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# Engineered materials for in vivo delivery of genome-editing machinery

Sheng Tong<sup>1,#</sup>, Buhle Moyo<sup>1,#</sup>, Ciaran M. Lee<sup>1</sup>, Kam Leong<sup>2</sup>, Gang Bao<sup>1,\*</sup>

<sup>1</sup>Department of Bioengineering, Rice University, Houston, TX, USA.

<sup>2</sup>Department of Biomedical Engineering, Columbia University, New York, NY, USA.

## Abstract

Genome editing technologies, such as CRISPR/Cas9, are promising for treating otherwise incurable genetic diseases. Great progress has been made for ex vivo genome editing; however, major bottlenecks exist in the development of efficient, safe, and targetable in vivo delivery systems, which are needed for the treatment of many diseases. To achieve high efficacy and safety in therapeutic in vivo genome editing, editing activities must be controlled spatially and temporally in the body, which requires novel materials, delivery strategies, and control mechanisms. Thus, there is currently a tremendous opportunity for the biomaterials research community to develop in vivo delivery systems that overcome the problems of low editing efficiency, off-targeting effect, safety, and cell and tissue specificity. In this Review, we summarize delivery approaches and provide perspectives on the challenges and possible solutions, aiming to stimulate further development of engineered materials for in vivo delivery of genome-editing machinery.

# Web/TOC summary

In vivo genome editing requires delivery systems that are efficiency, safe, and have tissue specificity. This Review outlines the materials and delivery strategies currently used, and the challenges and potential solutions in in vivo genome editing, aiming to stimulate further development of engineered materials for in vivo delivery of genome-editing machinery.

# Introduction

Over the past few decades, the emergence of programmable nucleases has revolutionized the field of genome editing. Programmable nucleases allow for specific and permanent modifications of DNA sequences of choice within a genome. Most of them function by creating a DNA double-strand break (DSB) at the intended target loci in a cell, which is

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Competing Interests

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<sup>&</sup>lt;sup>\*</sup>gang.bao@rice.edu. #These servi

<sup>&</sup>lt;sup>#</sup>These authors contributed equally to this work.

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subsequently repaired by non-homologous end joining (NHEJ) or homology-directed repair (HDR) pathways (Box 1)<sup>1</sup>. Early programmable nuclease platforms include zinc finger nucleases (ZFNs)<sup>2,3</sup> and transcription activator-like effector nucleases (TALENs)<sup>4</sup> — engineered proteins that are generated through the fusion of a DNA binding domain (zinc finger or Tal effector) with the non-specific *Fok*I nuclease domain<sup>1</sup>. When a ZFN and TALEN pair binds at two half-sites of the target sequence with the correct orientation and spacing, the *FokI* domains dimerize, resulting in a DSB. However, the utility of ZFNs and TALENs is restricted by the need to design a new pair of nuclease proteins for each new target site, and difficulties in achieving a high cutting efficiency and multiplexing<sup>1,5</sup>.

More recently, clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPRassociated protein 9 (Cas9) has quickly become the most popular gene editing tool owing to its ease of engineering, versatility, and flexibility<sup>6,7</sup>. CRISPR was first identified as an adaptive antiviral immune system in bacteria and archaea<sup>8-10</sup>. Unlike ZFNs and TALENs, the CRISPR/Cas9 system relies on RNA-guided nuclease activity in which target specificity is realized through RNA-DNA Watson-Crick base pairing<sup>6,7</sup> and the PAM (protospacer adjacent motif) sequence (Box 2). To date the most widely used system is the wide-type (type II) CRISPR system in *Streptococcus pyogenes* (SpCas9), which recognizes a short 5'-NGG PAM (where N represents any nucleotide and G represents guanine). CRISPR/Cas9 systems edit the genome using the same Cas9 protein for all target sequences, whereby Cas9 is guided by a single guide RNA (gRNA) via base-pairing to the target sequence. CRISPR/ Cas9 systems greatly facilitate genome engineering, for example, for genetic modification of bacteria, plants, and animals; understanding and regulating gene functions; establishing human disease models for basic study and drug discovery; and targeted therapeutic intervention<sup>11</sup>. In particular, CRISPR/Cas9 can correct or disrupt disease-causing genes, providing potential cures for human genetic diseases<sup>11,12</sup>.

Although SpCas9 could give rise to >90% indel rates in editing genes in different cell types, it may induce relatively high off-target activity<sup>13,14,15</sup>. Cleavage at off-target sites may occur in DNA sequences with up to five base mismatches, and DNA and RNA bulges can be tolerated<sup>16,17</sup>. CRISPR/Cas9 orthologs from different bacterial species and recognizing different PAM sequences have been investigated, including *Neisseria meningitidis* (*Nm*Cas9)<sup>18</sup>, *Streptococcus thermophiles 1* (St1Cas9)<sup>6</sup>, *Staphylococcus aureus* (SaCas9)<sup>19</sup> and *Campylobacter jejuni* (CjCas9)<sup>20</sup>. Cutting by *St1*Cas9, *Nm*Cas9, and *Sa*Cas9 requires gRNAs targeting DNA sequences of 21–24 nucleotides near their 5′-NNAGAAW, 5′-NNNNGATT, and 5′-NNGRRT PAM motifs, respectively. CjCas9 recognizes the PAM sequence 5′-NNNNACAC′ or 5′-NNNNRYAC. Cpf1 — a nuclease from *Prevotella* and *Francisella* 1, which was later classified as Cas12a — only has a RuvC nuclease domain and does not require a tracrRNA<sup>21</sup>. It creates a 5′ overhang at the cleavage site producing staggered-end breaks<sup>21</sup>. *Francisella novicida* Cpf1 (FnCpf1) recognizes the PAM sequence 5′-TTN-3 while both *Acidaminococcus spp* Cpf1 (AsCpf1) and *Lachnospiraceae bacterium* Cpf1 (LbCpf1) recognize a 5′ TTTV PAM<sup>21</sup>.

