



Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.



Contents lists available at ScienceDirect

## Journal of Diabetes and Its Complications

journal homepage: [www.elsevier.com/locate/jdiacomp](http://www.elsevier.com/locate/jdiacomp)

## Preadmission predictors of severe COVID-19 in patients with diabetes mellitus

Alpana P. Shukla<sup>a,\*</sup>, Beverly G. Tchang<sup>a</sup>, Tiffany Lam<sup>b</sup>, Ian Steller<sup>c</sup>, Samir Touhamy II<sup>b</sup>, Gulce Askin<sup>d</sup>, Felicia A. Mendelsohn Curanaj<sup>e</sup>, Jane J. Seley<sup>e</sup>, Daniel Lorber<sup>f</sup>, Monika M. Safford<sup>g</sup>, Louis J. Aronne<sup>a</sup>, Laura C. Alonso<sup>e</sup>

<sup>a</sup> Weill Cornell Medicine, Department of Medicine, Division of Endocrinology, Diabetes and Metabolism, Comprehensive Weight Control Center, New York, NY 10065, USA

<sup>b</sup> Weill Cornell Medical College, New York, NY 10065, USA

<sup>c</sup> Western University of Health Sciences, Lebanon, OR 97355, USA

<sup>d</sup> Weill Cornell Medicine, Department of Population Health Sciences, Division of Biostatistics, New York, NY 10065, USA

<sup>e</sup> Weill Cornell Medicine, Department of Medicine, Division of Endocrinology, Diabetes and Metabolism, New York, NY 10065, USA

<sup>f</sup> Weill Cornell Medicine and New-York Presbyterian Hospital Queens, Department of Medicine, Division of Endocrinology, New York, NY 10065, USA

<sup>g</sup> Weill Cornell Medicine, Department of Medicine, New York, NY 10065, USA

## ARTICLE INFO

## Keywords:

COVID-19

Type 2 diabetes mellitus

Obesity

Asian

Race

Mortality

## ABSTRACT

**Objective:** To explore predictors of severe COVID-19 disease in patients with diabetes hospitalized for COVID-19. **Methods:** This is a retrospective observational study of adults with diabetes admitted for COVID-19. Bivariate tests and multivariable Cox regression were used to identify risk factors for severe COVID-19, defined as a composite endpoint of intensive care unit admission/intubation or in-hospital death.

**Results:** In 1134 patients with diabetes admitted for COVID-19, more severe disease was associated with older age (HR 1.02,  $p < 0.001$ ), male sex (HR 1.28,  $p = 0.017$ ), Asian race (HR 1.34,  $p = 0.029$  [reference: white]), and greater obesity (moderate obesity HR 1.59,  $p = 0.015$ ; severe obesity HR 2.07,  $p = 0.002$  [reference: normal body mass index]). Outpatient diabetes medications were not associated with outcomes.

**Conclusions:** Age, male sex, Asian race, and obesity were associated with increased risk of severe COVID-19 disease in adults with type 2 diabetes hospitalized for COVID-19.

**Summary:** In patients with type 2 diabetes hospitalized for COVID-19 disease, we observed that age, male sex, Asian race, and obesity predicted severe COVID-19 outcomes of intensive care unit admission, intubation, or in-hospital death. The risk conferred by obesity increased with worsening obesity. Outpatient diabetes medications were not observed to be significant predictors of study outcomes.

### 1. Introduction

Diabetes has been identified as an independent risk factor for COVID-19 severity in multiple studies; however, data regarding predictors of poor clinical outcome among patients with diabetes are mixed.<sup>1–3</sup> Initial findings from Wuhan suggested age and pre-admission insulin therapy were associated with increased risk of mortality or poor prognosis.<sup>1</sup> The multicenter CORONADO study of admitted patients with diabetes and COVID-19 found that only body mass index (BMI) was associated with

the composite outcome of tracheal intubation for mechanical ventilation and/or death within 7 days of admission. Age, treated obstructive sleep apnea, and microvascular and macrovascular complications of diabetes were found to be predictors of 7-day mortality, while outpatient insulin usage was not.<sup>2</sup> A recent analysis of pre-admission diabetes-specific risk factors in a predominantly Black population showed that obesity and outpatient insulin treatment predicted mortality.<sup>3</sup>

\* Corresponding author at: 1165 York Ave., New York, NY 10065, USA.

