

Review Article

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The contribution of depressive ‘disorder characteristics’ to determinations of prognosis for adults with depression: an individual patient data meta-analysis

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Abstract

Background. This study aimed to investigate general factors associated with prognosis regardless of the type of treatment received, for adults with depression in primary care.

Methods. We searched Medline, Embase, PsycINFO and Cochrane Central (inception to 12/01/2020) for RCTs that included the most commonly used comprehensive measure of depressive and anxiety disorder symptoms and diagnoses, in primary care depression RCTs (the Revised Clinical Interview Schedule: CIS-R). Two-stage random-effects meta-analyses were conducted.

Results. Twelve ($n = 6024$) of thirteen eligible studies ($n = 6175$) provided individual patient data. There was a 31% (95%CI: 25 to 37) difference in depressive symptoms at 3–4 months per standard deviation increase in baseline depressive symptoms. Four additional factors: the duration of anxiety; duration of depression; comorbid panic disorder; and a history of antidepressant treatment were also independently associated with poorer prognosis. There was evidence that the difference in prognosis when these factors were combined could be of clinical importance. Adding these variables improved the amount of variance explained in 3–4 month depressive symptoms from 16% using depressive symptom severity alone to 27%. Risk of bias (assessed with QUIPS) was low in all studies and quality (assessed with GRADE) was high. Sensitivity analyses did not alter our conclusions.

Conclusions. When adults seek treatment for depression clinicians should routinely assess for the duration of anxiety, duration of depression, comorbid panic disorder, and a history of antidepressant treatment alongside depressive symptom severity. This could provide clinicians and patients with useful and desired information to elucidate prognosis and aid the clinical management of depression.

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Introduction

Depression is a burdensome disease with a high prevalence, affecting one in 20 adults at any one time (Thornicroft *et al.*, 2017). Not reaching full remission after initial treatment is a strong predictor of poor long-term prognosis including relapse and recurrence of depression (Buckman *et al.*, 2018b; Judd *et al.*, 2000). Knowledge of factors associated with prognosis can

be useful for patients and clinicians informing the content of routine clinical assessments and decisions regarding the future clinical management of the patient's condition, and providing them with information they want to know (Trusheim, Berndt, & Douglas, 2007).

There have been a number of different approaches towards studying prognosis. For adults with depression, it has most commonly been studied in systematic reviews or randomised controlled trials (RCTs) that have focused on prognosis for those receiving a single treatment – typically, an antidepressant or cognitive behavioural therapy (Bower et al., 2013a, b; Chekroud et al., 2016; Driessen, Cuijpers, Hollon, & Dekker, 2010; Karyotaki et al., 2017). Such studies might identify a mixture of general prognostic factors applicable regardless of treatment type and prognostic factors unique to that treatment modality, but due to their design, they cannot distinguish between the two. For example, the predictive models from STAR*D that examined outcomes on the antidepressant citalopram were found to generalise to escitalopram–bupropion but not to venlafaxine–mirtazapine (Chekroud et al., 2016). At the outset of treatment, it is impossible to know what future treatments a patient will receive so general information about prognosis, that would apply to all treatments, is of clinical value (Hippisley-Cox et al., 2007; Trusheim et al., 2007); this can be called 'prognosis independent of treatment'. Another approach to studying prognostic factors is to identify people with depression from cohort studies. Most cohorts have small numbers of people with depression and many have not sought treatment (Buckman et al., 2018b; Hardeveld, Spijker, De Graaf, Nolen, & Beekman, 2009). Therefore, inferences about prognosis from these samples can be imprecise and might not be generalisable to the population of help-seeking patients who are seen by clinicians. The approach taken in the current study is to examine data from the individual participants of a wide range of RCTs that have investigated a breadth of pharmacological, psychological and other interventions, amongst individuals seeking treatment for depression, and to partial out the effects of the randomisation in each study, to investigate the associations between patient characteristics and prognosis. In theory, depending on the breadth of the treatments used in the contributing studies, this approach allows for the investigation of prognostic factors that apply to any course of treatment and should therefore be more generalisable to a wider range of clinical circumstances.

Meta-analyses of individual patient data (IPD) collected from RCTs can provide an improved understanding of factors associated with prognosis independent of treatment (Bower et al., 2013a, b; Driessen et al., 2010; Gibbons, Hur, Brown, Davis, & Mann, 2012) as they are able to deliver greater power and therefore more precise estimates than individual studies or study-level meta-analyses (Driessen et al., 2010; Fisher, Carpenter, Morris, Freeman, & Tierney, 2017; Stewart et al., 2015). A meta-review of systematic reviews and meta-analyses, including IPD meta-analyses, was conducted to inform the methods and focus of the current study (online Supplementary Tables 1 and 2). That meta-review established that there is strong evidence of an association between the severity of depressive symptoms pre-treatment and prognosis with particular treatments (Bower et al., 2013a, b; Driessen et al., 2010; Weitz et al., 2015). However, there is uncertainty over the strength and the clinical importance of the association due to a lack of reporting of effect sizes (Chekroud et al., 2016; Noma et al., 2019), and wide confidence intervals (CIs) in the studied effects (Fournier et al., 2010; Johnsen & Friberg, 2015; Weitz et al., 2015). As noted above, there is also the possibility that these associations are limited to

patients receiving particular type of treatment only, given the focus of past studies (Bower et al., 2013a, b; Driessen et al., 2010; Karyotaki et al., 2017). As such, the current evidence may not be useful for clinicians wanting to inform patients of their prognosis before a decision has been made regarding the type of treatment to start, or in settings where the particular treatments studied are not available.

The meta-review identified a number of other potential prognostic factors, including life events, social support and socio-demographics which are beyond the scope of the current study (Buckman et al., 2021), and several others which are related to the severity of the mental health problem a patient with depression might present with in a clinic. These severity-related factors can be referred to as depressive 'disorder characteristics', in contrast to depressive 'symptom severity'. Some of these 'disorder characteristics' such as duration and comorbidity with anxiety have been reported to be associated with response to a particular treatment [e.g. citalopram in STAR*D (Chekroud et al., 2016)], but there have been inconsistent findings and most studies did not adjust for depressive symptom severity (Johnsen & Friberg, 2015; Nakabayashi, Hara, & Minami, 2018; Noma et al., 2019). One study has found an interaction between duration and symptom severity suggesting that considerations of prognosis should not be limited to symptom severity alone (Lorenzo-Luaces, Rodriguez-Quintana, & Bailey, 2020). However, that was a study of adolescents, in a single sample, with two treatment types, their combination, or placebo, and was not able to consider a broader spectrum of depressive 'disorder characteristics'. Previous studies have also rarely included data from primary care settings, or provided insufficient information about how participants were recruited to know if the results are generalisable to other health care settings. Large proportions of adults seeking treatment for depression present in primary care (Olfson, Blanco, & Marcus, 2016; Thornicroft et al., 2017), so identifying prognostic factors in a primary care setting has important utility.

