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[Intervention Review]

Proton pump inhibitors for chronic obstructive pulmonary disease

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ABSTRACT

Background

Chronic obstructive pulmonary disease (COPD) is a common and progressive disease characterised by chronic cough, airflow limitation and recurrent exacerbations. Since COPD exacerbations are linked to rising mortality and reduced quality of life, the condition poses a substantial burden on individuals, society and the healthcare system. Effective management of COPD exacerbations that includes treatment of related conditions in people with COPD is thus recognised as a relevant clinical question and an important research topic. Gastroesophageal reflux disease (GERD) is a known comorbidity of COPD, and pulmonary microaspiration of gastric acid is thought to be a possible cause of COPD exacerbations. Therefore, reducing gastric acid secretion may lead to a reduction in COPD exacerbations. Proton pump inhibitors (PPIs) are one of the most commonly prescribed medications and are recommended as first-line therapy for people with GERD because of their inhibitory effects on gastric acid secretion. Treatment with PPIs may present a viable treatment option for people with COPD.

Objectives

To evaluate the efficacy and safety of PPI administration for people with COPD, focusing on COPD-specific outcomes.

Search methods

We searched the Cochrane Airways Register of Trials and conventional clinical trial registers from inception to 22 May 2020. We also screened bibliographies of relevant studies.

Selection criteria

Parallel-group and cluster-randomised controlled trials (RCTs) that compared oral PPIs versus placebo, usual care or low-dose PPIs in adults with COPD were eligible for inclusion. We excluded cross-over RCTs, as well as studies with a duration of less than two months.

Data collection and analysis

Two independent review authors screened search results, selected studies for inclusion, extracted study characteristics and outcome data, and assessed risk of bias according to standard Cochrane methodology. We resolved discrepancies by involving a third review author. Primary outcomes of interest were COPD exacerbations, pneumonia and other serious adverse events. Secondary outcomes were quality of life, lung function test indices, acute respiratory infections and disease-specific adverse events. We extracted data on these outcome measures and entered into them into Review Manager software for analysis.

Main results

The search identified 99 records, and we included one multicentre RCT that randomised 103 adults with COPD. The 12-month RCT compared an oral PPI (lansoprazole) and usual care versus usual care alone. It was conducted at one tertiary care hospital and three secondary care hospitals in Japan. This study recruited participants with a mean age of 75 years, and excluded people with symptoms or history of GERD. No placebo was used in the usual care arm.

Among the primary and secondary outcomes of this review, the study only reported data on COPD exacerbations and acute respiratory infections (the common cold). As we only included one study, we could not conduct a meta-analysis.

The included study reported that 12 of the 50 people on lansoprazole had at least one exacerbation over a year, compared to 26 out of 50 on usual care (risk ratio 0.46, 95% CI 0.26 to 0.81). The frequency of COPD exacerbations per person in a year was also lower in the PPI plus usual care group than in the usual care alone group (0.34 ± 0.72 vs 1.18 ± 1.40 ; $P < 0.001$). The number of people with at least one cold over the year was similar in both groups: 26 people on lansoprazole and 27 people in the usual care group. We judged the evidence to be of low to very low certainty, according to GRADE criteria.

The study reported no data on pneumonia and other serious adverse events, quality of life, lung function test indices or disease-specific adverse events. The risk of bias was largely low or unclear for the majority of domains, though the performance bias was a high risk, as the study was not blinded.

Authors' conclusions

Evidence identified by this review is insufficient to determine whether treatment with PPIs is a potential option for COPD. The sample size of the included trial is small, and the evidence is low to very low-certainty. The efficacy and safety profile of PPIs for people with COPD remains uncertain. Future large-scale, high-quality studies are warranted, which investigate major clinical outcomes such as COPD exacerbation rate, serious adverse events and quality of life.

PLAIN LANGUAGE SUMMARY

Proton pump inhibitors for chronic obstructive pulmonary disease

What is the aim of this review?

The review authors want to know whether proton pump inhibitors (PPIs) are effective in (a) reducing chronic obstructive pulmonary disease (COPD) exacerbations, and (b) improving quality of life for people with COPD. We searched for randomised trials to answer this question and found only one study, with 103 participants.

Key message

Giving PPIs to people with COPD may reduce the frequency of COPD exacerbations. However, further high-quality studies are needed to be more certain. Future studies should include different types of PPIs.

What was studied in the review?

This study specifically looked at COPD. COPD is a common respiratory disease, characterised by cough with mucus and breathlessness. COPD is one of the leading causes of death worldwide, and reduces quality of life. COPD exacerbation is associated with hospitalisation and death, placing a large burden on both society and the economy. COPD exacerbation is caused by different conditions that require different therapies.

Gastroesophageal reflux disease (GERD) is one cause of COPD exacerbation. GERD is a common gastrointestinal disease caused by reflux of stomach acid into the oesophagus (food pipe) and lungs. This gives people the symptoms of heartburn and cough. When people have GERD, they are given PPIs to treat it. These work by reducing the amount of stomach acid. While PPIs are effective for treating symptoms of GERD, it is unclear whether adding PPIs to usual care reduces the frequency of COPD exacerbations or improves the quality of life for people with COPD.

What are the main results of the review?

We found only one relevant study. It was from a university hospital and three city hospitals in Japan. This study compared the effects of a PPI plus usual care against usual care alone in people with COPD who had no history or symptoms of GERD. The researchers investigated changes in the frequency of COPD exacerbations and the common cold over a 12-month period. This study used a 15 mg daily dose of a PPI called lansoprazole. There was low-certainty evidence of a reduction in the number of people on lansoprazole who had COPD exacerbations compared with people who had usual care, and very low-certainty evidence that similar numbers of people in each group had at least one common cold.

The review authors did not find any studies that described the effects of PPIs on pneumonia and serious adverse events, quality of life, lung function, or disease-specific adverse events.

How up-to-date is this review?

We ran the latest search for studies on 22 May 2020.

SUMMARY OF FINDINGS

Summary of findings 1. PPI plus usual care versus usual care alone or chronic obstructive pulmonary disease

Usual care plus PPI compared to Usual care plus alone for chronic obstructive pulmonary disease

Patient or population: chronic obstructive pulmonary disease
Setting: hospital outpatients in Japan (three secondary care hospitals and one tertiary care hospital)
Intervention: Usual care plus PPI
Comparison: Usual care plus alone

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with Usual care plus alone	Risk with Usual care plus PPI				
Exacerbation rate (participants with one or more) study duration: 12 months	Study population		RR 0.46 (0.26 to 0.81)	100 (1 RCT)	⊕⊕⊕⊕ LOW ^{ab}	The frequency of exacerbations improved with intervention.
	520 per 1,000	239 per 1,000 (135 to 421)				
Pneumonia and other serious adverse events	—		—	—	—	Not reported
Quality of life	—		—	—	—	Not reported
Lung function indices	—		—	—	—	Not reported
Acute respiratory infections study duration: 12 months	Study population		RR 0.96 (0.67 to 1.39)	100 (1 RCT)	⊕⊕⊕⊕ VERY LOW ^{abc}	No clear benefit or harm from PPI
	540 per 1,000	518 per 1,000 (362 to 751)				
Disease-specific adverse events	—		—	—	—	Not reported

*The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

CI: Confidence interval; PPI: proton pump inhibitor; RR: Risk ratio

GRADE Working Group grades of evidence

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

^aDowngraded by one level for imprecision: single small trial describes this result

^bDowngraded by one level for study limitations: no blinding of participants and personnel

^cDowngraded by one level for study limitations: the rate and number of common colds using outcomes that tend to be subjective

BACKGROUND

Description of the condition

The Global Initiative for Chronic Obstructive Lung Disease (GOLD) guideline defines chronic obstructive pulmonary disease (COPD) as a common, preventable and treatable disease that has a significant detrimental impact on quality of life, and poses a substantial and growing economic and social burden (GOLD 2020). As a leading cause of global mortality, approximately three million deaths are attributed to COPD every year (WHO 2018). People with COPD have a high frequency of respiratory complications and systemic comorbidities. These comorbidities, including cardiovascular diseases, osteoporosis, depression and gastroesophageal reflux disease (GERD), may potentiate the severity of COPD, leading to an increase in acute exacerbations (Wedzicha 2013).

The latest GOLD guidelines define COPD exacerbation as "an acute worsening of respiratory symptoms that results in additional therapy" (GOLD 2020). The economic impact of COPD exacerbations is substantial, since COPD exacerbations are linked to mortality, morbidity, and hospitalisations (Perera 2012). Therefore, effective COPD management should aim to relieve presenting symptoms, prevent future exacerbations and delay disease progression (Woodruff 2015), with an emphasis on a comprehensive care plan for COPD and associated comorbidities (Barnes 2013; Wedzicha 2013).

GOLD specifies the diagnostic criteria for COPD as a post bronchodilator forced expiratory volume in one second (FEV₁)/forced vital capacity (FVC) ratio of less than 0.70 (GOLD 2020). However, defining COPD by these lung function test indices alone may imply that COPD is a homogenous condition. In fact, COPD is a heterogeneous group of conditions with a wide array of symptoms and therapeutic responses towards conventional treatment, and prognoses and comorbidities associated with COPD vary. This heterogeneity cannot be explained by lung function alone, and groups of people with COPD who express particular characteristics can be regarded as having different phenotypes (Han 2010). The ultimate goal of identifying COPD phenotypes is to develop effective and people-oriented treatment regimens, in order to improve clinical outcomes.

