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Efficacy of tocilizumab in patients with severe COVID-19: Survival and clinical outcomes

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ARTICLE INFO

Article history:

Received 3 February 2021

Received in revised form 23 April 2021

Accepted 4 May 2021

Keywords:

Tocilizumab

COVID-19

Hospital stay

Survival

SARS-CoV2

Interleukin-6

ABSTRACT

Background: SARS-CoV-2 is associated with a severe inflammatory response contributing to respiratory and systemic manifestations, morbidity, and mortality in patients with coronavirus disease 2019 (COVID-19).

Methods: Tocilizumab (TCZ) efficacy on mortality and length of hospital stay was retrospectively evaluated in patients who received TCZ and compared with that in controls with a similar severity of COVID-19. The primary endpoint was survival probability on day 28. The secondary endpoints included survival at day 14 and length of hospital stay.

Results: Of the 148 patients included in the study, 62 received TCZ and standard of care, whereas 86 served as a control group and received only standard of care. The two groups were similar, although TCZ-treated patients were more likely to exhibit hypertension (46.7% vs. 29.8%), chronic kidney disease (14.5% vs. 1.1%), and high Charlson score (1.18 vs. 1.00; $p = 0.006$) and less likely to receive corticosteroid treatment (48.5% vs. 93.0%). TCZ was associated with lower mortality on both day 28 (16.1% vs. 37.2%, $p = 0.004$) and day 14 (9.7% vs. 24.4%, $p = 0.022$). The hospital stay was longer in the TCZ-treated than in the control group (15.6 ± 7.59 vs. 17.7 ± 7.8 days, $p = 0.103$). Ten patients (16.0%) in the TCZ-treated group developed infections.

Conclusion: TCZ was associated with a lower likelihood of death despite resulting in higher infection rates and a non-significant longer hospital stay.

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Introduction

The outbreak of coronavirus disease 2019 (COVID-19), first reported in Wuhan, China, has been declared a pandemic, and as of 03 January 2021, more than 85 million cases and 1.8 million deaths have been reported [1,2]. Most patients with COVID-19 experience mild illness; however, the elderly and those with comorbidities, such as diabetes and hypertension, are at an increased

risk of contracting severe COVID-19 [3]. Critical illnesses associated with respiratory failure, shock, or multiple organ failure occur in only 5% of infected patients but contribute considerably to patient deaths. Mortality rates as high as 50% have been reported in critical patients, particularly in the elderly [4].

Following the entry of SARS-CoV-2 in pneumocytes and other cells with angiotensin-converting enzyme-II receptors, the virus activates both the innate and adaptive immune system and results in a robust pro-inflammatory reaction. As a result, cytokines and chemokines, including interleukin (IL)-1 β , IL-6, granulocyte-macrophage colony-stimulating factor, and tumour necrosis factor- α , are released [5]. The release of these cytokines generates a severe inflammatory response, leading to increased

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vascular permeability and the exudation of fluids and cells in the alveoli, resulting in adult respiratory distress syndrome, respiratory failure, and other worse outcomes. Post-mortem studies have revealed diffuse damage to the alveoli, the formation of a hyaline membrane, and intra-alveolar oedema [6].

Among the released pro-inflammatory cytokines, IL-6 plays a pivotal role in the development of severe complications in COVID-19. A recent meta-analysis shows that patients with severe or critical SARS-CoV-2 infections tend to have higher IL-6 levels and decreased survival. The mean IL-6 concentration is 2.9-fold higher in patients with severe COVID-19 than in those with the non-complicated disease (95% CI: 1.17–7.19) [7]. For this reason, tocilizumab (TCZ) is increasingly being used in the treatment of COVID-19, albeit with varying outcomes [8]. TCZ is a recombinant humanised anti-interleukin-6 receptor (IL-6R) monoclonal antibody that blocks both soluble and membrane-bound IL-6 receptors. It has been approved by the Food and Drug Administration for the treatment of rheumatoid arthritis and to dampen the exuberant cytokine storm following chimeric antigen receptor (CAR)-T cell therapy [9]. Several observational and randomised clinical trials (RCTs) show conflicting results on the effectiveness of TCZ in moderate, severe, and critical COVID-19. Multiple observational studies and meta-analyses show increased survival in patients treated with TCZ and supportive therapy [10–16]. In contrast, a recent publication of four randomised placebo-controlled trials shows no difference in mortality compared with standard of care or a placebo. The pooled risk ratio (RR) for mortality of the four trials is 1.09 (95% CI: 0.80–1.49). The adverse-event profile was similar in the two groups. Despite the lack of a mortality benefit, the meta-analysis showed a decreased risk of mechanical ventilation; the pooled RR for this analysis is 0.71 (95% CI: 0.52–0.96) [16].

