



Review

Current knowledge on the immune microenvironment and emerging immunotherapies in diffuse midline glioma

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ABSTRACT

Diffuse midline glioma (DMG) is an incurable malignancy with the highest mortality rate among pediatric brain tumors. While radiotherapy and chemotherapy are the most common treatments, these modalities have limited promise. Due to their diffuse nature in critical areas of the brain, the prognosis of DMG remains dismal. DMGs are characterized by unique phenotypic heterogeneity and histological features. Mutations of H3K27M, TP53, and ACVR1 drive DMG tumorigenesis. Histological artifacts include pseudopalisading necrosis and vascular endothelial proliferation. Mouse models that recapitulate human DMG have been used to study key driver mutations and the tumor microenvironment. DMG consists of a largely immunologically cold tumor microenvironment that lacks immune cell infiltration, immunosuppressive factors, and immune surveillance. While tumor-associated macrophages are the most abundant immune cell population, there is reduced T lymphocyte infiltration. Immunotherapies can stimulate the immune system to find, attack, and eliminate cancer cells. However, it is critical to understand the immune microenvironment of DMG before designing immunotherapies since differences in the microenvironment influence treatment efficacy. To this end, our review aims to overview the immune microenvironment of DMG, discuss emerging insights about the immune landscape that drives disease pathophysiology, and present recent findings and new opportunities for therapeutic discovery.

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1. Introduction

Diffuse midline glioma (DMG) is a devastating pediatric brainstem tumor accounting for 10–15% of brain tumors and 80% of brain stem tumors in children and adolescents [1,2]. A DMG diagnosis is made in approximately 300 children per year in the United States with the median age at diagnosis between 6 and 7 [3]. Children with DMG survive 9 to 11 months after diagnosis and have a 99% 5-year mortality [4–6]. To date, there is no effective treatment for DMG. Chemotherapy and targeted molecular agents have proved to be minimally effective treatments, and surgical resection of the tumor is difficult due its location in the pons, thalamus, and spinal cord [7]. DMGs are infiltrative in nature, predominantly involving the pons but can be thalamic in location invading into surrounding brain and spinal cord. Fractionated external beam radiotherapy (RT), the standard of care for DMG, has only been successful in providing limited disease

control or improving symptoms and confers a survival benefit of approximately 3 months. In the absence of standard RT, the median survival is 6 months [8].

Like many other central nervous system (CNS) tumors, DMGs have several intrinsic mechanisms to inhibit host antitumor responses. DMGs have a unique immune landscape characterized by nonpolarized resident immune cells and immune-induced secretions (Fig. 1). This landscape impacts DMG pathophysiology, prognosis, treatment options, and outcomes. In this review, we will explore the heterogeneity of the DMG immune microenvironment and contributions of specific immune subpopulations to DMG pathology.

1.1. Cellular origin

Because DMG has spatial-temporal homogeneity and tends to arise during middle childhood, aberrant neurodevelopmental processes trigger tumor cell development and proliferation [9]. Both cellular origin and microenvironmental signaling is necessary for tumor growth [10]. DMG originates from oligodendrocyte progenitor or neural stem cells [3]. Oligodendrocytes play a role in myelin

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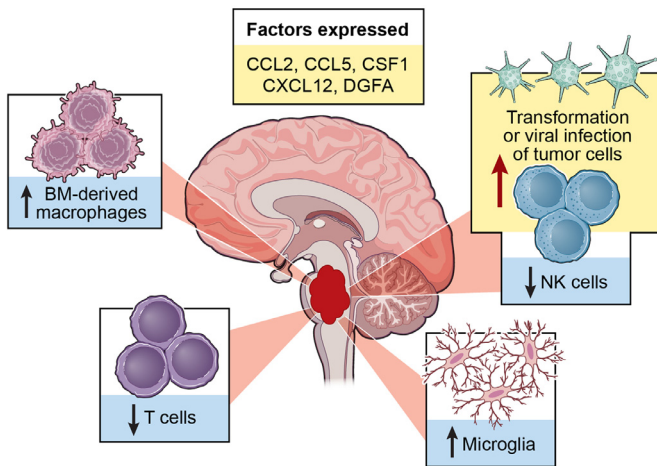


