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Striatal cholinergic transmission. Focus on nicotinic receptors' influence in striatal circuits.

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Abstract

The critical role of acetylcholine (ACh) in the basal ganglia is evident from the effect of cholinergic agents in patients suffering from several related neurological disorders such as Parkinson's disease, Tourette syndrome or dystonia. The striatum possesses the highest density of ACh markers in the basal ganglia underlying the importance of ACh in this structure. Striatal cholinergic interneurons (CINs) are responsible for the bulk of striatal ACh, although extrinsic cholinergic afferents from brainstem structures may also play a role. CINs are tonically active, and synchronized pause in their activity occurs following the presentation of salient stimuli during behavioral conditioning. However, the synaptic mechanisms involved are not fully understood in this physiological response. ACh modulates striatal circuits by acting on muscarinic and nicotinic receptors existing in several combinations both presynaptically and postsynaptically. While the effects of ACh in the striatum through muscarinic receptors have received a particular attention, nicotinic receptors function has been less studied. Here, after briefly reviewing relevant results regarding muscarinic receptors expression and function, I will focus on striatal nicotinic receptor expressed presynaptically on glutamatergic and dopaminergic afferents and postsynaptically on diverse striatal interneurons populations. I will also review recent evidence suggesting the involvement of different GABAergic sources in two distinct nicotinic-receptor mediated striatal circuits: the disinaptic inhibition of striatal projection neurons and the recurrent inhibition among CINs. A better understanding of striatal nicotinic receptors expression and function may help developing targeted pharmacological interventions to treat brain disorders such as Parkinson's disease, Tourette syndrome, dystonia or nicotine addiction.

Keywords

cholinergic interneurons; acetylcholine; nicotinic receptors; muscarinic receptors; GABAergic interneurons; dopamine; glutamate; cognitive flexibility; electrophysiology

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Author contribution

Maxime Assous wrote the manuscript.

Conflict of interest

The author declares no conflict of interest.

Data sharing statement

All the data are available in the main text.

INTRODUCTION.

The Basal Ganglia (BG) are a group of interconnected subcortical nuclei that are involved in a variety of functions ranging for sensorimotor, cognitive, and reward related behaviors (Alexander *et al.*, 1986). Anatomical, electrophysiological and/or neurochemical alterations in several BG structures are associated with some of the most prevalent neurodegenerative and neuropsychiatric disorders. The striatum is the main input structure of the BG receiving massive excitatory innervation from almost the entire cortical mantle as well as several thalamic nuclei such as the parafascicular nucleus (Pfn), (Yeterian & Van Hoesen, 1978; Smith & Parent, 1986; Berendse & Groenewegen, 1990; Francois *et al.*, 1991; Sadikot *et al.*, 1992; Flaherty & Graybiel, 1993; Smith *et al.*, 2004; Haber *et al.*, 2006; Smith *et al.*, 2014; Haber, 2016). It also receives very dense dopaminergic projections from midbrain structures such as the substantia nigra pars compacta (SNc) and the ventral tegmental area (VTA) (Bolam *et al.*, 2000; Gerfen, 2000; Gerdeman *et al.*, 2003; Kreitzer & Malenka, 2008; Gerfen & Surmeier, 2011). While less studied, GABAergic structures such as the globus pallidus (GPe) and the midbrain or brainstem cholinergic nuclei such as the pedunculopontine nucleus (PPN) and the laterodorsal tegmental nucleus (LDT) also send significant projections to the striatum (Bevan *et al.*, 1998; Mallet *et al.*, 2012; Dautan *et al.*, 2014; Gittis *et al.*, 2014; Hegeman *et al.*, 2016; Assous *et al.*, 2019).

The striatum is an interesting structure where nearly all neurons are GABAergic (~99%). In rodents, 90–95% of striatal neurons are GABAergic spiny projection neurons (SPNs), equivalent to the principal cells of the striatum (Kemp & Powell, 1971; Chang *et al.*, 1982; Graveland & DiFiglia, 1985; Luk & Sadikot, 2001). These cells constitute the only efferents of the striatum and can be subdivided into 2 populations based on the expression of dopamine receptors subtypes (D1 or D2) as well as their projection to downstream BG structures. While D1-expressing “direct pathway” SPNs (dSPNs) principally project monosynaptically to the output structures of the BG (internal segment of the GP, GPi and substantia nigra pars reticulata, SNr), D2-expressing “indirect pathway” SPNs (iSPNs) mostly project to the output nuclei of the BG via two relays, the GPe and the subthalamic nucleus (STN, (Alexander *et al.*, 1986; Albin *et al.*, 1989; DeLong, 1990; Gerfen & Surmeier, 2011)). Via these pathways, dSPNs and iSPNs may have opposite influence on BG output structures and on movement generation.

The remaining striatal neurons (5–10%) are composed of different classes of interneurons. Most striatal interneurons are GABAergic and are essential to modulate striatal excitability and striatal output. At least 7 populations of striatal GABAergic interneurons have been identified (Tepper *et al.*, 2010; Tepper *et al.*, 2018). The most studied populations include parvalbumin (PV)-expressing fast-spiking interneurons (FSIs), the neuropeptide Y (NPY)/somatostatin (SOM)/nitric oxide synthase (NOS)-expressing low threshold spike (LTS) interneurons and the calretinin-expressing interneurons (CR) (Kawaguchi, 1993; Kawaguchi *et al.*, 1995). Additionally, other populations of striatal GABAergic interneurons have been identified and characterized such as the tyrosine hydroxylase-expressing interneurons (THINs, (Ibañez-Sandoval *et al.*, 2010; Xenias *et al.*, 2015)), a second population of NPY-expressing interneurons called neurogliaform interneurons (NGF, (Ibañez-Sandoval *et al.*, 2011)) as well as at least two populations targeted in the Htr3a-Cre transgenic mice; the fast

adapting interneurons (FAIs, (Faust *et al.*, 2015)) and the spontaneously active bursty interneurons (SABIs) (Assous *et al.*, 2018). It is also worth noting that the classification of these cell types may not be complete yet and some of these striatal GABAergic interneurons such as the FSIs or the THINs could be further subdivided into several subpopulations ((Ibañez-Sandoval *et al.*, 2010; Garas, 2016; Bengtsson Gonzales *et al.*, 2020).

Only one striatal interneuron population is not GABAergic, the cholinergic interneurons (CINs), which release acetylcholine (ACh, ~ 1% of striatal neurons (Wilson *et al.*, 1990), but see (Lozovaya *et al.*, 2018)). Despite their low number, the striatum possesses the highest density of cholinergic varicosities and cholinergic markers in the basal ganglia and one of the highest in the brain (Mesulam *et al.*, 1984; Zhou *et al.*, 2002). CINs constitute the principal source of ACh in the striatum although extrinsic sources of ACh in the striatum originate from the PPN and LDT region (Dautan *et al.*, 2014). Such a high density of cholinergic markers underscores the importance of ACh neurotransmission in the striatum. Its particular interest comes partly from the effects of cholinergic and anticholinergic agents in patients with movement disorders, as well as in animal models of basal ganglia-dependent behaviors, suggesting a critical role for acetylcholine in the normal function of the basal ganglia (Pisani *et al.*, 2007; Bonsi *et al.*, 2011; Schulz & Reynolds, 2013; Girasole & Nelson, 2015; Gonzales & Smith, 2015; Mallet *et al.*, 2019).