Studies from different geographic zones have yielded different treatment outcomes and mortality rates [10]. The aim of the current study was to describe the clinical, laboratory, and radiological characteristics of severe/critical COVID-19 and the outcome after TCZ in Saudi Arabia.

Methods

This was a retrospective observational case-control study conducted in two centres in Riyadh, Saudi Arabia: Prince Sultan Military Medical City (PSMMC) and Imam Abdulrahman Al Faisal Hospital (IAFH). Both hospitals are accredited tertiary care centres in the country's capital, Riyadh. Patients from PSMMC received TCZ and were considered the intervention group, whereas patients from IAFH who did not receive TCZ acted as the control group. Both groups were matched being admitted at the same admission period and with similar disease severity. Patients who were at least 18 years old and were positive for COVID-19 were included in the study. According to hospital protocol, patients who were pregnant, with active infection, with predisposition to bowel perforation or with active gastrointestinal bleeding were excluded. Patients who died within 48 h from admission were also excluded from the study. The diagnosis of SARS-CoV-2 infection was confirmed based on respiratory samples (nasopharyngeal or oropharyngeal swabs) using the Abbott m2000 system SARS-CoV-2 assay, a real-time reverse transcription-polymerase chain reaction (RT-PCR) targeting the SARS-CoV-2 genomic regions encoding the RdRp and N genes. The primary outcome was mortality at 28 days. The secondary outcome was mortality at day 14 and length of hospital stay.

Patients with severe/critical COVID-19 pneumonia who were admitted to the PSMMC and IAFH from 20 April to 10 June 2020 were analysed and the clinical outcomes were compared between the cohort who received TCZ and the group who were managed

without TCZ. Severe COVID-19 was defined if at least one of these was detected: respiratory rate ≥ 30 per min, $\text{SpO}_2 \leq 93\%$ in room air, or $\text{PaO}_2/\text{FiO}_2 \leq 300$ mmHg. Patients were considered critical if respiratory failure, hypotension, or any organ failure was present. The demographics, co-morbidities, date of hospitalisation, oxygen status, and clinical and laboratory data of the patients were retrieved from the electronic medical and intensive care unit (ICU) records. Radiological images were reported by a radiologist and confirmed by two pulmonary physicians (SH, EB). All positive blood and respiratory cultures were assessed and recorded if they were considered as infections or colonisations. Only infections that followed treatment with TCZ were considered in the treatment arm.

Furthermore, clinical and laboratory features of cytokine release syndrome (CRS) were sought in all patients. The predictive criteria for COVID-19 cytokine storm included the following: signs and symptoms of COVID-19 in the presence of positive SARS-CoV-2 RT-PCR test, ground-glass opacity determined using computed tomography or chest X-rays, and high levels of ferritin and serum C-reactive protein (CRP). Additionally, variables including lymphopenia, elevated D-dimer, and lactate dehydrogenase were documented. All patients in the TCZ group received one to two doses of TCZ (Actemra[®], Roche Holdings AG, Basel), 400–800 mg every 12 h. Like the control group, patients in the TCZ arm received standard of care and supportive and therapeutic modalities, based on the discretion of the treating physician and the COVID-19 guidelines of the local hospital. Further therapeutic modalities included hydroxychloroquine; favipiravir, the antiretrovirals lopinavir/ritonavir(kaetra); interferon; prophylactic or therapeutic anticoagulation, convalescent plasma, systemic corticosteroids, and antimicrobials, when indicated.

Close monitoring of the treatment response and safety through screening for viral hepatitis and latent tuberculosis was performed in patients who received TCZ. The study was conducted according to the principles of the Declaration of Helsinki. Informed consent was obtained from all patients in the TCZ group while admission consent was used in the control group. Ethical approval was obtained from the Institutional Review Board of PSMMC, Riyadh, Saudi Arabia.

