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# PPARa Between Aspirin and Plaque Clearance

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# Abstract

Mounting evidence has identified that impaired amyloid- $\beta$  (A $\beta$ ) clearance might contribute to Alzheimer's disease (AD) pathology. The lysosome-autophagy network plays an important role in protein homeostasis and cell health by removing abnormal protein aggregates via intracellular degradation. Therefore, stimulation of cellular degradative machinery for efficient removal of A $\beta$ has emerged as a growing field in AD research. However, mechanisms controlling such pathways and drugs to promote such mechanisms are poorly understood. Aspirin is a widely used drug throughout the world and recent studies have identified a new function of this drug. At low doses, aspirin stimulates lysosomal biogenesis and autophagy to clear amyloid plaques in an animal model of AD. This review delineates such functions of aspirin and analyzes underlying mechanisms that involve peroxisome proliferator-activated receptor alpha (PPARa)-mediated transcription of transcription factor EB (TFEB), the master regulator of lysosomal biogenesis.

#### Keywords

Alzheimer's disease; amyloid plaques; autophagy; lysosomal biogenesis; PPARa; TFEB

# ALZHEIMER'S DISEASE

Alzheimer's disease (AD), clinically characterized by progressive cognitive impairment, is the most common neurodegenerative disorder. At present, AD approximately affects 5.7 million Americans across all age groups. About 10% and total 5.5 million American people of age 65 and older have been reported to have AD, making it the fifth leading cause of death in older population. In the US, roughly two-thirds of the AD-affected individuals are women. It is estimated that by 2050, the number of individuals living with AD in the US alone will rise to 13.8 million. It was reported that between 2000 and 2015, mortality due to heart disease, stroke, and prostate cancer have reduced whereas mortality from AD has increased 123%.

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At present, there is no effective treatment for preventing or halting the disease. In AD brain, hippocampus and the association cortices undergo atrophy which can be detected by MRI [1–4]. At the microscopic level, the major defining neuropathological features of AD are deposition of extracellular senile plaques, composed of toxic amyloid- $\beta$  (A $\beta$ ) aggregates, and formation of intracellular neurofibrillary tangles originated from hyperphosphorylation of the microtubule-associated protein tau [5, 6]. The early onset familial forms of AD have genetic origins characterized by mutations in the gene encoding the amyloid precursor protein (*APP*), a neuronal trans-membrane protein, and the presenilins (*PS1* and *PS2*), the catalytic subunit of the gamma secretase complex [7]. While the familial forms of AD are rare (1–5%), the major AD occurrences are sporadic in nature with etiology that still remains elusive.

#### Neuropathological Features of AD

Upon autopsy, significant hippocampal atrophy along with a distinctly dilated temporal horn region of the left ventricle constitutes substantial indication of AD which is further confirmed upon histological evidence of neuropathological lesions [8]. The two pathological alterations described by Dr. Alzheimer are still considered as the hallmarks of AD and are used as criteria for diagnosis. These major neuropathological characteristics of AD are the development of extracellular senile plaques containing A $\beta$  and formation of intraneuronal neurofibrillary tangles [9]. Abnormal accumulation of A $\beta$  (40 or 42) forms the senile plaques which can be broadly classified into two categories, diffuse and dense-core plaques, based on the morphological characteristic and staining using Thioflavin-S and Congo Red, dyes that specifically recognize the  $\beta$ -pleated sheet conformation [10].

Diffuse plaques are Thioflavin-S-negative, non-neuritic, and are frequently observed in the cognitively intact aged people. However, dense-core plaques, present in the brains of AD patients, are composed of fibrillar A $\beta$  and stain positively for Thioflavin-S. These compact-core plaques are associated with dystrophic neurites or neuritic plaques, accumulation of reactive astrocytes and microglia surrounding the plaque [11, 12], neuronal degeneration, and synaptic loss [13, 14]. While deposition of amyloid plaques is believed to start before the signs of cognitive decline, neurofibrillary tangle development and neuronal and synaptic losses occur concomitantly with the cognitive impairment [10].

