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## Human Pluripotent Stem Cell-Derived Models and Drug Screening in CNS Precision Medicine

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### Abstract

Development of effective therapeutics for neurological disorders has historically been challenging partly due to lack of accurate model systems in which to investigate disease etiology and test new therapeutics at the pre-clinical stage. Human stem cells, particularly patient-derived induced pluripotent stem cells (iPSC) upon differentiation, have ability to recapitulate aspects of disease pathophysiology, and are increasingly recognized as robust scalable systems for drug discovery. We review advances in deriving cellular models of human central nervous system (CNS) disorders using iPSCs along with strategies for investigating disease-relevant phenotypes, translatable biomarkers and therapeutic targets. Given their potential to identify novel therapeutic targets and leads, we focus on phenotype-based, small-molecule screens employing human stem cell-derived models. Integrated efforts to assemble patient iPSC-derived cell models with deeply annotated clinicopathological data, along with molecular and drug-response signatures, may aid in stratification of patients, diagnostics and clinical trials success, shifting translational science and precision medicine approaches. A number of remaining challenges, including optimization of cost-effective, large-scale culture of iPSC-derived cell types, incorporation of aging into neuronal models, as well as robustness and automation of phenotypic assays to support quantitative drug efficacy, toxicity and metabolism testing workflows are covered. Continued advancement of the field is expected to help fully ‘humanize’ the process of CNS drug discovery.

### Keywords

human induced pluripotent stem cells; neuroscience; psychiatric disorders; neurodegenerative disorders; screening; drug discovery

### Introduction

Central nervous system (CNS) diseases represent one of the most challenging areas for drug development and successful clinical trials.<sup>1–3</sup> Parallel to a general increase in human lifespan, the economic burden of aging-associated neurological diseases is on the rise, with

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more than 44 million people affected by dementia worldwide, including 5.4 million Americans affected by Alzheimer's disease (AD).<sup>4-5</sup> On the other end of the spectrum, epidemiological data on pediatric neurological diseases is more scarce, but high numbers are attributed to encephalopathy, epilepsy, cerebral palsy, autism spectrum disorders (ASD) and intellectual disability. Collectively, these diseases represents a significant proportion of global disease burden, contributing to early mortality and years lived with disability dependent on geographic location and socioeconomical factors.<sup>6</sup> The heterogeneity of symptoms, age range and less than optimal diagnostic tools make CNS disorders difficult to study, diagnose and treat. While significant progress has been made for diseases like multiple sclerosis, stroke and cancer, the failure rate in neurodegenerative and psychiatric clinical trials is high, making the need for new research and innovation in drug discovery urgent.<sup>2-4, 7-8</sup>

Reliable disease biomarkers, which require understanding of disease-specific molecular profiles, can be used for diagnosis and can report on patient's response to experimental therapeutics and successful drug development, but are not necessarily the same.<sup>1</sup> However, the landscape of biomarkers in neurology, specifically in neurodegeneration, is modest due to an incomplete understanding of disease molecular mechanisms and how it relates to clinical symptomology and pathology. Also, for brain diseases, access to disease-affected tissue and samples is severely limited.<sup>1, 3</sup> AD serves as one example of how biomarkers (*e.g.* positron emission tomography (PET) and cerebrospinal fluid (CSF) levels of amyloid- $\beta$  and tau) have contributed to a better understanding of disease onset and progress. Here, collaborative, worldwide consortia (*e.g.* Alzheimer's Disease Neuroimaging Initiative, ADNI), are shaping diagnostics as well as drug development.<sup>1, 9-10</sup> Amyotrophic lateral sclerosis (ALS) serves as another example for which a range of measurable biomarkers are available, including neurophysiological measurements of motor neuron damage, imaging techniques (*e.g.* PET, functional magnetic resonance imaging) and CSF markers of blood-brain barrier dysfunction, axonal degeneration and neuroinflammation.<sup>11</sup> Even so, early stage ALS is difficult to diagnose, with more than 20 years and 50 clinical trials since the only approved mildly efficacious drug, riluzole, was approved.<sup>12</sup> For many other neurological diseases, post-mortem brain tissue is still the only source of definitive diagnosis, but it is not the best tool to study the mechanisms of disease etiology or course of pathology.

The norm is still a great reliance on studies using non-human genetically engineered model systems that address specific aspects of disease at a cellular or molecular level, but fail to develop other important phenotypes of human disease and that do not fully reflect drug efficacy.<sup>3</sup> It is now clear that to succeed in CNS drug development, research findings need to be verified against accurate disease models. In this context, progress in the field of human stem cell research, and in particular implementation of patient-specific cellular models, is shifting translational medicine (Fig.1).<sup>13</sup>

In 1998, Thomson *et al.* published for the first time a methodology for isolating and culturing human ESCs from blastocysts.<sup>14</sup> These pluripotent cells, or embryonic stem cells (ESCs), showed capacity to differentiate into any cell type, however, the need to harvest cells from human embryos raised major ethical concerns. In 2006, Yamanaka and colleagues

demonstrated that human mature somatic cells, such as dermal fibroblasts, could be reprogrammed into iPSC through cellular retroviral transduction of four key transcription factors: OCT3/4, SOX2, c-MYC, and KLF4, now commonly referred to as ‘Yamanaka factors’.<sup>15–16</sup> In 2012 Dr. Shinya Yamanaka, and Dr. John Gurdon for his earlier work on the concept of reprogramming, were awarded the Nobel Prize in Physiology & Medicine. Subsequent progress has increased the efficiency and robustness of reprogramming strategies from fibroblasts, blood, hair and endothelial cells (*e.g.* urine), as well as implementation of integration-free reprogramming with DNA plasmids or non-integrating Sendai virus,<sup>17–19</sup> mRNA transcription factors, micro-RNAs (miRNA) and small molecule cocktails.<sup>17, 19–23</sup> Human stem cells are proving to be fundamental tools in cell-replacement therapies, study of human neuro-development, and as genetically-accurate systems to study human disease.<sup>13, 24–36</sup>

Stem cells have the capacity for self-renewal in culture and are able, in theory, to differentiate into any cell type of the body, provided the appropriate culture conditions.<sup>15–16, 37</sup> Given these properties, stem cells are highly relevant for the development of *ex vivo* models of human disease affecting tissues and cell types that are of a limited availability and cannot be accessed non-invasively, namely the nervous system. As advantages of certain technologies over others become more evident, continued advances focus on refining the robustness and accuracy of iPSC-derived cellular models. The urgent need for better therapies has also spiked interest in the use of patient-specific iPSC-derived cells in the drug discovery process, particularly in the early testing of efficacy and toxicity of new drug candidates, with the goal of increasing clinical trials success (Fig.2).<sup>38–39</sup> With traditional approaches, transgenic animal and immortalized cell models are relied upon for drug screening, efficacy, toxicity and mode-of-action studies, and where the ‘human context’ is often only implemented later at the clinical trial phase. In contrast, patient iPSC-derived cell models fundamentally introduce the ‘human context’ early in the discovery pipeline at a pre-clinical phase. Genotypic and phenotypic molecular signatures, together with drug response profiles in a physiological- and disease-relevant context, fundamentally aid in understanding complex disease molecular mechanisms (Fig.2).

Here, we will review several approaches to generate patient iPSC-derived cellular models, genotypic and phenotypic methodologies to identify therapeutic targets, high throughput (HTS) and high content (HCS) approaches for drug screening, as well drug efficacy and toxicity testing. A number of key challenges will also be addressed.

## Strategies for human *ex vivo* stem cell differentiation into neurons

To aid in the study of neurological diseases and for drug screening, human iPSCs are converted into the cell types of interest, *i.e.* the most relevant and affected cells in any particular disease. Generation of functionally specialized neural subtypes relies on manipulation of culture formats in the presence of specific factors that promote the conversion of pluripotent cells into neural progenitors, neurons and glia (Fig.3). Several protocols have been developed to differentiate human stem cells into neurons<sup>40–45</sup> and microglia,<sup>46–48</sup> but cellular maturation and aging for disease modeling are still challenging. Initially, many typically labor-intensive and time-consuming protocols that lacked scalability

and reproducibility, gave rise to sparse numbers of neurons in culture, which was a major challenge for phenotypic studies or drug screening. Then, work such as the one by Li *et al.*<sup>45</sup> showed that small molecule-based (GSK-3 $\beta$ , TGF $\beta$  and Notch inhibitors, plus LIF3) neuronal differentiation directly from stem cells, through high efficiency derivation of multipotent neural stem cells, could originate forebrain, midbrain and hindbrain neuronal and glial subtypes. Otherwise, to circumvent the low throughput associated with stem cells direct differentiation, methods relying on expansion of intermediate stages have been developed. Presently, two-dimensional (2D) or three-dimensional (3D) methodologies of neural differentiation show incredible potential for generation of suitable cultures for different goals of disease study and small molecule screens, examples of which are covered below (Fig.3). Notably, it is at the discretion of the investigator to judge the most suitable protocol for a specific disease model, depending on the molecular pathways possibly involved in disease that should not be affected by the protocol implemented.

### Neural progenitor cell-based differentiation

Stem cells can be differentiated through capture of an intermediate state of neural progenitor cells (NPCs) that consist of homogenous, expandable and self-renewable multipotent cells under a defined medium with growth factors (EGF, FGF).<sup>49–57</sup> NPCs can then be differentiated into astrocytes, oligodendrocytes, and functional, electrically active neurons. A key breakthrough by Chambers *et al.* revealed that, early in iPSC differentiation, inhibition of BMP and TGF $\beta$  signaling, termed dual-SMAD inhibition, selectively blocked endoderm and mesodermal cell fates and significantly enriched cultures for neural ectoderm lineage progenitors, *i.e.*, NPCs.<sup>49</sup> Alternatively, iPSCs can form embryoid body-like aggregates (EBs) and subsequently neural rosette structures that are precursors of NPCs (Fig.3). Differentiation capacity of iPSCs can be enhanced by promoting the formation of EBs under non-adherent culture conditions<sup>58</sup> or in microwell plates designed to promote uniform EB size, maximize neural rosette formation and NPC output (StemCell Technologies, Inc.).<sup>51, 54</sup> Then, selection and dissociation of neural rosettes into a monolayer of NPCs can be further enriched for cortical precursors by fluorescence-activated cell sorting (FACS) of specific cell-surface markers (CD184-positive, CD133-positive and CD271-negative).<sup>51, 54, 56</sup> This is important for producing CNS-enriched NPCs with high homogeneity and extensive proliferative capacity, over peripheral nervous system neural crest cells.<sup>50–51, 56, 59</sup> These NPCs have been stably propagated in culture for up to one year,<sup>50</sup> or at least 50 passages,<sup>51</sup> maintaining differentiation potential and karyotype integrity.<sup>50, 54</sup> By withdrawal of growth factors from the culture medium, differentiation can be initiated and maintained for more than 5 months, without selectivity for a specific neuronal subtype.<sup>51, 53–55</sup> Alternatively, neural rosettes derived from EBs are dissociated into NPCs, passaged up to 3 – 4 times and immediately differentiated in media supplemented with neurotrophic factors (*e.g.* BDNF, GDNF).<sup>60</sup> Although the latter is a quicker protocol, the neuronal count obtained is lower because of the earlier limitations in NPC propagation. Both methods originate mature neurons with production of strong action potentials. Variations of these protocols include elimination of EB formation, where iPSCs directly originate neural rosettes and NPCs, and subsequently somewhat scalable cultures of mainly glutamatergic neurons in a total of 8 weeks.<sup>61</sup> A drawback of these methods is that neuronal maturation requires a period of more than 7 months, and cells need to be re-plated due to loss of plate-

coating adherence, relying then on the regenerative capacity of each cell line to continue differentiating.<sup>62</sup>

### Directly induced neurons

One limitation associated with iPSCs is reprogramming itself, which has led to the development of methods of direct conversion of somatic cells, like dermal fibroblasts, into induced neurons (iNs). This is accomplished by overexpression of a set of transcription factors<sup>33, 63–66</sup> or miRNAs<sup>23, 43, 67</sup> that promote chromatin remodeling (transcriptional programming) and drive direct neural lineage differentiation, bypassing the need for iPSC generation (Fig.3). Perhaps one of the most attractive advantages might be that bypassing reprogramming reduces disruption of epigenetic marks associated with the age of the somatic cells, allowing to create neuronal models at “pathogenic ages”.<sup>68</sup> The ability to recapitulate age-related characteristics of human neurons in culture has been demonstrated by conversion of fibroblasts from postnatal and near centenarian donors. Direct comparison of the resulting iNs demonstrated that multiple age-associated marks were preserved, including DNA methylation patterns, transcriptomic and microRNA profiles, oxidative stress, DNA damage (loss of heterochromatin and nuclear organization) and telomere length, and were highly predictive of the age of the donor fibroblasts.<sup>69</sup> Transdifferentiation of patient somatic cells (fibroblasts) into induced motor neurons (iMNs) has also been done successfully, through transgenic co-expression of seven to eight transcription factors (BRN2, ASCL, MYT11, LHX3, ISL1, NGN2, HB9).<sup>41, 70</sup> iMNs also display unique age-related cellular characteristics not observed in iPSC-derived MNs. Moreover, co-culture with ALS patient-derived glial cells reveals drastic phenotypes such as cell death, suggesting that this system is suitable for modeling late-onset pathogenesis.<sup>41, 70</sup> Alternatively, Lee *et al.*<sup>71</sup> developed a strategy to generate induced NPCs (iNPCs) directly from neonatal and adult peripheral blood using a single-factor OCT4 reprogramming with dual-SMAD and GSK-3 $\beta$  inhibition. Blood-derived iNPCs were successfully differentiated into glia (astrocytes and oligodendrocytes) and both CNS (dopaminergic) and peripheral nociceptive neurons. More recently, Miskinyte *et al.*<sup>72</sup> demonstrated that BRN2, MYT1L and FEZF2 transcription factors can convert fibroblasts into functional excitatory cortical neurons with electrophysiological properties, pyramidal-like cell morphology and expression of cortical projection neuronal markers. Concomitant treatment with small molecules and microRNAs was able to increase initial efficiency by 16%. By combining directed differentiation and transcription factor reprogramming, Nehme *et al.*<sup>73</sup> showed that NGN2 together with SMAD and WNT inhibition generates iNs of mixed differentiation states, ranging from NPC-like to mature excitatory glutamatergic neurons with measurable AMPAR and NMDAR-mediated synaptic transmission.

While undoubtedly promising, technical restrictions of iNs include genomic instability resulting from increased somatic cell expansion requirement (need for larger starting number of cells), deficient maturation and relatively low yields. All of these are limiting factors for routine or large-scale studies, such as drug screening.