This study aimed to provide clinically useful estimates for prognostic factors that would apply whatever treatment a patient would receive. The specific aims were to investigate: (1) the degree to which depressive symptom severity is associated with prognosis for adults with depression in primary care, independent of treatment type; and (2) which depressive 'disorder characteristics' are associated with prognosis independent of treatment type, and independent of depressive symptom severity.

Methods

This study involved compiling an IPD from RCTs of adults with depression that sought treatment in primary care. In order to thoroughly investigate the association between depressive 'disorder characteristics' and prognosis a measure that captures a comprehensive set of such clinical features is required. Scoping searches were conducted to identify the most commonly used measure of this type in RCTs that recruited adults with depression in primary care; we established that this was the Revised Clinical Interview Schedule (CIS-R) (Lewis, Pelosi, Araya, & Dunn, 1992). The CIS-R is a measure commonly used in RCTs and epidemiological studies that has been translated into many languages (McManus, Bebbington, Jenkins, & Brugha, 2016; Subramaniam, Krishnaswamy, Jemain, Hamid, & Patel, 2006). It is used to measure symptoms and make diagnostic determinations of depressive and anxiety disorders in line with criteria from the International Classification of Diseases 10th edition (World Health

Organization, 1992). CIS-R is most commonly administered via a computerised program such that lay personnel can conduct the interviews, reducing clinical time and cost (Subramaniam *et al.*, 2006). The use of this measure at baseline was made an inclusion criterion for the searches in order to minimise bias in harmonising data across RCTs. The methods for this systematic review and IPD meta-analysis were pre-registered (Buckman *et al.*, 2020) [PROSPERO: CRD42019129512 (01/04/2019)]; for details of protocol amendments and derivations, see online Supplementary materials.

Identification and selection of studies

Studies were identified via searches on Medline, Embase, PsycINFO and Cochrane Central (inception to 1st December 2020), hand-searching of reference lists, and contacting experts for unpublished or missed studies. Full details of the searches are provided in online Supplementary Table 3.

Inclusion and exclusion criteria

Studies were included if they: were RCTs of adults (aged ≥ 16 years) with unipolar depression, or with depressive symptoms significant enough for them to seek treatment, or a CIS-R (Lewis *et al.*, 1992) score of ≥ 12 (the usual case definition for a common mental disorder); recruited from primary care; had at least one active treatment arm; and used the CIS-R at baseline.

Studies were excluded if they were studies of: patients with depression secondary to a diagnosis of personality disorder, psychotic conditions or neurological conditions; bipolar or psychotic depressions; children or adolescents; feasibility or were studies of adults with either depression or an anxiety disorder, rather than a primary depression with or without comorbid anxiety.

See Table 1 for details of the included studies.

Measures

The measures of depressive symptoms used to determine depressive 'symptom severity' and outcomes are noted in Table 1; details of all measures are given in online Supplementary Table 4.

Ethical considerations and trial registrations

All included studies were granted ethical approvals and all participants gave informed consent (online Supplementary Table 5). No additional NHS ethical approval was required for this study: HRA reference 712/86/32/81.

Data analysis plan

Details on determining study inclusion, data extraction, data handling and data management, risk of bias and study quality, secondary outcomes and sensitivity analyses, and results from these, are provided in online Supplementary materials.

Primary outcomes

The primary outcome was depressive symptoms at 3–4 months. This was captured in two ways: (1) z -score (standardised mean) of the scores on the four depressive symptom measures used at 3–4 months post-baseline in each study (Table 1). The score at 3–4 months was divided by the standard deviation for that measure calculated at 3–4 months. (2) The logarithm of depression scale scores irrespective of the measure used. Exponentiation of

the regression coefficient provides an estimate of the percentage difference in symptoms.

It was expected that these methods would give broadly similar results but that the log outcome might have greater clinical utility as percentage differences might be more easily understood and do not require division by standard deviation estimates.

Prognostic indicators under consideration

- (1) Depressive symptom severity at baseline taken as scores on the depressive symptom measures is detailed in Table 1.
- (2) Depressive 'disorder characteristics':

- the sum of the scores on the CIS-R anxiety subscales, and each individual subscale
- the number of comorbid common mental health disorders (CMDs), and each individual disorder
- the duration of depression
- the duration of anxiety individually and averaged across CIS-R anxiety subscales
- a history of depression
- a history of any previous treatment for depression
- a history of antidepressant treatment
- the degree of functional impairment
- alcohol misuse

Primary analyses

Two-stage random effects meta-analyses were conducted for each prognostic factor. This approach removes variance due to the different depressive symptom measures used across the studies, removes potential biases by separating within-study from between-study effects, and allows for more simple formations of forest plots and hence for the assessment of heterogeneity than one-stage approaches (Fisher, 2015; Fisher *et al.*, 2017). It does so by analysing effects within each study first, before aggregating across studies. One-stage approaches have been favoured in other IPD meta-analyses as they allow for more complex modelling (Cuijpers *et al.*, 2014; Weitz, Kleiboer, Van Straten, Hollon, & Cuijpers, 2017). However, as no complex modelling was necessary here, the two-stage approach was most suitable for the aims of the current study (Fisher, 2015).

There were three sets of variables adjusted for in models of each outcome built for each prognostic factor:

- (1) The 'disorder characteristic' adjusted for age, gender and the specific randomised treatment(s) in each study.
- (2) As in (1) with the addition of depressive symptom severity.
- (3) As in (2) with the addition of covariates specific to each prognostic indicator.

Covariates were added to the models above if they were: independently associated with the outcome and prognostic indicator; not multi-collinear with prognostic indicators in the model; not systematically missing and if they impacted the effect estimate for the association between prognostic indicator and outcome when included compared to when excluded from the model. Two factors considered *a priori* to be important covariates (age and gender) were controlled for in all models.