Fig. 1. Summary of DMG-immune system interactions. DMG is an immunologically cold tumor with very limited T cell and NK cell infiltration. Glioma associated macrophages consisting of bone marrow-derived macrophages and microglia are the primary immune cells that reside in the tumor microenvironment. Select chemokines and cytokines are also expressed in DMG.

development during childhood and are responsible for supporting and insulating axons in the CNS [4]. The DMG cell of origin was identified via histological staining which revealed that oligodendrocyte precursor cells were enriched at the location of DMG origination and around the median age of DMG diagnosis [3]. To further validate oligodendrocytes as the cell of origin for DMG, transcriptional and chromatin landscape studies conveyed that oligodendrocyte genes are transcriptionally and epigenetically upregulated in DMG [11–13]. Furthermore, single cell RNA sequencing has shown that proliferative stem-like cells of primary DMG tumors are phenotypically similar to oligodendrocyte precursor cells [14]. Most notably, DMG proliferative stem-like cells express nestin and vimentin, markers found in neural stem or precursor cells, and *olig2*, a transcription factor commonly associated with oligodendrocyte precursors [3].

1.2. Molecular alterations

The understanding of DMG's biological underpinnings has been used to identify genetic and epigenetic signatures that are present in patient subpopulations [7]. Mutations in genes encoding for histones prevent histone methylation and have been identified in a plethora of cancers. Approximately 80% of DMG tumors exhibit a characteristic substitution of lysine-to-methionine at position 27 of histones 3.1 and 3.3 [15–17]. Deregulation of such histone proteins impedes polycomb repressive complex-2 (PRC2) methyltransferase complex functioning, causes systemic hypomethylation of the lysine at position 27 of the H3 protein (H3K27), and hinders gene expression [15,18–20]. The H3.1K27M and H3.3K27M DMG subgroups are characteristically associated with unique genetic alterations. In addition to direct mutations in H3.1-K27M and H3.3-K27M, DMGs harbor indirect mutations in H3.3G34RV that alter post-translationally modified residues [6]. H3.3K27M tumors are associated with mutations in tumor protein p53 (TP53), while H3.1K27M tumors often harbor mutations in activin A receptor type 1 (ACVR1) or have phosphoinositide 3-kinase (PI3K) pathway dysregulation [21].

Secondary associated mutations that contribute to cancer formation are seen in addition to histone mutations and lead to unique oncogenic outcomes [6]. Forty-two percent of DMG tumors harbor mutations in TP53, the gene encoding the tumor suppressor protein p53 [22]. P53 modulates cell survival and apoptosis in the developing nervous system. The preferential expression of p53 in neural progenitor cells (NPCs) is critical for regulating cell cycle progression and apoptosis [22]. Together, platelet-derived growth factor beta (PDGFB)

signaling and TP53 loss frequently promotes tumor formation. Mechanisms underlying p53 mutations in DMG cells include disruption of p53 protein stability and gene expression and an increased rate of neural stem cell proliferation [23].

ACVR1 is a developmental regulator that is mutated in approximately 24% of patients with DMG and has been reported at a younger age of diagnosis [22]. ACVR1 encodes for the ALK2 (activin receptor-like kinase-2) receptor in the bone morphogenetic protein (BMP) signaling pathway. ACVR1 is also responsible for patterning during late gastrulation in embryogenesis and regulating craniofacial and cardiac development [24]. Six mutations have been described in two domains (glycine-serine rich domain and kinase domain) of ACVR1. The G328V mutation is the most common ACVR1 mutation in DMG, however, all mutations commonly segregate with H3.1K27M mutations [22].

Recurrent truncating mutations in the gene encoding protein phosphatase Mg²⁺/Mn²⁺-dependent 1D (PPM1D) has been identified in 9–23% of DMG cases [25]. PPM1D mutations are often present concurrently with Histone H3 mutations (H3K27M) and are mutually exclusive with tumor suppressor protein 53 (TP53)-inactivating mutations [26]. PPM1D is critical for neurodevelopment and plays a role in dephosphorylating checkpoint kinases ATM (ataxia-telangiectasia mutated), ATR (ataxia telangiectasia and Rad3-related protein), and Chk1/2 to achieve homeostatic regulation of DNA damage response [27]. However, the phosphatase PPM1D is considered an oncogenic phosphatase because of its role in inactivating p53. In addition to DMG, PPM1D amplification or overexpression has been found in many carcinomas, including medulloblastoma, neuroblastoma, ovarian cancer, and breast cancer [27].