Data were analysed using Statistical Package for Social Studies (SPSS 22; IBM Corp., New York, NY, USA). Continuous variables are expressed as mean \pm standard deviation and categorical variables as percentages. The *t*-test was used for continuous variables, whereas the Chi-square and Fisher's exact tests were used for categorical variables. Survival curves were estimated using the Kaplan–Meier method followed by a log-rank test to compare the TCZ-treated group and the control group. Cox regression was used to calculate the hazard ratios. A *p*-value <0.05 was considered statistically significant.

Results

Table 1 shows the demographic variables, co-morbidities, and symptoms of patients treated with TCZ ($n = 62$) and without TCZ ($n = 86$). In general, the two groups were well-matched; they were similar with regards to sex, co-morbidities, and treatment regimens. The mean age \pm SD of the TCZ group was 57.4 ± 14.3 years, with 82% being males. Patients treated with TCZ were more likely to be hypertensive ($p = 0.027$) and have chronic kidney disease ($p = 0.002$). As a result, the Charlson score, an indicator of comorbid illnesses, was higher in the TCZ group (1.18 vs. 1.00, $p = 0.006$). However, patients in the control group had more symptoms and presented earlier. A significant difference was noted between the TCZ-treated and control groups in the duration of symptoms ($p = 0.005$).

Table 1

Baseline clinical variables of the study population.

Demographic variables	Control (n, 86)	Tocilizumab (n, 62)	p Value
Male/female (n)	72/14 (n)	51/11 (n)	0.815
Age (years)	52.8 ± 12.9	57.4 ± 14.3	0.043
BMI (mean ± SD)	29.7 ± 6.5	29.7 ± 5.7	0.970
Comorbidities			
Diabetes mellitus (yes)	50 (58.1%)	30 (48.4%)	0.240
Hypertension (yes)	26 (30.2%)	29 (46.8%)	0.040
Cardiac problem (yes)	11 (12.8%)	6 (9.7%)	0.558
Bronchial asthma (yes)	11 (12.8%)	7 (11.3%)	0.783
Chronic kidney disease (yes)	1 (1.2%)	9 (14.5%)	0.002
CLD (yes)	0	3 (4.8%)	0.070
CTD (yes)	0	1 (1.6%)	0.416
Stroke (yes)	1 (1.2%)	3 (4.8%)	0.195
DLP (yes)	22 (25.6%)	14 (22.6%)	0.472
Immunosuppressant (yes)	1 (1.2%)	4 (6.5%)	0.029
Charlson score	1.00 ± 0.34	1.18 ± 0.42	0.002
Symptoms			
Fever (yes)	64 (74.4%)	55 (88.7%)	0.031
Cough (yes)	56 (65.5%)	50 (80.6%)	0.039
Sputum (yes)	41 (47.7%)	6 (9.7%)	0.0001
Sore throat (yes)	3 (3.5%)	13 (21.0%)	0.001
Chest pain (yes)	35 (40.7%)	4 (6.5%)	0.0001
Myalgia (yes)	58 (67.4%)	10 (16.1%)	0.0001
Arthralgia (yes)	11 (12.8%)	5 (8.1%)	0.361
Fatigue (yes)	4 (4.7%)	28 (45.2%)	0.0001
SOB (yes)	70 (81.4%)	47 (75.8%)	0.410
Headache (yes)	3 (3.5%)	9 (14.5%)	0.015
Confusion (yes)	2 (2.3%)	0	0.336
NV	2 (2.3%)	13 (21.0%)	0.0001
Diarrhea/abdominal pain (yes)	14 (16.3%)	8 (12.9%)	0.569
Systolic blood pressure	122.3 ± 21	129.9 ± 16	0.052
Duration of symptoms	4.99 ± 2.37	6.39 ± 3.11	0.005
Days from admission till TCZ		2.71 ± 1.42	

Table 2

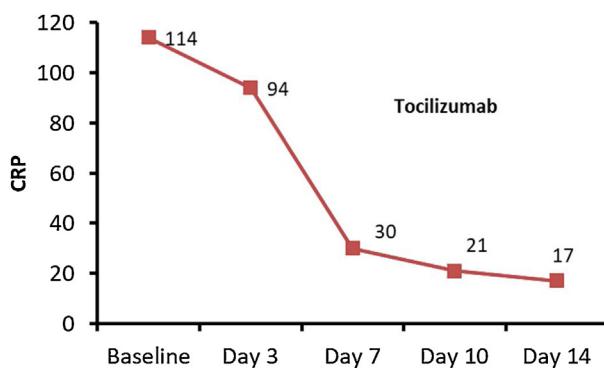
Baseline clinical /laboratory variables of the study population.

