for an N-terminal 6xHis-tag (pMB62). This construct was expressed in *E. coli* and purified by Nickel chromatography to near homogeneity. The purified protein was used to generate a rabbit polyclonal antibody. This antibody recognizes immature, unprocessed Pre2 and does not recognize mature Pre2. Full-length alpha5 was cloned into pGEX-4T-1 which provides for an N-terminal GST tag followed by a thrombin cleavage site (pMB45). This construct was expressed in *E. coli*, purified by glutathione-sepharose chromatography to near homogeneity, and cleaved with thrombin. The purified protein was used to generate a rabbit polyclonal antibody. The Pba1/2 antibody has been previously described²². The following antibody dilutions were used: anti-alpha5 (1:5000), anti-Pre2 propeptide (1:5000), and Pba1/2 (1:4000).

CP Purification & Analysis

CP was affinity purified using IgG resin (MP Biomedicals; ICN55961) as previously described²⁵. Analysis was by standard SDS-polyacrylamide gel electrophoresis followed by Coomassie staining or immunoblotting. For native gel analysis, 3.6 μ g of purified *pre3-1* material was analyzed using a 3-8% Tris-Acetate gel (Invitrogen), followed by Coomassie staining or immunoblotting.

Cryo-EM Sample Preparation

Purified CP was concentrated and injected over a Superose 6 10/300 GL column (GE Healthcare) equilibrated in SEC buffer (50mM Tris, pH 7.5, 1mM EDTA, and 100 mM NaCl). The CP-containing fractions were pooled and concentrated to ~4.5 mg/mL. Immediately prior to disposition onto 400 mesh Quantifoil Cu 1.2/1.3 grids that had been glow discharged in a PELCO easiGLOW (Ted Pella) at 0.39mBar, 15mA for 30s, 3 μ L of sample was mixed with 0.5 μ L of a 7 mM Fos-Choline, fluorinated solution (Anatrace). Fos-Choline, fluorinated solution (at a final concentration of 1 mM) was used to diversify the particle orientations in ice. Samples were vitrified in 100% liquid ethane using a Vitrobot Mark IV (Thermo Fisher Scientific), with a wait time of 15 s, blot time of 8 s, and a blot force of 20 at 100% humidity.

Cryo-EM Data Collection and Processing

Cryo-EM data were collected on a 300 kV Titan Krios G3i Microscope (Thermo Fisher Scientific) equipped with a K3 direct electron detector (Gatan) and a GIF quantum energy filter (25 eV) (Gatan) using counted mode. Data were acquired utilizing image shift and real-time coma correction by beamtilt using the automated data collection software SerialEM²⁶; nine holes were visited per stage position acquiring a single movie per hole. Details of the data collection and dataset parameters are summarized in Table 1. Dose-fractionated images were gain normalized, aligned, dose-weighted, and summed using MotionCorr²⁷. Contrast transfer function (CTF) and defocus value estimation were performed using CTFFIND4²⁸. Details of the data processing strategy are shown in Extended Data Fig.1. In short, particle picking was carried out using crYOLO²⁹ followed by initial 2D classification within Relion³⁰ to give 1,633,892 particles. Heterogeneous classification in cryoSPARC³¹, using initial models generated *ab initio* from prior small cryo datasets, was used to sort particles into 20S-like or 13S-like groups based on presence of presumed Ump1 density. The “13S-like” particles were subjected to further heterogeneous refinement within cryoSPARC leading to the identification of 259,892 13S particles. Following Bayesian particle polishing within Relion 3.1 and further 2D/3D classification, 76,731 13S particles were identified ultimately leading to a 3.6Å reconstruction following CTF refinement and non-uniform refinement within cryoSPARC. Additionally, a “pre-15S” class was identified which following further polishing and CTF refinement resulted in a 3.17Å reconstruction from 95,288 particles. 17,927 particles corresponding to 13S + β 5 were identified via subtraction of the β 5–6 domains followed by alignment free classification within Relion resulting in a 4.6Å reconstruction following non-uniform refinement within cryoSPARC.

The 20S particles underwent a similar polishing, 2D/3D classification, CTF refinement procedure to produce a final reconstruction at 2.7Å with C2 symmetry imposed (2.8 Å C1). Structural biology applications used in this project were compiled and configured by SBGRid³².

Model Building and Refinement

The higher resolution pre-15S model was built first. A starting model for Ump1 was generated using the robetta server (<https://robetta.bakerlab.org/>)³³. Relevant chains from

4G4S (α 1– α 7, β 2– β 6, Pba1, Pba2) were used as a starting model for the remainder of the pre-15S complex. Components were first rigid body fit into the electron density using UCSF Chimera³⁴. This was followed by iterative cycles of manual building and refinement using Coot³⁵ and ISOLDE³⁶ for manual building and Phenix³⁷ for real space refinement. The pre-15S complex and 1RYP were used as initial models for the 13S complex and the 20S *pre3-1* complex respectively. The 13S complex and the 20S *pre3-1* complex were subjected to the same iterative process of manual building and refinement. Protein-protein interactions were annotated using PDBePISA.

Figures were prepared using both UCSF Chimera and Chimera X.

Mass Spectrometry

Gel bands were excised, fragmented, and destained with 50 mM HEPES pH 8.2/acetonitrile (70/30 v/v). Proteins were reduced with 5 mM TCEP for 25 min and alkylated with 14 mM iodoacetamide for 30 min, and the reaction quenched with 10 mM DTT for 15 min. Dried gel fragments were rehydrated with 10 ng/ μ l of trypsin and digested overnight at 37°C. The resulting peptides were desalted and analyzed using a Q-Exactive mass spectrometer (Thermo Scientific).

Expression and Purification of Pba1/2

Plasmids for the expression of Pba1 or Pba1¹² from *E. coli* were derived from pJR586²². Primers pRL1000 (GGGCCCCTGGAACAGAAC) and pRL1001 (CTTTTAAACAATGGAATGAC) were used to generate plasmid pJR924, which allowed for the expression of GST-Pba1; after PreScission cleavage, two amino acids (Gly Pro) remain N-terminal of Pba1. To create an N-terminal truncation of the first 12 amino acids of Pba1, primers pRL1000 (GGGCCCCTGGAACAGAAC) and pRL1002 (AAACATCTGCTAGATCTCCAG) were used to create plasmid pJR931.

Pba1 or Pba1¹² was co-expressed with Pba2 (pJR585) in *E. coli* rosetta II cells and the dimer was purified using glutathione resin as described previously²². Following purification, the GST moiety was removed using GST PreScission protease. The protein complex was dialyzed against 50 mM Tris-HCl pH 6.8, 150 mM NaCl, 1 mM EDTA, 1 mM DTT, and 0.01% Triton X-100 overnight at 4°C or further purified in this buffer using size exclusion chromatography over a Superdex 200 6 10/300 GL column on an ÄktaTM go and concentrated using 10 kDa MW cutoff concentrator (PALL Life Sciences).