E-mail addresses: [aps2004@med.cornell.edu](mailto:aps2004@med.cornell.edu) (A.P. Shukla), [bgt9001@med.cornell.edu](mailto:bgt9001@med.cornell.edu) (B.G. Tchang), [til4007@med.cornell.edu](mailto:til4007@med.cornell.edu) (T. Lam), [ian.steller@westernu.edu](mailto:ian.steller@westernu.edu) (I. Steller), [sat2032@med.cornell.edu](mailto:sat2032@med.cornell.edu) (S. Touhamy), [fam9025@med.cornell.edu](mailto:fam9025@med.cornell.edu) (F.A. Mendelsohn Curanaj), [jas9067@med.cornell.edu](mailto:jas9067@med.cornell.edu) (J.J. Seley), [dll9004@nyp.org](mailto:dll9004@nyp.org) (D. Lorber), [mms9024@med.cornell.edu](mailto:mms9024@med.cornell.edu) (M.M. Safford), [ljaronne@med.cornell.edu](mailto:ljaronne@med.cornell.edu) (L.J. Aronne), [lca4001@med.cornell.edu](mailto:lca4001@med.cornell.edu) (L.C. Alonso).

<https://doi.org/10.1016/j.jdiacomp.2021.107967>

Received 26 March 2021; Received in revised form 21 May 2021; Accepted 21 May 2021

Available online 28 May 2021

1056-8727/© 2021 Elsevier Inc. All rights reserved.

## 2. Methods

### 2.1. Study design

To further clarify risk factors associated with COVID-19 severity in patients with diabetes, we conducted a retrospective cohort study in a large, racially diverse population of adults ( $\geq 18$  years) with diabetes who were admitted to NewYork-Presbyterian (NYP) Weill Cornell Medical Center, NYP Queens Hospital, or NYP Lower Manhattan Hospital in New York City between March 1 and May 13, 2020. The primary outcome was a composite of equally weighted events for intensive care unit admission, invasive mechanical ventilation, or in-hospital mortality, which has been investigated as a clinically relevant statistical endpoint in prior studies.<sup>4,5</sup> Clinical courses were followed for all patients admitted during the study period until the occurrence of an event, discharge or until date last observed.

Data on demographics, medical history, and outpatient diabetes medications were queried and abstracted from electronic health records and manually verified. All inpatients with COVID-19 confirmed by reverse transcriptase-polymerase chain reaction were eligible for inclusion. Diabetes status was defined by documentation of type 1 or type 2 diabetes or by hemoglobin A1c (HbA1c)  $\geq 6.5\%$  within 90 days prior to or 10 days following the date of admission. BMI categories were defined according to the World Health Organization, including race-

specific thresholds for Asian populations: (BMI [ $\text{kg}/\text{m}^2$ ], overweight: 23.0–27.4; mild obesity: 27.5–32.4; moderate obesity: 32.5–37.4; severe obesity:  $\geq 37.5$ ).<sup>6</sup>

### 2.2. Statistical analysis

Bivariate tests (i.e., Wilcoxon rank-sum, chi-square and Fisher's exact) were used to explore associations between clinical and demographic variables and COVID-19 outcomes. Multivariable Cox regression adjusting for covariates selected by bivariate testing or clinical relevancy (i.e., age, sex, race, body mass index, coronary artery disease, congestive heart failure, cerebrovascular accident, hypertension, pulmonary disease, chronic kidney disease, smoking, and individualized outpatient diabetes medications) was used to assess independent risk factors for the composite outcome and mortality, separately. All analyses were based on non-missing data. The study was approved by the Institutional Review Board.