## iPSC-derived neuronal subtypes and co-culture systems

The necessity to study neuronal-specific mechanisms of pathology has contributed to significant advances in creating robust and scalable protocols for derivation of specific neuronal types, many based on overexpression of different transcription factor combinations (Fig.3).<sup>33, 74</sup> Still, more “mixed” types of cultures and co-cultures allow dissecting differential phenotypes within a population of neurons, without losing possible cell non-autonomous mechanisms of disease etiology (*e.g.* glia contribution to neuronal death in ALS).<sup>75–76</sup>

Regarding brain region specificity, Sarkar *et al.*<sup>77</sup> established a protocol to generate hippocampal-patterned NPCs (by co-inhibition of WNT, TGF $\beta$ , SHH and BMP signaling pathways) and differentiate CA3 pyramidal neurons by treatment with recombinant WNT3A, BDNF, ascorbic acid and cAMP. The resulting cells included secretagogin (SCGN)-expressing CA3 neurons, which were employed to study dentate gyrus CA3 mossy fiber electrophysiological activity deficits in schizophrenia models. Meanwhile, Rajamani *et al.*<sup>78</sup> optimized a chemically-based inducing media, without the standard neuronal supplements, to generate hypothalamic-like neurons from human iPSCs, in a three-phase method that encompasses specification of neural ectoderm, patterning toward ventral diencephalon, and maturation of hypothalamic-like neurons. The formed cells were shown to express hypothalamus genetic- and protein-specific markers, validating this cellular system to study neuronal dysfunction in anorexia nervosa, diabetes, obesity and anxiety disorder.

With relevance to several neuropsychiatric diseases, iPSC can be differentiated through medial ganglionic eminence progenitors into GABAergic interneurons, using a chemical-based system,<sup>79</sup> or sonic hedgehog (SHH) enhancers in concert with WNT suppressors.<sup>80–81</sup> Within approximately 25 days of differentiation, neurons express ventral (ISL1, OLIG2, ASCL1), forebrain (FOXP1), and GABAergic markers (LHX8, LHX6).<sup>82–83</sup> Also, iPSCs can be converted into rostral hindbrain neural stem cells and differentiated into serotonin neurons by activating simultaneously WNT (GSK-3 $\beta$  inhibition), SHH and FGF4 signaling pathways with a >60% success rate.<sup>84–85</sup> Zhang *et al.*<sup>22</sup> developed a protocol for human ESCs/iPSCs conversion into glutamatergic neuronal cultures with a “nearly 100% yield”, through stable overexpression of a single transcription factor, neurogenin-2 (iNGN2), that converts stem cells or NPCs into excitatory glutamatergic forebrain neurons.<sup>22, 51, 86</sup> The resulting cells express neuronal-specific genes and morphology as early as 2 weeks, and are electrophysiologically responsive to glutamate receptor antagonists within 3 weeks.<sup>22</sup> This method has already demonstrated an incredible consistency and ease of use in large-scale production of neuronal cultures for small molecule HTS assays (*Cheng C. and Haggarty S.J. et al.* in preparation).<sup>86</sup>

To study motor neuron degenerative diseases such as ALS and spinal muscular atrophy (SMA), a number of protocols have been developed to differentiate ESCs/iPSCs into functional corticospinal motor neurons (MNs).<sup>87–88</sup> Directing pluripotent stem cells toward MN fate relies on the use of small molecules and recombinant signaling molecules, in three main phases: neuralization through GSK-3 $\beta$  and dual-SMAD inhibition, caudalization with retinoic acid (SHH agonist) and ventralization by SHH activation. Then, MN progenitors

rely on neurotrophic factors (GDNF, BDNF, CNTF) for axon projection and differentiation into MNs.<sup>88–89</sup> Within 2–4 weeks electrophysiologically active, HB9<sup>+</sup>/ISL1<sup>+</sup> MNs can be detected.<sup>49, 87, 90</sup> To further increase efficiency, Hester *et al.* coupled direct differentiation by overexpression of *LHX3*, *ISL1* and *NGN2* (LIN factors), with RA and SHH,<sup>91</sup> enabling differentiation of mature MNs with measurable electrophysiological activity at 11 days. Dedicated literature on MNs generation clearly suggests that subtle differences in timing, cell plating and media composition can strongly influence yield, purity and phenotype.<sup>87–88, 90, 92</sup>

Neuronal-only cultures are limited in their ability to accurately re-capitulate network functionality, neuronal development, pruning and activity. In this regard, glial cells, including astrocytes, oligodendrocytes and microglia, are of great importance.<sup>48, 93–94</sup> For example in ALS, the extent to which neuronal death is an intrinsic process exacerbated by pathological interactions with other surrounding cell types, such as microglia and astroglia, has become an area of intense investigation.<sup>75</sup> Eggen *et al.* pioneered a method for large-scale production of MNs from hESCs in a co-culture system with glial cells to study ALS;<sup>75–76</sup> while others have focused on astrocytes co-cultures with human iPSC-derived neurons, with promising results for maturation and synaptic function.<sup>56</sup>

### iPSC-derived three-dimensional cerebral organoids

Human neurons differentiated in 2D formats have limited spatial network organization, have restricted inter-cellular interactions, and have reduced cell-extracellular matrix interactions, and in this regard, do not recapitulate brain physiological characteristics. To circumvent these limitations, over the past decade there has been a great effort in deriving 3D neural cultures, termed organoids (Fig.3).<sup>24–25, 95–99</sup> Basal hydrogels and culture chambers that attempt to recreate the physics of the brain environment have been used to promote iPSCs differentiation into 3D structures.<sup>24–25, 100–101</sup> Based on the premises that iPSCs have self-organizing capacity, Lancaster *et al.* developed the first protocol for growing human iPSC-matrigel droplets and then transfer these 3D neural structures into spinning bioreactors to strengthen the exchange of nutrients and oxygen across the cell matrix.<sup>25, 97</sup> In the 3D microenvironment, cell-to-cell and cell-to-extracellular matrix interactions influence spatial arrangement, as well as cellular functions such as proliferation, differentiation, morphology, gene and protein expression, and cellular responses to external stimuli that may be more relevant when modeling brain disease.<sup>102</sup> Organoids have also been shown to allow faster neuronal maturation and higher degree of cellular diversity, with more rapid formation of dendritic spines and spontaneously active neuronal activity, recapitulating key aspects of cortical cellular identity and multi-regional architecture, including an outer radial glia population similar to human early fetal brain.<sup>29</sup> However, despite an impressive self-organization of layered cellular structures, organoids generally lack GABA interneurons, and organoid-to-organoid heterogeneity is still high without reproducible anatomical structures that resemble *in vivo* tissue spatial distribution.<sup>103</sup> Also, the cells in the core of the organoids tend to reveal some necrosis and loss of differentiation capacity after about 3 months in culture, due to the lack of nutrients and gas exchange.<sup>25, 104</sup>

The utility of organoids for disease model phenotyping and drug screening depends upon robust and reproducible standardized protocols for generating homogeneous populations of organoids at large scale. For this reason, investment has been made towards increasing homogeneity and scalability of 3D cultures for long-term cell maintenance and maturation, using miniaturized plate-based spinning bioreactors.<sup>97, 104–106</sup> Rigamonti *et al.*<sup>62</sup> described a method for large-scale production of “high purity” cerebral organoids, maintained for an extensive period of time (>40 days) in suspension in spinner flasks, originating mature and electrophysiologically functional cortical and spinal cord MNs at a scale amenable to drug screening. These spin cultures dissociated spheres could then be further differentiated in monolayer cultures for as long as 4 months. Meanwhile, Sloan *et al.*<sup>107</sup> developed a strategy to generate astrocytic cells in 3D, starting from iPSC-derived cerebral cortical spheroids. Through elegant strategies of cell purification and selection up to 20 months *in vitro*, the resulting glia cells closely resemble primary human fetal astrocytes and, over time, transitioned from a predominantly fetal to an increasingly mature astrocyte state, concomitant with phagocytic capacity and calcium signaling.

Medical research and therapeutic potential of organoids have been areas of great interest, with the offer of new platforms for brain neurological disorders investigation, regenerative medicine and drug testing.<sup>97, 105, 108</sup> The expectation is that drug testing in disease-specific organoids may not only better recapitulate patient’s response and tolerability but could, potentially, exclude the use of animal testing where many drugs seem efficacious only to later fail in clinical trials.<sup>3, 24–25, 109–110</sup> Still, 3D cerebral organoids lack vasculature, which plays a vital role in neurophysiology and drug delivery.

## Stem cell-derived models advancing the study of human CNS disorders

A major obstacle in studying human CNS diseases has been the inaccessibility to diseased tissue or other specimens for research, especially if it requires routine patient sample collection. Even in cases where tissue is available, terminally differentiated cells such as neurons cannot be maintained nor propagated in culture, prohibiting the possibility of experimental repetition and scalability. Conversely, readily accessible tissues, such as lymphocytes, do not necessarily recapitulate the molecular pathways and proteins involved in disease. Traditional approaches have relied on transgenic animals and immortalized cell lines, through overexpression of human transgenes predicted to be causal to disease, in a particular cell-type amenable to phenotypic analysis. These have been instrumental in identification of genetic and protein causal relationships to specific phenotypes and have led experimental therapeutics through pre-clinical efficacy and safety tests. But sole reliance on these model systems has had poor success and led frequently to high-cost failed human clinical trials.<sup>1, 5, 30</sup> This is thought to be the result of poor translation of phenotypic findings and small molecule properties in physiological and genomic heterologous model systems. This translational gap is starting to be addressed by human iPSC-derived model systems that allow access to physiologically relevant cell types and protein complexes without the need for overexpression of heterologous genes. Thus, patient iPSC-derived neurons represent unique *ex vivo* neuronal 2D or 3D networks that allow investigating disease-relevant genetic and molecular pathways in endogenous and physiological cellular microenvironments.<sup>24, 30, 97, 111</sup> By taking into account the patient genomic background, one can generate both



familial and sporadic forms of disease models, without need to have a *priori* knowledge of the genetic cause to create a useful model to study disease.<sup>27, 112–114</sup> By recapitulating molecular and cellular phenotypic aspects of the disease *ex vivo*, iPSC-derived neuronal and glial cells have started to show great impact in the understanding of particular aspects of neurological diseases. Furthermore, genetic manipulation techniques, such as mediated by clustered regularly interspaced short palindromic repeats (CRISPR)-associated protein 9 (Cas9), have also been employed to create isogenic iPSC lines, allowing the elucidation of disease and risk alleles effect on molecular and cellular phenotypes.<sup>115</sup> A comprehensive description of the multitude of human iPSC-derived CNS disease models can be found in focused literature,<sup>24, 33, 35, 52, 116–126</sup> with key examples mentioned below.

### Neurodegenerative disorders

Neurodegenerative diseases are characterized by age-dependent focal, *i.e.* with regional and neuronal type specific vulnerability, and progressive loss of neuronal structure and function, characteristically associated with the presence of aberrant protein inclusions in the affected regions of the brain.<sup>127–128</sup> Protein aggregation can result from a mutation(s) in a disease-related gene(s), leading to expression and accumulation of aberrant misfolded proteins in the cell or, in the absence of genetic alterations, it is thought to be the result of environmental stressors (*e.g.* traumatic brain injury) and aging.<sup>129–131</sup> The relative percentages of sporadic and familial cases are different amongst diseases such as frontotemporal dementia (FTD), ALS and Parkinson's disease (PD), whereas, for instance, Huntington's disease (HD) is inherited in a fully autosomal dominant manner.<sup>13, 129, 132–136</sup> Although the principal gene and protein associated with each disease are thought to account for the majority of pathological phenotypes, the underlying genetics not always match the same phenotypes, diagnosis or pathology, due to additional gene *loci* and rare variants that confer different disease vulnerability and progression.<sup>132–133, 135–143</sup> Moreover, a growing body of evidence suggests that preceding the formation of late stage protein inclusions, misfolded monomers and oligomeric species initiate molecular events of pathway disruption and neuronal toxicity, and might be the actual triggers of neuronal death.<sup>127, 130, 144</sup> In fact, model systems and emerging clinical data, such as CSF biomarkers and PET imaging that can detect brain alterations ahead of symptomology, have revealed that cellular and biochemical alterations occur at a pre-symptomatic stage, pointing towards the period in the disease when treatment will ultimately prove critical.<sup>145–149</sup> Therefore, a better understanding of the early biochemistry and biophysics of protein conformational changes and aberrant interactions with cellular pathways and toxicity, in patient-specific model systems, is indispensable to understand disease and to determine which mechanisms should be exploited for drug discovery. The effort to build large patient-derived cell line cohorts and integrative phenotyping pipelines, will determine relevant targets for therapeutics (Figs.1, 2). Patient or gene mutation-positive iPSCs have now shown to recapitulate disease-relevant phenotypes, as corroborated by post-mortem pathology and CSF markers, providing insight into early disease mechanisms and common processes of neurodegeneration across diseases.  
33, 54, 114, 150–157

Stem cell-derived models have been widely employed to study AD and FTD. AD is characterized by the presence of A $\beta$  plaques and neurofibrillary tau tangles in areas of the

neocortex and hippocampus, where primarily pyramidal, glutamatergic neurons seem to be affected. So, a major goal has been to generate patient-specific glutamatergic neuronal models. 2D and 3D AD models are extensively reviewed by Arber *et al.*<sup>158</sup> Phenotypic observations include A $\beta$  accumulation and toxicity in the ER, endosomes and lysosomes, oxidative stress and swollen early endosomes in the cell body of patient-derived neurons as well as astrocytes, which could be reversed by  $\gamma$ -secretase inhibitory drugs and docosahexaenoic acid, without affecting A $\beta$  levels.<sup>113–114, 159</sup> Differentially regulated genes identified in sporadic AD iPSC-derived neurons were also validated in post-mortem brain, testifying to the validity of the cellular model. AD 3D organoids, either expressing familial AD mutations (PSEN1, APP) or sporadic iPSC-derived, revealed A $\beta$ 40 aggregates together with phosphorylated, insoluble, silver-positive tau aggregates, and endosome abnormalities, after long-term culture and for the first time in an AD cellular model.<sup>108, 160</sup> Treatment with  $\beta$ - and  $\gamma$ -secretase inhibitors reduced A $\beta$  and insoluble tau, whereas GSK-3 $\beta$  inhibitors reduced phosphorylated tau levels, suggesting suitability of 3D models for drug screening.