Final models were built with the primary outcomes adding each prognostic indicator to the model in order of magnitude of effect from model 3 (one-by-one), and removing those no longer significantly associated with prognosis (at the 5% significance level) after adding subsequent factors. If two items were

Table 1. Description of studies included in the IPD dataset

Study	N	Pragmatic RCT (Y/N)	Inclusion criteria	Age	Gender	T0 Depressive symptom severity	Remission	Interventions	Outcome measure
			Mean (s.d.)	Mean (s.d.)	% Female	Mean (s.d.)	% at 3–4 months		Primary (additional)
AHEAD (Kendrick et al., 2006)	327	Y	Adults with new depressive episodes diagnosed by GP	43.1 (15.4)	67	HADS depression = 10.5 (3.9)	62	TCA v. SSRI v. lofepramine	HADS (CIS-R)
CADET (Richards et al., 2013)	527	Y	Adults ≥ 18 , ICD-10 depressive episode	44.4 (13.2)	72	PHQ-9 = 17.7 (5.1)	41	Collaborative care v. TAU	PHQ-9
COBALT (Wiles et al., 2013)	469	Y	Adults 18–75 with treatment resistant depression, scoring ≥ 14 BDI-II	49.6 (11.7)	72	BDI-II = 31.8 (10.7)	34	CBT + TAU v. TAU	BDI-II (PHQ-9)
GENPOD (Wiles et al., 2012)	601	N	Adults 18–74 with depressive episode	38.8 (12.4)	68	BDI-II = 33.7 (9.7)	41	Citalopram v. reboxetine	BDI-II (HADS)
HEALTHLINES (Salisbury et al., 2016)	609	Y	Adults ≥ 18 , PHQ-9 score ≥ 10 , confirmed diagnosis of depression with CIS-R, internet access	49.5 (12.9)	69	PHQ-9 = 16.9 (4.6)	30	Healthlines telecare + TAU v. TAU	PHQ-9
IPCRESS (Kessler et al., 2009)	295	Y	Adults scoring ≥ 14 BDI-II and GP confirmed diagnosis of depression	34.9 (11.6)	68	BDI-II = 33.2 (8.8)	34	iCBT + TAU v. TAU + waiting list for iCBT	BDI-II
ITAS (Thomas et al., 2004)	798	Y	Adults ≥ 16 , scored ≥ 12 on CIS-R	43.2 (14.8)	68	GHQ = 7.7 (3.2)	N/A; at 6–8 months: 46%	Recommendation + TAU v. TAU	GHQ-12
MIR (Kessler et al., 2018)	480	Y	Adults ≥ 18 taking SSRIs or SNRIs at adequate dose for ≥ 6 weeks, and scored ≥ 14 on BDI-II	50.7 (13.2)	69	BDI-II = 31.1 (9.9)	30	Mirtazapine v. placebo	BDI-II (PHQ-9)
PANDA (Lewis et al., 2019)	652	Y	Adults presenting with low mood or depression to GP in last 2 years, free of ADM for 8 weeks up to baseline	39.7 (15.0)	59	BDI-II = 23.9 (10.3)	69	Sertraline v. placebo	PHQ-9 (BDI-II)
REEACT (Gilbody et al., 2015)	685	Y	Adults with PHQ-9 ≥ 10 presenting to GP with depression	39.9 (12.7)	67	PHQ-9 = 16.7 (4.3)	53	Moodgym v. beating the blues v. TAU	PHQ-9
RESPOND (Sharp et al., 2010)	220	Y	Women meeting criteria for MDD within 6-months post-partum	28.7 (6.4)	100	EPDS = 17.6 (3.4)	56	ADM v. listening intervention	EPDS
TREAD (Chalder et al., 2012)	361	Y	Adults 18–69 who met diagnostic criteria for MDD and scored ≥ 14 on BDI-II	39.8 (12.6)	66	BDI-II = 32.1 (9.2)	35	Physical activity + TAU v. TAU	BDI-II

ADM, antidepressant medication; BDI-II, Beck Depression Inventory; EPDS, Edinburgh Postnatal Depression Scale; GHQ-12, General Health Questionnaire 12 item version; HADS-D, Hospital Anxiety and Depression Scale-depression subscale; iCBT, internet based therapist delivered cognitive behavioural therapy; MDD, major depressive disorder; T0, baseline; TAU, treatment as usual; TCA, tricyclic antidepressant.

highly collinear the one contributing least to the model was removed. In the final models, ordinal variables were re-categorised to assess the associations with prognosis in clinically meaningful groups (e.g. duration items were re-categorised into durations at baseline of less than or equal to 1 year, and greater than 1 year). The explanatory utility of the final models was assessed by considering the amount of variance in depressive symptom scale scores at 3–4 months explained by the models when adding each variable one-by-one, using the adjusted R^2 statistic; for details of how this was calculated see online Supplementary materials.

Meta-analyses were conducted using DerSimonian and Laird random effects models with the 'ipdmetan' package in Stata (Fisher, 2015). For the z -score and log outcomes at 3–4 months and 6–8 months (secondary outcome) linear regression models were fitted. Logistic models were fitted for remission (secondary outcome). The degree of heterogeneity was assessed using prediction intervals and its impact was assessed using the I^2 statistic (Higgins, Thompson, Deeks, & Altman, 2003).

Results

Characteristics of the included studies

In total, 13 RCTs met inclusion criteria (Fig. 1). Data were not available for one study (Mynors-wallis, Gath, Day, & Baker, 2000). Descriptions of the included studies are given in Table 1. Risk of bias was low in all studies and quality was rated as high (online Supplementary Tables 6 and 7).

A key question in this study was whether or not adjusting for depressive symptom severity ameliorates the associations between depressive 'disorder characteristics' and prognosis independent of treatment, therefore descriptive statistics are presented stratified by a median split of depressive symptom severity (Table 2). Means and standard deviations in each strata are presented across all studies. Those with higher depressive symptom severity were more likely to have: identified as female; more comorbid mental health problems; longer durations of their mental health problems; lower social support; lower health-related quality of life; more adverse life events and greater social disadvantages, than those with lower baseline scores (Table 2).

The association between depressive symptom severity and prognosis independent of treatment

Overall, depressive symptom severity was strongly associated with prognosis at 3–4 months post-baseline. On average, scores at 3–4 months were approximately 31% higher per standard deviation increase in depressive symptoms at baseline (Table 3).

Associations between each potential depressive 'disorder characteristic' and prognosis

All depressive 'disorder characteristics' studied here were associated with prognosis at 3–4 months post-baseline independent of treatment, apart from a comorbid diagnosis of specific phobias, and hazardous alcohol misuse (Table 3). However, after adjustment for baseline depressive symptom severity, there was only evidence of a few 'disorder characteristics' being associated with prognosis. Patients with longer durations of depression or of anxiety had poorer prognoses than those with shorter durations. Similarly, patients with a history of depression or treatment for

depression had poorer prognoses than those without such histories. However, there was no evidence that functional impairment or most comorbid diagnoses were associated with prognosis after adjusting for depressive symptom severity and covariates (model 3), with the exception of Chronic Fatigue Syndrome, and Panic Disorder.

Findings were consistent when using the z -score and log outcomes with one exception in model 3: using the z -score there was some evidence that each of the three variables capturing history of depression were associated with prognosis, but no such evidence when using the log outcome.

Independent associations between depressive 'disorder characteristics' and prognosis

Many 'disorder characteristics' were missing in two studies (Kendrick *et al.*, 2006; Salisbury *et al.*, 2016). The difference when including or excluding those studies on the effects of variables that were not systematically missing in any study were negligible, see online Supplementary materials. These studies were therefore removed from further primary analyses.

