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Hereditary diffuse gastric cancer: cancer risk and the personal cost of preventive surgery

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Abstract

Background: Germline *CDH1* mutation carriers are at risk for early-onset diffuse gastric cancer (DGC) and female carriers have an additional risk of lobular breast cancer. The reported literature GC risk of 70% has led to the recommendation for germline mutation carriers to undergo prophylactic total gastrectomy (PTG). The objective of this research was to examine post-surgical clinical outcomes and to identify which of the domains/symptoms from the European Organisation for Research and Treatment of Cancer QOL Questionnaire (EORTC QLQ-C30) were determinants of overall quality of life (QOL) in individuals undergoing PTG.

Methods: Participants were recruited through multiple sources. Postsurgical clinical outcomes were obtained from hospital records. Participants completed validated questionnaires measuring generic and condition specific QOL (PROMIS, EORTC and SF 36v.II) at a single point in time.

Results: The mean QOL in this cohort was 70.6 (SD = 25.6), which is comparable to reference values from the general populations in Norway and Sweden. Role and social function plus the symptoms anxiety, pain, taste, dyspnea and diarrhea were significant predictor variables for QOL (p<0.05).

Conclusions: Although this study reveals good overall QOL for individuals after PTG, attention should be given to managing symptoms as part of long term care to further enhance QOL. The

function/symptom scores were associated with worse overall health and global health status and thus may mark a real need for more attentive post-surgical care.

Keywords

Diffuse gastric cancer; total prophylactic gastrectomy; clinical outcomes; quality of life; predictor variables

Introduction

Clinically defined hereditary diffuse gastric cancer (c-HDGC) [OMIM #137215] is characterized by early-onset, multi-generational diffuse gastric cancer (DGC) and lobular breast cancer (LBC).

Approximately 40% of HDGC families have germline mutations in the *CDH1* gene (E-cadherin) [ENSG00000039068; OMIM *192090]. Since its implication in HDGC, there have been over 100 different pathogenic germline mutations reported across multiple ethnicities [1]. A recent study of 75 families with pathogenic *CDH1* mutations predicted the cumulative incidence of gastric cancer as 70% (95% confidence interval [CI], 40%–94%) for males and 56% (95% CI, 27%–90%) for females by age 80 years. The risk of breast cancer for females was 42% (95% CI, 23%–68%) by 80 years [1].

Identifying individuals who have an increased risk of developing GC allows for cancer prevention strategies to be put into place. Given that DGC is difficult to detect by current screening modalities, prophylactic total gastrectomy (PTG) is considered the treatment of choice for patients with a germline mutation of the *CDH1* gene [2]. This surgery is effective in preventing DGC but is associated with long-term complications [3–15] (Table 1). Improving our understanding of patient outcomes through assessment of both functional status and health related QOL (HRQOL) will provide important clues to improve post-surgical management. There are currently only two smaller (32 and 18 participants) studies that document the QOL in HDGC [14,15]. Given that recommendations are being made for carriers to undergo PTG to decrease their mortality from the increased cancer risk, it is imperative that further evidence-based clinical management of these individuals post-surgery.

This study has endeavored to augment the knowledge base and in so doing contribute to the evidence base used to guide decision-making for patients contemplating PTG and their clinical management after surgery. Understanding the characteristics or conditions that predict subsequent QOL may help clinicians identify patients who may be at increased risk of adverse psychosocial problems.

Methods

Study Design and Patient Accrual

This retrospective, multicentre study in which data were collected on one occasion from *CDH1* mutation carriers who had undergone PTG was approved by the Behavioural Research Ethics Board of the University of British Columbia (Certificate number H11–

00956) and local IRBs in Newfoundland. All participants provided written informed consent. Participants from BC were invited directly by one of the authors (PK). Participants outside BC were recruited through their genetics provider or surgeon. The study was advertised on the National Society for Genetic Counsellors and No Stomach for Cancer websites. Patient accrual was done between 2013 and 2016.