DMGs also harbor amplifications in genes involved in cell cycle regulation, specifically cyclin dependent kinase inhibitors CDK4 and CDK6 and cyclin D family members CCND1, CCND2, and CCND3 [28]. Cyclin dependent kinases and cyclins form complexes that are critical for neurogenesis and phosphorylates the retinoblastoma (Rb) protein [29]. Specifically, overexpression of Cyclin D and CDK4 in NPCs inhibits neurogenesis and shortens the G1 phase of the cell cycle suggesting Cyclin D and CDK4 are involved in the G1-mediated switch from proliferation to neurogenesis [30]. Moreover, inhibition of CDK4 and CDK6 can trigger cell cycle arrest at the G1 checkpoint and has been explored as a potential therapeutic option for DMG [31].

Funato et al. and Larson et al. have revealed that increased PDGFRA expression is associated with DMG tumor formation. Studies found that the combination of PDGFRA activation and p53 loss was sufficient to induce neoplastic transformation in human embryonic stem cells and form genetically engineered mouse model (GEMM) brainstem gliomas [21,32].

As noted above, DMG harbors many genetic alterations that comprise unique molecular subgroups (eg, isocitrate dehydrogenase 1 (IDH1), H3.1/3.3, pleomorphic xanthoastrocytoma (PXA-like)) and drive tumorigenesis (Fig. 2). While heterogeneity of DMG subgroups has previously been relegated to histone mutations, a seminal large-scale integrated analysis identified comprehensive risk subgroups of DMG [6].

1.3. Immune landscape of DMG

1.3.1. Natural killer cells

Natural killer (NK) cells, phenotypically marked by CD3⁻, CD56⁺, and CD16⁺, are effective cytotoxic lymphocytes that contain perforin-rich and granzyme-rich granules and can kill cancer cells and virally infected cells. NK cells classically have limited existence and function in the brain tumor microenvironment because of the immunosuppressive factors released by tumor cells [33]. Similar levels of NK cells are seen across all DMG subtypes. While a recent study reported that NK cells are low and defective in patients with DMG, induction of NK cells in the brain has the potential to kill brain tumor