There was only evidence of an association with prognosis for six 'disorder characteristics' after adjusting for treatment, depressive symptom severity, covariates and other 'disorder characteristics' (online Supplementary Fig. 1). The associations for these six factors were similar across studies with potentially different populations, e.g. in those with 'treatment resistant depression' (COBALT), those with apparently less severe depression at baseline (PANDA) and those with postnatal depression (RESPOND); see online Supplementary Fig. 1.

Four 'disorder characteristics' were included in the final models in addition to depressive symptom severity (Table 4): duration of depression, average duration of anxiety symptoms, comorbid panic disorder and a history of antidepressant treatment. Although the latter was only significantly associated with prognosis when using the z -score outcome, when removing two studies with little variability in this factor due to their inclusion criteria (COBALT and MIR – see Table 1) there was greater evidence for an effect with the log outcome: 6.3% (95% CI: 0.3–12.7). It is noteworthy too that there was 0% heterogeneity in this effect, so there were no substantive differences in the association for studies that randomised to antidepressant treatments and those that did not. The sum of the anxiety subscale scores on CIS-R, and a history of any previous treatment for depression could be included in the final model in place of the average duration of anxiety and a history of antidepressant treatment, respectively, although had weaker associations with outcomes than those retained in the final models (online Supplementary Table 12).

Patients that had durations of depression and anxiety greater than 1 year, had comorbid panic disorder and a history of antidepressant treatment, i.e. those in the 'high severity' category on the above variables ($n = 220$), had on average 36.3% (95% CI: 12.4–65.2) higher scores at 3–4 months than patients with none of the above ($n = 707$). Adding all four 'disorder characteristics' to models in addition to depressive symptom severity led to substantial gains in the variance explained in the primary outcomes, which increased with each factor added (online Supplementary Table 10).

Discussion

In this systematic review with IPD meta-analyses it was found that depressive symptom severity was strongly associated with

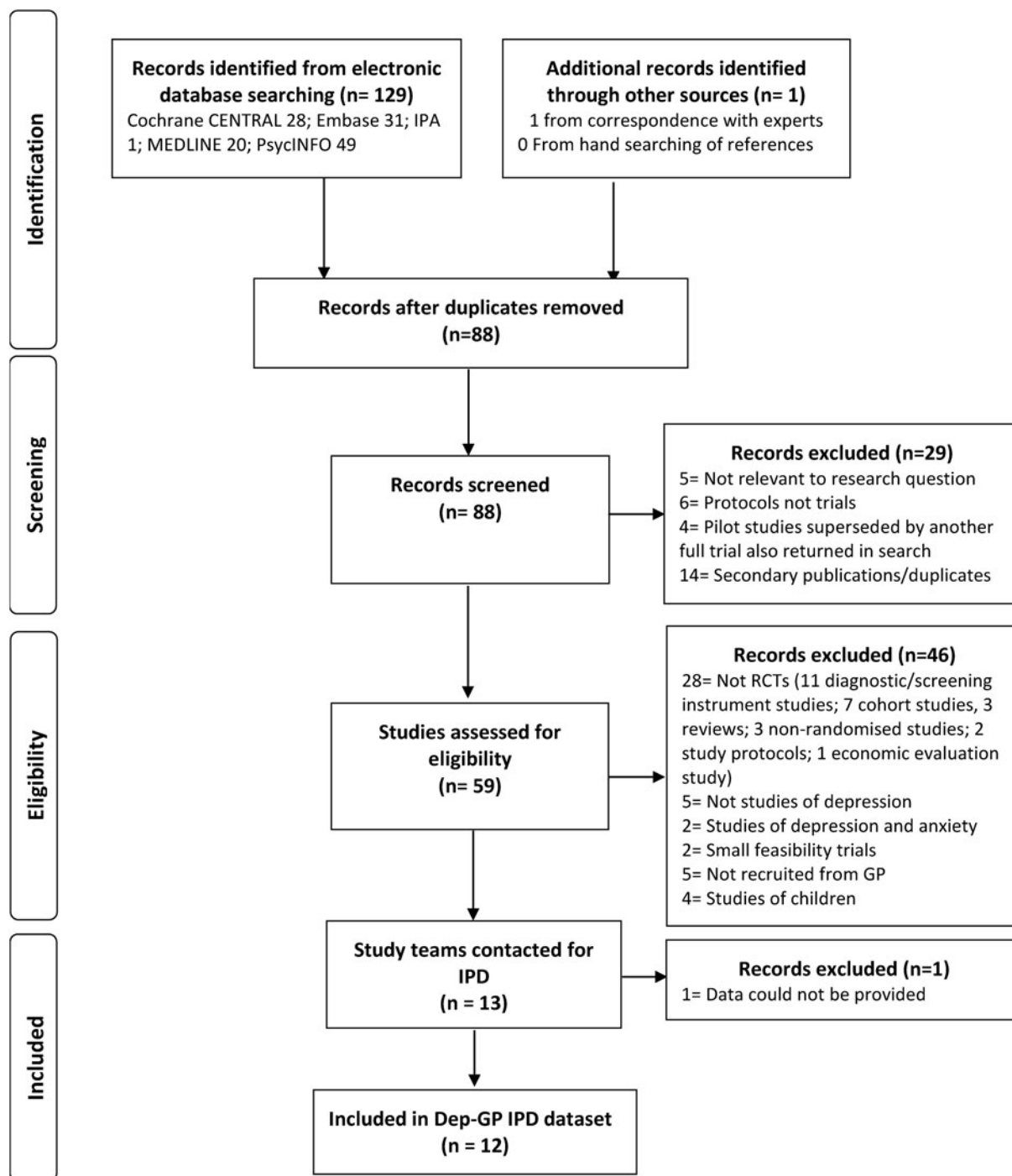


Fig. 1. Flowchart of studies through selection process for IPD meta-analysis.

prognosis independent of treatment. Depressive symptom scale scores were on average 31% higher at 3–4 months, 33% higher at 6–8 months and the odds of remission at 3–4 months were approximately halved, for every standard deviation increase in baseline depressive symptoms. Absolute differences were also assessed: for every 11-point increase in BDI-II scores at baseline, scores were about 7 points higher at 3–4 months on average, and for the studies that used the PHQ-9 for each 5-point increase at baseline scores approximately 5 points higher at 3–4 months.

Nearly all ‘disorder characteristics’ were associated with prognosis independent of treatment but only a handful were

associated with prognosis independent of depressive symptom severity. This illustrates the importance of adjusting for baseline depression symptom severity when investigating prognosis of depression. The factors independently associated with prognosis were: duration of depression; average duration of anxiety (or severity of anxiety symptoms); comorbid panic disorder and a history of antidepressant treatment (or history of any treatment for depression). The history of treatment variables were not as consistently associated with outcomes as the other factors we identified and the association was relatively small.