Clinical variables			
Variables	Control (n, 87)	Tocilizumab (n, 62)	p Value
WBC	10.9 ± 5.09	6.84 ± 3.98	0.020
Lymphocytes	1.05 ± 0.730	1.05 ± 0.484	0.040
Platelets	258 ± 115	208 ± 99.4	0.050
ALT	65.3 ± 63.6	49.4 ± 37.6	0.210
INR	1.21 ± 0.448	1.05 ± 0.119	0.068
Creatinine	97.5 ± 57.72	139 ± 187.3	0.0001
D-dimer	4.55 ± 12.2	2.31 ± 4.43	0.096
Ferritin	817 ± 545	1470 ± 1757	0.205
LDH	445 ± 190	525 ± 375	0.064
Procalcitonin	.±.	0.68 ± 1.79	
CRP (positive/negative)	79/7	56/1	0.0001
O ₂ in RA (93/≥93)	60/0	38/22	0.001
IL-6		1135 ± 235	
Medication			
Antibiotic (yes/no)	86/0	62/0	NA
Antiviral (yes/no)	78/8	14/48	0.0001
Antifungal (yes/no)	1/85	8/54	0.004
HCQ	40/46	8/54	0.0001
Triple therapy	10/76	8/54	0.815
Kaletra	48/38	2/60	0.0001

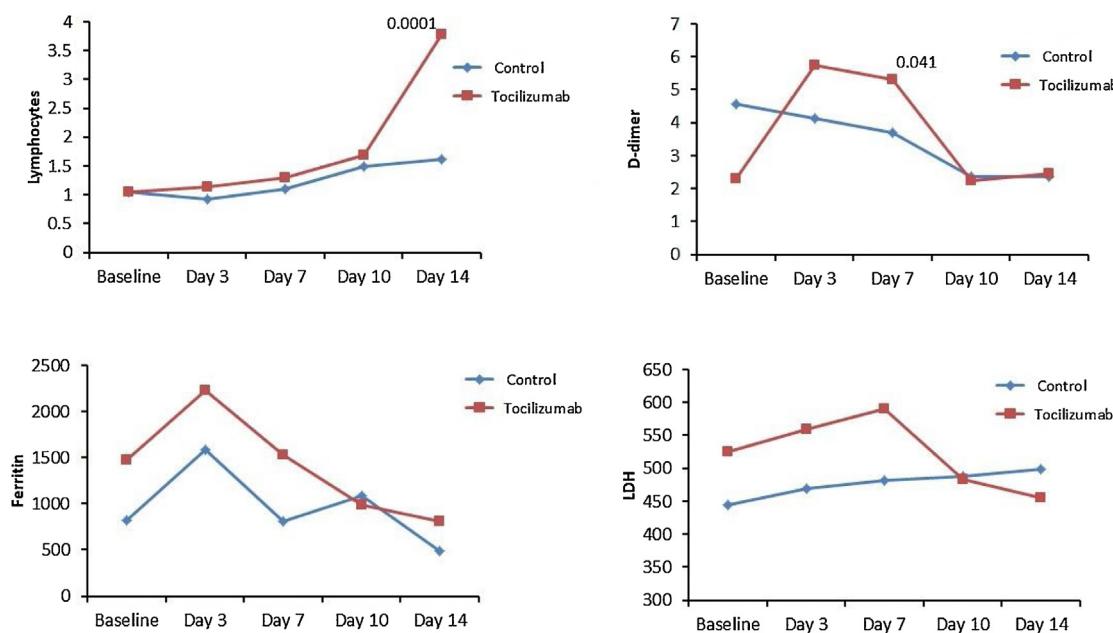
Table 3

Symptoms and clinical variables of the study population.