Reconstitution assays of CP with Pba1-Pba2

CP was purified as described previously³⁸. 3.25 μ M purified CP was reconstituted with a 10-fold molar excess of Pba1-Pba2 dimer, either Pba1 or Pba1¹², in reconstitution buffer (50mM Tris-HCl (pH-7.5), 5mM MgCl₂, 1mM EDTA, and 10% glycerol) for a total volume of 5 μ l and incubated for 30 min at 30 °C. Samples were mixed with 10x native gel loading buffer (50 mM Tris-HCl (pH 7.4), 50% glycerol and 60 ng ml⁻¹ xylene cyanol) and loaded onto a native gel. Electrophoresis was performed for 2 hours at 90 V, 4°C followed by in-gel CP activity (LLVY-AMC hydrolysis) assay using LLVY-AMC as fluorogenic substrate³⁹. The images were captured using G-Box imaging system from SynGene with GeneSnap

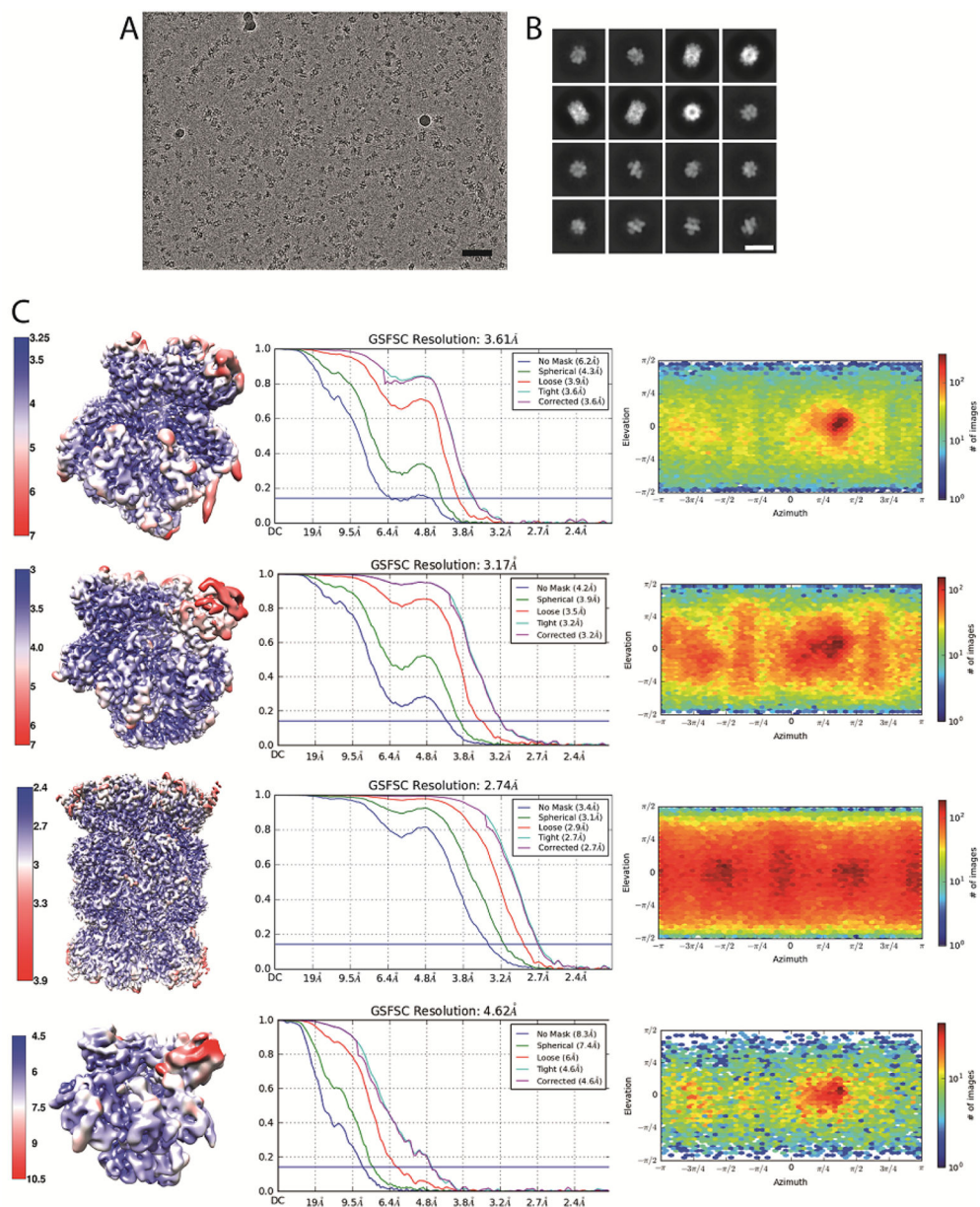
software. Next, gels were transferred onto polyvinylidene fluoride membrane for immunoblotting with polyclonal antibody against Pba1-Pba2. For in-solution assay, the CP was reconstituted with Pba1-Pba2 dimers as described above, but in a 1:20 molar ratio. The addition of 0.02% SDS to LLVY-AMC assays (in solution or in gel) resulted in activated CP through opening of the CP gate. The increase in fluorescence was monitored using Synergy 2 plate reader (BioTek Instruments Inc.).

Reporting Summary statement

Further information on experimental design is available in the Nature Research Reporting Summary linked to this article.