Different sources of ACh in the striatum

CINs are anatomically characterized as giant aspiny neurons (Wilson *et al.*, 1990) and may present different dendritic profile and properties depending on the species or the location in specific striatal territories (Gonzales & Smith, 2015; Lozovaya *et al.*, 2018; Ahmed *et al.*, 2019). Electrophysiologically, CINs are believed to correspond to most of the tonically active neurons recorded in the primate and rodent striatum. They fire spontaneously at 2–10Hz both in vivo and in brain slices (Wilson *et al.*, 1990; Aosaki *et al.*, 1995; Bennett & Wilson, 1999; Bennett *et al.*, 2000; Reynolds *et al.*, 2004; Goldberg & Wilson, 2005; Wilson, 2005; Schulz & Reynolds, 2013), and importantly CINs show synchronized pauses in response to sensory salient events during behavioral conditioning (Kimura *et al.*, 1984; Aosaki *et al.*, 1994b; Aosaki *et al.*, 1995; Apicella *et al.*, 1996; 1997; Apicella, 2002; Morris *et al.*, 2004; Joshua *et al.*, 2008; Apicella, 2017). This “conditioned pause response”, which often consists of an initial pause in firing rate (~200 ms), occasionally preceded by a short burst of firing and followed by a rebound increase in firing rate, is modulated by dopamine and thalamic afferents from Pfn (Matsumoto *et al.*, 2001; Morris *et al.*, 2004; Joshua *et al.*, 2008). It has been suggested that the pause, which may regulate striatal plasticity conveys attention-related signals, possibly contributing to the interruption of ongoing behavior when a stimulus eliciting an orienting reaction is detected (Ding *et al.*, 2010; Deffains & Bergman, 2015; Zhang & Cragg, 2017). However, the circuits mechanisms responsible for these physiological responses are still debated. Further, cholinergic signaling in the striatum is important for reward learning and behavioral flexibility, a capacity that involves the updating of action-outcome associations that is commonly tested through reversal learning paradigms (Ragozzino *et al.*, 2002; Potter *et al.*, 2006; Ragozzino *et al.*, 2009; Powell & Ragozzino, 2017; Prado *et al.*, 2017). While these functions have been solely attributed to CINs, extrinsic cholinergic inputs to the striatum originating from the PPN and the LDT may also

play an important role (Coimbra *et al.*, 2019; Dautan *et al.*, 2020). Anatomically, the PPN mostly innervates the dorsolateral striatum whereas the LDT innervates the dorsomedial striatum and the ventral striatum (Dautan *et al.*, 2014). Behaviorally, the activation of cholinergic input from LDT to the ventral striatum plays an important role in motivated behaviors and positive reinforcement (Coimbra *et al.*, 2019). Further, similar to the inhibition or lesion of striatal CINs, inhibition of ACh release from these extrinsic sources impairs contingencies association and habit formation in an instrumental task (Dautan *et al.*, 2020).

However, while it is clear that cholinergic signaling is essential for striatal functioning, a major gap remains in understanding the circuits underlying these function as well as the involvement of the different subtypes of ACh receptors.

Muscarinic receptors expression and function in striatal circuits.

The effect of ACh in the striatum through muscarinic receptors (mAChRs) have received a particular attention in part due to their wide cellular distribution. Indeed, in contrast to nicotinic receptors (nAChRs), mAChRs are expressed on the axon terminals of most striatal afferents as well as by all examined striatal neurons including the SPNs (for review see (Goldberg *et al.*, 2012)). The expression of different subtypes of mAChRs in striatal glutamatergic afferents and striatal neurons is briefly reviewed below. For more information, readers are referred to some excellent recent reviews (Goldberg *et al.*, 2012; Tanimura *et al.*, 2018; Abudukeyoumu *et al.*, 2019). The mAChRs are G protein-coupled receptors (GPCR) subdivided into two classes. The M1 receptors class (comprising M1, M3, and M5 receptors) are coupled to Gq/11 G proteins and activate protein kinase C and phospholipase C. The M2 class receptors (comprising M2 and M4 type receptors) are coupled to Gi/o G proteins and inhibit adenylyl cyclase.

Muscarinic receptors on striatal glutamatergic afferents

The majority of glutamatergic afferents to the dorsal striatum originate from almost every cortical area and several thalamic nuclei as well as other structures such as the PPN and the STN (see (Assous & Tepper, 2019) for review). While it has been shown that mAChRs are expressed on striatal glutamatergic afferents, the selective expression of mAChRs subtypes on specific glutamatergic afferents needs further investigation. Presynaptic mAChRs expressed on glutamatergic afferents are mostly M2 and M4 mAChRs that likely mediate presynaptic inhibition (Hersch *et al.*, 1994; Levey *et al.*, 1994; Hersch & Levey, 1995; Smolders *et al.*, 1997; Calabresi *et al.*, 1998a; Pancani *et al.*, 2014). The activation of these receptors diminishes glutamate release and reduces the excitatory drive onto SPNs (Akaike *et al.*, 1988; Malenka & Kocsis, 1988; Calabresi *et al.*, 1998a; Barral *et al.*, 1999; Pakhotin & Bracci, 2007; Higley *et al.*, 2009). At the level of a single glutamatergic synapse, mAChRs activation decreases both the probability of release and the concentration of glutamate in the synaptic cleft. This may be an important mechanism to reduce the duration of cortex-evoked excitatory post synaptic potentials which could limit the temporal summation of excitatory inputs (Higley *et al.*, 2009). Interestingly, using a paired recording approach, it was demonstrated that a single action potential in one CIN is able to reduce the evoked

glutamatergic EPSC in a substantial proportion of SPNs and CINs located nearby (Pakhotin & Bracci, 2007).

Additionally, it has been demonstrated that CINs-induced reduction of corticostriatal activity could be engaged after the activation of thalamostriatal projections. Indeed, thalamostriatal projections especially originating from the PfN robustly innervate striatal CINs (Meredith & Wouterlood, 1990; Wilson *et al.*, 1990; Lapper & Bolam, 1992; Ding *et al.*, 2010; Doig *et al.*, 2014; Assous *et al.*, 2017; Assous & Tepper, 2019). Activation of this pathway evokes a transient suppression of excitatory cortical input to both classes of SPNs (Ding *et al.*, 2010). This was due to presynaptic inhibition of corticostriatal terminals via M2 mAChRs. This temporary suppression of corticostriatal activity via the thalamic activation of CINs might be involved in the suppression of ongoing behavior after the occurrence of salient stimuli (Matsumoto *et al.*, 2001; Minamimoto & Kimura, 2002; Ding *et al.*, 2010).

Muscarinic receptors on striatal neurons

SPNs—SPNs constitute the vast majority of striatal neurons (~90–95%) and express mAChRs. Accordingly, most of the CIN's role in striatal circuits has been attributed to the modulation of SPNs excitability by mAChRs (Goldberg *et al.*, 2012). Nonetheless, the modulation of SPNs by ACh through the activation of postsynaptic mAChRs is complex and needs to be further clarification.

At the cellular level, M1 mAChR mRNA is highly expressed in dSPNs and iSPNs (Goldberg *et al.*, 2012; Gonzales & Smith, 2015). Although both types of SPNs express M4 mAChRs, these are expressed at higher level in dSPNs in comparison to iSPNs (Bernard *et al.*, 1992; Yan *et al.*, 2001). Activation of M1 mAChRs increases the excitability of SPNs (Dodt & Misgeld, 1986; Hsu *et al.*, 1996; Galarraga *et al.*, 1999; Lin *et al.*, 2004; Shen *et al.*, 2005; Pisani *et al.*, 2007) and are involved in the second phase of the feedforward modulation of corticostriatal afferents to SPNs via the thalamostriatal activation of CINs by enhancing the dendritic excitability of iSPNs (Ding *et al.*, 2010). Further, optogenetic activation of SPNs evokes inhibitory postsynaptic responses in CINs which can be reduced by activating presynaptic M1 mAChRs located on terminals of SPNs in a concentration dependent manner (Suzuki & Momiyama, 2020).

In contrast, activation of M4 receptors on SPNs leads to the inhibition of Ca²⁺ channels, a decreased collateral activity between SPNs, as well as a decrease in excitability and inhibition of long-term potentiation (Perez-Rosello *et al.*, 2005; Yamamoto *et al.*, 2013; Mamaligas & Ford, 2016; Mamaligas *et al.*, 2019).

FSIs—Striatal FSIs are considered as the main source of feedforward inhibition to SPNs. They are strongly innervated by extrinsic excitatory afferents from the cortex and the thalamus and exert a powerful inhibitory effect on SPNs (Koos & Tepper, 1999; Mallet *et al.*, 2005; Gittis *et al.*, 2010; Szydlowski *et al.*, 2013; Assous & Tepper, 2019). The precise regulation of FSIs by CINs is still not fully understood. It has been demonstrated that the synaptic connection between FSIs and SPNs is negatively regulated by presynaptic mAChRs (Koos & Tepper, 2002). The receptor involved in this inhibition has not been precisely identified, but may correspond to the M2-class (Grilli *et al.*, 2009).

