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# **Analytical Approaches for ATAC-seq Data Analysis**

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# **Abstract**

ATAC-seq, the assay for transposase-accessible chromatin using sequencing, is a quick and efficient approach to investigating the chromatin accessibility landscape. Investigating chromatin accessibility has broad utility for answering many biological questions, such as mapping nucleosomes, identifying transcription factor binding sites, and measuring differential activity of DNA regulatory elements. Because the ATAC-seq protocol is both simple and relatively inexpensive, there has been a rapid increase in the availability of chromatin accessibility data. Furthermore, advances in ATAC-seq protocols are rapidly extending its breadth to additional experimental conditions, cell types, and species. Accompanying the increase in data, there has also been an explosion of new tools and analytical approaches for analyzing it. Here, we explain the fundamentals of ATAC-seq data processing, summarize common analysis approaches, and review computational tools to provide recommendations for different research questions. This primer provides a starting point and a reference for analysis of ATAC-seq data.

## **Keywords**

data analysis; ATAC-seq; chromatin accessibility; open chromatin; pipelines; bioinformatics tools

# **INTRODUCTION**

As our understanding of gene regulation has improved, so has our awareness of the increasingly complex chromatin landscape that governs that regulation. Assays to better evaluate this landscape have been rapidly developed and improved, and the Assay for Transpose Accessible Chromatin using sequencing (ATAC-seq) has become a common first step for studying gene regulation. ATAC-seq interrogates chromatin openness, or chromatin accessibility, similar to earlier assays such as DNase-seq, MNase-seq, or FAIRE-seq (Nordström et al., 2019; Sheffield & Furey, 2012). These assays identify DNA regions that are accessible to external factors, which have been shown to correspond to regulatory elements, including promoters, enhancers, and other types of elements (Klemm, Shipony, &

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Greenleaf, 2019; Pálfy, Schulze, Valen, & Vastenhouw, 2020; Sheffield et al., 2013; Song et al., 2011; Thurman et al., 2012). Activity of regulatory elements varies spatially, temporally, and among cell types to influence the binding of transcription factors and the expression of target genes (Sheffield et al., 2013; Song et al., 2011). Studying the activity of regulatory elements promises to not only increase understanding of the fundamental biology of gene regulation, but also its influence on human health and disease (Chan et al., 2018; Corces et al., 2016; Corces, et al., 2018; Hatzi et al., 2019; Lara-Astiaso et al., 2014; Polak et al., 2015; Spivakov & Fraser, 2016; Tewari et al., 2012; Wang et al., 2018).

ATAC-seq has been adopted rapidly in the scientific community, with the number of studies using ATAC-seq approaching 10,000 in just a few years (Fig. 1A). The primary factor driving this adoption is efficiency, as ATAC-seq has dramatically improved the efficiency in cost, time, and required amount of sample over previous similar assays (Buenrostro, Giresi, Zaba, Chang, & Greenleaf, 2013). ATAC-seq relies on the activity of a hyperactive Tn5 transposase (Buenrostro et al., 2013; Reznikoff, 2008). This transposase is leveraged, through a process known as tagmentation (Adey et al., 2010), to simultaneously fragment the genome while inserting sequencing adapters (Buenrostro et al., 2013). These sequences can be PCR amplified and then sequenced using 2–4 orders of magnitude fewer cells, fewer protocol steps, and less time than analogous assays (Fig. 1B; Buenrostro et al., 2013; Chang, Gohain, Yen, & Chen, 2018). Protocols for ATAC-seq have improved since it was first introduced in 2013 (Buenrostro et al., 2013; Buenrostro, Wu, Chang, & Greenleaf, 2015), for example, with improved removal of contaminating mitochondrial DNA (Corces et al., 2017; Montefiori et al., 2017) and extension to single cells (Buenrostro, Wu, & Litzenburger, et al., 2015; Cusanovich et al., 2015; Cusanovich et al., 2018). As the protocol has developed and increased in popularity, analytical approaches have also been multiplying rapidly. Here, we provide guidance for both novice and experienced analysts on the advantages and limitations of ATAC-seq analysis pipelines, methods, and tools.