CRISPR base editors have been developed to alter single DNA bases without the need to generate DSB by linking deactivated Cas9 (dCas9) to DNA deaminases. Two types of base editor have been demonstrated: cytosine base editors that convert G–C to  $A-T^{22,23}$  and

adenine base editors that convert A–T to G–C<sup>24</sup>. Base editors may also be fused to Cas9 nickases<sup>22,25</sup>. However, substantial off-target effects have recently been reported following base editing with cytosine base editor<sup>26,27</sup>. Further, owing to its large size, it is very challenging to delivery base editor for in vivo genome editing<sup>28</sup>. The CRISPR/Cas13a system (previously C2c2), first identified from *Leptotrichia shahii*, can be used to edit RNA<sup>29</sup>. This nuclease is guided by a single crRNA and can be directed to cleave single strand RNA targets with complementary protospacers<sup>30</sup>. Cas13a from *Leptotrichia wadei* (LwaCas13a) has been shown to knockdown coding and non-coding RNAs in mammalian cells with an efficiency comparable to RNAi but with lower off-target effects<sup>31</sup>. More recently, the LEAPER (leveraging endogenous ADAR for programmable editing of RNA) system that uses short, engineered RNAs to recruit native ADAR1 or BADAR2 enzymes to change a specific adenosine to inosine has been reported<sup>32</sup>. This RNA base editing system has the advantage of small size and being deliverable by viral and nonviral vehicles, although repeated in vivo delivery will be required to generate a long-lasting therapeutic effect.

Therapeutic genome editing can be broadly divided into ex vivo and in vivo genome editing approaches<sup>12</sup>. The former is performed with cells isolated from a patient, where programmable nucleases and donor templates can be delivered into the cell nuclei via biological, chemical, or physical methods, and edited cells can be stored, amplified and, in some cases, sorted ex vivo before delivering back to the patient. Ex vivo genome editing is promising editing hematopoietic stem and progenitor cells for inherited blood disorders (such as sickle cell disease and  $\beta$ -thalassemia) and gene-edited CAR-T cells for cancer<sup>33,34</sup>. Nearly 20 clinical trials on programmable nuclease-based cell therapies are underway worldwide, most of which are based on CRISPR/Cas9 ex vivo gene-editing (clinicaltrials.gov). However, the treatment of many genetic diseases, including Duchenne muscular dystrophy, spinal muscular atrophy, and hereditary tyrosinemia, requires editing of disease-related genes in the relevant tissue in vivo. Significant challenges exist in specifically and efficiently delivering the CRISPR/Cas9 and donor template to the target cells in vivo.

Herein, we provide an overview of the recent developments in delivering CRISPR/Cas9 and donor template for in vivo genome editing and the associated challenges. We also provide perspectives on possible solutions to the challenges and future development, with the aim to attract more materials scientists to this exciting field.

#### Delivery challenges for in vivo editing

Efficient in vivo genome editing requires the delivery of the genome-editing machinery (for example, CRISPR/Cas9 and donor template; Box 1) into the nuclei of the target cells. Unintended expression of CRISPR/Cas9 in the non-target tissues and organs should be minimized to avoid off-target mutagenesis. Similarly, after the intended gene editing at the target loci, the persistence of CRISPR/Cas9 expression is undesirable as this may lead to prolonged off-target DNA cleavage. Therefore, effective and safe in vivo genome editing requires stringent spatial and temporal control of the CRISPR/Cas9 activity in the body. Although in vivo delivery of genome-editing machinery shares some features with drug and

nuclease activity.

In vivo genome editing can be used to perform gene disruption, gene correction, targeted gene deletion and insertion, and other gene modifications (Box 1). Depending on the applications, the genome-editing machinery may include different variants of Cas9 nuclease, gRNAs, DNA donor template, and other effectors, such as DNA deaminase. As detailed in Box 2, Cas9 nuclease can be delivered as DNA, mRNA, or protein. Cas9 mRNA contains approximately 4500 nucleotides. Cas9-expressing DNA (Cas9 DNA) is larger owing to the additional regulatory elements needed for transcription. The gRNAs and DNA repair templates can be delivered in their original forms, or as part of the DNA cargo including the Cas9 DNA. Successful genome editing requires the presence of all the required components in the cell nucleus in a coordinated manner. Therefore, in vivo genome editing requires proper packaging, dosing, and release of the genome-editing machinery and, if delivered separately, synchronization of individual components in the target cells.

The genome-editing machinery can be delivered either systemically or locally (Figure 1). Systemic delivery, mainly via intravenous injection, takes advantage of the circulatory system, which can distribute blood-borne substances throughout the body. Systemic delivery requires five steps for the gene editing machinery to reach the nucleus of the target cell: distribution in the circulatory system; extravasation from the blood vessels; migration in the interstitial space; cell entry; and intracellular transport into the cell nucleus. Each step presents unique challenges to in vivo genome editing.

After entering the circulatory system, the delivery vehicle mixes with the blood. The components of the editing machinery, such as Cas9 protein, Cas9 mRNA, gRNA, and the DNA donor template, are subject to degradation if exposed to proteases and nucleases in the plasma. In addition, the delivery vehicle can adsorb various plasma proteins, including fibrinogen, albumin, and opsonins. This phenomenon is often described as the formation of protein corona. The composition of the protein corona is determined by the surface charge, hydrophobicity, size, shape, and molecules on the surface of the delivery vehicle<sup>35</sup>. The protein corona affects the colloidal stability of the delivery vehicle and changes its interactions with the biological system<sup>36</sup>. In particular, adsorption of the opsonins may induce the recognition and sequestration of the delivery vehicle by the reticuloendothelial system (RES) in the liver, spleen, and lymph nodes as well as the mononuclear phagocytic system (WPS) which mainly consists of the phagocytic cells. Further, a recent study identified preexisting antibodies against SaCas9 and SpCas9 in 78% and 58% of donor samples, respectively, and anti-SaCas9 and anti-SpCas9 T cells in 78% and 67% of samples<sup>37</sup>. Another study identified SpCas9 specific effector T cells in 96% of donor samples with similar levels of reactive T-cells specific for SaCas9 and Cpf1. Interestingly, SpCas9-reactive regulatory T cells were found to be capable of mitigating SpCas9-reactive effector T cell function in vitro, highlighting a potential solution to overcoming the issue of preexisting immunity<sup>38</sup>. Typically, nanoparticles smaller than 5 nm will be excreted via the

The vascular endothelium is the second barrier to the systemic delivery of genome-editing machinery. In most tissues, the endothelial cells lining the vessel surface are connected via cell–cell junctions, including adherence junctions and tight junctions, which only allow small molecules (<1-nm diameter) to pass through<sup>39</sup>. Delivery vehicles with diameters greater than 1 nm rely on the less-efficient transcytosis pathways to extravasate from the blood vessel. Vascular permeability is particularly high in the fenestrated vessels in the hepatic sinusoid, and in leaky vessels as a result of inflammation during wound healing or angiogenesis during tumour growth. In such organs and tissues, substantial accumulation of the delivery vehicle often occurs. Conversely, the vascular endothelium in the brain, together with astrocytes and pericytes, forms the blood–brain barrier, which excludes many small polar molecules from entering the brain, as well as large complexes and nanoparticles.