LTSs—Immunocytochemical and in situ hybridization approaches have demonstrated that somatostatin-expressing striatal neurons, electrophysiologically identified as LTSIs express M1, M2 and, for a small percentage of them, M4 receptors (Bernard *et al.*, 1992; Bernard *et al.*, 1998). Pharmacological experiments suggest a dual role of mAChRs activation on LTSIs. Indeed, while mAChRs activation inhibits LTSIs directly, activation of these receptors concomitantly reduces their spontaneous inhibitory synaptic inputs (Elghaba *et al.*, 2016). Importantly, the inhibitory effect of mAChRs on LTSIs has been confirmed after optogenetically-induced synaptic release of ACh by CINs (Melendez-Zaidi *et al.*, 2019). Activation of CINs provokes a hyperpolarization of LTSIs mediated by the activation of M4 mAChRs. Further, the hyperpolarization was followed by rebound bursting activity that was maintained for several seconds after the single optogenetic stimulation of CINs (Melendez-Zaidi *et al.*, 2019). This pathway could also be engaged after stimulation of thalamostriatal afferents from the PfN. In this case, the pause induced in LTSIs was a combination of GABAergic and M4 mAChR signaling (Assous *et al.*, 2017; Melendez-Zaidi *et al.*, 2019; Frost Nysten *et al.*, 2020).

CINs—Anatomical studies have shown that M1, M2, and M4 receptors can act as autoreceptors in CINs (Bernard *et al.*, 1992; Yan & Surmeier, 1996; Bernard *et al.*, 1998; Alcantara *et al.*, 2001). Application of muscarinic agonists can hyperpolarize and silence cholinergic interneurons via postsynaptic M2 and M4 autoreceptors (Calabresi *et al.*, 1998b; Bonsi *et al.*, 2008). However, in experiments investigating synaptic connectivity among striatal CINs, such muscarinic inhibition has not been reported (Sullivan *et al.*, 2008; Dorst *et al.*, 2020). This raises the possibility that these receptors could be selectively activated by brainstem cholinergic structures.

Nicotinic receptors

Presynaptic Nicotinic receptors—nAChRs are pentameric ligand-gated ion channels that consist of either heteromeric subunit combinations of α subunits ($\alpha 2-10$) and β subunits ($\beta 2-4$; (Albuquerque *et al.*, 1995; Exley & Cragg, 2008; Gotti *et al.*, 2009)). The most common types of nAChRs in striatum are the homomeric α subunits ($\alpha 7$) and $\alpha 4\beta 2^*$ (Exley & Cragg, 2008).

The main role of nAChRs in the central nervous system is to modulate the release of neurotransmitters via presynaptically located receptors. These presynaptic nAChRs have been shown to modulate the release of a large variety of neurotransmitters mainly via modifying presynaptic calcium permeability and intracellular calcium signaling (Dani, 2001; Dajas-Bailador & Wonnacott, 2004; Dani & Bertrand, 2007). Several subtypes of nAChRs with different subunit composition, and therefore different functional properties, are differentially located on diverse afferents.

However, given the large number of possible combinations of nAChR subunits, the specific function given by the expression of a distinct subtype of nAChR in a selective afferent is far from being understood. In addition, some terminals (or some neurons) co-express several subtypes of nAChRs which makes the comprehension of these functions even more complex. Given the involvement of nAChRs in a large number of neurological and

neuropsychiatric disorders such as Parkinson's disease or nicotine addiction (Dani, 2001; Gotti *et al.*, 2006a; Gotti *et al.*, 2006b; Quik & McIntosh, 2006; Dani & Bertrand, 2007; Exley & Cragg, 2008), this calls for a better characterization of 1) the expression of nAChRs subtype(s) presynaptically by different striatal afferents and postsynaptically by striatal interneurons and 2) determine the function of the selective nAChRs composition on neurotransmitter release, postsynaptic depolarization, influence in striatal microcircuits and physiologic and pathologic behaviors. This may allow better targeted drug strategies for the diseases listed above.

Glutamatergic afferents—Several studies using immunoprecipitation, microdialysis or radioligand binding have suggested that glutamate terminals in the striatum express $\alpha 7$ -containing nAChRs (Kaiser & Wonnacott, 2000; Campos *et al.*, 2010; Licheri *et al.*, 2018). In comparison to $\alpha 4\beta 2$ nAChRs which are the most abundantly expressed nAChRs subtype in the striatum, $\alpha 7$ nAChRs are more permeable to calcium (Bertrand *et al.*, 1990; Dickinson *et al.*, 2008) and show less desensitization (Keath *et al.*, 2007). Their activation on corticostriatal terminals enhances glutamate release in the striatum (Kaiser & Wonnacott, 2000; Campos *et al.*, 2010). In an experiment using glutamate biosensors to monitor nAChR-induced glutamate release, it was found that both $\alpha 7$ and $\alpha 4\beta 2$ nAChRs were able to modulate glutamate release (Howe *et al.*, 2016). However, while $\alpha 7$ nAChRs activation can directly enhance glutamate release, $\alpha 4\beta 2$ nAChR activation acts as a brake on glutamate release. However, the effect of $\alpha 4\beta 2$ nAChRs is indirect by enhancing dopamine release (see below), which inhibits glutamate release by acting on presynaptic D2 receptors (Cepeda *et al.*, 2001; Surmeier *et al.*, 2007; Xiao *et al.*, 2009; Howe *et al.*, 2016; Licheri *et al.*, 2018).

While the studies above revealed the role of $\alpha 7$ -containing nAChRs in enhancing glutamate release, they did not reveal whether this mechanism occurs differentially in specific extrinsic excitatory input. A recent study described the existence of a novel feed-forward excitatory circuit where stimulation of pyramidal tract corticostriatal neurons evokes biphasic excitation of SPNs (Morgenstern *et al.*, 2020). Interestingly, the second phase of excitation can be blocked by a selective $\alpha 7$ -containing nAChRs antagonist, suggesting the involvement of CINs in this response. Indeed, the authors demonstrated that pyramidal tract cortical neurons provide a strong suprathreshold activation of CINs (stronger than intratelencephalic neurons). In this circuit, ACh then activates presynaptic $\alpha 7$ -containing corticostriatal terminals that form synapses onto SPNs. This subsequent glutamate release from corticostriatal terminals is responsible for the second phase of activation measured in SPNs which could be important for the integration of striatal afferents (Morgenstern *et al.*, 2020).

Further, given the strong input from the PfN of the thalamus to the CINs (see Assous and Tepper, 2019), presynaptic nAChRs expressed on thalamostriatal afferents may carry an important function in striatal circuits. A study demonstrated that in parkinsonian models, the connectivity of a subset of PfN neurons with iSPNs was selectively enhanced (Tanimura *et al.*, 2019). This effect was mediated by the suprathreshold activation of CINs and action through presynaptic nAChRs on PfN terminals. The increase of glutamate release by PfN synapse onto iSPNs was dependent upon presynaptic $\alpha 6$ -containing nAChRs which are expressed by a subpopulation of PfN neurons (Tanimura *et al.*, 2019). This is consistent with previous reports suggesting that thalamic neurons express $\alpha 6\beta 2$ nAChRs (Hill *et al.*, 1993;

Parker *et al.*, 2004; Bohr *et al.*, 2005). Importantly, knocking down $\alpha 6$ subunit expression in the PfN attenuated the changes in electrophysiological connectivity and improved locomotor performance in parkinsonian mice ((Tanimura *et al.*, 2019), Figure 1).

Dopamine afferents—Perhaps the most studied role of nAChRs in striatal functions involve their expression on presynaptic dopamine afferents and their role in the regulation of local dopamine release (Zhou *et al.*, 2001; Rice & Cragg, 2004; Zhang & Sulzer, 2004). Striatal dopamine terminals express $\alpha 4\beta 2$ -containing nAChRs at high levels as well as lower levels of other subunits ($\alpha 5-7$ and $\beta 3$) whose function are less well described (Clarke & Pert, 1985; Le Novere *et al.*, 1996; Sharples *et al.*, 2000; Jones *et al.*, 2001; Grady *et al.*, 2007). Early pharmacological evidence suggests that activation of these presynaptic nAChRs by agonists can facilitate dopamine release (Wonnacott *et al.*, 2000; Zhou *et al.*, 2001; Dajas-Bailador & Wonnacott, 2004; Rice & Cragg, 2004; Zhang & Sulzer, 2004; Exley & Cragg, 2008). Other studies have indicated that ACh-induced dopamine release can take place following synaptically released ACh from CINs (Bennett & Wilson, 1999; Zhou *et al.*, 2001; Zhou *et al.*, 2003) and involve $\beta 2$ -containing nAChRs located on striatal dopamine terminals (Figure 1). Activation of this receptor subtype increases the probability of dopamine release (Zhou *et al.*, 2001; Rice & Cragg, 2004; Exley & Cragg, 2008) as well as use-dependent short-term depression. Subsequent studies using optogenetics activation of populations of CINs in different striatal subregion (Cachope *et al.*, 2012; Threlfell *et al.*, 2012) demonstrated that a synchronous activation of CINs can elicit dopamine release. Importantly, this nAChR-dependent local control of dopamine release is independent of action potential firing in midbrain dopaminergic neurons (Cachope *et al.*, 2012; Surmeier & Graybiel, 2012; Threlfell *et al.*, 2012). Further, activation of extrinsic excitatory inputs from the thalamus (Zackheim & Abercrombie, 2005; Threlfell *et al.*, 2012) or the cortex (Kosillo *et al.*, 2016) can indirectly induce dopamine release via the activation of CINs.