Data Collection Tools

Medical records were reviewed for family history of cancer, *CDH1* mutation, postsurgical complications and pathological evaluation of resected stomach. All participants completed four validated questionnaires at one time point. Functional status/condition specific QOL was assessed using the EORTC QOLQ-C30 version 3 [17] and the EORTC STO22 [18] questionnaires. The EORTC QOLQ-C30, a 30-item cancer-specific questionnaire, assesses the QOL of cancer patients within four domains including functional scales, symptom scales, global QOL and single items. There are five functional scales (physical, role, cognitive, emotional and social), three symptom scales (pain, fatigue, nausea/ vomiting), a global health/QOL scale and single items for the evaluation of symptoms (dyspnea, appetite loss, constipation, diarrhea and sleep disturbance) commonly reported by patients with cancer. No item in the instrument occurs more than once so that each of the scales with multi-items has a different set. The QOLQ-STO22 GC module is a 22-item form used together with the EORTC QLQ-C30 to assess QOL in individuals with GC. It includes five multi-scale items: dysphagia, pain, reflux, eating and anxiety and four single items (dry mouth, tasting, body image and hair loss) covering disease and treatment related symptoms and emotional consequences of GC. Both questionnaires demonstrate clinical validity and reliability in GC patients.

Anxiety and depression were measured using instruments from the Patient Reported Outcomes Measurement Information System (PROMIS) bank. The Adult PROMIS Emotional Distress – Anxiety v.1 has 29 items and measures anxiety symptoms over the past seven days using five Likert response categories for each question. The Adult PROMIS Emotional Distress—Depression v.1, a 28-item instrument, measures depressive symptoms and focuses on assessing self-reported negative mood, views of self, social cognition, and a decrease in the positive affect and engagement over the past seven days. The PROMIS-Depression item bank does not measure behavioural and somatic symptoms such as changes in appetite or sleeping patterns which can have confounding effects when assessing patients with comorbid physical conditions [19]. There are five Likert response categories for each question. A question about satisfaction with surgery which was rated on five-point Likert-type scale was also asked.

Data Analyses

Descriptive statistics were used to characterize the sample. Time elapsed between surgery and survey was divided into three groups: (12 months), (13–60 months) and (> 60 months) to see if symptoms and functional status improved with time after surgery.

All surveys were examined for completeness, coded in a standardized format and entered into IBM SPSS version 22. All instruments required calculation of scores and were done

according to scale authors. Responses from the EORTC QOLQ-C30 and QOLQ-STO22 were linearly transformed to the 0 to 100 scale. A higher score on the functional scales indicates a better QOL, with 100 being a perfect score. The lower a symptom score, the less the symptom burden with 0 being a score indicating no reported symptoms. Missing values for both EORTC QOLQ-C30 and QOLQ-STO22 were handled according to the recommendations in the EORTC-QOQ-C30 scoring manual [20].

The computations for the PROMIS instruments were done within the NIH PROMIS Assessment Center (http://www.assessmentcenter.net/). Each item on the Anxiety and Depression instruments has a range in total score from 8 to 40; higher scores indicate greater severity of the emotional distress for both. A score was approximated if a participant skipped a question. The final score was represented by the T-score, a standardized score with a mean of 50 and a standard deviation (SD) of 10. A score of 50 (SD = 50) is the average for the United States general population.

To examine if any of the demographic variables and symptoms affected the overall QOL of the participants, a linear multiple regression model was fit with QOL as a response variable all variables were entered as predictor variables into R. A full linear model was first ran involving all predictor variables and QOL as the response variable. Model reduction was also performed using a stepwise procedure that optimizes the AIC criterion. A restriction was placed on the parameters within the reduced model.

All analyses, apart from stepwise regression, were performed using SPSS. Stepwise regression was performed in R. The two-sided significance level for all statistical tests was $p < 0.05$. As this was an exploratory study, no adjustment was made for multiple comparisons.

Results

Participants

The demographic data of the combined sample of 53 individuals, who had undergone PTG between 2004 and 2013, is displayed in Table 2.