People experiencing two or more exacerbations of COPD per year are regarded as having frequent exacerbations (Hurst 2010); people who experienced frequent exacerbations in the past often have a progressive decline in lung function and a poorer prognosis (Seemungal 1998). The Evaluation of COPD Longitudinally to Identify Predictive Surrogate Endpoints (ECLIPSE) study has shown that the strongest predictor of frequent COPD exacerbations in the future is the number of exacerbations experienced in the previous year (Hurst 2010).

In addition, people with frequent exacerbations tend to be more susceptible to viral infections (Wedzicha 2013). In other words, a management strategy that includes virus infection as a treatment target may be effective for people who repeatedly experience COPD exacerbations. Furthermore, some studies suggest that GERD, a disease comprising symptoms, end-organ effects and complications related to the reflux of gastric contents into the oesophagus, oral cavity or the lung (Katz 2013), is an independent predictor of frequent COPD exacerbations (Hurst 2010; Ingebrigtsen

2015; Martinez 2014; Terada 2008). It is worth highlighting that the relative risk (RR) of an exacerbation over two years in people with COPD and GERD is 1.93 (95% confidence interval (CI): 1.32 to 2.84), i.e. the risk of exacerbation is almost twice as high for people who have GERD (Terada 2008).

As a common condition, the diagnosis of GERD is often made by general practitioners and gastroenterologists in outpatients departments (Shaheen 2006). Prevalence of GERD is rising worldwide, and the associated disease burden is also increasing (El-Serag 2014). For instance, cumulative incidence of pneumonia has been reported to be significantly higher in people with GERD than in people without GERD (Hsu 2017). Microaspiration of gastric acid tends to occur in people with GERD, and it has been suggested that this condition is pathologically connected to the development of lung diseases (Morehead 2009). Hsu 2017 reported that the use of proton pump inhibitors (PPIs), a pharmacological treatment for GERD, for more than four months increased the risk of pneumonia in people with GERD. A potential explanation of the relationship between PPI and pneumonia is that an increase in pH due to gastric acid suppression might promote bacterial colonization in the oral cavity, which subsequently leads to pneumonia (Gulmez 2007). However, the precise mechanism of the development of pneumonia when using PPIs is still unclear.

The cause of GERD is complex, but there are several known factors. First, gastric reflux occurs when the gradient of pressure between the stomach and the lower oesophageal sphincter is lost, due to low or absent lower oesophageal sphincter pressure and anatomic disruptions of the oesophagogastric junction, such as hiatal hernia (Orlando 2001). Second, oesophageal peristaltic dysfunction (dysfunction of oesophageal contraction movement which eliminates oesophageal contents to the stomach) and prolonged oesophageal acid clearance (a dysfunction of eliminating contents in the oesophagus by the oesophageal contraction movement, secretions from the oesophagus glands or swallowed saliva) can feature in gastroesophageal reflux (Kahrilas 1988; Sugiura 2001). Amongst people with COPD who smoke, nicotine might lead to changes in lower oesophageal sphincter pressure and abnormal oesophageal peristalsis (Pandolfino 2000). Third, certain pharmacological therapies for COPD, including theophylline, beta₂-agonists, anticholinergics, and corticosteroids, may alter oesophageal sphincter tone and respiratory mechanics, leading to worsened symptoms of GERD (Phulpoto 2005). GERD has been reported to be a frequent comorbidity of COPD, and prevalence of GERD has been found to be higher in people with COPD compared with healthy controls (Casanova 2004; Mokhlesi 2001). Fourth, the prevalence of GERD symptoms tends to be higher in people with severe airway obstruction (Mokhlesi 2001). Lung hyperinflation correlates with COPD severity and requires more inspiratory effort to inhale. This altered mechanism of breathing may increase the transdiaphragmatic pressure gradient on the chest and abdomen, and lead to GERD (Del Grande 2016). Thus, it may also explain the correlation between severe COPD and GERD. Other factors, such as obesity and comorbidities, may also be associated with the increased prevalence of GERD found in people with COPD (Herbella 2010).

The prevalence of GERD in people with COPD has been reported to range from 17% to 78% (Lee 2015). The mechanism underlying GERD that leads to COPD exacerbations is thought to be related to pulmonary microaspiration of gastric acid into airways (Javorkova

2008; Lee 2015), and this mechanism is also thought to be a risk factor for pneumonia (Gaude 2009; Terada 2008). The inflammatory response triggered by GERD may cause the contraction of airways via vagal reflex or further stimulate acid sensitive receptors in the oesophageal wall, both of which may lead to COPD exacerbations (Mansfield 1981; Schan 1994; Tuchman 1984). Furthermore, GERD is considered to be one of the causes of chronic cough, which is a common symptom of COPD (Irwin 2000).

Description of the intervention

PPIs are one of the most effective medications for reducing gastric acid secretion. Since their introduction in the late 1980s, PPIs remain one of the most commonly prescribed medications worldwide (Bashford 1998). Currently, seven PPIs are available (lansoprazole, omeprazole, pantoprazole, rabeprazole, esomeprazole, dexlansoprazole, and vonoprazan), and some are available as over-the-counter medications. Vonoprazan is a novel PPI and has been licensed for use to treat GERD in Japan since 2015. In the USA, for example, lansoprazole, omeprazole and esomeprazole do not require prescription, and omeprazole can be purchased in high-street supermarkets. However, pantoprazole, rabeprazole, and dexlansoprazole are all prescription-only medications (Chen 2019). In Japan, all PPIs require a prescription. Therefore, consumer usage of PPIs varies from country to country. Nevertheless, PPIs are one of the most prescribed and most consumed medications worldwide.

The family of PPIs has dramatically improved many conditions, such as peptic ulcer disease, as well as being used in the treatment and prevention of gastroduodenal ulcers associated with the use of non-steroidal anti-inflammatory drugs (NSAIDs) (Agrawal 2000; Rostom 2002). They are also included in regimens that aim to eradicate *Helicobacter pylori* (Hu 2017). In the longer-term, PPIs can prevent pulmonary microaspiration of gastric contents by reducing the production of stomach acid (Lee 2015). PPIs also promote the healing of oesophageal erosion produced by the reflux of gastric acid into the oesophagus (Dekel 2004).

Treatment with PPIs remains the mainstay approach to the management of GERD (Freedberg 2017; Katz 2013). Given that GERD is an independent risk factor for frequent exacerbations of COPD (Hurst 2010), Baumeler 2016 hypothesised that administration of PPIs for GERD might improve the symptoms and frequency of acute exacerbations of COPD. However, this study eventually showed that annual COPD exacerbation rates and severity were higher amongst participants in the PPI group than in those participants who were not receiving PPI. Moreover, in the PPI group, the incidence rates of hypertension, heart failure, diabetes mellitus and dementia were higher and the quality of life was lower than in the non-PPI group (Baumeler 2016).

The following reasons might partly explain why the effects of PPI on COPD are different from Baumeler's hypothesis that PPI might reduce COPD exacerbations (Baumeler 2016); (1) the effect of PPIs on bacterial infection; (2) the influence of confounding factors; and (3) other effects of PPIs.

First, as gastric acid secretion plays a protective role against infectious agents, prolonged PPI-induced low acid status can increase the risk of bacterial overgrowth in the stomach and oesophagus (Eom 2011). This bacterial overgrowth caused by long-term PPI use may heighten the risk of bacterial aspiration

(Gaude 2009). It may also be associated with an increased risk of community-acquired pneumonia (CAP) (Laheij 2004). CAP is a type of pneumonia caused by organisms found regularly outside of hospital settings, such as *Streptococcus pneumoniae*, *Haemophilus influenzae*, and *Mycoplasma*, as opposed to hospital-acquired pneumonia (Metlay 2019).

Observational studies have shown a positive association between PPI usage and CAP (Gulmez 2007; Laheij 2004). In a large-scale population-based cohort study derived from the Clinical Practice Research Datalink, Braeken 2017 indicated that people with COPD were at a four-fold increased risk of developing CAP (hazard ratio 4.51, 95% confidence interval (CI): 4.27 to 4.77).

Several meta-analyses have suggested that short duration of PPI usage, particularly within the first month, may be associated with an increased risk of CAP (Giuliano 2012; Johnstone 2010; Lambert 2015). However, it is not yet clear why short-duration usage of PPIs correlates with increased risk of CAP. In addition, people with COPD and CAP were found to have longer hospital stays and mortality rates when compared to people with CAP alone (Braeken 2015). Thus, it is likely that the increased risk of CAP associated with PPI treatment could eventually lead to further COPD exacerbations.

Second, there are few intervention studies on this topic, and association of confounding factors may be unclear and controversial. As stated previously, studies have suggested that PPI usage may increase the risk of CAP (Gulmez 2007; Laheij 2004). By contrast, other studies have suggested that this associated risk may be due to significant unmeasured confounding (e.g. comorbidities and baseline characteristics) (Jena 2013; Othman 2016). Othman 2016 examined 160,000 new PPI users to investigate whether there was a change in the risk of CAP before and after the prescription of PPIs. This cohort study initially showed that the risk of CAP was higher in those prescribed PPI (RR 1.67, 95% CI 1.55 to 1.79). However, after adjustment for confounding factors, PPI use was associated with a lower risk of CAP (RR 0.91, 95% CI 0.83 to 0.99). Thus, the association between PPI usage and risk of CAP may be due to confounding factors.

Third, PPI may have effects other than acid suppression. Meijvis 2011 suggested that PPIs may not increase either the gastrointestinal or oropharyngeal bacteria that cause CAP (Meijvis 2011). One possible explanation for COPD exacerbation, besides bacterial infections, could be the effects of PPIs on viral infections.

