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## Analytic Global Regularized Backscatter Quantitative Ultrasound

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### Abstract

Although a variety of techniques have been developed to reduce the appearance of B-mode speckle, quantitative ultrasound (QUS) aims at extracting hidden properties of the tissue. Herein, we propose two novel techniques to accurately and precisely estimate two important QUS parameters, namely the average attenuation coefficient and the backscatter coefficient. Both techniques optimize a cost function that incorporates data and continuity constraint terms, which we call Analytical Global Regularized Backscatter Quantitative Ultrasound (ALGEBRA). We propose two versions of ALGEBRA, namely 1D- and 2D-ALGEBRA. In 1D-ALGEBRA, the regularized cost function is formulated in the axial direction, and QUS parameters are calculated for one line of radiofrequency (RF) echo data. In 2D-ALGEBRA, the regularized cost function is formulated for the entire image, and QUS parameters throughout the image are estimated *simultaneously*. This simultaneous optimization allows 2D-ALGEBRA to “see” all the data before estimating QUS parameters. In both methods, we efficiently optimize the cost functions by casting it as a sparse linear system of equations. As a result of this efficient optimization, 1D-ALGEBRA and 2D-ALGEBRA are respectively 600 and 300 times faster than optimization using the dynamic programming method previously proposed by our group. In addition, the proposed technique has fewer input parameters that require manual tuning. Our results demonstrate that the proposed ALGEBRA methods substantially outperform least-squares and dynamic programming methods in estimating QUS parameters in phantom experiments.

### Keywords

Quantitative ultrasound (QUS); Global QUS; Regularization; Attenuation; Backscatter coefficient

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## I. INTRODUCTION

As any imaging modality, ultrasound imaging has its own advantages and disadvantages. Although it is a real time, portable, and safe imaging modality, it provides a qualitative representation of the tissue, and as such, can be subject to different interpretations by different clinicians. Quantitative ultrasound (QUS) has emerged to resolve the aforementioned issue and quantitatively characterize tissue. Spectrum-based QUS techniques [1]–[4] investigate the Fourier transform of the radiofrequency (RF) data to estimate acoustic properties of tissue such as the effective scatterer diameter [5]–[10], scattering strength and acoustic concentration [3, 11], mean scatterer spacing [12]–[15], average attenuation  $\alpha_{\text{avg}}$  (average attenuation from intervening tissues) [16, 17], and backscatter coefficient  $\sigma_b$  [18]. Assessment of renal microstructure [4, 19, 20], fat infiltration [21, 24], structural evaluation of the uterine cervix during pregnancy [25, 26], and cancer therapy monitoring and assessment [27, 28] are some of its applications.

In addition to testing new clinical applications, a growing attention has focused on improving QUS techniques. Recent work has demonstrated that the accuracy and precision of backscatter QUS parameters can be improved by regularizing the depth variation of estimates of tissue properties, based on the hypothesis of piece-wise variability with depth [29]–[33] or by regularizing lateral and axial variation [34]. Moreover, our group recently showed that using dynamic programming (DP) substantially improves estimates of  $\alpha_{\text{eff}}$  and  $\sigma_b$  [35] compared to a least square (LSQ) method previously devised to estimate the same parameters [36]. LSQ and regularized algorithms based on DP were previously employed to estimate attenuation and BSCs. LSQ minimizes a cost function based on the squared-difference between the measured backscatter spectrum and a theoretical model to extract the values of the depth-averaged attenuation coefficient and the magnitude and frequency dependence of the backscatter coefficient. DP follows a similar strategy, but includes a regularization term in the cost function that assumes piece-wise continuity in the values of the depth-averaged attenuation and the magnitude and frequency dependence of the backscatter coefficient. To reduce the computational burden, the dynamic programming strategy stores minimized values of the cost function to avoid recomputing them at each spatial position.

However, the DP method faces three major issues:

- 1) It is a discrete optimization method. This means that the solution is based on minimizing the cost function from a set of discrete values defined by a search range and step size for the acoustic properties. A small step size improves the results by reducing the quantization error at the expense of increasing the computational complexity. This issue is exacerbated when processing a large field of view (i.e., abdominal or obstetric imaging). This limits the real-time applicability of DP. In addition, the step size must be defined by the user, adding to the complexity of its implementation.
- 2) A search range must be defined by the user. If ranges of values for the parameters of interest are not available for the tissue under study, a very large search range should be used, which further increases the computational complexity.

3) A fundamental problem of DP is that the graph formed by regularization costs must be a tree, and cannot have a cycle. As such, DP cannot consider the entire data. In other words, DP is applied on a 2D (axial vs lateral) array of power spectra but considers regularization only over depth for each lateral location independent from the others.

To cope with these issues, here we propose two versions of a novel technique which we call fast AnaLYtical Global rEgularized BackscatterR quAntitative ultrasound, or ALGEBRA. ALGEBRA solves the regularized cost function analytically and does not need search ranges and step sizes. The first version of ALGEBRA, 1D-ALGEBRA, minimizes exactly the same cost function as DP [35], where 1D refers to regularization in one (axial) direction. The second version is called 2D-ALGEBRA and performs a global regularization in both axial and lateral directions. 1D- and 2D-ALGEBRA are inspired by state of the art regularization strategies used in ultrasound elastography [36, 37]. 1D- and 2D- ALGEBRA are about 600 times and 300 times faster than DP [35], respectively, and also provides more accurate and precise QUS estimates.

In the following sections, we describe the mathematical background of ALGEBRA. The performance of 1D- and 2D-ALGEBRA is compared with that of DP and LSQ on four tissue-mimicking phantoms. We demonstrate that both versions of ALGEBRA provide more accurate and precise estimates of the average attenuation and the backscatter coefficient than DP, and in a significantly shorter time.

## II. ALGORITHMS

The application of ALGEBRA on QUS is based on the reference phantom method (RPM) [39] to provide system- and operator- independent QUS parameter estimation. According to the RPM, the ratio of the power spectrum  $S_s(f, z)$  of a sample  $s$  (phantom or tissue with unknown attenuation coefficient  $\alpha_s$  and backscatter coefficient  $\sigma_{b,s}$ ) to the power spectrum  $S_r(f, z)$  of a reference phantom  $r$  (with known  $\alpha_r$  and  $\sigma_{b,r}$ , and similar sound speed to the sample, and where subscript  $r$  indicates “reference”) [40] can be modeled as:

$$\frac{S_s(f; z, x)}{S_r(f; z)} = \frac{\sigma_{b,s}(f; z, x)A_s(f; z, x)}{\sigma_{b,r}(f)A_r(f, z)} \quad (1)$$

where  $f$ ,  $z$ , and  $x$  are frequency, depth, and lateral position, respectively. The factor  $A$  accounts for total attenuation of the acoustic pulse from the transducer to depth  $z$ :

$$A(f; z, x) = \exp\left(-4 \int_0^z \alpha(f; z', x) dz'\right). \quad (2)$$

where  $\alpha$  is in  $\text{Np.cm}^{-1}$ . Assuming that the attenuation coefficient varies linearly with frequency [35], i.e.,  $\alpha(f; z, x) = \alpha_0(z, x) f$ , where  $\alpha_0$  in  $\text{Np.cm}^{-1} \text{MHz}^{-1}$  is the *specific attenuation* as defined by the International Electrotechnical Commission [41], then the total attenuation Eq. (2) can be expressed as:

$$A(f; z, x) = \exp\left(-4f \int_0^z \alpha_0(z', x) dz'\right) \quad (3)$$

$$A(f; z, x) = \exp(-4f \alpha_{avg}(z, x)z)$$

where  $\alpha_{avg}$  is the *average attenuation* equal to the average specific attenuation along the propagation depth  $z$ .

$$\alpha_{avg}(z, x) = \int_0^z \alpha_0(z', x) dz' \quad (4)$$

In the case of the homogeneous reference, the average attenuation  $\alpha_{avg}$  [ $\text{cm}^{-1} \text{MHz}^{-1}$ ] is equal to its local attenuation coefficient  $\alpha_{0,r}$ . Note that  $\alpha$ ,  $\alpha_0$ , and  $\alpha_{avg}$  can also be expressed in  $\text{dB cm}^{-1}$  or  $\text{dB cm}^{-1} \text{MHz}^{-1}$  by multiplying their numerical value by 8.686.

We employ a power law model to parameterize the frequency dependence of  $\sigma_b(f; z, x)$  as follows:

$$\sigma_b(f; z, x) = \beta(z, x) f^{\nu(z, x)} \quad (5)$$

where  $\beta$  and  $\nu$  indicate the value of the backscatter coefficient at 1MHz and its frequency dependence, respectively. After substituting Eqs. (2)–(4) into (1) and taking the natural logarithm from both sides similar to [35], we have:

$$X(f; z, x) = \ln\left(\frac{S_s(f; z, x)}{S_r(f; z, x)}\right) \quad (6)$$

$$X(f; z, x) = -4a(z, x) f z + b(z, x) + n(z, x) \ln f$$

where

$$\begin{aligned} a(z, x) &= \alpha_{avg}(z, x) - \alpha_{0,r} \\ b(z, x) &= \ln \beta_s(z, x) - \ln \beta_r, \\ n(z, x) &= \nu_s(z, x) - \nu_r. \end{aligned} \quad (7)$$

Both 1D- and 2D-ALGEBRA make use of a cost function containing a data term,  $D$ , and a regularization term,  $R$ :

$$C = D + R. \quad (8)$$

## A. 1D-ALGEBRA

The ALGEBRA methods are applied to an  $N_R \times N_C \times N_F$  power spectra matrix, where  $N_R$  is the number of rows corresponding to different axial positions,  $N_C$  is the number of columns corresponding to different lateral positions, and  $N_F$  is the number of frequency bins within a useable frequency range. In 1D-ALGEBRA, one column (or lateral position) of power spectra is considered and regularization is performed in the axial direction to estimate  $a$ ,  $b$ , and  $n$  at different axial positions along that column. In 2D-ALGEBRA, the entire array of power spectra is used in a 2D regularization strategy.

