

RESEARCH PAPER



Autophagy and post-ischemic conditioning in retinal ischemia

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ABSTRACT

Retinal ischemia is a major cause of vision loss and a common underlying mechanism associated with diseases, such as diabetic retinopathy and central retinal artery occlusion. We have previously demonstrated the robust neuroprotection in retina induced by post-conditioning (post-C), a brief period of ischemia, 24 h, following a prolonged and damaging initial ischemia. The mechanisms underlying post-C-mediated retinal protection are largely uncharacterized. We hypothesized that macroautophagy/autophagy is a mediator of post-C-induced neuroprotection. This study employed an *in vitro* model of oxygen glucose deprivation (OGD) in the retinal R28 neuronal cell line, and an *in vivo* rat model of retinal ischemic injury. *In vivo*, there were significant increases in autophagy proteins, MAP1LC3-II/LC3-II, and decreases in SQSTM1/p62 (sequestosome 1) in ischemia/post-C vs. ischemia/sham post-C. Blockade of *Atg5* and *Atg7* *in vivo* decreased LC3-II, increased SQSTM1, attenuated the functional protective effect of post-C, and increased histological damage and TUNEL compared to non-silencing siRNA. TUNEL after ischemia *in vivo* was found in retinal ganglion, amacrine, and photoreceptor cells. Blockade of *Atg5* attenuated the post-C neuroprotection by a brief period of OGD *in vitro*. Moreover, *in vitro*, post-C attenuated cell death, loss of cellular proliferation, and defective autophagic flux from prolonged OGD. Stimulating autophagy using Tat-Beclin 1 rescued retinal neurons from cell death after OGD. As a whole, our results suggest that autophagy is required for the neuroprotective effect of retinal ischemic post-conditioning and augmentation of autophagy offers promise in the treatment of retinal ischemic injury.

Abbreviations: BECN1: Beclin 1, autophagy related; DAPI: 4',6-diamidino-2-phenylindole; DR: diabetic retinopathy; EdU: 5-ethynyl-2'-deoxyuridine; ERG: Electroretinogram; FITC: Fluorescein isothiocyanate; GCL: Ganglion cell layer; GFAP: Glial fibrillary acidic protein; INL: Inner nuclear layer; IPL: Inner plexiform layer; MAP1LC3/LC3: Microtubule-associated protein 1 light chain 3; OGD: Oxygen-glucose deprivation; ONL: Outer nuclear layer; OP: Oscillatory potential; PFA: Paraformaldehyde; PL: Photoreceptor layer; post-C: post-conditioning; RFP: Red fluorescent protein; RGC: Retinal ganglion cell; RPE: Retinal pigment epithelium; RT-PCR: Real-time polymerase chain reaction; SEM: Standard error of the mean; siRNA: Small interfering RNA; SQSTM1: Sequestosome 1; STR: Scotopic threshold response; Tat: Trans-activator of transcription; TUNEL: Terminal deoxynucleotidyl transferase dUTP nick end labeling

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Introduction

Retinal ischemia is a major cause of vision loss and a common underlying pathology in diseases affecting millions, including diabetic retinopathy (DR) and age-related macular degeneration (AMD). The pathophysiology of retinal ischemic injury includes inflammation, glial activation, oxidative stress, and death of retinal neurons leading to visual loss [1]. The regenerative capacity of the human retina is limited, and current treatment options for DR, such as intraocular injections (e.g., anti-VEGFA, vascular endothelial factor A), eye drops, and surgery, are primarily focused on arresting disease progression, but their effectiveness is limited [2]. Thus, treatment of retinal ischemia and its consequences is a priority and calls for new approaches to overcome a lack of specificity, toxicity, and side effects of treatments.

A more recent advance from our laboratory utilizes the retina's endogenous protective mechanisms through ischemic post-conditioning (post-C), a transient ischemic stimulus applied as late as 24 h after damaging retinal ischemia. Post-C conferred potent neuroprotection and facilitated robust functional and histological recovery after retinal ischemic injury in rats [3].

There have been few studies examining the mechanisms of retinal post-C, although we showed that AKT1 (thymoma viral proto-oncogene 1) was required [4], and subsequently that post-C altered TRP53/p53, cell cycle, apoptosis, MAPK (mitogen activated protein kinase), JAK-STAT (Janus kinase-signal transducer and transcription activator), and HIF1A/HIF-1 (hypoxia inducible factor 1, alpha subunit) pathways [5]. Macroautophagy/autophagy is another candidate pathway

in ischemic injury or protection from injury [6]. It occurs at low levels constitutively and can be further activated under stress (e.g., exercise) and energy-deprived (fasting) conditions to recycle damaged proteins and organelles [7]. Emerging evidence implicates autophagy as an intrinsic protective response to cerebral and myocardial ischemia and chronic degenerative diseases, e.g., Alzheimer [8]. A number of studies evaluated the essential role of autophagy in ocular homeostasis, particularly in the retinal pigment epithelium or photoreceptors [9–11]. However, studies on retinal ischemia and autophagy, where the inner retina is the predominant site of pathology, have yielded conflicting results. These discrepancies are due to, among other factors, the ischemia model used, and use of various nonspecific inhibitors of autophagy [12–14].

Based on the role of autophagy as a cytoprotective mechanism enabling retinal cells to salvage essential nutrients under stressed conditions, we hypothesized that autophagy is triggered by post-C and is essential for post-C-induced neuroprotection from retinal ischemia. In the present study, we utilized a rat *in vivo* model of retinal ischemia and post-C [15]. Also, based upon our previous studies [16], we established a new *in vitro* model of simulated ischemia-reperfusion with oxygen-glucose deprivation (OGD)

and “post-C.” Using *in vivo* and *in vitro* models of retinal ischemia and post-conditioning, specific inhibition with small interfering RNA (siRNA), and specific stimulation of autophagy using Tat-Beclin 1, we assessed the involvement of autophagy in rendering retinal neuroprotection against ischemic injury.

RESULTS

Atg5 and Atg7 silencing blocked post-C enhancement of retinal function and its prevention of cell loss after ischemia

Intravitreal injection of *Atg5* and *Atg7* siRNA 6 h prior to post-C decreased levels of their corresponding proteins ATG5 and ATG7 (Figure 1). Functionally, this decrease resulted in a significant decrease in the a- and b-waves, and P2 amplitudes recovery at 7 d after ischemia/post-C vs. the non-silencing scrambled siRNA-injected ischemia + post-C group (n = 9 per group, Figure 2). This outcome can be seen most readily with the ERG waves in the ischemic eyes expressed relative to the baseline and the normal eye (“normalized” data, Figure 2A), or, alternatively, as comparisons of absolute values, the latter particularly

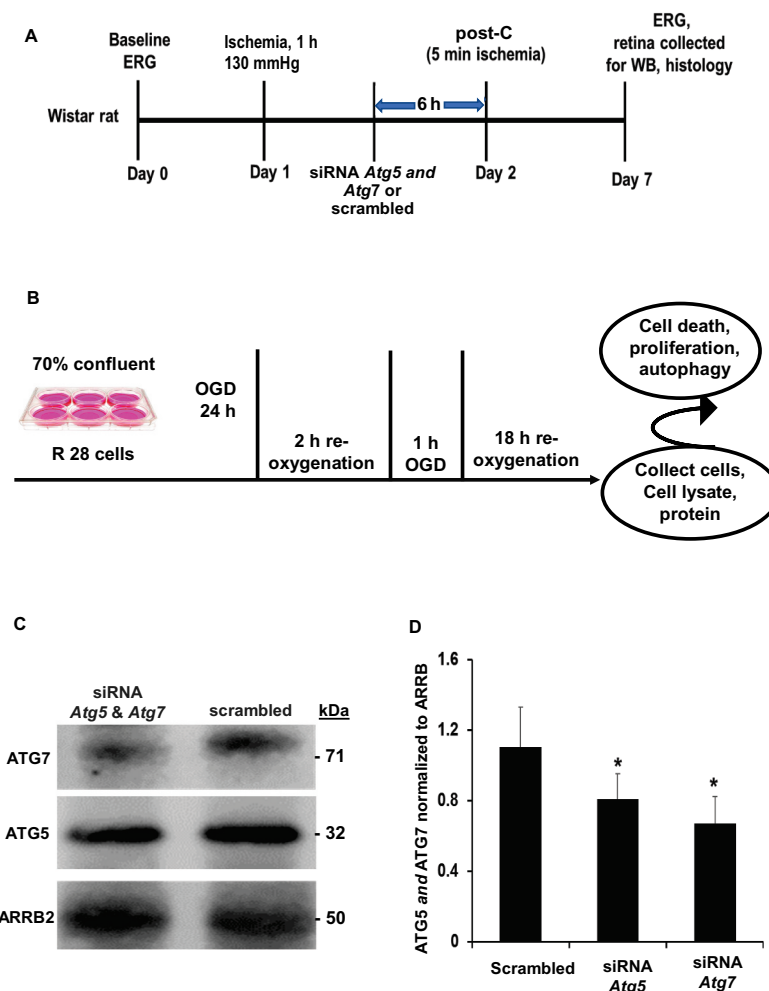


Figure 1. Schematic representation of experimental protocol. (A) *in vivo* rat (B) *in vitro* R28 cell line ischemia and post-C model. (C, D) Representative western blot image and densitometry demonstrating the silencing effect on ATG5 and ATG7 *in vivo* with intravitreal administration of siRNA. Results were normalized for protein loading using ARRB2. Mean \pm SEM; N = 4 per group; * = $p < 0.05$ for siRNA to *Atg5* and *Atg7* vs. scrambled. ERG: electroretinogram; OGD: oxygen-glucose deprivation; siRNA *Atg*: interfering RNA to *Atg*; WB: western blot.

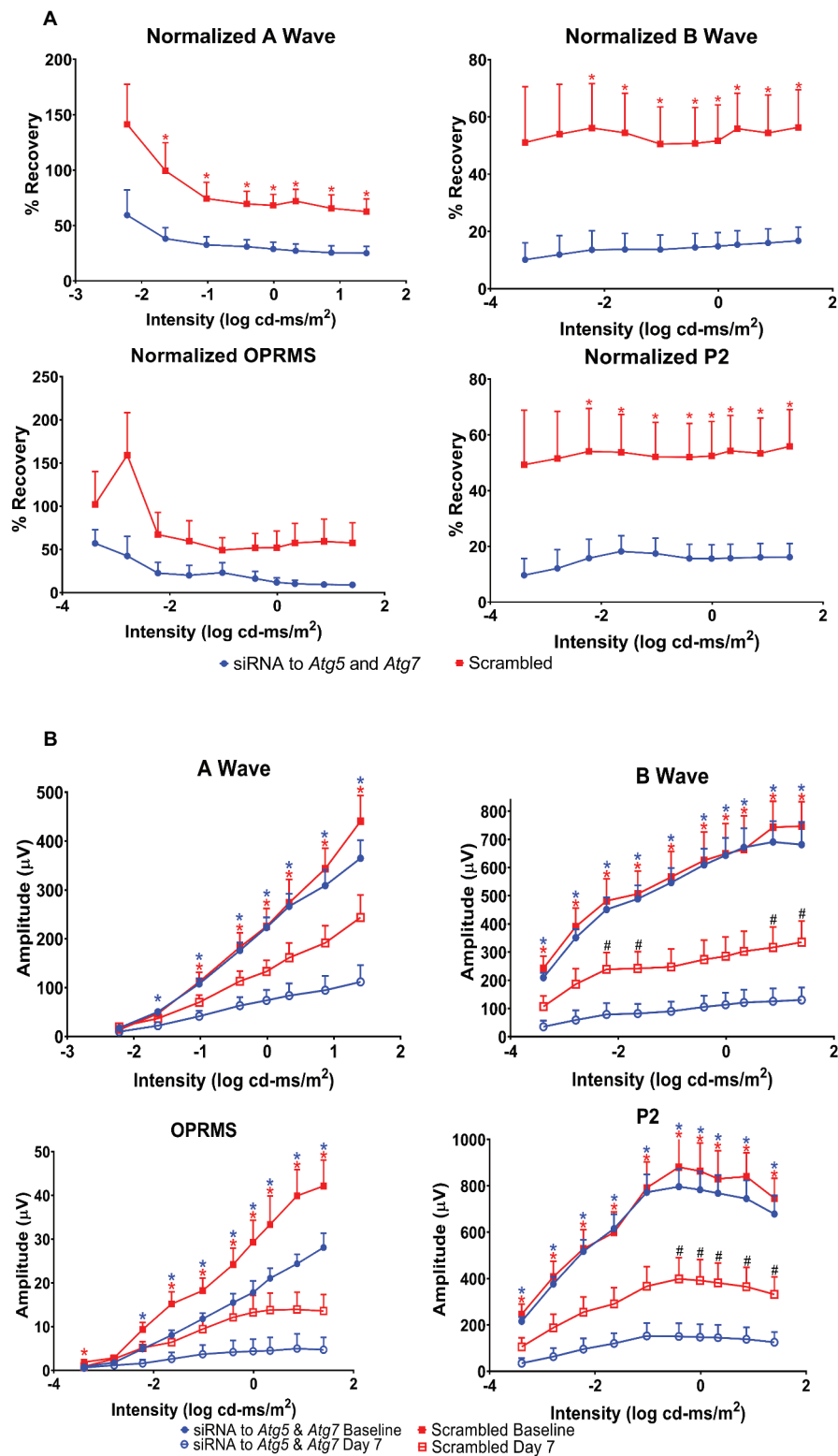


Figure 2. Autophagy is required for functional retinal recovery by post-C after ischemia *in vivo*. Stimulus intensity response in post-conditioned ischemic rat retinas injected with *Atg5* and *Atg7* siRNA. (A) Normalized ERG amplitude data for a- and b-waves, oscillatory potentials (OP; shown as OPRMS, OP Root Mean Square) and P2 over a range of flash intensities (x-axis, log cd-ms/m²). Y-axis = calculated % recovery of amplitudes relative to baseline. Data were recorded at baseline (prior to ischemia) and 7 d after post-C (post-C was 24 h after ischemia) and shown as mean \pm SEM. N = 9 per group; * = $p < 0.05$ for non-silencing scrambled vs. siRNA to *Atg5* and *Atg7*. Description of data points appears at bottom of the graph. (B) Absolute ERG amplitude data for ischemic eyes for a- and b-waves, OP and P2 over a range of flash intensities (log cd-ms/m²) shown on the x-axis. Y-axis is absolute amplitude (μ V); mean \pm SEM, and N = 9 per group. Blue * indicates $p < 0.05$ for baseline vs. day 7 ischemic eyes in siRNA-treated group. Red * indicates $p < 0.05$ for baseline vs. day 7 ischemic eyes in scrambled-treated group. # indicates significant difference between ischemic eyes for siRNA vs. scrambled groups. Description of data points appears at bottom of the graph.