## 3. Results

A total of 1134 patients with diabetes were included in the analyses. Median age was 69 years (interquartile range [IQR] 60, 79) and 59% were male. Nearly all patients (96%) had documented type 2 diabetes. The race distribution was 26% white, 23% Asian, 16% Black and 35%

**Table 1**  
Factors associated with composite outcome in bivariate and multivariable analyses.

Characteristic	Bivariate analysis			Multivariable analysis				
	Total N	Overall <sup>a</sup>	Composite outcome Yes, N = 476 <sup>a</sup>	Composite outcome No, N = 658 <sup>a</sup>	p-Value	HR	95% CI	p-Value
Age (years)	1134	69 (60, 79)	70 (62, 80)	67 (58, 76)	<0.001	1.02	1.01, 1.02	<0.001
Sex	1134				0.3			
Female		464 (41%)	186 (39%)	278 (42%)		–	–	–
Male		670 (59%)	290 (61%)	380 (58%)		1.28	1.04, 1.57	0.017
Race	1075				0.008			
White		281 (26%)	116 (25%)	165 (27%)		–	–	–
Asian		248 (23%)	128 (28%)	120 (19%)		1.34	1.03, 1.74	0.029
Black		175 (16%)	68 (15%)	107 (17%)		1.06	0.77, 1.44	0.7
Other		371 (35%)	143 (31%)	228 (37%)		1.11	0.85, 1.44	0.4
BMI ( $\text{kg}/\text{m}^2$ )	1134	28 (24, 32)	27 (24, 32)	28 (24, 32)	0.4			
BMI categories	1134				0.084			
Underweight		39 (3.4%)	24 (5.0%)	15 (2.3%)		1.65	1.05, 2.60	0.031
Normal		280 (25%)	113 (24%)	167 (25%)		–	–	–
Overweight		385 (34%)	163 (34%)	222 (34%)		1.14	0.88, 1.46	0.3
Obesity		430 (38%)	176 (37%)	254 (39%)		1.36	1.05, 1.76	0.020
Mild		252 (22.2%)	101 (21%)	151 (22.9%)		1.22	0.92, 1.62	0.2
Moderate		110 (9.7%)	46 (9.7%)	64 (9.7%)		1.59	1.09, 2.32	0.015
Severe		68 (6%)	29 (6.1%)	39 (5.9%)		2.07	1.29, 3.30	0.002
CAD	1134	291 (26%)	141 (30%)	150 (23%)	0.011	1.17	0.94, 1.46	0.15
CHF	1134	127 (11%)	61 (13%)	66 (10%)	0.2	1.07	0.79, 1.45	0.6
CVA	1134	123 (11%)	57 (12%)	66 (10%)	0.3	1.04	0.77, 1.40	0.8
HTN	1134	930 (82%)	393 (83%)	537 (82%)	0.7	0.87	0.68, 1.13	0.3
Pulmonary	1134	224 (20%)	88 (18%)	136 (21%)	0.4	1.01	0.79, 1.30	>0.9
CKD	1134	206 (18%)	94 (20%)	112 (17%)	0.3	0.95	0.73, 1.23	0.7
Smoking	1133	272 (24%)	118 (25%)	154 (23%)	0.6	1.02	0.81, 1.27	0.9
Hemoglobin A1c (%)	689	7.60 (6.70, 9.30)	7.70 (6.80, 9.20)	7.60 (6.70, 9.40)	0.7	<sup>b</sup>	<sup>b</sup>	<sup>b</sup>
Metformin	1134	511 (45%)	194 (41%)	317 (48%)	0.016	0.92	0.74, 1.13	0.4
Sulfonylureas	1134	212 (19%)	84 (18%)	128 (19%)	0.5	0.97	0.75, 1.26	0.8
DPP-4 inhibitors	1134	248 (22%)	109 (23%)	139 (21%)	0.5	0.92	0.73, 1.16	0.5
GLP-1 analogs	1134	50 (4.4%)	14 (2.9%)	36 (5.5%)	0.057	0.69	0.39, 1.21	0.2
Insulin	1134	326 (29%)	132 (28%)	194 (29%)	0.6	0.99	0.79, 1.23	0.9
SGLT2 inhibitors	1134	70 (6.2%)	31 (6.5%)	39 (5.9%)	0.8	1.02	0.69, 1.05	>0.9

Statistical tests performed: Wilcoxon rank-sum test; chi-square test of independence; Fisher's exact test.