Removing the  $x$  dependence in (5), data and regularization terms  $D$  and  $R$  in 1D-ALGEBRA are defined as follows:

$$D = \sum_{l=1}^{N_F} \sum_{i=1}^{N_R} (X(f_l, z_i) - b_i - n_i \ln(f_l) + 4a_i f_l z_i)^2 \quad (9)$$

$$R = \sum_{p=1}^3 \sum_{i=2}^{N_R} w_p (p_i - p_{i-1})^2 \quad (10)$$

where  $i$  and  $l$  refer axial location and frequency indices, respectively. Index  $p$  refers to the three parameters ( $p=1$  for  $a$ , 2 for  $b$ , and 3 for  $n$ ) and  $w_p$  is the regularization weight for parameter  $p$ .

As Fig. 1(a) and Eq. (10) show, regularization is employed in the axial direction. To obtain the optimum parameters, we calculate the partial derivatives of the cost function with respect to  $a_p$ ,  $b_p$ , an  $n_i$  and set them to zero. After some manipulations, we arrive at a set of simple linear equation as follows:

$$\mathbf{U}\mathbf{Y} = \mathbf{T}. \quad (11)$$

$\mathbf{Y}$  is a column vector with  $3N_R$  components containing the parameters to be estimated at different depths, i.e.,  $a_j$ ,  $b_j$ , an  $n_j$ :

$$\mathbf{Y} = [a_1, \dots, a_{N_R}, b_1, \dots, b_{N_R}, n_1, \dots, n_{N_R}]^T, \quad (12)$$

where superscript  $\mathbf{T}$  indicates transposition.  $\mathbf{U}$  is a  $3N_R \times 3N_R$  matrix which can be separated into two  $3N_R \times 3N_R$  matrices named  $\mathbf{C}$  and  $\mathbf{W}$ :

$$\mathbf{U} = \mathbf{C} + \mathbf{W}. \quad (13)$$

Matrix  $\mathbf{C}$  is formed of 6 component matrices:

$$\mathbf{C} = \begin{bmatrix} \mathbf{C}_1 & \mathbf{C}_2 & \mathbf{C}_3 \\ \mathbf{C}_2 & \mathbf{C}_4 & \mathbf{C}_5 \\ \mathbf{C}_3 & \mathbf{C}_5 & \mathbf{C}_6 \end{bmatrix} \quad (14)$$

and  $\mathbf{C}_j, j=1, \dots, 6$ , are  $N_R \times N_R$  diagonal matrixes:

$$\begin{aligned} \mathbf{C}_1 &= \left(16 \sum_{l=1}^{N_F} f_l^2\right) \mathbf{Z}_2, \quad \mathbf{C}_2 = \left(-4 \sum_{l=1}^{N_F} f_l\right) \mathbf{Z}_1, \quad \mathbf{C}_3 = \left(-4 \sum_{l=1}^{N_F} f_l \ln f_l\right) \mathbf{Z}_1, \quad \mathbf{C}_4 = \\ & (N_F) \mathbf{I}, \quad \mathbf{C}_5 = \left(\sum_{l=1}^{N_F} \ln f_l\right) \mathbf{I}, \quad \mathbf{C}_6 = \left(\sum_{l=1}^{N_F} (\ln f_l)^2\right) \mathbf{I}, \end{aligned} \quad (15)$$

where  $\mathbf{I}$  is the  $N_R \times N_R$  identity matrix and

$$\mathbf{Z}_1 = \begin{bmatrix} z_1 & 0 & \dots & 0 \\ 0 & z_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & z_{N_R} \end{bmatrix}, \quad \mathbf{Z}_2 = \begin{bmatrix} z_1^2 & 0 & \dots & 0 \\ 0 & z_2^2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & z_{N_R}^2 \end{bmatrix}. \quad (16)$$

Matrix  $\mathbf{W}$  is defined as:

$$\mathbf{W} = \begin{bmatrix} \mathbf{W}_a & \mathbf{O} & \mathbf{O} \\ \mathbf{O} & \mathbf{W}_b & \mathbf{O} \\ \mathbf{O} & \mathbf{O} & \mathbf{W}_n \end{bmatrix} \quad (17)$$

where  $\mathbf{W}_a, \mathbf{W}_b,$  and  $\mathbf{W}_n$  are  $N_R \times N_R$  matrices given by:

$$\mathbf{W}_p = w_p \mathbf{B} \quad (18)$$

where

$$\mathbf{B} = \begin{bmatrix} 1 & -1 & 0 & 0 & \dots & 0 \\ -1 & 2 & -1 & 0 & \dots & 0 \\ 0 & -1 & 2 & -1 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & -1 & 1 \end{bmatrix}$$

and  $\mathbf{O}$  is a  $N_R \times N_R$  matrix with zero elements.

$\mathbf{T}$  in (11) is a  $3N_R$  vector containing three vertically-concatenated  $N_R \times 1$  column vectors,  $\mathbf{T}_1, \mathbf{T}_2,$  and  $\mathbf{T}_3$  as follows:

$$\mathbf{T} = \begin{bmatrix} \mathbf{T}_1 \\ \mathbf{T}_2 \\ \mathbf{T}_3 \end{bmatrix}, \quad (19)$$

whose  $i^{\text{th}}$  components are given by:

$$\begin{aligned} T1_i &= -4z_i \sum_{l=1}^{N_F} X(f_l, z_i) f_l, \\ T2_i &= \sum_{l=1}^{N_F} X(f_l, z_i), \\ T3_i &= \sum_{l=1}^{N_F} X(f_l, z_i) \ln f_l. \end{aligned} \quad (20)$$

The values of  $a_i, b_i,$  and  $n_i$  at different depths are obtained by solving (11) for  $\mathbf{Y}$ .

## B. 2D-ALGEBRA

In 2D-ALGEBRA, we have similar data and regularization terms:

$$D = \sum_{l=1}^{N_F} \sum_{i=1}^{N_R} \sum_{j=1}^{N_C} (X(f_l, z_i, x_j) - b_{i,j} - n_{i,j} \ln(f_l) + 4a_{i,j} f_l z_i)^2 \quad (21)$$

$$R = \sum_{p=1}^3 \sum_{i=2}^{N_R} \sum_{j=2}^{N_C} w_{p,z} (p_{i,j} - p_{i-1,j})^2 + w_{p,x} (p_{i,j} - p_{i,j-1})^2 \quad (22)$$

where  $j$  refers to the lateral location index. The indices of the regularization weights indicate ( $z$ ) axial and ( $x$ ) lateral directions. Thus, as Eq. (22) shows, the regularization is employed in both axial ( $z$ ) and lateral ( $x$ ) directions (Fig 1(b)).

For the 2D regularization the components of  $\mathbf{C}$  have different sizes ( $N_R N_C \times N_R N_C$ ) as each component should also include lateral coefficient parameters. Therefore, the size of  $\mathbf{C}$  will be  $3N_R N_C \times 3N_R N_C$ . The matrix components of  $\mathbf{W}_2$  are defined as follows:

$$\mathbf{W}_2 = \begin{bmatrix} \mathbf{W}_{a2} & \mathbf{O} & \mathbf{O} \\ \mathbf{O} & \mathbf{W}_{b2} & \mathbf{O} \\ \mathbf{O} & \mathbf{O} & \mathbf{W}_{n2} \end{bmatrix} \quad (23)$$

where

$$\mathbf{W}_{p2} = \mathbf{V} + \rho. \quad (24)$$

$\mathbf{V}$  is a  $N_R N_C \times N_R N_C$  matrix defined as

$$\mathbf{V} = \begin{bmatrix} \mathbf{V}_1 & 0 & \dots & 0 \\ 0 & \mathbf{V}_2 & 0 & 0 \\ 0 & 0 & \ddots & 0 \\ 0 & \dots & 0 & \mathbf{V}_{N_C} \end{bmatrix} \quad (25)$$

where  $\mathbf{V}_1, \mathbf{V}_2, \dots, \mathbf{V}_{N_C}$  are  $N_R \times N_R$  matrices, and  $\mathbf{V}_2 = \mathbf{V}_3 = \dots = \mathbf{V}_{N_C-1}$ :

$$\mathbf{V}_1 = \begin{bmatrix} w_{p,z} + w_{p,x} & 0 & \dots & 0 \\ 0 & 2w_{p,z} + w_{p,x} & \ddots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & w_{p,z} + w_{p,x} \end{bmatrix} \quad (26)$$

$$\mathbf{V}_2 = \begin{bmatrix} w_{p,z} + 2w_{p,x} & 0 & \dots & 0 \\ 0 & 2w_{p,z} + 2w_{p,x} & \ddots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & w_{p,z} + 2w_{p,x} \end{bmatrix} \quad (27)$$

$$\mathbf{V}_{\text{NC}} = \begin{bmatrix} w_{p,z} + w_{p,x} & 0 & \cdots & 0 \\ 0 & 2w_{p,z} + w_{p,x} & \ddots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & w_{p,z} + w_{p,x} \end{bmatrix} \quad (28)$$

In (26) to (28), except for the first and last elements, all the elements of the main diagonal are repeated  $N_R - 2$  times. The size of matrix  $\rho$  in Eq. (29) is  $N_R N_C \times N_R N_C$  same as  $\mathbf{V}$ .