Delivery vehicles extravasated from blood vessels need to travel across the interstitial space to reach the target cells. The transport barriers of the interstitial space are tissue-specific and are controlled by the cell density and the composition and density of the extracellular matrix. Interstitial transport of large molecules and nanoparticles is inefficient owing to their poor diffusivity and the small pores connecting the interstitial space<sup>40,41</sup>. An additional problem for nonviral delivery is the negatively charged extracellular matrix, which may hinder the transport of positively charged nanoparticles and reduce their cellular internalization. Therefore, nonviral delivery vehicles may only be able to reach the cells close to the exterior of the vessel. In addition, the target tissue may consist of many cell types, including stromal cells (for example, fibroblasts, immune cells, and parenchymal cells). As the (viral or nonviral) delivery vehicle moves through the interstitial space, it may be internalized by any cells it passes. Uptake of delivery vehicles by off-target cells (that is, cells not intended to edit) further reduces the availability of genome editing machinery to modify the target cells.

The cell membrane is formed by a lipid bilayer and various transmembrane proteins. The lipid bilayer is only permeable to small lipophilic molecules<sup>42</sup>. Large molecules and nanoparticles can enter cells via phagocytosis and endocytosis, or by disrupting the cell membrane<sup>43</sup>. With both viral and nonviral approaches, the cargo needs to be released from the delivery vehicle after entering the cell. However, genome editing machinery entering cells via endocytosis are transported to lysosomes and broken down by enzymes. The components that escape from the endosome into the cytosol need to further pass the nuclear membrane to reach the cell nucleus. This usually requires the presence of one or more nuclear localization signal peptides on Cas9 protein<sup>44</sup>. In the cell nucleus, the rate of genome editing is determined by factors including the abundance of individual components of the genome-editing machinery and the accessibility of the target loci in the chromosome. The intracellular transport of the cargo and the genome editing efficiency are dependent on cell cycle and cell type<sup>12</sup>. For example, the rate of homology-directed repair (HDR) is significantly increased in dividing cells, and gene-editing efficiency is enhanced in highly proliferating cells in which nuclear membrane becomes porous during mitosis leading to elevated nuclear access.

For local delivery via injection, the delivery vehicles are directly introduced into the interstitial space in the target tissue, thus minimizing the dissemination to off-target tissues and organs, and bypassing some barriers in the systemic delivery, such as interactions during circulation and extravasation from the blood vessel. However, the transport of the delivery vehicles can still be restricted by the dense extracellular matrix, which prevents dispersion in the target tissue. Therefore, with local delivery, the genome-editing machinery is often confined in the region around the point of injection, leading to a highly heterogeneous distribution in the target tissue and insufficient editing for the desired outcome.

### Viral-based delivery

Viral-based methods remain a popular choice for the delivery of gene editing machinery<sup>45,46</sup>. Viral vector classes that have been used for in vivo genome editing include adenovirus (AdV), adeno-associated virus (AAV), lentivirus, and retrovirus (Figure 2a), with AAV being the most promising because of its low immunogenicity, good safety profile, and transient transgene expression<sup>47–54</sup>. To express CRISPR/Cas9 in a target cell, it requires a process involving viral vector uptake, cargo transport and release, transgen transcription and translation (Figure 2b). AAV vectors can be used for targeted delivery based on their serotype, which confers specific tissue tropism<sup>55</sup>; however, the tissue specificity of AAV vectors is moderate. AAV vectors have already been approved for use in clinical trials for the treatment of diseases, such as  $\alpha$ -1 antitrypsin deficiency, haemophilia A and B, and familial hypercholesterolaemia<sup>56</sup>. Moreover, Luxturna<sup>TM</sup>(voretigene neparvovec-rzyl), an AAV-based therapy for the correction of biallelic RPE65 mutation, which causes inherited retinal disease, has been approved for use in the US. However, AAV vectors still face several limitations, especially the low packaging capacity.

The large size of the SpCas9 protein (4.3 kb for the coding region) is a challenge for its in vivo delivery with AAV (with a 4.7-kb packing capacity)<sup>57</sup>. With the addition of regulatory elements, such as promoters and polyadenylation signals, the packaging capacity of AAV is often exceeded for delivering SpCas9-based editing machinery. Thus, it is often necessary to package SpCas9 and gRNA into two separate vectors, which could achieve a delivery efficiency of >70%, as demonstrated in a study to disrupt the *Mecp2* gene in the mouse brain<sup>47</sup>. In contrast, NmCas9, St1Cas9, SaCas9 and CjCas9 require 3.2-, 3.4-, 3.2-, and 2.9kb coding regions, respectively, allowing for packaging into AAV vectors together with gRNA and regulatory elements<sup>58</sup>. For example, SaCas9 was packaged into a single AAV with a gRNA to achieve similar editing efficiencies to SpCas919. CjCas9 was packaged into AAV with VEGFA-targeting gRNA, inducing indels of up to 30% in retinal pigment epithelium<sup>20</sup>. The coding region of Cpf1 is 3.9 kb thus can be readily packaged into AAV<sup>59</sup>. One strategy to overcome the packaging limit of AAV is to split the transgen into two parts and packaging them into separate AAV vectors, then rejoining the two parts through heterodimerization in the host cell<sup>60,61</sup>. This strategy has been used to package a base editor system and delivery it to a mouse model for the human disease phenylketonuria to demonstrate the potential in treating this metabolic liver disease<sup>28</sup>.

Retroviral and lentiviral vectors have a large genome of 7–10kb and 9.7 kb respectively (Figure 2) $^{62,63}$  and can transduce a large range of cells in vivo $^{64,65}$ . However, these vectors

induce the integration of the transgene into the host genome, which can disrupt functional genes and increase off-target gene editing owing to long-term expression of CRISPR/Cas9. To improve their safety profile, integrase-deficient lentiviral vectors (IDLVs) have been developed which harbor integrase mutations that specifically prevent proviral integration, reducing the chances of insertional mutagenesis<sup>66</sup>. IDLV vectors have been used to deliver CRISPR/Cas9 to develop mouse disease models<sup>67</sup>, mutate genes in murine primary dendritic cells<sup>68</sup>, and develop tools to study the immune system<sup>69</sup>. Recently, a virus-like particle delivery method based on murine leukemia virus, a type of retrovirus, was developed for the in vitro and in vivo delivery of genome editing machinery<sup>70</sup>. In contrast to packaging DNA encoding CRISPR/Cas9, this method packages Cas9/gRNA RNP, thus having the advantages of limiting the period of Cas9 activity and reducing cost compared with typical viral based delivery.