Variables	Groups	Baseline	p Value	Day 3	p Value	Day 7	p Value	Day 10	p Value	Day 14	p Value
Temp	Control	<38	0.099	<38	–	<38	–	<38	–	<38	–
	Treated	37.84		36.9		37.06		36.7		36.9	
HR	Control	93.3	0.621	96	0.122	95.1	0.782	95.1	0.778	85.3	–
	Treated	92.4		83.6		86.0		85.1		20.6	
RR	Control	26.8	0.056	26.6	0.113	26.8	0.021	26.8	0.085	21.7	–
	Treated	23.5		22.5		22.4		21.7		20.6	
O ₂ in RA	Control <93/≥93	60/0	0.001	0/85	0.0001	0/82	0.009	0/86	0.004	0/86	0.001
	Treated <93/≥93	38/22		11/51		5/57		5/50		6/36	



a. CRP level in the tocilizumab group before and after TCZ treatment



b. Efficacy of tocilizumab on laboratory parameters of COVID-19 patients

Fig. 1. Efficacy of tocilizumab on certain laboratory parameters of COVID-19 patients.

(a) Lymphocytes($\times 10^9$), D-dimer, ferritin and lactic acid dehydrogenase (LDH) levels through D14. The baseline is the day of initiation of tocilizumab (TCZ). The TCZ group is shown in red, the control in blue. Observations are shown for **baseline, D3, D7, D10, and D14**.
(b) C-reactive protein (CRP) in mg/L in the tocilizumab group at **baseline, D3, D7, D10, and D14**.

Table 4

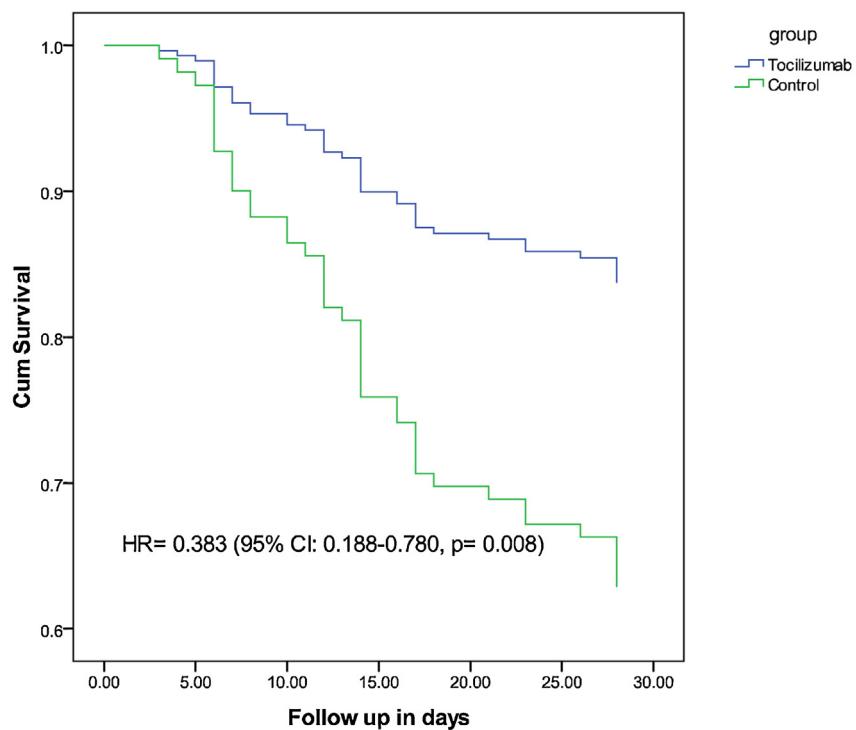
Outcome of patients treated with and without tocilizumab.

Mortality	Status	Control (n = 86)		Tocilizumab (n = 62)		p Value
		Number	%	Number	%	
Day 14 mortality (149)	Live	65	75.6	56	90.3	
	Died	21	24.4	6	9.7	0.022
Day 28 mortality	Live	5	62.8	52	83.9	
	Died	32	36.8	10	16	0.005
LOS (mean)		15.6 ± 7.59		17.7 ± 7.8		0.103

ness (6.39 days). Their average IL-6 level was extremely high at 1135 ± 235 pg/mL. Such timing could have matched with the occurrence of a cytokine storm resulting in an optimal effect of treatment [17–19]. Following a viraemic phase, a minority of patients progress

to a severe hyperinflammatory phase, which is characterised by high levels of cytokines, including IL-6, similar to that observed in these patients, with a significant elevation in CRP, ferritin, and D-dimer levels [20,21]. Lymphopenia, the most characteristic feature

a. Kaplan-Meier Survival Curves for Tocilizumab and control group. HR= Hazard ratio, C.I.= Confidence interval



b. Kaplan-Meier Curves for hospital length of stay in the Tocilizumab and control group