#### **FUNDAMENTALS OF ATAC-SEQ DATA ANALYSIS**

A typical ATAC-seq analysis can be divided into two major components: (1) general processing of raw sequencing reads, which produces intermediate outputs like annotated peak calls; and (2) detailed downstream analysis, which is more specific to a particular biological question (Fig. 2). In general, the first step is universal to all downstream analysis types, whereas the second step then requires more specialized software.

#### **Alignment, Adapters, and Mitochondrial Reads**

Analysis of ATAC data typically starts by processing raw sequences through a series of pipeline steps into outputs relevant to detailed biological questions (Fig. 2). A generalized workflow includes the following: first, reads are screened for quality, then adapter sequences are removed, and finally the reads are aligned to a reference assembly. After alignment, many pipelines are equipped to handle high mitochondrial DNA content, because ATAC-seq libraries are prone to high levels of mitochondrial DNA, which is typically considered undesirable. While recent protocol adaptations have succeeded in reducing mitochondrial DNA using optimized reagents (Corces et al., 2017; Rickner, Niu, & Cheng, 2019) or molecular biology techniques (Montefiori et al., 2017), many pipelines address this

computationally by filtering out mitochondrial sequences. These sequences are removed through sequential alignments to mitochondrial DNA before genomic DNA, through removal of mitochondrial DNA from genome-wide genomic indices, or through blacklists of mitochondrial DNA after alignment. In our work, sequential alignment is the most accurate and computationally efficient way to eliminate mitochondrial contaminants–and also allows for later analysis of mitochondrial reads (Smith et al., 2020).

#### **Removing Duplicates**

Following adapter removal and alignment, pipelines remove read duplicates, although typical computational strategies may be overzealous in this approach if using only singleend sequencing data, since there is only a single end to compare. Single-end sequencing also provides less information, as it reduces the ability to identify PCR duplicates, which are typically removed. It also eliminates the ability to determine fragment lengths and whether identified fragments are therefore subnucleosomal or nucleosomal, which are important considerations if nucleosome positioning is of interest to the analyst. For these reasons, it is recommended to use paired-end ATAC-seq data when possible. After alignment and duplicate removal, low-quality, multi-mapping, or unmapped paired reads also typically get removed from downstream analyses.

#### **Generating Signal Tracks**

Once reads are aligned and filtered, they are shifted to accommodate the mechanics of transposase Tn5 activity (Adey et al., 2010; Buenrostro et al., 2013; Reznikoff, 2008). When the Tn5 transposase interacts with DNA, it effectively occupies about 9 bp of DNA and introduces the sequencing adapter at the 5' end of the interaction site. The Tn5 adapters are inserted in a staggered manner into the 5' ends of target sequence strands with a 9-bp gap between them (Adey et al., 2010; Buenrostro et al., 2013; Reznikoff, 2008). This means that the center of the Tn5 binding is actually 4 bp to the right of the edge on positive-strand reads, or 5 bp to the left on negative-strand reads. This shifting is intended to identify the center of the locus where Tn5 interaction occurred. An alternative approach is to account for the 9-bp size of the transposase binding event by mapping the reads as 9-bp insertion events instead of at nucleotide resolution. In either case, mapped reads are then transformed into signal tracks for visualization and further data analysis.

#### **Peak Calling**

As the goal of ATAC-seq is the identification of regions of accessible chromatin, and, by proxy, regulatory elements and sites of transcription factor binding, we must next identify those regions of interest. To do this, we identify areas of the genome that are enriched for aligned reads. These regions are identified and visualized as peaks. Calling peaks therefore represents the identification of regions of concentrated ATAC-seq signal that indicate regions of open chromatin. Peak calling necessitates choosing an appropriate peak-calling algorithm or tool that balances sensitivity and specificity of called peaks. User-defined settings can widely influence the number, width, and confidence of identified peaks (Bailey et al., 2013). Following the identification of peaks, they are typically broadly annotated into genomic partitions including known features such as promoters, exons, introns, or 3′ and 5′ UTR, among others.