The packaging capacity of AdV is up to 36 kb, which allows for encapsidation of all the components of a CRISPR/Cas9 gene editing machinery, including the regulatory elements<sup>71,72</sup>. Recently, SpCas9 with gRNA targeting the mutated form of *SERPINA1*, which encodes misfolded  $\alpha$ 1-antitrypsin, was delivered by AdV in a humanized mouse model of  $\alpha$ 1-antitrypsin<sup>73</sup>, resulting in a 94% reduction of misfolded  $\alpha$ 1-antitrypsin in the treated mice compared with the control. Histological analysis of the mouse tissue showed that gene editing reduced liver protein aggregation and fibrosis in the treated mice. Adv has also been used to deliver base editor into the liver of adult mouse to introduce site-specific nonsense mutations, resulting in reduced plasma cholesterol levels<sup>74</sup>.

Viral-based delivery methods suffer from several additional drawbacks. For example, they induce constitutive expression of Cas9/gRNA (that is, the expression is always 'on'). This is undesirable because persistent expression of CRISPR/Cas9 may increase off-target effects and cause an anti-Cas9 immune response (Figure 2). To address these issues, a self-deleting AAV system was developed to introduce indels into AAV episomes<sup>75</sup>. Compared with the standard AAV vector for SaCas9 delivery, the self-deleting AAV vector resulted in a 79% reduction of SaCas9 protein in vivo while maintaining high levels of editing at the on-target sites in multiple genes in the liver<sup>75</sup>. The safety of viral-mediated therapies may also be lowered by pre-existing immunity to viral capsids<sup>76</sup> or CD8<sup>+</sup> T cell-mediated response against transduced cells that present viral capsid protein or Cas9 antigens<sup>38,77</sup>. For clinical applications, this may be overcome through the selection of patients with no or low neutralizing antibodies<sup>78</sup>, the administration of immunosuppressant drugs prior to treatment<sup>79</sup>, or decreasing the therapeutic dose administered<sup>80</sup>. However, the host response to viral vectors remains difficult to predict. In a recent study, piglets and non-human primates treated with high doses of AAV showed signs of severe toxicity and either died or were euthanized 4-14 days after administration<sup>81</sup>. Moreover, production of viral vectors at a large scale is expensive, and requires specific facilities and expertise. For example, the manufacturing of viral vectors for clinical applications involves expensive single-use culture systems and bioreactors to yield required titers<sup>82</sup>. A demonstration of this is the AAV-based therapeutic valoctocogene roxaparvovec, which at its Phase 3 clinical trial, and requires a dose of  $4 \times 10^{13}$  viral particles per kilogram (NCT03392974). Therefore, although viralbased in vivo delivery of genome editing machinery has the advantages of being efficient, having good safety profiles (such as that with AAV), and clinical viable, the potential issues

of persistent expression, lack of tissue specificity, detrimental transgene integration and limitations in packaging (with AAV) need to be addressed before their widespread applications in in vivo genome editing can be realized.

## Synthetic material-mediated delivery

Many types of synthetic material, often in the form of nanoparticles, have been developed as alternatives to viral vectors for in vivo delivery of genome-editing machinery. Synthetic materials have several advantages over viral vectors. For example, they can be tailored for delivering different forms of the CRISPR/Cas9 system, including the Cas9 protein or Cas9/ gRNA RNP, Cas9 mRNA with gRNA, and plasmid DNA encoding Cas9 and gRNA. Unlike viral vectors, there is no preexisting immunity against most synthetic materials and their immunocompatibility can be improved by optimizing the size, shape, coating, and surface chemistry<sup>43,83</sup>. Moreover, synthetic material-mediated delivery does not induce integration of the Cas9 gene into the genome (except random integration). Further, physical formulation or chemical synthesis of nanoparticles is more cost-effective and suitable for large-scale production than viral vectors. However, achieving high delivery efficiency remains a significant challenge for most synthetic material-mediated delivery methods<sup>84</sup>.

To date, synthetic material-mediated in vivo genome editing has mainly been inspired by conventional gene-delivery methods. The most straightforward approach for in vivo genome editing is to deliver Cas9 RNP (that is, the Cas9 protein complexed with gRNA). Cas9 is a relatively large (160 kDa for SpCas9) and positively charged protein; however, Cas9 RNP has a net negative charge owing to the abundant phosphate groups in the gRNA<sup>85</sup>. Cas9 RNP can be complexed with delivery vehicles through electrostatic interactions, DNA-gRNA base pairing, nonspecific adsorption, or covalent bonding. Moreover, Cas9 RNP binds to cationic polymers (for example, lipids and peptides), which then bind to the negatively charged cell membrane, thereby enhancing the cellular uptake of RNP. Upon endocytosis, cationic polymers can destabilize the endosomal membrane and release RNP into the cytosol. For example, local injection of Cas9 RNP complexed with a cationic liposomal reagent (Lipofectamine<sup>TM</sup> 2000) into the cochlea of neonatal Tmc1<sup>Bth/+</sup> mice disrupted the dominant deafness-associated allele, increased hair cell survival, and enhanced acoustic startle responses, thus providing a potential strategy for treating autosomal-dominant hearing loss<sup>86</sup>. In another study, Cas9 and gRNA embedded in crosslinked PEI hydrogel were encapsulated by a cationic lipid membrane, forming liposome-templated hydrogel nanoparticles<sup>87</sup>. In this study, the lipid membrane was further conjugated with tumourtargeting and cell-penetrating peptides. In a mouse subcutaneous xenograft tumour model, the nanoparticles reached 30% of tumour cells after repeated tail vein injections for three consecutive days<sup>87</sup>. This study also showed that tail vein injection of nanoparticles inhibited the polo-like kinase 1 (PLK1) expression by more than 60% and reduced the tumour volume by nearly 80% compared to placebo<sup>87</sup>, demonstrating efficient in vivo gene-editing.