Pulmonary disease comprised chronic obstructive pulmonary disease, asthma, interstitial lung disease, obstructive sleep apnea, pulmonary hypertension, cystic fibrosis, and pneumothorax.

BMI = body mass index, CAD = coronary artery disease, CHF = congestive heart failure, CI = Confidence Interval, CKD = chronic kidney disease, CVA = cerebrovascular disease, DPP = dipeptidyl peptidase-4, GLP-1 = glucagon-like peptide-1, HTN. = hypertension, HR = hazard ratio, Ref = reference category.

<sup>a</sup> Statistics presented: median (interquartile range); n (%).

<sup>b</sup> Covariate not included in multivariable analysis due to missing data and lack of signal in bivariate analysis.

other (patient-selected category). The median HbA1c (n = 689) was 7.6% (IQR 6.7, 9.3). The composite outcome occurred in 476 (42%) patients, and in-hospital mortality occurred in 339 (30%).

Multivariable Cox regression analysis showed that, age, male sex, Asian race, underweight and obesity were independently associated with greater risk of the composite outcome (Table 1). Age, male sex, and underweight were also associated with higher mortality.

In a separate multivariable model containing extended BMI classes, accounting for age, sex, race, comorbidities and outpatient diabetes medications, we observed a J-shaped curve for the risk of the composite outcome, with both lowest and highest BMI categories conferring greater risk compared to normal BMI and increasing risk with greater severity of overweight/obesity: underweight (HR 1.65; 95% CI 1.05, 2.60), overweight (HR 1.14; 0.88, 1.46), mild obesity (HR 1.22; 0.92, 1.62), moderate obesity (HR 1.59; 1.09, 2.32) and severe obesity (HR 2.07; 1.29, 3.30). In addition to age, male sex, and underweight, another independent risk factor for mortality was severe obesity (HR 1.76; 1.02, 3.03).

#### 4. Discussion

To our knowledge, this study is the first to assess risk factors utilizing race-specific BMI cut-offs in a diverse U.S. cohort of patients with diabetes. Our study corroborated previous reports highlighting the increased risk of COVID-19 severity conferred by age, male sex, and obesity in patients with diabetes, but outpatient diabetes medications were not found to be independent predictors of increased COVID-19 severity. Metformin demonstrated a protective effect in unadjusted bivariate analysis that was not confirmed after adjustment for multiple covariates. The association of diabetes medications with COVID-19 severity has been inconsistent in the literature with some observing a reduced risk of mortality with outpatient metformin usage,<sup>7-9</sup> while others finding no effect.<sup>4</sup> One explanation for this difference in observations may be the dose of metformin,<sup>7</sup> which requires a level of granularity that is often absent in retrospective studies. Prospective studies are needed to better understand the effect of antihyperglycemic therapies and risk of severe COVID-19 disease.

Study limitations included incomplete data on glycemic outcomes and lack of information regarding diabetes duration, diabetes-related complications, and socioeconomic status. Additionally, certain covariates (e.g., ethnicity, Asian subgroups, dose or strength of diabetes medication) were not included in our multivariable model due to lack of granularity in the dataset, while other potential covariates (e.g., cirrhosis, hepatitis, human immunodeficiency virus, active cancer, transplant status, inflammatory bowel disease, rheumatologic disorder) were excluded due to the small proportion of individuals with these characteristics. Finally, given that our cohort predominantly had type 2 diabetes, our findings cannot be applied to populations with type 1 diabetes.

The strengths of our study included a racially diverse population with a significant Asian proportion, utilization of race-specific BMI thresholds for obesity classification, and delineation of a graded risk with increasing BMI above normal that was statistically significant for moderate and severe obesity. The increased risk of COVID-19 severity in Asian patients is not unexpected,<sup>10-12</sup> but the data are mixed.<sup>13,14</sup> The multicenter COVID-19 Cardiovascular Disease Registry reported increased risk of mortality with Asian race.<sup>12</sup> In contrast, individual centers in New York found no increased risk,<sup>13,14</sup> a difference that might be attributable to the diversity of our patient population drawn from Manhattan and Queens. Furthermore, our data found that the increased risk associated with Asian race persisted in a diabetes-specific cohort. The inconsistent results reported among these studies may be attributable to the heterogeneity of the Asian population and should be elucidated with further research in Asian subgroups. Race is universally recognized as a social determinant of health, whether due to intrinsic pathophysiologic variations or external socioeconomic differences.