As well as bacterial infections, several viruses (such as the human rhinovirus) have been reported to be associated with exacerbations of COPD (Falsey 2006; Hurst 2005). In previous studies, causes of COPD exacerbations were shown to be mostly bacterial infections, and viral infections were considered to be the cause of only 14% to 18% of COPD exacerbations (Buscho 1978; Smith 1980). However, with the widespread use of pneumococcal vaccines worldwide, the general microbial pathogenesis pathway is likely to have changed and as such, awareness of virus infections in the prognosis of people with COPD is increasing (Metlay 2019). Virus detection during COPD exacerbation has been found to vary from 22% to 60% (Gunawardana 2014; Sethi 2008). Rhinoviruses are the most reported virus in many studies, with influenza and respiratory syncytial viruses also commonly detected. Other existing data also support a causal link between viral infections and exacerbations of COPD (Beasley 2012; Quint 2010; Wedzicha 2013). Sasaki 2009 suggested a possible preventive effect of PPIs

against viral infection, and an association with a reduction in COPD exacerbations. This inhibitory effect of PPIs on viral infection could not explain why Baumeler's hypothesis was not completely supported. The efficacy and safety of PPIs in relation to viral infection is still unclear.

Therefore, the effects of PPIs on acute exacerbations of COPD are not yet clear and remain controversial (Filion 2014).

Usual therapy for COPD

Updated GOLD guidelines and recently published clinical recommendations by the American Thoracic Society (ATS)/European Respiratory Society (ERS) have emphasised the benefits of smoking cessation and pharmacological therapy, including β 2-agonists, anticholinergics, and corticosteroids (GOLD 2020; Wedzicha 2017). The most appropriate pharmacological therapies should be selected for each person, according to their severity of COPD and symptoms. Asymptomatic people with mild airflow limitation can be treated with on-demand short-acting bronchodilators, such as inhalation of short-acting beta2-agonists (SABA) or short-acting muscarinic antagonists (SAMA). If the symptoms do not improve, long-acting beta-agonists (LABA), long-acting muscarinic antagonists (LAMA), and inhaled corticosteroids are also used. If LABA or LAMA monotherapy cannot control the symptoms, administration of two or more medications may be effective (GOLD 2020). Furthermore, non-pharmacological therapies for COPD are also considered, including education and self-management, smoking cessation, nutritional guidance, pulmonary rehabilitation, immunisations, and long-term oxygen therapy (GOLD 2020). To prevent acute exacerbation of COPD, it is also necessary to combine pharmacological approaches with non-pharmacological therapies. GOLD guidelines suggest that the therapeutic goal in terms of COPD exacerbation is to minimise the adverse effects on physical symptoms, respiratory function, and quality of life associated with acute exacerbations (GOLD 2020). Among several COPD clinical phenotypes, the adverse impact of frequent exacerbations is substantial. Due to this, the management strategy for acute exacerbations of COPD should be guided by phenotype (Agusti 2016).

How the intervention might work

It has been suggested that GERD could be an independent risk factor of COPD exacerbation (Hurst 2010). The acid suppression effects of PPIs might play a role in COPD management based on the following mechanisms. Gastric acid secretion is a complex process regulated by at least three types of receptors on the parietal cells (histamine, gastrin, and acetylcholine). Usually, PPIs reduce gastric acid production by irreversibly blocking the enzymes responsible for hydrogen potassium ATPase (proton pump) in parietal cells (Akazawa 2016; Scott 2015). However, vonoprazan has a somewhat unique action, which involves reversibly blocking hydrogen potassium ATPase through competing with potassium ions (Hunt 2015). Thus, vonoprazan is a faster-acting acid suppressive agent than conventional PPIs. Although vonoprazan differs somewhat from the existing PPIs, it is still classified as a PPI because it inhibits the proton pump and suppresses gastric acid production. PPIs, including vonoprazan, make it possible to reduce gastric acid secretion and to prevent central reflex and pulmonary microaspiration of stomach acid (Lee 2015). However, PPIs can cause gastric and oesophagus bacterial overgrowth by excessive acid suppression (Eom 2011), and may lead to COPD

exacerbation (Giuliano 2012; Laheij 2004; Lambert 2015). The same safety concerns have also been discussed regarding vonoprazan (Sugano 2018). In addition, excessive suppression of gastric acid increases the likelihood of developing CAP (Gulmez 2007; Laheij 2004), which may lead to worsening of COPD. Therefore, the relationship between the acid suppression effects of PPIs and COPD exacerbations remains controversial.

In addition to acid suppression, PPIs have been reported to have an impact on viral infections, for example rhinovirus infections (Long 2015; Sasaki 2005). Rhinoviruses are commonly identified viruses in people who experience frequent COPD exacerbations (Hurst 2005). A rhinovirus can amplify the expression of intercellular adhesion molecule-1 (ICAM-1) and cytokine (Yu 2017). ICAM-1 is the major rhinovirus infection receptor and increases viral susceptibility on respiratory epithelial cells (George 2014). Lansoprazole and omeprazole have been reported to have suppressing effects on the expression of ICAM-1 (Ohara 1999; Watanabe 2001). Researchers found that both lansoprazole and omeprazole were able to suppress viral infections by inhibiting vacuolar hydrogen potassium ATPase, thereby increasing endosomal pH and inhibiting the expression of ICAM-1 (Sasaki 2005). Other studies have also suggested that PPIs may possess systemic anti-inflammatory effects (Becker 2006; Sasaki 2011). Viral infections induce inflammatory mediators, including various cytokines (Sethi 2008). The anti-inflammatory effect of PPIs is probably due to their effect of inhibiting the production of proinflammatory cytokines (Sasaki 2011). However, these antiviral and anti-inflammatory effects of PPIs are primarily *in vitro* observations. Thus, evidence based on human studies is currently lacking. People who experience frequent COPD exacerbations may have a high sensitivity towards respiratory viral infections or have poor ability to prevent viral replications (George 2014), which makes PPIs a viable treatment option for COPD.

Why it is important to do this review

COPD exacerbations are associated with substantial impact at both the individual level and for overall society (Barnes 2013; Wedzicha 2013). Therefore, effective management of existing COPD symptoms and prevention of exacerbations is a major therapeutic target. Given that the frequent-exacerbation phenotype of COPD is known to be associated with a history of gastroesophageal reflux or heartburn (Hurst 2010), PPIs may have the potential to influence COPD exacerbations. Since PPIs are widely available, with millions of users worldwide, it is necessary to evaluate the role of PPIs on people with COPD in this context.

We have identified a randomised controlled trial (RCT) (Sasaki 2009), and some other studies on this topic. To our knowledge, there is no existing systematic review that explores the precise role of PPIs in the management of COPD, so this remains to be ascertained. Therefore, a systematic review is necessary to determine whether PPIs are useful to treat people with COPD.

OBJECTIVES

To evaluate the efficacy and safety of PPI administration for people with COPD, focusing on COPD-specific outcomes.

METHODS

Criteria for considering studies for this review

Types of studies

We included randomised controlled trials (RCTs) in which participants were randomly assigned at the individual or cluster level. We included studies reported as full-text articles, as abstracts/conference proceedings only, and unpublished data. We excluded cross-over trials.

Types of participants

We included male and female adults aged 18 years or above with a diagnosis of COPD. We imposed no restrictions on clinical stability, i.e. people with stable COPD as well as those with exacerbated COPD were all eligible. We excluded participants who were prescribed PPIs within one month of study commencement. We also excluded studies that only included participants with asthma. For the purpose of this review, the definition of asthma was that defined by the study investigators (e.g. lack of substantial smoking history and significant bronchodilator reversibility). However, we acknowledged that certain studies might include participants with asthma-COPD overlap syndrome (ACOS) i.e., people with chronic asthma that causes chronic airflow limitation ([Postma 2015a](#)). Such asthma cases would be difficult to distinguish from COPD, so we decided to include studies of people with ACOS as well as studies that enrolled participants who were diagnosed with asthma as a COPD comorbidity.

Diagnosis

We included studies that enrolled participants diagnosed with COPD according to established criteria, such as the American Thoracic Society and GOLD criteria ([GOLD 2020](#)). However, we recognised that the definition of any condition might change over time. For older studies, we examined the directness of the evidence by applying GRADE criteria to the diagnosis of the study participants, as determined by the investigators. If we identified trials in which only a subset of the participants had COPD, we included them on the basis that they reported disaggregated data by baseline diagnosis (COPD or asthma), or if the study investigators provided such data on request.

Comorbidities

As long as the comorbidity itself was not the main focus of the study, we included studies that enrolled people with COPD and comorbid chronic physical conditions (e.g. hypertension, cardiovascular disease, GERD, asthma). We excluded participants with the following prespecified comorbidities: bronchiectasis or genetic diseases, such as cystic fibrosis or primary ciliary dyskinesia.

Settings

All types of healthcare settings were eligible for inclusion: primary, secondary, and tertiary care.

Types of interventions

The optimal ("ideal") dosage regimen of oral PPIs has not been clarified. Standard dosage regimens of PPIs vary depending on the country/geographical location, but reference ranges have been reported as follows ([Iwakiri 2016](#); [Zhang 2017](#)).