Additionally, the question whether the activation of extrinsic sources of striatal ACh can also induce dopamine release was investigated (Brimblecombe *et al.*, 2018). In this study, the authors indicated that surprisingly, activation of cholinergic brainstem afferents originating from the PPN and LDT do not elicit any dopamine release (Brimblecombe *et al.*, 2018). The reasons for the lack of ACh-induced dopamine release in this context need further examination. Additionally, whether the activation of glutamatergic PPN neurons, which strongly innervate CINs (Assous *et al.*, 2019), can elicit dopamine release, is yet to characterize.

Dopamine afferents can also co-release GABA in brain slices (Tritsch *et al.*, 2012; Tritsch *et al.*, 2014). The possibility of a cholinergic regulation of GABA release from these terminals through nAChRs is discussed below.

Nicotinic-mediated striatal GABAergic circuits

Recurrent Inhibition—Stimulation of intrastriatal cholinergic fibers evokes polysynaptic GABA_A IPSCs in CINs (Sullivan *et al.*, 2008). These polysynaptic GABA_A IPSCs could be abolished both by a specific antagonist of nAChRs containing $\beta 2$ subunits and a GABA_A receptor antagonist (Figure 2). Interestingly, application of dopamine receptor antagonists or

dopamine depletion failed to block polysynaptic IPSCs, indicating that phasic dopamine release does not directly mediate the polysynaptic transmission. In addition, dual recording from pairs of cholinergic interneurons revealed that activation of a single cholinergic interneuron is capable of eliciting polysynaptic GABA_A IPSCs both in itself and in nearby CINs (Sullivan *et al.*, 2008; Dorst *et al.*, 2020). These results support the existence of a recurrent inhibitory circuit in the striatum where cholinergic interneurons are disynaptically connected to one another through GABAergic interneurons (Sullivan *et al.*, 2008; Dorst *et al.*, 2020). In terms of striatal microcircuits, these results suggest that one or several populations of GABAergic interneurons 1) express β 2 nAChRs, 2) receive suprathreshold nAChRs-mediated cholinergic input from CINs and 3) provide a GABAergic innervation to CINs. Further, optogenetic activation of populations of CINs can also trigger large recurrent inhibition in CINs sensitive to β 2 nAChRs antagonists and a GABA_A receptor antagonist ((English *et al.*, 2012), Figure 2).

A recent study has investigated thoroughly this recurrent inhibitory circuit among CINs by performing several hundreds of pairs, triplets or quadruple recordings of CINs (Dorst *et al.*, 2020). Findings of this study revealed that polysynaptic connections between CINs were common and exhibited a high degree of divergence and convergence. Single CINs can broadcast simultaneous pauses in postsynaptic CINs, sometimes followed by an increase in action potential discharge frequency. These results show that the robust polysynaptic inhibition between spontaneously active CINs can act as a mechanism for synchronizing their activity via an intrastriatal mechanism. It is then tempting to imagine that this circuit may contribute, at least partially, to the generation of synchronous reward-related pauses observed in CINs. Interestingly, optogenetic inhibition of all striatal GABAergic neurons (SPNs and interneurons) *in vivo* provoked an increase in the firing rate of putative CINs and slightly but significantly shortened the reward-related pause events (Dorst *et al.*, 2020). This suggests that intrastriatal inhibition via GABAergic neurons, and most likely interneurons (given the absence of nAChRs expression on SPNs) may play an important role (in addition to extrinsic afferents) in shaping the activity patterns of putative CINs.

Disynaptic inhibition of SPNs

Another nicotinic-mediated striatal GABAergic microcircuit involves the disynaptic inhibition of SPNs. The existence of such circuit has first been suggested by de Rover and colleagues showing that nicotine can enhance GABAergic transmission onto SPNs. The hypothesis was that population(s) of GABA interneurons expressing nAChRs were activated by ACh which, in turn, would be responsible of the increase in inhibitory transmission onto SPNs (de Rover *et al.*, 2002).

Subsequently, it was demonstrated that optogenetic activation of CINs can induce disynaptic GABAergic inhibition onto SPNs (Witten *et al.*, 2010; English *et al.*, 2012). These GABAergic IPSP/Cs are secondary to nAChRs activation (Witten *et al.*, 2010; English *et al.*, 2012). This phenomenon is very robust, and it is observed in all recorded SPNs and can efficiently delay or block spike generation. While smaller, such disynaptic inhibitory responses can also be evoked in SPNs in paired recording experiments after a single spike in CINs. The optically elicited IPSCs are multiphasic and can be separated into two

components that are biophysically distinct, a fast and a slow component (fIPSC and sIPSC, respectively, English *et al.*, 2012, Figure 2). The fIPSC kinetic is consistent with most GABA_A-mediated transmission observed in the striatum. However, the sIPSC is similar to a slow GABA_A current whose kinetics are likely due to a combination of an extrasynaptic location of the receptor, and the presence of the GABA_A $\beta 3$ subunit (Capogna & Pearce, 2011; Luo *et al.*, 2013b). This is confirmed by the sensitivity of the sIPSC to GABA uptake inhibition (Faust *et al.*, 2015). This observation suggests that striatal interneurons under cholinergic control may influence striatal information processing in a cortically-independent manner. In this case, this circuitry underlies that one, or more likely, several GABAergic interneurons populations 1) receive suprathreshold nicotinic input from CINs, 2) express $\beta 2$ -containing nAChRs and 3) provide a GABA_A inhibition to SPNs (fast and slow, Figure 2).

Interestingly, while this circuit also involves $\beta 2$ -containing nAChR activation, the GABAergic neurons involved in this disynaptic circuit seem to be (at least partially) non overlapping with interneuron(s) responsible for the recurrent inhibition of CINs. Indeed, the feedforward inhibition in SPNs and the recurrent inhibition in CINs recorded simultaneously exhibit distinct temporal patterns with significantly different onset latencies. Further, it has been shown that these two circuits can be activated independently using different stimulation paradigms (English *et al.*, 2012).

Involvement of nAChR expressed on midbrain GABAergic afferents

The interplay between CINs and midbrain dopaminergic afferents is well documented ((Howe *et al.*, 2019) and see (Exley & Cragg, 2008; Aosaki *et al.*, 2010) for review). Reward-related pauses in CINs are correlated with an increase in the activity of dopaminergic neurons and as mentioned above, activation of CINs can induce dopamine release independently of dopaminergic neuron somatic firing (Cachope *et al.*, 2012; Threlfell *et al.*, 2012). However, the specific contribution of midbrain dopamine neurons in the synchronization of CINs activity is still not fully understood (Zhang & Cragg, 2017).

Recent literature has demonstrated that nigrostriatal dopamine neurons can co-release GABA under certain conditions *in vitro*. This non-canonical release of GABA can efficiently inhibit SPNs with slow decay kinetics and is able to prevent action potential firing (Tritsch *et al.*, 2012; Tritsch *et al.*, 2014). However, direct evidence demonstrating nicotinic-induced GABAergic release by nigrostriatal terminals following activation of CINs (using for example GABA sensors, (Marvin *et al.*, 2019)) is still lacking. Nonetheless, it has been proposed that such a circuit may exist in brain slices and would be responsible for the majority of the nicotinic-induced inhibition of SPNs ((Nelson *et al.*, 2014), Figure 2). Indeed, both treatment with vesicular monoamine transport inhibitors or nigrostriatal dopamine lesion dramatically reduced both the fast and the slow component of the compound IPSC measured in SPNs after optogenetic stimulation of CINs. These results suggested that GABA release from dopamine terminals may be the major source of the disynaptic inhibition of SPNs (Nelson *et al.*, 2014). It is worth noting that the importance of GABA release by nigrostriatal terminals in this circuit has been recently challenged ((Faust *et al.*, 2016); see below). Another possibility discussed below would be that nigrostriatal dopamine deletion or depletion alters the strength of the disynaptic interneuronal circuits in

a rapid manner, similar to that observed in SPN–SPN connections (Taverna *et al.*, 2008) or in the recurrent inhibitory circuit existing among CINs (Dorst *et al.*, 2020).