#### Proteolysis of amyloid-β protein precursor (AβPP) and generation of amyloid plaques

A $\beta$ PP is a neuronal type I transmembrane protein, involved in physiological processes such as neuronal trafficking, neurotrophic pathways, cell adhesion, and signaling [15–17]. In the non-amyloidogenic pathway, on the membrane, proteolytic cleavage of A $\beta$ PP within the A $\beta$ domain by alpha secretases, ADAM10, ADAM9, and ADAM17 (ADAM: a disintegrin- and metalloproteinase) precludes A $\beta$  formation and generates N-terminal sA $\beta$ PPa (soluble A $\beta$ PPa) fragment and membrane associated CTFa (C-terminal fragment a) (C83) [18, 19]. Alternatively, A $\beta$ PP can be internalized into the early endosomes where it undergoes amyloidogenic processing. In the amyloidogenic pathway, sequential cleavage of A $\beta$ PP by beta secretase BACE1 ( $\beta$ -site APP-cleaving enzyme 1) and gamma secretase complex generates A $\beta$ . Cleavage of A $\beta$ PP by BACE1 produces sA $\beta$ PP $\beta$ , which is secreted and CTF $\beta$ fragment (C99), which remains membrane bound and contains the A $\beta$  sequence. Further

intramembrane cleavage of CTF $\beta$  by the gamma secretase complex containing presenilin (PS1 or 2), nicastrin, APH-1 (anterior pharynx defective-1), and PEN2 (presenilin enhancer 2) produces A $\beta$  peptide comprised of 40 or 42 residues. Among these amyloid species, due to insolubility and a greater propensity to aggregate, A $\beta_{42}$  is more toxic and abundantly found in the plaques [9, 10, 16]. Aggregation of A $\beta$  peptides involves a nucleation and elongation phase which ultimately forms the A $\beta$  protofibrils and fibrils [20–22].

#### Stimulation of lysosomal clearance as a therapeutic strategy in AD

Although AD involves a multifactorial etiology, the amyloid cascade hypothesis, proposed by Hardy and Higgins, is widely established as the underlying model of AD pathophysiology [23]. According to this hypothesis, deposition of A $\beta$  is the initiating and driving event in AD pathogenesis and an imbalance between production and clearance of A $\beta$ leads to other pathological events including neurofibrillary tangles, neuronal dysfunction, and dementia [23, 24]. Therefore, many strategies for development of novel therapeutics for AD are focused on targeting A $\beta$  dyshomeostasis.

Emerging studies suggest that impaired clearance of  $A\beta$  is the underlying mechanism of the widespread sporadic AD which constitutes 95% of all AD cases [25]. Therefore, strategies targeted at effective clearance of A $\beta$  from the brain have immense therapeutic implications for AD. Several studies have highlighted the potential of astrocytic lysosomal induction for efficient clearance of A $\beta$ . Cultured adult astrocytes has been shown to migrate, bind, and degrade amyloid plaques in brain sections from an AD mouse model implicating inefficient astroglial clearance of A $\beta$  in AD pathogenesis [26]. Further study indicated apolipoprotein E plays an important role in astrocyte-mediated A $\beta$  clearance [27]. Another study demonstrated that attenuating astrocytic activation by deletion of genes encoding intermediate filament proteins, glial fibrillary acidic protein (GFAP) and vimentin, accelerates the amyloid plaque pathogenesis [28]. Therefore, astrocytes play an important role in clearance of A $\beta$  plaques in AD.

Attempts to enhance the lysosomal function and restore normal autophagy by modulating transcription factor EB (TFEB), the essential regulator of the lysosome system, have generated promising therapeutic results in rescuing the amyloid pathogenesis in AD. Enhancing lysosomal function with TFEB leads to increased lysosomal degradation of holo-A $\beta$ PP in the neurons and thus reduces the amyloidogenic processing of A $\beta$ PP and A $\beta$  generation [29]. Recent studies have demonstrated that TFEB overexpression can alleviate AD pathology by regulation of the autophagy-lysosome pathway [30]. Targeted TFEB expression in astrocytes mediated by viral gene transfer promotes attenuation of the amyloid pathology by enhancing lysosomal biogenesis and facilitating A $\beta$  uptake and lysosomal degradation by astrocytes [31].