Peak calling is typically the end of the general data processing pipeline that considers each sample independently. With signal tracks and called peaks for each sample, analysts are prepared for downstream analyses using more specialized analysis approaches that depend on specific user-defined biological questions.

#### **Downstream Analysis**

For detailed downstream analysis, the data is generally integrated across samples. These analyses include differential accessibility analysis, motif analysis, footprinting, and peak and region enrichment analysis. Because these analyses are more specific to particular biological questions, they are not typically performed by general-purpose ATAC-seq pipelines and must be manually performed for each study. Therefore, only a subset of these analyses will be relevant for a particular analysis, which should be determined before investing significant effort in a particular tool. We describe these analysis types in more detail in the next section.

### **SURVEY OF TOOLS FOR ATAC-SEQ ANALYSIS**

Here, we present a survey of tools divided into classes based on their primary goal. This includes four classes geared toward general ATAC-seq data processing: step-by-step analysis guides, raw sequence pipelines and workflows, quality control, and peak calling tools. The remaining tools are for more detailed downstream analyses, which we divide into five additional categories: differential accessibility, motif enrichment and footprinting, nucleosome positioning, region enrichment, and single-cell analysis. The advantages and disadvantages of the tools vary widely, and some are targeted for novices while others require an experienced analyst. Our survey provides an overview of each analysis type, along with a table of some characteristics of relevant tools, such as mode of operation, language, and update frequency, along with a link to more information.

#### **Step-by-Step Analysis Guides**

For users who would prefer following a manual, stepwise procedure, several tutorials are available to walk a user through ATAC-seq data analysis (Table 1). These guides are a great starting point for an inexperienced user, as they explain how each step is manipulating raw data toward the goal of called peaks and further analyses. Users are required only to be able to work at the command line and have experience installing prerequisites. Examples include either formal classes available publicly (Steve Parker, Rockefeller University), training guides from public platforms (Delisle, Doyle, & Heyl, 2020), or guides from individual researchers sharing their own experiences (e.g., Yiwei Niu and John M. Gaspar). These stepby-step guides are primarily educational tools and are not intended to be automatic, reusable pipelines that can be easily deployed on many samples across multiple projects; for this application, users will be more interested in the reusable pipelines described next.

#### **Raw Sequence Pipelines and Workflows**

A more common need is a standardized pipeline to process raw data through fastq processing, alignment, peak calling, and signal track generation (Fig. 2). A number of raw data processing pipelines are available (Table 2). Many comprehensive pipelines now exist, with different target audiences. Some pipelines are geared toward the bench biologist, with

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graphical user interfaces (GUIs), including both open-source (I-ATAC, GUAVA) and commercial options (Basepair). While the GUI may simplify things for some users, these tools tend to have less documentation and also give less power to the user. The majority of raw data processing pipelines are executable at a command-line interface (CLI). Among these pipelines, there is a wide range of possible pipeline end-points. Some pipelines are geared toward doing only universal analysis, ending at annotated peaks to provide a starting point for more detailed downstream analysis. Other pipelines include substantial crosssample analysis after peak calling. To delineate this distinction, we have categorized pipelines into two groups: entry-point pipelines provide a series of outputs intended as the beginning of a user-controlled downstream analysis, while *end-point* pipelines are intended as a complete analysis, running integrated analysis internally.

Entry-point pipelines (AIAP, ENCODE, PEPATAC) are generally robust and reproducible, yielding consistent processing of few to many samples. This goal necessarily excludes some downstream steps–to improve efficiency and because not all researchers may wish to do all analyses all the time. This is particularly important if those additional procedures are not specific to the biological question being investigated. In that case, those additional procedures come at the increased cost of time and computational resources. All three of the entry-point pipelines include some level of shared and novel quality-control metrics to identify quality libraries with minimal project-specific analyses included.

The majority of the pipelines are end-point oriented, with substantial downstream processing following peak calling and signal track generation. The advantage of end-point pipelines is that they require the least additional effort for a complete analysis. These pipelines typically include the ability to incorporate sample structure (case versus control) for differential analysis of accessible regions, transcription factor binding sites, or motifs. However, the cost of this convenience is a lack of customizability, as the exact downstream analysis may or may not match the requirements of a particular study, and the exact settings and assumptions must be considered. Furthermore, the increased complexity of pipelines that include numerous downstream analyses may waste analysis time and computational resources if that analysis is irrelevant for the question under investigation.