- Standard dose of PPIs per day:
 - * lansoprazole, 15 mg to 30 mg;
 - * omeprazole, 10 mg to 40 mg;
 - * pantoprazole, 40 mg;
 - * rabeprazole, 10 mg to 20 mg;
 - * esomeprazole, 10 mg to 20 mg;
 - * dexlansoprazole, 30 mg to 60 mg; and
 - * vonoprazan, 10 mg to 20 mg.

Eligible comparators were: placebo, usual care, or low-dose PPI.

We planned to consider the following comparisons.

- PPI plus usual COPD care versus placebo plus usual care.
- PPI plus usual COPD care versus usual care alone.
- PPI plus usual care versus lower-dose PPI plus usual care.

Our a priori definition of usual care for COPD was comprehensive respiratory care that aimed to support self-management through drug treatment, regular exacerbation monitoring, lifestyle guidance on issues such as smoking cessation, vaccinations, nutritional advice, exercise therapy, and appropriate management of comorbidities.

As it could take a few days for PPIs to exhibit their acid-suppressive effects ([Andersson 2005](#)), and since chronic cough associated with GERD might take at least two to three months to develop ([Irwin 2006](#)), we included studies of at least two months' duration. We recorded and compared the intervention period and follow-up duration.

Types of outcome measures

Primary outcomes

- Exacerbations of COPD, reported as exacerbation rate or as time to first exacerbation after administration of PPIs
 - * We extracted the definition used for an COPD exacerbation from the included study. If we had found the definition across studies to be inconsistent, we would have considered the impact on the overall result when applying GRADE ratings ([Higgins 2017](#)).
- Pneumonia and other serious adverse events (we planned to report pneumonia and other serious events separately)
 - * Our definition of a serious adverse event follows that of established criteria, which is an untoward medical incident that leads to death, is life-threatening, requires hospitalisation or prolongs existing hospitalisation, results in persistent or significant disability, a birth defect, or any important medical event that might jeopardise the participant or requires intervention to prevent its effects ([ICH 2016](#)).

Secondary outcomes

- Quality of life (measured by a validated generic or disease-specific tool for COPD)
- Lung function test indices: change from baseline in trough FEV₁ and FVC
- Acute respiratory infections (participants experiencing at least one episode)

- Disease-specific adverse events (participants with at least one event)

Search methods for identification of studies

Electronic searches

We identified studies from the Cochrane Airways Register of Trials (airways.cochrane.org/trials-register), which is a database maintained by the Group's Information Specialist. This register contains RCTs and quasi-RCTs identified from several sources, including the following.

- Monthly searches of the Cochrane Central Register of Controlled Trials (CENTRAL Issue 5, 2020) through Cochrane Register of Studies;
- Weekly searches of MEDLINE (Ovid SP) 1946 to present;
- Weekly searches of Embase (Ovid SP) 1974 to present;
- Monthly searches of PsycINFO (Ovid SP) 1967 to present;
- Monthly searches of CINAHL (Cumulative Index to Nursing and Allied Health Literature) (EBSCO) 1937 to present;
- Monthly searches of AMED (Allied and Complementary Medicine) (EBSCO) from inception to present.

Our literature search dated from database inception to 22 May 2020, with no restriction on language or publication status. Search strategies developed for the Cochrane Airways Register of Trials are illustrated in [Appendix 1](#). See [Appendix 2](#) for search terms we used to identify studies for this review.

We also searched the following trial registries on 22 May 2020.

- ClinicalTrials.gov (www.clinicaltrials.gov).
- World Health Organization (WHO) International Clinical Trials Registry Platform (ICTRP) (apps.who.int/trialsearch).

Searching other resources

We performed handsearching of the conference proceedings of major conferences in the field of respiratory medicine ([Appendix 1](#)).

We checked the reference lists of all primary studies and relevant narrative review articles for additional references. We searched relevant manufacturers' websites for study information.

We also monitored for errata or retractions of the included study on PubMed (www.ncbi.nlm.nih.gov/pubmed).

Data collection and analysis

Selection of studies

Two review authors (SK and YT) independently screened the titles and abstracts of the search results and coded them as either 'retrieve' (eligible or potentially eligible/unclear) or 'do not retrieve'. We obtained full-text study reports of all potentially eligible studies and two review authors (SK and HI) independently screened them for inclusion, recording the reasons for exclusion during the process. We resolved any disagreement through discussion or by consulting a third author (YT or TT). We identified and omitted duplicated reports and collated multiple reports of the same study so that each study, rather than each report, was the unit of interest in the review. We illustrated our selection process using a PRISMA flow diagram ([Moher 2009](#)).

Data extraction and management

We used a data collection form for study characteristics and outcome data, which was piloted for use on one study. One review author (SK) extracted the following study characteristics from the included studies.

- Methods: study design, total duration of study, details of any 'run-in' period, number of study centres and location, study setting, withdrawals, and date of study.
- Participants: number (N), mean age, age range, gender, severity of COPD, diagnostic criteria, follow-up duration, baseline lung function, smoking history, inclusion criteria, and exclusion criteria.
- Interventions: intervention, comparator, concomitant medications, excluded medications, and dosage of the intervention.
- Outcomes: primary and secondary outcomes specified and collected, and time points reported.
- Notes: funding source(s), any notable conflicts of interest of trial authors and other information where available.

Two review authors (SK and HI) independently extracted outcome data from included studies. We noted in the '[Characteristics of included studies](#)' table if the study authors did not report outcome data in a usable format. We resolved disagreements by consensus or by involving a third review author (YT). One review author (SK) then transferred data into the Review Manager software for further processing ([Review Manager 2014](#)). We checked that the data were entered correctly by undertaking double data entry and a second review author (HI) performed spot-checks to assure accuracy of data extraction and management.

Assessment of risk of bias in included studies

Two review authors (SK and HI) independently assessed the risk of bias in included studies using Cochrane's 'Risk of bias' tool, as outlined in the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2017](#)). We resolved any disagreements by discussion or by involving a third review author (YT). We assessed the risk of bias according to the following domains.

- Random sequence generation (selection bias)
- Allocation concealment (selection bias)
- Blinding of participants and personnel (performance bias)
- Blinding of outcome assessment (detection bias)
- Incomplete outcome data (attrition bias)
- Selective outcome reporting (reporting bias)
- Other sources of bias

We judged each potential source of bias as either 'high', 'low', or 'unclear', and provided a quote from the study report with a justification for our judgement in the 'Risk of bias' table for each study. We summarised the 'Risk of bias' judgements across different studies for each of the domains listed. We considered blinding separately for different key outcomes where necessary (e.g. for unblinded outcome assessment, risk of bias for pneumonia or serious adverse events may be very different than that for a patient-reported quality of life scale). Where information on risk of bias related to unpublished data or correspondence with a study author, we noted this in the 'Risk of bias' table.

When considering treatment effects, we took into account the risk of bias for the studies that contributed to that outcome.

Assessment of bias in conducting this review

We conducted the review according to a published Cochrane protocol (Kikuchi 2018), and highlighted any deviations from it in the 'Differences between protocol and review' section.

Measures of treatment effect

We planned to only undertake meta-analysis when it was meaningful, i.e. if the treatments, participants, and the underlying clinical question were similar enough for data pooling. Since only one study was included, we reported our findings narratively. For transparency of data presentation, we also presented individual study findings as risk ratios (RRs) and means and standard deviations (SDs) or dichotomous and continuous data, respectively.

For future updates of this review that include additional eligible studies, we plan to also calculate standardised mean differences (SMDs) for outcome data that are measured by different metric scales (for example, quality of life measured by different assessment tools). If we combine data from rating scales in such a meta-analysis, we will ensure that we enter them with a consistent direction of effect (e.g. lower scores always indicate improvement). We will conduct an 'as reported' and intention-to-treat (ITT) analysis of the primary outcomes; for secondary outcomes we will use an 'as reported' analysis.

For subsequent updates, we plan to describe skewed data narratively (for example, as medians and interquartile ranges for each group).

Unit of analysis issues

We used participants, rather than events, as the unit of analysis (i.e. number of participants admitted to hospital, rather than number of admissions per participant). If a study reported outcomes at multiple time points, we used the last time point measured.

Where multiple trial arms are reported in an included study of future updates of this review, we will include only the relevant arms; similarly, if two comparisons (e.g. drug A versus placebo and drug B versus placebo) are combined in the same meta-analysis, we will either combine the active arms or divide the control group into halves to avoid double-counting.

We had planned to calculate risk ratios (for example, for the outcome of COPD exacerbation rates) should sufficient data be available, but we only identified one eligible study. For future updates of this review, we will analyse data on this basis, allowing for the inclusion of more than one event in a participant over the time of the trial.

We also planned to analyse data from cluster-RCTs if the trials had adjusted the data to account for clustering, or if we were able to adjust it ourselves. However, we did not identify any eligible cluster-RCTs. Should future updates of this review include any cluster-RCTs, we will manage data from these studies on this basis.

Dealing with missing data

Where possible, we contacted investigators or study sponsors in order to verify/obtain key study characteristics or any missing

outcome data. We did not conduct a meta-analysis for this review because we had insufficient studies.

For future updates, we intend to deal with missing participants using an ITT analysis, assuming that missing participants had failed treatment. In the case of dichotomous data that compared treatment response, we will include the total number of participants randomised to each comparison group (as the denominator). In the analyses of treatment response, we will only include data from trials that reported a group size prior to withdrawals. For continuous outcome measures, we plan to include summary statistics derived from mixed-effect models, the last observation carried forward (LOCF), and observed cases' summary statistics.