Post-surgical Clinical Outcomes and Complications after PTG

Medical records were received and reviewed for 52 participants; one participant did not provide consent. At least eight kindred were involved, but kindred relationships were not available for most participants. All participants were asymptomatic at the time of surgery. The surgeries were performed in 11 different hospitals by 14 surgeons. All participants had a PTG with stapled esophagojejunal anastomosis (50 – 60cm) and Roux-en-Y reconstruction. Six (12%) of participants underwent laparoscopic total gastrectomy. The mean length of hospital stay was 8.9 days with a median of 7 days (range 4 – 18 days). Length of stay for three of the individuals undergoing laparoscopic TG was 4 – 7 days.

Twenty-three participants experienced post-surgical complications either during the hospital stay or within six months of surgery (Table 3). One participant experienced seven oesophageal strictures, of which four were managed with dilation within the first 12 months. Another participant experienced pleural effusion eight days after surgery and required

model was obtained using a stepwise procedure with the AIC criterion, shown in Table 4. All the predictor variables in this model, except for the symptoms depression, body image and eating restrictions were statistically significant.

The coefficient of variation, R^2, was 0.94 and adjusted R^2 is 0.92. These predictor variables explain 92% of the variability of the data which was a significant improvement over the full model.

The reduced model to predict QOL, with nine significant variables, statistically predicted QOL R^2 = .92, $F(14,35)$ = 40, $p < .001$. As can be seen in Table 4, these predictors had significant positive regression weights, indicating participants with worse scores on these scales were expected to have lower QOL after controlling for the other variables in the model.

Discussion and Conclusions

This study data sheds further light on post-surgical complications, symptoms and their impact on the individual after PTG. In this cohort, the mean global health status/QOL was 70.6 (SD = 25.6) was comparable to reference values from the general population in Norway and Sweden (71.2 ± 22.4), (p=0. 51) (20). As expected, the mean score for global health status/QOL for this cohort is much higher than the reference values for gastric cancer patients (53.1±26.5) [21].

The findings suggest that this cohort of *CDH1* carriers overall appear to enjoy a satisfactory QOL after PTG despite their symptom burden. Ferrans and Powers (1993), after studying a group of haemodialysis patients, suggested that individuals tend to re-define or amend their life goals to conserve their well-being despite adverse changes in their lives [22]. It is possible that a redefinition of life goals may also explain relatively favourable self-reported QOL in this study sample. In addition, these individuals may have developed various coping strategies that enabled them to manage their symptoms in the time since PTG.

Post-surgical complications including major complications (anastomic leak, pulmonary embolism and effusion) were seen in 46% of participants and were within the range of 31–56% of the previously reported series [14–16] but much lower than the large Newfoundland cohort [8]. There was no difference in any of the scales between participants who had post-operative complications and those who did not. Two other studies have shown this lack of influence of post-operative complications on QOL [23,24] in individuals who had undergone gastrectomy.

Participants in this study had an average of 19% weight loss after the surgery, similar to the weight loss seen in the other studies [3–16] thus cementing the observation that most individuals do struggle to maintain their weight after the surgery. Given that micronutrient deficiencies, which can lead to severe clinical complications, can occur even years after a TG, a dietician should be involved pre-and post-surgery so that continuing assessment and management can prevent clinical complications due to potential micronutrient deficiencies.

Of the 41 pathology reports in this study, only 32 (78%) were shown to have foci of signet ring cells. This cohort has a much smaller percentage of gastrectomy specimens with signet

ring cell foci than the > 90% seen in previously reported series possibly because many of the specimens from this cohort of participants were not examined according to the investigations recommended by the IGCLC [2]. Recently, a histopathological review of published and unpublished data on TG specimens underlined the importance of using the IGCLC protocol to detect the microscopic foci [25]; in specimens where the protocol was implemented, there was a 95.3% detection rate compared to the 62.5% rate when no protocol was utilized. Given that many mutation carriers have signet ring cell foci has led to the idea that this surgery is curative rather than prophylactic [9].

In this study, there was no significant difference seen in any of the individual symptoms in either the EORTC QLQ-C30 or the STO22 instruments when looking at the three groups that were stratified according to time elapsed since surgery and survey. It may be that the numbers of participants in each group was too small to pick up any potential difference. This needs to be repeated with a larger number of patients within each group.