CINs located in the ventral and dorsal striatum receive strong perisomatic GABAergic innervation from midbrain neurons (Brown *et al.*, 2012; Dorst *et al.*, 2020). To investigate the contribution of these afferents as well as different striatal neurons in the nicotinic-mediated recurrent inhibition of CINs, the authors combined the expression of both ChR2 and an inhibitory Designer Receptors Exclusively Activated by Designer Drugs (DREADD) receptor in selective afferents or populations of striatal neurons in a Cre-dependent manner. They tested: 1) the attenuation in polysynaptic inhibition between neighboring CINs following an optogenetic interference protocol (obtained after a train of optogenetic stimulation of Cre-expressing cells) that would indicate that the transduced afferent or striatal interneuron population (expressing Cre) is involved in the regulation of this polysynaptic pathway, 2) the repetition of this protocol in the presence of Clozapine *N*-oxide (CNO) would confirm the involvement of specific interneurons population or GABAergic afferents in mediating the recurrent inhibition (Dorst *et al.*, 2020). In VGAT-Cre and DAT-Cre mice, chemogenetic silencing of midbrain GABAergic afferents did not reduce or abolish the nicotinic-mediated recurrent inhibition between CINs, suggesting that extrinsic GABAergic inputs to CINs from the midbrain do not mediate it. Consistent with the lack of participation from midbrain neurons in this circuit, the proportion of CINs expressing polysynaptic inhibition was unaffected after lesion of dopaminergic neurons. However, this pathway seems to be significantly modulated by dopamine as the interference protocol in DAT-Cre mice (but not VGAT-Cre) reduces the polysynaptic inhibition. Pharmacology experiments have demonstrated that the attenuated effect of dopamine involves D2 receptors present on cholinergic terminals synapsing onto striatal GABAergic interneurons and reducing ACh release (Dorst *et al.*, 2020).

Involvement of postsynaptic nAChRs expressed by striatal GABAergic interneurons

In contrast to SPNs, which do not express nAChRs, many striatal interneurons exhibit robust nAChR-mediated cholinergic responses (Figure 1). CINs express nAChRs autoreceptors containing $\alpha 7$ or $\beta 2$ subunits (Azam *et al.*, 2003) which function is still unknown. Regarding striatal GABAergic interneurons, findings over the past decade demonstrated that their diversity and associated networks is far more complex than previously envisaged (Tepper *et al.*, 2018; Assous & Tepper, 2019). Whether these GABAergic interneuron populations receive uniform innervation from CINs or express specific functional subtypes of nAChRs and differentially participate in the two nicotinic-mediated striatal GABAergic circuits described above is not fully appreciated (Figure 2). A better grasp of the nicotinic innervation of striatal GABAergic interneurons will lead to a better understanding of the organization of striatal circuits and activity, as well as developing more targeted drug strategy to selectively act on specific synapses.

FSIs—Pharmacological experiments performed in rat striatal slices showed that acetylcholine exerted two distinct effects on FSIs. As mentioned above, presynaptic mAChRs activation on FSIs reduced the GABAergic inhibition on SPNs. Additionally, ACh led to large depolarization and AP firing in FSI by acting on nondesensitizing soma-

dendritic nAChRs (Koos & Tepper, 2002). The nicotinic excitation appears to be a direct postsynaptic effect, independent of glutamatergic afferents. Excitatory post synaptic responses are blocked by a general nicotinic antagonist, but not by a selective antagonist of $\alpha 7$ -containing nAChRs (Koos & Tepper, 2002). ACh agonists consistently evoked a slight depolarization in PV+ FSIs (Luo *et al.*, 2013a) which could be blocked by an $\alpha 4\beta 2$ nAChRs antagonist (Figure 1). However, using other transgenic mice lines (5HT3aEGFP and Lhx6EGFP) no postsynaptic responses were measured in FSIs after applying nicotine possibly revealing difference in nicotine receptor expression in subpopulations of FSIs (Munoz-Manchado *et al.*, 2016).

Given that FSIs are a major source of inhibition of SPNs, it has been tested whether FSIs could be implicated in the disynaptic inhibition of SPNs after stimulation of CINs. However, optogenetic stimulation failed to elicit any substantial depolarization or action potential firing in the recorded FSIs despite the presence of large fIPSC components in nearby SPNs (English *et al.*, 2012). Furthermore, the ablation of PV+ FSIs does not alter the disynaptic ISPCs in SPNs (Nelson *et al.*, 2014). Altogether, these results indicate that GABAergic interneurons other than FSIs are involved in the feedforward inhibition of SPNs. Additionally, given that FSIs do not seem to innervate CINs (Szydlowski *et al.*, 2013), it is unlikely that this cell population participate in the CINs recurrent inhibitory circuit (Figure 2).

NGFs—Simultaneous paired-recording between CINs and NPY-NGF interneurons revealed that a postsynaptic response could be elicited in the NPY NGF neurons by single action potentials in the presynaptic CIN (English *et al.*, 2012). The response was a type-2 receptor-mediated nicotinic excitatory postsynaptic potential (containing the $\beta 2$ subunit, Figure 1). Furthermore, optogenetic stimulation of the CINs interneuron population elicited large-amplitude depolarizing postsynaptic potentials in all NPY-NGF neurons and can even trigger action potential firing in some of them (English *et al.*, 2012). Interestingly, the optogenetically elicited postsynaptic response in NPY-NGF interneurons consisted of an early excitatory and a delayed inhibitory component. The IPSC component, which itself was secondary to nAChR activation was mediated by GABA_A receptors (English *et al.*, 2012). This inhibitory response may be important for limiting the nicotinic activation of NPY-NGF neurons. Further testing local application of cholinergic agonists in a variety of striatal GABAergic neurons (LTSIs, THINs, FSIs and NGFs), demonstrated that NGFs exhibits the most robust nicotinic response among the interneurons tested (Luo *et al.*, 2013a).

NGFs form synapses onto SPNs with a very high connection probability in brain slices (~85%; Ibañez-Sandoval *et al.*, 2011; Tepper *et al.*, 2018)). Furthermore, the NGF-evoked synaptic response in SPNs exhibits slow kinetics similar to a GABA_A slow current (Ibañez-Sandoval *et al.*, 2011). Consistent with their circuit connectivity as well as synaptic properties, it has been shown that these interneurons are responsible (at least in part) for the slow disynaptic IPSC measured in SPNs after optogenetic activation of CINs ((English *et al.*, 2012; Faust *et al.*, 2016), Figure 2).

THINs—Application of ACh receptor agonists have shown that THINs express somato-dendritic nAChRs (Luo *et al.*, 2013a; Ibañez-Sandoval *et al.*, 2015). THINs are present in 4

subtypes in the mouse striatum with different anatomical, electrophysiological and synaptic characteristics (Ibañez-Sandoval *et al.*, 2010). While it seems that all subtypes of THINs express nAChRs, the size of the response induced by the application of nAChRs agonists varies. Indeed, Type I THINs, the most common subtype, responded to carbachol application with strong depolarizations and action potential firing. Similarly, type IV THINs, the second most abundant THIN subtype, also responded robustly to carbachol. In contrast, Type II THINs responded with small-amplitude, brief depolarizations that rarely elicited action potentials (Ibañez-Sandoval *et al.*, 2015). In all cases, the response was shown to be due to direct activation of a nAChRs distinct from the $\alpha 4\beta 2$ -type and $\alpha 7$ nAChRs (Luo *et al.*, 2013a; Ibañez-Sandoval *et al.*, 2015), Figure 1). Furthermore, application of cystine, a selective partial agonist of $\beta 2$ and full agonist of $\beta 4$ subunit-containing nAChRs (Zoli *et al.*, 1998), evoked large responses in all tested THINs, suggesting the involvement of $\alpha 3\beta 4$ receptor in these neurons (Luo *et al.*, 2013a).