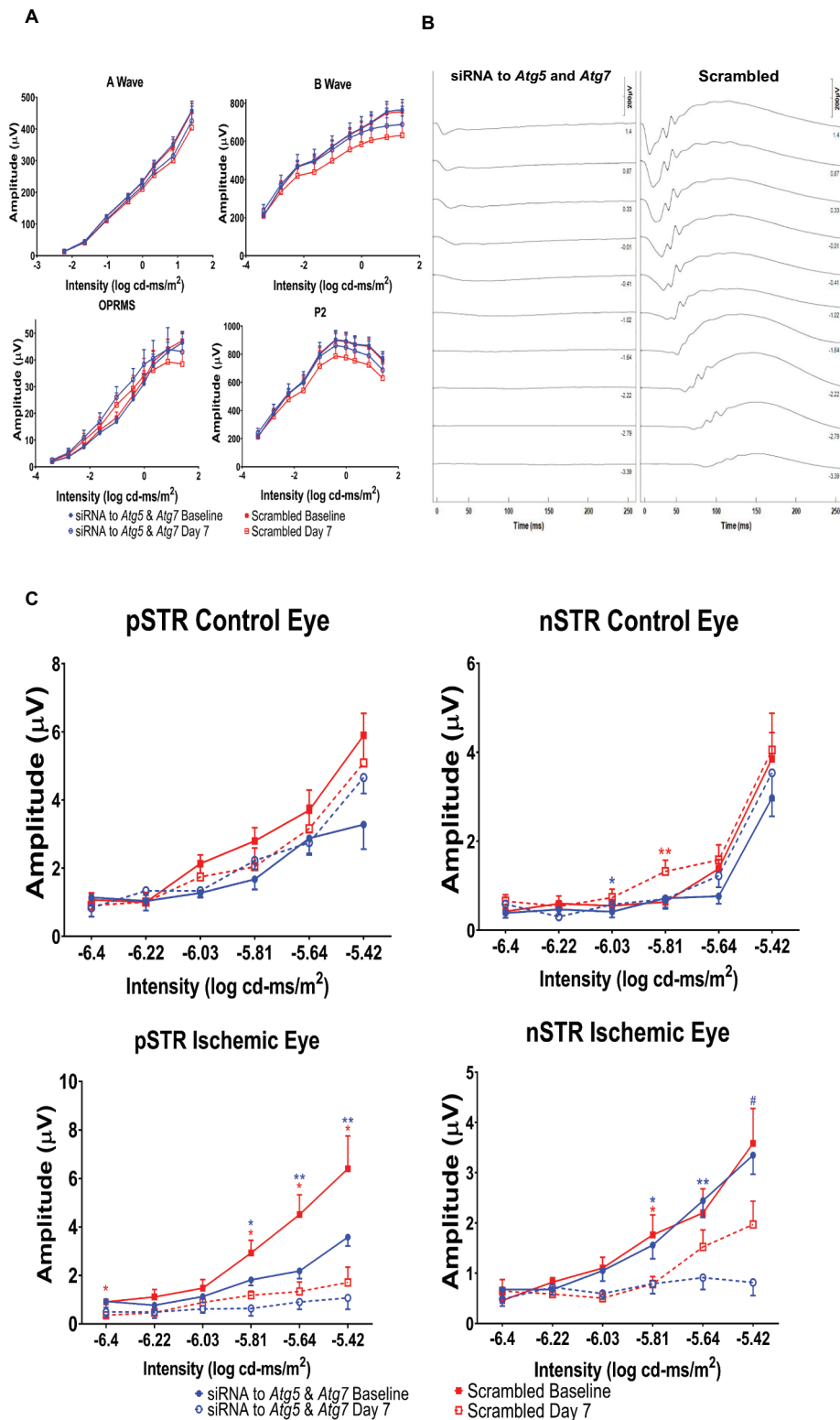


Figure 3. ERG absolute values, representative traces, and the scotopic threshold responses. (A) Stimulus-intensity responses for the absolute values of amplitudes of a- and b-waves, OP, and P2 in the non-ischemic control eyes. ERGs recorded at baseline and 7 d after ischemia and post-C. Y-axis is absolute amplitude (μV), and x-axis is the stimulus intensity (log cd-ms/m²). Description of data points appears at bottom of the graph. Data are shown as mean \pm SEM. (B) Representative ERG tracings for ischemic groups. Time after flash in milliseconds (ms) on x-axis. Scale bar for amplitude in top right corners. (C) Stimulus intensity responses for the scotopic threshold response (STR), showing the positive STR (pSTR) and negative STR (nSTR) in rats subjected to retinal ischemia and post-conditioning and the eyes injected with siRNA for *Atg5* and *Atg7* or scrambled. STRs were recorded from the control and ischemic eyes at baseline and 7 d after post-C. Absolute amplitude appears on the y-axis (see Methods) and the 6 flash intensities (log cd-ms/m²) are on the x-axis. Description of data points appears at bottom of the graph. Data are shown as mean \pm SEM; blue * for $p < 0.05$ for siRNA group, and red ** $p < 0.05$ for scrambled group. N = 9 for both groups. Symbols for bottom ischemic eye graphs: blue * indicates $p < 0.05$, and blue ** is $p < 0.01$ between baseline and day 7 ischemic eyes of the siRNA-injected group; red * indicates $p < 0.05$ between baseline and day 7 ischemic eyes of the scrambled groups, blue # indicates $p < 0.01$ between the siRNA and scrambled groups.

Table 1. Histology after retinal ischemia.

	Mean number of cells in RGC layer
Scrambled siRNA + post-C	9.7 ± 0.5
<i>Atg5</i> and <i>Atg7</i> siRNA + post-C	7.3 ± 0.4*
	Number of INL cells/area
Scrambled siRNA + post-C	2.6 ± 0.01
<i>Atg5</i> and <i>Atg7</i> siRNA + post-C	2.2 ± 0.01*
	Number of ONL cells/area
Scrambled siRNA + post-C	5.5 ± 0.02
<i>Atg5</i> and <i>Atg7</i> siRNA + post-C	5.2 ± 0.03

(A) Mean number of cells/area (± SEM) in retinal ganglion cell (RGC) layer in the ischemic retinae 7 days after ischemia + post-C with scrambled siRNA injected 6 h before post-C compared to the number of cells in the ischemic retinae for *Atg5* and *Atg7* siRNA injected 6 h before post-C.

* = $p < 0.01$ vs. scrambled via unpaired t test

(B) Mean number (± SEM) of INL cells/area (μm^2 ; $\times 100$) in the ischemic retinae 7 d after ischemia + post-C with scrambled siRNA injected 6 h before post-C compared to the ischemic retinae for *Atg5* and *Atg7* siRNA injected 6 h before post-C.

* = $p < 0.04$ vs. scrambled via unpaired t test

(C) Mean number (± SEM) of ONL cells/area (μm^2 ; $\times 100$) in the ischemic retinae 7 days after ischemia + post-C with scrambled siRNA injected 6 h before post-C compared to the ischemic retinae for *Atg5* and *Atg7* siRNA injected 6 h before post-C.

for the b-wave and P2 (Figure 2B). We found no impact of siRNA for *Atg5* and *Atg7* on the a-wave, b-wave, OP, or P2 in the non-ischemic eyes (Figure 3). For both nSTR and pSTR, there were significant decreases in recovery in the siRNA- and scrambled-treated ischemia + post-C groups (Figure 3).

At 7 d after ischemia/post-C, there was a significant decrease in the number of cells in the retinal ganglion cell (RGC) and inner nuclear layers (INL) in the si*Atg5*- and si*Atg7*-treated vs. the scrambled-treated ischemic retinae (Table 1), and no differences in the cell counts in the ONL (outer nuclear layer). Post-C, as expected, suppressed TUNEL after ischemia, and the addition of siRNA increased TUNEL, with most TUNEL located in the ONL and INL. Knockdown by siRNA increased TUNEL in ischemia + post-C group (Figure 4A), indicating that siRNA to *Atg5* attenuated the anti-apoptosis effect of post-C. TUNEL was present in RGCs, amacrine cells, photoreceptors, and microglia/macrophages incorporated TUNEL cells in the inner nuclear layer. Under non-ischemic conditions, there was minimal TUNEL. When siRNA was administered with ischemia alone, TUNEL was widespread throughout the retina (Figure 4B).

ATG5 and ATG7 protein levels were decreased by about 40% by siRNA silencing even after 7 d post-transfection (Figure 5A,B). Reflecting the functional impact of *Atg5* blockade by siRNA at 3 and 7 d after intravitreal injection of siRNA in non-ischemic retinae, MAP1LC3-II/LC3-II levels in retinal homogenates were significantly decreased by > 80% relative to that of control (Figure 5A,B), while SQSTM1 levels increased significantly about 2.5-fold (Figure 5A,B), suggesting that blockade of *Atg5* decreased autophagic flux *in vivo* [17].

Impact of ischemia and post-C on autophagy proteins and MTOR *in vivo*

Western blotting demonstrated increased LC3-II and decreased SQSTM1 in ischemia + post-C retinae *in vivo* collected 7 d after post-C (Figure 5C,E). There was a significant increase in LC3-II in paired ischemia + post-C vs. non-ischemic control, and in ischemia + post-C vs. ischemia + sham post-C retinae. SQSTM1 increased with ischemia + sham post-C vs. non-ischemic control

and decreased with ischemia + post-C, suggesting that ischemia decreased autophagic flux, while post-C added to ischemia increased autophagic flux *in vivo*. By immunohistochemistry, siRNA reduced levels of ATG5 both in non-ischemic and ischemic + post-C retinae (Figure 6A). Most ATG5 expression was in inner retina and some in the ONL. In both the non-ischemic and the ischemic + post-C retinae, siRNA reduced levels of ATG5 throughout the retina and attenuated the ischemia + post-C induced increased expression of ATG5.

SQSTM1 was in the RGC layer and INL, and was increased by *Atg* siRNA at baseline conditions and with ischemia + post-C (Figure 6B) relative to the scrambled-treated retinae. Under non-ischemic conditions, siRNA to *Atg5* decreased LC3-II relative to scrambled. siRNA to *Atg5* also attenuated the increased LC3-II levels seen with ischemia + post-C (Figure 6C).

With respect to MTOR signaling, a previous study shows that axotomy activates MTOR in rodents in a subset of RGCs [18]. Hypoxia activates MTOR in retinal Muller cells *in vitro* [19]. In retinal cryosections taken at 24 h after post-C or sham post-C (48 h after ischemia), levels of MTOR, and downstream p-RPS6 KB1 (ribosomal protein S6 kinase, polypeptide 1), p-EIF4EBP1 (eukaryotic translation initiation factor 4E-binding protein 1) were highest in normal, non-ischemic eyes, decreased with ischemia + post-C + scrambled, and were intermediate in ischemia + post-C + siRNA. Muller cells, astrocytes, and retinal ganglion cells expressed MTOR and its phosphorylated downstream targets (Figure 7A and 7B).

Autophagy is involved in simulated ischemia and post-C in retinal R28 cells

Retinal R28 cells expressed markers for neurons (TUBB3 [tubulin, beta 3 class III]; CALB1 [calbindin 1]; STX1A [syntaxin 1A {brain}]; NEFH [neurofilament, heavy polypeptide]; PRKACA [protein kinase, cAMP dependent, catalytic, alpha]) and astrocytes (GFAP [glial fibrillary protein]; and VIM [vimentin]) (Figure 8 and Table 2). The *in vitro* model (Figure 1) simulated ischemia using 24 h of OGD. A subsequent period of “post-C” by 1 h of OGD attenuated cell death (Figure 9A). OGD significantly reduced cellular proliferation, an effect likewise reversed by post-C (Figure 9B). OGD produced a non-significant decrease in LC3-II, but post-C added to OGD significantly increased LC3-II vs. normoxia and vs. OGD (Figure 9C,D). SQSTM1 increased significantly with OGD and decreased significantly vs. OGD when post-C was added. OGD significantly decreased BECN1, which increased significantly with post-C added to OGD. OGD significantly increased apoptosis-related gene expression, as indicated by levels of cleaved-CASP3 (caspase-3), which was significantly attenuated by post-C (Figure 9C,D). Electron micrographs (EM) (Figure 10A) showed characteristic double-membrane autophagosomes in R28 cells. With OGD, autophagosomes appeared densely packed with cellular material; however, with post-C added, the autophagosomes were larger in size, and less densely packed compared to OGD.