The formulation of  $\rho$  is as follows:

$$\rho = \begin{bmatrix} \mathbf{m1} & \mathbf{m2} & \mathbf{O} & \mathbf{O} \\ \mathbf{m2} & \mathbf{m1} & \ddots & \mathbf{O} \\ \mathbf{O} & \ddots & \ddots & \mathbf{m2} \\ \mathbf{O} & \mathbf{O} & \mathbf{m2} & \mathbf{m1} \end{bmatrix} \quad (29)$$

where  $\mathbf{m1}$  and  $\mathbf{m2}$  are  $N_R \times N_R$  matrices as follows. Matrix  $\mathbf{m1}$  is repeated  $N_C$  times and matrix  $\mathbf{m2}$  is repeated  $N_C - 1$  times in each direction to form  $\rho$ .

$$\mathbf{m1} = \begin{bmatrix} 0 & -w_{p,z} & 0 & \cdots & 0 \\ -w_{p,z} & 0 & \ddots & \cdots & \cdots \\ 0 & \ddots & \ddots & \ddots & 0 \\ \vdots & \cdots & -w_{p,z} & \ddots & -w_{p,z} \\ 0 & 0 & 0 & -w_{p,z} & 0 \end{bmatrix}. \quad (30)$$

$$\mathbf{m2} = \begin{bmatrix} -w_{p,x} & 0 & \cdots & 0 \\ 0 & \ddots & 0 & \vdots \\ \vdots & 0 & \ddots & 0 \\ 0 & \cdots & 0 & -w_{p,x} \end{bmatrix}. \quad (31)$$

Again, index  $p$  refers to the three parameters ( $p = 1$  for  $a$ , 2 for  $b$ , and 3 for  $n$ ). It is important to note that Eq. (24) has the same functional form for the three parameters  $a$ ,  $b$  and  $n$  because it is expressed in terms of index  $p$ . However, the actual values of the matrix  $\mathbf{W}_{\mathbf{p2}}$  are different for different values of  $p$  due to the different values of the weights  $w_{p1}$  and  $w_{p2}$ . Here, we also have a similar  $\mathbf{T}$  vector but with  $3N_R N_C$  elements. After solving a similar equation to (11), we will solve for all  $3N_R N_C$  parameters.

### III. METHODS

#### A. Tissue-mimicking phantoms

1D- and 2D-ALGEBRA were tested to data acquired from five tissue mimicking phantoms with the following properties:

- Phantom A: Uniform



- $\alpha_{0,s} = 0.654 \text{ dBcm}^{-1} \text{ MHz}^{-1}$
- $\beta_s = 1.02 \times 10^{-6} \text{ cm}^{-1} \text{ sr}^{-1} \text{ MHz}^{-\nu}$
- $\nu_s = 4.16$
- $\sigma_{b,s}(6.6 \text{ MHz}) = 2.62 \times 10^{-3} \text{ cm}^{-1} \text{ sr}^{-1}$

- Phantom B: Reference for Phantom A

- $\alpha_{0,s} = 0.670 \text{ dBcm}^{-1} \text{ MHz}^{-1}$
- $\beta_s = 8.79 \times 10^{-6} \text{ cm}^{-1} \text{ sr}^{-1} \text{ MHz}^{-\nu}$
- $\nu_s = 3.14$
- $\sigma_{b,s}(6.6 \text{ MHz}) = 3.29 \times 10^{-3} \text{ cm}^{-1} \text{ sr}^{-1}$

- Phantom C: Attenuation step

Top and bottom layers:

- $\alpha_{0,s} = 0.510 \text{ dBcm}^{-1} \text{ MHz}^{-1}$
- $\beta_s = 1.60 \times 10^{-6} \text{ cm}^{-1} \text{ sr}^{-1} \text{ MHz}^{-\nu}$
- $\nu_s = 3.52$
- $\sigma_{b,s}(8.9 \text{ MHz}) = 3.52 \times 10^{-3} \text{ cm}^{-1} \text{ sr}^{-1}$

Middle layer:

- $\alpha_{0,s} = 0.779 \text{ dBcm}^{-1} \text{ MHz}^{-1}$
- $\beta_s = 3.22 \times 10^{-6} \text{ cm}^{-1} \text{ sr}^{-1} \text{ MHz}^{-\nu}$
- $\nu_s = 3.13$
- $\sigma_{b,s}(8.9 \text{ MHz}) = 3.02 \times 10^{-3} \text{ cm}^{-1} \text{ sr}^{-1}$

- Phantom D: Backscatter step

Top and bottom layers

- $\alpha_{0,s} = 0.554 \text{ dBcm}^{-1} \text{ MHz}^{-1}$
- $\beta_s = 4.82 \times 10^{-7} \text{ cm}^{-1} \text{ sr}^{-1} \text{ MHz}^{-\nu}$
- $\nu_s = 3.80$
- $\sigma_{b,s}(8.9 \text{ MHz}) = 3.52 \times 10^{-3} \text{ cm}^{-1} \text{ sr}^{-1}$

Middle layer

- $\alpha_{0,s} = 0.58 \text{ dB} \cdot \text{cm}^{-1} \text{ MHz}^{-1}$
- $\beta_s = 3.94 \times 10^{-6} \text{ cm}^{-1} \text{ sr}^{-1} \text{ MHz}^{-\nu}$
- $\nu_s = 3.38$
- $\sigma_{b,s}(8.9 \text{ MHz}) = 6.37 \times 10^{-3} \text{ cm}^{-1} \text{ sr}^{-1}$

- **Phantom E: Inclusion phantom** (Gammex 410SCG phantom (Gammex-SunNuclear, Middleton, WI)):

#### Background

- $\alpha_{0,s} = 0.5 \text{ dBcm}^{-1} \text{ MHz}^{-1}$
- $\beta_s = 2.997 \times 10^{-6} \text{ cm}^{-1} \text{ sr}^{-1} \text{ MHz}^{-1}$
- $\nu_s = 3.34$
- $\sigma_{b,s}(8\text{MHz}) = 3.11 \times 10^{-3} \text{ cm}^{-1} \text{ sr}^{-1}$

#### Inclusions

- Three 8mm-diameter cylindrical inclusions with +12, +6 and -6dB scattering with respect to the background

More details about the composition of phantoms A-D are available in [34, 40].

Phantom A comprises a water-based agarose-propylene combined with filtered milk. Phantom B is composed of water-based agarose added by graphite powder. Both phantoms contain glass-beads with 5-43 $\mu\text{m}$  diameter as the source of scattering. The ground truth values were obtained with single element transducers to measure attenuation and backscatter coefficient utilizing narrowband substitution and broadband pulse-echo techniques, respectively [41, 42].

Phantoms C (uniform BSC) and D (uniform attenuation) are water-based phantoms with three layer phantoms composed of mixtures of gelatin and unfiltered milk. The concentration of scatterers in phantom C is 4g/L in all parts, while in phantom D the concentration of scatterers in the second layer is 8g/L which is 4 times more than the other two layers. On the other hand, molten gelatin and unfiltered milk have been mixed with the ratio of 2.1:1 in all layers in phantom D. This ratio in the middle layer of phantom C is 1:1 to increase its attenuation with respect to the first and third layer, where the ratio is 2.85:1 [40].

## B. Data Acquisition

**1) Homogenous Phantom:** Two phantoms (A and B above) were scanned with a 9L4 linear array transducer on a Siemens Acuson S3000 (Issaquah, WA) scanner operated at a 6.6MHz nominal center frequency to obtain 10 uncorrelated frames of RF data for each phantom [35]. Phantom B was used as reference for the characterization of phantom A. RF data was accessed through the Axius Direct Research Interface [41].

**2) Layered Phantoms:** 10 uncorrelated frames of RF data were acquired from Phantoms C and D, using their top layers as references. Both phantoms were scanned using a linear array transducer on a Siemens Acuson S2000 scanner operated at an 8.9MHz center frequency [36].

**3) Different Echogenicity Phantom (Gammex phantom):** Phantom E was scanned using a Verasonics Vantage 128 system (Verasonics, Kirkland, WA) with a L11-5v

transducer operated at 8MHz to obtain 10 uncorrelated frames of RF data. Uncorrelated frames from a homogeneous region of the same phantom were used as reference.

### C. Power Spectra Estimation

We applied LSQ, DP, 1D- and 2D-ALGEBRA to 10 independent frames of RF echo data from each phantom. Parameter estimation regions of size 4mm  $\times$  4mm were swept axially and laterally over each frame with an overlap of 85%, computing at each position the echo signal power spectrum using a multitaper approach [44]. This procedure resulted in power spectra matrices with 40 columns and 74 rows in the uniform phantom, 86 columns and 108 rows in the layered phantoms, and 55 columns and 103 rows in Phantom E.

We have solved LSQ analytically. To apply DP, the following search ranges were used:

$$\begin{aligned} \frac{\alpha_{s\_min} - \alpha_r - 0.5}{8.686} < a < \frac{\alpha_{s\_max} - \alpha_r + 0.5}{8.686} \\ \log 0.1 \frac{\beta_{s\_min}}{\beta_r} < b < \log 10 \frac{\beta_{s\_max}}{\beta_r} \\ \nu_{s\_min} - \nu_r - 2 < n < \nu_{s\_max} - \nu_r + 2 \end{aligned}$$

where *min* and *max* indices refer to the minimum and maximum ground truth values in the layered phantoms. These values were the same in the uniform phantom.

Tables I(A) and (B) show the regularization weights in each method. The weights of 1D-ALGEBRA are same as DP. The first and second elements of 2D-ALGEBRA weights correspond to the axial and lateral regularizations, respectively. In each method, first, the algorithm was executed using weights in order of 10. Then, considering the results, the weights were increased or decreased. The weights were increased when we saw a high variance in results, in other words when results were close to LSQ. On the other hand, weights were decreased when results were approaching a flat line. The values included in Table I(A) and (B) are the final ones.