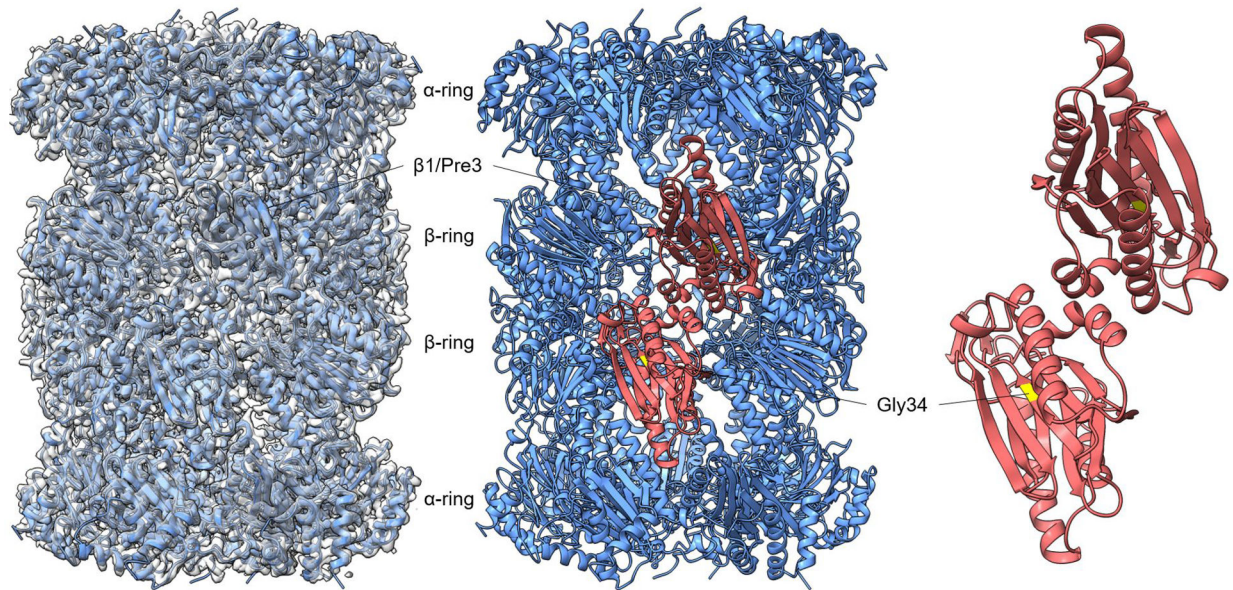
Data availability

Cryo-EM maps and atomic model coordinates have been deposited in the EMDB and RCSB respectively: 13S (EMD-23508, PDB 7LSX), Pre-15S (EMD-2350, PDB 7LS6), Pre3-1 20S (EMD-23502, PDB 7LS5). Additional structures referenced here include: PDB 4G4S, PDB 1RYP, PDB 2Z5C, and PDB 6FVY. Source data are available with the paper online.



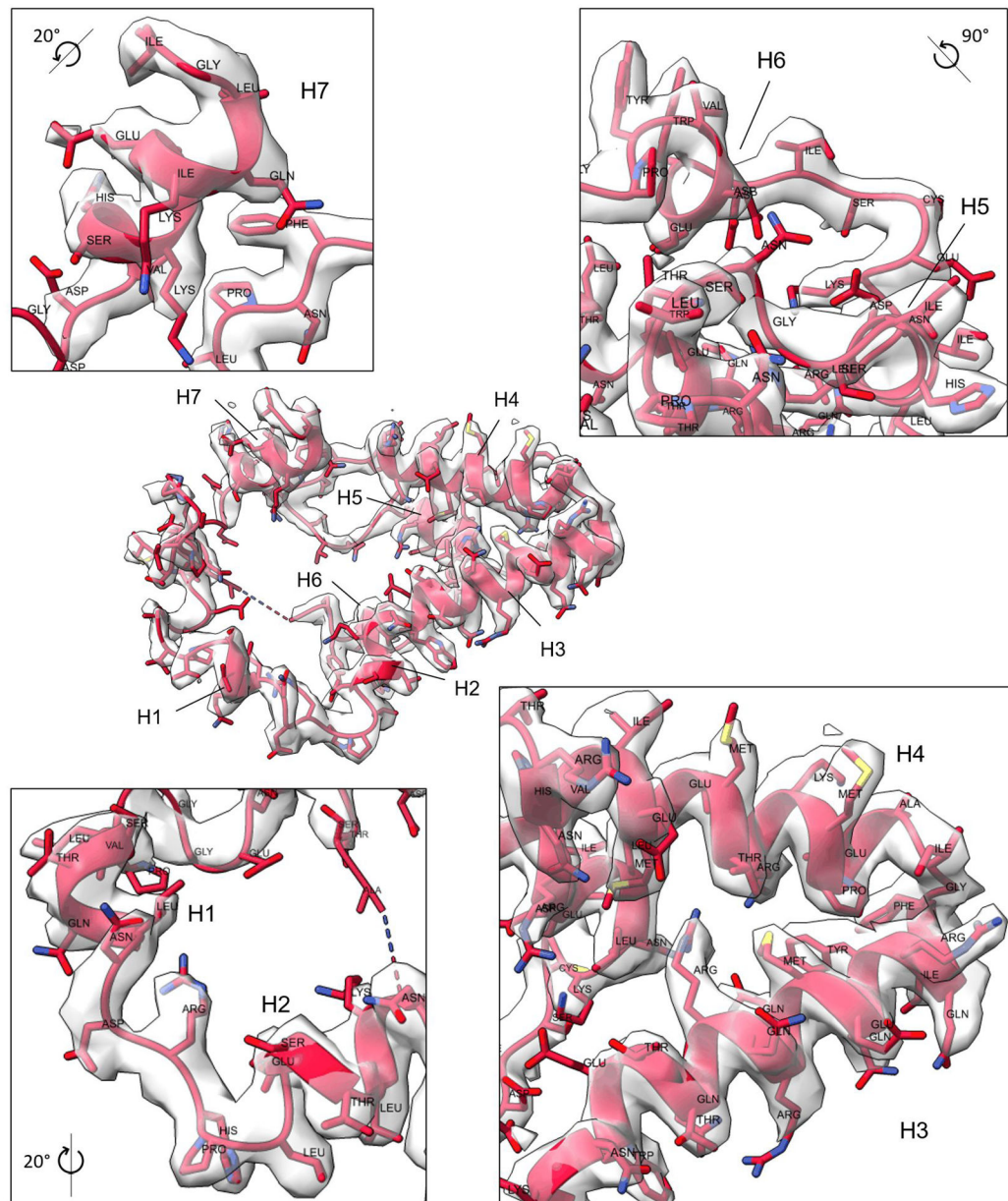
Extended Data Fig. 2. Cryo-EM data analysis for CP species.

a, Representative micrograph of proteasome particles embedded in vitreous ice (scale bar = 500Å). A total of 21,000 micrographs were collected from a single multi day experiment. **b**, Selected 2D class averages of 20S and 13S particles (scale bar = 200Å). **c**, Proteasome reconstructions filtered and colored by local resolution (left), gold-standard Fourier shell correlation (FSC) curves from cryoSPARC (center) and viewing direction distribution plots (right). Resolution determined at FSC = 0.143.