LOCF method is a standard methodology in many clinical fields for imputing incomplete data. However, LOCF can lead to an underestimation of standard errors, which increases the likelihood of finding a false positive result (Mavridis 2019). Should additional studies be included in future updates of this review and a meta-analysis performed, we also plan to investigate the impact of imputation by performing sensitivity analyses for each outcome. We can use a sensitivity analysis to check the robustness of results against departures from LOCF assumptions.

Where missing data are thought to introduce serious bias, we will take this into consideration in the GRADE rating for affected outcomes.

Assessment of heterogeneity

Meta-analysis was not feasible for this current review due to insufficient data. For future updates, we will use the Chi² test (P value of less than 0.10 to be indicative of statistical heterogeneity) and the I² statistic to assess statistical heterogeneity across studies. We will explore statistical diversity by estimates of treatment effect using forest plots. According to the *Cochrane Handbook for Systematic Reviews of Interventions* (Deeks 2017), statistical heterogeneity might not be important when the observed value of I² is between 0% and 40%; there might be moderate heterogeneity when I² is between 30% and 60%; substantial heterogeneity when I² is between 50% and 90%; and considerable heterogeneity when I² is greater than 75%. If we identify substantial heterogeneity in future updates of the review, we will report it and explore the possible causes by prespecified subgroup analysis ([Subgroup analysis and investigation of heterogeneity](#)).

Assessment of reporting biases

If we were able to include more than 10 studies, we had planned to create a funnel plot of effect estimates against their standard errors to explore possible small study and publication biases. We had planned to consider possible explanations if we found asymmetry of the funnel plot.

However, since we only included one study in this review, we did not assess reporting biases.

Data synthesis

We planned to combine the results from similar studies by undertaking a meta-analysis with a random-effects model, using [Review Manager 2014](#). If substantial or considerable unexplained statistical heterogeneity (I² > 50%) had been present, we had

planned to omit meta-analysis and instead report our findings narratively ([Assessment of heterogeneity](#)). Since we only included one study, meta-analysis was not possible. We will pursue the stated plan in future updates of this review.

Subgroup analysis and investigation of heterogeneity

We planned to carry out the following subgroup analyses.

- Baseline severity of COPD using GOLD spirometric assessment ([GOLD 2020](#))
 - * GOLD 1: mild ($FEV_1 \geq 80\%$ predicted);
 - * GOLD 2: moderate ($50\% \leq FEV_1 < 80\%$ predicted);
 - * GOLD 3: severe ($30\% \leq FEV_1 < 50\%$ predicted);
 - * GOLD 4: very severe ($FEV_1 < 30\%$ predicted).
- Type of PPI (lansoprazole or omeprazole or other PPIs). Lansoprazole and omeprazole have been reported to inhibit cytokine production of proinflammatory cytokines and are expected to reduce the frequency of catching common colds ([Sasaki 2009](#)).
- Baseline GERD symptoms: dichotomised as either 'yes' or 'no', according to inclusion criteria.
- Trial funding sources (financial sponsorship from the pharmaceutical industries or from non-industry sponsors).

We planned to use the formal test for subgroup interactions in [Review Manager 2014](#).

We also planned to use the following outcomes in subgroup analyses.

- Exacerbations
- Pneumonia and other serious adverse events
- Quality of life
- Acute respiratory infections

We did not undertake these subgroup analyses for the current review because we had insufficient data, but we will include them in future updates.

Sensitivity analysis

We planned to undertake sensitivity analyses for the primary outcomes, to assess the robustness of our conclusions. We planned to remove studies at high risk of bias in at least two of the following domains: allocation concealment, blinding of outcome assessors,

or incomplete outcome data, based on the criteria outlined in the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2017](#)).

We also planned to compare the results from a meta-analysis based on a fixed-effect model versus those from a random-effects model.

However, given that we included only one study, we did not pursue the planned sensitivity analyses. If we identify sufficient data for future updates of this review, we will undertake the planned sensitivity analyses.

Summary of findings and assessment of the certainty of the evidence

We created a 'Summary of findings' table using the following outcomes.

- Primary outcomes: exacerbations, pneumonia and other serious adverse events.
- Secondary outcomes: quality of life, lung function indices, acute respiratory infections, disease-specific adverse events.

We used the five GRADE considerations (study limitations, consistency of effect, imprecision, indirectness and publication bias) to assess the quality of the body of evidence as it related to the study that contributed data for the prespecified outcomes. We used the methods and recommendations described in the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2017](#); [Schünemann 2017](#)), using the GRADE working group's software ([GRADEpro GDT](#)). We justified all decisions to downgrade the quality of the evidence in the footnotes and also provided further remarks to aid reader's understanding of our assessment where necessary.

RESULTS

Description of studies

Results of the search

We identified 99 records through comprehensive literature searching. Of these, we excluded 86 clearly irrelevant records after screening titles and abstracts. We examined the full-text articles of 13 records and excluded a further nine records; the remaining four records reported findings from a single study, which we included in the review. Our study selection process is illustrated in [Figure 1](#) in the format of a PRISMA flow diagram.

Figure 1. A PRISMA flow diagram illustrating our study selection process

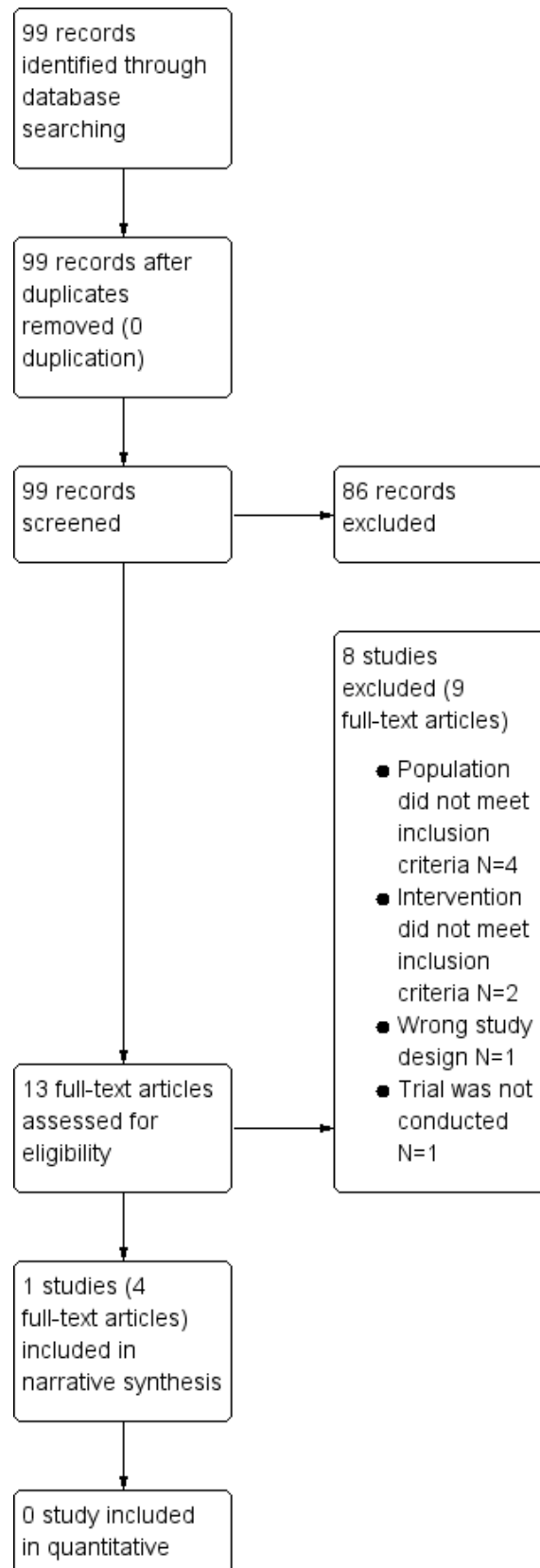


Figure 1. (Continued)

in quantitative synthesis (meta-analysis)

Included studies

We identified one study that was eligible for inclusion ([Characteristics of included studies](#)). The study was reported as one full-text peer-reviewed journal article and three conference abstracts. The study was a single-blind, multicentre RCT of 103 people with COPD, conducted over a 12-month period in secondary and tertiary care hospitals in Japan ([Sasaki 2009](#)). The study compared the effects of lansoprazole (15 mg/day) plus usual care versus usual care alone on the prevention of exacerbations. [Sasaki 2009](#) excluded people with GERD. Unfortunately, since a long period of time has passed since the end of the study, we were unable to obtain any raw data.

Excluded studies

We excluded eight studies ([Boeree 1998](#); [Kiljander 2000](#); [Liu 2018](#); [Miller 2015](#); [NCT00214552](#); [NCT00523367](#); [Sun 2016](#); [UMIN00002056](#)). These are listed in the '[Characteristics of excluded studies](#)' table, with reasons for exclusion. For three studies, the reason for exclusion was the type of participants (a study of people with asthma only ([NCT00214552](#)); a study enrolling healthy participants only ([Miller 2015](#)); a study of people with chronic cough ([Kiljander 2000](#))). Participants in two studies received not only PPIs but also an oral prokinetic (mosapride) as part of the acid-suppressive treatment, so we excluded them ([Liu 2018](#); [Sun 2016](#)). One study was not an RCT, and had a single-group assignment design ([NCT00523367](#)). One study was previously registered in a trial registry but, through personal communication with the research director of the responsible institute, we found out that the study had not been executed, so we excluded it ([UMIN00002056](#)).