In this retrospective cohort study of patients with type 2 diabetes hospitalized for COVID-19 disease, the risk of severe COVID-19 disease was associated with older age, higher BMI, male sex, and Asian race but not with outpatient diabetes medications. Further exploration of specific patient populations will help improve risk stratification and inform personalized care.

#### CRediT authorship contribution statement

Alpana P. Shukla, M.D.: Conceptualization, Methodology, Supervision, Writing-Original draft, Writing-Review & editing  
 Beverly G. Tchang, M.D.: Visualization, Writing-Original draft, Writing-Review & editing  
 Tiffany Lam, B.A.: Data curation, Writing-Review & editing  
 Ian Steller, M.S.: Data curation, Writing-Review & editing  
 Samir Touhamy II, M.S.: Data curation, Writing-Review & editing  
 Gulce Askin, M.P.H.: Formal analysis, Methodology, Writing-Review & editing  
 Felicia A. Mendelsohn Curanaj, M.D.: Methodology, Writing-Review & editing  
 Jane J. Seley, D.N.P.: Methodology, Writing-Review & editing  
 Daniel Lorber, M.D.: Resources, Writing-Review & editing  
 Monika M. Safford, M.D.: Resources, Writing-Review & editing  
 Louis J. Aronne, M.D.: Methodology, Funding acquisition, Writing-Review & editing  
 Laura C. Alonso, M.D.: Conceptualization, Methodology, Writing-Review & editing.

#### Declaration of competing interest

LJA reports receiving consulting fees from and serving on advisory boards for Jamieson Laboratories, Pfizer, Novo Nordisk, Eisai, Erx Pharmaceuticals, Real Appeal, Janssen Pharmaceuticals, and Gelesis; receiving research funding from Aspire Bariatrics, Allurion, Eisai, AstraZeneca, Gelesis, Janssen Pharmaceuticals and Novo Nordisk; having equity interests in Intellihealth Corp, Allurion, Erx Pharmaceuticals, Zafgen, Gelesis, Myos Corp., and Jamieson Laboratories; and serving on a board of directors for Intellihealth Corp., Myos Corp. and Jamieson Laboratories. MMS reports receiving salary support for investigator-initiated research unrelated to the topic from Amgen, Inc. BGT serves as a consultant for Novo Nordisk. All other authors have nothing to disclose.

#### Acknowledgements

We thank the Clinical and Translational Science Center at Weill Cornell Medical College (1-UL1-TR002384-01) and Paul Christos, DrPh, MS for biostatistical support. The authors acknowledge Wanda Truong, MS and Anthony Casper, BS for regulatory support and Jonathan Hwang, BS, Hao Huang, BS, and Ageline Sahagun, BS for data extraction and organization. We also thank the following Weill Cornell Medicine medical students for their contributions to the COVID-19 Registry through medical chart abstraction: Zara Adamou, BA; Haneen Aljayyousi, BA; Bryan K. Ang, BA; Elena Beideck, BS; Orrin S. Belden, BS; Anthony F. Blackburn, BS; Joshua W. Bliss, PharmD; Kimberly A. Bogardus, BA; Chelsea D. Boydston, BA; Clare A. Burchenal, MPH; Eric T. Caliendo, BS; John K. Chae, BA; David L. Chang, BS; Frank R. Chen, BS; Kenny Chen, BA; Andrew Cho, PhD; Alice Chung, BA; Alisha N. Dua, MRes; Andrew Eidelberg, BS; Rahmi S. Elahjji, BA; Mahmoud Eljaby, MMSc; Emily R. Eruysal, BS; Kimberly N. Forlenza, MSc; Rana Khan Fowlkes, BA; Rachel L. Friedlander, BA; Gary George, BS; Shannon Glynn, BS; Leora Haber, BA; Janice Havasy, BS; Alex Huang, BA; Jennifer H. Huang, BS; Sonia Iosim, BS; Mitali Kini, BS; Rohini V. Kopparam, BS; Jerry Y. Lee, BA; Mark Lee, BS, BA; Aretina K. Leung, BA; Bethina Liu, AB; Charalambia Louka, BS; Brienne Lubor, BS; Dianne Lumaquin, BS; Matthew L. Magruder, BA; Ruth Moges, MSc; Prithvi M.