To determine the impact *in vitro* on cell death and proliferation of blocking autophagy in OGD, *Atg5* was silenced using siRNA, resulting in > 70% decrease in

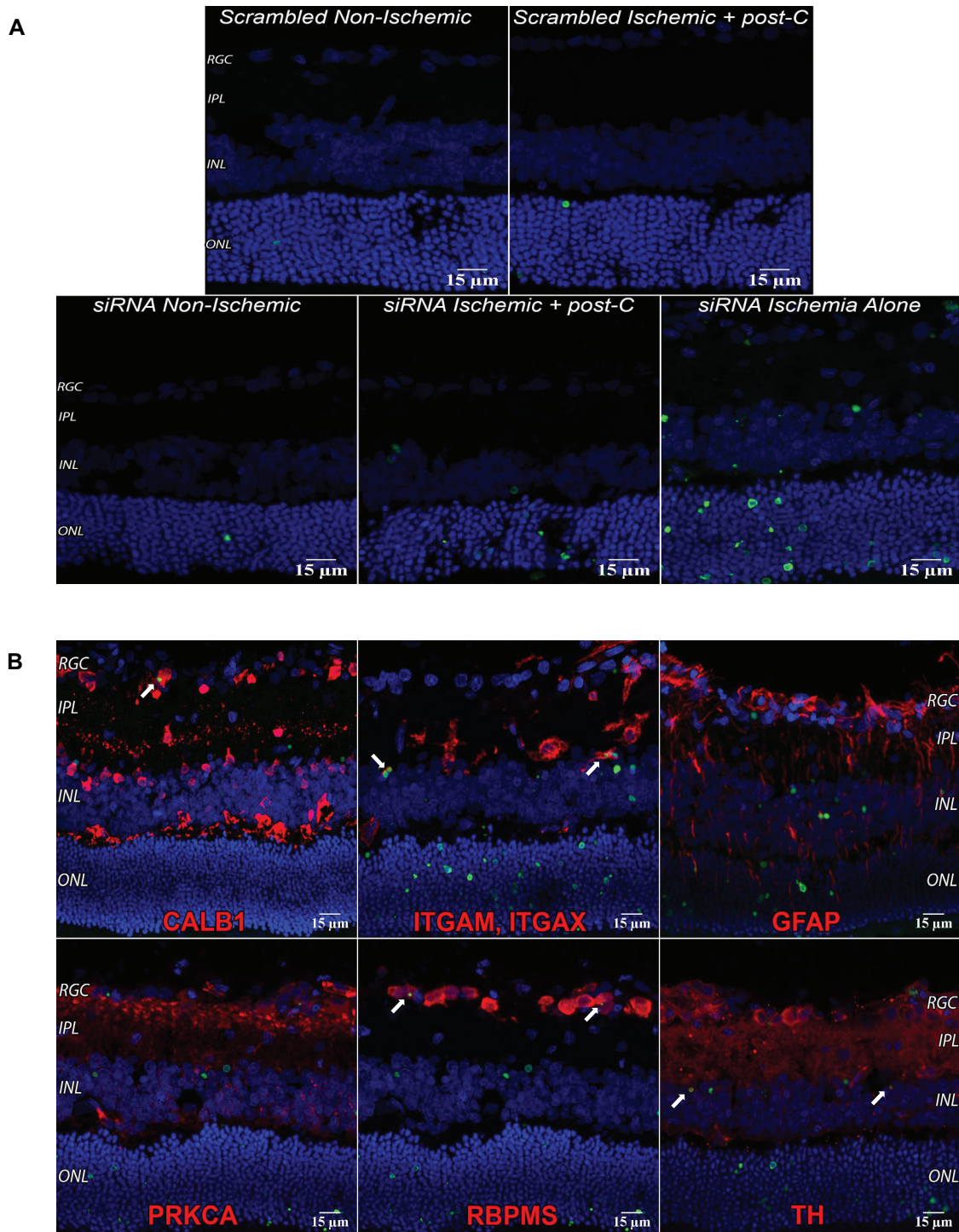


Figure 4. TUNEL and autophagy in the retina. (A) TUNEL, *Atg5* silencing, and ischemia. The 14 μm cryosections were prepared from retinas taken 24 h after post-C (that is, 48 h after ischemia). DAPI: blue, TUNEL: green, and examined using confocal microscopy. Clockwise from top left: scrambled, non-ischemic, scrambled, ischemia + post-C, siRNA with ischemia alone, siRNA + ischemia + post-C, and siRNA, non-ischemic. These are representative images from $N = 3$ per group; magnification 40x. (B) TUNEL in specific cells in the retina after ischemia. DAPI: blue, TUNEL: green, and markers for retinal cells are red. White arrows (top left panel) show yellow overlap of CALB1 and TUNEL, in what is likely a displaced amacrine cell. ITGAM and ITGAX double labeling (white arrows, top middle panel) are microglia or monocytes engulfing TUNEL cells in the INL, and TH (tyrosine hydroxylase) double labeling (white arrows, bottom-right panel) shows TUNEL in amacrine cells in the INL. RBPMS (RNA binding protein with multiple splicing) double labeling shows TUNEL in RGCs (white arrows, bottom middle panel). No co-labeling was found with GFAP, or PRKCA. TUNEL is also visible in the photoreceptor layer. These are representative images from $N = 3$ per group; magnification 40x.

protein levels (Figure 10B). Under normoxic conditions, siRNA to *Atg5* increased cell death and decreased proliferation vs. phosphate-buffered saline (PBS) or scrambled (Figure 10C,D). OGD significantly increased cell death

and decreased proliferation, irrespective of incubation with PBS, scrambled, or *Atg5* siRNA, with no difference between the groups. Post-C decreased cell death and restored proliferation in PBS and scrambled groups

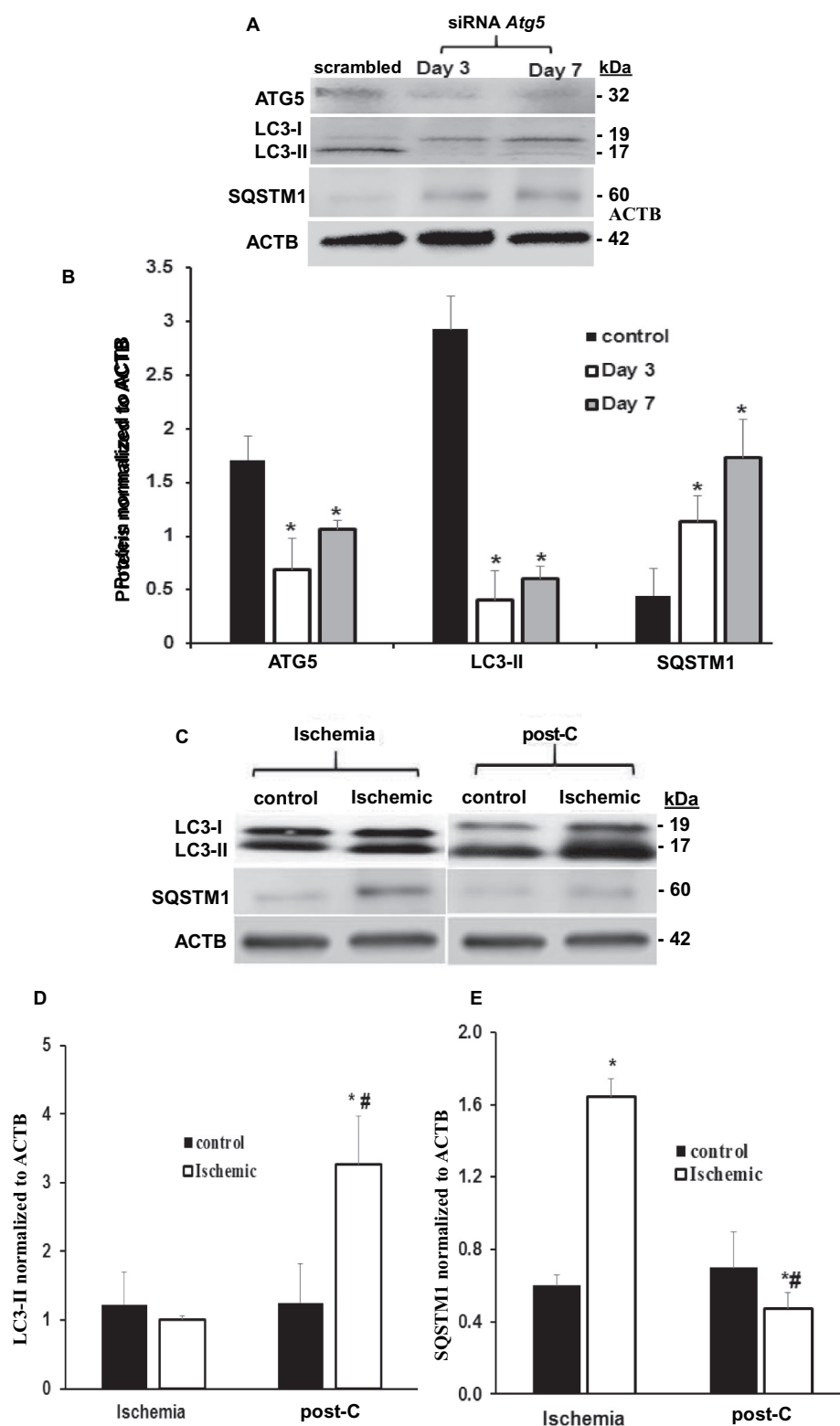


Figure 5. *Atg5* silencing and post-C induction of autophagy *in vivo*. (A and B) Representative western blot images and densitometry bar graphs demonstrating decreased autophagy in *Atg5*-silenced retinæ as decreased LC3-II and increased SQSTM1 post-silencing days 3 and 7. Mean \pm SEM; $n = 4$ per group. * = $p < 0.05$ comparing control scrambled siRNA- to *Atg5* siRNA-silenced group. ACTB was loading control. (C) Representative western blot image demonstrating increased LC3-II and decreased SQSTM1 protein levels in ischemia + post-C retinæ vs. ischemia + sham post-C *in vivo* collected 7 d after post-C. (D and E) Densitometry analysis of protein levels of LC3-II and SQSTM1 in ischemia + post-C retinæ vs. ischemia + sham post-C. mean \pm SEM; $n = 6$ per group. * = $p < 0.05$ comparing within groups, non-ischemic to ischemic paired eyes; # = $p < 0.05$ comparing ischemia + sham post-C vs. ischemia + post-C eyes between groups.

compared to the parallel groups in OGD, while *siAtg5* blocked this recovery effect of post-C. Furthermore, *siAtg5*

significantly increased cell death and decreased proliferation in normoxic cells.

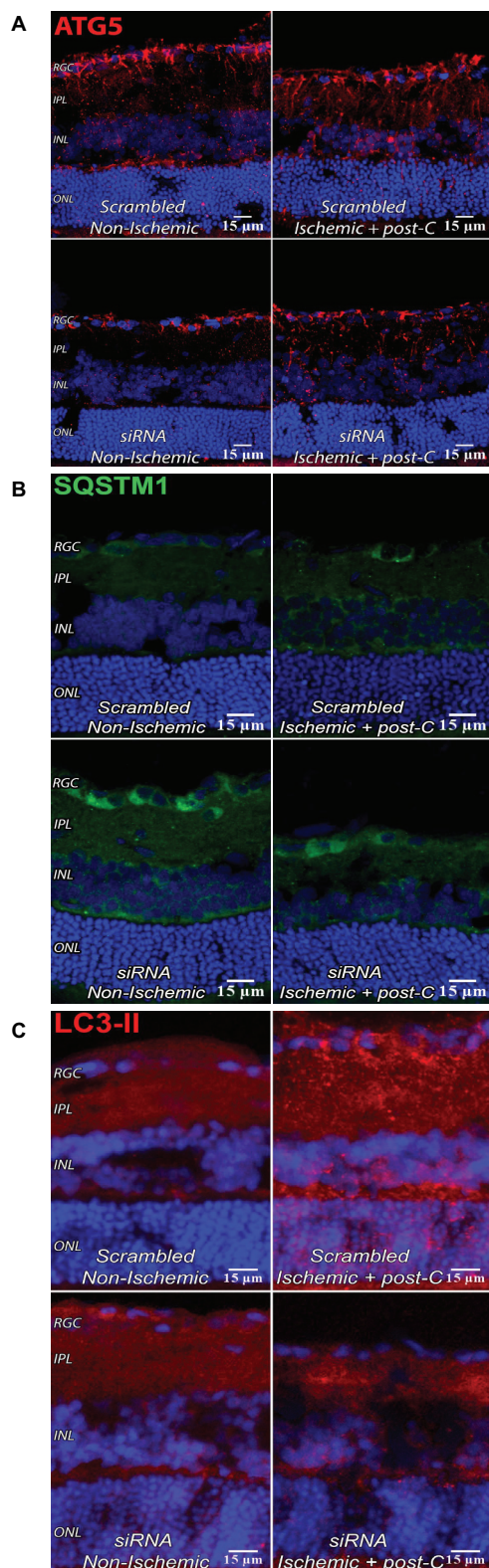


Figure 6. Impact of *Atg5* silencing *in vivo*. Immunostaining for ATG5, SQSTM1, and LC3-II with *Atg5* silencing *in vivo*. (A–C) are 7 μ m retinal cryosections at 4 d after ischemia (i.e., 3 d after siRNA administration), examined using confocal microscopy; magnification 40x. (A) siRNA to *Atg5* reduced levels of ATG5 in immunostained sections. DAPI: blue; ATG5: red. Representative images from $N = 3$ per group. (B) siRNA to *Atg5* increased levels of SQSTM1 on immunostained sections. DAPI: blue. SQSTM1 (green staining) is in the RGC layer and INL and was increased by *Atg5* siRNA under baseline conditions and with ischemia + post-C. Representative images from $N = 3$ per group. (C) siRNA to *Atg5* reduced levels of LC3 on immunostained sections. DAPI: blue and LC3-II: red. Most of the LC3-II was located in the inner retinal layers and increased in the ONL with ischemia. These are representative images from $N = 3$ per group.