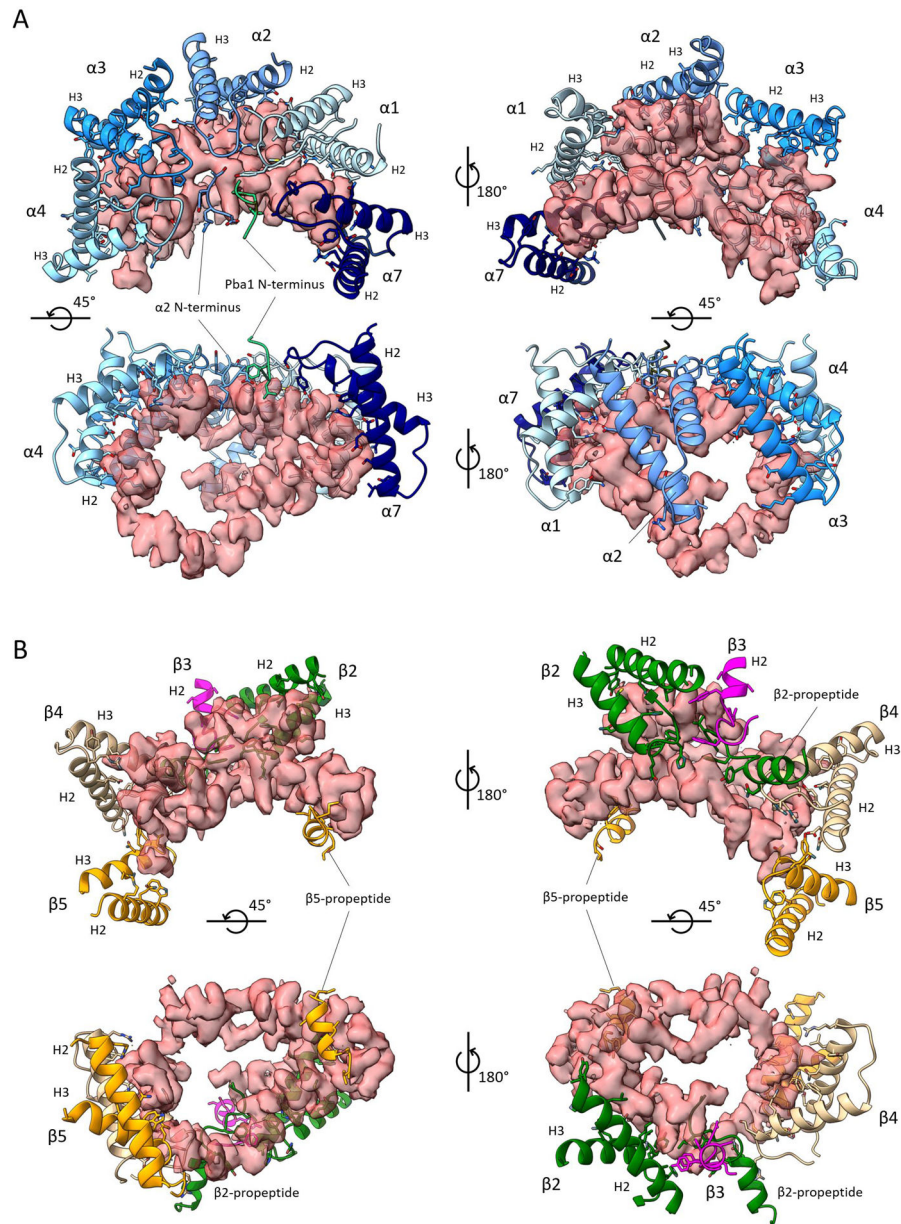
Extended Data Fig. 3. Structure of 20S CP from *pre3-1* mutant.

Cryo-EM density of the *pre3-1* 20S species (2.7 Å) modeled onto the crystal structure of wild-type mature 20S (PDB: 1RYP). The position of Pre3 ($\beta 1$) is indicated in red in the middle panel. *Pre3-1* harbors a G34D mutation¹³ and the position of G34 in 1RYP is shown in yellow in the right panel.



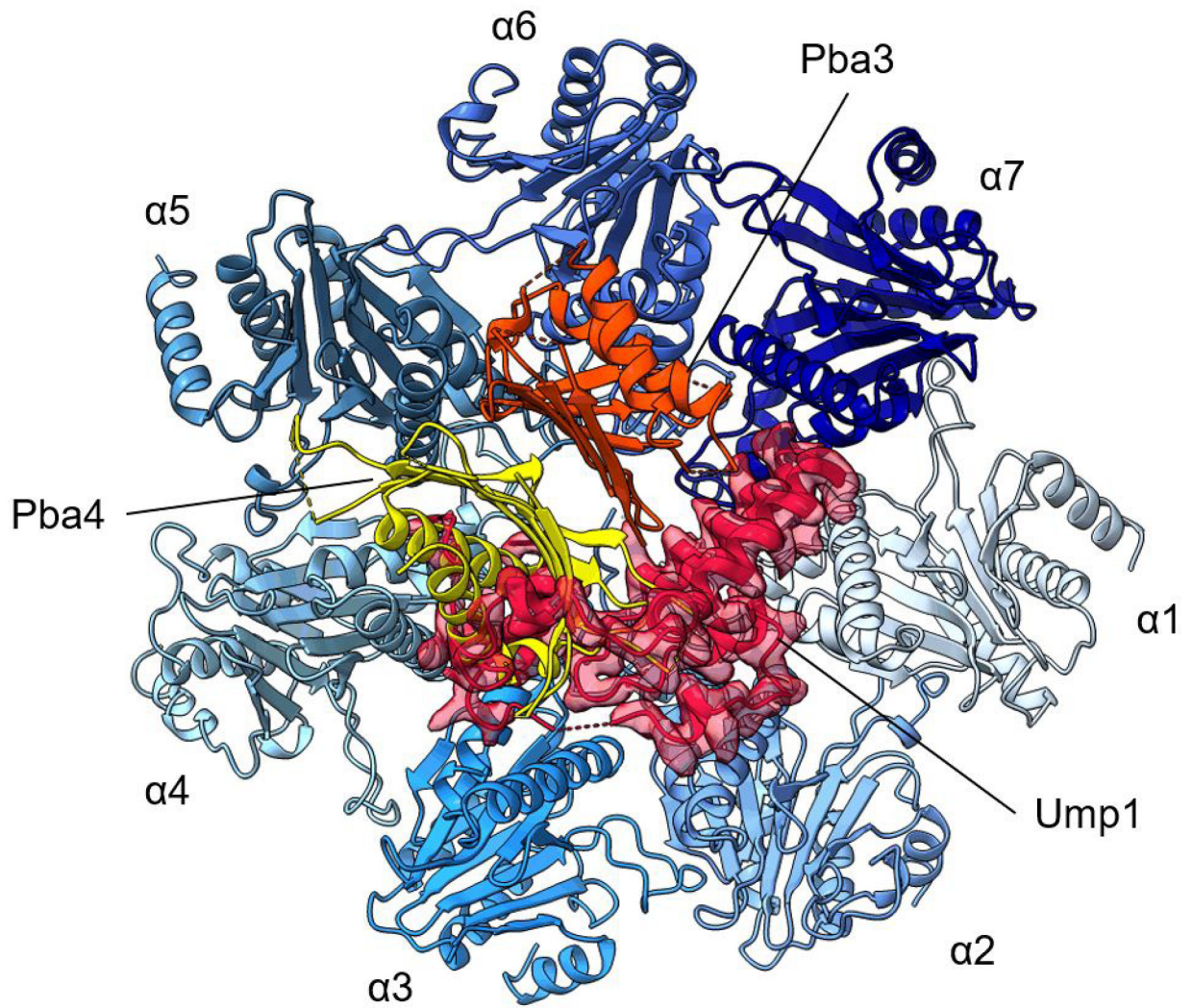
Extended Data Fig. 4. Confirmation of the assignment of Ump1 to the novel central density within 13S and pre-15S structures.