#### **Quality Control**

Raw data processing pipelines have nearly universally adopted several standard quality control (QC) metrics. Briefly, these include QC of the raw and aligned sequence data, the distribution of aligned sequence fragments to confirm the presence of nucleosomes, measures of library complexity, the fraction of reads in peaks (FRiP), and the enrichment of reads at transcription start sites (TSS). Quality-control tools are dedicated tools that provide these and more advanced QC metrics (Table 3). Advanced metrics include the enrichment of promoter signal relative to gene body, measures of the proportion of nucleosome-free reads, and measures of signal to noise.

#### **Peak Calling**

Comprehensive ATAC-seq pipelines typically employ one of just a few widely adopted peak callers, which include tools originally developed for ChIP-seq or DNase-seq experiments,

such as F-Seq (Boyle, Guinney, Crawford, & Furey, 2008), MACS (Zhang et al., 2008), or PeaKDEck (McCarthy & O'Callaghan, 2014). There are also other options built specifically for ATAC-seq data, including Genrich (Gaspar, 2018) and HMMRATAC (Tarbell & Liu, 2019; Table 4). The widely employed peak callers developed for ChIP-seq and DNase-seq experiments offer the advantage of years of demonstrated utility, support, and understanding of their strengths and weaknesses, but may neglect features of ATAC-seq data such as nucleosome positioning and transposase biases. Because ATAC-seq seeks to identify regions of open chromatin, the peak-calling step is critical, so there will likely continue to be effort dedicated to improving peak-calling tools and leveraging ATAC-specific data features to improve accuracy.

#### **Differential Accessibility**

ATAC-seq peaks correspond to regions of open chromatin, which have been shown to identify regulatory regions. One of the most common analyses is to identify differentially accessible regions. Analagous to identifying differential expression between two sample types, differential accessibility can demonstrate how gene regulation is governed in different biological settings. Typically, differential regions are identified by counting sequencing reads in individual peaks and then using mainstream count-based statistical tests to assess for statistical differences. Most analysis uses popular R packages for count-based data, such as edgeR (McCarthy, Chen, & Smyth, 2012; Robinson, McCarthy, & Smyth, 2010), DESeq2 (Love, Huber, & Anders, 2014), or DiffBind (Stark & Brown, 2011). While designed for other data types, e.g., RNA-seq, because ATAC-seq data is count-based, the statistical assumptions are often transferable.

After identifying differentially accessible regions, we typically want to better understand what factors are acting at these regions. A common follow-up is to identify which transcription factors are also differentially active between scenarios (Table 5). To accomplish this, there are at least two tools optimized to work with ATAC-seq data to identify differential transcription factor activity. By incorporating chromatin accessibility information and reported transcription factor binding sites, it becomes possible to identify differential TF activity (DAStk, Tripodi, Allen, & Dowell, 2018; diffTF, Berest et al., 2019). Should an experiment also include corresponding gene expression information, it is possible to then classify differential transcription factors as activators or repressors (Berest et al., 2019).

#### **Motif Enrichment and TF Footprinting**

Another common analysis of differentially accessible regions is de novo motif analysis, which entails looking for an overrepresentation of transcription factor motifs in regions of interest relative to some background set. Motif discovery is typically used in analysis of ChIP-seq data, but is also relevant for accessible chromatin peaks with some specificity, such as for a particular cell type or treatment. Motif discovery has been an ongoing field of study for decades, and there are many tools to identify enriched motifs (Bailey et al., 2009; Berest et al., 2019; Galas & Schmitz, 1978; Heinz et al., 2010; Tripodi et al., 2018). Tools initially designed for ChIP-seq or DNase-seq experiments have been widely applied to ATAC-seq data as well (MEME Suite, Bailey et al., 2009; HOMER, Heinz et al., 2010). There are now

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dozens or hundreds of individual motif-finding tools (Hashim, Mabrouk, & Al-Atabany, 2019).