We also excluded [Boeree 1998](#). The study was double-blind and conducted in a tertiary care hospital in the Netherlands, enrolling a total of 36 people with asthma or COPD with airway hyperresponsiveness. The study compared the effects of

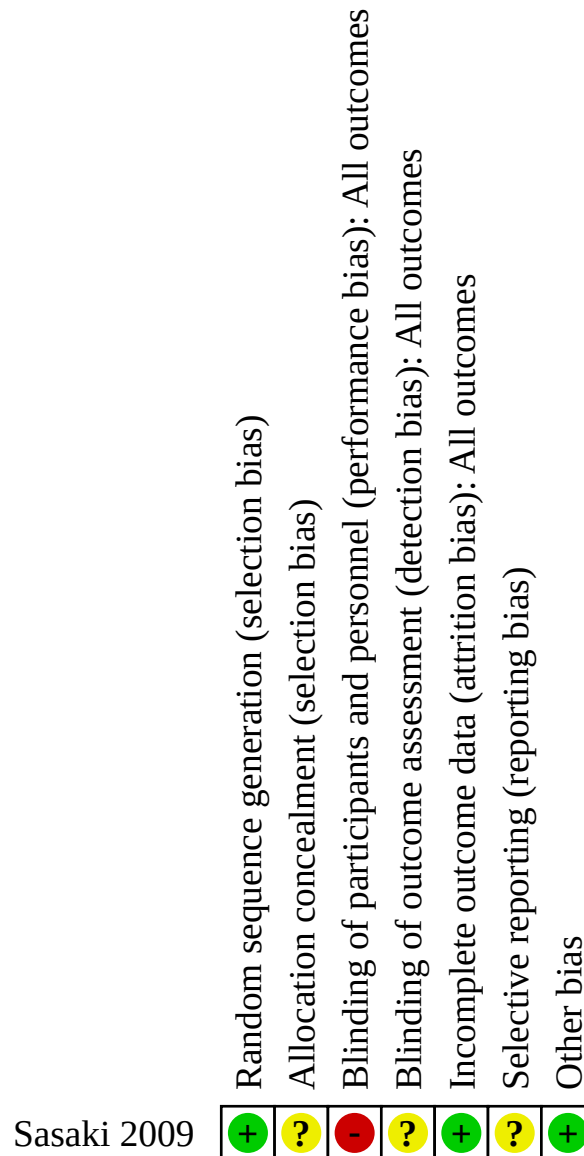
omeprazole at a daily dose of 80 mg with placebo on pulmonary symptoms and functions over three months ([Boeree 1998](#)). For this study, there was no clear definition of asthma and COPD. We contacted the study authors for further clarification on the definitions of asthma and COPD, and were informed that they applied a so-called “Dutch hypothesis” definition of asthma and COPD. The Dutch hypothesis is based on the theory asthma and COPD have common origins and clinical expressions, and is suggested to be determined both by endogenous factors such as heredity, sex and age, and exogenous factors such as smoking, air pollution, viruses and allergens ([Bleecker 2004](#); [Postma 2015b](#)). It has been adopted by many researchers to identify heterogeneity in asthma and COPD, and to better define these diseases by phenotype to optimise their treatment ([Postma 2015b](#)).

Participants in [Boeree 1998](#) met the recent COPD diagnostic criteria of (FEV₁ < 70%) by [GOLD 2020](#). However, the included group had extremely high FEV₁ as percentage predicted compared to group of people who would fit in COPD definition, even in the irreversible group. Moreover, the study included only people who were treated with a high dose of inhaled corticosteroid and a positive metacholine test. Although this study might also have included people with COPD, the majority of participants met the definition for asthma. For this reason, we determined that there were not enough numbers to reach a conclusion about the impact of PPI on COPD, and we decided to exclude [Boeree 1998](#) from this review.

Risk of bias in included studies

The '[Characteristics of included studies](#)' table presents further details of 'Risk of bias' assessments and supporting evidence. [Figure 2](#) provides a summary of 'Risk of bias' assessments by domain. We considered included study's risk of bias was largely low or unclear for the majority of domains, although there was a high risk of performance bias because there was no blinding.

Figure 2. Risk of bias summary: review authors' judgements about each risk of bias item for the included study.



Allocation

Sasaki 2009 provided details of the method of random sequence generation, so we judged the study to be at low risk of bias for this domain. However, there was insufficient information on how allocation concealment was performed, so we assigned 'unclear risk of bias' for this domain.

Blinding

Sasaki 2009 was described as an observer-blind RCT; participants and study personnel were unblinded and we judged the domain

of performance bias to be at 'high risk'. Furthermore, there was no description of the role of the observers, so we assessed the risk of detection bias to be unclear.

Incomplete outcome data

The study reports documented the rates of participant withdrawal. The withdrawal rates were low and balanced between groups. Thus, we judged Sasaki 2009 to be at 'low risk' for attrition bias.

Selective reporting

[Sasaki 2009](#) was not preregistered on appropriate clinical trials registries, and we did not identify a study protocol. Thus, we assessed the study to be at 'unclear risk' of reporting bias.

Other potential sources of bias

We considered whether [Sasaki 2009](#) had other potential sources of bias. Data analysis as reported in the study indicated that, of the 103 randomised participants, three were lost to follow-up and were excluded from the analysis. This suggests that the study did not use an intention-to-treat (ITT) analytical approach. In addition, there appeared to be a baseline imbalance in COPD severity, where the people in the usual care (control) group had a more advanced stage of COPD, based on baseline lung function; however, there were no statistical comparisons available. We are aware that this baseline imbalance would tend to skew the results in favour of PPI use, resulting in a potential overestimation of the treatment effects. However, the randomisation method was appropriate and it was unlikely to have a significant effect on the results. Consequently, we judged [Sasaki 2009](#) to be at 'low risk' for other bias.

Effects of interventions

See: [Summary of findings 1 PPI plus usual care versus usual care alone or chronic obstructive pulmonary disease](#)

[Summary of findings 1](#) presents an overview of the results and a summary of our certainty of the evidence. Only one study met the inclusion criteria for this review, so we are reporting the results narratively.

PPI plus usual care versus usual care alone

In people with COPD but no history or symptoms of GERD, [Sasaki 2009](#) reported that 12 out of 50 people in the PPI plus usual care group experienced one or more exacerbations, compared to 26 out of 50 in the usual care alone group (RR 0.46, 95% CI 0.26 to 0.81; 100 participants; low-certainty evidence; [Analysis 1.1](#)). The frequency of COPD exacerbations per person in a year was also lower in the PPI plus usual care group than in the usual care alone group (0.34 ± 0.72 vs 1.18 ± 1.40 ; $P < 0.001$). However, using GRADE criteria, we judged the evidence for this outcome to be low certainty, as it was from a single small study and there was no blinding.

[Sasaki 2009](#) also provided information on acute respiratory infections, as the number of people with COPD who experienced one or more episodes of common colds. At the end of 12 month's treatment, the number of participants who experienced one or more common colds (upper respiratory tract infections) per person was slightly lower in the PPI plus usual care group (26 out of 50) than in the usual care alone group (27 out of 50), but the confidence interval was wide (RR 0.96, 95% CI 0.67 to 1.39; 100 participants; very low-certainty evidence; [Analysis 1.2](#); [Summary of findings 1](#)). Using GRADE criteria, we judged the evidence for this outcome to be very low certainty.

We did not identify any evidence on pneumonia and other serious adverse events, quality of life, lung function test indices or disease-specific adverse events.

DISCUSSION

Summary of main results

We included only one study, which randomised a total of 103 participants, and we assessed the evidence to be of low to very low certainty. There is currently insufficient evidence to support the use of PPIs in people with COPD, in particular on outcomes such as pneumonia or serious adverse events.

[Sasaki 2009](#) investigated the effects of lansoprazole plus usual care versus usual care alone in people with COPD but no history or symptoms of GERD. The study measured frequencies of the common cold and COPD exacerbation for 12 months. Findings indicated that lansoprazole plus usual care led to a reduced COPD exacerbation rate compared to usual care alone. [Sasaki 2009](#) reported data on the common cold (upper respiratory tract infections), but found no difference between groups. The study did not report any data for our other prespecified primary and secondary outcomes, such as pneumonia or serious adverse events, quality of life, lung function indices and disease-specific adverse events.

Overall completeness and applicability of evidence

We could not conduct a meta-analysis because this review contained only one study. Instead, we narratively reported the findings. Caution should be used when extrapolating these results to similar populations or other settings, as there was a lack of data and the study did not use a placebo. We could not identify any studies that used PPIs other than lansoprazole, or studies that used low-dose PPIs as a comparison.

There is a lack of evidence to indicate whether treatment with PPIs might play a role in managing COPD, in terms of exacerbations and adverse events. There is also insufficient evidence to clarify the effects of PPIs on quality of life, respiratory function or disease-specific adverse events. Thus, the evidence for the benefits and harms of PPI interventions for people with COPD is incomplete. Evidence for the treatment's applicability to clinical practice is limited.

Quality of the evidence

Only one study contributed data to this review. Our assessment of its risk of bias indicated a high risk of performance bias due to unblinded participants and personnel. We were unable to pursue clinically meaningful subgroup analysis because we only included one study. We recognised the limitations and methodological shortcomings of the study, and evaluated the certainty of evidence to be low to very low, using the GRADE approach.

Potential biases in the review process

We received support from Cochrane Airways' information specialist to pursue a comprehensive and systematic literature search. Two reviewers independently selected eligible studies and evaluated the included studies following standard Cochrane methodology to minimise bias. We also made every effort to obtain missing study characteristics/data where appropriate by contacting the original study authors. As with any systematic review, we are aware of the potential publication bias in this Cochrane Review. Since we only included one study, we did not explore publication bias. For future

updates, if the number of included studies exceeds 10, we will follow recommended methods to test for publication bias.