The Ump1 model is shown overlaid onto the primary cryo-EM map density. The four boxed panels show close-up views confirming that the density precisely matches the modeled amino acid side chains of Ump1.



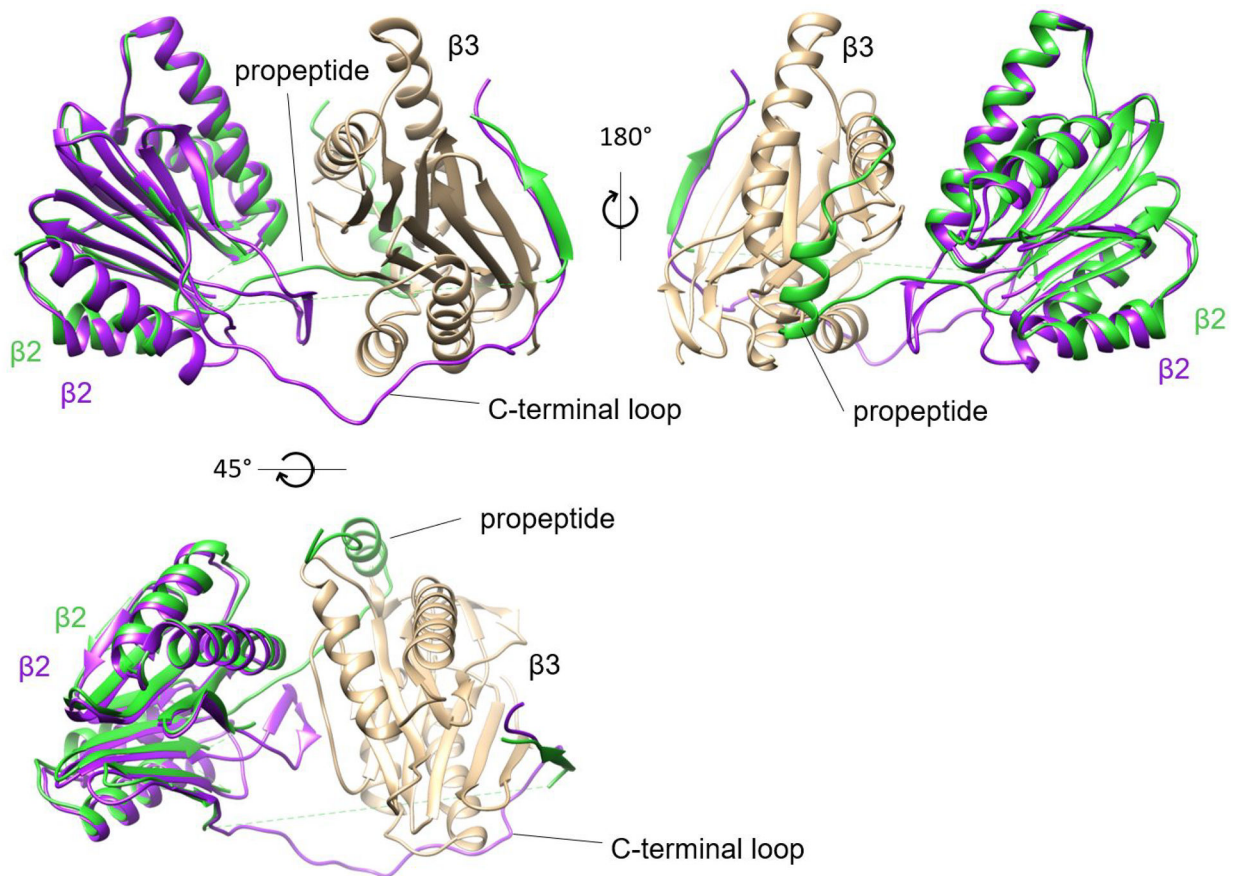
Extended Data Fig. 5. Extensive contacts between Ump1 and the CP.

a, Multiple views of Ump1's contacts with α -subunits and Pba1. **b**, Multiple views of β -subunits. In both panels, contacts were determined using PDBePISA (see Supplementary Table 1 for details).



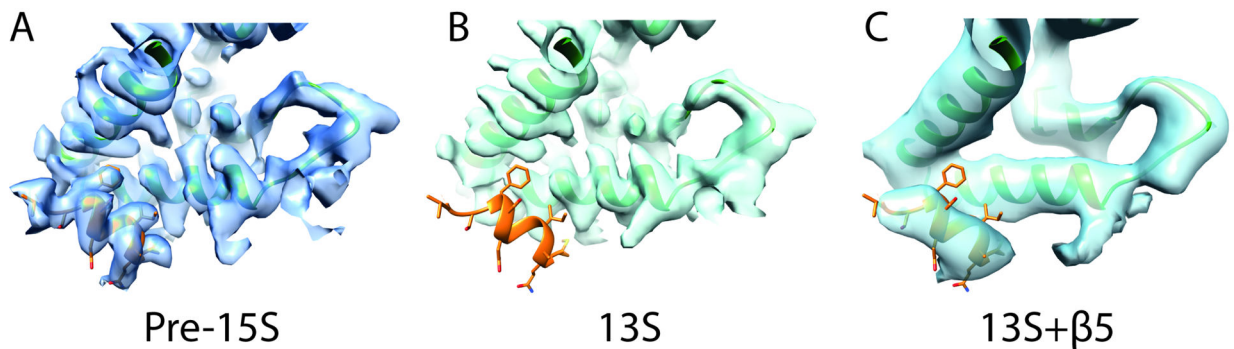
Extended Data Fig. 6. Potential steric clash between Ump1 and Pba4.

Surface of the α -ring with the associated Ump1 density. Pba3 and Pba4 (PDB: 2Z5C) have been modeled onto this structure, and Pba4 (yellow) shows extensive clash with Ump1 (red) in the vicinity of $\alpha 4$.



Extended Data Fig. 7. Comparison of $\beta 2$'s N-terminal propeptide and C-terminal loop in mature CP and pre-15S structures.

Relationship between $\beta 2$ and $\beta 3$ in the wild-type mature 20S (purple; PDB: 1RYP) and the pre-15S structure (green). Multiple views are shown. The propeptide is absent in mature 20S, while the C-terminal loop is largely unresolved in the maturing CP.



Extended Data Fig. 8. Identification of N-terminal $\beta 5$ propeptide helix.

a, Ump1 hinge region showing clear density assigned to $\beta 5$ propeptide (orange) in pre-15S reconstruction. **b**, Corresponding region of the 13S reconstruction shows no density. **c**, Low resolution map of 13S + $\beta 5$ reconstruction showing density is restored. Surrounding density in all panels hidden for clarity using a 2–3Å carve radius.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgements

Cryo-EM data were collected at the Harvard Cryo-Electron Microscopy Center for Structural Biology at Harvard Medical School. This work was supported by NIH grants DP5-OD019800 (to J.H.), R01-GM043601 (to D.F), R01-GM67945 (to S.G.), R01-GM132129 (to J.A.P.), P20-GM103418 (to J.R.), and R01-GM118660 (to J.R.).