Another promising delivery vehicle is thiolated DNA-coated gold nanoparticles (CRISPR– gold) (Figure 3a)<sup>88</sup>. Specifically, a DNA donor template was conjugated via hybridization to thiolated DNA and Cas9 RNP was adsorbed via its nonspecific interaction with the DNA molecules on the surface of gold nanoparticles. The nanoparticles were sequentially coated

with negatively charged silica and a cationic endosomal disruptive polymer. CRISPR–gold aggregated with an average diameter of approximately 500 nm was administrated in mice via intramuscular injection with cardiotoxin (which activates the proliferation of muscle stem cells by muscle damage) to correct the DNA mutation that causes Duchenne muscular dystrophy<sup>88</sup>. CRISPR–gold was observed not to up-regulate inflammatory cytokines in the plasma within two weeks, although CD45<sup>+</sup> and CD11<sup>+</sup> leukocytes increased in treated muscle, which is indicative of local inflammation. Importantly, unlike viral vectors, there was no increase in the plasma level of inflammatory cytokines even after repeated injections of CRISPR–gold, suggesting that it is safe to administer multiple times. A follow-up study showed that intracranial injection of CRISPR–gold rescued exaggerated repetitive behaviours in a mouse model of fragile × syndrome<sup>89</sup>. This is the first case of behavioural rescue of brain disorder in an animal model using nonviral delivery of gene-editing machinery.

Cas9 RNP has also been conjugated to silica-coated upconversion nanocrystals via photocleavable 4-(hydroxymethyl)-3-nitrobenzoic acid (ONA) molecules<sup>90</sup>. In this example, the surface of the nanoparticles was covered with a layer of Polyethylenimine (PEI) to enhance the transduction efficiency. When the exposed to near-infrared light, the upconversion nanocrystals emit photons in the UV range and release Cas9 RNP from their surface. This allows the in vivo activity of Cas9 to be remotely controlled by optical signals. This study showed that intratumoural injection of nanoparticles suppressed tumour growth by disrupting *PLK1*.

Because of their strong negative charge, Cas9 mRNA and Cas9 DNA are commonly complexed with cationic polymers for nonviral in vivo delivery. For example, a selfassembled micelle composed of quaternary ammonium-terminated poly(propylene oxide) (PPO-NMe3) and amphiphilic Pluronic F127 was constructed to facilitate DNA binding and enhance cell penetration<sup>91</sup>. When mixed at an optimal ratio, PPO-NMe3, Pluronic F127, and Cas9 DNA formed micelles ~200 nm in size. In a mouse model of a xenograft HeLa tumour, the micelles with Cas9 DNA were delivered via intratumoural injection, resulting in reduced tumour growth by over 60% compared with the control group<sup>91</sup>. In another study, cationic  $\alpha$ -helical polypeptides and copolymers of poly(ethylene glycol) and polythymine<sub>40</sub> were used to bind and condense Cas9 DNA and gRNA into nanoparticles (Figure 3b)<sup>92</sup>. Polypeptides have high membrane-penetrating ability, which enhances cellular internalization and endosomal escape of the cargo. Intratumoural injection of the nanoparticles targeting PLK1 reduced the PLK1 protein level by 66.7% and partially inhibited the tumour growth in a mouse model of xenograft HeLa tumour. Tail vein injection of positively charged lipid-like nanoparticles carrying Cas9 mRNA and gRNA was able to disrupt hepatitis b virus (HBV) DNA in the liver in a mouse model of HBV infection<sup>93</sup>.

Current synthetic material-based delivery vehicles have two common features: they are relatively bulky (>100 nm) and have a positively charged surface. The former is due to in part to packaging of the CRISPR/Cas9 system, of which Cas9 RNP, Cas9 mRNA, and DNA encoding Cas9 are relatively large molecules. The latter is necessary for enhancing cell entry and endosomal escape of the cargo. However, these features are not favourable for either systemic or local delivery of genome-editing machinery. In the small number of cases

reported using synthetic materials for systemic delivery, the target tissues are limited to the liver and tumour, where the highly permeable vessels allow extravasation of the delivery vehicles into the interstitial space. In most cases, the delivery vehicles are administrated via direct injection into the target tissue. For systemic administration of gene-editing machinery using nanoparticles, repeated injections are often needed to compensate for the poor interstitial dispersion of large nanoparticles and to achieve high delivery efficiency. Although current nonviral delivery methods have yielded exciting results in mouse models where the tissue volume is small, when moving them into clinical studies, it may be challenging to gain comparable therapeutic effects in the human body.

#### Delivery using viral–nonviral hybrids

Although viral vector-based CRISPR/Cas9 delivery is often associated with increased risk of genotoxicity, the combination of viral and nonviral delivery approaches can take advantage of the efficient transduction machinery of the viral vectors and the controllability of the synthetic materials. One scheme is to deliver the genome-editing machinery with two vehicles: a synthetic material physical method that delivers the Cas9 nuclease and a viral vector that delivers the gRNA(s) and DNA donor template. For example, in an in vitro genome-editing study, electroporation was used to deliver Cas9/gRNA RNP followed by transduction of a DNA donor template packaged in an AAV to efficiently correct sickle mutation in patient-derived hematopoietic stem/progenitor cells<sup>33</sup> and induced pluripotent stem cells<sup>34</sup>. Similarly, in an in vivo study using a mouse model of human hereditary tyrosinemia, lipid nanoparticles carrying Cas9 mRNA and gRNA, and an AAV vector packaging the DNA donor template were administered to mice via tail vein injection, leading to high accumulation in the liver (Figure 3c)<sup>94</sup>. This treatment resulted in more than 6% gene correction in hepatocytes and alleviated disease symptoms, such as weight loss and liver damage<sup>94</sup>.

A recent study complexed a baculoviral vector (BV) with magnetic nanoparticles for in vivo delivery (Figure 3d)<sup>95</sup>. Engineered BVs are derived from an insect virus, *Autographa californica multicapsid nucleopolyhedrovirus* and can transduce many types of mammalian cells via capsid protein-mediated cell entry, endosomal escape, and transport into the cell nucleus. Unlike most viral vectors used in gene delivery, BV-induced transgene expression lasts for only a few days in mammalian cells owing to the lack of viral replication and viral genome integration. In addition, BVs in the circulatory system can be rapidly inactivated by the complement system, consisting of a set of small plasma proteins that act sequentially to produce a wide range of activities, including cell lysis and opsonization<sup>96</sup>. When BV is complexed with magnetic nanoparticles (MNP–BV), a locally applied magnetic field can overcome the inactivation, leading to spatially controlled transgene expression in the target tissue<sup>95</sup>. The interplay between the local magnetic field and the complement system provides an on–off switch for MNP–BV-induced transduction in vivo, which enables local and transient in vivo genome editing when CRISPR/Cas9 is packaged in a BV<sup>95</sup>.