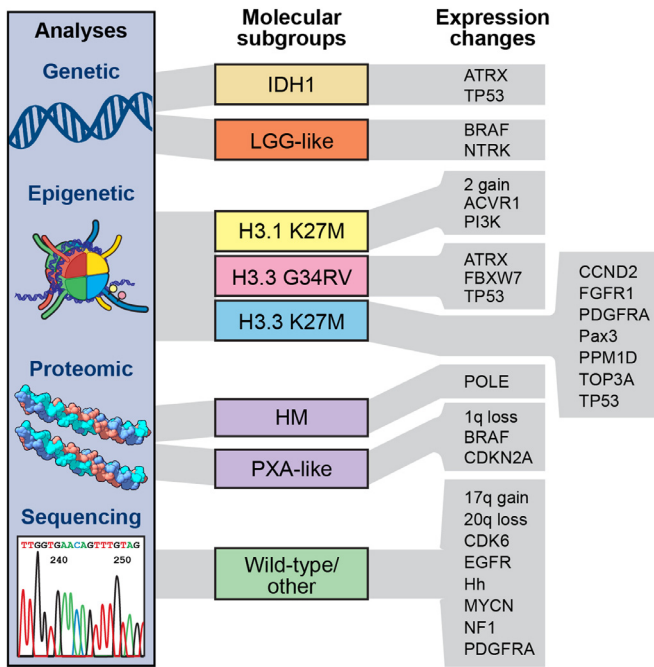


Fig. 2. Schematic representation of different biological subgroups exhibiting unique mutational profiles and transcriptional states in DMG. Molecular subgroups include IDH1, LGG-like, H3.1K27M, H3.3G34RV, H3.3K27M, HM (hypermutator phenotype), PXA-like, and H3/IDH1 wild type. These subgroups are associated with variable expression changes. Abbreviations: 2 gain: chromosome 2 gains; ATRX: α thalassemia/mental retardation syndrome X-linked; ACVR1: Activin A receptor, type I; BRAF: v-raf murine sarcoma viral oncogene homolog B1; CCND2: Cyclin D2; CDK6: Cyclin Dependent Kinase 6; CDKN2A: Cyclin Dependent Kinase Inhibitor 2A; EGFR: epidermal growth factor receptor; FBXW7: F-box/WD repeat-containing protein 7; FGFR1: Fibroblast growth factor receptor 1; Hh: Hedgehog; HM: Hypermutator phenotype; IDH1: Isocitrate dehydrogenase 1; NF1: Neurofibromatosis Type 1; NTRK: Neurotrophic Tyrosine Kinase; PAX3: Paired box gene 3; PDGFRA: Platelet Derived Growth Factor Receptor Alpha; PI3K: Phosphoinositide 3-kinase; POLE: DNA Polymerase Epsilon Catalytic Subunit; PPM1D: Protein phosphatase 1D; PXA: Pleomorphic xanthoastrocytoma; TOP3A: DNA topoisomerase 3-alpha; TP53: Tumor protein P53.

cells [34]. A recent study has shown that transformed or virally infected brain tumor cells can recruit NK cells leading to subsequent tumor cell killing [35]. A DMG-specific study noted that expression of one or more activating ligands for NKG2D (natural-killer group 2, member D) causes a chain reaction of cytokine production, targeted cytotoxic granule release, and NK cell activation. Combining DMG and NK cells resulted in effective killing of DMG cells *in vitro* [36]. NK cells also have the potential to serve as a prognostic marker for DMG. A clinical study revealed that tumors wild-type for H3.3K27M with NK cell infiltration are positively prognostic for DMG [37].

1.3.2. Microglia and macrophages

Microglia are myeloid cells accounting for 10–20% of the non-neuronal cell population that support and protect neuronal function [38]. Microglia and CNS-border associated macrophages, such as perivascular, choroid plexus-associated, and meningeal macrophages, have similar ontogeny and are commonly referred to as resident macrophages (or tumor-associated macrophages (TAMs)) residing in the CNS [39]. Of the CNS-border associated macrophages, choroid plexus-associated macrophages are extrastriatal bone marrow-derived macrophages (BMDMs). These immune cells are responsible for maintaining brain homeostasis and immunological responses [38].

TAMs display pro-tumorigenic effects in DMG [40]. Infiltration of TAMs is partially mediated through PDGFB signaling [40]. In a DMG GEMM, it has been demonstrated that bone marrow-derived macrophages are the predominant TAM sub-population in the tumor

microenvironment [40]. TAMs are a large part of the DMG immune environment as indicated by the high expression of CD45, CD68, and CD163, markers commonly expressed in microglia and peripheral BMDMs. Furthermore, knockout of CC chemokine ligand 3 (CCL3), an important driver of TAM recruitment and accumulation, in DMG GEMMs resulted in fewer BMDMs and consequently conferred survival benefit [40]. TAMs in DMG differ from TAMs in adult CNS tumors since they display a lower expression of IL6, IL1A, IL1B, CCL3, CCL4 [41]. Moreover, immunohistochemistry has revealed that DMG has decreased myeloid infiltration compared to adult CNS tumors [36].

Once BMDMs in DMG are activated, they undergo morphological changes and alterations in gene expression profile [42]. DMG tumor BMDMs and microglia in the tumor parenchyma have unique molecular characteristics distinct from normal brain microglia and macrophages. Moreover, DMG tumor microglia have unique morphology since these microglia have shorter processes and enlarged cell bodies in the tumor microenvironment [42].

The functionality of DMG TAMs differ from BMDMs seen in glioblastoma (GBM). Gene ontology analysis of the top 50 genes enriched in GBM and DMG myeloid cells revealed that genes enriched in DMG are involved in cell adhesion, angiogenesis, and extracellular matrix organization [42]. Genes enriched in GBM are involved in processes such as monocyte chemotaxis, neutrophil chemotaxis, and chemokine-mediated signaling pathway [42]. These findings suggest that DMG consists of distinctive inflammatory mechanisms. Additional gene ontology analysis identified genes differentially expressed in DMG-associated BMDMs compared to cortical microglia [42]. This analysis revealed that DMG-associated BMDMs have undergone an activation process consistent with their morphological changes.