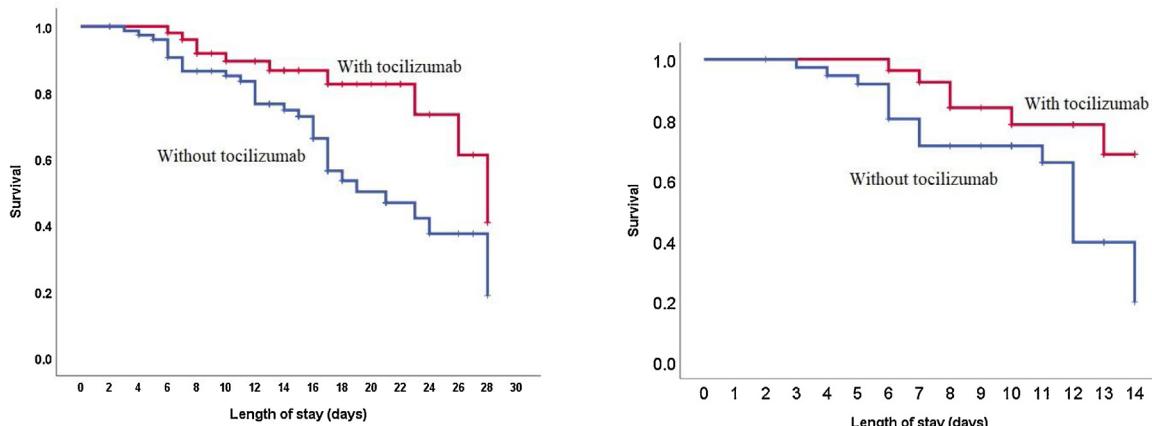


Fig. 2. Kaplan-Meier Survival Curves for Tocilizumab and control group.

(a) 28 days (b) 14 days.

of COVID-19 CRS recovered in the TCZ group of this study [22]. Additionally, the inflammatory marker CRP, a surrogate for IL-6 and a marker of severity, decreased in these TCZ-treated patients, indicating a response to the IL-6 blocker [23]. CRP levels dropped significantly between day 0 (114 mg/L) and day 10 (21 mg/L) of treatment (Fig. 1b). However, in many studies, a drop in inflammatory markers does not always translate to an improvement in patient outcomes. It is not yet clear whether there is a pathway involving IL-6 which, when interrupted, results in survival benefit, or if it is simply a marker of severe disease (like severe sepsis).

Second, almost 50.0% of the TCZ-treated patients received steroids at different stages of their illness. This could have confounded these findings. The Randomized Evaluation of COVID-19 Therapy (RECOVERY) trial shows the incidence of death to be lower in dexamethasone-treated patients than in the group that received usual care among patients receiving invasive mechanical ventilation (29.3% vs. 41.4%; rate ratio, 0.64; 95% CI: 0.51–0.81), and non-ventilated patients on oxygen supplements (23.3% vs. 26.2%; rate ratio, 0.8; 95% CI: 0.72–0.94) [21]. Nevertheless, although a larger number of patients in this study control group (93% vs. 48%) received steroids, a higher mortality rate was observed among

them. Worth mentioning, the combination of steroids and TCZ might have had an additive effect in decreasing the mortality in this TCZ-treated cohort. This combination was previously shown to result in improved survival, quicker respiratory improvement, and a decreased likelihood of invasive ventilation [24,25].

Another possible explanation for the improvement in this cohort could be geographically related. Remarkably, there seems to be a variation in the outcomes of trials from different countries. Based on seven retrospective studies, a recent systematic review concluded that there is no convincing evidence to support the use of TCZ in patients with COVID-19 [12]. However, two trials from France included in that review show that the mortality rate is lower in the TCZ-treated group than the control group (RR = 0.44, 95% CI: 0.22–0.89) [26]. The difference in outcome is believed to be related to the population characteristics and the overall quality of care, including the availability of ICU beds, during the surge of the pandemic.