A recent study performing paired recording between CINs and THINs demonstrated that part of the nAChR-mediated cholinergic effects on THINs comes from CINs (Dorst *et al.*, 2020). Whether the THINs are also the target of extrinsic cholinergic inputs is still unknown. Further, THINs provide a GABAergic innervation to SPNs (Ibañez-Sandoval *et al.*, 2010; Xenias *et al.*, 2015), and pharmacological activation of nAChRs on THINs can induce a GABA_A-mediated current in recorded SPNs (Luo *et al.*, 2013a). However, direct evidence regarding the participation of THINs in the disynaptic inhibition of SPNs after the stimulation of CINs is still lacking, but is under investigation in our lab (Figure 2).

Interestingly, THINs are reciprocally connected with CINs as they are with SPNs (Ibañez-Sandoval *et al.*, 2010). Both paired recording experiments as well as optogenetic stimulation revealed that stimulation of THINs elicit GABA_A-mediated IPSCs in CINs (Dorst *et al.*, 2020). Interestingly, the polysynaptic inhibition between CINs was modestly, but significantly, reduced after the chemogenetic silencing of THINs. This suggests that the THINs are at least partially involved in mediating the polysynaptic pathway between CINs (Figure 2). However, these important results need to be reconciled with other studies described above suggesting that THINs do not express $\beta 2$ -containing nAChRs (Luo *et al.*, 2013b; Ibañez-Sandoval *et al.*, 2015), which are mediating the polysynaptic recurrent inhibition between CINs. It is then possible that at least one or several subtypes of THINs, such as the type III (not tested in Ibañez-Sandoval *et al.*, 2015) express this subtype of receptor and may selectively be involved in the polysynaptic inhibition between CINs. Another possibility would be that THINs express a variety of nAChRs such as $\alpha 3\beta 4$ in combination with $\beta 2$ -containing nAChRs and the effect of selective nicotinic blockers after puffing ACh agonists (Luo *et al.*, 2013b; Ibañez-Sandoval *et al.*, 2015) was masked due to the rapid desensitization of $\beta 2$ -containing nAChRs. This scenario would be supported by our own preliminary data (Assous and Tepper 2019) showing that optogenetic stimulation of CINs elicits large depolarization in all THINs and a small but significant portion of the excitatory response involve the activation of $\beta 2$ -containing nAChRs in THINs (Figure 1).

LTSIs—Striatal LTSIs have also been shown to express nAChRs (Luo *et al.*, 2013b). Interestingly, the effect of nAChRs activation on these cells seems to be dual. For instance, nAChRs activation has a direct excitatory effect through $\beta 2$ -containing nAChRs (Figure 1)

in a significant proportion of LTSIs, but could also decrease the spontaneous firing activity in others (Luo et al., 2013b; Elghaba et al., 2016, but see Muñoz-Manchado et al., 2016). This dual effect may be explained by voltage clamp recordings where pharmacological activation of LTSIs nAChRs increased both the holding current as well as the occurrence GABA_A receptors-dependent sIPSCs (Luo et al., 2013b; Elghaba et al., 2016). This suggests that presynaptic activation of some populations of GABAergic interneurons by nicotinic agonists could result in increasing synaptic inhibition in other populations of GABAergic interneurons such as the LTSIs. Indeed, we have demonstrated that THINs provide inhibitory innervation to the LTSIs (Assous et al., 2017) which receive strong suprathreshold nicotinic input from CINs (Luo et al., 2013b; Ibañez-Sandoval et al., 2015). Hence, activation of CINs or application of nicotinic agonists could elicit an increase in inhibitory synaptic events in LTSIs through the activation of THINs (Assous et al., 2017; Assous and Tepper 2019; Melendez-Zaidi et al., 2019). This suggests that the effect of CINs activation and nicotinic agonists on GABAergic interneurons may depend on the balance between the presence of nAChR and the interconnection with other nAChR-expressing striatal GABAergic interneurons. However, it is worth noting that such direct nicotinic activation of LTSIs has not been found in studies using direct optogenetic stimulation of CINs (Melendez-Zaidi et al., 2019; English et al., 2012). This may imply that nAChRs present on LTSIs are mostly activated by brainstem cholinergic sources.

In early paired-recording experiments, it was suggested that LTSIs provide a sparse (or even non-existent) innervation to SPNs (Gittis *et al.*, 2010; Ibañez-Sandoval *et al.*, 2011; Assous *et al.*, 2018). However, more recent studies using optogenetic stimulation of a population of LTSIs have shown that the inhibitory response evoked in SPNs is very large (Straub *et al.*, 2016). Therefore, it was tested whether LTSIs could participate in the disynaptic inhibition of SPNs after optogenetic activation of CINs. However, while optogenetic stimulation of CINs evoked large disynaptic IPSCs in SPNs, this was not accompanied by any detectable postsynaptic currents in LTSIs recorded in the same preparation. Hence, the involvement of LTSIs in this circuit can be excluded ((English et al., 2012), Figure 2).

Further, the participation of LTSIs in the recurrent inhibitory circuit among CINs has been tested using a similar strategy as described above combining optogenetics and DREADD. The authors observed that optogenetic train stimulation of LTSIs modulates the polysynaptic inhibition between CINs (Dorst et al., 2020). However, the blockade of synaptic transmission between LTSIs and CINs using DREADD did not alter the recurrent inhibition circuit suggesting that LTSIs may gate the polysynaptic input by depressing distal dendrites on cholinergic neurons (Holley *et al.*, 2015; Straub *et al.*, 2016; Dorst *et al.*, 2020) but are not directly involved in the generation of the recurrent inhibition (Figure 2).

5HT3a Interneurons

There are two principal transgenic models in which neurons expressing the 5HT3a receptor subunit can be identified, the Htr3a-Cre mouse and the 5HT3a-EGFP mouse. In each, the transduced neuronal populations in the striatum are slightly different, but do show significant overlap. While 3 neuronal subtypes were characterized in the 5HT3a-EGFP mice (Muñoz-Manchado et al., 2016), at least 4 different populations of striatal GABAergic

interneurons were identified and characterized in the Htr3a-Cre mice; some of them being non-overlapping in the two lines (Muñoz-Manchado et al., 2016; Faust et al., 2015). For example, while NGF interneurons targeted in the EGFP line do not express NPY (Muñoz-Manchado et al., 2016) and do not seem to respond robustly to nicotine, NGFs targeted in Htr3a-Cre express NPY and are strongly activated by CINs (Faust et al., 2015; Faust et al., 2016). A rather heterogenous group of neurons, characterized in the 5HT3a-EGFP as type III neurons, present some LTS-like properties, but do not express the classic markers of LTSIs (SOM, NOS, NPY). Furthermore, in contrast to LTSIs, type III cells in the 5HT3a-EGFP mice responded with a robust depolarization and action potential firing following a brief nicotine puff (Muñoz-Manchado et al., 2016). This divergence is not currently understood, and for the most part, does not seem to occur with other mouse models commonly used to identify FSI, THINs, LTSIs or CINs.

In the Htr3a-Cre mice, we identified and characterized 2 novel populations of interneurons, i.e., the FAIs and the SABIs in addition to NGFs and FSIs (Faust *et al.*, 2015; Assous *et al.*, 2018). We took advantage from the variety of striatal GABAergic interneurons targeted in the Htr3a-Cre mice to determine the contribution of local striatal GABAergic interneurons to the cholinergic-induced disynaptic inhibition of SPNs (Figure 2). We used ChAT-ChR2 x Htr3a-Cre mice injected in the striatum with a Cre-dependent eNpHR 3.0 AAV (Faust *et al.*, 2016). This allowed us to activate ChR2 and eNpHR 3.0, individually or simultaneously. Thus, we were able to optogenetically disconnect the participation of Htr3a-Cre transduced interneurons in the disynaptic inhibition of SPNs following activation of CINs on a trial-by-trial basis. We showed that the CIN-induced inhibition of SPNs was significantly reduced after hyperpolarizing the Htr3a-Cre populations of striatal interneurons with eNpHR 3.0. Interestingly, yellow light pulses were able to significantly reduce, or in some cases nearly eliminate both the fast and slow components of cholinergic-mediated GABAergic inhibition in SPNs in a fast and reversible manner causally demonstrating an interneuronal source of this inhibition (Faust *et al.*, 2016). While we attributed the reduction of the slow component to the presence of NGFs, the reduction of the fast component still needs further investigation.