#### **Future perspective**

Although the CRISPR/Cas9 system was only introduced into mammalian cells for genome editing in 2013<sup>6,7</sup>, it has already transformed the field of genome editing and poised to revolutionize many fields of medicine. However, in vivo delivery of genome-editing machinery remains a challenge, and innovative approaches are needed to achieve high delivery efficiency, tissue specificity, and safety for clinical translation. To this end, there are important design features that one could consider (Figure 4). For example, to achieve high efficiency, the delivery vehicle needs to significantly reduce or prevent enzymatic degradation of the CRISPR/Cas9 system during systemic circulation. This can be realized by encapsulation of the delivery vehicle, improving its stability in the blood, and/or chemically modifying the backbones of gRNAs and DNA templates if they are exposed to the blood. The delivery vehicle should have stealth properties to avoid rapid clearance by the mononuclear phagocytic system. This can be achieved by coating with biocompatible polymers such as poly(ethylene glycol) and conjugating with ligands that enable immune evasion. The delivery vehicle should also be able to extravasate from the blood vessel. Studies have shown that extravasation of the delivery vehicles in tissues other than liver and tumour can be realized by targeting tissue-specific ligands that trigger active transcytosis<sup>97</sup>. Another option is to increase the permeability of local vessels transiently via physical or biological methods  $9^{8-101}$ . In the interstitial space, the delivery vehicles need to migrate effectively to reach target cells in the tissue. Interstitial transport can be improved by optimizing the size and coating of the nanoparticles and/or modifying the extracellular matrix via enzymatic degradation<sup>102</sup>. The cellular uptake of nanoparticles can be enhanced by targeting cell surface receptors that activate endocytosis. Upon endocytosis, the delivery vehicles need to release the cargo into the cytosol by destabilizing the endosome membrane with reagents, such as positively charged polymers. In addition to delivering the genomeediting machinery, viral or nonviral systems supplying reagents that improve the accessibility of the target loci in a genome or confer a selective advantage to edited cells (so that they may survive and grow better than non-edited cells) may also improve the overall gene editing efficiency.

To improve tissue specificity, the delivery vehicle can be conjugated with cell-specific targeting ligands (Figure 4). Tissue specificity may also be achieved by designing delivery vehicles that can either be activated locally by external optical, thermal or magnetic fields, or that respond to the tissue-specific microenvironment, such as the pH and enzymatic activity. To improve safety, systemic dissemination of CRISPR/Cas9 can be prevented using mechanisms for local retention or systemic inhibition of the delivery vehicle (for example, systemic inhibition of baculoviral vectors by circulating complement factors). In addition, the self-deleting AAV system<sup>75</sup>, and suicidal or self-deleting strategies that deactivate other types of viral vectors can be used to reduce genotoxicity, thus improving safety while maintaining their intended editing function. Off-target activities of CRISPR/Cas9 can be reduced or minimized by optimizing the dose and/or limiting the duration of Cas9 activity. While temporal control of Cas9 activity can be partially realized by delivering Cas9 mRNA or Cas9/gRNA RNP, other more precise mechanisms may be used, such as fusing a destabilizing domain to Cas9<sup>103</sup>, using small molecule Cas9 inhibitors<sup>104</sup> or light<sup>105,106</sup>.

Further, biocompatible and nonimmunogenic materials are desirable to minimize local inflammatory responses. Finally, in vivo delivery of the genome-editing machinery needs to be designed according to the therapeutic target, the disease state, and the properties of target tissues.

To achieve high efficiency, tissue specificity, and safety in in vivo genome editing using engineered delivery vehicles, modeling, simulation and computational analysis can significantly aid their design, evaluation and optimization<sup>107,108</sup>. Further, systems approaches can help integrate different functions of a deliver vehicle. For example, an activatable and biocompatible coating could be engineered to protect Cas9 RNP in circulation, minimize protein adsorption, and release the cargo in response to an external or intrinsic signal (Figure 4). Multiple ligands can be conjugated to the surface of nanoparticle carriers to simultaneously improve tissue specific delivery, induce transcytosis, and minimize immune recognition (Figure 4). These and other possibilities make this an exciting time for materials researchers to contribute to the field of in vivo genome editing.

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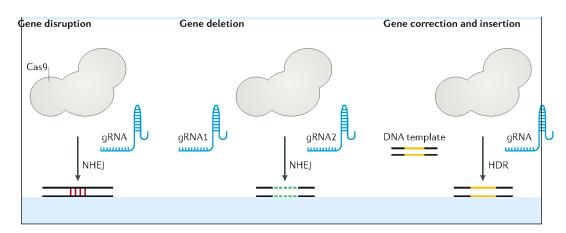
#### Box 1.

#### CRISPR/Cas9 machinery and genome editing mechanisms

The CRISPR/Cas9 (clustered regularly interspaced short palindromic repeats/CRISPR associate-protein 9) requires three elements to function: a CRISPR RNA (crRNA), transactivating CRISPR RNA (tracrRNA), and a Cas9 nuclease protein. The crRNA is homologous to the target sequence and interacts with the auxiliary tracrRNA to form a complex that guides the Cas9 protein to bind to the target DNA sequence<sup>1</sup>. To simplify the CRISPR system for gene editing, a synthetic single guide RNA (gRNA) is generally engineered by combining the crRNA and tracrRNA into a single RNA transcript<sup>109,110</sup>, which hybridizes to the target DNA strand (target strand). The gRNA typically comprises a 5' 17–20 nucleotide sequence complementary to the target DNA sequence and a 3' sequence that serves as a binding scaffold for Cas9. A protospacer adjacent motif (PAM) of 2–5 nucleotides on the target DNA is required for Cas9 binding and is located directly downstream of the target sequence on the non-target DNA strand. The most-commonly used system SpCas9 recognizes a short 5'-NGG (where N represents any nucleotide and G represents guanine) PAM sequence on the non-target strand and cleaves the DNA target sequence three bases upstream from the PAM.