Autophagic flux, post-C, and cell death in simulated ischemia *in vitro*.

While increases in LC3-II *in vivo* support the presence of autophagy, its protein levels cannot assess autophagic flux. Decreases in SQSTM1 are commonly believed to represent a flux measurement as the protein is degraded through autophagy [20]. To directly test autophagic flux, we performed tandem RFP-GFP-LC3 labeling [21] on R28 cells subjected to OGD/post-C. *Atg5* siRNA significantly attenuated autophagic flux in normoxia, in OGD, and blunted the ameliorative effect of post-C on OGD-induced flux impairment. (Figure 11)

To further test autophagy flux, cell death, and the impact of post-C (Figure 12A,X), rapamycin was used as a positive control to activate autophagy. Figure 12Y shows the quantitative flux results graphically. There was suppression of flux by OGD, and post-C added to OGD restored flux (more yellow and red puncta than green in merged images in far-right panels of Figure 12A,X). Rapamycin significantly increased flux in OGD compared to control. Chloroquine and PIK-III blunted the increased flux from post-C + OGD. PIK-III also significantly worsened OGD-flux impairment, and chloroquine tended ($P < 0.09$) to worsen OGD-flux impairment. Chloroquine increased the number of yellow puncta per cell, indicating an accumulation of autophagosomes.

Cell death was significantly decreased in R28 cells pre-treated with rapamycin before OGD, similar to the effect of post-C (Figure 12Z). Rapamycin added to post-C did not produce any additive effect on decreasing cell death in OGD. Chloroquine and PIK-III significantly enhanced cell death in normoxia and OGD and prevented the cell-death attenuating effect of post-C (Figure 12Z).

Activating autophagy prevents cell death from OGD in retinal neurons

To further test the hypothesis that activating autophagy prevents retinal cell death, R28 cells were incubated with Tat-Beclin 1, a fusion of cell-penetrating Tat via a diglycine linker with a peptide from BECN1 (RRRQRRKKRGYGGDHWIHFANWV). The peptide interacts with a negative autophagy regulator, GLIPR2/Golgi-associated plant pathogenesis-related protein 1 [22]. This results in release of a pool of BECN1, specifically enhancing stage 2 of autophagy, and vesicle nucleation [23]. Incubating R28 cells with Tat-Beclin 1 (Novus Biologicals, NBP2-49888, D11) significantly reduced cell death following OGD from 90% to 55% vs. peptide control and prevented OGD-induced loss of cell proliferation (Figure 13A,C,D). Tat-Beclin 1 increased BECN1 and LC3-II, and decreased SQSTM1 in R28 cells subjected to OGD compared to OGD alone, but had minimal impact upon normoxic cells (Figure 13B).

Discussion

Since autophagy is an endogenous cellular mechanism for coping with stress, it is an essential survival mechanism in photoreceptors [10]. A large body of data indicates the essential role of autophagy

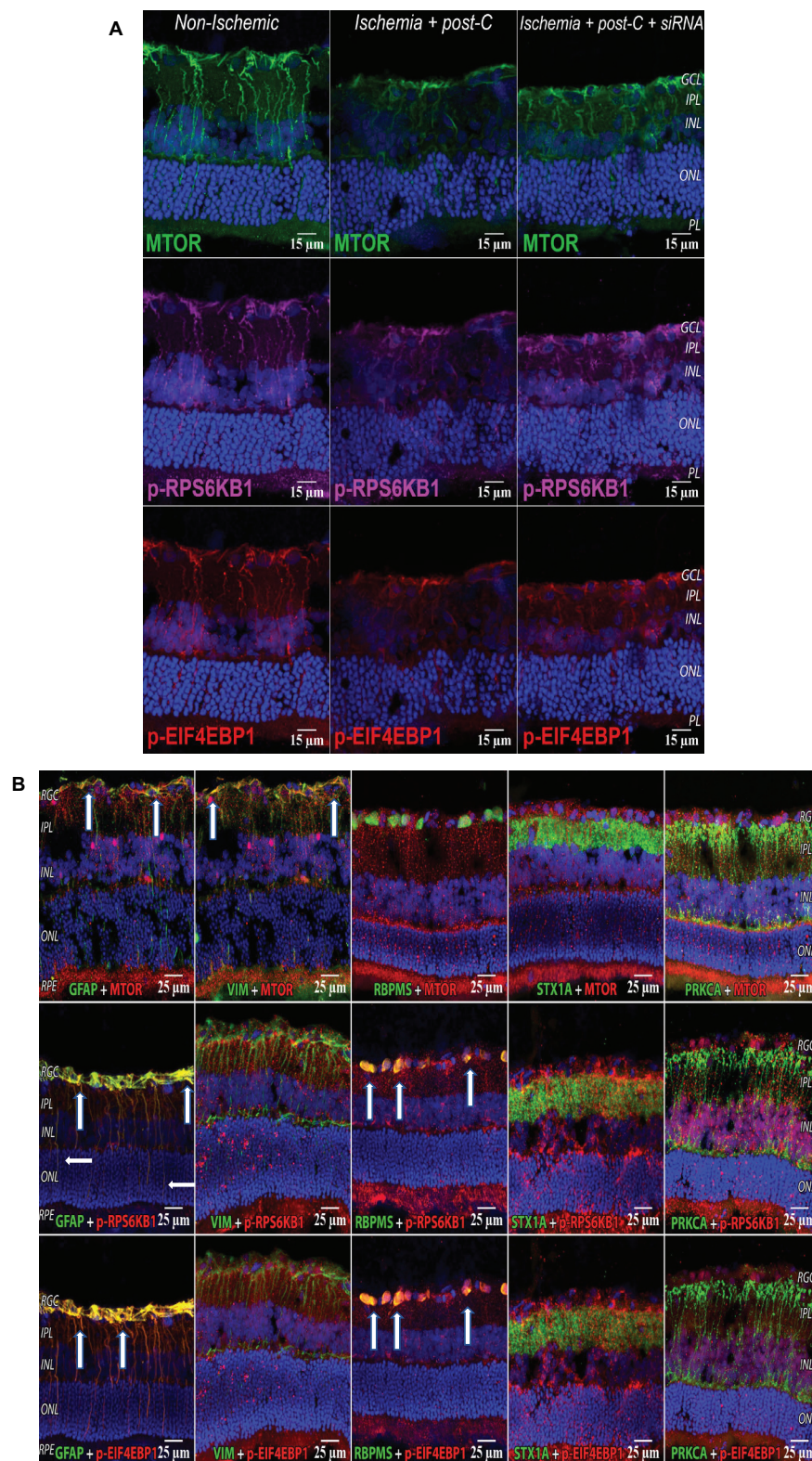


Figure 7. MTOR pathway in retina with ischemia and post-C. (A) Changes in immunostaining for the MTOR pathway (from left to right) in normal, ischemia + post-C + scrambled, and ischemia + post-C + *Atg5* siRNA. Retinal cryosections were prepared 24 h after post-C (that is, 48 h after ischemia), and examined using confocal microscopy. From top to bottom are staining for MTOR, p-RPS6KB1, and p-EIF4EBP1. These are representative images from N = 3 per group. Orientation is shown on far right; magnification 40x. (B) Localization of MTOR pathway proteins in retinal cells. These cryosections are all taken from the same group, ischemia + post-C + scrambled *Atg5* siRNA, at 24 h after post-C (that is, 48 h after ischemia). Nuclei were stained blue using DAPI. From top to bottom are staining (red) for MTOR, p-RPS6KB1, and p-EIF4EBP1. From left to right (staining green) are: GFAP (Muller cells); VIM (for astrocytes); RBPMS (retinal ganglion cells); STX1A (amacrine cells); PRKCA (bipolar cells). For GFAP, white arrows indicate yellow overlap of MTOR (red), p-RPS6KB1: red; and p-EIF4EBP1: red with GFAP: green, in Muller cell endplates and projections. For VIM, white arrows indicate yellow overlap of MTOR (red) and VIM (green) in the superficial inner retina. For RBPMS, white arrows indicate yellow overlap of p-RPS6KB1: red, and p-EIF4EBP1: red with RBPMS in retinal ganglion cells. There was no evident overlap with the MTOR pathway for STX1A (amacrine cells), and PRKCA (bipolar cells). Orientation on far left. RGC = retinal ganglion cells, IPL = inner plexiform layer, INL = inner nuclear layer, ONL = outer nuclear layer, RPE = retinal pigment epithelium. Representative images from N = 3 per group; magnification 40x.

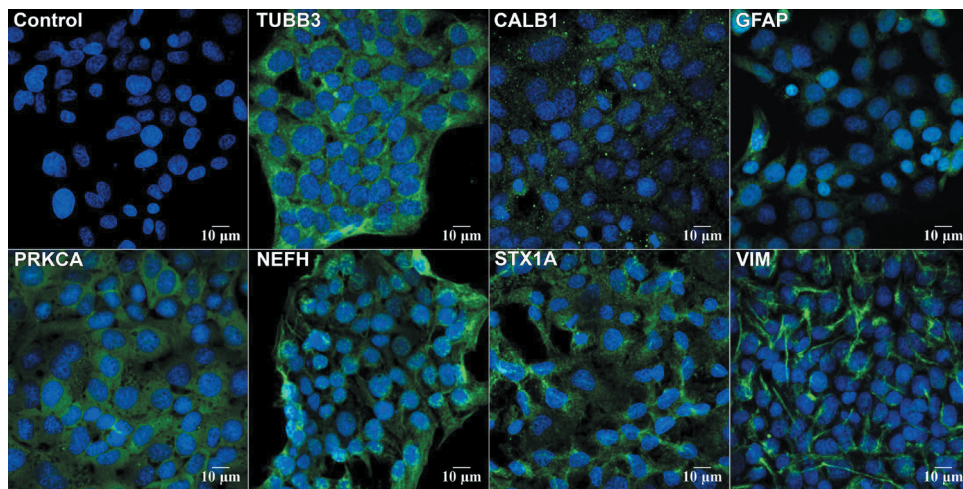


Figure 8. Neuronal markers in R28 cells. R28 cells were stained with primary antibodies to retinal cell protein markers (Table 3) and imaged using confocal microscopy. DAPI (blue) stains the cell nuclei. All other markers were imaged using green secondary antibody. Clockwise, starting from top left: control IgA, TUBB3 staining cytoplasm and axons of retinal neurons; CALB1 (retinal horizontal cells); GFAP (Muller glial cells); VIM (astrocytes); STX1A (amacrine neurons) showing cytoplasmic staining; NFH (neurofilament); PRKCA (retinal bipolar neurons) showing cytoplasmic staining. These are representative images from N = 4; magnification 63x.

Table 2. Gene expression of retinal cell markers in R28 cell culture.

Gene Name	Mean CT (n = 8)	SEM	Fold-change relative to <i>Gapdh</i>
<i>Vim</i> (vimentin)	18.20	0.048	0.24
<i>Prkca</i> (protein kinase C, alpha)	22.94	0.098	0.009
<i>Nfh</i> (neurofilament heavy chain)	26.00	0.148	0.001
<i>Stx1a</i> (syntaxin 1)	26.14	0.0928	0.001
<i>Calb1</i> (calbindin 1)	31.07	0.1928	0.00003
<i>Calb2</i> (calbindin 2)	30.10	0.139	0.00006
<i>Tubb3</i> (tubulin, beta 3 class III)	30.09	0.072	0.00006
<i>Gapdh</i>	16.15	0.166	1.0
<i>A1cf</i> *	39.43	2.366	0.0000001

Real-time PCR was performed on R28 cells. Lower mean CT indicates higher levels in R28 cells. The negative control is *A1cf* and the positive is *Gapdh* for comparison. Thus *Vim*, *Prkca*, *Nfh*, *Stx1a*, and *Calb1* and *Calb2*, shown in descending order in the table, are the most abundant retinal neuronal markers in R28 cells under the culture conditions we used. * 3 out of 8 samples had undetectable *A1cf*.

in survival of cells in the outer retina. For example, deleting *Atg5* in rod photoreceptors reduced autophagy and diminished cone function [24]. Autophagic breakdown of photo-transduction proteins is essential to prevent photoreceptor degeneration [25]. Similarly, deleting *Becn1* or *Atg7* in rod photoreceptors increased susceptibility to light damage [26]. Autophagy is also essential for homeostasis in retinal pigment epithelium (RPE). Autophagy increased in ARPE-19 and primary human RPE cells exposed to oxidative stress. SQSTM1 was integral to normal RPE function; when depleted, autophagy declined, whereas, when upregulated, SQSTM1 promoted autophagy [27,28]. In an experimental model of retinal detachment, HIF1A induced autophagy and delayed photoreceptor death [29].