We examined the cholinergic input to FAIs and assessed whether FAIs could be a good candidate for mediating the fIPSCs in SPNs (Faust et al., 2015). We demonstrated that optogenetic stimulation of CINs evoked large excitatory nicotinic responses in all recorded FAIs. This response was sufficient to elicit action potential firing in the majority of them. Interestingly, the nicotinic response was pharmacologically heterogeneous. Indeed, in the vast majority of FAIs, the response was not reduced or blocked by a β 2-subunit containing nAChR antagonist possibly revealing some heterogeneity in the nAChR subunit composition among FAIs ((Faust et al., 2015), Figure 1). In this study we also demonstrated that FAIs exhibit a high connectivity probability with nearby SPNs. However, in contrast to other striatal GABAergic synapses, the synaptic connection between FAIs and SPNs exhibits short-term facilitation. The facilitation was so marked that in some cases, the initial FAI spike in a train failed to produce any response in the postsynaptic SPN while later spikes evoked larger IPSCs (Faust et al., 2015). Therefore, given the pharmacology of the nicotinic response measured in FAIs together with the low initial release probability and strong

facilitation of the FAI to SPN synapse, FAIs are unlikely to participate in the fIPSC observed in SPNs as well as in the recurrent inhibition of CINs.

The CINs input to SABIs is under current investigation by our lab. Our preliminary results suggest that SABIs receive a strong nAChR-mediated cholinergic input from CINs that does not involve $\alpha 4\beta 2$ subunits- or $\alpha 7$ subunits-containing nAChRs (Figure 1). We also demonstrated that SABIs do not significantly innervate SPNs and thus appear to represent the first example of interneuron-selective interneurons in the mouse striatum (Assous *et al.*, 2018). For these reasons, the participation of SABIs to the CIN-mediated disynaptic inhibition of SPNs as well as recurrent inhibition to CINs is ruled out.

If all major populations of interneurons targeted in the Htr3a-Cre mice are unlikely to participate in the fast disynaptic IPSCs measured in SPNs either because of synaptic connectivity or nAChR subunit composition, then why did we observe such a reduction in our experiments? While we attributed such reduction to either a polysynaptic disinhibitory circuit or electrical synapses between interneurons, this needs to be further examined (Figure 2).

In addition, as mentioned above, another study had suggested that GABA release from nigrostriatal afferents may be involved in this circuit. Indeed, following either nigrostriatal lesion or depletion of dopaminergic vesicles, a significant proportion of the nicotinic-induced disynaptic inhibition onto SPNs is reduced after optogenetic stimulation of CINs (Nelson *et al.*, 2014). However, while the rate of reduction was highly variable among SPNs in our experiments obtained in Htr3a-Cre mice (Faust *et al.*, 2016), some exhibited 80–90% reduction, making it unlikely that GABAergic projections from midbrain sources play a major role in the disynaptic inhibition of SPNs.

The involvement of 5HT3a interneuron population in the recurrent inhibition of CINs has been explored but consistent with their synaptic connectivity, these interneurons do not seem to play a major role in this circuit ((Dorst *et al.*, 2020), Figure 2).

Functional implications

Striatal cholinergic transmission is essential for higher behavioral functions such as cognitive flexibility defined as the ability to adapt behavior in response to new and unexpected circumstances in the environment. This behavior has commonly been assessed using a reversal learning paradigm or attentional set-shifting tasks where, in both cases, animals have to suppress a previously learned stimulus-reward association (See (Nilsson *et al.*, 2015; Powell & Ragozzino, 2017; Prado *et al.*, 2017)).

Early electrophysiological recordings in monkeys showed that tonically active neurons (TANs) (putative CINs) exhibit a phasic decrease in their activity or “pause” associated with a change in the contingencies of the task (Apicella *et al.*, 1991; Ravel *et al.*, 2001). Further, ACh levels are significantly affected in reversal-learning tasks (Ragozzino & Choi, 2004; Ragozzino *et al.*, 2009). Finally, CINs modulation or ablation evokes alterations in attentional set-shifting and habit formation (Okada *et al.*, 2014; Aoki *et al.*, 2015; Aoki *et al.*, 2018; Okada *et al.*, 2018).

However, while these observations support the involvement of CINs in cognitive flexibility, the exact circuits engaged in this modulation are still not fully understood. Specifically, what are the contribution of specific inputs in these functions? What are the transduction mechanisms? Are extrinsic sources of ACh involved?

In terms of synaptic inputs, dopamine is necessary for the pause response to develop following behavioral training (Aosaki *et al.*, 1994a) and the pause in TANs coincides with the phasic firing of dopaminergic neurons in a classical conditioning task (Morris *et al.*, 2004). Further, inactivation of centre-median-PfN has been shown to attenuate the pause of TANs following the presentation of salient sensory cues (Matsumoto *et al.*, 2001). Functionally, caudal intralaminar thalamic afferents to CINs are involved in the establishment of new selected strategies in reversal learning tasks (Bradfield *et al.*, 2013).

The transduction mechanism involves activation of M1 mAChR which are important in specific aspects of reversal learning ((Ragozzino *et al.*, 2002; Tzavos *et al.*, 2004; McCool *et al.*, 2008; Prado *et al.*, 2017), but see (Okada *et al.*, 2014)). However, nAChRs activation may also play an important underestimated role. Indeed, the thalamic-evoked pause in CINs can be reduced after blockade of nAChRs or D2 receptors (Ding *et al.*, 2010). As reviewed here, nAChRs are present in striatal circuits at strategic locations both presynaptically on dopamine and glutamatergic afferents as well as postsynaptically on the majority of striatal GABAergic interneurons. Particularly, the demonstration that activation of CINs can evoke dopamine release locally through presynaptic nAChRs certainly underscores critically important learning function (Cachope *et al.*, 2012; Threlfell *et al.*, 2012).

Additionally, some evidence reviewed above suggests that nAChR-mediated intrastriatal GABAergic inhibition could play an important role in broadcasting a reward-related pause in CINs via polysynaptic recurrent inhibition (Sullivan *et al.*, 2008; Dorst *et al.*, 2020). This would be the first evidence that nAChRs expressed postsynaptically on striatal interneurons significantly participate in shaping the activity of CINs *in vivo* and, potentially, in reward-related learning (Dorst *et al.*, 2020). Further, the disynaptic inhibition of SPNs via nAChR activation of several classes of GABAergic interneurons represent a circuit allowing CINs to exert a rapid inhibitory control over SPNs (English *et al.*, 2012). While the function of this circuit is yet to identify, it could contribute to the interruption of an ongoing behavior and reorientation after the encounter of a salient stimuli.

Despite their rarity, we and others have demonstrated that striatal GABAergic interneurons receiving nicotinic inputs are important in the regulation striatal related behaviors such as prepulse-inhibition (Assous *et al.*, 2017) or goal-directed behaviors (Holly *et al.*, 2019; Kaminer *et al.*, 2019; Assous, 2020). However, the role of their interconnections with cholinergic interneurons has yet to be explored.

Increasing our knowledge on the synaptic organization of nAChR-mediated striatal circuits may lead to the development of better targeted strategies for neurological disorders such as nicotine addiction, Parkinson's disease, Tourette syndrome or dystonia.

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Abbreviations:

ACh	Acetylcholine
BG	Basal ganglia
CINs	Cholinergic interneurons
PfN	Parafscicular nucleus of the thalamus
SNc	Substantia nigra pars compacta
VTA	Ventral tegmental area
GPe	Golbus pallidus external segment
PPN	Pedunculopontine nucleus
LDT	Laterodorsal tegmentum
dSPNs	Direct pathway spiny projection neurons
Gpi	Globus pallidus internal segment
SNr	Substantia nigra pars reticulata
iSPNs	Indirect pathway spiny projection neurons
PV	Parvalbumin
FSIs	Fast-spiking interneurons
NPY	Neuropeptide Y
SOM	Somatostatin
NOS	Nitrix oxide synthase
LTS	Low threshold spike
CR	Calretinin-expressing interneurons
THINs	Tyrosine hydroxylase-expressing interneurons
NGF	Neurogliaform
FAIs	Fast adapting interneurons
SABIs	Spontaneously active bursty interneurons

mAChRs	muscarinic receptors
nAChRs	nicotinic receptors
GPCR	G protein coupled receptors
IPSC/P	Inhibitory postsynaptic current/potential
CNO	Clozapine-N-oxide
DREADD	Designer receptors exclusively activated by designer drugs
TANs	Tonically active neurons