1.3.3. Lymphocytes

The DMG immune environment is largely non-inflammatory and does not consist of an adaptive immune component. The lack of tumor infiltrating lymphocytes (TILs) in the tumor microenvironment has been indicated by decreased expression of CD3+ lymphocytes [42]. The few T cells that are present within the tumor microenvironment are found in perivascular spaces and around areas of necrosis [43].

Furthermore, the brain's inability to initiate an antitumor immune response to DMG has been attributed to the absence of myeloid antigen presenting cells that are essential for recruiting effector lymphocytes [36]. In addition to the lack of lymphocyte recruitment, studies have shown that DMG may have an undefined mechanism of evading T lymphocyte recognition. The evasion process was demonstrated when allogeneic T cells were unable to mediate DMG cell killing when both were combined in culture [36].

1.3.4. Pre-clinical *in vivo* models of DMG

The generation of diverse DMG modeling systems that recapitulate tumor growth and invasion is imperative to pre-clinically evaluating potential treatments and advancing research on DMG biology. While *in vitro* experiments provide critical findings about the cellular and molecular characterization of DMG, they have limitations such as the inability to model invasion, angiogenesis, metastasis, and the response of the tumor microenvironment to treatments [44]. As a result, *in vivo* models, such as patient derived xenografts (PDXs) and GEMMs, have been designed to better understand tumor biology and develop optimal treatment modalities for DMG that can be easily translated to patients.

1.3.5. Xenograft models

PDX models are commonly used as DMG tumor models in which DMG cells from patient samples are implanted into immunocompromised mice [45]. Patient samples can be freshly harvested via biopsy or obtained from postmortem tissue. While fresh tissue is preferred

for orthotopic implantation, the diffuse nature and location of DMG can preclude a safe biopsy [46,47]. However, within the last ten years, efforts have been made to improve the feasibility and safety of DMG stereotactic biopsies [48].

The first attempts to generate a PDX DMG model involved implanting human adult cerebral cortex GBM cells into the brainstem of mice and rats [49,50]. These cells were derived from serially transplanted xenografts or expanded patient cell lines. These models were designed to evaluate therapeutic response rates of DMG given the brainstem's specialized microenvironment and blood brain barrier (BBB) [49]. Although these tumors mimicked DMG anatomy and histology, they were not capable of fully recapitulating molecular and cellular characteristics [49].

Before DMG PDX models were established, DMG xenograft cell lines were derived from autopsy tissue [3]. These cells grew into neurospheres and were expanded and stabilized before transplantation [3]. *In vitro* culturing methods involved propagating cells in culture medium conducive to neural stem cell tissue growth containing factors that promote the expression of Nestin, glial fibrillary acidic protein (GFAP), Vimentin, Sox2, Olig2, and CD133³. Consequently, the cultured neurospheres resembled primitive neural precursor cell types. Once sufficiently expanded, neurospheres were dissociated and stereotactically injected into the fourth ventricle of immunodeficient neonatal mice [3]. These mice developed hindbrain tumors diffusely infiltrating the brainstem, cerebellum, and cerebrum, with histopathology replicating DMG [3].

Isolation of fresh tissue can be difficult, however, there are a number of groups that have cultured and established xenograft cell lines from tumor biopsies [51–53]. These cultures were mainly used to test the efficacy of targeted agents and combination treatments of RT and small molecule inhibitors. Cultures have also been used to study DMG biology [53]. Chan et al. used biopsy-implanted PDX models to study the role of H3K27M mutations in regulating methylation and gene expression pattern changes in DMG.

While most PDX modeling methods involve culturing patient-derived cells before implantation, there have been direct xenograft transplantations where cells are directly transplanted into immunocompromised mice [49]. This method produced tumors that resembled DMG but comprised of cells with mouse rather than human origin. The mechanism for this cellular transformation is unknown. A

more recent study concurrently generated two DMG models: one where DMG cells were directly transplanted into the brainstem of mice upon biopsy and another where cells were expanded before implantation. While neither of these models formed tumor masses, models mimicked the epigenetic variability observed in the patients with DMG [54]. Overall, direct transplantation of patient-derived cells is less favorable for developing PDX models because there is a greater possibility of losing cells during the transplantation process [55].