Familial FTD genes include *MAPT* and *GRN*, intronic expansion in *C9orf72*, and less frequently *TARDBP*, *FUS*, *CHMP2B* and *VCP*. Neuropathologically, there are characteristic patterns of abnormal deposition of tau, TDP-43, FUS and ubiquitin proteins in neurons and/or glia in the affected regions of the brain.<sup>126</sup> Several reports have described neuronal phenotypes in patient-derived cells with tau mutations, including premature maturation,<sup>153</sup> increased oxidative stress and activation of the unfolded protein response,<sup>151</sup> and upregulation of different forms of tau (cleaved, oligomeric and detergent-insoluble), with somatodendritic tau redistribution and increased vulnerability to stress.<sup>54, 161</sup> Cerebral organoids generated from an FTD patient iPSC with a tau P301L mutation exhibited increased levels of p25.<sup>162</sup> CRISPR-mediated inhibition of p35 conversion into p25 resulted in lower levels of total tau and phosphorylated tau, together with increase in synaptophysin levels, suggestive of a rescue in synapse formation in 2-month-old organoids. These results propose new mechanisms of tau-mediated neuronal toxicity through p25.<sup>162</sup> Meanwhile, the first study of progranulin (PGRN)-deficient FTD using iPSC-derived neurons, revealed a ~50% decrease in levels of both secreted and intracellular PGRN protein, recapitulating the haploinsufficiency disease phenotype, as well as compromised PI3K/AKT and MEK/MAPK signaling pathways, which were rescued by overexpression of wild-type PGRN.<sup>163</sup> Considerable research has also focused on *C9orf72* expansions (GGGGCC intronic repeats), shown to form RNA aggregates in the nuclei of cortex and motor neurons. In the first C9-FTD iPSC study, patient-derived neurons recapitulated aspects of *C9orf72* pathology such as high levels of p62 protein and compromised autophagy.<sup>164</sup> Similarly, *C9orf72* MNs revealed disruption of the lysosomal pathway, increase in glutamate receptors and decreased viability.<sup>165–166</sup> Analysis of electrophysiological properties of either *C9orf72* or mutant *TARDBP* MNs also showed abnormal patterns of synaptic activity.<sup>167–168</sup> The majority of TDP-43 iPSC models focus on FTD-ALS and cell-autonomous phenotypes in MNs or glia, showing increased levels and mislocalization of soluble and insoluble TDP-43, decreased survival, neuritic abnormalities, and increased vulnerability of the PI3K pathway.<sup>169–170</sup> DiGiorgio *et al.*<sup>75</sup> were the first to show that human ESC-derived MNs are selectively sensitive to the toxic effect of glial cells carrying an ALS-causing mutation in *SOD1* (superoxide dismutase 1), revealing cell non-autonomous effects of pathology.

Patient iPSC-derived models of HD have also been attempted, but the differentiated neurons do not exhibit neuropathological phenotypes (e.g. mutant huntingtin/HTT aggregates) or compromised survival, unless they are treated with stressors such as proteasome inhibitors or kept in culture for very long periods.<sup>171</sup> Conversely, directly induced striatal medium spinal neurons from fibroblasts of HD patients did recapitulate age-associated disease signatures, HTT aggregation and neurodegeneration, supporting the potential for pathology modelling using iNs of HD patients.<sup>172</sup>

### Neurodevelopmental and psychiatric disorders

The current understanding of the pathophysiology of psychiatric diseases remains limited, and because these are highly polygenic in nature and influenced by environmental factors,<sup>173–174</sup> the genetic and molecular causes of disease are challenging to uncover.<sup>30, 175</sup>

Conventional approaches have relied on post-mortem brain analysis,<sup>176</sup> but these tissue samples not only have a highly stringent requirement for acquisition and cannot be investigated under flexible experimental conditions, they represented end stage pathology, which is highly confounded by long-term medications and individuals' lifestyle, and consequently may not represent disease-specific deficits. It is also very difficult to create genetically accurate models of psychiatric disorders in animals, with neuroanatomical differences representing real challenges for extrapolation of phenotypes<sup>177–178</sup>. Patient iPSC-derived NPCs, neurons and glia have paved new opportunities for research, drug discovery and potentially personalized medicine in this area.<sup>179</sup> These models capture the complex genetic architecture of polygenic susceptibility, and allow epigenetic, chemical, proteomic and signaling pathways dysregulation to be measured in a cell-specific manner, helping dissect underlying disease molecular and cellular phenotypes,<sup>26, 53, 177, 180–181</sup> which can then be determined to be permissive to disease state or not through clinical cohort-based data (Fig. 1).<sup>179, 182</sup> Following the selection of patients and control subjects, and the generation of iPSC lines, the next step is to determine the cell type of interest for targeted differentiation. Several neural subtypes have been linked to pathology from postmortem analyses of patients (GABAergic, dopaminergic, serotonergic and glutamatergic), as well as particular neural regions (hippocampus and prefrontal cortex).

Schizophrenia was the first complex psychiatric disorder to be modeled using human iPSCs, leading to the first reports of reduction in neuronal connectivity in patient-derived neurons.<sup>183–185</sup> Schizophrenia NPCs also exhibit elevated levels of reactive oxygen species,<sup>183</sup> consistent with proteomic analysis of brain tissue that revealed disruption of mitochondrial function and oxidative stress<sup>186</sup>. These were also the first neuronal models where antipsychotic drugs were tested and some were found to rescue neuronal phenotypes *ex vivo*.<sup>183</sup> Soon after, other reports of patient iPSC-derived models emerged for ASD, bipolar disorder (BD)<sup>187–190</sup>, attention-deficit hyperactivity disorder (ADHD), fragile X syndrome,<sup>53, 191</sup> and Rett syndrome,<sup>192–194</sup> focusing either on genetically defined or unknown genetics patients. Overall these studies revealed major neural developmental and aberrant differentiation patterns, alterations in gene and protein expression,<sup>27</sup> decreased neuronal connectivity and synaptic dysfunction,<sup>195</sup> as well as the recognition of *DISC1* as a risk gene for psychiatric disorders.<sup>182, 184, 196</sup> These models have provided an unprecedented foundation for phenotypic assays of disease biology and for functional chemical screens.<sup>177</sup>

Mariani *et al.*<sup>197</sup> used forebrain organoids to study idiopathic ASD, strongly linked to dysregulated neurogenesis, and found an enrichment of inhibitory neurons likely as a consequence of increased FOXP1 expression, abnormal synapses and neurites. With access to larger cohorts of patients iPSC-derived cell models, common disease phenotypes, molecular and gene expression changes are starting to emerge and guide screens of disease-modifying or corrective therapeutics (Fig.1).<sup>26–27, 35, 44, 53, 177, 179–181, 183, 189, 193</sup>

Down Syndrome (DS or trisomy 21), the most common genetic disorder of intellectual disability, exhibits fewer interneurons in the cerebral cortex and has unknown underlying cause. A recent study tested the hypothesis that fewer cortical interneurons are a result of decreased production, proliferation, and/or migration of medial ganglionic eminence NPCs.<sup>198</sup> This study compared forebrain GABAergic interneurons differentiated from DS and control iPSCs, and found critical structural and molecular abnormalities in DS GABAergic interneurons, including smaller size cells and fewer processes, possibly contributing to the smaller brain size and impaired cognition.

Organoids are a versatile system to study brain development and functional networks, serving as 3D models of corticogenesis, further allowing the study of the contribution of environmental factors to neurodevelopment.<sup>98</sup> Leveraging these features, organoids have been used to model microcephaly, a neurodevelopment disorder in some cases caused by genetic mutations or by Zika virus exposure.<sup>25, 199</sup> Organoids derived from microcephaly patients harboring truncating mutations of *CDK5RAP2* were found to be significantly smaller than the ones generated from controls, associated with ‘precocious’ neural differentiation.

### Transformation of neural progenitor cells and cancer

Stem cells are a hallmark of cancer biology, but understanding brain cancer pathogenesis has been hindered by limited access to samples, tumor heterogeneity and the lack of reliable model systems.<sup>200</sup> As an example, glioblastoma (GBM) is the most aggressive type of malignant brain tumor with no cure and a bleak prognosis.<sup>201</sup> Research shows that GBMs are derived from cells with features of neural stem and progenitor cells, which also contribute to treatment resistance and disease relapse.<sup>202</sup> In fact, glioma tumor cells originate from transformation of NPCs and it is possible to propagate these cells, in defined, feeder-free, adherent cultures,<sup>203</sup> even if isolation of these cells from patients remains restricted to tissue obtained at surgery or post-mortem.<sup>201</sup> Both primary and NPC-derived GBM cell lines represent human disease models to study genetics, epigenetics and biology of cell propagation, and to execute drug or genetic screens to identify tumor-specific vulnerabilities.<sup>201</sup> For example, while tumor-associated genetic alterations have now been well documented,<sup>203</sup> the role of epigenetic alterations is not so well understood. Thus, by combining reprogramming technologies that introduce epigenome changes without affecting the genomic sequence, and CRISPR-based genome editing, researchers can now precisely study genetic and epigenetic effects in GBM-patient iPSC or NPC-derived, and isogenic matched neural stem cells.<sup>204</sup>

## Phenotypic analysis of patient *ex vivo* neuronal models: disease etiology, biomarkers and therapeutic targets

CNS disorders cellular models need to replicate disease phenotypes to an extent that confidently predicts translation of a given target to clinically relevant features of illness. Incorporation of human *ex vivo* cellular assays at the earliest stages of drug discovery may improve the likelihood of successfully translating preclinical discoveries into clinical trials success (Fig.2). This is how iPSC-derived models are starting to impact the drug discovery process. As reviewed above, scalable cultures of iPSC- and NPC-derived neurons and cerebral organoids are valuable phenotypic platforms for testing genotype-phenotype correlations in complex genetic disorders, and to support approaches to human chemical neurobiology and drug screening.<sup>205–206</sup> So far, expandable NPCs might be the best platform to provide large scale and consistent sampling of human neurons compatible with HTS, proteomic and genomic studies, with NGN2-derived neurons showing advantages for HTS of small molecules libraries (Table 1).<sup>13, 26, 51, 55, 177, 207</sup> State-of-the-art phenotyping assays, including functional genomics, quantitative biochemistry and proteomics, and high-content multiplexed screening assays, offer an unprecedented potential for understanding human disease (Fig.4).<sup>26, 38, 97, 105, 152, 177, 206, 208</sup> These approaches have also benefited from accessible libraries of small molecules and oligonucleotides that allow probing disease-related genes, proteins and molecular pathways, towards identification of valid biomarkers. When employed consistently across patient neuronal models, this will lead to cumulative evidence of the molecular and cellular alterations most-relevant to disease and, therefore, of the targets with highest potential for a positive therapeutic outcome (Fig.2). These same assays are important to implement downstream in the validation of novel pharmacological agents.

While many quantifiable phenotypes may prove adaptable to screening formats, it is important to define which *ex vivo* cellular phenotypes are relevant to disease pathophysiology and should, therefore, be the focus of experimental small-molecule testing.<sup>26, 120, 177</sup> One promising strategy to help recognize disease-relevant phenotypes and understand disease in a broader context (*e.g.* common aspects of neuronal death among related neurodegenerative diseases), consists of integration of molecular data from cellular models across different studies of the same or related disorders with genetic and clinical longitudinal patient data (Fig.1).<sup>1, 13</sup> In parallel, the creation of large cohorts of human iPSCs (*e.g.* CIRM Human Pluripotent Stem Cell Repository, NIMH Stem Cell Center, NYSCF Research Institute Stem Cell Repository, StemBANCC, HipSci Consortium, Stem Cells Network DPUK Cohorts) has facilitated the bridge between studies of different disease models and technologies.<sup>209–210</sup> As evidence starts to build from patient models and clinical data, a better understanding of the early disease processes could also aid in diagnosis by contributing new molecular biomarkers. We briefly review advances in genomic, biochemical and cellular assays that are employed in the study of disease molecular mechanisms and in testing new molecules emerging from drug screens (Figs.1, 2).

## Genomics and Epigenomics

Remarkable developments in high throughput next generation (NGS) sequencing, whole exome sequencing (WES), genome-wide association studies (GWAS) and RNA profiling have allowed for probing the human genome and transcriptome with considerable ease.<sup>135, 138–139, 141, 143</sup> This has led to the identification of common genetic variants, rare variants (<1% frequency), and high-risk variants segregating with multifactorial, complex genetic disorders.<sup>138–139</sup> Particularly in psychiatric illnesses, all traits are genetically multifactorial and complex due to multiple risk alleles, gene-gene interaction effects and epigenetic effects. But the wealth of knowledge is growing exponentially due to more routine application of GWAS, high throughput sequencing, and gene expression studies by RNA profiling that can inform on splicing defects or changes in expression of non-coding RNAs.<sup>211</sup> Furthermore, the ability to survey the genome and transcriptome at the single-cell level is starting to offer unique advances in precision studies of cell type contribution and vulnerability to disease.<sup>212</sup>

As elucidation of genetic contribution to disease is critical to understanding disease etiology, accurate conclusions can only be obtained through large clinical cohorts, especially if the goal is to define causal or risk association for *de novo* mutations or rare variants.<sup>112, 133, 140–141, 177, 213</sup> Sequencing programs within patient cohorts (*e.g. Precision Medicine Initiative* (PMI) led by the FDA/United States Food and Drug Administration)<sup>214</sup> start to offer important interpretive guidance in the mapping of pathways and cellular components with relevance to neuronal integrity, homeostasis and function, contributing to the development of gene-panel-based diagnostics. Moreover, gene allelic variants can provide correlative evidence of how modulating a specific gene-target will affect human disease biology.<sup>213</sup> In certain cases, an allelic series can be identified that supports the directionality of what a therapy is desired to do (loss-of-function or gain-of-function), guiding efforts to identify a therapeutic modality that can mimic the effect of the genetic variation. Then, being able to directly measure the biochemical and cellular consequence of specific allelic series using patient-derived cell models holds tremendous promise for elucidating translatable markers of target modulation for drug screening.