It can be easily shown that a symmetric diagonal dominant matrix, where its diagonal entries are positive, is a positive definite matrix. In addition, according to a theorem in linear algebra [45], the unique solution for equation  $\mathbf{Ax} = \mathbf{b}$  exists if and only if  $\mathbf{A}$  (here, matrix  $\mathbf{U}$ ) is full rank. Therefore, as  $\mathbf{U}$  is full rank, the unique solution exists. 1D- and 2D-ALGEBRA were implemented in Matlab R2018a (MathWorks, USA).

To obtain  $\mathbf{Y}$  in Eq. (11), we use the `mldivide` function “\” in Matlab. This operator is time efficient and provides two algorithms for full and sparse inputs [46].

## IV. RESULTS

### A. Phantom A

Figure 2(a) shows the mean and the standard deviation (error bars) of the attenuation coefficient ( $\alpha_s$ ) vs. depth obtained from the 400 estimates using DP (green), 1D-ALGEBRA (blue), and 2D-ALGEBRA (red). The black dash line refers to the expected values. While

1D-ALGEBRA resulted in larger standard deviation compared to DP, the standard deviation obtained with 2D-ALGEBRA was close to that of DP.

In Fig 2(b), the BSC is reconstructed from the average values of the 400 estimates of  $\beta_s$  and  $\nu_s$ . It can be observed that the bias of estimation using 1D- and 2D-ALGEBRA is lower than LSQ and DP.

Fig 3 shows the B-mode image of the phantom and parametric images of the reconstructed BSC evaluated at 6.6MHz in dB scale with respect to  $10^{-4} \text{ cm}^{-1} \text{ sr}^{-1}$  using LSQ, DP, 1D- and 2D-ALGEBRA. In all phantoms, the range of color bar corresponds to the range of values obtained with DP. Visual comparison confirms that 1D- and 2D-ALGEBRA have the most similarity to ground truth compared to DP and LSQ. Quantitative analysis of bias and variance of BSC at center frequency reveals that using 1D-ALGEBRA leads to 98% decrease in variance and 39% in bias respect to DP. In addition, using 2D-ALGEBRA results in 100% and 35% reduction in variance and bias, respectively.

To estimate the QUS parameters in an image with  $N_C=74$  and  $N_R=40$  using 1D- and 2D-ALGEBRA required 4 and 8 sec, respectively. In comparison, a Matlab implementation of DP required 2400 second for the same problem.

## B. Phantoms C and D

Fig 4(a) and 4(b–d) show the average and standard deviation (error bars) over 860 estimates of  $\alpha_{avg}$  for Phantom C (attenuation step) and the results of the reconstruction of the backscatter coefficient from the average values of  $\beta_s$  and  $\nu_s$  in each layer using Eq. (5), respectively. In these figures, the black dashed line is the expected value of the parameter. In the case of the average attenuation (Fig 4(a)), there is a smooth transition from the top to the middle layer to do the averaging effect of Eq. (4). Also, the standard deviation of DP is lower than 1D-ALGEBRA. On the other hand, Fig 5 reveals that the step size in DP had not been small enough as we see each layer is not distinguished well. Here, to show how different layers are distinguished, we created a parametric image of the local attenuation ( $\alpha_{local}$ ). The following equation shows how  $\alpha_{local}$  is obtained from  $\alpha_{avg}$ :

$$\alpha_{local}(i) = \frac{\alpha_{avg}(i)z_i - \alpha_{avg}(i-1)z_{i-1}}{z_i - z_{i-1}} \quad (32)$$

Fig 5(f) demonstrates that using 2D-ALGEBRA,  $\alpha_{local}$  estimation agrees well with ground truth. The analysis of bias and variance of local attenuation shows that 1D- and 2D-ALGEBRA yield 81% reduction in bias. The variance of DP results is small, but it should not be misinterpreted as we see the parametric image of DP is far from the ground truth. This is evidence of the drawbacks of DP referred to in the introduction section.

To estimate the QUS parameters in an image with  $N_C=108$  and  $N_R=86$  required 6 and 12 sec, respectively. In comparison, a Matlab implementation of DP required 3600 sec for the same problem.

Fig 6(a) shows the error bars over 860 estimate of  $\alpha_{avg}$  for phantom D (backscatter step) and Fig(6-d) shows results of the reconstruction of the backscatter coefficient from the average values of  $\alpha_s$  and  $\nu_s$  in each layer.

Fig. 7. shows the B-mode (a) and ground truth (b) as well as parametric images of backscatter coefficient obtained at the center frequency using LSQ (c), DP (d), 1D (e)- and 2D-ALGEBRA (f) in phantom D (backscatter step). Visual assessment confirms that 1D- and 2D-ALGEBRA outperform other methods. Quantitative assessments of BSC at central frequency disclose 88% reduction in variance as well as 56% in bias using 1D-ALGEBRA compared to DP. In addition, using 2D-ALGEBRA leads to 99% and 55% decrease in variance and bias compared to DP, respectively.

To estimate the QUS parameters in an image with  $N_C=108$  and  $N_R=86$  with 1D- and 2D-ALGEBRA took 9 and 18 sec, respectively. In comparison, a Matlab implementation of DP took 5400 second for the same problem.

### C. Phantom E

Fig 8 shows the parametric image of BSC at the center frequency. Quantitative assessment of BSC at the center frequency reveals that using 1D-ALGEBRA leads to 35% reduction in bias in addition to 29% reduction in variance laterally compared to DP. Furthermore, using 2D-ALGEBRA yields 31% and 25% reduction in variance laterally and axially, respectively as well as 26% reduction in bias with respect to DP.

To estimate the QUS parameters in an image with  $N_C=103$  and  $N_R=55$ , required 2 and 4 sec, respectively. In comparison, a Matlab implementation of DP took 1200 second for the same problem.

Figs 9(a) and (b) show two parametric images of phantom E using 1D-Algebra with two different sets of weights: [10, 10, 10] and [10<sup>4</sup>, 10<sup>4</sup>, 10<sup>4</sup>], respectively. Fig 9(b) is smoother than Fig 9(a) (less variance), at the expense of blurring the edges of the inclusions (larger bias).

## V. DISCUSSION

This work presented two analytical, regularized estimators of the attenuation and backscatter properties of tissue-mimicking materials. After various tests in tissue-mimicking phantoms, these algorithms, named 1D- and 2D-ALGEBRA, outperformed previously proposed regularized strategies (DP) in the following aspects:

1. Because of 1D- and 2D-ALGEBRA are analytical solutions to the minimization of a cost function, it does not require the definition of search ranges for the expected values of the parameters.
2. Contrary to DP, the attenuation and backscatter parameters can be estimated on a continuous scale.
3. Both 1D- and 2D-ALGEBRA are up to 600 times faster than DP.

Considering these advantages, ALGEBRA has great potential to be applied clinically.

Theoretically, we expect exactly the same result using DP and 1D-ALGEBRA as the cost functions are the same. However, achieving this requires step sizes to be small enough so that discontinuous ranges provided by DP be approximated by continuous range provided by 1D-ALGEBRA. On the other hand, as we are using a large number of samples, it is practically impossible to consider small step size for each parameter. For that reason, DP results are not as good as 1D-ALGEBRA. Nevertheless, 1D-ALGEBRA yields estimates with similar levels of bias and standard deviation than DP, but in a much shorter time.

Moreover, comparing 1D-ALGEBRA and 2D-ALGEBRA reveals that 2D-ALGEBRA outperforms 1D-ALGEBRA in terms of bias and variance as it exploits regularization in both axial and lateral directions. Comparing the backscatter coefficient estimation results shown in Fig 2, 4, 6(b–d) can result in the misleading conclusion that 1D-ALGEBRA and 2D-ALGEBRA provide almost the same results. This happens as we are plotting semi-log of  $\beta_s^{vs}$ . The improved performance of 2D-ALGEBRA compared to 1D-ALGEBRA is clear when 2D color-coded images are compared as shown in Figs 3, 5, and 7. In phantom A (uniform) shown in Fig 3, 2D-ALGEBRA leads to almost same estimations in all parts of the phantom. For the layered phantoms (Figs 5, 7) it can be seen that 2D-ALGEBRA can well distinguish three layers of phantoms C and D, especially in phantom D with the backscatter step.

This work has various limitations:

1. As shown in Eq. (10), the regularization term is based on an L2 norm. In a previous study based on dynamic programming, Vajihi et al. [47] showed that the use of L1 norm in the regularization terms provides better precision in parameter estimates than the use of L2 norm. However, the L1 norm is not analytically differentiable so it could not be implemented in ALGEBRA. Despite this limitation, we showed here that 1D- and 2D-ALGEBRA significantly improve the accuracy and precision of the parameter estimates over DP, probably due to the removal of the restriction of a discrete grid of parameter values over which the cost function is minimized.
2. The current implementation of ALGEBRA required adjusting the regularization weights to each phantom experiment. In general, larger weights are used in phantoms in which there is not a large change in the acoustic properties, such as phantom A, thus allowing a significant variance reduction. However, increasing the weights to reduce the variance can result in biased estimates. In other words, there is a trade-off between variance and bias in the selection of the weights. We are currently working on an automated method to select the weights based on identifying what acoustic properties are the most influential in the selection process. The weights that result from applying this method to representative data from various organ could be saved as part of the imaging presets in the scanner to provide ALGEBRA-based parametric images in real time. This is topic of our current research.
3. The attenuation coefficient was assumed to be linearly dependent on frequency. A more realistic model would be a power-law fit [48]. However, the effect of the

power law dependence could be minimized by the averaging effect of the average attenuation. If a significant deviation from a linear dependence on frequency is expected, ALGEBRA could be applied over contiguous, narrow frequency bands over which the variation of the average attenuation with frequency could be approximated as linear, as implemented by Nasief et al. [49] Then, the values obtained from the various frequency bands could be combined.