Agreements and disagreements with other studies or reviews

We were unable to identify another systematic review investigating the efficacy and safety of PPIs in people with COPD. To our knowledge, this is the first attempt at systematically identifying, appraising and synthesising evidence on the benefits and harms of PPIs for COPD. The inclusion of one small-scale study of low to very low quality indicates that, at the moment, no concrete conclusions can be drawn regarding the effectiveness PPI as a treatment option for COPD.

Sasaki 2009 suggested that larger studies should be conducted to determine the efficacy and safety of PPI in people with COPD. Due to the limited data available from the review, it is not possible to assess whether PPIs have a positive or negative effect on COPD exacerbation and quality of life of people with COPD. However, planned future studies may help to resolve the uncertainty of PPI interventions for people with COPD.

AUTHORS' CONCLUSIONS

Implications for practice

Findings of this review are based on only one low quality randomised controlled trial (RCT), for which reporting of clinically significant results was limited. The evidence was limited by various factors, including the study being potentially underpowered, and limited reporting of important outcomes of clinical benefit and harm. There is currently insufficient evidence to guide meaningful clinical practice decisions as to the potential benefits and risks of proton pump inhibitors (PPIs) for people with chronic obstructive pulmonary disease (COPD).

Implications for research

Key aims of the care pathway for people with COPD are to reduce the rate of COPD exacerbation and to improve overall quality of life. Existing research has suggested that gastroesophageal reflux disease (GERD) is a comorbidity of COPD. However, the precise effects of PPIs on COPD are yet to be comprehensively evaluated. This is an emerging and important research direction, given that PPIs are commonly prescribed and readily available. In order to fully understand whether PPIs are a viable treatment option for COPD, researchers in the field of respiratory and gastroenterology medicine should seek to define the study population carefully, assess and report important outcomes, such as COPD exacerbations (using clear diagnostic criteria), pneumonia and other serious adverse events, quality of life, and pulmonary function.

We recommend that people with COPD who have a history or symptoms of GERD should be excluded from the study population of future RCTs. The biggest reason is ethical issues. GERD can affect

quality of life, and sometimes causes bleeding. Since PPIs are well established as first-line drugs in the management of GERD (van Pinxteren 2003), we think that if we include people with GERD in the RCTs, we should use PPIs for those in the control group as well. However, excluding people with GERD from studies might reduce adverse events such as community-acquired pneumonia (CAP). Therefore, it might be better to consider conducting a systematic review that includes non-randomised studies of PPIs for people with COPD who have a history or symptoms of GERD.

The diagnosis of GERD is made by a combination of several diagnostic modalities, including symptom presentation, response to antacid therapy, upper gastrointestinal endoscopy, histological examination, and oesophageal pH monitoring (Katz 2013; Vakil 2006). However, in clinical practice, diagnosis of GERD is usually based on typical symptoms alone, and empirical PPI therapies are recommended as a reasonable approach to confirm GERD (Katz 2013; Vakil 2006). Oesophageal pH monitoring is a unique test used to check the frequency of reflux and the association of symptoms with reflux episodes. Although it has high sensitivity and specificity in the diagnosis of GERD, it is not a simple and quick test. A guideline recommends its use in certain situations, such as non-erosive reflux disease, disease that is refractory to PPI therapy, and when the diagnosis of GERD is in question (Katz 2013). Considering the implications for practice, we recommend using typical symptoms and empirical PPI therapy rather than oesophageal pH monitoring for the diagnosis of GERD in future studies.

Moreover, future studies should employ a robust design of adequate power and methodological considerations (such as transparent reporting of randomisation methods, allocation concealment and blinding) to ensure that their are of high quality and relevance. Further evidence from robust, large-scale trials investigating clinically relevant and patient-centred outcomes such as COPD exacerbations and adverse events is warranted.

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* Indicates the major publication for the study

CHARACTERISTICS OF STUDIES
Characteristics of included studies [ordered by study ID]
Sasaki 2009
Study characteristics

Methods	<p>Design: single-blinded, multicentre randomised controlled trial</p> <p>Study duration: 12 months (October 2005 to March 2007)</p> <p>Number of study centres and location: four; Japan</p> <p>Setting: hospital outpatients (three secondary care hospitals and one tertiary care hospital)</p> <p>Withdrawals: PPI plus usual care: n = 1; usual care alone: n = 2</p>
Participants	<p>Number randomised: 103 participants with COPD (PPI plus usual care: n = 51; usual care alone: n = 52)</p> <p>Mean age (SD), age range: PPI plus usual care: 74.9 (8.9), range not reported; usual care alone: 74.8 (7.5), range not reported</p> <p>Gender, n (%) female: PPI plus usual care: 3 (6); usual care alone: 2 (4)</p> <p>Severity of COPD (Grade 1/2/3/4): PPI plus usual care: 16/14/17/3; usual care alone: 11/18/20/1</p>

Sasaki 2009 (Continued)

Diagnostic criteria: American Thoracic Society (ATS) for COPD

Follow-up duration: 12 months

Baseline lung function:

- Mean (SD) stable state FEV₁ (L): PPI plus usual care: 2.13 (0.8); usual care alone: 2.20 (0.88)
- Mean (SD) FEV₁% predicted: PPI plus usual care: 66 (20); usual care alone: 75 (23)
- Mean (SD) FEV₁/FVC ratio (FEV₁%): PPI plus usual care: 58.7 (16); usual care alone: 62.0 (15.7)

Smoking history (mean pack years): PPI plus usual care: 56; usual care alone: 50

Inclusion criteria: not stated

Exclusion criteria: People with obvious bronchial asthma, bronchiectasis, or diffuse panbronchiolitis were excluded from the trial. People with active gastric or duodenal ulcers and GERD, and with symptoms of these diseases, were also excluded. People with QUEST scores of more than 6 points were defined as positive for GERD symptoms, and were excluded from this study.

Interventions

Intervention: PPI (lansoprazole) plus usual care*

Comparison: usual care* alone

Concomitant medications: not stated

Excluded medications: not stated

Dosage of the intervention: 15 mg daily

*Usual care: participants were treated with bronchodilators, including sustained release theophylline, beta-2 agonists, inhaled anticholinergic agents, and smoking cessation. Some of the participants with Stage III and IV COPD received inhaled corticosteroids because of frequent exacerbations of COPD.

Outcomes

Outcomes:

- The rate and number of common colds
 - * The following 10 cold-related symptoms were recorded: sneezing, nasal discharge, nasal congestion, malaise, headache, chills, feverishness, sore throat, hoarseness, and cough.
 - * Symptoms were rated for severity on a scale from 0 to 3 and were recorded on daily record cards.
 - * A daily total of the symptom scores could vary from 0 to 30.
 - * A common cold was defined as a total symptom score greater than 5.
- The rate and number of COPD exacerbations
 - * Exacerbations were defined as an acute and sustained worsening of COPD symptoms requiring changes to regular treatment, including antimicrobial therapy and short courses of systemic corticosteroids.

Time point: 12 months

Notes

Funding: Ministry of Health, Labour and Welfare, Japan; Ministry of Education, Science, Culture, Sports, Science and Technology (19590690; 19790455); Japanese Foundation for Aging and Health

Notable author conflicts of interest: Dr Yamaya was partly supported by Health and Labour Sciences Research Grants for Research on Measures for Intractable Diseases from the Ministry of Health, Labour and Welfare (H20nannchiippann35) and the Respiratory Failure Research Group from the Ministry of Health, Labour and Welfare, Japan. Dr Nakayama was partly supported by a Grant-in-Aid for Scientific Research from the Ministry of Education, Science, Culture, Sports, Science and Technology (19590690) and a grant from the Japanese Foundation for Aging and Health. Dr Sasaki was partly supported by a Grant-in-Aid for Scientific Research from the Ministry of Education, Science, Culture, Sports, Science and Technology (19790455). This work was supported by these grants. The other co-authors do not have any potential conflicts of interest.

Sasaki 2009 (Continued)

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "Randomization was performed using a random number table."
Allocation concealment (selection bias)	Unclear risk	Quote: "Randomization was performed using a random number table, and the list was held independently of the investigators." Since it was not clearly stated whether the concealment was maintained we judged it as an unclear risk.
Blinding of participants and personnel (performance bias) All outcomes	High risk	Participants and study personnel remained unblinded to treatment allocation.
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Described as observer-blinded, but details about the exact role of the observers were not provided.
Incomplete outcome data (attrition bias) All outcomes	Low risk	During trial: 1/51 withdrew from the intervention group; 2/52 withdrew from the control group. Although a total of 103 people were randomised, 3 losses at follow-up were excluded from the analysis and no details were given. We contacted the author and confirmed that it was done with per-protocol rather than ITT analysis. However, we thought that the dropout rate was small so the impact on the results was small and the risk of bias was low.
Selective reporting (reporting bias)	Unclear risk	The trial was not preregistered on any appropriate clinical trial registries; study protocol not available.
Other bias	Low risk	Baseline imbalances in disease severity: participants in the intervention group appeared to have less severe disease (COPD grade I/II/III/IV: Intervention group: 16/14/17/3; control group: 11/18/20/1). However, randomisation method was appropriate and it was unlikely to have a significant effect on the results, thus the risk of other bias was low.