Notably, autophagy does not serve uniformly in a cell survival function in the outer retina. While autophagy clears mutant RHO (rhodopsin) in mice heterozygous for RHO^{P23H}, enhancing autophagy increases retinal degeneration [25]. Hence, the role of autophagy in retina must be assessed in the context of the underlying pathological process. There has been much less investigation of autophagy in survival of cells in the inner retina, and

specifically, in the few studies reported to date, its role as an endogenous mechanism protecting the retina from ischemic insult has yielded conflicting results. Our main hypothesis was that autophagy is a mechanism of endogenous neuroprotection in the retina induced by post-ischemic conditioning. Post-C is a unique and counter-intuitive phenomenon, where transient ischemia following the prolonged ischemic insult generates a robust ischemic tolerance. Determining its mechanism(s) is an essential step toward potential clinical translation in retinal disease.

Elevating intraocular pressure (IOP) above systolic arterial blood pressure is among the most common experimental methods used to produce retinal ischemia in rodents *in vivo*. However, there are other techniques, including suture occlusion of the ophthalmic artery and suture or clip occlusion of the central retinal artery. These methods all have different advantages and disadvantages. The occlusion of the central retinal artery is more invasive and may damage the optic nerve and is more difficult to produce reproducibly, while the suture occlusion method also occludes the middle cerebral artery leading to cerebral ischemia. The increased IOP method also produces ischemia of the anterior segment of the eye and completely occludes the choroidal circulation to the outer retina, but is easily reproducible [30]. To enable consistency and comparison with our previous studies, we used the increased IOP method for our study.

It was previously shown that retinal ischemia in rats via increasing IOP activates CAPN1 (calpain 1) and proteolytic BECN1 cleavage and decreases LC3-II. Yet, in RGC-5 cells subject to serum deprivation, BECN1 knockdown prevents LC3-II formation while increasing cell death. Although serum deprivation *in vitro* might not be equivalent to *in vivo* ischemia, these results suggest that autophagy is necessary for retinal cell survival, and retinal ischemia might dysregulate autophagy [12]. The authors did not measure cell death or retinal function, and therefore the direct effect of autophagy in retinal ischemia cannot be conclusively stated. Additionally, RGC-5 has been shown

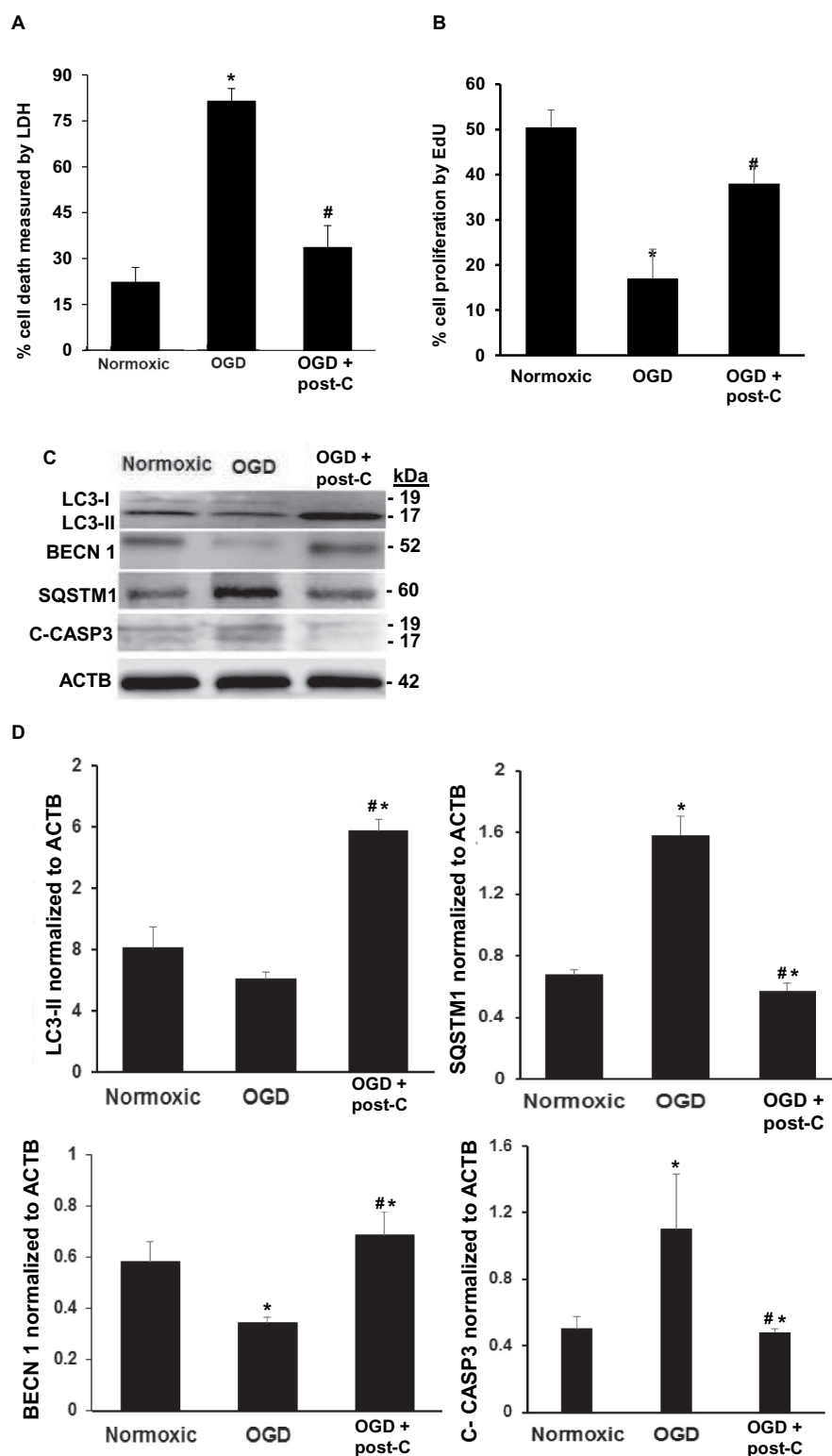


Figure 9. Post-C attenuates cell death, enhances cell proliferation, induces autophagy, and prevents apoptosis in R28 retinal neuronal cells subjected to OGD *in vitro*. (A) Simulated post-C robustly decreased cell death (Y-axis, % cell death, LDH assay) in retinal R28 cells *in vitro* subjected to OGD. mean \pm SEM; n = 6 per group. * = $p < 0.05$ OGD vs. normoxic conditions; # = $p < 0.05$ OGD + post-C vs. OGD alone. (B) Simulated post-C increased cell proliferation (Click-iT EdU) in retinal R28 cells *in vitro* subjected to OGD. mean \pm SEM; n = 6 per group. * = $p < 0.05$ OGD vs. normoxic conditions; # = $p < 0.05$ OGD + post-C vs. OGD alone. (C) Representative western blot images showing altered levels of LC3-II, SQSTM1, BECN1, and C-CASP3 (cleaved caspase-3) in R28 cells subjected to OGD and post-C. OGD produced minimal change in LC3-II, but when post-C was added, there was a significant increase in LC3-II. SQSTM1 levels were increased with OGD and restored to levels similar to normoxia conditions by post-C. BECN1 levels decreased with OGD and increased when post-C was added to OGD. C-CASP3 was increased by OGD, and this increase was attenuated with post-C. (D) Bar graphs of densitometry analysis of protein levels of LC3-II, SQSTM1, BECN1, and C-CASP3, normalized to ACTB. mean \pm SEM; n = 4 per group. * = $p < 0.05$ vs. normoxia; # = $p < 0.05$ OGD vs. OGD + post-C.

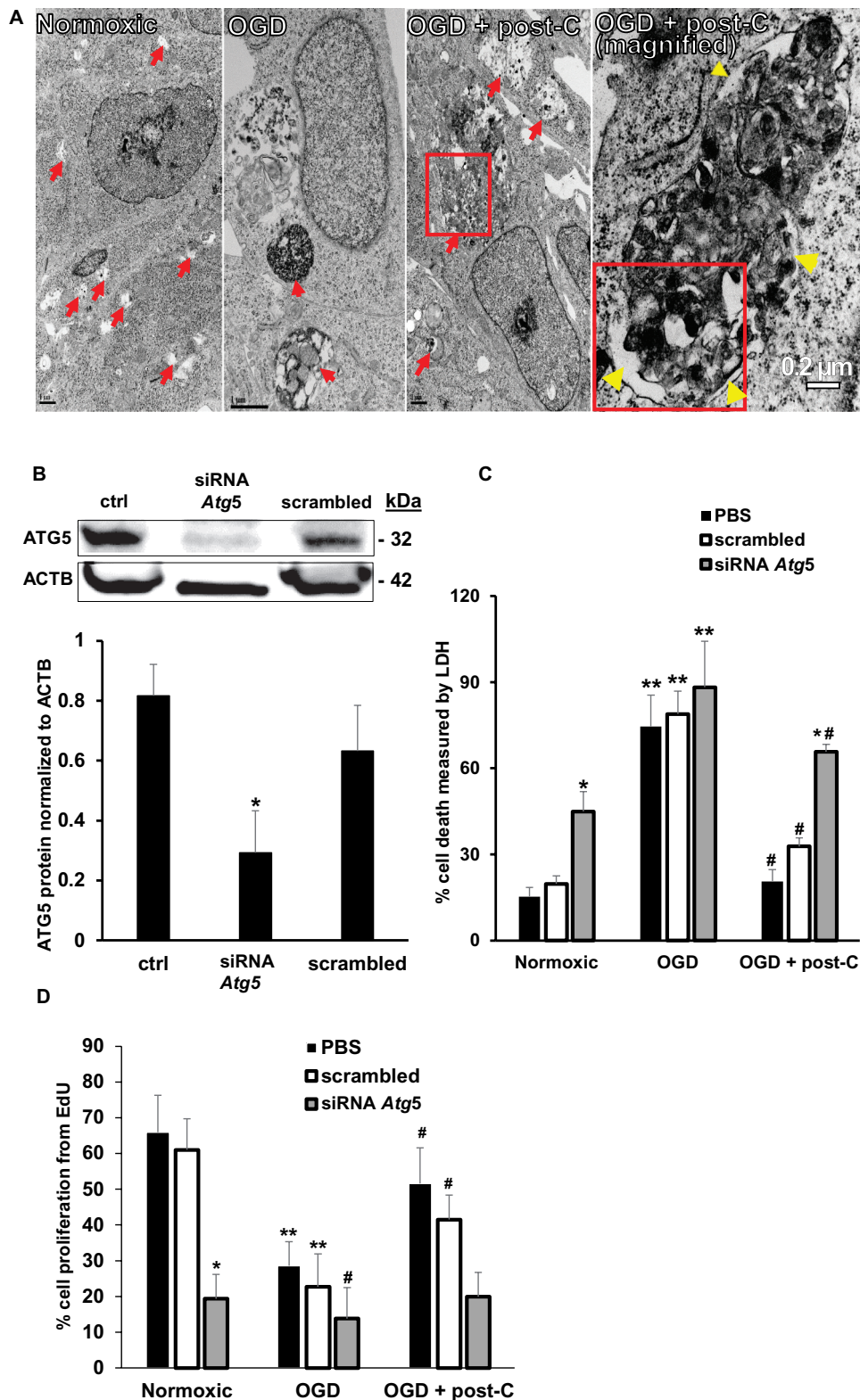


Figure 10. Blockade of *Atg5* attenuates post-C-mediated neuroprotection in R28 cells subjected to OGD *in vitro*. (A) EM evidence of autophagy induction by post-C in R28 retinal neuronal cells. Electron micrographic images of autophagosomes in R28 cells subjected to normoxia, OGD and OGD + post-C. Red arrows point to autophagosomes. With OGD, autophagosomes appeared densely packed with cellular material. When post-C was added, the autophagosomes were larger in size, and less densely packed compared to OGD. Yellow arrowheads in the magnified image (far-right) inside and outside the boxed area indicate double membranes. Scale bar = 1 μ m except for right-most image at 0.2 μ m. (B) Representative western blot illustrating the silencing efficiency of *Atg5* siRNA in R28 cells. The cells were treated with PBS ("control"), siRNA to *Atg5*, or scrambled. mean \pm SEM; n = 4 per group; * = $p < 0.05$ siRNA *Atg5* vs. control or scrambled. ACTB was loading control. (C) *Atg5* silencing and cell death with normoxia, OGD, and OGD + post-C measured by LDH assay. mean \pm SEM; n = 5 per treatment; * = $p < 0.05$ for scrambled or control vs. siRNA within groups; ** = $p < 0.05$ normoxia vs. OGD for each treatment; # = $p < 0.05$ OGD vs. OGD + post-C. (D) *Atg5* silencing significantly altered proliferation in all three groups measured by EdU assay. mean \pm SEM; n = 5 per treatment; * = $p < 0.05$ for scrambled or control vs. siRNA within groups; ** = $p < 0.05$ normoxia vs. OGD for each treatment; # = $p < 0.05$ OGD vs. OGD + post-C.