In the regression model, some of the "meal-related" symptoms from the STO22 questionnaire proved to be significant. Abdominal pain revealed high (56.2±16.3) overall scores, pain on both the EORTC QLQ-C30 and STO22 were high (56.2±16.3 and 49.1±23.7). Pain scores reported by Worster's study [15] were much lower in at 2 years after (mean score of 1.99 (SD = 0.74). The scores for this study are also higher than those reported for gastric cancer patients (31.4±31.7).

Pain (STO22 symptom) was a significant predictor variable in the overall regression model revealing the clinical influence of this symptom in an individual's overall QOL (Table 4). Dyspnea and taste were also significant predictor variables in the regression model (Table 4). Previous studies have reported that patients who have undergone gastric surgery have reported changes in their taste of food and drink after surgery, including aversions to certain foods [26–28]. In one study [27], 45% of patients also reported loss of taste and/or smell after gastrectomy; this was transient in most cases but in some patients the changes were long term. Although there is no known mechanism for why this occurs, it has been speculated that vagal influences might be responsible [27], that is, after the surgery, there could be an initial disruption in the homeostasis in olfaction and gestation followed by vacillating hypersensity and hyposensitivity before a static level of sensation is finally achieved. It would be important to discover how and to what extent, these symptoms affect QOL and the longevity of these effects in individuals after PTG.

Anxiety and depression, which are prevalent in patients with cancer or other chronic conditions [29,30], scored in the "none to slight" range for both anxiety and depression (71% and 76% respectively). All four of the individuals who scored in the severe range for anxiety and depression had been diagnosed with anxiety disorder, depression or associative identity disorder prior to the PTG. Anxiety has been shown to be independently associated with a poorer overall QOL in cancer patients [31]. Anxiety was a significant predictor in the reduced model in this study. Given that anxiety is a common response in cancer patients [32] and that anecdotal evidence (personal communications) has indicated that several individuals suffer from anxiety after PTG, this result is not a surprise. Anxiety is a common response to threats of mortality and suffering and anxiety is believed to help patients to cope with the

fluctuating situations in their lives. Anxiety is dynamic and for the majority of cancer patients, it peaks at different times during the course of their disease [33]. Therefore, it would be important for healthcare professionals to elicit the specific concerns of individuals after PTG and provide appropriate intervention if needed.

A comparison of the five functioning scales in the EORTC QLQ-C30 between this study sample, the Worster [14] PTG study cohort and the general population reference values [21] is shown in Table 5. The participants in this cohort did not appear to fare as well as the Worster study participants in the cognitive scales (p=0.003). They also did much worse than the general population reference population in the cognitive functioning scale (p<0.001). Further investigations are needed to understand why this difference in the cognitive scales exists.

Cognitive functioning was significant in the reduced model in this study. Worster's [14] cohort revealed a significant decrease in cognitive scores within the first month after surgery, but scores recovered to baseline within two years. In several studies of patients with GC who have undergone gastrectomy, cognitive functioning is largely unaffected by surgery and tends to stay near baseline levels throughout follow-up [34, 35] or are actually back to baseline after three months [36, 37]. This study measured patient outcomes at one time frame; given that approximately half of the participants in this cohort were within three years of their surgery when completing this survey, it is possible that group may have skewed the scores on this variable. Further work on larger numbers of participants will help tease this effect out. In the meantime, in those with impaired cognitive functioning, a complete medical checkup and consult with a neurologist or a psychiatrist should be provided. In addition, the patient ought to be informed of the positive impact of maintaining their social activity.

The importance of the role functioning scale is reflected in its significant contribution to the regression model. This scale did not return to baseline in the Worster study [14] and has also been shown to recover either in the short (one year) term or long term (five years or more) [34, 38,39]. Poor role functioning may be a result of weakness and fatigue, diarrhea and even with age [34]. Anxiety was a significant predictor variable that can affect one's ability to cope and thus one's QOL. In individuals who are not able to adjust and make changes to their lifestyle after PTG, it would be important to provide interventions based on the level of anxiety. Intervention is important in individuals who have moderate to severe anxiety as it may manifest itself in one's role functioning, as well as presenting physical symptoms such as fatigue, nausea/vomiting and even pain.