## **ASPIRIN**

Acetylsalicylic acid, commonly known as aspirin, is one of the most frequently used pharmaceutics in medical practice and is available over the counter [32]. As a member of the nonsteroidal anti-inflammatory drugs (NSAIDs) group, aspirin is known to exert its anti-

inflammatory effects by inhibiting cycloxygenases and thereby suppressing the generation of proinflammatory molecules like prostaglandins [33]. Other than its extensive use as an analgesic and antipyretic, aspirin has also been demonstrated to have beneficial effects for atherosclerosis, cardiovascular diseases and several cancers [34–37]. Earlier studies have explored the neuroprotective effect of aspirin under different disease conditions. Aspirin was shown to have protective effects in an animal model of Parkinson's disease, independent of its cycloxygenase inhibitory properties [38]. Recently we have seen that aspirin is capable of increasing the production of dopamine from dopaminergic neurons via upregulation of tyrosine hydroxylase [39]. In a previous study, we demonstrated that aspirin upregulates the expression of ciliary neurotrophic factor in astrocytes which could have beneficial role for remyelination in demyelinating disorders [40]. Accordingly,we have reported that low-dose aspirin reduces the disease process of experimental autoimmune encephalomyelitis, an animal model of the most common human demyelinating disease multiple sclerosis [41]. Memory enhancing effects of aspirin was observed in an AlCl<sub>3</sub>-induced mouse model of neurotoxicity [42].

#### Aspirin stimulates lysosomal biogenesis

One recent study highlights a new property of aspirin [43]. At low doses, aspirin increases lysosomal biogenesis in astrocytes and neurons as monitored by LysoTracker analysis and elevation of different lysosomal marker molecules (LAMP2, LIMP2, and NPC1) [43]. Transcription factor EB (TFEB), a member of the microphthalmia-transcription factor E (MiT/TFE) subfamily of basic helix-loop-helix factors, is considered as the master regulator of lysosomal biogenesis [44–46]. Aspirin also upregulates TFEB in brain cells in culture and *in vivo* [43]. Electron microscopic analyses show the presence of increased number of lysosomes as well as different stages of autophagic vesicles in aspirin-treated brain cells [43]. Low-dose aspirin augments the activity of lysosomal enzyme tri-peptidyl-peptidase 1 (TPP1), dysfunction of which causes late-infantile Batten disease or late-infantile neuronal ceroid lipofuscinosis (LINCL) [43], suggesting that aspirin may have therapeutic implications in LINCL. Aspirin treatment also upregulates the activity of CathepsinB, a cysteine protease, and CathepsinD, an aspartyl protease important for lysosomal proteolysis [43]. These results indicate that aspirin is capable of promoting lysosomal biogenesis and autophagy in brain cells.

# PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR (PPAR)

PPARs are ligand-inducible transcription factors belonging to the class of nuclear hormone receptors that primarily act as lipid sensors [47, 48]. Three different isoforms of PPARs: PPARa, PPAR $\beta/\delta$ , and PPAR $\gamma$ , have distinct tissue expression patterns, physiological functions and ligand specificity [49].

The a isoform, PPARa, is principally involved in regulation of energy homeostasis of the cell through inducing fatty acid and cholesterol metabolism and decreasing serum triglyceride content [50, 51]. Prototype PPARa agonists include lipid-lowering fibrate drugs (gemfibrozil, fenofibrate), WY14643, and GW7647 [48].

The protein PPARa contains 466 amino acids and is comprised of following functional domains [52, 53]:

- A zinc finger-containing highly conserved DNA binding domain that is responsible for binding to the conserved peroxisome proliferator response element (PPRE) site on the promoter of target gene
- A hinge region
- C-terminal ligand-binding domain (LBD) containing five helices (H-3, -5, -7, -11, -12) that form a large ligand binding pocket
- E/F domain for dimerization with RXR and ligand-dependent transactivation of the receptor
- N-terminal domain for ligand-independent receptor regulation

All PPARs control the expression of target genes via transcriptional regulation. In the cytosol, PPARa is kept in an inactive form by forming complex with heat-shock protein 90 (HSP-90) and hepatitis virus B-X-associated protein-2 (XAP-2) [48, 54, 55]. In the nucleus, PPARs form heterodimers with transcriptional partner retinoid-X-receptors (RXRs). The PPAR:RXR heterodimer binds to the promoter of the target gene at cis-acting regulatory sequence known as PPRE. The sequence of the canonical PPRE contains two direct repeats of AGGTCA, separated by a DR1 element [56, 57]. In the absence of stimulation by ligands, PPAR:RXR heterodimers are kept inactive via binding to corepressor molecules such as nuclear receptor co-repressor, silencing mediator for retinoid and thyroid hormone receptor (SMRT) which, via direct interaction with Sin3 complex, recruits a multicomponent repressor complex [58, 59]. Additionally, SMRT promotes the recruitment of histone deacetylases (HDACs) to the repressor complex. The corepressor complex containing HDACs suppresses the transcription of the target gene by causing histone deacetylation [60].