The site of injection and the preparation of cells before xenograft implantation has varied. One group has implanted DMG cells into the striatum rather than brainstem [56] and another infected cells with human telomerase reverse transcriptase (hTERT) and a luciferase reporter before implantation [57]. The hTERT-Luc mouse model generated by Hashizume et al. expressed key genes for human DMG including GFAP, Nestin, Olig2, and PDGFA. Upon gene expression and copy number analysis, this model was compared to previous analyses of human DMG samples and used to evaluate a preclinical efficacy of RT and inhibitor MK-1775 *in vivo* [52,57].

Larger studies have used both autopsy and biopsy specimens to generate DMG PDX models. Grasso et al. used biopsy and patient derived DMG cells to generate *in vitro* and *in vivo* model systems and run a large-scale drug screen including 83 drugs. It was found that panobinostat, a histone deacetylase (HDAC) inhibitor, has a synergistic effect with GSK-J4, a histone de-methylase inhibitor, and is cytotoxic to DMG cells *in vitro* and can be used to effectively treat orthotopic DMG tumors *in vivo* [58].

1.3.6. Genetically engineered mouse models

In addition to PDXs, GEMMs have become important *in vivo* tools for DMG research (Table 1). It was first suspected that GEMM could be used to model DMG because previous findings have indicated that the RCAS (replication-competent avian sarcoma-leucosis virus long-terminal repeat with splice acceptor system) could be used to generate gliomas outside of the subventricular zone. GEMMs involve the introduction of genetic alterations to a specific cell-of-origin. Because immunocompetent mice are used, primordial growth and development of tumors in a functional tumor microenvironment can be observed. Concerted efforts towards generating GEMMs have contributed to further investigate DMG cells-of-origin and molecular

Table 1
GEMMs of DMG.

Model	Technical approach	Incidence	Genotype	Cell of Origin	Reference
GEMM	RCAS/tv-a	80% by 3 months	PDGF-B, p16 loss	nestin-expressing NPCs (hindbrain)	[59]
		77% in 1 month	PDGF-B, p53 loss	nestin-expressing NPCs (hindbrain)	[61]
		72% by 3 months	H3.3K27M, p53 loss	nestin-expressing NPCs (hindbrain)	[19]
		95% by 3 months	H3.3K27M, p53 loss, PDGF-B	nestin-expressing NPCs (hindbrain)	[111]
		43% by 3 months	H3.3K27M, p53 loss, PDGF-B	Pax3-expressing NPCs (hindbrain)	[64]
		100% by 1–1.5 months	H3.3K27M, p53 loss, PDGF-B	nestin-expressing NPCs (hindbrain)	[112]
	In utero electroporation	100% by 4 months	H3.3K27M, p53 loss, PDGFRA, ATRX loss	periventricular NPCs (forebrain/hindbrain)	[113]
		100% by 6–8 months	H3.3K27M, p53 loss	periventricular NPCs (forebrain/hindbrain)	[113]
		100% by 4 months	H3.3K27M, p53 loss, ATRX loss	periventricular NPCs (forebrain/hindbrain)	[113]
		>90% by 1.5–2 months	H3.3K27M, DNp53, PDGFRA ^{D842V}	periventricular NPCs (forebrain)	[114]
		100% by 1 month	H3.3K27M, p53 loss, PDGF-B	periventricular NPCs (hindbrain)	[115]
		100% by 1 month	H3.3WT, p53 loss, PDGF-B	periventricular NPCs (hindbrain)	[115]
		100% by 1 month	H3.3WT, p53 loss, PDGFRA ^{D842V}	hindbrain periventricular NPCs (hindbrain)	[115]
	Transgenic	100% by 1 month	H3.3K27M, p53 loss, PDGFRA ^{WT}	hindbrain periventricular NPCs (hindbrain)	[115]
		100% by 4 months	H3.3K27M, p53 loss	nestin-expressing NPCs	[113]
		100% by 4 months	H3.3K27M, p53 loss	GFAP-expressing NPCs	[113]
		86% by 3 months	H3.3K27M, p53 loss	nestin-expressing NPCs	[21]
		96% by 3 months	p53 loss, PDGFRA ^{V544ins}	nestin-expressing NPCs	[21]
		80% by 3 months	H3.1K27M, ACVR1 ^{G328V} , PIK3CA ^{H1047R}	Olig2-expressing OPCs	[116]
	80% by 3 months	H3.1K27M, ACVR1 ^{G328V}	Olig2-expressing OPCs	[116]	

underpinnings associated with tumor initiation, growth, histology, and treatment response.