As multiple disease-associated genes, proteins and entire molecular pathways emerge, it is also becoming increasingly evident that epigenetic regulation: DNA methylation, histone acetylation and micro-RNAs (miRNAs), can be direct modifiers of disease, or that chemical epigenetic modulation can indirectly regulate pathways affecting disease. For instance, in ALS patients and animal models, histones are generally hypo-acetylated in association with neuronal death through apoptosis;<sup>215–216</sup> whereas in AD, global DNA hypo-methylation and gene-specific hyper-methylation have been observed.<sup>217</sup> Large patient cohorts genomic profiling can equally help in the identification of epigenetic modifications that either contribute or protect against disease. As such, epigenetic therapy is also a growing area of research for correcting gene expression in disease.<sup>177</sup>

## Biochemical, proteomic and cellular phenotypes

Biochemical profiling of patient iPSC-derived neuronal cells has relied on quantitative measurement of gene expression (qRT-PCR), analysis of steady-state levels and post-

translational modifications (PTMs) of specific proteins (western blotting, ELISA) and, in particular for neurodegenerative diseases, analysis of high-order protein species, *i.e.* oligomers and aggregates of decreased solubility (Fig.4). Beyond antibody-based approaches, quantitative methodologies using high-resolution mass spectrometry (MS) are powerful phenotyping tools. First, MS can measure specific protein-variant levels far surpassing accuracy of any other method. Second, MS generates proteome profiles (“bar-coding”) from patient *ex vivo* neurons that can be compared with post-mortem brain tissue to reveal disease-specific patterns of protein biochemistry, overall contributing to disease categorization and possibly accuracy of diagnostics.<sup>54, 60, 218–221</sup> Combining proteomic and pharmacological profiling of patient neuronal models is an innovative strategy to discover chemical probes that unveil network or pathway vulnerabilities in patient-only cells. That is, disease-affected pathways uncovered by proteome profiling can be pharmacologically probed in a temporally conditional manner to confirm altered vulnerability in disease, contributing to the dissection of toxicity mechanisms.<sup>54, 114, 151, 153–154, 157, 161, 163</sup> Advances in automated microscopy and high-content imaging now allow single-cell and subcellular analysis of phenotypes with increased throughput, adaptable to iPSC-derived neuronal cultures.<sup>222–224</sup> Parallel increased sophistication in computational methods for image analysis with elevated number of parameters (multiplex) enables quantification of a diverse range of cellular features.<sup>86, 222, 225</sup> Combined, automated high-content microscopy can reveal a range of quantifiable phenotypes, including changes in developmental and differentiation patterns, cellular anatomical abnormalities, differential expression and subcellular localization of functional markers, as well as (mis)localization of molecules and proteins that are relevant for disease. This is well illustrated by recent work.<sup>29, 33, 53–54, 86, 105, 113, 117, 153–154, 157, 160–161, 163, 165, 169</sup> For cell models of psychiatric diseases, with associated polygenicity or altogether unknown genetics, patient-derived cell models allow access to measures of signaling pathways and genotype-phenotype relationships through pathway-selective reporter genes,<sup>55</sup> high-content imaging,<sup>53</sup> multiplexed gene expression and proteomic profiling assays.<sup>177, 208, 226</sup> Complementarily, multi-electrode arrays (MEAs) that measure electrophysiological activity and evoked action potentials in *ex vivo* neuronal networks, can capture disease-associated alterations and monitor pharmacological effects on synaptic activity.<sup>227</sup>

One constraint is the inherent variability in both the iPSC derivation process and differentiation protocols, with an increased variability in 3D cultures. This is particularly relevant for diseases where individuals show essentially normal neurodevelopment and cellular function and only present symptoms after birth, old age or exposure to environmental triggers. In this case, iPSC-derived neurons are expected to show weak and variable phenotypes. It is therefore necessary to perform comparative studies across several independently generated cell lines, from both healthy and diseased individuals, in order to identify robust phenotypes amongst technical variability and noise. CRISPR/Cas9-mediated generation of isogenic cell pairs that differ in a single genetic variation, either mutation or correction to wild-type, could allow dissection of the molecular and cellular phenotypes directly related to this gene, establishing a causal relationship. However, if no effect is observed, no conclusion can be ascertained for disease contribution, and also the process of

genome editing can itself introduce off-target mutations and clonal variability within a particular iPSC line.<sup>161, 228–229</sup>

### Integration with clinical and pathology information

Arneri *et al.*<sup>1</sup> reviews all up-to-date efforts (or lack of) on integration of data across disease studies, clinical and cohort-based entities and repositories, and how this impacts drug development across diseases that share common mechanisms. Stem cell-derived cellular models of human disease now introduce the human context into the earliest stages of CNS drug discovery (Fig.2). However, to identify the most disease-relevant phenotype for drug screening, it might be necessary to adopt standardized phenotypic pipelines (biochemical, imaging and physiological), select corroborated phenotypes across multiple models of disease, and increase the scrutiny and quality control of emerging data. Then, robust assay pipelines might just become new diagnostic tools using patient iPSCs for pre-clinical assessment and guidance of clinical trials.<sup>148–149</sup> But to succeed, these efforts require data interpretation in the context of clinico-pathological information emerging from biomarker, imaging and post-mortem studies (Fig.1). Patient clinical cohorts that are extensively and longitudinally phenotyped by a variety of psychometric assessments, structural, functional and molecular neuroimaging (PET, MRI), fluid biomarker sampling (CSF, blood), and neuropathological analysis, offer a rich dataset context in which to interpret results from iPSC models. Emerging data from these integrative studies is starting to reveal alterations in living patients, that can also be measured in patient cellular models, reporting on earlier than expected disruption of specific molecular pathways.<sup>137, 148–149, 230–231</sup> This now offers guidance for undisputed phenotypic relevance and therapeutic targets for drug screens and clinical trials. Conceptually, testing experimental drugs in patient cell models provides a powerful proof-of-concept for correlating physiologically relevant phenotypes to pre-existing disease biomarkers (*e.g.* AD<sup>148–149</sup>), establishing the role for iPSC-based models in drug discovery, driven by human disease biology at each step of the process (Fig.2).

### A role for patient-specific neuronal cells in clinical diagnosis?

A key challenge in today's medicine is not only the development of effective, disease-modifying and preventative treatments for CNS disorders, but also improved diagnostics so treatment could be initiated early, potentially at a time when it can be most effective. While biomarker and genetic testing for certain diseases can be done, many diseases lack precise molecular biomarkers or are polygenic in nature rendering a strict genetic diagnosis not yet feasible. So, there is an increasing interest in the concept of generating *ex vivo* drug-response signatures to diagnose patients and be able to provide a prognosis (Fig.2). For example, we and others have observed that coupled to early dysregulation of protein homeostasis, patient-derived neurons show increased vulnerability to a panel of proteotoxic, excitotoxic and mitochondrial stressor compounds.<sup>54, 151, 153, 169, 232–233</sup> Since a majority of neurodegenerative diseases are sporadic in nature, panels of cell stressors generating drug response signatures, coupled with transcriptional and proteomic profiles,<sup>208, 226</sup> could conceivably reveal pathway-based susceptibility grouping of disorders, revealing common and new mechanisms of pathogenesis. When coupled with PET imaging, peripheral blood or CSF analysis, these assays could increase accuracy of diagnostics at very early stages of the disease.



## Drug screens focusing on CNS disorders using iPSC-derived cell models

Drug screens have been performed in a variety of human cell lines and have contributed significantly to novel therapeutic discovery. However, CNS drug discovery could be accelerated if more accurate cell models were developed to displace less relevant, exploratory and heterologous ones, and if the discrepancy between simplified *in vitro* assays and the complexity of *in vivo* pathologies could be addressed. Generally, drug screens focus on the identification of hits that satisfy specific molecular targets or phenotypic requirements, that are then validated and optimized in similarly over-simplified models, and later tested in animal models, which often fail.<sup>3</sup> Due to well-known differences in physiology, metabolism and tolerability between species, there is a significant lack of fidelity between current testing procedures and clinical outcomes. In 2008, the President's Council of Advisors on Science and Technology (PCAST) articulated the concept of "personalized medicine," which encourages medical research and treatment based on a patient's genetic background and specific disease characteristics, in order to increase therapeutic success and benefits.<sup>234</sup> In line with this, patient iPSC-derived cell models enable identification of relevant mechanisms and targets in a "human context", offering a unique and unlimited platform that recapitulates aspects of human disease and where disease-associated phenotypes and physiologically-relevant assays can lead preclinical drug discovery. Being of patient origin, these cell models theoretically allow development of personalized drug testing.<sup>32</sup> Another emerging concept of clinical-trial-in-a-dish proposes to utilize large cohorts of patient iPSC-derived models to test efficacy of experimental drugs (Figs.1, 2).<sup>235–236</sup> In particular, phenotypic-based drug screens are becoming increasingly popular because, unlike target-based screens, there is no *a priori* need to understand the molecular mechanism of action, and the effect of compounds on patient-specific cell phenotypes is observed directly.<sup>13, 30, 36, 86, 155, 183</sup>

When using iPSC-derived models for drug screening, two key aspects are: 1) the disease biology supporting the relevance of the *ex vivo* cellular phenotype being screened, and 2) the translatability of this phenotype. As already mentioned, while many cellular phenotypes could in principle be used for drug screening, demonstration of the importance of a particular phenotype to the underlying disease often requires knowledge integration at multiple levels, an impossibility for many diseases, and ultimately only achievable through in-human clinical trials. Still, in many cases, new therapeutics being developed will likely benefit from being optimized in the context of human biology, rather than based on probes to measure human homologs in heterologous systems. Moreover, 3D cell models thought to be more reflective of *in vivo* cellular responses, have also started to be implemented in early drug discovery process, mainly for cancer and viral infection studies, with slower progress in CNS due to scalability and variability, as mentioned before.

Two strategies are commonly used for drug screening with iPSC-derived disease models, candidate-focused hypothesis-driven screening or discovery-based library screening (Table 1). Focused screens involve testing a relatively small number of experimental drugs to rescue disease phenotypes in the cellular model, simultaneously uncovering dysregulated pathways or targets. Often, these candidate drugs are selected based on predicted targets that are relevant to disease. This approach provides proof-of-concept in establishing cellular models,

either accelerating the identification of disease-modulators or establishing a basis for follow-up unbiased screening using large compound libraries. In contrast, discovery-based HTS of large compound libraries allows for identification of novel molecules that can rescue disease phenotypes, which is especially useful for diseases of undefined molecular mechanisms, with the potential to reveal previously unappreciated disease mechanisms. A compromising strategy consists of HTS of FDA-approved drugs for novel disease applications, where repurposing of well characterized drugs could fast-track the transition from bench to bedside.<sup>237</sup> HTS using iPSC-derived models is still rare, and many iPSC-based chemical screens have used libraries containing clinically approved or experimental drugs with annotated targets that can be easily validated in downstream studies, with known safety and toxicity profiles, and with potentially rapid clinical translation (Table 1).<sup>238</sup> As already mentioned, expandable NPCs and NGN2-derived neurons offer a good platform for large scale and consistent sampling of human neurons compatible with HTS. To address the limitations of 2D models, new advances in 3D cerebral organoids, microfluidics-based organ-on-chip systems and direct transdifferentiation<sup>237</sup> should also soon enable testing and pre-clinical validation of new lead compounds in CNS.<sup>96</sup> Below we review representative examples of drug screens for CNS disorders using iPSC-derived models (comprehensive list in Table 1).

### Small molecule screens in psychiatric disorder models

Development of effective therapies for psychiatric disorders is one of the greatest challenges of the pharmaceutical industry,<sup>239</sup> because the cause of disease is complex, heterogeneous, and still not well understood.<sup>26, 173, 177</sup> There are now a number of human psychiatric patient-derived cell models compatible with phenotypic assays and functional chemical screens,<sup>177</sup> that in fact have demonstrated translational potential by showing responsiveness to pharmacological agents. Examples include rescue of abnormal calcium signaling with the voltage-dependent L-type calcium channel antagonist nimodipine and rescue of neuronal differentiation patterns by roscovitine in Timothy syndrome neurons, a multi-systemic disorder with autism features;<sup>26, 44</sup> rescue of glutamatergic synapses by the insulin-like growth factor 1 (IGF-1) in Rett syndrome neurons;<sup>192, 240</sup> and rescue of gene expression and connectivity abnormalities in schizophrenia neurons with the antipsychotic loxapine<sup>183</sup> (Table 1). These were candidate-approach studies that established the value of the cell models for small molecule screening, with the potential for follow-up mechanistic studies of the mode-of-action in human cells, at a pre-clinical phase.

One of the first large-scale (>2 million compounds) drug screens in human stem cell-derived neurons, relevant to multiple psychiatric and degenerative disorders, is that of McNeish *et al.*<sup>241</sup> It aimed to identify new AMPA glutamate receptors potentiators, with a Fluorimetric Imaging Plate Reader (FLIPR) calcium influx assay (Table 1). Given the importance of glutamatergic signaling in nervous system plasticity, and the challenge of faithfully accessing the biology of ion channels in heterologous systems, this work reflects the potential for chemical screening and the scalability of human cell assays. Then, with the goal of identifying novel pro-neurogenic compounds, McLaren *et al.*<sup>242</sup> reported on a ~1,000 compound screen for enhanced proliferation and/or viability of human NPCs using a bioluminescence-based assay. The compounds identified were new leads for pro-neurogenic

drugs relevant to a range of psychiatric and degenerative disorders, as well as research tools to aid in the expansion of NPC for HTS. Drug screens targeting genetic psychiatric disorders have also been successfully executed. Two examples are the HTS of compounds that restore expression of the silenced *FMR1* gene, encoding the Fragile X mental retardation protein (FMRP) that causes Fragile X syndrome. Using a homogenous, time-resolved fluorescence resonance energy transfer (FRET) assay to measure FMRP levels in a high-density, 1536-well plate format, Kumari *et al.*<sup>243</sup> screened 5,000 compounds and identified six structurally diverse molecules capable of enhancing FMRP expression. Meanwhile, Kaufmann *et al.*<sup>207</sup> screened over 50,000 compounds by HCS imaging and found a small number of compounds also capable of reactivating FMRP expression (Table 1). In both cases the level of FMRP upregulation was modest and perhaps not sufficient to rescue neurodevelopmental phenotypes *in vivo*,<sup>53</sup> but the success of these screens motivates further screening for FMRP expression enhancers.

An alternative approach to discovering therapeutic targets for psychiatric disorders has been to use phenotypic assays and to probe entire pathways for phenotypic rescue, with the potential to be relevant for a range of diseases. The WNT signaling pathway is a key regulator of the nervous system development and plasticity, and WNT dysregulation has been associated with many psychiatric disorders, most notably ASD.<sup>244–245</sup> Zhao *et al.* executed a screen for modulators of the WNT/ $\beta$ -catenin signaling pathway in human NPCs, using a 384-well plate format and a stably integrated HTS-compatible, luciferase-based WNT pathway reporter (Table 1).<sup>55</sup> From a library of ~1,500 FDA-approved drugs and known bioactive compounds, both known and novel regulators of WNT signaling were identified, including enhancers of lithium action, selective mGLUR1 (metabotropic glutamate receptor 1) antagonists, and the FDA-approved drug riluzole (Rilutek). Further automation of this assay has enabled screening >300,000 compounds for novel activators and inhibitors of WNT signaling (Zhao W.N., Haggarty S.J., *manuscript in preparation*).

### Drug discovery in neurodegeneration patient cell models

While symptomatic treatments are available for some neurodegenerative diseases, there are no effective disease-modifying therapies, and timely diagnosis continues to be challenging.<sup>127, 129, 246</sup> Patient or gene-positive iPSCs are being used to derive human cell models to investigate the early molecular and cellular mechanisms of disease and to advance drug screens based upon specific disease-relevant phenotypes. In one of the first studies, Cooper *et al.*<sup>247</sup> examined neural cells derived from PD patients iPSCs, carrying mutations in the *PINK1* (PTEN-induced putative kinase 1) or *LRRK2* (leucine-rich repeat kinase 2) genes, focusing specifically on mitochondrial function and integrity. This work showed that PD-derived neural cells were particularly vulnerable to chemical stressors and toxins targeting mitochondrial function and the proteasome machinery, also exhibiting higher levels of oxidative stress. This neuronal vulnerability was pharmacologically rescued by coenzyme Q<sub>10</sub>, rapamycin and the LRRK2 kinase inhibitor GW5074, which not only provided disease mechanistic insight, but also a role for this model system in drug testing. Peng *et al.*<sup>248</sup> took a more neuronal type-specific approach and utilized human iPSC-derived dopaminergic neurons cultured in 96-well plates in the presence of 1-methyl-4-phenylpyridium (MPP+), a neurotoxin widely used to model PD, and executed a low-throughput neurotoxic/

neuroprotective automated screen of 44 compounds predicted to target different mechanisms of neuroprotection. Secondary assays included a rotenone-induced cell death assay that confirmed that iPSC-derived dopaminergic neurons can be used for screening and validation of potential neuroprotective agents in PD. Later, in a similar iPSC-derived neuronal PD model system utilizing MPP+, Wimalasena *et al.*<sup>249</sup> carried out an *in-silico* gene expression-based screen to identify small molecules associated with gene-expression profiles that are anti-correlated with a PD profiles (literature curated). The rationale was that normalizing aberrant gene expression to healthy-control levels is of therapeutic value. This study identified the cyclin-dependent kinase 2/5 (CDK2/5) inhibitor GW8510, protective against MPP+ toxicity in a dose-dependent manner, and protective against small-molecule mitochondrial and endoplasmic reticulum stressors.