4. The backscatter coefficient was parametrized in terms of a power law. We chose the power law to model the backscatter coefficient vs. frequency because (1) is the same model assumed in the LSQ and DP methods and (2) because the size of the scatterers in the phantoms were such that the product of the wave number and the scatterer radius is smaller than 0.5, which can lead to biased estimates of the scatterer size [50]. However, Eq. (5) could be modified to consider different scattering models, such as form factors for different scatterer geometries to estimate the effective scatterer size [6], and structure factor models to quantify scatterer volume fraction in cases of highly packed scatterers [51]. We have recently reported results on the modified DP to estimate effective scatterer size and acoustic concentration in tissue mimicking phantoms [52]. We are currently working on modifying ALGEBRA to estimate these parameters.

## VI. CONCLUSION

In this study, two versions of an analytically-solved, regularized QUS estimation technique, 1D-ALGEBRA and 2D-ALGEBRA, were proposed to estimate the average attenuation as well as the magnitude and frequency dependence of the backscatter coefficient. 1D-ALGEBRA is the fast version of our previous DP method which applies an axially regularized cost function. On the other hand, 2D-ALGEBRA uses a globally (axially and laterally) regularized cost function. Regarding the quantitative analysis of bias and variance, we can conclude that applying 2D-ALGEBRA substantially improves the results compared to DP as it benefits the regularization in both axial and lateral directions.

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**Hassan Rivaz** is an Associate Professor of Electrical and Computer Engineering at Concordia University. He received BSc degree from Sharif University of Technology, MASc from the University of British Columbia, and PhD from Johns Hopkins University.

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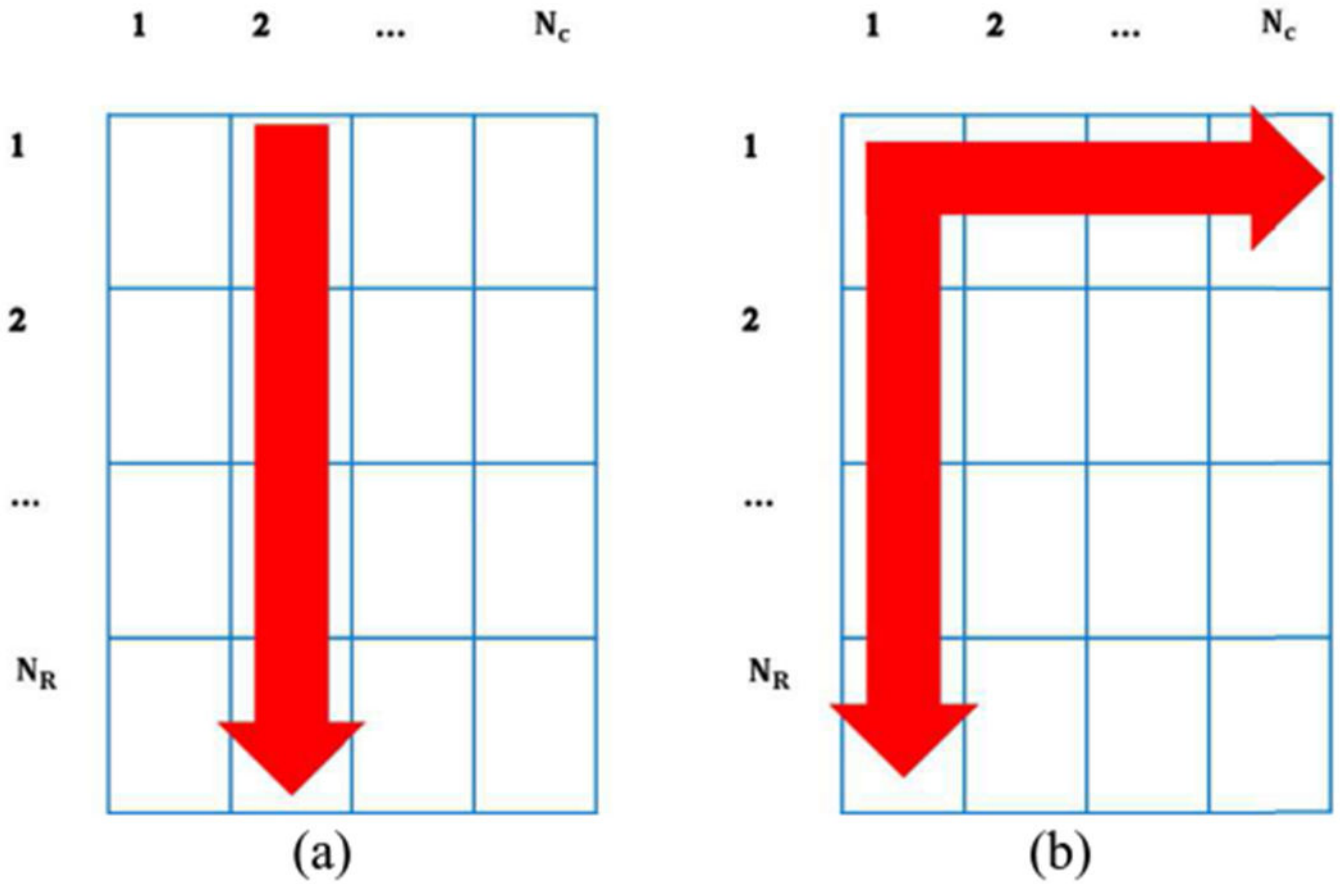
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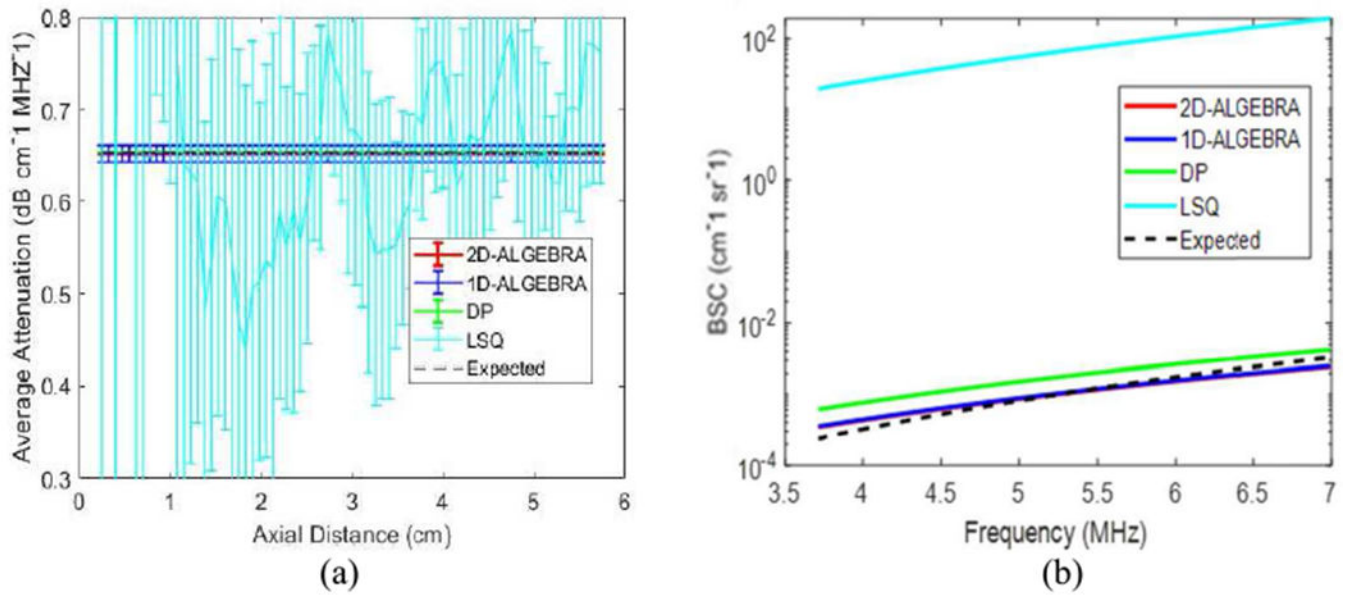
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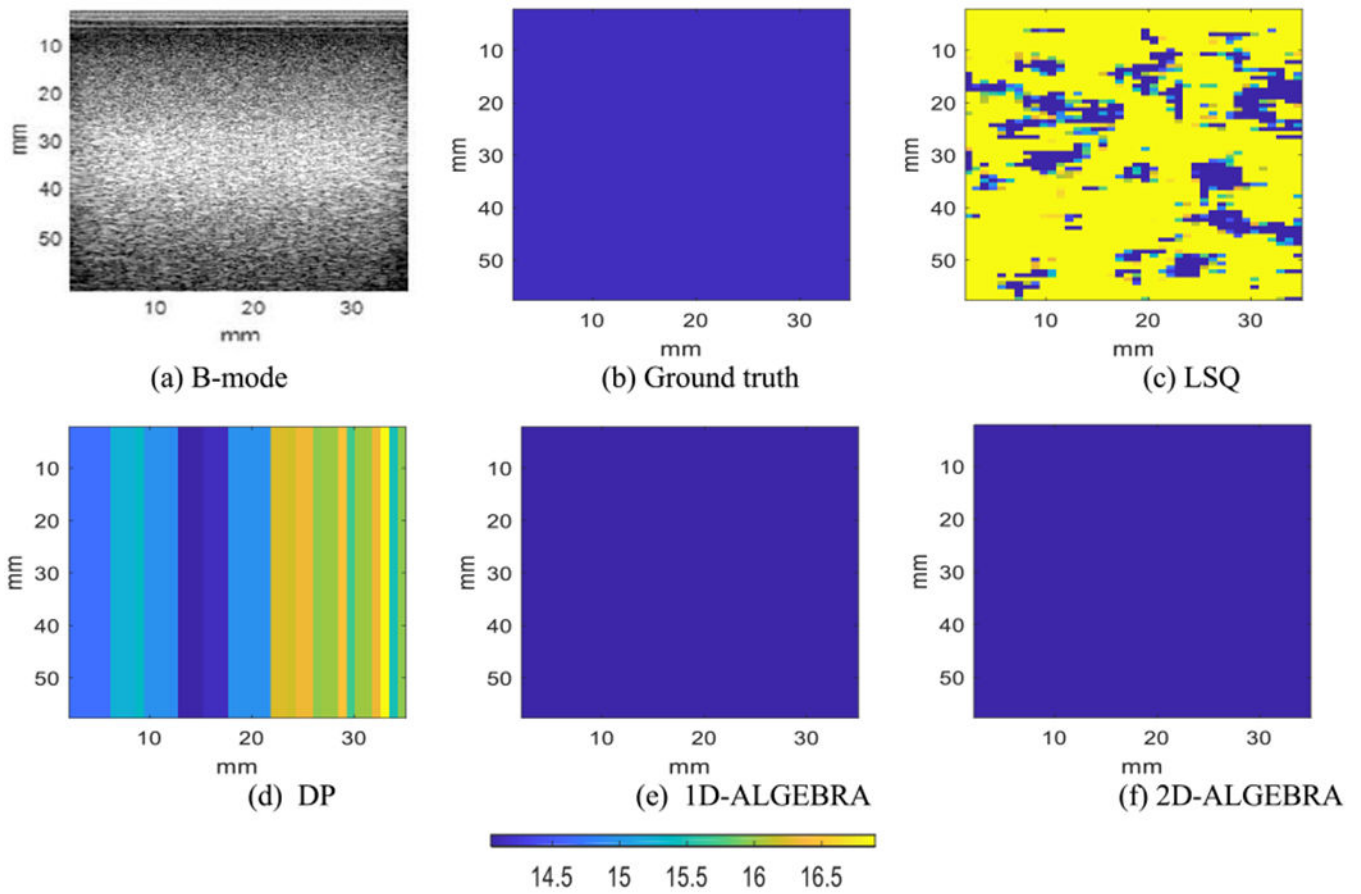