Table 3. Primary antibodies used in the study.

Antibody	Manufacturer	Type	Catalog Number	Dilution
ATG5	Santa Cruz Biotechnology	Mouse Monoclonal	sc-133158	1:500
ATG7	Santa Cruz Biotechnology	Mouse Monoclonal	sc-376212	1:1000
BECN1	MBL International	Rabbit Polyclonal	JM-3663-100	1:1000
ACTB	Sigma-Aldrich	Mouse Monoclonal	A3854-200UL	1:25000
ARRB2	Proteintech	Rabbit Polyclonal	10171-1-AP	1:500
TUBB3	Sigma-Aldrich	Mouse Monoclonal	T8660-2 ML	1:250
CALB1	Proteintech Group	Rabbit Polyclonal	14479-1-AP	1:50
CASP3 (cleaved)	Cell Signaling Technology	Rabbit Polyclonal	9661 S	1:1000
ITGAM, ITGAX	BD Pharmingen	Mouse Monoclonal	550299	1:500
GFAP	Agilent Technologies	Rabbit Polyclonal	Z033429-2	1:1000
LC3-I &II	MBL International	Rabbit Polyclonal	PD014 MS	1:1000
LC3B	Proteintech Group	Rabbit Polyclonal	18725-1-AP	1:500
MTOR	Proteintech Group	Rabbit Polyclonal	20657-1-AP	1:500
SQSTM1	Sigma-Aldrich	Rabbit Polyclonal	p0067	1:1000
PRKCA	BD Biosciences	Mouse Monoclonal	610107	1:250/1:500
p-EIF4EBP1/2/3 (Thr45)	Bioss Antibodies	Rabbit Polyclonal	BS-5672 R-A647	1:500
RBPMS	GeneTex	Rabbit Polyclonal	GTX118619	1:1000
p-RPS6KB1 (Ser421)	Bioss Antibodies	Rabbit Polyclonal	BS-6421RA555	1:500
NFH	Covance	Mouse Monoclonal	SMI32-P	1:250
STX1A	Sigma-Aldrich	Mouse Monoclonal	S0664-2 ML	1:250/1:2000
VIM	Santa Cruz Biotechnology	Mouse Monoclonal	sc-6260	1:50/1:1000
TH	Iowa Developmental Studies Hybridoma Bank	Mouse Monoclonal	aTH-s	1:500

to be a photoreceptor, not a retinal ganglion cell line [31]. In contrast, Piras et al. found that retinal ischemia activates LC3, and pharmacological blockade of autophagy using 3-methyladenine partially attenuates the death of cells in the RGC layer after retinal ischemia in a rat model [14]. A ROCK (Rho kinase) inhibitor increases LC3-II and decreases SQSTM1, and prevents optic nerve axonal loss after TNF (tumor necrosis factor) treatment [32]. Contrary, others show that optic nerve axotomy in mice upregulates ATG5, and *Atg5* conditional knockout mice enhance cell death [33]. Thus, the involvement of autophagy in retinal ischemia and endogenous ischemic tolerance in the retina remains uncertain. Several findings from our study support the hypothesis that autophagy is necessary for recovery from ischemia and is a mechanism of post-ischemic conditioning. Blockade of ATG proteins essential for autophagosome formation attenuates the functional and histological rescue of the retina afforded by post-C [34]. Immunoblotting on whole retinal homogenates for the proteins showing significant decrease in levels after siRNA, immunostaining on retinal cryosections, and demonstration that siRNA induces a decrease in LC3-II and an increase in SQSTM1 confirms the blockade of ATGs. Significant increases after ischemia and post-C in protein levels of LC3-II [35], compared to ischemia and sham post-C, along with decreases SQSTM1 in ischemia and post-C, suggest that post-C functions by enhancing autophagy and/or maintaining autophagic flux *in vivo*.

These findings are consistent with previous studies in cerebral ischemia, where post-C activates autophagy; however, here, we show for the first time the essential role of autophagy activation in the neuroprotection of post-conditioning in the retina. With respect to the cellular sites of action of autophagy and post-conditioning in the retina, we have previously shown that autophagosomes are present in the RGC and inner nuclear layers in retinal ischemia [36], where post-conditioning exerts its neuroprotective effects. Furthermore, we show, in the same layers, the presence of autophagic machinery proteins ATG5, SQSTM1, and LC3-II. In the present study, we have demonstrated that the MTOR system, which puts a brake on autophagy with ischemia, is primarily

localized to Muller cells and RGCs in the retina. Of note, in the present study, the blockade of ATG5 and ATG7 uniformly decreased recovery of all ERG waveforms after ischemia and increased cell loss *in vivo*, suggesting a widespread effect of autophagy in inner retinal function.

The MTOR system inhibits autophagy [37]. In our study, MTOR activity (indicated by levels of MTOR and downstream phosphorylated RPS6KB1/S6K and EIF4EBP1/4EBP1) was high under normal conditions, suggesting that MTOR acts as a brake on autophagy. MTOR system activation was reduced in ischemia and post-C retinæ treated with non-silencing scrambled sequences, suggesting that post-C may release the MTOR “brake” and lead to activation of autophagy. Ischemia and post-C + *Atg* siRNA-treated retina had higher levels of activated MTOR downstream proteins in comparison to ischemia and post-C-treated with non-silencing siRNA, suggesting a reversal of the post-C release of the MTOR “brake.”

An important limitation of the *in vivo* experiments is the lack of direct measurement of autophagic flux based on the levels of SQSTM1. Therefore, apart from post-C’s attenuation of the ischemia-induced decrease in LC3-II, we cannot determine definitively if autophagic flux *in vivo* was altered. Accordingly, the *in vitro* model using OGD in retinal R28 cells is better suited for the measurement of autophagic flux. Although the *in vitro* model does not replicate retinal intercellular interactions, it does allow determination of neuroprotection mechanisms rigorously [38]. The R28 retinal neuronal precursor cell line is an immortalized retinal cell line displaying both neuronal and glial cell properties, which may be an advantage for these experiments. While the ability of these cells to proliferate enables measurement of a critical cell function, they may not behave the same as primary retinal cells, thus it will be necessary to repeat the study in individual retinal primary cells, e.g., RGCs and amacrine cells, to determine the specific effects upon retinal cells. OGD most closely resembles an ischemia-reperfusion injury because changes in cellular PO₂ in culture are very similar to those *in vivo* [39]. Alternatives include glutamate, or withdrawing trophic factors, all of which produce confounding influences [40].

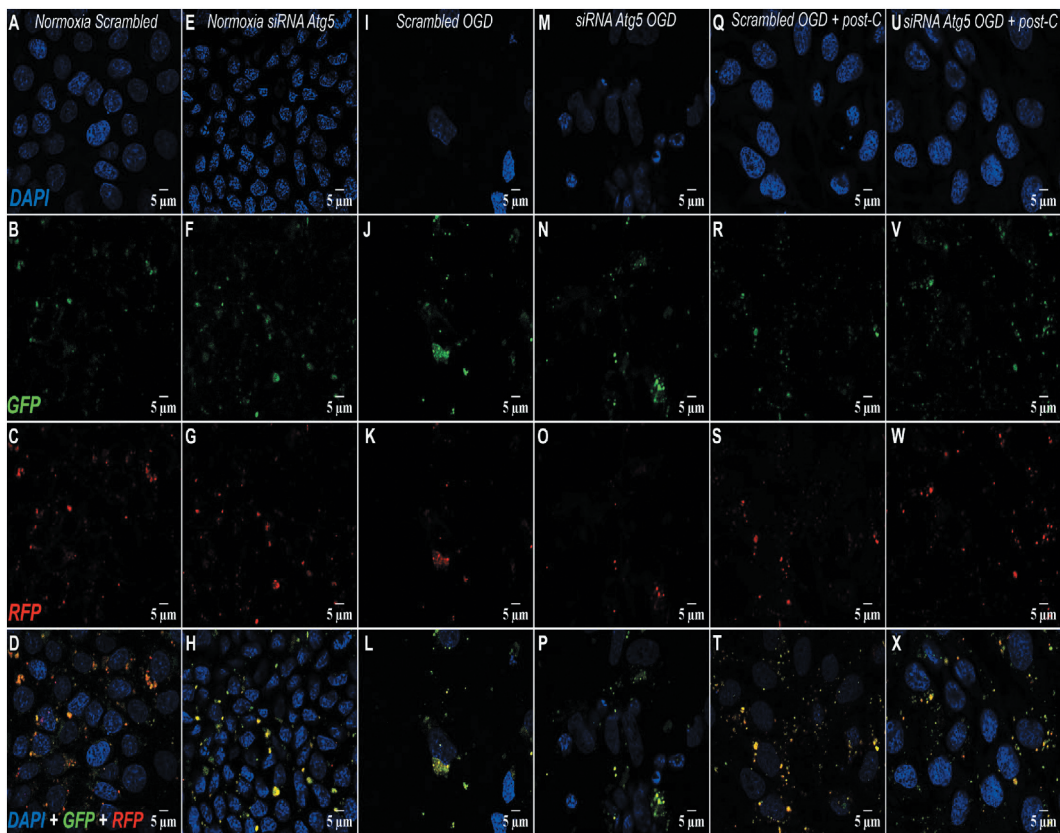


Figure 11. Post-C induced autophagic flux and its blockade by *Atg5* siRNA *in vitro*. (A-X) Representative immunofluorescence images comparing autophagic flux in R28 cells subjected to (from left to right) normoxia, OGD, and, OGD + post-C using tandem RFP-GFP-LC3 sensor. In each experiment, top to bottom are DAPI, GFP, RFP, and merged images, all displayed at 63x via confocal imaging. In this assay, yellow puncta represent autophagosomes and red puncta are autolysosomes. (A-D) Normoxic R28 cells + scrambled. (E-H) Normoxic R28 cells + *Atg5* siRNA. (I-L) R28 cells subjected to OGD + scrambled. (M-P): R28 cells subjected to OGD + *Atg5* siRNA. (Q-T) R28 cells subjected to OGD+ post-C + scrambled. (U-X) R28 cells subjected to OGD + post-C + *Atg5* siRNA. (Y): Quantification of flux (red/yellow puncta per cell, mean \pm SEM) N = 4. * = $p < 0.05$ vs normoxia; # = $p < 0.05$ vs scrambled; ** = $p < 0.05$ vs OGD + siRNA.

In vitro, the “post-C” stimulus significantly attenuated cell loss and loss of proliferation, thus successfully serving as a means to rescue cells after simulated “ischemia.” Decreases

in LC3-II and BECN1, and an increase in SQSTM1, all with simulated ischemia, along with their restoration by post-C, suggested that simulated ischemia disturbed autophagy.