In FTD-tau patient iPSC-derived neurons, we have demonstrated the ability of mTORC1 inhibition by rapamycin treatment to cause tau clearance, through autophagy, and to rescue stress vulnerability.<sup>54</sup> More recently, Wang *et al.*<sup>86</sup> developed an HCS assay utilizing human iPSC-derived and Ngn2-induced cortical glutamatergic neurons, to identify tau-lowering compounds in LOPAC (Library of Pharmacologically Active Compounds) containing 1,280 bioactive small molecules that affect most signaling pathways and cover major drug target classes. This screen employed an integrated Ngn2-inducible and isogenic human iPSC line (<sup>i3</sup>N) that can be differentiated mainly into functional glutamatergic neurons amenable to HTS in microplates and imaging-based HCS of neuronal and tau specific markers. Top hits from the screen included adrenergic receptor (AR) agonists (moxonidine, metaproterenol, clonidine, dexmedetomidine, isoproterenol) that reduced tau levels in human neurons. Conversely, AR inhibition led to tau accumulation. For another FTD class, Lee *et al.*<sup>250</sup> focused on rescue of FTD-PGRN patient iPSC-derived neuronal phenotypes with pharmacological agents such as 1-[2-(2-tert-butyl-5-methylphenoxy)-ethyl]-3-methylpiperidine (MPEP), which decreases Sortilin (*SORT1*) expression and rescued extracellular PGRN secretion. Using a similar model, Almeida *et al.*<sup>163</sup> provided proof-of-concept that *GRN* mRNA and PGRN protein levels can be upregulated by suberoylanilide hydroxamic acid (SAHA), a histone deacetylase (HDAC) inhibitor. Characterization of the epigenetic regulation of *GRN* expression was further explored by She *et al.*,<sup>251</sup> with a comprehensive chemogenomic strategy to probe the selectivity and kinetic requirements of HDAC inhibition for upregulation of PGRN expression and secretion. Additionally, Holler *et al.*<sup>252</sup> performed a screen of known autophagy-lysosome modulators in human fibroblasts and iPSC-derived neurons from *GRN* mutation carriers, and identified multiple novel activators of human *GRN* expression, including mTOR inhibitors and an mTOR-independent activator of autophagy, trehalose. Secondary assays validated trehalose as the most promising lead compound, increasing endogenous PGRN and neuroprotection in all cell lines tested. Altogether, these screens provide concrete evidence for the potential of FTD iPSC-derived models for novel target and drug discovery (Table 1).

In AD, various anti-amyloid drugs targeting different pathways of A $\beta$ 42 production and/or aggregation have been developed and gone through clinical trials. Unfortunately, these candidates have so far failed to produce the expected therapeutic breakthroughs.<sup>9–10</sup> In an AD-patient iPSC model carrying an APP mutation, increased levels of APP and A $\beta$ , aberrant  $\beta$  and  $\gamma$  secretase cleavage of APP, and increased levels of total and phospho-tau,

were rescued by treatment with specific A $\beta$  antibodies early during the differentiation process.<sup>159</sup> In a different model, where AD patient-derived neurons were co-cultured with activated murine microglial cells, treatment with the anti-inflammatory small molecule apigenin promoted a reversal of neuronal morphological deficiencies and hyper-excitability, and offered protection against apoptosis.<sup>253</sup> Brownjohn *et al.*<sup>254</sup> took a different approach and designed a phenotypic small-molecule screen to identify secretase-independent, small molecule modulators of APP processing that would shift the production of fragments from A $\beta$ 42 to shorter, non-toxic forms, in human cortical neurons from DS patients, a complex genetic model of AD. Hit compounds included a family of macrocyclic lactone anthelmintic compounds, the avermectins, commonly used as anthelmintics, revealing an indirect and secretase-independent mechanism of modulating APP processing.

In the context of the motor neuron disorder ALS (Table 1), Egawa *et al.*<sup>170</sup> generated MNs from familial ALS patients with TDP-43 mutations, which produced aberrant, insoluble, cytosolic aggregates of TDP-43, along with abnormal neurite outgrowth. Anacardic acid, a compound with histone acetyltransferase inhibitory activity, rescued MN phenotypes, providing the first evidence that patient iPSC-derived MNs could be used for drug screening. In turn, Yang *et al.*<sup>255</sup> performed a large-scale screen on control and mutant SOD1 MNs, which revealed that the multi-kinase inhibitor kenpaullone prolonged survival of human MNs with both SOD1 and TDP-43 mutations. A second compound in this study, dexpramipexole, was inactive and interestingly failed clinical trials in ALS. A third compound, olesoxime, that also failed in ALS clinical trials was only able to mildly rescue SOD1 but not TDP-43 neuronal survival. Burkhardt *et al.*<sup>256</sup> performed a HCS of >1,700 compounds targeting TDP-43 aggregation in iPSC-derived MNs from sporadic ALS patients. This screen led to the identification of FDA-approved cardiac glycosides drugs digoxin, lanatoside C and proscillaridin A, two CDK inhibitors (flavopiridol, Cdk1/2 inhibitor III), four JNK inhibitors (Incedis-01, BP-11767, NSN01, NSN02), and the natural product triptolide. All were capable of reducing TDP-43 aggregation through mechanisms as of yet unclear. Also, notably, Wainger *et al.*<sup>257</sup> identified a hyper-excitability phenotype in ALS patient iPSC-derived MNs, which could be reversed by treatment with the anti-epileptic drug retigabine. Here, the use of electrophysiological phenotypes drove the selection of a pharmacological agent for subsequent clinical investigation. As retigabine is an approved antiepileptic drug, this facilitated rapid initiation of a clinical trial in ALS. Still in the context of ALS, associated with the C9orf72 intronic expansions, Simone *et al.*<sup>258</sup> performed a small molecule screen in iPSC-derived motor and cortical neurons to identify small molecules that specifically stabilize GGGGCC repeat G-quadruplex RNA, a secondary-fold state proposed to be associated with neuronal toxicity through repeat RNA-forming foci and toxic dipeptide repeat proteins. Hit compounds significantly reduced RNA foci burden, levels of dipeptide repeat proteins, and improved survival in a fly model of ALS, providing proof-of-principle that targeting GGGGCC repeat G-quadruplexes has therapeutic potential. Shi *et al.*<sup>166</sup> identified alterations in the lysosomal pathway of MNs derived from C9ORF72 patients, prompting a screen of potential therapeutic targets in this pathway. This work identified a PIKfyve inhibitor that decreased cell death of patient-specific MNs when neurotrophic factors were removed. PIKfyve is an enzyme involved in phospholipid metabolism, critical in the process of endosomal maturation and lysosome

formation. Consequently, inhibiting PIKfyve rescued lysosome numbers, decreasing glutamate receptors and dipeptide-repeat proteins.

Altogether, these representative examples demonstrate the growing impact of human, scalable cell models in the identification of potential novel and repurposing therapeutics.

### Other neurological disorders stem-cell based drug screens

Pioneering work from Lee *et al.*<sup>259</sup> demonstrated the ability of conducting a screen of >6,000 small molecules in patient-derived neural crest precursor cells for the ability to rescue expression of the *IKBKAP* gene, which is disrupted in the rare autonomic neurological disorder familial dysautonomia. The lead agents identified target cAMP/protein kinase A (PKA) activity and phosphorylation of the transcription factor CREB, that play key roles in gene transcription and neuroplasticity. Meanwhile, Pfizer generated iPSC-derived peripheral sensory neurons from patients with inherited erythromelalgia, caused by gain-of-function mutations in the *SCN9A* gene encoding the sodium channel NAV1.7.<sup>260</sup> Here, the disease clinical severity could be recapitulated by *ex vivo* neuronal hyper-excitability, and this altered electrophysiological phenotype could be suppressed by NAV1.7 antagonists providing a therapeutic target for a sensory neuron disorder.

For diseases like GBM, similarly to psychiatric diseases, phenotypic-based drug screens have the advantage of not relying on prior knowledge of molecular targets, increasing the probability to identify new and better targets. Using patient-derived GBM cells, Hothi *et al.*<sup>261</sup> performed an HTS of 2,000 compounds to identify molecules that inhibit stem cell proliferation and that could extend patient survival. Although the identified compounds consisted of 47 FDA approved drugs, and 31 already used as experimental therapeutics, follow-up studies introduced disulfiram as a new inhibitor of GBM stem cells survival. DSF is an FDA approved drug for treatment of alcoholism, it is relatively non-toxic, penetrates the blood-brain barrier, and it was significantly potent across multiple patient-derived cell models to reduce cell proliferation and viability. Danovi *et al.*<sup>262</sup> took a candidate approach and tested 160 kinase inhibitors in human GBM-derived neural stem cells, using live-cell imaging and high content image analysis to measure cell proliferation and survival. This screen identified J101 (JNJ-10198409) as a small-molecule that induced mitotic arrest at prometaphase in GBM cells but not in control neural cells, possibly through modulation of the PLK1 kinase. In fact, potent and specific PLK1 inhibitors are already in clinical development (BI2536, BI6727 and GSK461364) corroborating the immediate therapeutic value of new molecules coming through the pipeline. Taking a slight different approach, Quartararo *et al.*<sup>263</sup> first optimized a drug-sensitive culture system of patient-derived GBMs for HTS to identify new experimental drugs. They screened seven patient-derived cell models against “56 chemical agents in 17-point dose-response curves in 384-well format in triplicate”, revealing a range of effects and sensitivities of the seven patient-derived models to the chemotherapeutic agents tested. For instance, while MEK inhibitors caused only patient-specific growth inhibition, bortezomib (FDA-approved proteasome inhibitor) was potentially lethal across all patient models. Most interestingly, follow-up studies revealed that combination of the BCL-2 inhibitor ABT-263 and the mTOR inhibitor AZD-8055, had a synergistic effect in a subset of patient-derived models. Given low efficacy of current

therapies, drug combinations are very relevant strategies to enhance efficacy and delay onset of drug resistance, with focused research on drug pair interaction screens across GBM patient-derived cell lines.<sup>264</sup> This approach also highlighted correlations between drug-pair effects with cell line molecular profiles, offering novel biomarker signatures for anticancer drug-drug interactions in glioblastoma. Recently Quereda *et al.*<sup>265</sup> used patient-derived GBM stem cells cultured as neurospheres, and developed a 1536-well 3D-spheroid, ultra-high-throughput proliferation assay. A pilot screen of ~3300 compounds identified specific molecules with anti-GBM stem cell proliferation.

### Drug responders and non-responders, toxicology and drug metabolism

As patient-specific iPSC-derived cellular models started to reveal disease-relevant phenotypes, the concept of “clinical trials in a dish” was immediately considered as a strategy for the identification of subpopulations of patients that may respond to particular therapeutic agents. It was also considered as a potential means to generate patient-specific cellular signatures of response to pharmacological agents and create diagnostic subgroups (Fig.1).<sup>26, 205, 266</sup> These goals ultimately rely on the generation of sufficiently large numbers of patient cell models representative of the heterogeneity of each disorder, to segregate responders and non-responders. It also requires the development of molecular and cellular biomarkers with sufficient predictive power and accuracy to translate between *ex vivo* assays and *in vivo* treatment (Fig.2). For diseases where efficacy in the clinic is poorly predicted by existing animal models, this strategy may provide an alternative path forward to gain confidence in efficacy. Furthermore, by identifying *ex vivo* responders using patient-specific iPSC models prior to a Phase II trial, the clinical trial itself could be performed with subjects already predicted to respond to the drug. Theoretically, this would increase the power of studies to detect drug efficacy in smaller patient populations and minimize the negative effects of exposing patients to ineffective treatments. While this has yet to be implemented, conceptually the cost and timeline of generation of such a patient cohort and respective cell lines is significantly lower compared to the costs and setbacks of a failed Phase II or Phase III trial. Additionally, *ex vivo* patient cellular responses could be used to decide patient treatment. For example, being able to predict which subjects respond to the mood stabilizer lithium on the basis of their own neuronal cell assays, could greatly improve the standard of care in bipolar disorder. In fact, for lithium, this approach has been performed retrospectively with the observation of a correlation between clinical response and the ability to suppress a hyperexcitability phenotype in hippocampal-like neurons only in lithium responders vs. non-responders derived iPSC models<sup>190</sup>. This approach could also be used, for example, to dissect the relationship between epigenetic status and drug response using directly iNs.<sup>267</sup> With time, the systematic generation of *ex vivo* experimental drug responses from large numbers of patients may ultimately allow the discovery of predictive rules for future therapeutics. Large numbers of patients will be crucial given that not all patients with the same disease will respond to drug therapy in a uniform manner or with the same level of efficacy, due to a high contribution of genomic background to drug response, *i.e.* heritability of drug response.<sup>268–269</sup> But, focusing on the drug response of individual patient cells provides a practical realization of the concepts of personalized and precision medicine,<sup>214</sup> impacting how future pre-clinical and clinical trials are performed (Fig.2).