**Fig. 1.** Regularization strategies for (a) 1D- and (b) 2D-ALGEBRA.

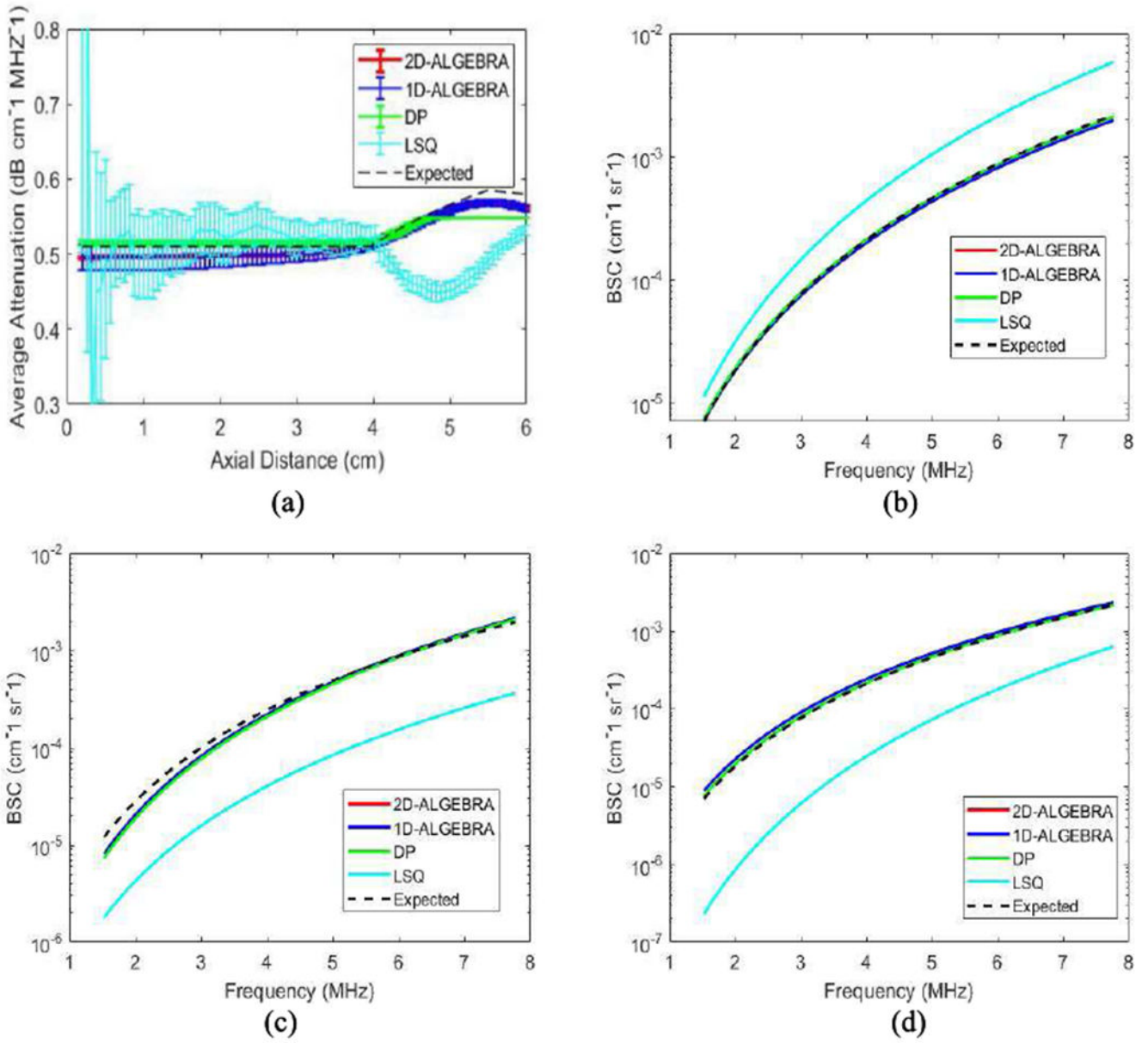


**Fig. 2.**

Results of 1D-ALGEBRA (blue), 2D-ALGEBRA (red), DP (green), and LSQ (cyan) in phantom A (uniform). The error bars show the standard deviation over the 400 samples for average attenuation coefficient (a) and reconstructed backscatter coefficient averaged over 400 samples (b). In (a), blue, red, cyan and black are superimposed. The black dashed line is the ground truth.

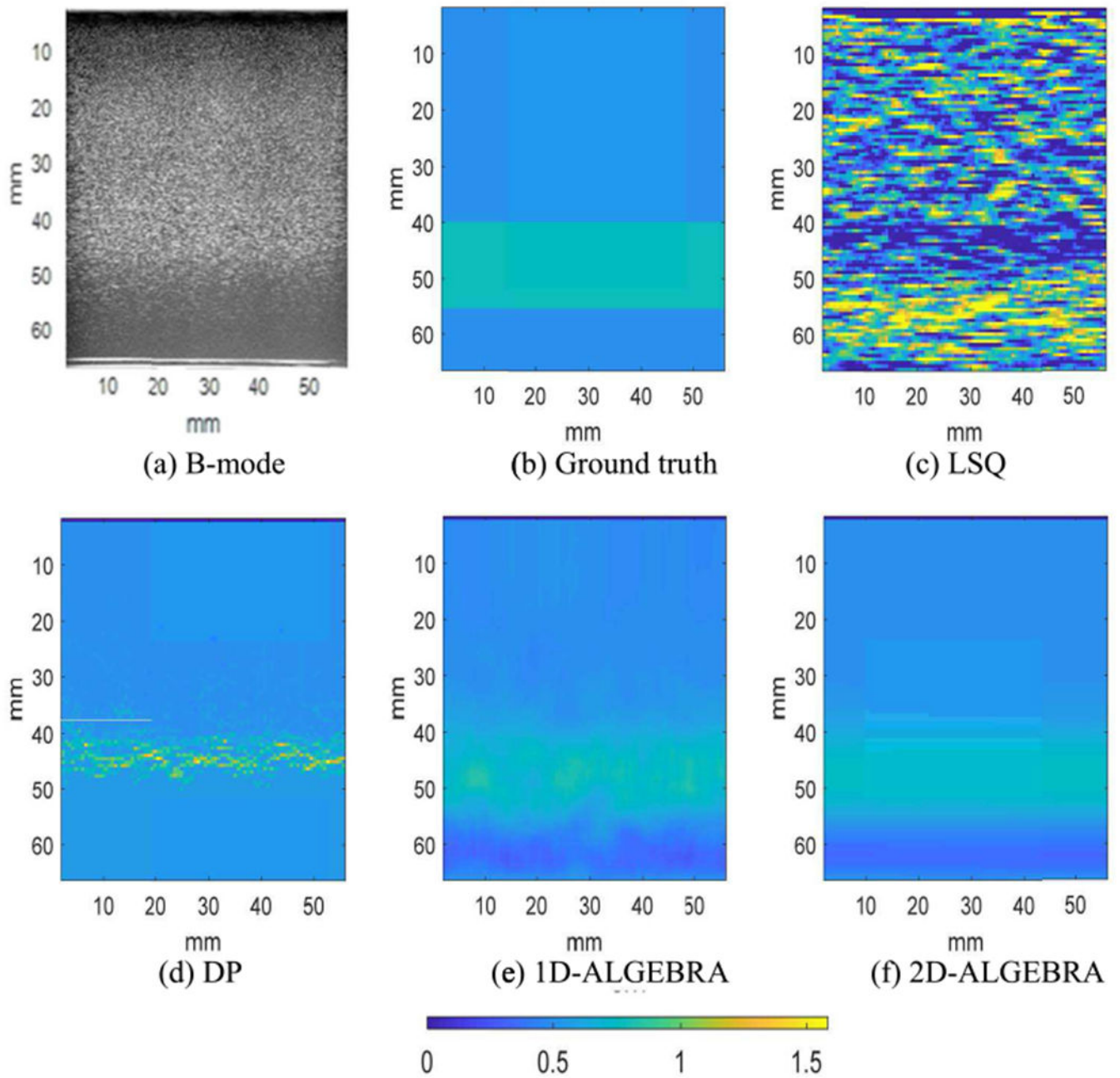


**Fig. 3.** Parametric images of the backscatter coefficient at the center frequency in phantom A (uniform). Results are shown on a dB scale with respect to  $10^{-4} \text{ cm}^{-1} \text{ sr}^{-1}$ . The colorbar shows BSC at the center frequency.