Social function was significant in the regression model. Social support is an important predictor of coping with difficult life issues and predicts the well-being of everyone from young children to the elderly in many contexts [40]. Studies have shown that social support can improve the several different aspects of QOL in cancer patients [30, 41]. Assessing an individual's social support after PTG could help identify those whose QOL might benefit from improved social support such as seeking out peer support, being a part of different networks and participating socially.

This research provides further information on clinical outcomes, post-surgical symptoms and QOL in individuals after PTG. These individuals are usually asymptomatic prior to their surgery and generally in good health. Although the worry of gastric cancer is generally eliminated for those undergoing PTG, the surgery comes with a price – inevitable post-surgical symptoms may affect QOL. This re-enforces the recommendation of having a multidisciplinary team involved in the pre- and post-surgical care of these individuals.

Psychosocial issues in patients who have had PTG are also important to assess. This study has shown that social function is an important predictor of QOL in this cohort. This is not surprising as the social environment of an individual has strong impact on one's well-being and also provides protection from the harmful effects of potentially stressful life events that include major surgery [39]. It would be unreasonable to suggest such a drastic surgery if QOL were seriously impaired, and it is reassuring that we found that QOL scores were high in this study sample.

This study suggests that PTG does not usually have long-term negative implications for a person's QOL. Most of the individuals in this study did not exhibit anxiety or depression either on the PROMIS or EORTC instruments but were functioning at psychosocial levels that are comparable to the general population. It is hoped that these study outcomes will reassure individuals who are contemplating having the surgery.

Routine measurement of QOL before and after PTG might afford a number of benefits, including identification and prioritization of patients' problems, screening for hidden concerns and improving the efficacy of the outpatient consultation. Recognition of the potential negative side effects of PTG may also spur the development of more efficient screening of DGC to avert the need for this surgery in the first place.

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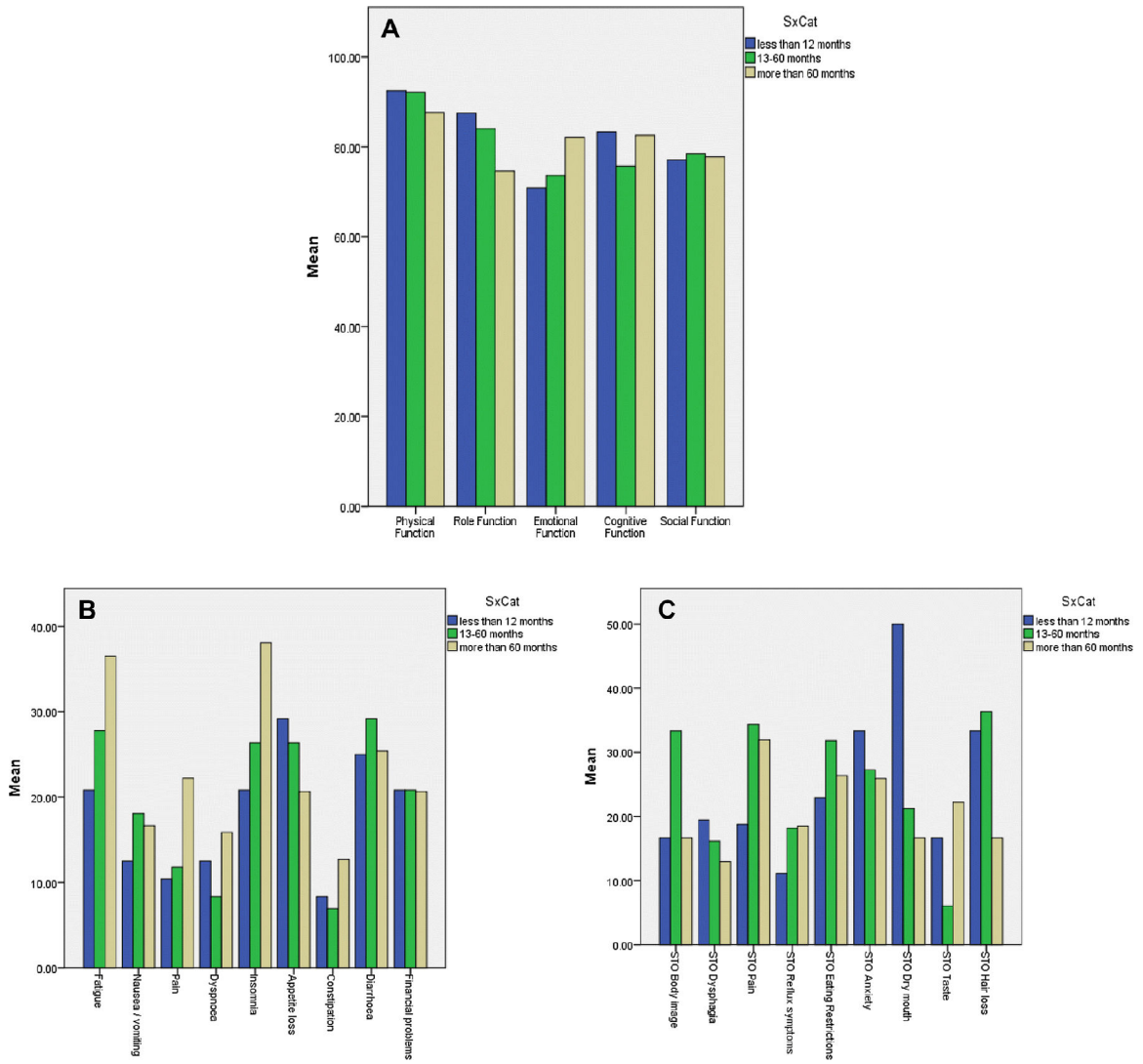Author Manuscript