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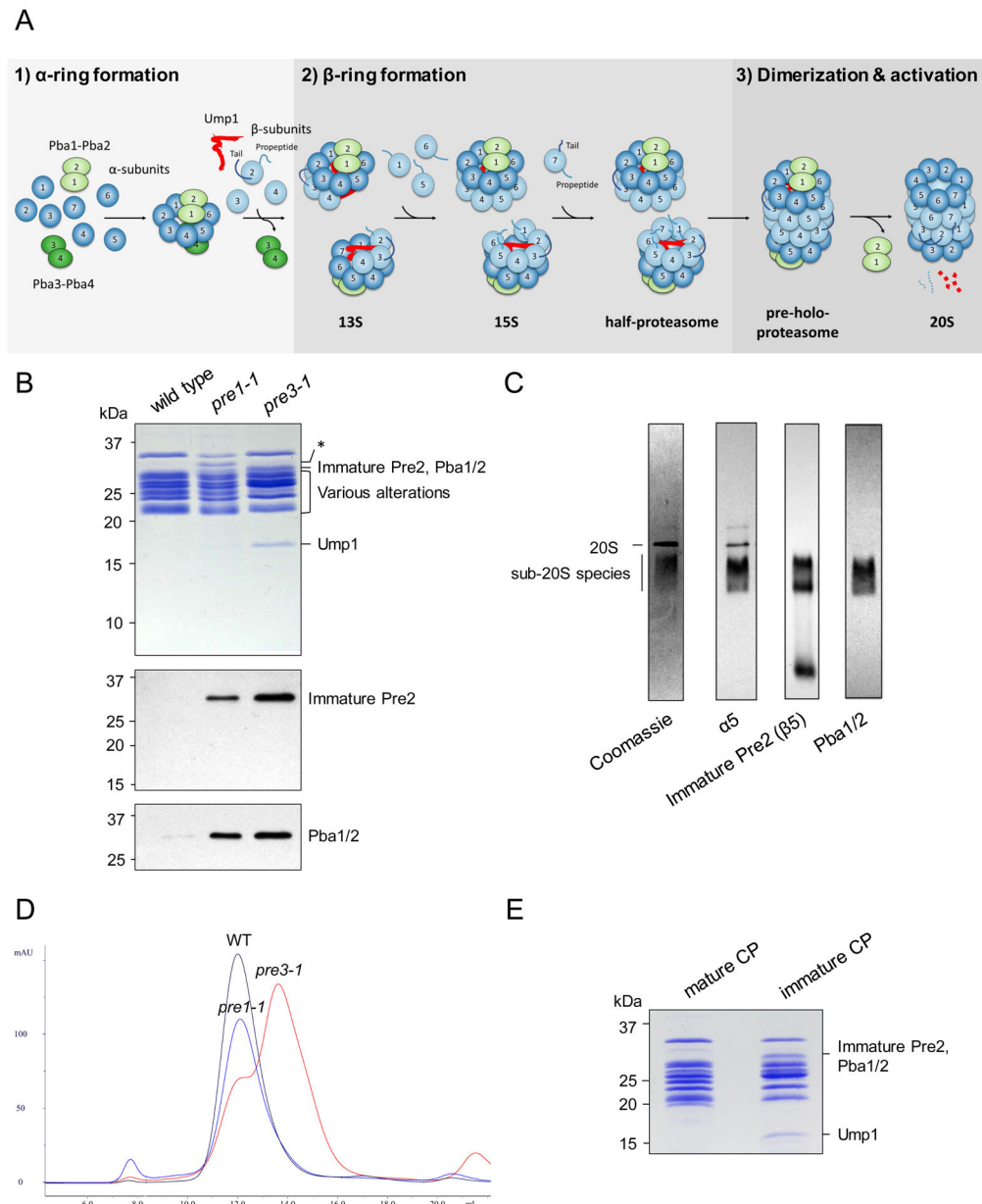


Fig. 1 | Biochemical characterization of CP mutants.

a, Schematic of CP assembly. **b**, Electrophoretic profiles of wild-type and mutant CP visualized by Coomassie staining (upper panel). Immature Pre2, Pba1, and Pba2 are of similar size and migrate together. Asterisk, an apparent breakdown product of $\alpha 7$ seen in *pre1-1* CP, the significance of which is uncertain. Relative abundance of immature Pre2 ($\beta 5$) and Pba1/2, as determined by immunoblot (lower panels). Similar results were obtained in five independent experiments. **c**, Non-denaturing gel electrophoresis of *pre3-1* CP followed by Coomassie staining or immunoblot with the indicated antibodies. In addition to the 20S CP, there is a population of sub-20S particles that is not seen in wild-type CP. Immature Pre2 is detected within these sub-20S species, confirming that they contain post-13S species. Pba1/2 were only identified within sub-20S species, consistent with their preferential

binding to immature CP. Similar results were obtained in five independent experiments. **d**, Size exclusion chromatography of the purified CP species shown in panel b. **e**, The heavier and lighter peaks from pre3-1 were pooled and analyzed by SDS-PAGE and Coomassie staining. Similar results were obtained in two independent experiments. Uncropped images for panels b, c and e are available online as source data.