Upon ligand binding, a conformational change of the receptor leads to release of the corepressors and facilitates recruitment of co-activators which enhance the transcription of target gene through histone acetylation/methylation and stabilization of basal transcription apparatus [57, 61]. Some of the coactivators associated with PPAR:RXR complex are CBP/ P300, SRC, PGC-1a, SWI/SNF, PRIP/PIMT, etc.

## ASPIRIN BINDS TO PPARa FOR ITS ACTIVATION

Although PPARa is a lipid-lowering transcription factor, we have demonstrated that PPARa is constitutively expressed in different brain regions and cells [62–66]. Surprisingly, PPARa stimulates lysosomal biogenesis in brain cells via direct transcriptional regulation of TFEB, the master regulator of lysosomal biogenesis [67]. Since aspirin stimulates lysosomal biogenesis [43], it makes sense to investigate whether aspirin induces the activation of PPARa. Increase in nuclear translocation, upregulation of DNA-binding activity and elevation in transcriptional venture of PPARa by aspirin suggest activation of PPARa by aspirin [43]. Moreover, aspirin induces PPRE-driven reporter activity in wild type and PPAR $\beta$  (–/–), but not PPARa (–/–), astrocytes confirming activation of PPARa by aspirin [43]. How does aspirin activate PPARa? Recently, by thermal shift assay and TR-FRET

analysis, Patel et al. [68] have shown direct binding of aspirin with PPARa. During ligand binding, a catalytic triad of H440, S280, and Y314 of PPARa LBD is involved in the complex formation of any ligand with PPARa [57,61]. In-silico analysis has identified that hydrogen atom of OH group located at the sidechain of Tyr314 residue forms a moderate Hbond with O3 of aspirin, whereas HE2 of H440 makes a weaker H-bond with O1 of aspirin (Fig. 1). Accordingly, we focused on the Y314 of PPARa LBD for interaction with aspirin [68]. This aspirin-to-PPARa LBD binding is further confirmed by site-directed mutagenesis as in-silico examination, thermal shift assay and TR-FRET analysis do not find any interaction of aspirin with mutated Y314D PPARa [68]. Aspirin also dose-dependently induces the luciferase reporter activity driven by wild type PPARa, but not by Y314D PPARa [68]. The anti-pyretic action of aspirin stems from its inhibition of cyclooxygenase 2 (COX2) on PGE1/PGE2 levels. However, celecoxib, ibuprofen, naproxen (potent inhibitors of COX1/2) display very weak interaction with PPARa [68], suggesting the specificity of this effect. Together, these results clearly indicate that aspirin binds to Y314 of PPARa ligand-binding domain for its activation.

## ASPIRIN INCREASES TFEB AND LYSOSOMAL BIOGENESIS VIA PPARa

Since lysosomal biogenesis is controlled by PPARa, the promoter of *Tfeb* gene was analyzed and it was found to harbor a consensus PPRE site, 480 bp upstream of the transcription start site [67]. Accordingly, gemfibrozil, a prototype activator of PPARa, has been found to upregulate the transcription of *Tfeb* gene via PPARa [67]. Consistent to the activation of PPARa, aspirin induces TFEB-driven reporter activity in astrocytes via PPARa, but neither PPAR $\beta$  nor PPAR $\gamma$  [43]. It is found that aspirin treatment stimulates the recruitment of PPARa, CBP, and RNA polymerase to the PPRE site on the TFEB promoter for its transcription (Fig. 2). Therefore, when the PPRE core sequence in the TFEB gene promoter is mutated, aspirin remains unable to induce mutated TFEB-driven reporter activity [43]. Consistent to the PPARa-dependent stimulation of TFEB by aspirin, this drug increases lysosomal biogenesis and autophagy in brain cells via PPAR (Fig. 2).