A related approach called footprinting explores the microarchitecture of reads within peaks to identify physical evidence of bound transcription factors that *decrease* the accessibility at small binding sites (typically under 20 bp) within an overall area of higher accessibility (Table 6; Vierstra & Stamatoyannopoulos, 2016). Following the introduction and rapid adoption of DNase-seq, the number of tools to perform TF footprinting rapidly expanded. A number of these were designed for DNase-seq, but have often been employed using ATACseq data successfully (CENTIPEDE, Pique-Regi et al., 2011; PIQ, Sherwood et al., 2014; DNase2TF, Sung, Guertin, Baek, & Hager, 2014; BinDNase, Kähärä & Lähdesmäki, 2015; Wellington, Piper et al., 2013; Piper, Elze, et al., 2013; TRACE, Ouyang & Boyle, 2019). One advantage of using tools designed for DNase-seq simply lies in their demonstrated utility, even when applied to ATAC-seq data. Yet, there are unique features of ATAC-seq data including nucleosome positioning information and transposase cleavage biases that can be used to inform on TF footprinting. Research has shown that biases and transcription factor dynamics must be carefully considered when interpreting results of footprinting analysis, whether from DNase-seq or ATAC-seq assays (Calviello et al., 2019; Martins, Walavalkar, Anderson, Zang, & Guertin, 2017; Sung, Baek, & Hager, 2016). Newer tools either have specific settings to work with ATAC-seq data, or were designed specifically for ATAC-seq and may be more appropriate going forward (DeFCoM, Quach & Furey, 2017; TOBIAS, Bentsen et al., 2019; HINT-ATAC, Li, Schulz, et al., 2019; BiFET, Youn, Marquez, Lawlor, Stitzel, & Ucar, 2019).

#### **Nucleosome Positioning**

Nucleosome positioning is crucial in a number of DNA regulatory processes, particularly gene expression, and may be directly interrogated using ATAC-seq data (Radman-Livaja & Rando, 2010; Schep et al., 2015; Struhl & Segal, 2013). ATAC-seq is designed to assay regions of open chromatin–in other words, to identify regions not currently packaged into nucleosomes. As a consequence of this, sequenced fragment lengths and alignments occur in structured patterns that inform on the presence and positioning of nucleosomes (Table 7). Essentially, short ATAC-seq fragments represent nucleosome-free regions, and longer fragments represent nucleosome-associated DNA (Buenrostro et al., 2013). The earliest tool, NucleoATAC (Schep et al., 2015) reports the position and occupancy of nucleosomes. Building on the fact that this information is inherent in ATAC-seq data, later tools have extended the biological information that can be obtained from a more thorough understanding of nucleosome positioning. The use of nucleosome positioning information may now be easily compared between sample conditions, which ultimately allows for concurrent identification of transcription factor binding sites alongside additional epigenetic marks (NucTools, Vainshtein, Rippe, & Teif, 2017). Furthermore, this information may be leveraged to improve peak calling by incorporating nucleosome positioning and enrichment to more accurately predict true positive open chromatin (HMMRATAC, Tarbell & Liu, 2019).

#### **Region Enrichment**

A widely successful analysis type for gene expression data is gene ontology analysis or gene set enrichment analysis, which can be extended to region-based enrichments. In this context, instead of genes as the units of interest, the analysis is done on non-coding regions corresponding to regulatory elements. As chromatin accessibility has increased, so has interest in assigning biological meaning to non-coding loci. Region-set enrichment analyses are one approach to this problem. Generally, these tools compare a set of regions of interest (i.e., called peaks) to regions with known biological function. The tools then assess similarity to determine whether there are significant enrichments of overlap between the region sets. This approach can function by identifying significantly enriched GO terms (GREAT, McLean et al., 2010) and/or by comparing any previously annotated region set with your unknown peak set (regioneR, Gel et al., 2016; LOLA, Sheffield & Bock, 2016; annotatr, Cavalcante & Sartor, 2017; GIGGLE, Layer et al., 2018). Therefore, to assign more meaningful biological relationships to annotated ATAC-seq peaks, one can investigate what specific biological features are correlated or enriched in your peak set (Table 8). These tools and other related tools have been reviewed elsewhere in detail (Dozmorov, 2017; Simovski et al., 2018).