The Cas9 protein consists of HNH nuclease domain and RuvC nuclease domain. The HNH domain cleaves the DNA strand complementary to the gRNA (target strand) and the RuvC domain cleaves the other strand (non-target strand), forming a double-stand break (DSB). Cas9 is non-specific endonuclease guided by the gRNA to the target site and cleaves the DNA sequence it binds, giving rise to a DSB, which activates DNA repair mechanism(s) in the cell, including the non-homologous end joining (NHEJ) and homology-directed repair (HDR) pathways. The NHEJ pathway may result in mutational insertions and deletions (indels) of short sequences at the repair site, causing disruption of the target gene. Expressing multiple gRNAs targeting different sequences in the same chromosome allows for the precise deletion of large DNA segments. By providing a DNA donor template with sequence homology to the target site, the HDR pathway enables targeted donor insertion at the Cas9 cut site for specific gene correction or addition.

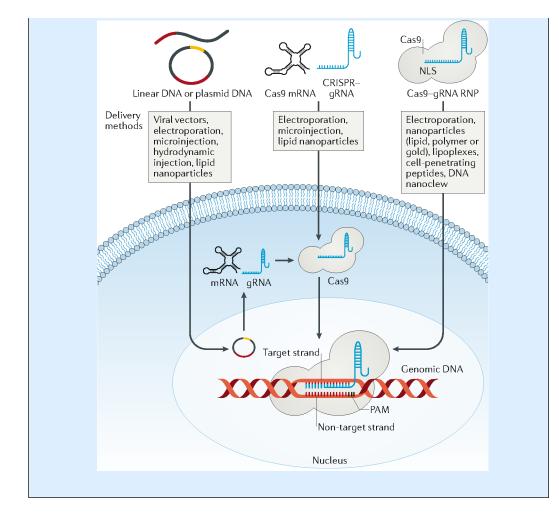
DNA donor templates can be single-stranded oligodeoxyribonucleotide (ssODN)<sup>111</sup> or double-stranded DNA (dsDNA)<sup>112</sup>, which are formed by the desired DNA sequence flanked by sequences homologous to the target site (homology arms). The optimal length of the homology arms and the choice of donor template (ssODN or dsDNA) may depend on the size of precise gene modification being made<sup>33</sup>. For example, ssODNs with 30–60 bp homology arms may be used to insert or repair small sequences of up to 200 bp<sup>113,114</sup>. Long dsDNA donors with homology arms of 400–1,000 bp may be used to introduce sequences up to several thousand base pairs at the target site to correct point mutations, small indel mutations, or drive the integration of an entire complementary DNA encoding a gene<sup>33,115</sup>. The need to deliver a DNA donor template may present an additional challenge for the design of delivery vehicles, especially when long dsDNA donors are used.

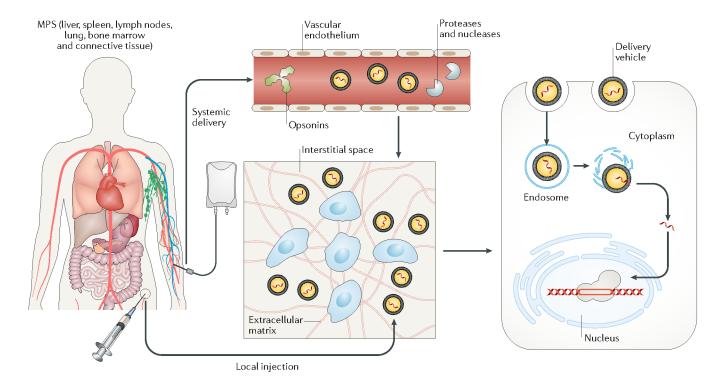


#### Box 2.

#### Forms of CRISPR/Cas9 cargo and methods for delivery

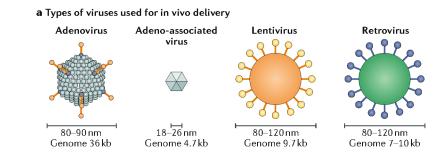
The CRISPR/Cas9 system can be delivered as plasmid or linear DNA encoding Cas9 and gRNA; Cas9 mRNA and a separate gRNA; or as Cas9/gRNA ribonucleoprotein (RNP). All forms typically provide one or more nuclear localization signals to promote Cas9 entry into the cell nucleus. When delivered as DNA, the cargo must first enter the cell nucleus and undergo transcription and translation. The resulting Cas9/gRNA complex in the cytoplasm enters the nucleus for gene editing. Plasmid DNA is stable, easy to prepare and results in prolonged transgene expression in cells. In vivo delivery of CRISPR/Cas9 as single-strand or double-strand DNA shares common features with conventional gene delivery; thus, early in vivo genome editing approaches used the gene-transduction mechanisms of viral vectors to deliver genes and induce transgene expression via selfamplification, stable extrachromosomal expression, or even integration into the host genome<sup>19,47,58</sup>. However, viral vector-based in vivo delivery may lead to unintended mutagenesis, prolonged expression of CRISPR/Cas9, and editing in off-target tissues. High immunogenicity and cost of production are additional challenges for clinical application of viral vectors for genome editing<sup>58</sup>. As an alternative, nonviral delivery approaches have been developed for in vivo genome editing using organic and inorganic materials, including lipids, peptides, naturally occurring and synthetic polymers, and nanocystals<sup>84</sup>. However, the editing efficiencies are typically low because of the biological barriers to in vivo transport of delivery vehicles<sup>86–89,92,94</sup>. When delivered as Cas9 mRNA, the system must enter into the cytoplasm, where it is translated to Cas9 protein, which then forms a complex with gRNA and the resulting RNP translocates into the nucleus. Delivery of Cas9 mRNA co-delivered into cells with gRNA leads to Cas9/ gRNA RNP, resulting in faster editing kinetics compared with DNA delivery, which may help reduce off-target effects<sup>116</sup>. Cas9 mRNA may be delivered into cells in vitro by physical<sup>116</sup>, chemical<sup>117</sup> and viral-based<sup>118</sup> methods, and in vivo using nonviral approaches<sup>94</sup>. The direct delivery of Cas9 protein and gRNA complexes (Cas9/gRNA RNP) into cells using nonviral delivery methods (such as electroporation and nanoparticles) can also result in higher editing efficiency and lower off-target effects than DNA delivery because of faster editing kinetics and the short lifespan of Cas9 protein<sup>116</sup>.