Table 2. Baseline characteristics of Dep-GP sample stratified by median split of baseline z-score of depressive symptom scale scores using complete data

Self-reported baseline characteristics		Factor	Low symptom severity	High symptom severity	χ^2 or <i>t</i> test
			<i>N</i> (%) or mean (s.d.)	<i>N</i> (%) or mean (s.d.)	<i>p</i> value
Total			2978 (50)	3033 (50)	
Age			44.0 (14.6)	41.4 (13.6)	<0.0001
Gender	Female		2005 (67%)	2127 (70%)	0.02
	Male		973 (33%)	906 (30%)	
	Other		0	0	
Ethnicity	White		2262 (94%)	2319 (93%)	0.32
	Non-White		143 (6%)	165 (7%)	
Employment status	Employed		1574 (50%)	1413 (49%)	<0.0001
	Not seeking employment		817 (29%)	749 (26%)	
	Unemployed		385 (14%)	739 (26%)	
Marital status	Married/cohabiting		1333 (55%)	1231 (47%)	<0.0001
	Single		663 (27%)	852 (32%)	
	No longer married		437 (18%)	557 (21%)	
Educational attainment	Degree or higher		691 (32%)	469 (23%)	<0.0001
	A-level or Diplomas		529 (25%)	518 (25%)	
	GCSE		634 (30%)	690 (33%)	
	None or other		289 (14%)	409 (20%)	
Financial status	Doing OK		957 (52%)	581 (32%)	<0.0001
	Just about getting by		573 (31%)	597 (33%)	
	Struggling		322 (17%)	616 (34%)	
Housing status	Home owner		1359 (56%)	1130 (44%)	<0.0001
	Tenant		789 (33%)	1126 (44%)	
	Other		263 (11%)	326 (13%)	
Long-term conditions	No		1653 (75%)	1773 (73%)	0.10
	Yes		539 (25%)	646 (27%)	
Social support	Mean (s.d.)		21.3 (3.3)	19.2 (4.1)	<0.0001
Number of recent life events	Mean (s.d.)		1.4 (1.3)	1.8 (1.5)	<0.0001
AUDIT-PC score	Mean (s.d.)		2.8 (3.0)	2.7 (3.2)	0.71
Hazardous alcohol misuse	No		1224 (79%)	1146 (78%)	0.41
	Yes		327 (21%)	329 (23%)	
EQ5D index score	Mean (s.d.)		0.7 (0.2)	0.7 (0.2)	<0.0001
History of depression	No		815 (29%)	736 (23%)	0.0004
	Yes		1995 (71%)	2415 (77%)	
History of antidepressants	No		1073 (38%)	1035 (33%)	0.0001
	Yes		1739 (62%)	2120 (67%)	
Any past treatment	No		964 (39%)	940 (32%)	<0.0001
	Yes		1519 (61%)	1963 (68%)	
CIS-R total score	Mean (s.d.)		21.9 (8.1)	31.3 (8)	<0.0001

(Continued)

Table 2. (Continued.)

Self-reported baseline characteristics	Factor	Low symptom severity	High symptom severity	χ^2 or <i>t</i> test
		<i>N</i> (%) or mean (s.d.)	<i>N</i> (%) or mean (s.d.)	<i>p</i> value
Functional impairment	No impairment	344 (14%)	124 (5%)	<0.0001
	Things more difficult but get everything done	1184 (49%)	902 (34%)	
	Impaired in one activity	376 (15%)	394 (15%)	
	Impaired in more than one activity	533 (22%)	1223 (46%)	
		Mean (s.d.)	Mean (s.d.)	
CIS-R scores	Compulsions	0.6 (1.0)	1.0 (1.3)	<0.0001
	Concentration	1.7 (1.4)	2.4 (1.5)	<0.0001
	Depression	2.3 (1.2)	3.2 (1.0)	<0.0001
	Depressive thoughts	2.5 (1.4)	3.5 (1.1)	<0.0001
	Fatigue	3.0 (1.1)	3.3 (0.9)	<0.0001
	Generalised anxiety	1.8 (1.4)	2.5 (1.5)	<0.0001
	Health anxiety	0.8 (1.1)	1.3 (1.3)	<0.0001
	Irritability	2.0 (1.3)	2.6 (1.3)	<0.0001
	Obsessions	1.0 (1.5)	1.5 (1.7)	<0.0001
	Panic	0.4 (1.0)	1.0 (1.4)	<0.0001
	Phobias	0.9 (1.1)	1.5 (1.4)	<0.0001
	Sleep	1.9 (1.2)	2.6 (1.2)	<0.0001
	Somatic concerns	1.3 (1.4)	1.8 (1.4)	<0.0001
	Worry	2.1 (1.4)	2.8 (1.2)	<0.0001
Duration of symptoms (CIS-R)	Compulsions	1.2 (1.8)	1.5 (1.9)	<0.0001
	Concentration	2.6 (1.6)	3.1 (1.4)	<0.0001
	Depression	3.3 (1.4)	3.5 (1.3)	<0.0001
	Fatigue	3.1 (1.4)	3.3 (1.3)	<0.0001
	Generalised anxiety	2.3 (1.9)	2.5 (1.9)	0.0001
	Health anxiety	1.8 (1.9)	2.3 (1.9)	<0.0001
	Irritability	2.7 (1.6)	3.0 (1.5)	<0.0001
	Obsessions	1.2 (1.8)	1.7 (1.9)	<0.0001
	Panic	0.6 (1.4)	1.4 (1.9)	<0.0001
	Phobias	1.2 (1.9)	2.0 (2.1)	<0.0001
	Sleep	2.7 (1.8)	3.1 (1.6)	<0.0001
Average anxiety duration	Somatic concerns	2.3 (1.8)	2.7 (1.7)	<0.0001
	Worry	3.0 (1.6)	3.2 (1.4)	<0.0001
Average anxiety duration		1.8 (0.9)	2.2 (1.0)	<0.0001
Number of comorbid CMHDs		1.5 (1.0)	2.3 (1.1)	<0.0001

Note: Numbers do not add up to total *N* due to missing data.

There was a lack of evidence for an independent association between functional impairment and prognosis. Functional impairment has been found to be indicative of treatment response for people with either depression or anxiety disorders (Delgadillo, Moreea, & Lutz, 2016; Saunders, Buckman, & Pilling, 2020; Saunders, Cape, Fearon, & Pilling, 2016), so the single item

used to capture it here might be insufficient. There was also a lack of evidence to support an association between hazardous alcohol misuse and prognosis, this is in line with previous study that has found it to be related to dropping out of treatment but not to treatment outcomes apart from when patients are alcohol dependent (Boschloo et al., 2012; Buckman et al., 2018a, b).