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Figure 1.
a. Bar chart comparing EORTC C30 functional scales between the three time-elapsed groups. b. Bar chart comparing EORTC C30 symptoms between the three time-elapsed groups. c. Bar chart comparing means of STO 22 symptoms between the three time-elapsed groups.

Table 1.

A literature summary of cases/families and their post-surgical outcomes after PTG.

Report (year)	No. of cases (# of kindred)	Age at surgery (years)	Sex	Postoperative complications (# affected)	# PTG specimens with signet ring cells	Follow-up (months)
Huntsman et.al. (2001) [3]	5 (2)	22–40	4 F 2 M	None	5/5 (100%)	Not provided
Lewis et.al. (2001) [4]	6 (2)	22–40	4 F 2 M	Anastomic stricture (1) Septic phlebitis (1)	Not provided	18
Chun et. al. (2001) [5]	5 (1)	37 – 47	3 F 2 M	None	5/5 (100%)	Not provided
Newman & Mulholland (2006) [6]	2 (1)	46/35		Diarrhea (2) Ileus (1) Wound infection (1)	0	6 months
Norton et.al. (2007) [7]	6 (1)	51–57	4 F 2 M	None	6/6 (100%)	12
Hebbard et.al. (2009) [8]	23 (3)	26–63	14 F 9 M	Subclinical leak (4) Wound infection (4) Venous thromboembolism (3) Anastomotic leak with abscess (2) Pneumonia (2) Urinary tract infection (2) Ileus (1) Intra-abdominal abscess (1) Small bowel obstruction (1)	Not provided	Retrospective medical chart review (surgeries done approx. 12–36 months prior)
Hackenson et.al. (2010) [9]	6 (1)	21 – 51	3 F 3 M	Esophageal stricture (1) Small bowel obstruction (1)	100%	Not provided
Pandalai et.al. (2011) [10]	10 (6)	27–51	4 F 6 M	SBO (2) Anastomotic stricture (1); Intussusception after 2 years (1) Pulmonary embolism (1)	9/10 (90%)	> 12
Chen et.al. (2011) [11]	13 (6)	18–70	9 F 4 M	None reported	12/13 (92%)	1–55months (Median 37.2)
Li et.al. (2013) [12]	2 (1)	32,38	2 F	Tachycardia (1)	0/2	35
Bardram (2014) [13]	6 (2)	26–45	3F 3 M	None reported	6/6 (100%)	5–10
Worster et.al, (2014) [14]	32 (17)	16–64	17 F 15 M	Dysphagia (3) Seizures (2) Adhesions (1) Anastomic leak (1) Dumping/Diarrhea (1) Nausea, vomiting, dumping (1) Postop bleed (1)	27/28 (96%)	0 – 24
Muir et.al (2016) [15]	13 (7)	23–63	11 F 2 M	Anastomotic stricture (3) Incisional hernia (1) Severe dumping (1)	9/13 (69%)	Median 24
Strong et.al (2017) [16]	41 (?)	20–71	27 F 14 M	Pulmonary complications (6) Anastomic Leak, esophagus (6) Wound infection (3) Duodenal stump leak (2) Gastrointestinal bleeding ((2) Multiple organ system failure (1) Pulmonary embolus (1) Supraventricular arrhythmia (1) Urinary tract infection (1)	35/41 (85%)	1 to 96 months (Median 16 months)