#### ASPIRIN LOWERS AMYLOID PLAQUES VIA PPARa

Although AD involves a multifactorial pathophysiology, accumulation of A $\beta$  is widely considered as the initiating and driving factor of AD pathology [23]. Therefore, lowering cerebral plaque load is an important area of research as it may have therapeutic implication for AD. Increase in lysosomal biogenesis and autophagy by aspirin in brain cells suggests possible beneficial effect of aspirin in this aspect. Accordingly, when FAD5X mice with established plaques are treated with aspirin, significant plaque clearance is seen from hippocampus and cortex [43]. Recent discovery has shed light on the mechanisms by which autophagy occurs [69]. Lysosomal adaptation under different physiological as well as pathological scenario is dependent on a regulatory gene network known as CLEAR (coordinated lysosomal expression and regulation), with the master regulator TFEB at its core [69, 70]. It is interesting to see that after oral administration, aspirin increases the level of TFEB in the hippocampus of 5XFAD mice. Given the observation that aspirin can activate PPARa and thus drive TFEB mediated lysosomal enrichment, it is logical to explore if the activation of PPARa by aspirin is the underlying mechanism by which it exhibits the amyloid lowering effects. Findings that deletion of PPARa in FAD5X mice aggravates plaque pathology as compared to FAD5X mice and that aspirin lowers cerebral plaque load in FAD5X, but not FAD5X-PPARa (-/-), mice [43] clearly indicate an essential role of PPARa in plaque pathology and aspirin-mediated plaque lowering. Accordingly, aspirin also improves spatial learning and memory

# WHAT DOES IT MEAN FOR AD PATIENTS?

in 5XFAD mice in a PPARa-dependent manner [68].

Current state of knowledge goes both for beneficial and not favorable role of aspirin in AD. In the following discussion, we have tried to analyze such information with respect to PPAR $\alpha$ -dependent plaque-lowering activity.

#### Evidence for a beneficial role of aspirin in AD

- 1. After a global, cross-sectional, and longitudinal (1991–2000) epidemiological analyses, one study [71] has reported that high-dose aspirin users exhibit lower prevalence of AD and better cognition than non-users.
- 2. In a study [72] to evaluate the association of anti-inflammatory drug use on the incidence of AD, it has been shown that aspirin can significantly lower the risk of AD.
- **3.** Based on a systematic review and meta-analysis of observational studies published between 1966 and October 2002, Etminan et al. [73] have reported a protective effect of aspirin in AD patients.
- **4.** According to a Nationwide Retrospective Cohort Study in Taiwan [74], a mean daily dosage of aspirin use within 40mg may decrease the risk of developing AD in patients with type 2 diabetes mellitus.

#### Evidence against a beneficial role of aspirin in AD

- 1. In the Reasons for Geographical and Racial Differences in Stroke (REGARDS) study, Kelly et al. [75] have seen no relationship between daily aspirin use and cognitive change over 2 to 6 years of follow-up after controlling for the impact of age.
- 2. Veronese et al. [76] has investigated whether low-dose aspirin (<300mg/d) can influence the onset of cognitive impairment or dementia in observational studies and improve cognitive test scores in randomized controlled trials in older participants without dementia. They have seen no evidence that low-dose aspirin delays in cognitive decline or dementia.

One of the possible explanations for this aspirin puzzle in AD could be that the level of PPARa might be less in the brains of older AD and dementia patients (Fig. 3). In addition to its central role in lipid metabolism, PPARa has also been established to regulate multiple physiological pathways [50, 65, 77] including lysosomal biogenesis [67, 78]. Since aspirin

requires PPARa to stimulate lysosomal biogenesis and autophagy and clear amyloid plaques in AD mouse model, in the absence of a basal level of PPARa, aspirin may not exhibit an optimal effect on plaque lowering (Fig. 3). Mounting evidence also suggests that activation of PPARa improves memory and learning via upregulation of the CREB signaling pathway [63–65]. Accordingly, in another study [68], we have seen that aspirin is capable of stimulating hippocampal plasticity and improving memory and learning in an animal model of AD via PPARa. Taken together, aspirin requires PPARa for both plaque clearance and improvement in cognitive functions, two important stakeholders in AD therapy (Fig. 3).