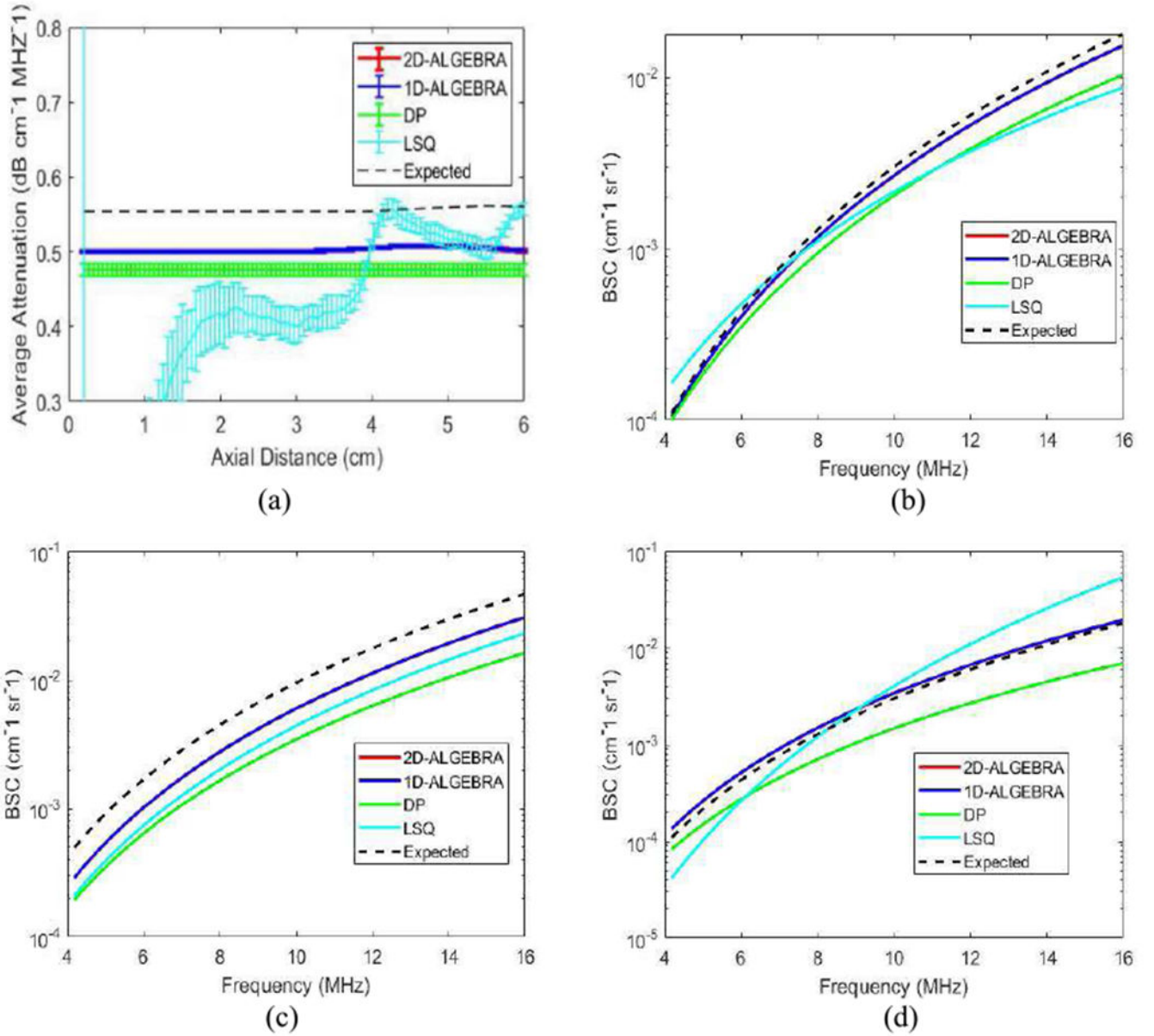


**Fig. 4.** Results of 1D-ALGEBRA (blue), 2D-ALGEBRA (red), DP (green), and LSQ (cyan) in phantom C (attenuation step). The error bars show the standard deviation over the 860 samples for average attenuation coefficient (a) and reconstructed backscatter coefficient averaged over 400 samples in layer 1 (b), layer 2 (c), layer 3 (d). The black dashed line is the ground truth values. The red curve is superimposed by the blue curve.