Table 3. Outcomes at 3–4 months ('mean difference' in z-score of depressive symptoms, and percentage difference (%) in depressive symptoms) per unit increase in baseline prognostic indicators

Prognostic indicator	Adjusted for treatment, age and gender ^a				Additionally adjusted for depressive symptom severity ^b				Additionally adjusted for employment status and/or marital status ^c			
	Mean difference (95% CI)	<i>I</i> ²	% (95% CI)	<i>I</i> ²	Mean difference (95% CI)	<i>I</i> ²	% (95% CI)	<i>I</i> ²	Mean difference (95% CI)	<i>I</i> ²	% (95% CI)	<i>I</i> ²
Depressive symptom severity	0.44 (0.41–0.47)	16	30.7 (24.9–36.8)	78	0.44 (0.41–0.47)	16	30.7 (24.9–36.8)	78				
CIS-R total score	0.04 (0.03–0.04)	23	13.1 (10.9–15.4)	62	0.02 (0.01–0.02)	48	6.2 (3.9–8.5)	50				
Depressive subscales total ^d	0.09 (0.08–0.11)	71	27.7 (21.0–34.7)	69	0.04 (0.02–0.05)	40	10.0 (5.0–15.1)	42	0.03 (0.02–0.05)	46	8.2 (3.0–13.7)	45
Anxiety subscales total ^e	0.04 (0.03–0.05)	76	13.5 (10.0–17.1)	71	0.01 (0.01–0.02)	69	4.8 (1.8–8.0)	57	0.01 (0.00–0.02)	68	4.5 (1.4–7.7)	55
Compulsions score	0.13 (0.10–0.17)	44	8.9 (5.8–12.2)	61	0.05 (0.01–0.09)	59	3.6 (0.5–6.8)	63				
Compulsions duration ^d	0.06 (0.04–0.09)	44	4.3 (2.5–6.2)	49	0.03 (0.00–0.05)	43	1.8 (0.1–3.5)	45	0.02 (0.00–0.04)	31	1.7 (0.2–3.3)	35
Concentration score	0.16 (0.11–0.20)	72	9.8 (6.5–13.3)	69	0.05 (0.02–0.07)	0	2.7 (1.0–4.5)	0				
Concentration duration	0.12 (0.09–0.16)	62	8.2 (5.5–10.9)	61	0.06 (0.03–0.09)	49	4.0 (2.0–6.0)	37				
Depression score ^f	0.2 (0.15–0.25)	67	14.0 (10.6–17.5)	55	0.04 (0.01–0.08)	42	3.9 (1.4–6.4)	19	0.03 (–0.01 to 0.07)	42	3.1 (0.2–6.1)	22
Depressive thoughts score ^d	0.22 (0.18–0.25)	52	15.5 (12.2–18.9)	52	0.08 (0.06–0.11)	6	6.3 (3.9–8.8)	19	0.07 (0.04–0.10)	8	4.9 (2.5–7.4)	10
Depression duration ^d	0.15 (0.12–0.18)	27	10.2 (7.4–13.2)	51	0.09 (0.06–0.12)	49	6.6 (4.0–9.3)	49	0.08 (0.05–0.11)	46	5.9 (3.2–8.6)	49
Fatigue score ^d	0.09 (0.03–0.14)	70	6.6 (1.7–11.7)	74	0.03 (0.00–0.07)	30	3.2 (0.2–6.3)	37	0.03 (0.00–0.07)	21	3.2 (0.1–6.3)	31
Fatigue duration	0.12 (0.09–0.15)	35	8.1 (5.3–11.0)	52	0.08 (0.05–0.10)	28	5.7 (3.6–7.9)	25				
Generalised anxiety score ^d	0.10 (0.07–0.13)	33	6.5 (4.4–8.7)	42	0.02 (0.00–0.04)	1	1.3 (–0.3 to 3.0)	7	0.02 (0.00–0.04)	13	1.5 (–0.2 to 3.2)	10
Generalised anxiety duration	0.06 (0.04–0.08)	0	4.4 (2.6–6.3)	32	0.03 (0.01–0.05)	0	2.5 (1.0–4.0)	14				
Health anxiety score ^d	0.15 (0.12–0.18)	41	10.0 (8.0–12.1)	0	0.07 (0.04–0.10)	30	4.3 (2.2–6.5)	18	0.05 (0.02–0.08)	26	3.3 (0.9–5.7)	28
Health anxiety duration ^f	0.08 (0.06–0.09)	1	5.5 (4.2–6.8)	0	0.04 (0.02–0.05)	23	2.8 (1.6–4.0)	1	0.03 (0.01–0.04)	0	2.2 (1.0–3.4)	0
Irritability score ^f	0.09 (0.06–0.11)	34	6.5 (4.5–8.5)	0	0.00 (–0.02 to 0.02)	0	0.7 (–1.3 to 2.7)	10	0.01 (–0.02 to 0.03)	9	1.2 (–0.8 to 3.2)	0
Irritability duration ^e	0.07 (0.04–0.10)	49	5.6 (3.3–8.1)	45	0.03 (0.00–0.06)	53	3.1 (0.8–5.4)	48	0.04 (0.01–0.07)	56	3.3 (0.9–5.7)	50
Obsessions score	0.06 (0.04–0.09)	41	3.5 (1.3–5.6)	52	0.01 (–0.02 to 0.04)	50	0.2 (–1.7 to 2.1)	47				
Obsessions duration	0.05 (0.03–0.07)	0	3.0 (1.4–4.7)	35	0.01 (0.00–0.03)	17	0.7 (–0.8 to 2.2)	34				
Panic score ^e	0.13 (0.07–0.19)	82	8.6 (5.0–12.3)	72	0.05 (0.00–0.09)	72	3.1 (0.3–5.9)	55	0.05 (0.00–0.1)	74	3.1 (0.1–6.2)	59
Panic duration ^d	0.10 (0.08–0.13)	45	6.8 (4.7–8.9)	53	0.05 (0.02–0.07)	36	3.1 (1.4–4.8)	34	0.04 (0.02–0.06)	33	2.6 (1.0–4.3)	35
Phobias score ^d	0.15 (0.10–0.20)	75	10.0 (6.7–13.4)	62	0.06 (0.02–0.11)	64	4.1 (1.4–6.8)	46	0.04 (0.01–0.08)	53	2.9 (0.5–5.4)	32
Phobias duration ^f	0.08 (0.05–0.11)	70	5.6 (3.5–7.7)	63	0.03 (0.01–0.05)	56	2.3 (0.7–3.9)	40	0.02 (0.00–0.05)	52	1.9 (0.4–3.4)	35
Sleep score ^f	0.13 (0.11–0.16)	25	8.3 (6.5–10.1)	45	0.04 (0.01–0.06)	1	2.6 (0.9–4.3)	0	0.02 (0.00–0.05)	10	1.8 (–0.2 to 3.8)	2
Sleep duration ^d	0.10 (0.08–0.13)	35	7.2 (5.1–9.2)	44	0.06 (0.03–0.09)	54	4.6 (2.5–6.7)	51	0.05 (0.03–0.08)	45	4.0 (2.1–6.0)	45
Somatic score ^f	0.10 (0.07–0.13)	50	6.6 (4.1–9.2)	47	0.05 (0.02–0.07)	5	3.2 (1.5–4.8)	0	0.04 (0.02–0.06)	0	2.7 (1.0–4.4)	0
Somatic duration ^f	0.09 (0.07–0.11)	0	6.6 (5.2–8.1)	0	0.05 (0.04–0.07)	0	4.3 (2.9–5.7)	0	0.05 (0.03–0.06)	0	3.8 (2.5–5.2)	0