Table 2

Demographic Characteristics of Study Participants (N=53)

Variable	Number (%)
Sex	
Male	14 (26%)
Female	39 (74%)
Age at time of surgery	
<50 years	34 (64%)
>50 years	19 (36%)
Age at time of survey	
<50 years	31 (58%)
>50 years	22 (42%)
Ethnicity	
White	52 (98%)
Asian	1 (2%)
Marital status	
Married (including common-law)	41 (77%)
Widowed	0 (0%)
Divorced/Separated	5 (10%)
Never Married	7 (13%)
Education	
Less than a university bachelor's degree	21 (40%)
Bachelor's Degree and above	32 (60%)
Employment	
Working	35 (66%)
Homemaker	6 (11%)
Retired	7 (13%)
Student	2 (4%)
Unable to work	3 (6%)
Surgical Type	
Open surgery	47 (89%)
Laparoscopic surgery	6 (11%)
Time between surgery and survey (months)	
12	8 (15%)
13 – 60	24 (45%)
>60	21 (40%)

Table 3.

A summary of the postsurgical complications within the first year following prophylactic gastrectomy among the study participants (N=52).

Numbers in parentheses indicate the number of participants who suffered the complication.

Complications experienced in hospital/within 1 month of surgery	Complications experienced between 1–12 months post-surgery
Anastomic leak (2)	Anastomic strictures (12) [a]
Urinary tract infection (1)	Bile reflux (1)[b]
Wound infection (3)	Duodenal obstruction (1)
Pulmonary embolism (1)	Partial obstruction at jejunal anastomosis site (1)
Pulmonary effusion (1)	
Shortness of breath (1)	Moderate iron deficiency (1)
Small bowel obstruction (1)	Ileus obstruction (1)

Table 4.

The reduced model analysis results

	Estimate	**Std Error**	**P**
(Intercept)	54.3	12.2	8.5e-05
Cognitive Function	0.1	0.06	0.03 *
Role Function	0.3	0.08	0.001 **
Social Function	0.3	0.1	0.004 **
Depression	0.2	0.1	0.2
Anxiety	−0.7	0.1	0.0004 ***
Body Image	0.07	0.04	0.1
Pain	−0.2	0.07	0.008 **
Eating	0.1	0.08	0.1
Taste	0.2	0.07	0.001 **
Dyspnea	−0.1	0.06	0.013 *
Diarhhea	−0.1	0.05	0.01 *

Table 5.

Group means of this study population, Worster study cohort and general population reference values.

	This Study	Worster et. al (2014) [15]	EORTC reference values for general population [286]	
Variable	**Mean (SD)**	**Mean (SD)**	**Mean (SD)**	**p-value***
Physical	89.5 (15.9)	86.7 (1.6)	89.8 (16.2)	0.29
Role	79.3 (25.6)	77.1 (24.3)	84.7 (25.4)	0.40
Emotional	74.9 (24.6)	84.4 (16.9)	76.3 (22.8)	0.01
Cognitive	78.2 (27.1)	91.7 (16.1)	86.1 (20)	0.003**
Social	76.6 (26.5)	76.0 (25.8)	87.5 (22.9)	0.44

SD = Standard deviation

p-value is for comparison between the means of this study sample and the Worster study cohort.