COPD: chronic obstructive pulmonary disease; FEV₁: forced expiratory volume in one second; FVC: forced vital capacity; GERD: gastroesophageal disease; PPI: proton pump inhibitor; QUEST: Quality of Upper Extremity Skills Test; SD: standard deviation; ITT: intention-to-treat.

Characteristics of excluded studies [ordered by study ID]

Study	Reason for exclusion
Boeree 1998	The majority of participants were people with asthma, and the rate of people with COPD was considered to be very low.
Kiljander 2000	Type of study population does not meet inclusion criteria: people with chronic persistent cough for two months or longer.
Liu 2018	Type of Intervention does not meet inclusion criteria: antireflux treatment administered was a combination of oral antacids (omeprazole) and mosapirde, a gastroprokinetic agent.

Study	Reason for exclusion
Miller 2015	Types of population and intervention do not meet inclusion criteria; oral danirixin in healthy male subjects.
NCT00214552	Type of population does not meet inclusion criteria: people with asthma only.
NCT00523367	Non-RCT: single-arm study
Sun 2016	Type of intervention does not meet inclusion criteria: combination of oral antacid (esomeprazole) with oral mosapride and tiotropium bromide.
UMIN00002056	The trial was registered but not conducted.

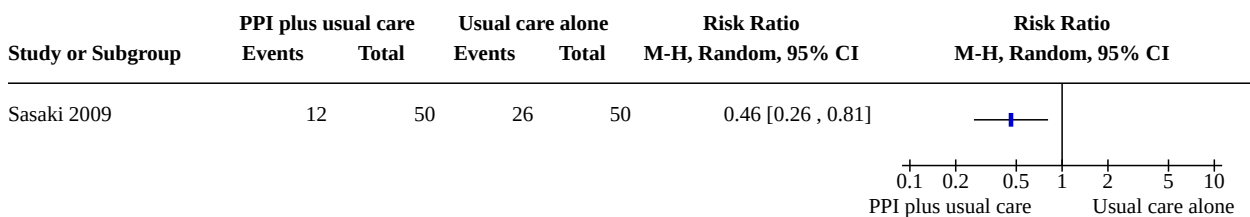
COPD: chronic obstructive pulmonary disease; RCT: randomised controlled trial

DATA AND ANALYSES

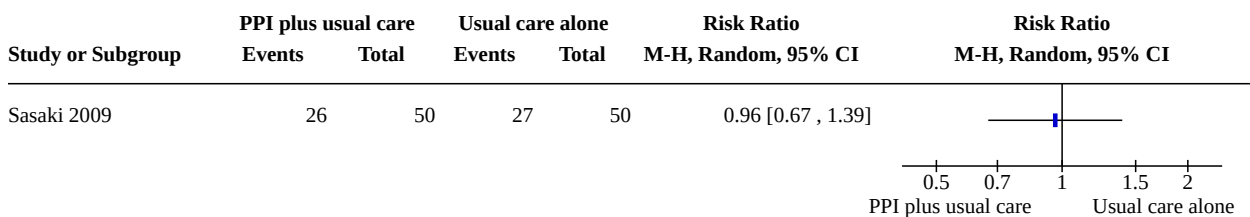
Comparison 1. PPI plus usual care vs usual care alone

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1.1 People with one or more exacerbations	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected
1.2 Acute respiratory infections	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected

Analysis 1.1. Comparison 1: PPI plus usual care vs usual care alone, Outcome 1: People with one or more exacerbations



Analysis 1.2. Comparison 1: PPI plus usual care vs usual care alone, Outcome 2: Acute respiratory infections



APPENDICES

Appendix 1. Sources and search methods for the Cochrane Airways Trials Register

Electronic searches: core databases

Database	Dates searched	Frequency of search
CENTRAL (via the Cochrane Register of Studies (CRS))	From inception	Monthly
MEDLINE (Ovid SP)	1946 onwards	Weekly
EMBASE (Ovid SP)	1974 onwards	Weekly
PsycINFO (Ovid SP)	1967 onwards	Monthly
CINAHL (EBSCO)	1937 onwards	Monthly
AMED (EBSCO)	From inception	Monthly

Handsearches: core respiratory conference abstracts

Conference	Years searched
American Academy of Allergy, Asthma and Immunology (AAAAI)	2001 onwards
American Thoracic Society (ATS)	2001 onwards
Asia Pacific Society of Respiriology (APSR)	2004 onwards
British Thoracic Society Winter Meeting (BTS)	2000 onwards
Chest Meeting	2003 onwards
European Respiratory Society (ERS)	1992, 1994, 2000 onwards
International Primary Care Respiratory Group Congress (IPCRG)	2002 onwards
Thoracic Society of Australia and New Zealand (TSANZ)	1999 onwards

MEDLINE (Ovid) search strategy used to identify studies for the Cochrane Airways Trials Register

COPD search

1. Lung Diseases, Obstructive/
2. exp Pulmonary Disease, Chronic Obstructive/
3. emphysema\$.mp.
4. (chronic\$ adj3 bronchiti\$).mp.

Proton pump inhibitors for chronic obstructive pulmonary disease (Review)

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5. (obstruct\$ adj3 (pulmonary or lung\$ or airway\$ or airflow\$ or bronch\$ or respirat\$)).mp.
6. COPD.mp.
7. COAD.mp.
8. COBD.mp.
9. AECB.mp.
10. or/1-9

Filter to identify RCTs

1. exp "clinical trial [publication type]"/
2. (randomised or randomised).ab,ti.
3. placebo.ab,ti.
4. dt.fs.
5. randomly.ab,ti.
6. trial.ab,ti.
7. groups.ab,ti.
8. or/1-7
9. Animals/
10. Humans/
11. 9 not (9 and 10)
12. 8 not 11

The MEDLINE strategy and RCT filter are adapted to identify studies in other electronic databases.

Appendix 2. Cochrane Airways Trials Register (via the Cochrane Register of Studies (CRS)) search strategy

#1	MeSH DESCRIPTOR Pulmonary Disease, Chronic Obstructive Explode All
#2	MeSH DESCRIPTOR Bronchitis, Chronic
#3	(obstruct*) near3 (pulmonary or lung* or airway* or airflow* or bronch* or respirat*)
#4	COPD:MISC1
#5	(COPD OR COAD OR COBD OR AECOPD):TI,AB,KW
#6	#1 OR #2 OR #3 OR #4 OR #5
#7	MESH DESCRIPTOR Proton Pump Inhibitors EXPLODE ALL
#8	Proton Pump Inhibitor* or "Proton-Pump Inhibitor*" or PPI or PPIs
#9	lansoprazole
#10	omeprazole

(Continued)

#11	pantoprazole
#12	rabeprazole
#13	esomeprazole
#14	dexlansoprazole
#15	vonoprazan
#16	MESH DESCRIPTOR Gastroesophageal Reflux EXPLODE ALL
#17	MESH DESCRIPTOR Laryngopharyngeal Reflux EXPLODE ALL
#18	((gastroesophageal or gastro-esophageal or gastro-oesophageal or gastroesophageal) NEAR3 reflux)
#19	GERD or GORD
#20	acid NEAR2 reflux
#21	heartburn or pyrosis
#22	{OR #7-#21}
#23	#22 AND #6

HISTORY

Protocol first published: Issue 8, 2018

Review first published: Issue 8, 2020

CONTRIBUTIONS OF AUTHORS

SK: selected studies for inclusion; extracted data from the studies and assessed the risk of bias; entered data into Review Manager 5 and performed the analyses; drafted the final review and had overall responsibility for the review.

HI: extracted data from the studies and assessed the risk of bias; entered data into Review Manager 5 and performed the analyses; drafted the final review.

YT: selected studies for inclusion.

TT: drafted the final review.

NW: drafted the final review.

Contributions of editorial team

Chris Cates (Co-ordinating Editor) checked the data entry prior to the full write up of the review, edited the review; advised on methodology; approved the review prior to publication.

Wouter van Geffen (Contact Editor): edited the review; advised on methodology, interpretation and content.

Emma Dennett (Managing Editor): co-ordinated the editorial process; advised on interpretation and content; edited the review.

Emma Jackson (Assistant Managing Editor): conducted peer review and edited the review.

Elizabeth Stovold (Information Specialist): designed the search strategy; ran the searches; edited the search methods section.

DECLARATIONS OF INTEREST

SK has no known conflicts of interest.

HI has no known conflicts of interest.

YT has no known conflicts of interest.

TT has no known conflicts of interest.

NW has research funds from the Japanese Ministry of Health, Labor and Welfare, and the Japanese Ministry of Education, Science, and Technology. He has also received royalties from Sogensha and Medical View for writings. The results in the review are completely independent of the intention of these grants.

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Internal sources

- All authors, Japan

The authors declare that no such funding was received for this systematic review.

External sources

- All authors, Japan

The authors declare that no such funding was received for this systematic review.

DIFFERENCES BETWEEN PROTOCOL AND REVIEW

Due to limited data, we could not perform analyses of the following primary and secondary outcomes: pneumonia and other serious adverse events; quality of life; disease-specific adverse events. Consequently, we could not conduct any of the prespecified subgroup and sensitivity analyses.

INDEX TERMS

Medical Subject Headings (MeSH)

*Disease Progression; Lansoprazole [*therapeutic use]; Proton Pump Inhibitors [*therapeutic use]; Pulmonary Disease, Chronic Obstructive [*drug therapy] [prevention & control]; Randomized Controlled Trials as Topic; Respiratory Tract Infections [epidemiology]

MeSH check words

Aged; Humans