Worry score ^d	0.11 (0.08–0.14)	36	7.0 (4.9–9.2)	24	0.02 (–0.01 to 0.04)	18	0.9 (–0.8 to 2.7)	0	0.02 (–0.01 to 0.04)	6	0.8 (–1.0 to 2.7)	0
Worry duration	0.09 (0.07–0.11)	0	6.6 (4.6–8.6)	21	0.05 (0.03–0.07)	0	3.7 (2.0–5.4)	0				
Average duration of anxiety ^f	0.26 (0.21–0.31)	58	19.6 (14.6–24.8)	67	0.13 (0.08–0.18)	53	10.3 (6.2–14.5)	51	0.11 (0.07–0.16)	45	9.2 (5.4–13.2)	47
Number of comorbid CMDs ^f	0.21 (0.12–0.29)	87	14.5 (8.5–20.8)	82	0.06 (0.00–0.12)	70	4.6 (0.6–8.7)	56	0.05 (–0.01 to 0.11)	72	4.1 (–0.0 to 8.3)	60
Agoraphobia ^d	0.34 (0.19–0.49)	51	25.7 (16.5–35.7)	11	0.14 (0.02–0.26)	30	10.4 (3.1–18.2)	0	0.09 (–0.03 to 0.20)	25	6.0 (–1.1 to 13.5)	0
CFS ^e	0.31 (0.20–0.43)	64	26.1 (15.6–37.5)	60	0.08 (0.00–0.15)	20	9.8 (4.0–15.9)	0	0.09 (0.01–0.17)	29	10.8 (4.8–17.2)	5
GAD	0.24 (0.18–0.31)	4	17.5 (12.0–23.4)	0	0.04 (–0.02 to 0.10)	0	4.0 (–0.8 to 9.1)	4				
MADD	–0.24 (–0.30 to –0.18)	54	–12.5 (–16.8 to –8.0)	59	–0.05 (–0.12 to 0.01)	0	–2.3 (–7.1 to 2.8)	28				
OCD	0.34 (0.22–0.46)	30	21.5 (13.1–30.6)	9	0.01 (–0.10 to 0.12)	19	–1.3 (–9.2 to 7.3)	29				
Panic disorder	0.41 (0.19–0.64)	72	33.1 (19.1–48.7)	48	0.21 (0.07–0.34)	34	15.0 (6.9–23.7)	0				
Social phobia	0.24 (0.08–0.39)	55	18.3 (6.9–30.8)	47	0.10 (–0.02 to 0.22)	36	8.1 (–0.2 to 17.0)	20				
Specific phobias ^d	0.08 (–0.01 to 0.17)	0	6.3 (–0.0 to 13.0)	0	–0.04 (–0.12 to 0.04)	0	–1.2 (–6.8 to 4.9)	0	–0.02 (–0.10 to 0.06)	0	0.2 (–5.5 to 6.3)	0
History of depression ^f	0.19 (0.12–0.26)	42	8.0 (2.7–13.5)	59	0.11 (0.05–0.17)	30	3.3 (–1.5 to 8.3)	55	0.09 (0.02–0.16)	13	2.6 (–2.6 to 8.2)	53
History of antidepressants	0.17 (0.10–0.25)	20	8.5 (1.9–15.5)	43	0.10 (0.03–0.17)	24	3.7 (–2.1 to 9.9)	40				
Any past treatment	0.19 (0.13–0.26)	0	10.2 (3.9–17.0)	24	0.11 (0.05–0.18)	13	5.2 (–0.7 to 11.4)	26				
Functional impairment ^f	0.27 (0.15–0.39)	72	20.7 (11.3–30.9)	63	0.04 (–0.05 to 0.14)	58	4.6 (–2.4 to 12.0)	48	0.02 (–0.07 to 0.11)	54	2.8 (–3.9 to 9.9)	46
Hazardous alcohol misuse ^e	0.06 (–0.06 to 0.19)	31	7.2 (–4.1 to 19.9)	45	0.01 (–0.09 to 0.10)	0	3.3 (–5.6 to 12.92)	28	0.00 (–0.09 to 0.09)	0	2.7 (–5.9 to 12.0)	24

^aAdjusted for treatment allocation, age and gender only.

^bAdjusted for baseline depression scale z-score, age, gender and treatment allocation.

^cAdditionally adjusted for.

^dEmployment status.

^eMarital status.

^fEmployment status and marital status.

Table 4. Association of prognostic indicators with outcomes (mean difference in z-score of depressive symptoms and percentage difference (%) in depressive symptoms) after adjusting for disorder characteristics

Prognostic indicator	High on factor/present N (%)	Independent of treatment and depressive symptom severity ^a			Additionally adjusted for 'disorder characteristics' ^b		
		Mean difference (95% CI) ^c	I ²	% difference ^d	Mean difference (95% CI) ^c	I ²	% difference ^d
Depressive symptom severity and covariates	2364 (55.10)	0.42 (0.38–0.77)	17	29.9 (22.7–37.6)	0.38 (0.34–0.41)	0	26.3 (19.9–33.0)
Depression duration ^e	2005 (46.80)	0.18 (0.10–0.23)	29	14.6 (5.9–24.1)	0.15 (0.08–0.22)	3	11.5 (3.5–20.1)
Average anxiety duration ^e	2780 (64.70)	0.18 (0.09–0.22)	54	14.2 (6.5–22.3)	0.12 (0.04–0.21)	37	9.8 (3.6–16.4)
Panic disorder	399 (9.30)	0.18 (0.06–0.18)	22	12.5 (4.8–20.8)	0.15 (0.03–0.26)	10	9.1 (1.5–17.2)
History of antidepressants	2787 (65.00)	0.09 (0.03–0.09)	0	4.5 (–0.7 to 10.0)	0.08 (0.01–0.14)	0	3.3 (–1.9 to 8.6)

^aAdjusted for depressive symptom severity, treatment allocation, age, gender, employment status and marital status.