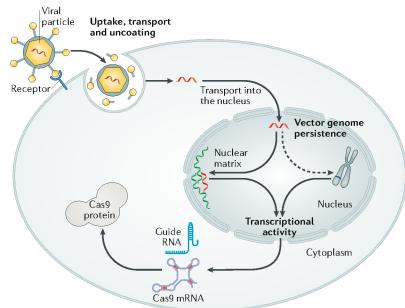


#### Figure 1. Biological barriers to in vivo delivery from systemic circulation to cell nucleus

In systemic delivery, the delivery vehicles are distributed throughout the body. To edit target cells, the delivery vehicles need to extravasate, travel across the interstitial space, and pass through the cell membrane into the cell nucleus. With local injection, the delivery vehicles enter the interstitial space directly. In systemic delivery, the delivery vehicles can adsorb opsonins, including antibodies, complement factors, and other proteins in the plasma, which promote their clearance by the mononuclear phagocyte system. In addition, exposure of the genome-editing machinery to the plasma can cause degradation via circulating proteases or nucleases. Another barrier to systemic delivery is the vascular endothelium (unless it is the target tissue). In most tissues, the endothelial cells on the vessel surface are connected to form a continuous layer via cell-cell junctions, which prevents most delivery vehicles from entering the interstitial space. The interstitial transport of delivery vehicles is often hindered by the stroma cells and the extracellular matrix, which may confine systemically delivered vehicles close to the vessel surface and locally injected vehicles to the site of injection. Another rate-limiting step is for the delivery vehicles to pass through the cell membrane via micropinocytosis or endocytosis. The delivery vehicles entering the cells are typically transported from endosomes to lysosomes, where most proteins and nucleic acids are enzymatically digested. Therefore, cargo needs to be released from the delivery vehicle and escape from the endosome to enter the cytosol. Finally, the cargo needs to enter the cell nucleus to perform gene editing at the target locus (except for the case of RNA editing in the cytosol).

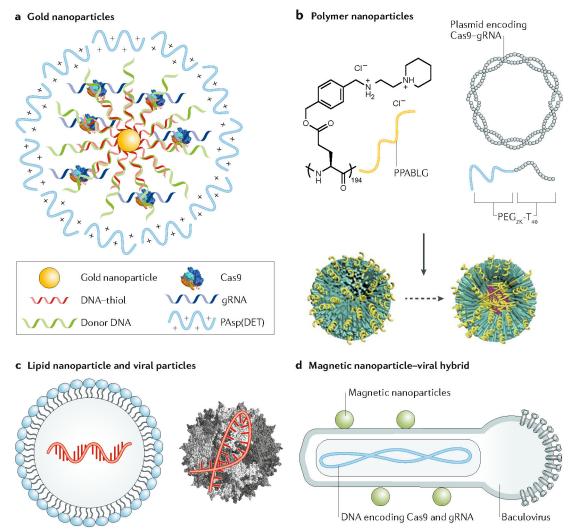


**b** Expression of CRISPR-Cas9 by a viral particle



#### Figure 2. Viral base in vivo delivery of genome editing machinery.

**a**. Four types of viruses have been used for in vivo delivery of genome editing machinery: adenovirus (AdV), adeno-associated virus (AAV), lentivirus, and retrovirus, with genome sizes of 36kb, 4.7kb, 9.7kb and 7–10kb, respectively. Their physical size as diameters are indicated. **b**. The process of expressing CRISPR/Cas9 in a target cell by a viral-based delivery vector, including uptake, cargo transport and release; transcriptional activity; and transgene persistence. DNA packaged in the viral vector that encodes Cas9 protein and guide RNA is first being released and transcribed into Cas9 mRNA and gRNA in the cell nucleus, which are then transported to the cytosol where Cas9 protein is produced. Persistent expression of Cas9/gRNA may cause genotoxicity and immune responses.

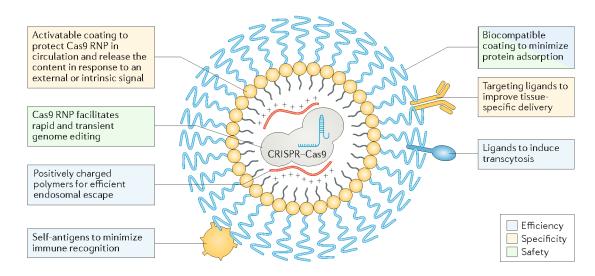


Lipid nanoparticles with Cas9 mRNA AAV with gRNA and HDR template

Figure 3. Examples of material systems for in vivo delivery of genome-editing machinery.

**a**. Delivery of Cas9/gRNA ribonucleoprotein (RNP) and the template for homology-directed repair (HDR) using gold nanoparticles (CRISPR–gold). CRISPR–gold is composed of gold nanoparticles conjugated with DNA, which are complexed with donor DNA, Cas9 RNP, and the polymer PAsp(DET) for endosomal disruption. Once in the cytoplasm, glutathione releases the DNA from the gold core of CRISPR–gold, which causes the rapid release of Cas9 RNP and donor DNA. **b**. Nanoparticle-based delivery of Cas9 plasmid and single guide RNA (gRNA). The positively charged α-helical polypeptide PPABLG complexes with Cas9 expression plasmids and gRNAs to form nanoparticles, which are then PEGylated (P-HNPs). P-HNPs can achieve efficient cellular internalization and endosomal escape. **c**. In vivo delivery of genome-editing machinery by combining lipid nanoparticles carrying Cas9 mRNA with AAV viral particles encoding gRNA and HDR donor template. **d**. In vivo delivery of CRISPR/Cas9 with spatial control of gene editing. DNA encoding Cas9 and gRNA is packaged into a baculoviral (BV) particle, which is complexed with magnetic nanoparticles (MNP–BV). By applying a magnetic field locally after delivery of MNP–BV,

the inactivation of BV by the complement system in the serum can be overcome, leading to spatially controlled genome editing in the target tissue.



# Figure 4. Delivery strategies to overcome challenges in the efficiency, specificity, and safety of in vivo delivery of genome-editing machinery.

The efficiency of in vivo genome editing can be improved by increasing the bioavailability of CRISPR/Cas9 to the target loci. Approaches to improve efficiency include preventing enzymatic degradation via stable encapsulation; immune evasion via biocompatible coating and self-antigens; increasing extravasation via ligands inducing transcytosis on vascular endothelium; and increasing intracellular and nuclear entry of the CRISPR/Cas9 machinery via cationic polymers. The specificity can be improved by decorating the delivery vehicle with targeting ligands and developing delivery vehicles responding to external signals or tissue-specific cues. Approaches to improve the safety include delivering Cas9 mRNA or protein that only has a short lifespan; implementing mechanisms for local retention or systemic inhibition; and using biocompatible materials to minimize local inflammation.