While current regulatory policies prevent the replacement of standard clinical trials with an iPSC trial, it may soon be feasible to establish such a framework if sufficient retrospective predictions of drug response and efficacy are demonstrated for complex disorders<sup>205</sup>. Such studies would not obviate the need for careful safety and toxicology studies, which themselves are beginning to benefit from patient-specific iPSC-derived models<sup>270</sup>, for example for cardiotoxicity<sup>271</sup>, hepatotoxicity<sup>272</sup>, and neurotoxicity<sup>271</sup>, creating new avenues to demonstrate as early as possible the efficacy and safety of medicines.<sup>109–110</sup>

The fact that many approved drugs have been subsequently withdrawn from the market based on toxicity, a failure attributable at least in part to conventional drug-safety assays using animal models, has led to an increased focus on human iPSC-derived models' predictive capacity of adverse drug response. The use of high-throughput assays that detect alterations in cellular processes of relevance to neurodevelopment has been proposed as one approach to screen chemicals for developmental neurotoxicity. Recent work has established HTS based on measures of cell viability<sup>273</sup> and apoptosis<sup>274</sup>, to test compounds across human NPCs, neurons, as well as animal model systems. Results so far reveal that neurotoxins have clear species and cell-type specificity, strongly encouraging the use of human neural cells in neurotoxicity testing. Toxicology studies have also started to rely on stem-cell derived organoids that more closely resemble human tissues *in silico*.<sup>275</sup> Early toxicity and metabolism tests using iPSC-differentiated specific cell types, such as hepatocytes, cardiomyocytes, neurons and intestinal tissue, as well as liver microsomes, serve as a cost-effective alternative to traditional *in vivo* testing.<sup>13, 34, 205, 276–279</sup>

Models of the blood–brain barrier (BBB) are also starting to emerge as tools for testing brain penetrance of new CNS drugs. 3D BBB organoid models have been generated by co-culturing human primary brain endothelial cells, pericytes and astrocytes under low-adhesion conditions. The resulting spheroids contain astrocytes in the core with endothelial cells and pericytes in the exterior surface, reproducing features of the BBB, including expression of tight junctions, molecular transporters and drug efflux pumps. These BBB organoids have been shown to be reliable and predictive systems of brain-permeable compounds and could accelerate the decision process at a pre-clinical stage for drugs with poor penetrance.<sup>280–282</sup>

### Drug target validation

Another valuable use for human iPSC-derived models in drug discovery is in the rigorous assessment of target engagement in physiologically and disease relevant cellular systems.<sup>283</sup> It is well recognized that *in vitro* systems frequently utilized are far removed from the native drug target in a complex cellular and tissue context, whereas human iPSC-derived neurons, glia and organoids potentially provide access to the native target and physiological protein complexes, without the need for overexpression in artificial cellular contexts. These considerations are particularly relevant for CNS targets, many of which are cell-surface receptors or ion-channels, often within large protein and lipid complexes, highly dependent on the expression of multiple subunits, and regulated by cellular function and activity.<sup>284–285</sup> Drug screening and pharmacological assays are also likely to benefit from CRISPR/Cas9 and related genome-editing techniques for the creation of isogenic cell models to aid in the



understanding of genotype-phenotype correlations, and to assist in target identification and validation.<sup>286–288</sup> These assays include the tagging of gene promoters for the creation of endogenous multiplexed reporter genes or reporter proteins without the need to express them as transgenes. Such assays enable the investigation of gene products and protein complexes in the presence or absence of a drug, with minimized risk of altering their composition and stoichiometry.<sup>289</sup>

## Complementarity between human stem cell-derived models and animal models

Traditional approaches in drug development use biochemical *in vitro*, animal or human immortalized cell culture models for initial screening of small-molecule libraries. Then, animal models are employed for *in vivo* testing of drug delivery to target organs and cells, drug efficacy and toxicity. This has been debated intensively over the past decade and reviewed elsewhere.<sup>3, 290–291</sup> The main initial explanation for the high failure rate was toxicology and safety issues, but thanks to the implementation of additional toxicology and drug properties testing earlier in the process, this has become less of a problem. Instead, efficacy of the new therapy in the patient is now the main issue and seems to be the consequence of inappropriate screening assays and misuse of animal models that contribute to the large gap in translational efficacy. Although rodent and human nervous systems share a number of evolutionary conserved properties, there are also critical differences in terms of neurogenesis, neural patterning and expression of specific types of neurons, that are critical for human diseases. Additionally, many aspects of gene epigenetic regulation, particularly relevant in polygenic psychiatric diseases, are unique to humans and cannot be adequately investigated in other systems. Finally, the immortalization process of animal or human cells to derive stable cell lines (*e.g.* SH-SY5Y) interferes with the normal mechanisms and molecular pathways of cell differentiation. The ‘human context’ has typically only been implemented late in the discovery process, during clinical trials, after lead compounds have been identified in animal models. Approaches using human stem cell-derived disease models represent a paradigm shift that introduces the ‘human context’ early in the drug discovery pipeline (Fig.2). Now, disease relevance and toxicity of new experimental drugs tested in iPSC-derived neurons or organoids are predicted to be more indicative of human tolerability than rodent animals, at a pre-clinical stage. Recent examples of successful FDA approved drugs in disease areas where animal models were deemed inadequate (*e.g.* Kalydeco drug for cystic fibrosis),<sup>292</sup> combined with the uncertainties of modeling polygenic and sporadic disorders in animals,<sup>293</sup> provides strong motivation to pursue human stem cell-based drug discovery. With potential for increasing translational pharmacology and accelerate the overall drug development process, human *ex vivo* systems may be a powerful step to determine efficacy prior to commencing clinical trials. But animal models are still critical for testing drug mode of administration, blood-brain barrier penetration, pharmacokinetics (PK) and pharmacodynamics (PD) in a whole organism. The reality is that due to the complexity of disease mechanisms in whole organisms, only a small percentage of initially promising drugs may qualify for humans. The complementarity between “humanized” screening platforms and animal models PK/PD testing, is becoming clear and is probable to lead to maximum drug discovery success (Fig.2).

## Challenges in stem cell-based CNS drug discovery

The poor translation between phenotypic-driven drug screens in cellular and animal models and drug efficacy in human trials, is a challenge to drug discovery. To minimize the costs of failed experimental therapeutics, the dismissal of ineffective or highly toxic small molecules should occur as early as possible, preferably even before animal PK/PD testing. Therefore, it is imperative to establish reliable and scalable cell-based model systems that can more accurately resemble *in vivo* molecular and cellular events and that are predictive of clinical outcome. That is, cellular models need to replicate disease phenotypes to an extent that confidently predicts translation of a given molecular mechanism to clinically relevant features of illness.<sup>206, 294</sup> While human stem cell-derived models present promising avenues in this regard and for pharmacological development, there are still a number of limitations and challenges.

One challenge relates to the choice itself of which patients to derive iPSCs from, to then study fundamental aspects of disease. Given the variability amongst CNS disorders and limited diagnostics, it is always necessary to generate multiple independent cell lines from multiple healthy and diseased individuals in order to detect disease phenotypes of relevance for drug screening. A supporting strategy has been the reliance on patient cohorts with in-depth longitudinal clinical assessment. Longitudinal studies gather clinical data over time for multi-disciplinary research into the causes and progression of disease. Comparison between studies, for any given disease, can help researchers gain a deeper understanding of disease and to make informed decisions regarding patients for cell model generation and drug screens. However, significant methodological variations across cohort studies, including data collection, metrics and analysis, may impede cross-studies comparisons.<sup>295–297</sup> Thus integration of clinical data with genetic information or molecular data from patient iPSC-derived cells may ultimately be what enables the segregation or stratification of patients for clinical trials. A related topic is the best practice to choose control samples. Traditionally, control iPSCs are chosen amongst age-matched healthy individuals, both genetically and symptomatically, under the erroneous assumption in the case of neurodegenerative diseases, that these individuals will never suffer from the disease in the old age. Then should instead healthy centenarians be used as controls? As an alternative, healthy relatives that share a high percentage of genomic background are used as controls for individuals carrying a disease-causing mutation. Presently, isogenic CRISPR-corrected cell lines are at the forefront choice as controls for monogenic and potentially oligogenic disorders.

Adding to variability in disease, there is high variability amongst pluripotent cell lines that directly influence the developmental, functional and phenotypic properties of the neurons that are derived from each iPSC clone, even if the same exact protocols are used.<sup>298</sup> Moreover, derivation of functional neurons from iPSCs or ESCs in a scalable manner is still dependent on months-worth of tissue culture work, which makes large scale screens somewhat limited. For any new emerging methodology, there is an urgent need to improve conversion and differentiation efficiencies and to generate cultures in larger scale before translatable drug screening can be fully implemented.

iPSC-derived models also present some limitations at the level of genetic and physiological representation of the human tissue affected by disease. From a genetic point of view, iPSC-derived neurons do not recapitulate brain cells genetic mosaicism accurately, as most protocols rely on clonal iPSC amplification and differentiation.<sup>299–302</sup> Physiologically, the relative immature nature of any iPSC-derived cells is a strong limitation for CNS studies where the cell types of interest mature over an individual's lifetime. Differentiated cells can be matured to a degree but are still conceptually different from the brain in regard to development, physiological complexity and age. As neurons age, there is increase in DNA damage, decreased in transcriptional output, increase in oxidative stress and altered metabolism. These aspects are difficult to recapitulate in stem-cell differentiated neurons because iPSC reprogramming resets the age clock. Transcriptome profiles of iPSC-derived neuronal cells have revealed a greater similarity to primary fetal brain cells albeit expression of cortical layer markers.<sup>303–304</sup> Some strategies to circumvent this aspect, and promote faster maturation and aging, include the generation of cerebral organoids, iNs, and co-cultures over longer periods to capture features of more mature cells, although often at the expense of diminished throughput and scalability. Less used approaches include overexpression of progerin, a protein that causes Hutchinson-Gilford progeria syndrome and promotes accelerated aging features, and finally telomerase inhibition.<sup>68, 305–306</sup> It will be interesting to determine how different strategies can be combined to provide a more complete representation of actual cellular aging. For now, the undeniable “young age” of these cellular and organoid models dictates the type of therapeutics being screened for. For neurodegeneration in particular, depending on the phenotypic progression achievable with differentiation methodology, drug screens can better identify preventive therapeutics (pre-symptomology) than disease-modifying (post-symptoms onset) therapies.

Generation of relatively homogenous neuronal type populations poorly reflects the heterogeneity in the brain and selective neuronal vulnerability to disease. It is important to keep in mind that patient-derived cellular models address mainly cell-autonomous aspects of disease, neglecting potentially relevant aspects of other cell types present in the native environment that can certainly influence the outcome of therapeutic interventions. For example, interactions between neurons and glia, inter-neurons synaptic transmission, and higher order circuitries in the brain are challenging to reproduce in *ex vivo* cellular models. But even if a disease is most apparent at the network level, it does not necessarily follow that deficits will not be observed in individual cells. Along these lines, mixed neural cell populations that result from standard differentiation protocols that were initially considered a limitation, might instead represent an opportunity to interrogate multiple cell types simultaneously without losing possible cell non-autonomous mechanisms of disease etiology. Complementary validation of phenotypes with patient clinical data and post-mortem pathology, should circumvent some of these concerns (Fig.1).

Despite progress in identifying and being able to promote the developmental of specific cell types, such as neurons, glia and MNs, these protocols are still difficult to adapt to drug screening. The requirement for strict and methodical implementation of protocols to successfully obtain desired healthy cell types, without introducing variables like cell stress and viability loss, continue to affect the consistency of results. Current efforts focus on developing robust methodology for reproducible, large-scale production of disease-relevant

specific cell types, compatibility with high-throughput screening modalities, including high-content imaging, multiplexed screening, and electrophysiology with multielectrode arrays.<sup>13, 33, 38, 111, 170, 177, 247, 307</sup> But relative high experimental costs keep driving research to small scale screens with a minimum number of case and control lines, which leads to limited representation of genetically heterogeneous patient populations, particularly for complex, polygenic disorders, and possibly inaccurate translation of the compounds identified.

Finally, collective coordination and integration of research across diseases is minimal to non-existent, when possibly data from different diseases with high degree of co-morbidities could be relevant in cross-study analysis.<sup>1</sup> This has to do, in part, with research costs and funding that are awarded by distinct patient/disease advocacy groups and non-profit organizations or NIH “allocated funding lines” (*e.g.*, Alzheimer disease, Aging, Schizophrenia, Depression, etc.). As collections of patients’ iPSC lines start to be implemented at different geographic locations, a process that includes access and compilation of corresponding clinical and pathological data, it is expected that new integrative approaches are implemented, within specific diseases as well as across diseases that share important features (*e.g.* protein aggregation-associated neurodegeneration). However, despite efforts to support data sharing from the academic, industry and funding sectors, there is still a general reluctance, including the sharing of negative results that could be highly informative to the community in general.<sup>308</sup>

## Concluding Remarks

CNS drug development has traditionally been associated with tremendous high costs and low success, with drugs failing especially during phase III, the most expensive phase of clinical trials. This is largely attributed to poor clinical efficacy and/or unacceptable toxicity. For every drug screen pipeline covering thousands of small molecules, hundreds of compounds can reach the pre-clinical phase, with about 10 making it to human trials, and less than 1 being approved, in a process that can take 10 to 15 years.<sup>309</sup> This undoubtedly has also had a negative impact in research, but it is important to learn from negative trials results and understand the reasons that led to failure. One of the main aspects still has to do with the limited understanding of the underlying biology of disease, with few validated molecular targets. This is also linked to a lack of disease-specific biomarkers of predictive power, which limits the ability of interrogating new compounds’ pharmacology. From a research point of view, the major challenge over the last decade has been the development of disease models that accurately represent aspects of the disease biology, without recurring to heterologous systems. Human iPSC-derived 2D and 3D systems have caused a paradigm shift for CNS research, offering more biologically relevant disease models. Consequently, cellular, molecular and genetic phenotypes are providing screening assays useful for drug discovery, introducing the ‘human context’ earlier in the pipeline. This is expected to drive more successful drug development programs. Still, in order to improve usefulness of human iPSC modeling for drug discovery, it is important to focus on standardization of protocols for iPSCs generation, differentiation and maturation, with implementation of phenotypic assays with strict quality control parameters. The main driving force for advancing human iPSC-derived models in drug discovery will continue to be the demonstration that these cellular systems can be effectively used to understand the molecular and cellular

mechanisms of disease, can be predictive of clinical outcomes, and ultimately can be employed in functional cellular and biochemical assays towards diagnostics and discovery of therapeutic agents for the treatment of human diseases.