## CONCLUSION

Currently, there is no valid option to reduce plaques and improve cognitive functions in AD patients. Therefore, it always stimulates enthusiasm and eagerness to learn the possibility that low dose of a household drug, aspirin, may clear plaques and boost memory in AD patients. If mouse results are translated, our aged medical companion may be repurposed to lower plaques and enhance memory in the most important age-related cognitive disorder via PPARa. However, since PPARa is the rate-limiting molecule (Fig. 3) in aspirin-mediated possible therapeutic efficacy in AD, future studies should be directed to understand the level of PPARa in AD patients and age-matched healthy individuals, which may help tailoring aspirin therapy inpatients with AD or mild cognitive impairment.

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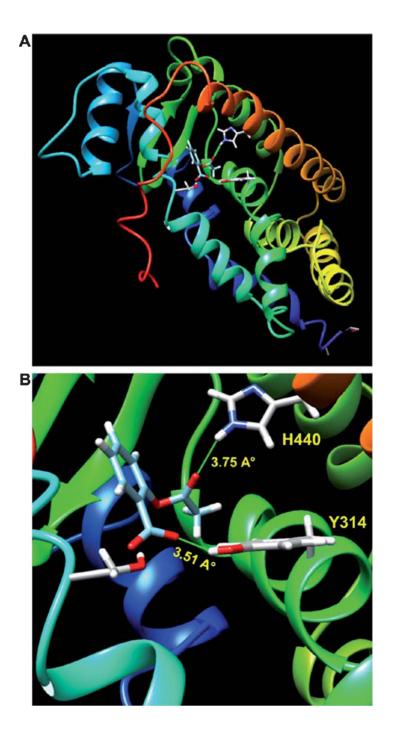
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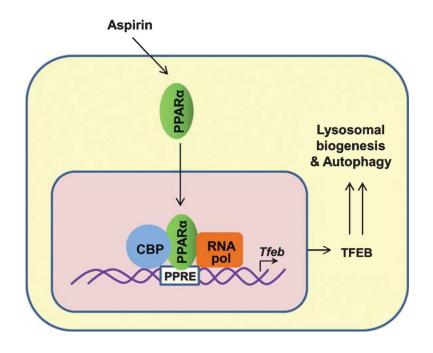
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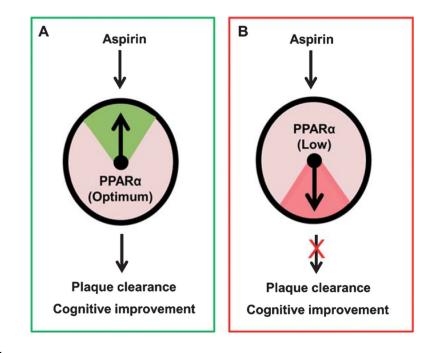
#### Fig. 1.

In Silico docking analysis of aspirin in PPARa ligand-binding domain (LBD). A, B) A bestfit superposition of the LBD of PPARa (mouse) and aspirin was obtained with the help of SwissDock docking software and finally displayed in Chimera visualization interface. Ribbon representation of the complex has revealed that a catalytic triad of H440, S280, and Y314 is required for the complex formation of aspirin with PPARa. Interestingly, hydrogen atom of OH group located at the sidechain of Tyr314 residue forms a moderate H-bond with O3 of Aspirin, whereas HE2 of H440 makes a weaker H-bond with O1 of Aspirin.



## Fig. 2.

Transcriptional upregulation of *Tfeb* by aspirin via PPARa. Aspirin activates PPARa that binds to peroxisome proliferator response element (PPRE) present in *Tfeb* gene promoter and causes its transcription. Other members of the transcriptional complex are CREB-binding protein (CBP) and RNA polymerase II. TFEB is responsible for lysosomal biogenesis and autophagy.



#### Fig. 3.

PPARa controls aspirin's ability to lower plaques and improve memory. A) When level of PPARa is optimum, aspirin treatment clears plaques and improves memory and learning. B) On the other hand, when PPARa level is low, aspirin treatment does not lead to plaque clearance and cognitive enhancement.