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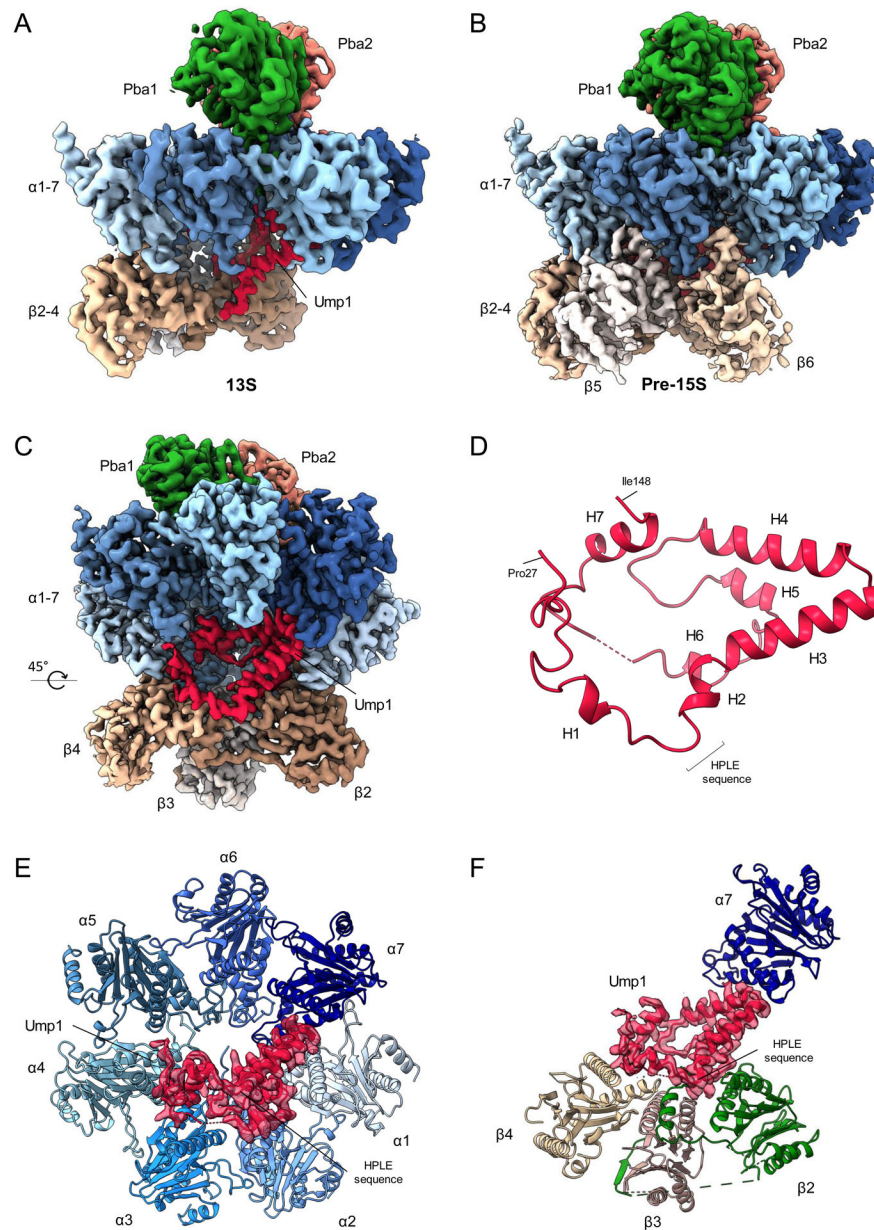


Fig. 2 | Structures of the 13S and pre-15S CP Assembly Intermediates.
a, Cryo-EM structure of a CP intermediate corresponding to the 13S particle (3.6 Å). **b**, Cryo-EM structure of the pre-15S particle (3.2 Å), which additionally contains $\beta 5$ and $\beta 6$. **c**, Rotated view of pre-15S providing a clearer view of the architecture and position of Ump1. $\beta 5/6$ have been omitted for clarity. **d**, Overall architecture of Ump1. The first and last resolved residues are labeled. **e**, Position of Ump1 within the α -ring making contacts with $\alpha 7$ and $\alpha 1-4$, as shown in the fitted atomic model. **f**, Ump1's major hinge region stretches between $\alpha 7$ and the incomplete β -ring.

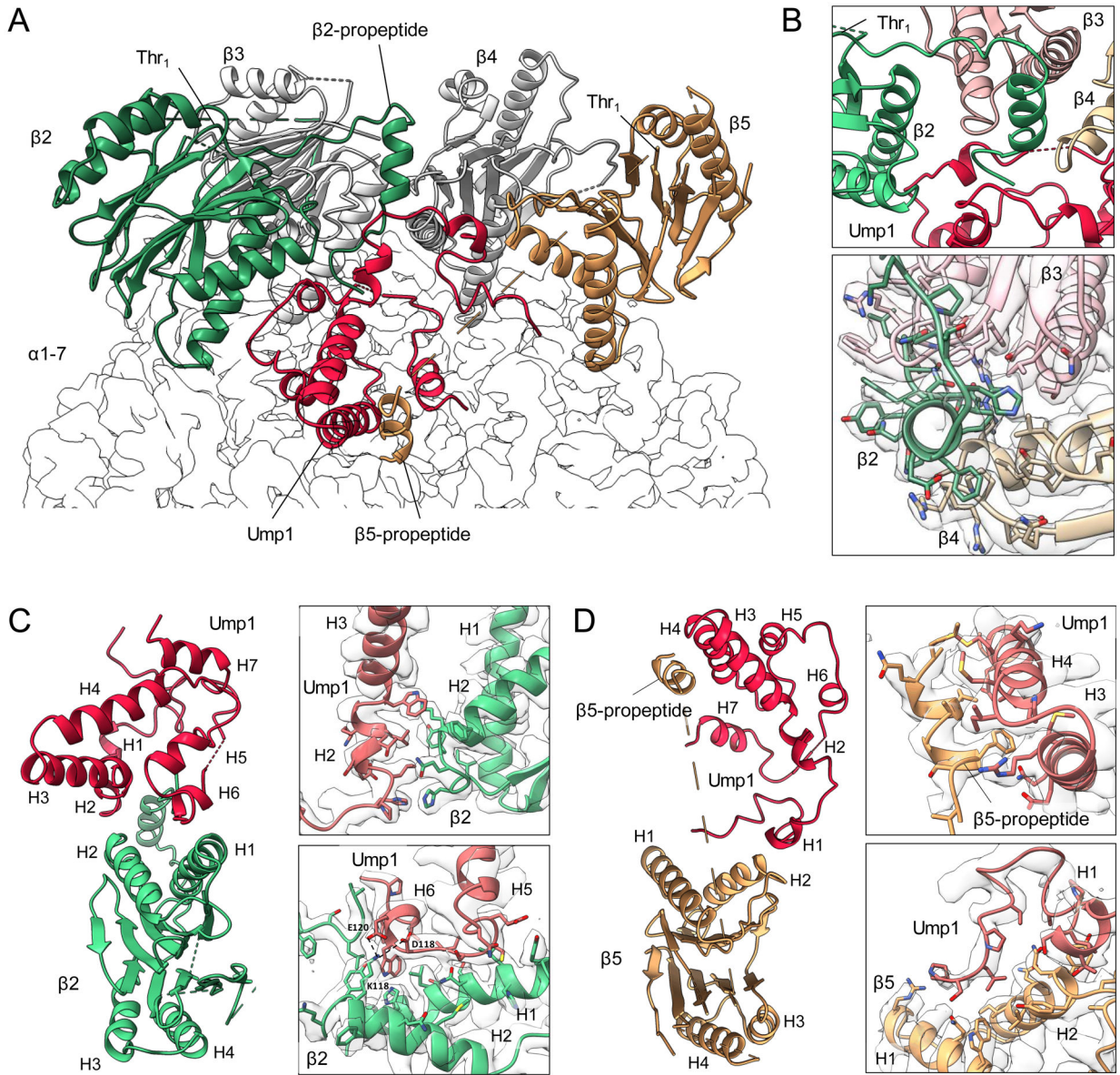


Fig. 3 | Structures of the $\beta 2$ and $\beta 5$ peptides.

a, Partial view of the pre-15S with the β -ring oriented upwards; $\beta 6$ has been omitted for clarity. The $\beta 2$ peptide runs in front of $\beta 3$ before turning sharply towards the α -ring. Only an N-terminal segment of the $\beta 5$ peptide is resolved, and it terminates in contact with Ump1 deep within the CP cavity. **b**, Close-up view of the $\beta 2$ peptide's N-terminus, which terminates sandwiched in-between Ump1, $\beta 3$, and $\beta 4$ (top panel). Close-up view of the extended interaction between the $\beta 2$ peptide and both $\beta 3$ and $\beta 4$ (bottom panel). **c**, Additional contacts between Ump1 and the main body of $\beta 2$, with close-up views shown in side panels. **d**, Interactions between Ump1 and $\beta 5$, with close-up views shown in side panels. In **c** and **d**, helices are numbered to facilitate orientation of the close-up views.