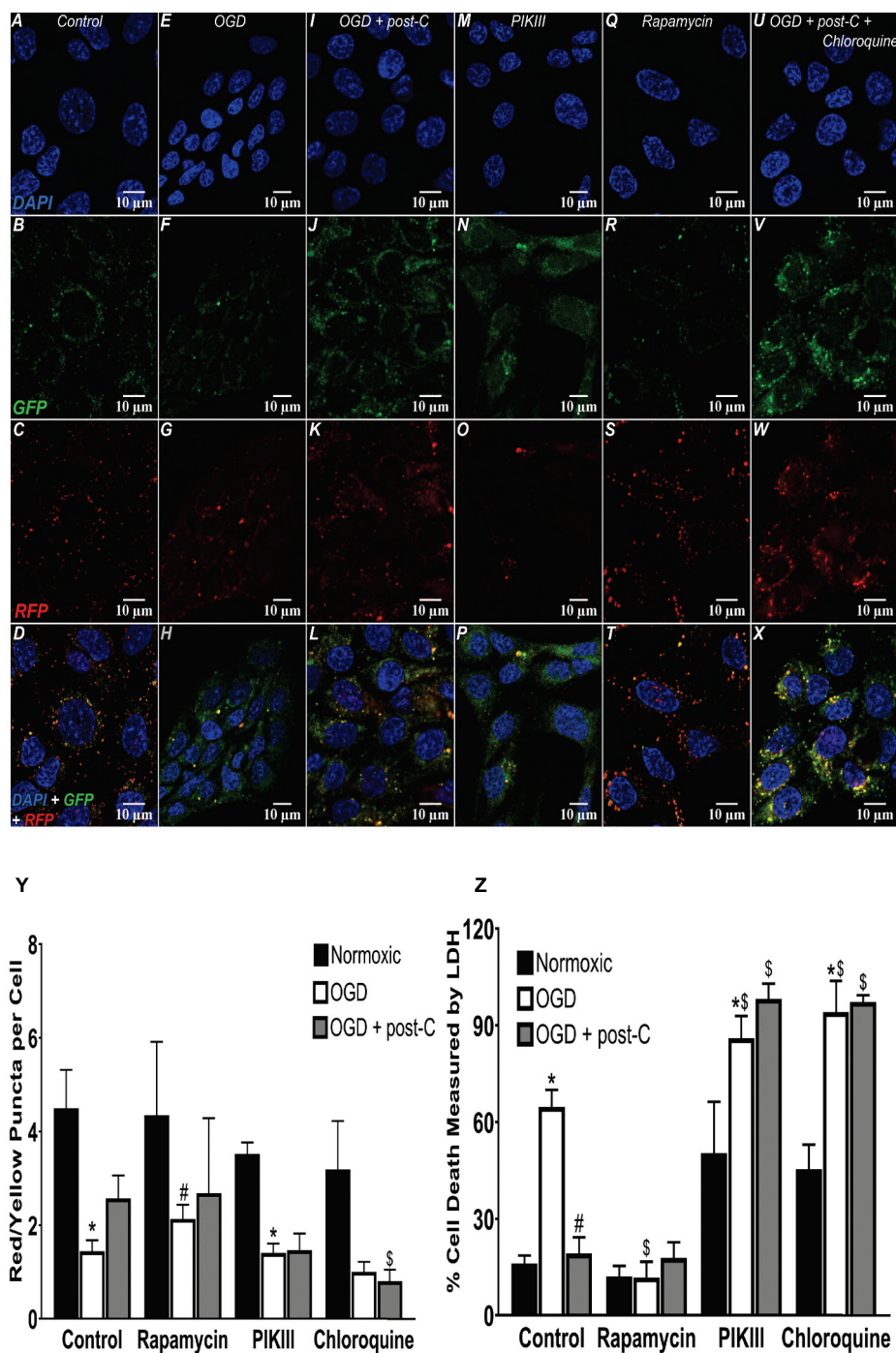


Figure 12. Autophagic flux, stimulants, and antagonists in simulated ischemia *in vitro*. (A–X) Representative immunofluorescence images comparing autophagic flux in R28 cells subjected to OGD + post-C using tandem RFP-GFP-LC3 reagent, PIK-III and chloroquine as negative control autophagy inhibitors, and rapamycin to stimulate autophagy, as a positive control. In each experiment, top to bottom are DAPI, GFP, RFP, and merged, all displayed at 63 \times . (Y) Quantitation of flux (red/yellow puncta per cell): mean \pm SEM; N = 4. * = $P < 0.05$ vs normoxia; # = $p < 0.05$ vs. control OGD; \$ = $p < 0.05$ vs. control OGD + post-C. mean \pm SEM; N = 6. * = $p < 0.05$ normoxia vs. OGD within groups. # = $p < 0.05$ for OGD alone vs. OGD + post-C, \$ = $p < 0.05$ for matched groups vs. control (far-left bars of each set).

Simulated ischemia disturbed autophagic flux and enhanced cell death, both reversed by post-C. Also, the findings on EM suggest that autophagosome-lysosome fusion was impaired by OGD. The blockade of *Atg5* by siRNA produced a number of effects in the *in vitro* model that further supports the role of autophagy in the neuroprotective effect of post-C, including decreased LC3-II, increased SQSTM1, decreased BECN1,

increased cell death, and impaired autophagic flux. Positive control rapamycin increased flux and decreased cell death, while autophagy blockers PIK-III and chloroquine, produced the opposite effects, validating our methodology as well as supporting the underlying hypotheses.

It should be noted that the *in vitro* studies only employed the blockade of *Atg5*, not *Atg7*, which was done to simplify the

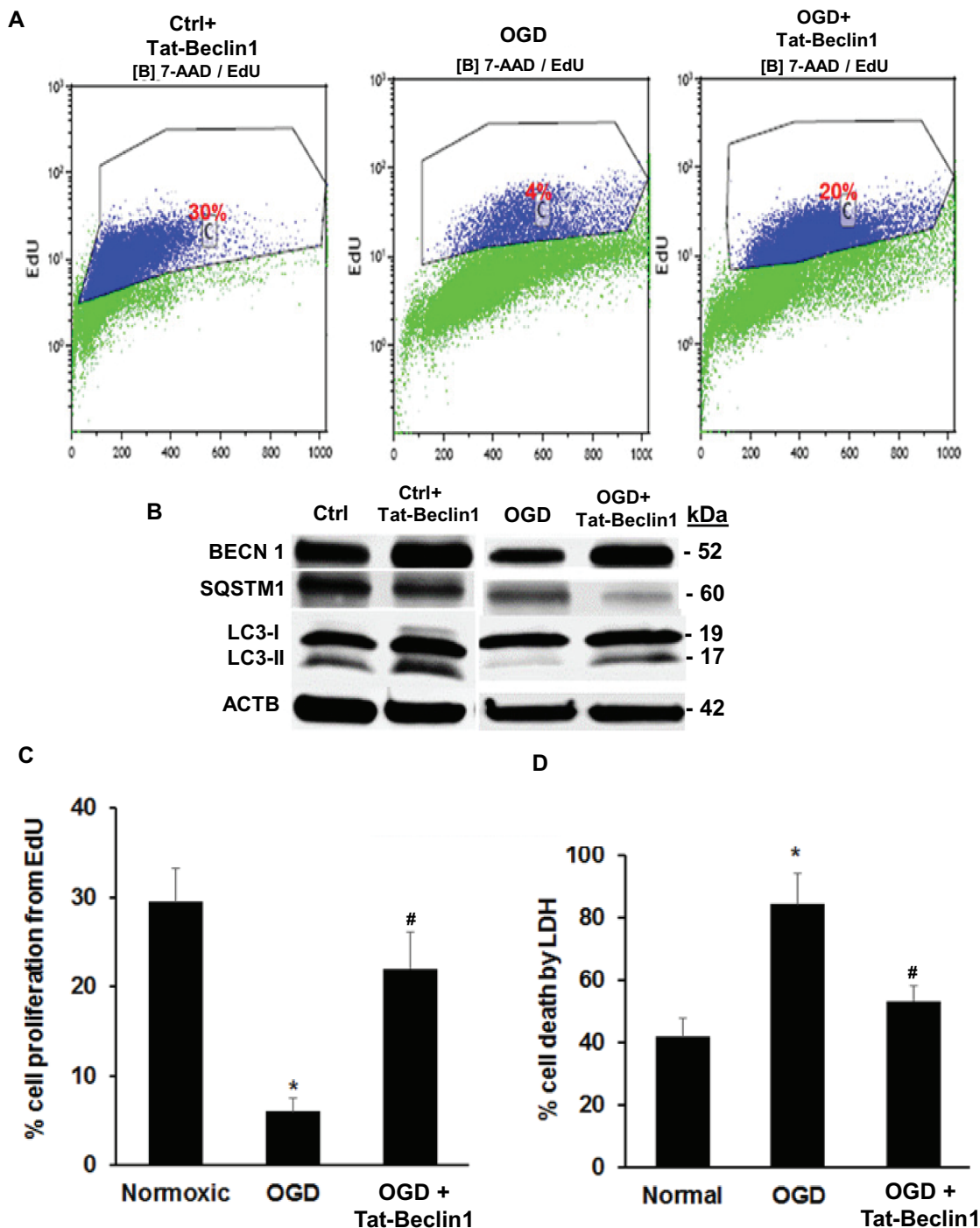


Figure 13. Activating autophagy with Tat-Beclin 1 prevents cell death from OGD in R28 retinal neurons *in vitro*. (A) Representative flow cytometry histograms, showing that OGD decreased proliferation and Tat-Beclin 1 restored proliferation in cells subjected to OGD. (B) Western blotting indicating the increase in LC3-II and decrease in SQSTM1 in cultures subjected to OGD and treated with Tat-Beclin 1, whereas there was minimal effect in normoxic cultures. (C and D). Bar graphs showing R28 cell proliferation and cell death in groups treated with Tat-Beclin 1 and subjected to OGD. Tat-Beclin 1 robustly attenuated the decreased proliferation (C) and cell death (D) from OGD. mean \pm SEM; n = 4 per treatment. * = $P < 0.05$ normoxic vs OGD; # = $P < 0.05$ OGD vs OGD +Tat-Beclin 1.

analyses of possible mechanisms of action. In particular, *Atg5* siRNA blunted the effect of post-C to reverse the decreased cell proliferation and increased cell death with OGD. Decreased proliferation and survival were induced by *Atg5* siRNA in normoxic cells, suggesting that autophagy is necessary for normal function of these cells. Rapamycin activates autophagy nonspecifically, but Tat-Beclin 1 is a specific activator of stage 2 of

autophagy. In our experiments, it decreased cell death in an *in vitro* model of ischemia-reperfusion, thus recapitulating the effect of post-C, suggesting that specific, controlled activation of autophagy could be a viable treatment option in retinal ischemia.

Supporting our findings, a number of studies using pharmacological inhibitors or activators of autophagy suggest that it is necessary for ischemic preconditioning in cerebral ischemia [41].

Papadakis et al. [8] showed that *Tsc1/hamartin* (TSC complex subunit 1), was upregulated by ischemic preconditioning in CA1 neurons, and its overexpression induces autophagy through an mTOR complex-dependent mechanism. In other models beyond the central nervous system, e.g., acute kidney injury, there have also been conflicting results [42]. In part, some of these differences are due to different models and organ systems.

While our study demonstrates that LC3-II increases after ischemia and post-C, but not after ischemia and sham post-C, a limitation is that we did not determine if that effect was due to increased autophagy or a block in trafficking to lysosomes [43]. Additionally, apart from the demonstration that post-C *in vivo* and *Atg5 in vitro* requires *Atg5* and *Atg7*, we did not determine more specific mechanisms whereby autophagy was altered by post-C. Numerous transcriptional and post-translational pathways interact with and control autophagy and were not evaluated in this study [44]. A limitation of our methods is that siRNA to the *Atg* mRNAs did not completely eliminate ATG *in vitro* or *in vivo*; however, mitigating this limitation is the demonstration of robustly decreased LC3-II and autophagic flux, and increased SQSTM1.

Another limitation is the focus on macroautophagy. Mitophagy is an increasingly recognized phenomenon in retina, suggested to be involved in the pathogenesis of age-related macular degeneration, as well as in retinal development [45]. Furthermore, mitophagy regulator *prkn*^{-/-} mice developed light-induced retinal degeneration [26], and *Atg5* deficiency rendered cones susceptible to light-induced damage and accumulation of damaged mitochondria in the inner segments, showing the need for mitophagy in metabolic requirements of cones [24]. Mitophagy should be further investigated for its role in inner retinal disorders.

In summary, we showed that autophagy is disturbed by retinal ischemia, and restored by post-ischemic conditioning, as well as by autophagy activation with Tat-Beclin 1. Our study provides support for further examination of the mechanisms whereby autophagy may be harnessed for delayed rescue of retinal cells after ischemia.

Materials and Methods

Retinal ischemia and post-conditioning

Procedures conformed to the Association for Research in Vision and Ophthalmology Resolution on the Use of Animals in Research (arvo.org) and were approved by our Institutional Animal Care and Use Committee. Male Wistar rats (200–250 gm; Envigo, Indianapolis, IN USA) were maintained on a 12 h on/12 h off light cycle. For retinal ischemia, rats were anesthetized, and intraocular pressure (IOP) increased to 130–135 mm Hg for 55 min as described previously by placing a 30-gauge needle (BD Medical, BD305106) in the mid-anterior chamber without disturbing the lens [16]. Experiments were performed under normal lighting conditions in early afternoon. The eyes were treated with topical vigamox (0.5%; Alcon, to prevent infection), cyclomydril (Alcon, to dilate the pupils) and proparacaine (0.5%; Bausch & Lomb, to provide local anesthesia for needle placement). A servo-controlled heating blanket (Harvard Apparatus 50–7053, Natick, MA USA) maintained body temperature at 36–37°C. Oxygen saturation was

measured with a pulse oximeter (Ohmeda Biox 3740; Louisville, CO USA) on the tail. Supplemental oxygen, when necessary to maintain O₂ saturation > 93%, was administered using a cannula placed in front of the nares and mouth. At 24 h after ischemia ended, post-C was produced by placing a 2.0-silk suture (Ethicon, SA65 H) behind the globe, which had been passed through a small length of PE-200 Intramedic plastic tubing (Becton-Dickinson, BD427440) and pulled to maximal tightness to occlude the retinal circulation for 5 min, as we previously described [46]. Sham post-C was placement of the suture but not tightening it. This timing and experimental design were based upon our previous demonstration of anti-ischemic efficacy of “delayed post-C” [4]. A schematic of the procedures is in Figure 1.

Atg5 and Atg7 silencing and post-c

We targeted autophagy proteins ATG5 and ATG7, the latter an E1-like ligase that conjugates ATG5 to ATG12 that converts LC3/ATG8 to an autophagosomal membrane protein. *Atg7* deletion eliminates autophagy [47]. Autophagosome elongation requires ATG5, which is an E3 ubiquitin ligase activated by ATG7 [48]. Small interfering RNA (siRNA) sequence for *Atg5* was CTGGTAACTGACAAAGTGAAA, and for *Atg7*, GCCGATGGCTTCTACTGTTA (Qiagen, S101871695, S101729119, respectively). The siRNAs were designed using neural-network technology as described previously [49]. siRNA design was then checked for homology to all other sequences of the genome, 3' UTR/seed analysis, single nucleotide polymorphisms, and interferon motif avoidance [50–52]; (<http://www1.qiagen.com/Products/GeneSilencing/HPOnGuardsiRNADesign.aspx>).