Overall, a large amount of data currently available on the effect of TCZ on the mortality of patients with severe COVID-19 present conflicting views. A large observational study, the Stop-Covid trial, with 3924 patients reveals a risk difference of 9.6% (95% CI: 3.1%–16.0%) in the TCZ-treated group [27]. Similarly, a systemic review of 23 studies including 6279 patients showed an overall decrease in mortality [28]. A subgroup analysis including studies with only severe cases revealed lower mortality (RD: −0.12; CI: −0.18 to −0.06; $p < 0.01$) and need for mechanical ventilation (RD: −0.11; CI: −0.19 to −0.02; $p = 0.01$) in TOC group compared to SOC group.

The best evidence available was recently published in four RCTs, which suggested no mortality benefit when TCZ is used in the treatment of COVID-19 [29]. However, the results of these studies may be affected by the timing of randomization in the course of the disease [30]. It is worth noting that these trials were small with a total number of 771 patients. The pooled RR for mortality in the four trials is 1.09 (95% CI: 0.80–1.49). Despite a lack of survival benefit, these trials show a decreased risk of mechanical ventilation (pooled RR = 0.71, 95% CI: 0.52–0.96). The profile of side effects, particularly infection risk, was similar in the two groups [31–33]. In the current study a reduction in the risk of mechanical ventilation in the TCZ-treated group was observed. By day 14, a total of 67 and 19 patients were under mechanical ventilation in the control and TCZ group, respectively ($p = 0.001$). This may be of benefit in countries where there is a shortage of ventilators or those with busy ICUs. Clearly, larger randomised trials that also perform a cost-benefit analysis are needed to justify the benefits of this intervention.

In this study, patients who received TCZ were more likely to develop an infection than untreated patients, driven primarily by a large increase in ventilator-associated pneumonia and bloodstream infection. A total of 10 patients (16.0%) developed infections including candidemia, yet only one patient with complicated infection succumbed. This underscores that infection was not a major contributory factor to mortality in the TCZ-treated cohort. On the other hand, the control group developed infection in 7.0% of the cases. Evidence for increased risk following one or two doses of tocilizumab is still lacking. Recent RCTs do not disclose a higher risk of infections or adverse events with this medication. Similarly, TCZ therapy is not associated with increased infections in CRS patients following CAR-T cell therapy [34]. The higher infection rate in the patients included in this study may be related to critical illness and ICU intervention rather than TCZ use.

The trend to prolonged length of hospital stay (TCZ 17.7 vs. Control 15.6 days, $p = 0.103$) in these patients was difficult to explain. Previous studies and meta-analyses show that TCZ use decreases both the number of days of ventilator use and length of the hospital stay [35]. The higher rate of secondary infections and the need for extended parenteral therapy may have played a role in this

group. Furthermore, early deaths in the control group could have shortened their hospital stay.

This study has several limitations. First, it was a small retrospective study that lacked blinding and randomisation. RCTs are needed to confirm these findings. Second, the adverse events in the TCZ-treated group and complicating infections in the control group were not addressed properly. The strength of this study, however, includes the addition of a control arm and its multi-centre nature. In addition, IL-6 quantitation was performed in almost all patients in the TCZ-treated group. Although IL-6 quantitation was not documented following TCZ therapy, CRP, a surrogate of IL-6, was obtained following treatment.

In conclusion, this study showed that the mortality rate was significantly lower in patients treated with TCZ than in those who were not treated; however, there was a non-significant trend towards a prolonged hospital stay in the TCZ group. Although the secondary infection rate was high, it was likely related to ICU intervention rather than TCZ treatment. It is difficult to draw a firm conclusion in view of the retrospective nature and the small sample size. Nonetheless, this is one of the few trials on the effect of tocilizumab in our area. There seems to be a variation in the outcome of trials from different geographical areas. Obviously, more data is needed to confirm the efficacy of TCZ-treated patients with COVID-19, particularly from RCTs.

Funding

No funding sources.

Competing interests

None declared.

Ethical approval

Not required.

Data sharing statement

No data sharing as this manuscript and the data were not published elsewhere.

Acknowledgements

The authors express their appreciation to all patients who participated in this study with all content and cooperation.

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