^bAdjusted for depressive symptom severity, depression duration, average anxiety duration, history of antidepressants, treatment allocation, age, gender, employment status and marital status. All models excluded data from AHEAD and HEALTHLINES.

^cUsing z-score at 3–4 months as the outcome.

^dUsing the natural log of the depressive symptom scale scores at 3–4 months.

^eDichotomised to less than or equal to 1-year, and greater than 1-year duration.

Findings in context

This study provides confirmation that depressive symptom severity is the strongest indicator of prognosis independent of treatment. A number of other studies have found symptom severity to be associated with outcomes but none have considered the association independent of a broad range of commonly available treatments in primary care settings. In addition, given the sample size in this IPD meta-analysis this study was able to address the question of the strength and the clinical importance of the association between symptom severity and prognosis with greater precision than has been possible in other studies (Fournier et al., 2010; Johnsen & Friberg, 2015; Weitz et al., 2015). This study was also the first to comprehensively investigate associations between 'disorder characteristics' and prognosis independent of depressive symptom severity. There had been some suggestion from past studies that the duration of depression might be associated with prognosis (Carter et al., 2012; DeRubeis et al., 2014; Fournier et al., 2009; Lorenzo-Luaces et al., 2020; Noma et al., 2019) although there were inconsistencies and contradictory findings in past reviews (see online Supplementary Table 2) (Dodd et al., 2014). In addition, there was limited evidence that comorbid anxiety (Carter et al., 2012; Chekroud et al., 2016) and a history of antidepressant use (Chekroud et al., 2016; Nakabayashi et al., 2018; Saunders et al., 2016) may be associated with outcomes from antidepressant treatments but perhaps not other types of treatment. Here, these were found to be associated with prognosis independent of treatment type, and two novel prognostic factors were also found: the average duration of anxiety problems and comorbid panic disorder.

Strengths and limitations

There are a number of strengths of the current study. A large dataset was assembled with approximately 98% of the participants in all eligible studies. Over 6000 participants were assessed with the most commonly used comprehensive measure of depressive and anxiety disorders in depression RCTs set in primary care: the (CIS-R), this provided a broad range of prognostic factors to investigate and removed potential biases in harmonising data (Siddique et al., 2015; Weitz et al., 2017). All studies recruited those that had sought treatment in naturalistic, primary care settings. Follow-up rates were generally good and missing data at follow-up had little influence on the findings. A wide range of treatments were used within the randomised studies, including antidepressants, cognitive behavioural therapy of high and low intensities, physical activity and supportive counselling. Causal relationships were not the focus of the current study so confounding was not particularly relevant, but adjustments were able to be made for a number of baseline covariates, adding robustness to the findings. A variety of methods were adopted to assess outcomes and these led to very similar findings, further supporting the conclusions of the current study.

The samples included in this review had been recruited to participate in RCTs, and only studies recruiting in the UK met the inclusion criteria, perhaps due to the use of CIS-R, which may be less familiar to investigators outside the UK. Although it was more commonly used than other clinical interviews, it might have been possible to include studies using those less commonly used interviews too, and then have conducted subgroup analyses per-measure to address issues of harmonising biases. That notwithstanding, there were a number of studies that used the

CIS-R and were conducted outside the UK, and a number of studies that used other clinical interviews returned in the scoping searches or full searches for this review, however they often did not meet other inclusion criteria for this review (Husain et al., 2014; Patel et al., 2003). The inclusion of only RCTs and only those that used the CIS-R may have led to a biased sample of all patients with depression and could limit the generalisability of the findings. However, 11 of the 12 studies were pragmatic trials and recruited adults with new episodes of depression, so the participants should be representative of other depressed patients presenting to general practitioners/physicians and psychiatrists across the world. Furthermore, 11 of the 12 studies recruited participants that had actively sought treatment for depression; the other used a variety of methods including recruiting participants as they sought treatment but also calling those that had sought treatment for depression over the previous 2 years pre-baseline and asking if they were willing to be randomised to receive treatment for depression, or a placebo (Lewis et al., 2019). The uniformity of the setting offers an improvement in the extant literature in which there has been limited information about from where participants were recruited (Dal-Ré, Janiaud, & Ioannidis, 2018). Furthermore, there is nothing to suggest that the samples drawn from UK primary care are substantially different to samples of adults with depression seeking treatment elsewhere, and the treatments used in the included studies are common in many countries.

The data on duration were self-reported and relied upon a retrospective judgement; that is likely to have increased measurement error. It is possible that those with more depressive symptoms reported longer durations of illness because of negative cognitive biases, but adjustments were made for baseline depressive symptoms minimising such bias. In any case, knowing that reported duration is a prognostic factor might be of clinical value even if this could be partly influenced by symptom severity (Lorenzo-Luaces et al., 2020).

Heterogeneity in some of the associations was high when considering the I^2 statistic, in the study protocol it was specified that sensitivity analyses would be run where I^2 was above 75% for all factors or above 50% for factors that included in the final models or if there were clear differences between the effects across the studies included in the IPD. More conservative limits for heterogeneity could have been set, but given that none of the sensitivity analyses substantively changed the findings related to any of the prognostic indicators and given that all models were run with random effects for study, it seems unlikely that this would have had a meaningful impact on the results presented here.

Implications and conclusions

The differences in prognosis observed here were compared with a published estimate for the minimally important clinical difference. Previous study has suggested this is approximately 17.5% in terms of BDI-II scores (Button et al., 2015). The finding that one standard deviation increase in baseline depressive symptoms led to an approximate 31% difference at endpoint therefore suggests that such a change is clinically important. Four additional factors: the duration of anxiety; duration of depression; comorbid panic disorder and a history of antidepressant treatment were also independently associated with poorer prognosis. These depressive 'disorder characteristics' are not likely to be associated with clinically important differences when considered alone, but they might be when considered concurrently. For example, those in

the 'high severity' category of all four factors had outcome symptom scores 36% higher than those in the lowest category. Although this only applied to a small proportion of the patients more than 86% in this sample were in the 'high severity' category on at least two of these factors. It may therefore be important for clinicians to assess for all of these factors routinely, pre-treatment. All could be easily captured in clinic or with brief online questionnaires. Assessment of these factors would improve clinicians' ability to predict prognosis.

Future research should ascertain what other factors are informative for prognosis after accounting for depressive 'disorder characteristics', whether effects are informative for treatment selection, and whether earlier or more intensive treatments, and more frequent reviews for those likely to have poor outcomes help mitigate these problems, and conversely whether more conservative management is sufficient for those with better prognoses.

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Ethical standards. The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national and institutional committees on human experimentation and with the Helsinki Declaration of 1975, as revised in 2008. The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national and institutional guides on the care and use of laboratory animals.

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