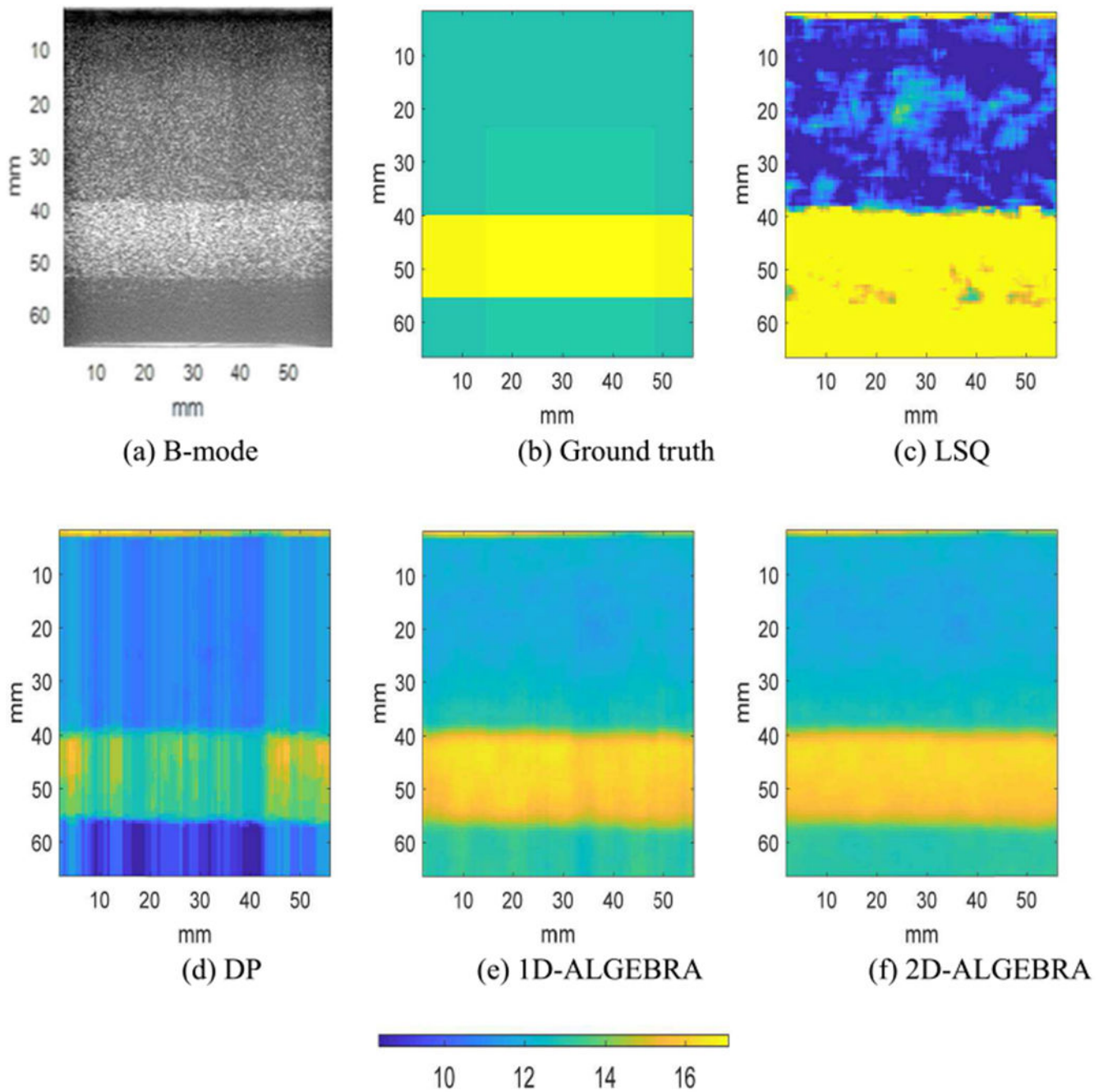




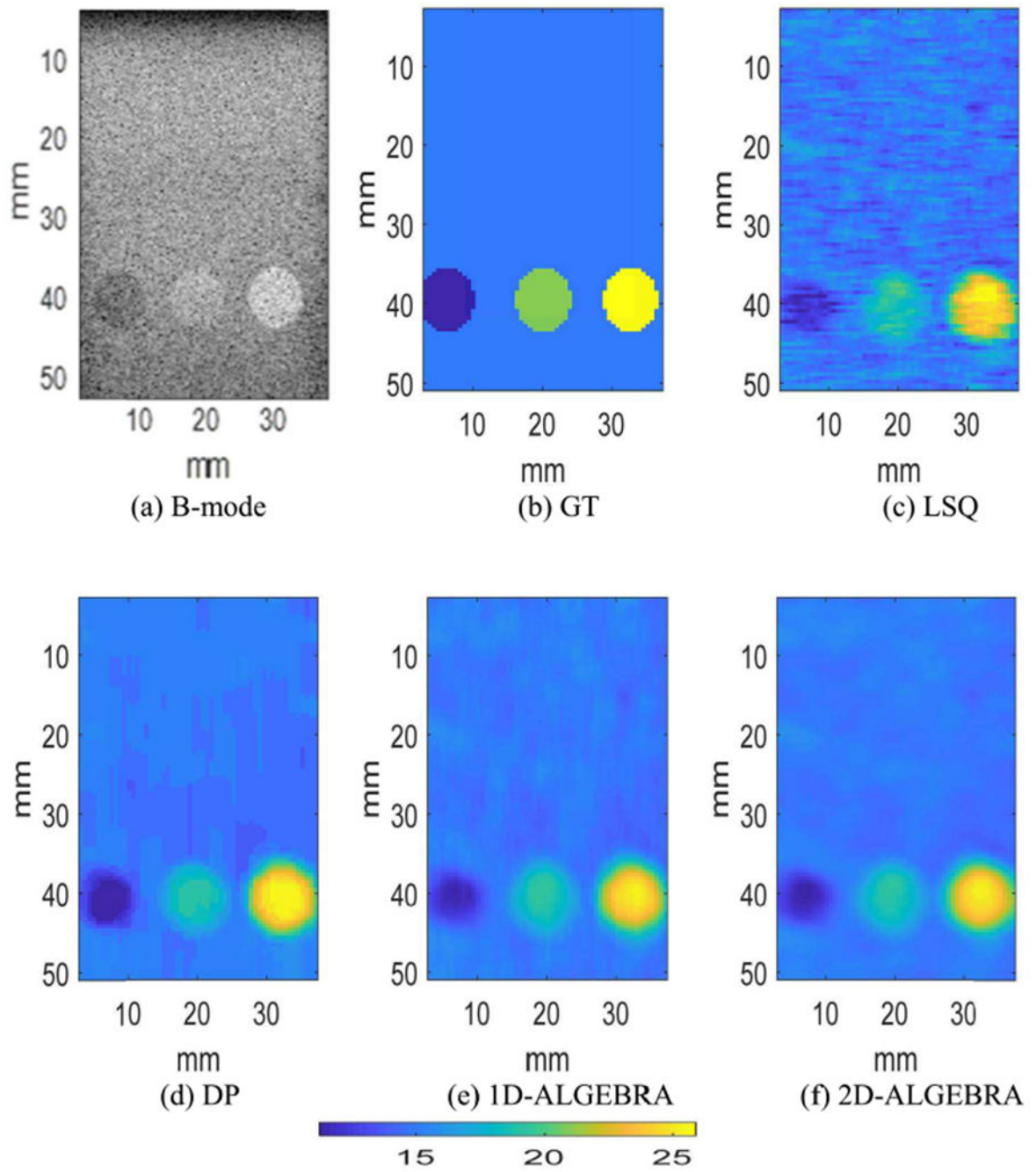
**Fig. 5.** Parametric images of the local attenuation (computed from Eq. (30)) of phantom C (attenuation step). The color bar shows the value of local attenuation.



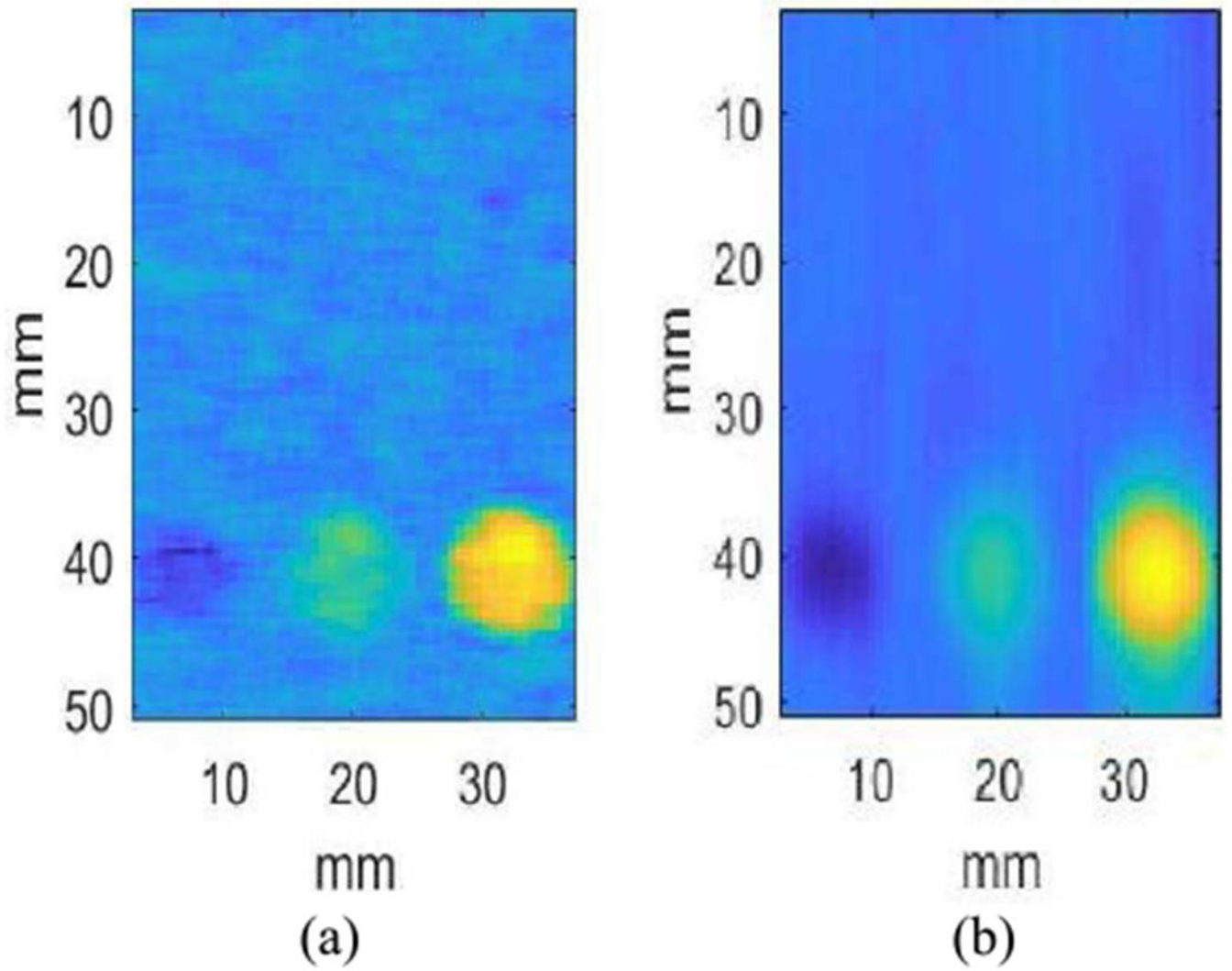
**Fig. 6.** Results of 1D-ALGEBRA (blue), 2D-ALGEBRA (red), DP (green), and LSQ (cyan) in phantom D (backscatter step). The error bars show the standard deviation over the 860 samples for the average attenuation (a) and reconstructed backscatter coefficient averaged over 400 samples in layer 1 (b), layer 2 (c), layer 3 (d). The black dashed line is the expected value. The red curve is superimposed by the blue curve.



**Fig. 7.** Parametric image of the backscatter coefficient at the center frequency of phantom D (backscatter step). Results are shown on a dB scale with respect to  $10^{-4}\text{cm}^{-1}\text{sr}^{-1}$



**Fig. 8.** Parametric image of the backscatter coefficient at the center frequency of phantom E (inclusion phantom). Results are shown on a dB scale with respect to  $10^{-4} \text{ cm}^{-1} \text{ sr}^{-1}$ .



**Fig. 9.** Influence of regularization weights in the parametric images of phantom E with 1D-ALGEBRA. Weights in (a): [10 10 10], weights in (b): [ $10^4$   $10^4$   $10^4$ ].

**TABLE I(A)**

REGULARIZATION WEIGHTS IN FOUR PHANTOMS

Phantom	DP		
	$w_a$	$w_b$	$w_n$
A	$10^{12}$	$10^8$	$10^8$
C	$7 \times 10^6$	$10^1$	$6 \times 10^4$
D	$7 \times 10^8$	$3 \times 10^1$	$10^4$
E	$8 \times 10^2$	$8 \times 10^2$	$8 \times 10^2$

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**TABLE I(B)**

REGULARIZATION WEIGHTS IN FOUR PHANTOMS

Phantom	2D-ALGEBRA		
	$w_a$	$w_b$	$w_n$
A	$[10^{10}$ $10^{10}]$	$[10^8$ $10^8]$	$[10^8$ $10^8]$
C	$[7 \times 10^6$ $10^9]$	$[10^1$ $10^1]$	$[6 \times 10^4$ $6 \times 10^4]$
D	$[7 \times 10^8$ $7 \times 10^8]$	$[3 \times 10^1$ $3 \times 10^1]$	$[10^4$ $10^4]$
E	$[4 \times 10^2$ $4 \times 10^2]$	$[4 \times 10^2$ $4 \times 10^2]$	$[4 \times 10^2$ $4 \times 10^2]$

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