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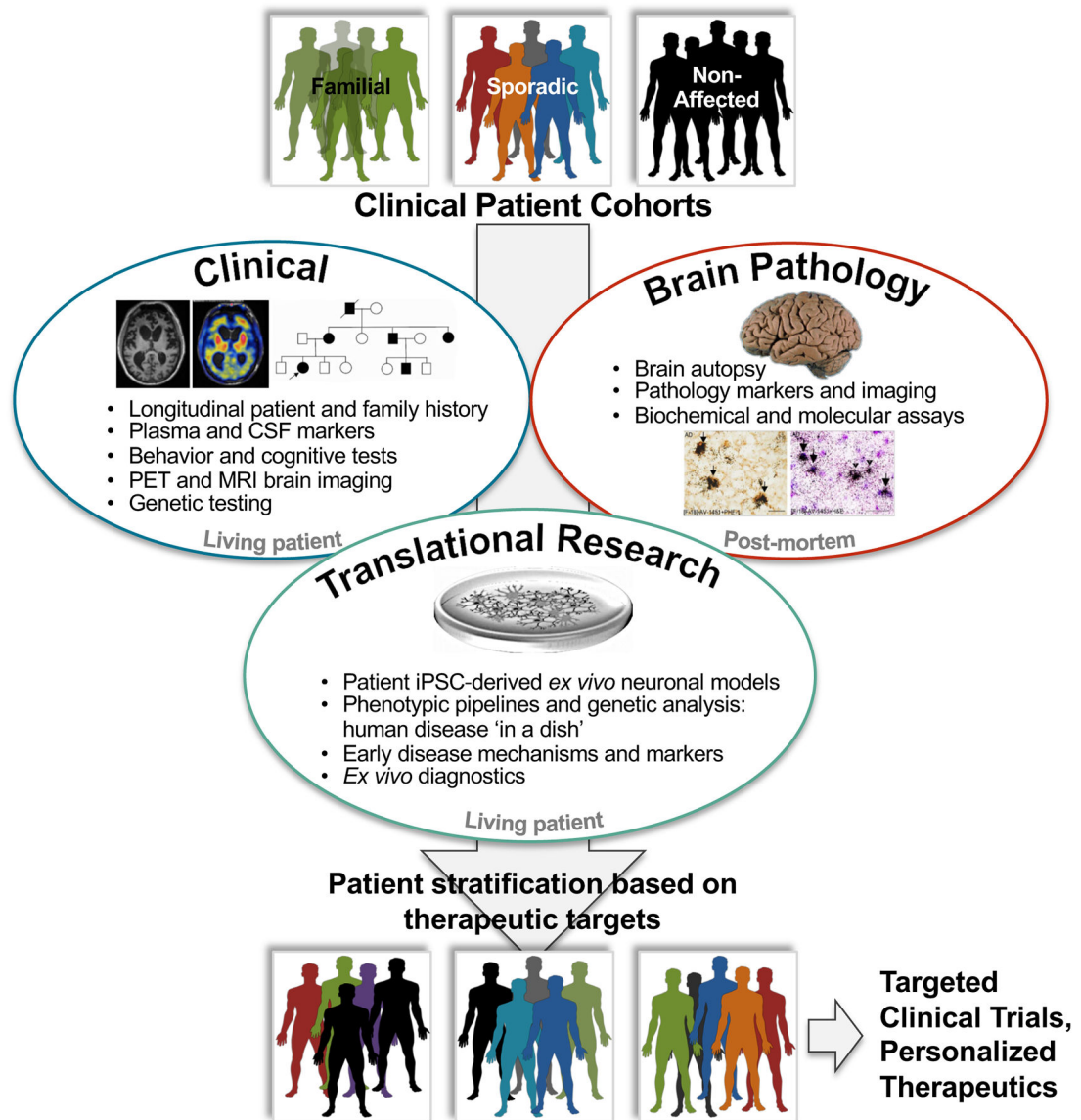
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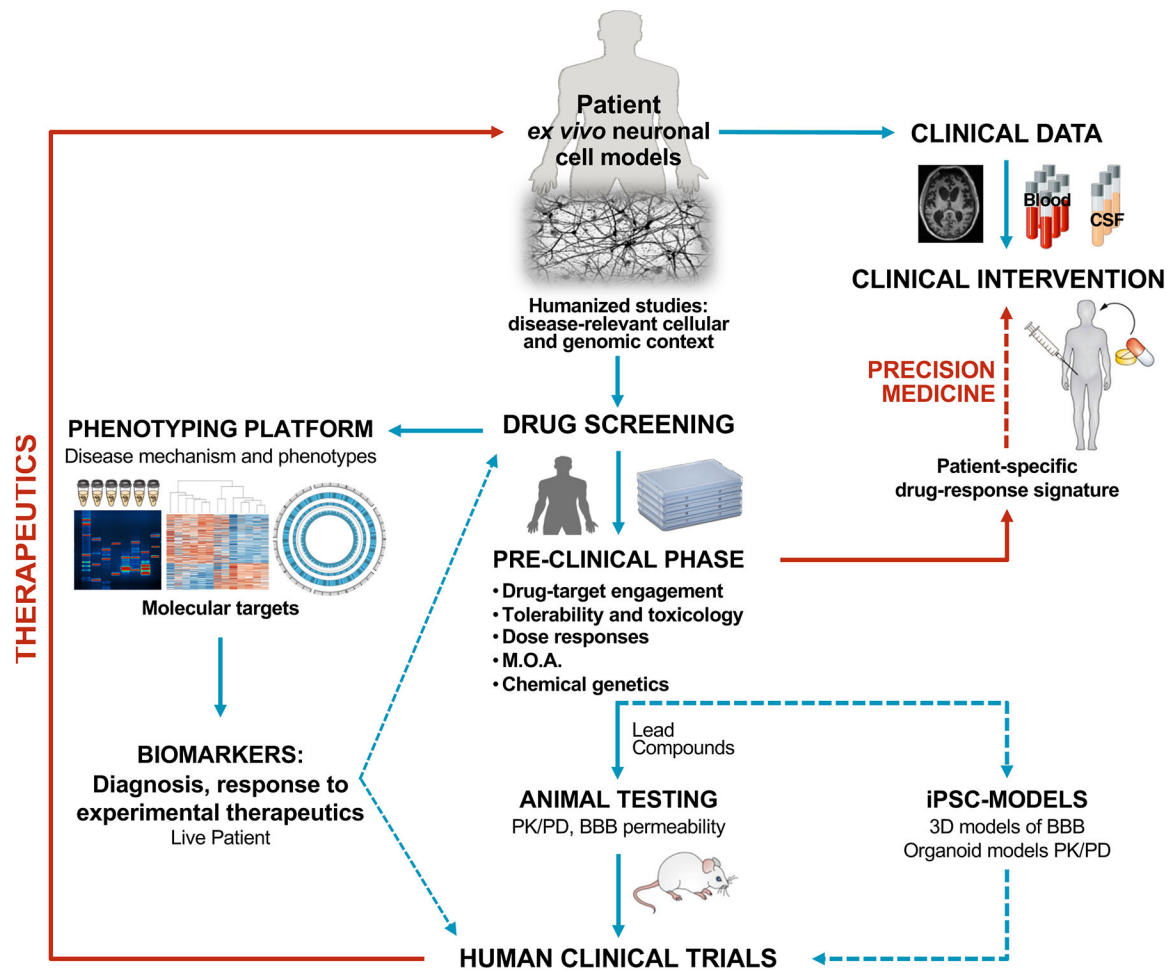
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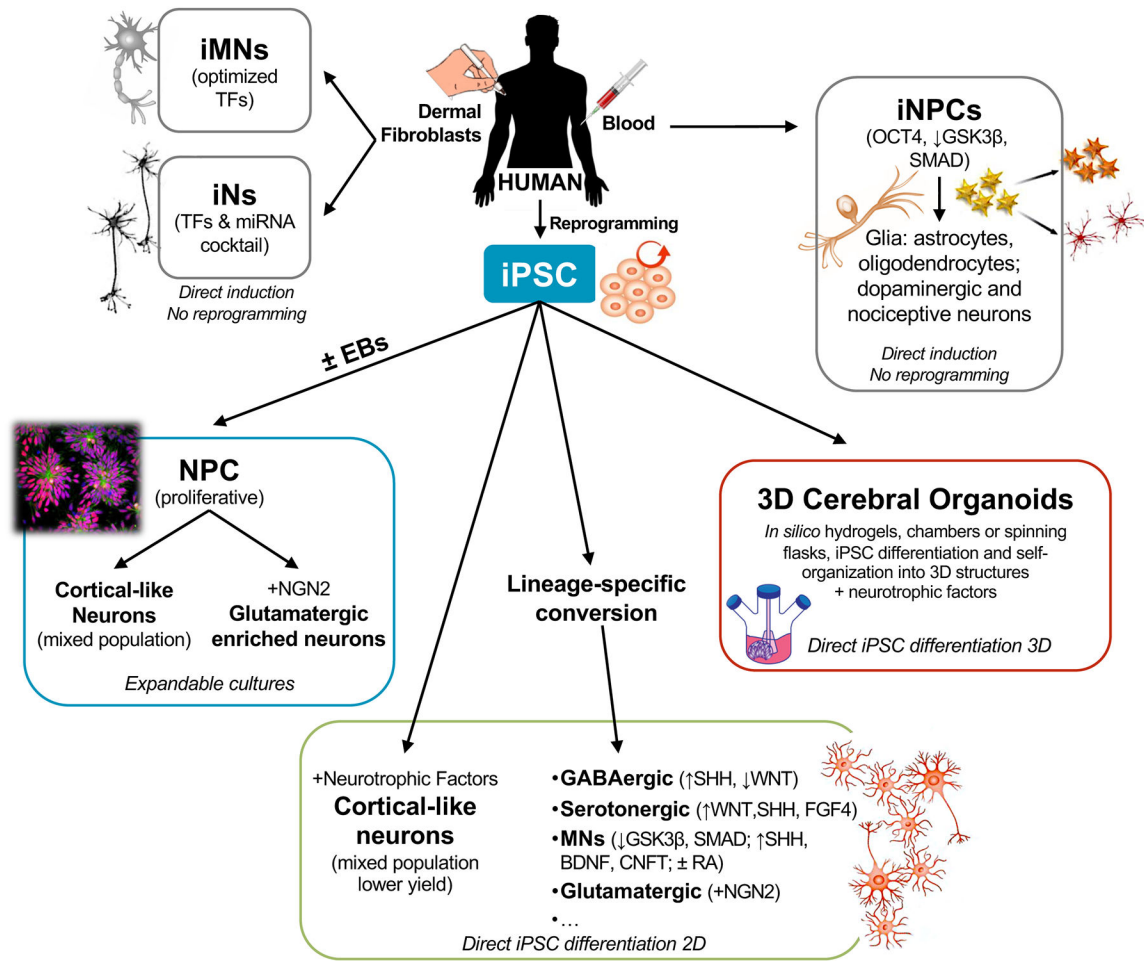
**Figure 1.**

CNS research integrative approaches, between longitudinal clinical phenotyping information (top left, images from *Ghetti et al. 2015*), post-mortem brain pathology data (top right, images from *Marquié et al. 2015*) and patient iPSC-derived cellular models and research (bottom middle). Patient iPSC-derived cell models are a tool in the study of early disease molecular and cellular mechanisms, and therefore translational research. Results interpretation in the context of clinical and pathological data allows discerning the most relevant phenotypes, identification of biomarkers for pre-clinical and clinical diagnostics, as well as therapeutics development. Patient stratification based on clinical, pathological and iPSC molecular and cellular data aids in determining drug screening targets in defined subpopulations and may increase overall clinical trials success.

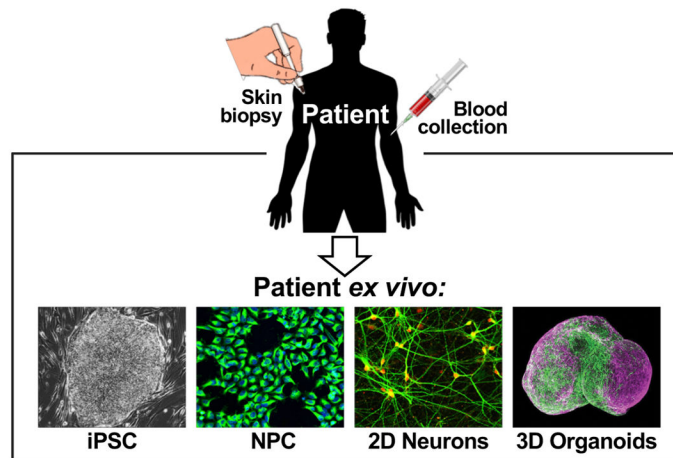


**Figure 2.**

Proposed research pipeline, from human *ex vivo* models to in human clinical trials, with the goal of implementing human testing as early as possible. Patient-derived disease models focus on endogenous physiologically relevant proteins and molecular pathways, with associated genetic context. Coupled with extensive phenotypic analysis and validation across an increasing number of cell lines, iPSC-derived cells will have an important role in clinical diagnostics and identification of biomarkers that can guide drug screening as well as patient stratification for clinical trials. Most remarkably, iPSC-derived cells show increasing potential for small-molecule screening and secondary testing of novel therapeutics' efficacy, toxicity and mode of action, in a human context and in advance of animal testing and human clinical trials. Novel human 3D models of BBB and organoids tissues like liver and kidneys may also be implemented in place of animal testing for PK/PD analysis. Predicted drug success vs. failure based on correlations derived from *ex vivo* cell models and patient-response will undoubtedly contribute to precision medicine efforts.



**Figure 3.** Summarized, non-exhaustive, schematic of the current landscape for human iPSC-derived or directed differentiation of somatic cells into neurons in 2D and 3D culture formats.



## Phenotyping Pipelines

Genetics	Biochemistry	Proteomics	Toxicity
<ul style="list-style-type: none"> <li>• Single-cell genomics and transcriptomics</li> <li>• WGS, HTS-NGS</li> <li>• CRISPR/Cas9 isogenic engineering</li> <li>• Epigenomics, chromatin and DNA remodeling</li> </ul>	<ul style="list-style-type: none"> <li>• Protein levels and PTMs (antibody-based)</li> <li>• Protein solubility and aggregation</li> <li>• Protein subcellular localization/redistribution</li> <li>• High content microscopy</li> </ul>	<ul style="list-style-type: none"> <li>• Mass spectrometry absolute levels</li> <li>• Protein site-specific PTMs (mapping)</li> <li>• Global proteomics (disease proteome)</li> </ul>	<ul style="list-style-type: none"> <li>• Cell morphology</li> <li>• RNA foci</li> <li>• Proteostasis and cell stress markers</li> <li>• Stress resistance and viability</li> <li>• Electrophysiology</li> </ul>

Reproducibility across cell lines vs. patient specificity, stringent QC of data and samples

**Figure 4.** Phenotypic platforms for human iPSC-derived neuronal models. Optimization and quality control (QC) of CNS cell model derivation paired with development and integration of standardized phenotypic pipelines, including genetic, biochemical, proteomic and toxicity analysis of neurons *ex vivo*, for determining molecular mechanisms of disease. Organoid image adapted from [sciencenews.org](https://www.sciencenews.org) 2018.

**Table 1.**

Representative examples of low- and high-throughput drug screens with relevance to CNS disorders, using human ESC/iPSC model systems. Cases are presented in chronological order to emphasize the evolution of the methodologies.