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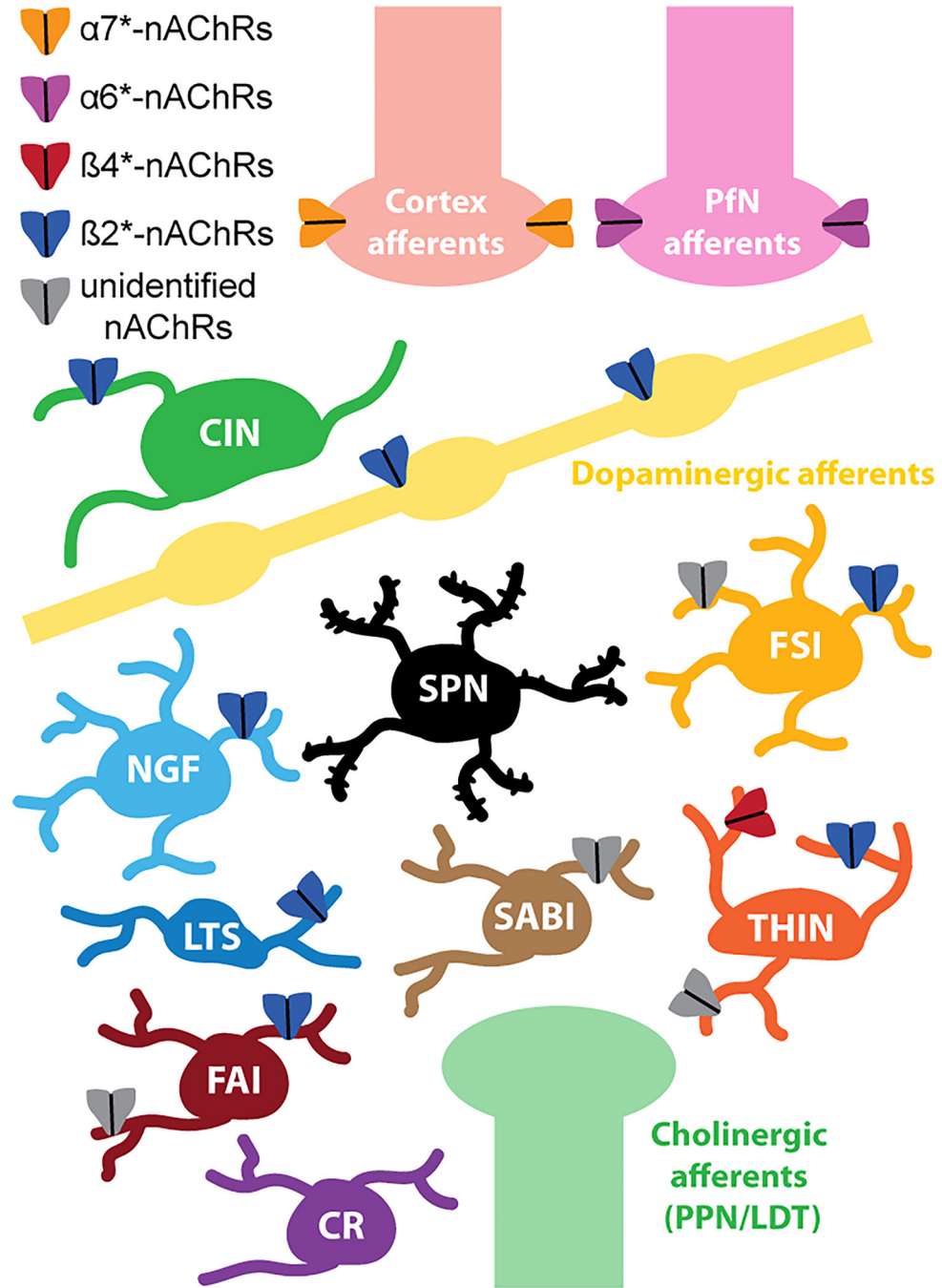


Figure 1. Nicotinic receptor expression in striatal circuits.

Schematic describing our current knowledge of nicotinic receptor (nAChRs) subtypes expression presynaptically on striatal afferents originating from the cortex, the parafascicular nucleus of the thalamus (Pfn), dopaminergic neurons or brainstem cholinergic structures (pedunculopontine nucleus, PPN and laterodorsal tegmentum, LDT) as well as postsynaptically on striatal neurons. Note that while the majority of striatal interneurons express nicotinic receptors, spiny projection neurons (SPNs) do not seem to express any. Importantly, striatal GABAergic interneurons express various subtypes of nAChRs which

may confer selective functions in striatal microcircuits discussed in this review. CINs: Cholinergic interneurons; NGF: Neurogliaform; FSI: Fast-spiking interneuron; LTS: Low threshold spike; SABI: Spontaneously active bursty interneuron; THIN: Tyrosine hydroxylase-expressing interneuron; FAI: Fast-adapting interneuron; CR: Calretinin-expressing interneurons.

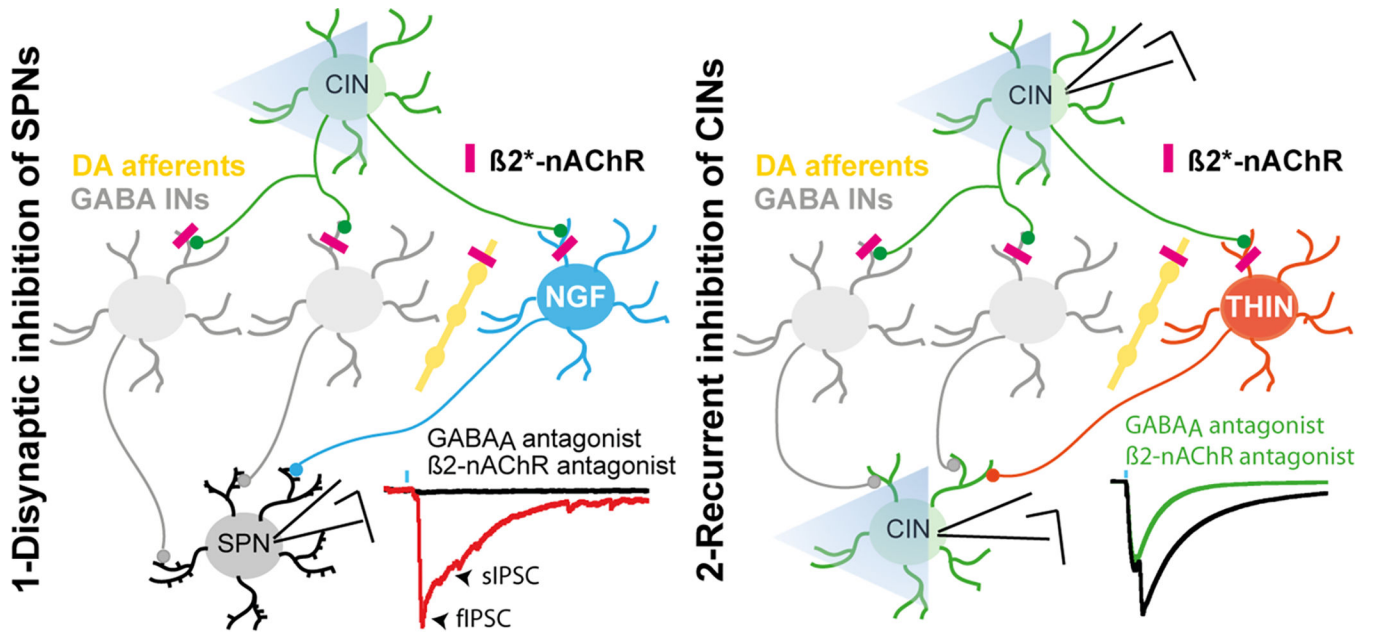


Figure 2. Nicotinic-mediated striatal GABAergic circuits.

So far, two distinct GABAergic striatal microcircuits involving $\beta 2$ -subunit containing nicotinic receptor ($\beta 2^*$ -nAChR) have been described. Left. Optogenetic activation of striatal cholinergic interneurons (CINs) evoke a disynaptic composite IPSC in SPNs. This inhibition can be subdivided into a fast and a slow component. While the slow component is mediated by CINs activation of neurogliaform interneurons (NGF), the source of the fast IPSC is still under investigation. Right. Single CIN activation or optogenetic stimulation of populations of CINs induces polysynaptic recurrent inhibition among CINs which can participate in synchronizing CINs activity. Part of this inhibition involves nicotinic receptors activation on THINs, which are reciprocally connected with CINs. The contribution of other subtypes of striatal GABAergic interneurons or extrinsic GABAergic afferents is yet to discover.