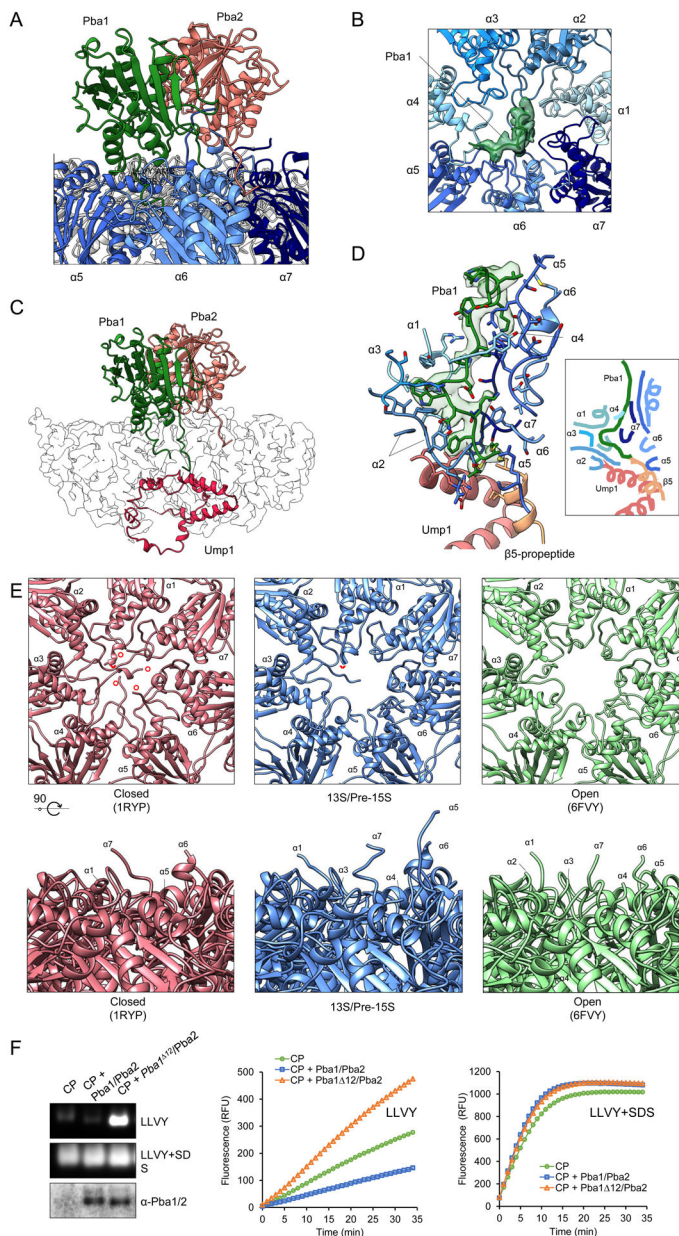


Fig. 4 | Pba1 Transits Through the Open CP Pore to Contact Ump1 and the $\beta 5$ propeptide.
a, Overall position of Pba1 and Pba2 with their HbYX motifs inserted into the $\alpha 5/6$ and $\alpha 6/7$ interfaces, respectively. **b**, The open gate of the CP with Pba1 filling the pore (top view). **c**, Passage of Pba1's N-terminus through the pore and into CP cavity where it terminates in contact with Ump1. **d**, Pba1's N-terminus contacts Ump1, the $\beta 5$ propeptide, and all 7 α -subunits. Right box, simplified cartoon rendering of the interactions. **e**, Comparison of the CP pore in closed, 13S/pre-15S and open states. Upper panels show the CP pore, as viewed from the exterior surface of the α -ring. The N-terminus of $\alpha 2$ is indicated by a red arrowhead; the N-termini of $\alpha 1$ and $\alpha 3$ –5 are indicated by red circles. Bottom panels show side views of the CP pore. Note that Pba1 has been omitted from these images for clarity, but that Pba1's N-terminus extends through and largely fills the pore (see

panel b), contributing to a conformation that is distinct from the open and closed states. **f**, CP enzymatic activity in the presence of Pba1^{wt}/Pba2 or Pba1¹²/Pba2, as determined by native in-gel activity assay (left panels) or liquid assay (right panels). Pba1/2 immunoblot confirms binding of Pba1¹²/Pba2 to CP. Similar results were obtained in two independent experiments. Uncropped images and data for graphs in panel f are available online as source data.

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Table 1 |

Statistics for cryo-EM analysis.

	13S (EMD-23508, PDB 7LSX)	Pre-15S (EMD-2350, PDB 7LS6)	Pre-3-1 20S (EMD-23502, PDB 7LS5)
Data collection and processing			
Magnification		47,169	
Voltage (kV)		300	
Electron exposure ($e^-/\text{Å}^{-2}$)		55.94	
Defocus range (μm)		0.8, 2.2	
Pixel size (Å)		1.06	
Symmetry imposed	C1	C1	C2
Initial particle images (no.)		1,633,892	
Final particle images (no.)	76,731	95,288	216,361
Map Resolution (Å)	3.61	3.17	2.74
FSC threshold		0.143	
Map resolution range (Å)	3.25–7.0	3.0–7.0	2.4–3.9
Refinement			
Initial model used	7LS6	4G4S	1RYP
Model resolution (Å)	3.60	3.20	2.70
FSC threshold	0.143	0.143	0.143
Map-sharpening B-factor (Å^2)	-83.7	-80	-91.7
Model composition			
Non-hydrogen atoms	22,220	25,100	49,153
Protein residues	2,838	3,210	6,315
B factors (Å^2)			
Protein	109.00	66.79	59.80
R.M.S.D. deviations			
Bond lengths (Å)	0.003	0.003	0.004
Bond angles ($^\circ$)	0.557	0.555	0.529
Validation			
MolProbity score	1.3	1.32	1.17

	13S (EMD-23508, PDB 7LSX)	Pre-15S (EMD-2350, PDB 7LS6)	Pre-3-1 20S (EMD-23502, PDB 7LS5)
Clastscore	4.98	5.51	3.81
Poor rotamers (%)	0	0.00	0.00
Ramachandran plot			
Favored (%)	97.82	97.90	98.29
Allowed (%)	2.18	2.10	1.71
Disallowed (%)	0	0	0

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