#### **Single-Cell**

Although single-cell ATAC-seq (scATAC-seq) is only a few years old (Buenrostro, Wu, & Litzenburger et al., 2015; Cusanovich et al., 2015), the number of available analysis tools has proliferated rapidly (Table 9). A primary challenge to any single-cell sequencing assay is the sparsity of data. For that reason, modifications to general ATAC-seq data processing are necessary. Tools specific to single-cell ATAC-seq analysis include both raw processing pipelines (Cell Ranger ATAC; BROCKMAN, de Boer & Regev, 2018; Scasat, Baker et al., 2019; SnapATAC, Fang et al., 2019; scATAC-pro, Yu, Uzun, Zhu, Chen, & Tan, 2019) and downstream analysis tools, particularly for clustering individual cells into separate cell-type populations (BAP, Lareau et al., 2019; scABC, Zamanighomi et al., 2018; SCALE, Xiong et al., 2019) and identifying transcription factor accessibility (SCRAT, Ji, Zhou, & Ji, 2017; chromVAR, Schep, Wu, Buenrostro, & Greenleaf, 2017; Cicero, Pliner et al., 2018; cisTopic, Bravo González-Blas et al., 2019; scOpen, Li & Kuppe, et al., 2019). Single-cell ATAC-seq analysis is a rapidly changing area, with many of these tools published only within the past year.

# **CONCLUSION**

Chromatin accessibility analysis is becoming increasingly relevant for a range of biological research areas. As scientists realize the richness of chromatin accessibility data, new analytical approaches and tools are being developed. At the same time, chromatin accessibility analysis is now approachable by individuals with a wider range of perspective and experience. This has led to a wide increase in biological results, tools, and analytical approaches.

In our survey of ATAC-seq analysis tools, we identified more than 50 tools employed specifically for ATAC-seq data analysis. In assessing this diverse range of tools, we have

found it useful to categorize them by primary aim. Because the diversity and number of available tools and approaches is likely only to increase as ATAC-seq analysis becomes mainstream, we believe it will be important to continue to revisit such tool surveys as the field develops. To address this, we maintain an expanding list of ATAC-seq tools at [https://](https://github.com/databio/awesome-atac-analysis) [github.com/databio/awesome-atac-analysis.](https://github.com/databio/awesome-atac-analysis) These summaries provide novices with a basic understanding and starting point, and also give experienced analysts a reference resource to provide ideas for more detailed analysis.

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# **Figure 1.**

(**A**) Increasing prevalence of 'ATAC-seq' DataSets in the Gene Expression Omnibus (GEO). Color = species; gray line = fitted exponential growth model. (**B**) Generalized ATAC-seq library preparation protocol.





### **Figure 2.**

ATAC-seq general workflow. Raw reads are processed through a series of steps to produce uniform intermediate results, which can then be further analyzed with more specific analyses relevant to a biological research question.

Step by Step Guides to Performing ATAC-seq Data Analysis Step by Step Guides to Performing ATAC-seq Data Analysis





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**Table 2.**

Raw ATAC-seq Data Processing Pipelines Raw ATAC-seq Data Processing Pipelines

ATAC-seq Advanced Quality Control Metric Tools ATAC-seq Advanced Quality Control Metric Tools



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**Table 4.**





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**Table 5.**

Tools to Investigate Differentially Accessible Regions Tools to Investigate Differentially Accessible Regions





 Author ManuscriptAuthor Manuscript **Table 6.**

Motif Enrichment and Transcription Factor Footprinting Tools Motif Enrichment and Transcription Factor Footprinting Tools



Tools to Investigate Nucleosome Positioning Tools to Investigate Nucleosome Positioning





# **Table 8.**

Tools to Investigate Region Enrichement Tools to Investigate Region Enrichement





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# **Table 9**

Available Tools for Single-Cell ATAC-seq Data Processing Available Tools for Single-Cell ATAC-seq Data Processing