Mohan, BS; Max F. Morin, BS; Sophie Mou, BA; J.J. Nario, BS; Yuna Oh, BS; Noah Rossen, BA; Emma M. Schatoff, PhD; Pooja D. Shah, BA; Sachin P. Shah, BA; Daniel Skaf, BS; Shoran Tamura, BS; Ahmed Toure, BA; Camila M. Villasante, BA; Gal Wald, BA; Samuel Williams, BA; Ashley Wu, BS; Andrew L. Yin, BA; and Lisa Zhang, BA.

### Funding

We thank the supporters of the Weill Cornell Friend Center Weight Fund.

### References

- Chen Y, Yang D, Cheng B, et al. Clinical characteristics and outcomes of patients with diabetes and COVID-19 in association with glucose-lowering medication. *Diabetes Care*. 2020;43:1399–1407.
- Cariou B, Hadjadj S, Wargny M, et al. Phenotypic characteristics and prognosis of inpatients with COVID-19 and diabetes: the CORONADO study. *Diabetologia*. 2020; 63:1500–1515.
- Agarwal S, Schechter C, Southern W, et al. Preadmission diabetes-specific risk factors for mortality in hospitalized patients with diabetes and coronavirus disease 2019. *Diabetes Care*. 2020;43:2339–2344.
- Pérez-Belmonte LM, Torres-Peña JD, López-Carmona MD, et al. Mortality and other adverse outcomes in patients with type 2 diabetes mellitus admitted for COVID-19 in association with glucose-lowering drugs: a nationwide cohort study. *BMC Med*. 2020; 18.
- Hajifathalian K, Kumar S, Newberry C, et al. Obesity is associated with worse outcomes in COVID-19: analysis of early data from New York City. *Obesity* (Silver Spring, Md) 2020;28: 1606-1612.
- World Health Organization (WHO). Appropriate body-mass index for Asian populations and its implications for policy and intervention strategies. *Lancet*. 2004; 363:157–163.
- Ghany R, Palacio A, Dawkins E, et al. Metformin is associated with lower hospitalizations, mortality and severe coronavirus infection among elderly medicare minority patients in 8 states in USA. *Diabetes Metab Syndr*. 2021;15:513–518.
- Lalau J-D, Al-Salameh A, Hadjadj S, et al. Metformin use is associated with a reduced risk of mortality in patients with diabetes hospitalised for COVID-19. *Diabetes Metab*. 2021;47:101216.
- Wargny M, Potier L, Gourdy P, et al. Predictors of hospital discharge and mortality in patients with diabetes and COVID-19: updated results from the nationwide CORONADO study. *Diabetologia*. 2021;64:778–794.
- Apea VJ, Wan YI, Dhairyawan R, et al. Ethnicity and outcomes in patients hospitalised with COVID-19 infection in East London: an observational cohort study. *BMJ Open*. 2021;11, e042140.
- Sze S, Pan D, Nevill CR, et al. Ethnicity and clinical outcomes in COVID-19: a systematic review and meta-analysis. *EclinicalMedicine* 2020;29–30: 100630.
- Rodriguez F, Solomon N, de Lemos JA, et al. Racial and ethnic differences in presentation and outcomes for patients hospitalized with COVID-19: findings from the American Heart Association's COVID-19 Cardiovascular Disease Registry. *Circulation*. 2020 (Epub ahead of print).
- Kabarriti R, Brodin NP, Maron MI, et al. Association of Race and ethnicity with comorbidities and survival among patients with COVID-19 at an urban medical center in New York. *JAMA Netw Open*. 2020;3, e2019795.
- Ogedegbe G, Ravenell J, Adhikari S, et al. Assessment of racial/ethnic disparities in hospitalization and mortality in patients with COVID-19 in New York City. *JAMA Netw Open*. 2020;3, e2026881.