Model	Screening Strategy	Experimental Drugs, Library	Lead Hits	Reference
ESC survival	Human ESC $\uparrow$ survival <i>in vitro</i>	Candidate approach	ROCK inhibitor Y-27632	Watanabe K. <i>et al.</i> (2007) <i>Nature Biotech.</i>
ESC proliferation and differentiation	Human ESC self-renewal and differentiation based on OCT4/NANOG markers	2,880 small molecules (known bioactive and 748 FDA-approved)	22 activators of proliferation/differentiation (THEA, SNM, GTFX, FBP, RA, SLG, CYM, SRM)	Desbordes S.C. <i>et al.</i> (2008) <i>Cell Stem Cell</i>
Human ESC fate	$\uparrow$ differentiation and viability	1,040 compounds	22 hits ( <i>e.g.</i> steroids, pinacidil)	Barbaric I. <i>et al.</i> (2010) <i>Stem Cell Res.</i>
ESC-derived neurons potentiation	AMPA glutamatergic neuronal potentiation	2.4 million compounds	37 hits, 2 novel molecular series (CE-382349)	McNeish J. <i>et al.</i> (2010) <i>JBC</i>
SMA patient fibroblasts and iPSC-derived MNS	Rescue of MNS survival	3,500 bioactive compounds	188 hits (RTK-PI3K-AKT-GSK-3 signaling modulators)	Makhortova N.R. <i>et al.</i> (2011) <i>Nat Chem Biology</i>
Schizophrenia patient iPSC-derived neurons	$\uparrow$ neuronal synaptic markers, electrophysiology	Candidate approach	Loxapine	Brennan K.J. <i>et al.</i> (2011) <i>Nature</i>
Psychiatry patient iPSC-derived NPCs	Rescue of WNT signaling (WNT reporter assay)	1,500 FDA-approved and known bioactive compounds	45 hits (Riluzole, Trifluridine, Kaempferol, FG7142)	Zhao W.N. <i>et al.</i> (2012) <i>J Biomol Screen</i>
Familial dysautonomia patient iPSC-derived neural-crest precursors	Rescue of <i>IKBKAP</i> gene expression	6,912 compounds	8 hits SKF-86466	Lee G. <i>et al.</i> (2012) <i>Nature Biotechnology</i>
ALS (TDP-43 or sporadic) patient iPSC-derived MNS	Rescue of MN phenotypes	Candidate approach	Anacardic acid	Egawa N. <i>et al.</i> (2012) <i>Sci Transl Med</i>
Human GBM stem cells	$\downarrow$ Glioma stem cells proliferation	2,000 compounds	78 hits Disulfiram (DSF)	Hothi P. <i>et al.</i> (2012) <i>Oncotarget</i>
Human GBM-derived neural stem cells	$\downarrow$ proliferation, induced mitotic arrest	Candidate approach	PLK1 inhibitor JNJ-10198409 (J101)	Danovi D. <i>et al.</i> (2013) <i>PLoS One</i>
iPSC-derived neural stem cells viability	Modulators of proliferation and viability	1,000 compounds	5 hits (Cdk-2 modulator)	McLaren D. <i>et al.</i> (2013) <i>J Biomol Screen</i>
Human iPSC-derived forebrain neurons +A $\beta$ 42 aggregates (AD)	Rescue of A $\beta$ 42 toxicity (viability) and electrophysiology	GSK proprietary compound library	19 hits (CDK2 inhibitor)	Xu X. <i>et al.</i> (2013) <i>Stem Cell Res.</i>
AD patients (familial and sporadic) iPSC-derived neurons	$\downarrow$ A $\beta$ oligomers-induced ER and oxidative stress	Candidate approach	BSI ( $\beta$ -secretase inhibitor IV), DHA (docosahexaenoic acid)	Kondo T. <i>et al.</i> (2013) <i>Cell Stem Cell</i>
Sporadic ALS patients' iPSC-derived MNS	$\downarrow$ TDP-43 aggregation	1757 bioactive compounds	CDK inhibitors JNK inhibitors Triptolide, Cardiac glycosides	Burkhardt M.F. <i>et al.</i> (2013) <i>Mol and Cellul Neuroscience</i>
iPSC-derived dopaminergic neurons; MTT+ and rotenone PD-like models	$\uparrow$ viability	Candidate approach 44 compounds	16 hits (indomethacin, nicotine, EGCG, resveratrol, taurine)	Peng J. <i>et al.</i> (2013) <i>J Biomol Screen</i>
PD patient iPSC-derived cortical neurons with $\alpha$ -Syn(A53T) mutation	Rescue of ER processing, $\downarrow$ nitric oxide levels	Hit validation (yeast screen >180,000 small molecules)	NAB2	Chung C.Y. <i>et al.</i> (2013) <i>Science</i>



Model	Screening Strategy	Experimental Drugs, Library	Lead Hits	Reference
ALS (familial and sporadic) patient iPSC-derived MNs	Rescue of hyperexcitability	Candidate approach	Retigabine	Wainger B.J. <i>et al.</i> (2014) <i>Cell Rep.</i>
Fragile X Syndrome patient iPSC-derived neural cells	↑ <i>FMR1</i> / <i>FMRP</i> expression	5,000 bioactive compounds	6 hits	Kumari D. <i>et al.</i> (2015) <i>Stem Cells Transl Med</i>
Fragile X Syndrome patient iPSC-derived NPCs	↑ <i>FMR1</i> expression	50,000 compounds	790 hits	Kaufmann M. <i>et al.</i> (2015) <i>J Biomol Screen</i>
Patient-derived GBM cell lines, adherent or neurosphere-grown	Growth inhibition	Candidate approach Drug combinations	Bortezomib ABT-263+AZD-8055	Quartararo C.E. <i>et al.</i> (2015) <i>ACS Med Chem Lett</i>
ASD <i>SHANK3</i> haploinsufficiency iPSC-derived neurons	Rescue of neurite length and synaptic function	202 compounds	2 hits Lithium, Valproic acid	Darville H. <i>et al.</i> (2016) <i>EBioMedicine</i>
Friedreich Ataxia patients' iPSC-derived neurons	↑FXN (frataxin)	Hypothesis test	HDAC inhibitor 109	Codazzi F. <i>et al.</i> (2016) <i>Hum Mol Genet</i>
FTD-PGRN (sporadic or PGRN-S116X) patient iPSC-derived cortical neurons	↑PGRN expression and secretion	Proof-of-concept	SAHA	Almeida S. <i>et al.</i> (2016) <i>Neurobiol Aging</i>
FTD-PGRN fibroblasts and iPSC-derived neurons, from <i>GRN</i> mutation carriers	↑PGRN expression	Candidate approach Autophagy modulators	Trehalose	Holler C.J. <i>et al.</i> (2016) <i>Mol Neurodegener</i>
FTD Tau-A152T patient iPSC-derived neurons	↓Tau, rescue of neuronal stress vulnerability	Proof-of-concept	Rapamycin	Silva M.C. <i>et al.</i> (2016) <i>Stem Cell Reports</i>
ESC-derived astrocytes oxidative stress model (hydrogen peroxide-induced)	Protection against oxidative stress	4,100 bioactive and approved drugs	9 hits (Norcantharidin, Tyrphostin A1, Oxyphenbutazone, Enzastaurin)	Thorne N. <i>et al.</i> (2016) <i>Stem Cells Transl Med</i>
Gaucher disease (± Parkinsonism) patients' iPSC-derived macrophages and dopaminergic neurons	↑glucocerebrosidase levels and activity, ↓glycolipid storage, ↓α-Syn in dopaminergic neurons	Hit validation	NCGC607 (small molecule chaperone)	Aflaki E. <i>et al.</i> (2016) <i>J Neurosci</i>
SMA patient iPSC-derived MNs and astrocytes	↑SMN expression, ↑dendrite and axon development	Candidate Approach (drugs in Clinical trial)	Top hit: TRH analog, 5-oxo-1-prolyl-1-histidyl-1-prolinamide	Ohuchi K. <i>et al.</i> (2016) <i>Stem Cells Transl Med</i>
ALS SOD1-L144FVX patient iPSC-derived MNs	Rescue of SOD1-mediated neuronal cell death	1416 FDA-approved drugs or in clinical trial	Bosutinib	Imamura K. <i>et al.</i> (2017) <i>Sci Transl Med</i>
ALS SOD1-E100G patient iPSC-derived MNs	↑MN survival	Candidate approach	FR180204, Pifithrin-α hydrobromide, SB203580, SP600125, XAV 939	Bhinge A. <i>et al.</i> (2017) <i>Stem Cell Reports</i>
AD PSEN1-G384A patient iPSC-derived cortical neurons	↓Amyloid-β (↓Aβ42/40)	1,258 FDA-approved drugs (repurposing)	27 hits Bromocriptine, Cromolyn, Topiramate	Kondo T. <i>et al.</i> (2017) <i>Cell Rep</i>
AD/Trisomy 21 iPSC-derived cortical neurons	Modulators of APP processing: ↑short Aβ peptides, ↓Aβ42/38	1280 molecules (Prestwick library)	Avermectin: Selamectin	Brownjohn P.W. <i>et al.</i> (2017) <i>Stem Cell Reports</i>
Fragile X Syndrome patient iPSC-derived NPCs	↑ <i>FMR1</i> gene expression	1262 Compounds	5-aza-dC 5-aza-C	Li M. <i>et al.</i> (2017) <i>Stem Cells</i>

Model	Screening Strategy	Experimental Drugs, Library	Lead Hits	Reference
HD patient iPSC-derived medium spiny-like neurons	↓Cell death	Candidate approach	Bexarotene (PPARgamma activator)	Dickey A.S. <i>et al.</i> (2017) <i>Sci Transl Med</i>
HD patient iPSC-derived neurons	↓Cell death, ↑neurite length, ↑ <i>NEUROD1</i> expression	Candidate approach	Isoxazole-9	Consortium H.D.I. (2017) <i>Nat Neurosci</i>
HD (HTT-66Q, -71Q, -109Q) patient iPSC-derived BMECs	Rescue of HTT-induced defects in angiogenesis	Candidate approach	XAV939	Lim R.G. <i>et al.</i> (2017) <i>Cell Rep</i>
PD patients (idiopathic or <i>DJ-1</i> c.192G>C) iPSC-derived dopaminergic neurons	Rescue of mitochondrial oxidative stress, ↓oxidized dopamine, ↓ $\alpha$ -Syn, ↑lysosomal function	Candidate approach	FK506 Isradipine Mito-TEMPO N-acetylcysteine	Burbulla L.F. <i>et al.</i> (2017) <i>Science</i>
PD (LRRK2-G2019S) patient iPSC-derived dopaminergic neurons	↓Aggregates of Amyloid- $\beta$ and ↓P-APP	Candidate approach	LRRK2-IN-1	Chen Z.C. <i>et al.</i> (2017) <i>Sci Signal</i>
PD ( $\alpha$ -Syn-G209A) patient iPSC-derived dopaminergic, GABAergic and glutamatergic neurons	↓Protein aggregation, ↑neurite outgrowth	Hypothesis testing $\alpha$ -Syn oligomerization	NPT100-18A NPT100-14A ELN484228	Kouroupi G. <i>et al.</i> (2017) <i>PNAS</i>
PD patient-derived NPCs and neurons	↓ <i>SNCA</i> expression and rescue of oxidative stress	1,126 Compounds	Clenbuterol	Mittal S. <i>et al.</i> (2017) <i>Science</i>
Human iPSC-derived NPCs and neurons	↑ <i>GRN/PGRN</i> expression	Candidate approach	Class I HDAC inhibitors Apicidin, Valproate	She A. <i>et al.</i> (2017) <i>Cell Chem Biol</i>
Human iPSC-derived cortical NPCs and organoids infection by the Zika virus	↓Zika virus infection, ↑growth and differentiation	>1,000 FDA-approved drug candidates	Hippeastrine hydrobromide (HH)	Zhou T. <i>et al.</i> (2017) <i>Cell Stem Cell</i>
SMA patient iPSC-derived neurons	↑ <i>SMN2/SMN</i> expression	Candidate approach	Compound 3 HDAC inhibitor	Lai J.I. <i>et al.</i> (2017) <i>Bioorg Med Chem Lett</i>
ALS- <i>C9orf72</i> patient iPSC-derived MNs	↓Cell death	Hit validation (mouse primary spinal cord cultures screen)	Vardenafil	Osborn T.M. <i>et al.</i> (2018) <i>Neurobiol of disease</i>
ALS- <i>C9orf72</i> MNs and cortical neurons	↓RNA and protein repeat foci	Hit validation ( <i>in silico</i> screen)	DB1246 DB1247 DB1273	Simone R. <i>et al.</i> (2018) <i>EMBO Mol Med</i>
ALS (FUS-P525L) iPSCs	↓Stress granules	2600 compounds	Rapamycin, Torkinib, Paroxetine, Trimipramine	Marrone L. <i>et al.</i> (2018) <i>Stem Cell Reports</i>
AD- <i>APOE4</i> iPSC-derived neurons	↓A $\beta$ 40 and 42, ↓P-Tau, ↓GABAergic neuron degeneration	Candidate approach	PH002	Wang C. <i>et al.</i> (2018) <i>Nature Medicine</i>
AD (sporadic) patient iPSC-derived neurons	Retromer <sup>VPS35, VPS29, VPS26</sup> stabilizer compounds, ↓APP processing, ↓A $\beta$ peptides, ↓P-Tau	Candidate approach	R33, R55 chemical chaperones	Young J.E. <i>et al.</i> (2018) <i>Stem Cell Reports</i>
AD (PSEN1-P117L) patient iPSC-derived neurons	↓ $\beta$ -Amyloid (intra- and extra-cellular)	Candidate approach	Nobiletin	Kimura J. <i>et al.</i> (2018) <i>Biol Pharm Bull</i>
Human iPSC-derived sensory neurons excitability (pain disorder)	Inhibition of veratridine-evoked calcium flux (excitability) assay	2700 compounds	9 hits (Astemizole, Loperamide, Sertraline, Fluoxetine, Amitriptyline, Paroxetine)	Stacey P. <i>et al.</i> (2018) <i>SLAS Discov</i>
Human iPSC-derived neurons (neural networks and regeneration)	Modulation of neurite growth	4421 bioactive small molecules	108 hits (37 FDA approved)	Sherman S.P., Bang A.G. (2018) <i>Dis Model Mech</i>
Patient-derived GBM stem cells 3D-spheroids	↓Proliferation, ↑cytotoxicity	~3,300 FDA approved drugs	Molecules anti-proliferation	Querada V. <i>et al.</i> (2018) <i>SLAS Discov</i>

Model	Screening Strategy	Experimental Drugs, Library	Lead Hits	Reference
Psychiatry patients' iPSC-derived NPCs	↓WNT signaling	300,000 compounds	Multiple scaffolds	Zhao W.N., Haggarty S.J. <i>et al.</i> (manuscript in preparation)

Key: AD/Alzheimer's disease, ALS/amyotrophic lateral sclerosis, APOE4/apolipoprotein E variant *E4*, APP/amyloid precursor protein, ASD/autism spectrum disorders, BMECs/brain microvascular endothelial cells, *DJ-1*/nucleic acid deglycase gene or *PARK7*, ESC/embryonic stem cells, FDA/US Food and Drug Administration, *FMR1*/fragile X mental retardation 1 gene, FUS/fused in sarcoma RNA-binding protein, GBM/Glioblastoma, *GRN*/progranulin gene, iPSC/induced pluripotent stem cells, LRRK2/leucine-rich repeat kinase 2, MNs/motor neurons, MTT/tetrazolium dye, NPCs/neural progenitor cells, PD/Parkinson's disease, PGRN/progranulin protein, PSEN1/presenilin 1, SMA/spinal muscular atrophy, SOD1/superoxide dismutase 1,  $\alpha$ Syn/ $\alpha$ -Synuclein, TDP-43/TAR DNA-binding protein 43.

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