Sequences of the introduced siRNA are uniquely specific to the targeted gene [53]. In two groups of randomly assigned animals, a 4 µl mixture of siRNAs to *Atg5* and *Atg7*, or a negative control (non-silencing scrambled sequences not corresponding to any known rat gene) re-suspended and diluted using DharmaFECT1 transfection reagent (Dharmacon Inc., NC1308404) at a final concentration of 3 µM was injected into the mid-vitreous of both eyes of the rats with a micro-syringe (Hamilton 7633–01; 207,434, Reno, NV USA) 6 h prior to the post-conditioning ischemia stimulus, 18 h after the damaging ischemia. The volume of injection was the maximum possible into the vitreous without inducing injury according to our previous protocols [36]. Subsequently, rats were subjected to post-C. For the *in vitro* model, R28 cells were transfected for 72 h with siRNA in DharmaFECT1 prior to OGD and post-C. Additional to the Qiagen sequences, we used custom-designed *Atg5* siRNA (with 2'-O-methyl- uridine modification [54]; GE Healthcare Dharmacon Inc., CTM-267,018) as a follow-up experiment to confirm the role of autophagy in our post-C model.

Electroretinography

Procedures have been described in detail previously [16]. In brief, for baseline and post-ischemic (i.e., after 7 d) follow-up electroretinogram (ERG), rats were injected with ketamine (35 mg/kg), and xylazine (5 mg/kg) i.p. every 20 min. Corneal analgesia was with 0.5% proparacaine. Pupils were dilated with 0.5% tropicamide (Alcon), and cyclomydril (Alcon). ERG was recorded

with UTAS-E 3000 Visual Electrodiagnostic System (LKC Technologies, Gaithersburg, MD USA) prior to experiments and at 7 d after ischemia/post-C, in groups injected with *Atg5* and *Atg7* siRNA or scrambled. Retinal ganglion cell (RGC) function was assessed with the scotopic threshold response (STR), amacrine cell function via oscillatory potentials (OP), and rod bipolar function via the P2, as described previously [55].

Histology

Eyes enucleated on the eighth day after ischemia (7 d after post-C) were immediately placed in Davidson's fixative (11% glacial acetic acid [Sigma-Aldrich, ARK2183]; 2% neutral buffered formalin [Sigma-Aldrich, HT501128]; 32% ethanol in H₂O [Sigma-Aldrich, E7023]) for 24 h, then transferred to 70% ethanol for 24 h and stored in PBS (Gibco, 10010031) at 4°C. Eyes were embedded in paraffin, sectioned to 4 µm and stained with hematoxylin and eosin (H&E). Sections were examined by light microscope by blinded observers, and cell counts quantitated at 40 x. Specifically, the number of cells in the RGC layer was counted in a standardized region in all of the retinae, centered 1280 µm distant from the thinning of the neurofilaments arising from the optic nerve head. To maintain counts at the same eccentricities, the counts were made, in both directions from the optic nerve head, in a region spanning 128 µm. The average number of cells in the RGC layer is reported as previously described [3]. Cell numbers in the inner nuclear layer (INL) were determined using Micron (Westover Scientific, Bothell, WA USA). Several INL cell regions were selected (around 1280 µm from the optic nerve bundle) and the numbers of cells were manually counted and determined per area, as previously described [3].

Immunostaining

Following perfusion-fixation with 4% paraformaldehyde (PFA; Sigma-Aldrich Inc, 441,244), enucleated eyes were fixed at room temperature in 4% PFA for 2 h, the anterior segment removed, and the posterior eye post-fixed in the same fixative overnight at 4°C. Eyecups were placed in 20% sucrose (Sigma-Aldrich Inc, S0389) solution overnight at 4°C, then embedded in Tissue-Tek OCT (optimal cutting temperature) compound (VWR International, 25,608–930). Considering the known circadian rhythm changes in LC3-II [56], samples were collected under the same, consistent conditions, in mid-afternoon with normal room lighting. Primary antibodies are in Table 3. Anti-fade mounting media with DAPI (Thermo Fisher Scientific, P36971) was applied along with a coverslip.

Fluorescent TUNEL

Fluorescent terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling assay (TUNEL) was performed with Dead-End Fluorometric TUNEL System (Promega, G3250) on retinal sections collected 48 h after ischemia, consistent with the time course and peak of apoptosis we previously described [57,58]. Cryosections were fixed in 4% PFA, permeabilized in 0.3% Triton X-100 (Sigma-Aldrich, T8787)-PBS, then placed

in equilibration buffer and incubated in rTdT buffer for 1 h with humidification, with light exposure prevented. Sections were stained, then mounted using Prolong Diamond Antifade Mounting Agent containing DAPI.

Images were obtained on a Zeiss 710 Laser Scanning Confocal Microscope (Carl Zeiss Microscopy, Dublin, CA USA), and processed using Zeiss ZEN 2.3 SP1 and ImageJ-Fiji (<https://imagej.nih.gov/ij/>), similar to our previous descriptions [59]. For double-labeling, we used specific markers for retinal ganglion cells, amacrine cells, horizontal cells, bipolar cells, and macrophages or microglia (Table 3).

Western blotting

Retinas were rapidly dissected, frozen in liquid N₂, crushed with a tissue pulverizer (Beckman) on dry ice and homogenized using 1X RIPA buffer (Sigma-Aldrich Inc, R0278) with protease and phosphatase inhibitor cocktail (Sigma-Aldrich Inc, PPC1010). Lysates were centrifuged at 4°C and protein concentrations measured using Pierce BCA Protein assay kit (Thermo Fisher Scientific, 23,225). Equal amounts of protein per lane (10 µg) were diluted with SDS sample buffer and loaded onto gels (4%–20% or 16%; Bio-Rad 4,561,094; 4,561,095). Proteins were electroblotted to polyvinylidene difluoride membranes (Immobilon-P; Millipore, IPVH00010) with efficiency of transfer confirmed by Ponceau S Red (Thermo Fisher Scientific, 507,517,525). RIPA buffer Protease inhibitor cocktail (Sigma-Aldrich Inc, P8340) consisting of 4-(2-aminoethyl) benzenesulfonyl fluoride, pepstatin A, bestatin, leupeptin, and E-64 prevented protease activity. The samples were collected under the same, consistent conditions, in mid-afternoon and with normal room lighting. Similarly, for *in vitro* experiments, R28 cell pellets were lysed and the above steps followed. Membranes were incubated overnight at 4°C with primary antibodies (Table 3). Immunoreactive bands were developed via enhanced chemiluminescence and imaged using Odyssey CLX (LI-COR, Lincoln, NE USA). Band density was calculated using an ImageJ macro with normalization to ACTB (actin, beta) or ARRB2 (arrestin, beta 2).

Retinal neuronal cell line, R28

We used the R28 retinal cell line [60] (Kerafast, EUR201), an adherent retinal precursor cell line from postnatal day 6 Sprague-Dawley rat retina immortalized with the *12 S E1A* gene introduced via an incompetent retroviral vector; no infectious virus is produced. Cells were cultured in a T75 flask in DMEM and 10% serum (420 ml DMEM incomplete [Gibco, 11,966,025]; 15 ml 7.5% sodium bicarbonate [Gibco, 25,080,094]; 50 ml bovine serum [Gemini Bio-Products Inc, 100–106]; 5 ml MEM non-essential amino acids [Gibco, 11,140,050]; 5 ml MEM vitamins [Corning, 25020Cl]; 5 ml L-glutamine [200 mM] [Gibco, 25,030,081]; and 0.625 ml gentamicin [80 mg/ml] [Corning, 30,005 CR]; glucose 1 g/L, pH 7.4). As soon as the cells were attached, media was removed and re-placed freshly. Cells were fed or trypsinized (Gibco, 25,300,062) every 2–3 d.

To examine the retinal cell types present under our culture conditions, we used immunocytochemistry on R28 cells growing on coverslips with a 70% confluency. Cells were washed

with 1X PBS, fixed with 4% PFA, and immunostained with antibodies for retinal neuronal markers (Table 3), then imaged with a Zeiss LSM710 Confocal Microscope. Cells expressing these proteins are considered representative of the retinal cell population [60]. We further confirmed the expression of these cellular markers by real-time PCR following the protocol detailed in a later section below.

In vitro post C

As an *in vitro* model of retinal ischemia and reperfusion, we used OGD [16]. This was done to enable quantitation of cell death and impact of simulated post-C and autophagy, to quantitate autophagic flux, and the effect of stimulating or blocking autophagy. R28 cells were plated to reach 70% confluency in normal media. The glucose-deprived R28 medium was deoxygenated before the experiment by bubbling for 1 h with 1% O₂ and 5% CO₂. For OGD, cells were cultured in deoxygenated glucose-free media and exposed to hypoxia (1% O₂ and 5% CO₂) overnight followed by 6 h reoxygenation. “post-C” was 1 h OGD; “sham” group had same but no 1 h OGD, as shown schematically (Figure 1).

Evaluation of cell death and cell proliferation

Cells were assayed for cell death and cell proliferation (EdU, ethynyl deoxyuridine assay) [61,62]. Cytotoxicity (LDH release) was assayed with non-radioactive cytotoxicity assay kit (Promega, G1780) [63]. Briefly, culture supernatant samples were transferred to a 96-well plate and equal volume of Sytox added, incubated 30 min at room temperature, and absorbance measured at 490 nm. Percentage cytotoxicity was calculated from LDH release into the supernatant. We used Click-iT Plus EdU kit (Thermo Fisher Scientific, C10636) for measuring cell proliferation. Cells were labeled with EdU and the fluorescent signal generated by Click-iT EdU was detected by logarithmic amplification and analyzed by flow cytometry with a CyAn 2 Bench-top Analyzer (Beckman-Coulter, Brea, CA USA) [64].

Electron microscopic imaging of autophagosomes

Cells were centrifuged to form pellets, washed with PBS, fixed in 2.5% glutaraldehyde (pH 7.2) (Electron Microscopy Sciences, 16,220), post-fixed 1 h with 1% osmium tetroxide (Electron Microscopy Sciences, 19,160), and dehydrated via an ascending series of ethanol concentrations through 100%. They were embedded in LX112 epoxy resin (Ladd Research Industries, 21,210), and polymerized at 60°C for 3 d. Thin sections (~75 nm) were collected onto nickel grids and stained with uranyl acetate and lead citrate, respectively. Specimens were examined using a JEOL (Glen Ellyn, IL USA) JEM1220 Transmission Electron Microscope at 80 kV. Digital images were acquired using an Erlangshen ES1000 W model 785 CCD Camera and Digital Micrograph software (Gaton, Pleasanton, CA USA).

Autophagic flux using tandem RFP-GFP-LC3B sensor in vitro

We analyzed autophagy flux using Premo™ Autophagy Tandem RFP-GFP-LC3B BacMam Sensor Kit (Molecular Probes, P36239). R28 cells were plated onto poly-D-Lysine (Santa Cruz Biotechnology, sc-136,156)-coated coverslips to confluency 80%. Cells were transfected for 48 h with BacMam technology as previously described, where transduction rate in rat RGCs was 90% [65]. Transfected cells were subjected to OGD and post-C as described above. Rapamycin (500 nM; Sigma-Aldrich, 553,210) was a positive control for stimulation of autophagy, with cells pre-treated 4 h, prior to subjecting them to OGD ± post-C. Autophagy inhibitors were chloroquine (30 μM for 24 h; R&D Systems, 4109) and PIK-III (10 μM for 4 h; Selleck Chemical LLC, S7683) prior to OGD and post-C. PIK-III binds a unique hydrophobic pocket to selectively inhibit PIK3C3/VPS34 [66]. At the end of the experiment, cells were washed with 1X PBS, fixed with 4% PFA, and imaged with a Zeiss LSM710 Confocal Microscope using DAPI, FITC, and Texas Red filters. ImageJ with the Green-Red Puncta Co-localization Macro (https://imagejdocu.tudor.lu/plugin/analysis/colocalization_analysis_macro_for_red_and_green_puncta/start, Daniel J. Shiwarski, Ruben K Dagda, and Charleen T. Chu) was used to determine flux, as validated and described previously [67]. The Watershed plugin in ImageJ was used to segment and distinguish individual cells. Yellow GFP⁺/RFP⁺ puncta represent autophagosomes, and RFP⁺ indicate autolysosomes [21]. Flux rate was calculated as RFP⁺/RFP & GFP⁺ puncta per cell [68].

Real time PCR

To examine retinal cell types in R28 cells under our culture conditions, we used real-time PCR. Quantitation of selected transcripts was performed with SsoAdvanced™ Universal SYBR Green Supermix RT-PCR assays (Bio-Rad, 172-5271). cDNAs were generated from total RNA by cDNA Reverse Transcription Kit (Applied Biosystems, 4,368,814) and subjected to PCR amplification according to the manufacturer’s protocol. The relative quantitation method ($\Delta\Delta C_t$) was used [69], with the ratio of the mRNA levels normalized to internal control.

Data handling and statistical analysis

The ERG waves from ischemic eyes 7 d after ischemia and post-C in the groups for comparison were expressed as normalized intensity-response plots with stimulus intensity (log cd·s/m²) on the x-axis, and corresponding percent recovery of baseline on the y-axis [3]. Recorded amplitude, time course, and intensity were exported and analyzed in Matlab 2011a (MathWorks, Natick MA USA) [3] and ERG waveforms for the OP and P2 were derived [59]. ERG waveform recovery was corrected for day-to-day variation and was referenced to the non-ischemic eyes. Direct comparisons of amplitudes at equal stimulus intensities between groups are also reported as previously described [16]. The STRs were of expected low amplitude, with correction for day-to-day variation and to the non-ischemic eyes neither practical

nor accurate [55]. ERG data, histology, western blot, and protein expression were compared by ANOVA (analysis of variance) and t-testing. Analyses were performed using Stata v10.0 (College Station, TX USA).

Disclosure statement

No potential conflict of interest was reported by the authors.

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