GEMMs are generally designed using the RCAS/tumor virus A (TVA) modeling system and genetic aberrations associated with the human disease of interest. The RCAS–TVA system uses the retroviral avian leucosis and sarcoma virus family as a vector to deliver the gene of interest. Subsequently, the virus selectively infects cells expressing the corresponding surface receptor TVA.

Because Nestin-expressing cells are suspected to be the cells-of-origin for DMG, one of the first GEMM for DMG involved using germline *Ink4a-ARF* loss and *PDGFB* overexpression targeted to Nestin-expressing cells in the pons of neonatal mice [3,59]. While these GEMMs tumors grew in the brainstem and were similar in histology to human DMG, they were not formed exclusively in the pons, so this model was considered to be a brain stem glioma (BSG) GEMM rather than a DMG model. Although tumors were generated in the brainstem, this model is one of the few GEMMs that closely mimics human DMG.

GEMMs have been used as a preclinical tool to test potential therapeutics and study tumor biology. The BSG GEMM has been further characterized by comparing it to other glioma GEMMs. Specifically, Hambarzumyan et al. compared the BSG GEMM to gliomas of the cerebral cortex to define subsets of DMG and understand mechanisms driving tumor growth [60]. This study found that the BSG GEMM had a high expression of transcription factor Pax3 that is essential for gliomagenesis [60].

The BSG GEMM has also been used to test tumor response to treatments. Becher et al. used this model to test the therapeutic efficacy of RT and AKT signaling inhibitor perifosine, while Barton et al. studied the therapeutic effect of cyclin-dependent kinase (CDK) 4/6 inhibitor PD0332991 alone and in combination with RT [59,61]. A survival benefit was found when combining perifosine and RT Becher et al.'s study; however, the study conducted by Barton et al. was the first to report that a treatment other than RT alone resulted in survival benefit. Most recently this model has been used to detect the effects of systemic administration and convection enhanced delivery (CED) of HDAC inhibitor panobinostat in treating DMG [62,63].

In addition to the BSG GEMM, a GEMM that resembled DMG was generated by initiation in the pons and PDGF signaling overexpression, p53 loss, and H3.3K27M mutation [19]. This model has many advantages because of its location in the pons and harbors a global knockout of H3K27me3 that mimics patient DMGs characterized by H3K27me3 mutations [19].

In 2016, DMG GEMM was established by injecting Pax3-Tv-a;Trp53fl/fl mice with RCAS-PDGFB and RCASCre, with or without RCAS-H3.3K27M [64]. The RCAS plasmid-produced avian retroviruses expressing Cre and PDGFB infect mouse cells expressing Pax3 and RCAS virus receptor Tv-a. The generation of this model was used to further investigate the cell-of-origin and possible cancer stem cells in DMG.

Another unique DMG GEMM involves the use of human embryonic stem cells to create neural precursor-like cells (NPCs) that are altered with activated PDGFRA, H3.3K27M, and p53 knockdowns [32]. The addition of these genes to NPCs induced tumorigenesis. This study provided valuable information on NPC response to oncogenes *in vitro* and *in vivo* [32].

Recently, Larson et al. developed a neuro-specific, promoter-driven conditional H3f3ak27M knock-in DMG GEMM and demonstrated that H3.3K27M cooperates with PDGFRA mutations and loss of p53 to induce brainstem gliomas molecularly resembling human DMG [21]. The K27M mutation, and the subsequent H3K27me3 loss, led to discrete transcriptional changes with selective regulation of bivalent promoters in tumors. Upregulated genes were enriched for association with neural development, while genes that encoded homeodomain transcription factors were downregulated, thus suggesting that H3.3K27M acclimatizes a more undifferentiated phenotype²¹L.

1.4. Existing and upcoming therapies for DMG

1.4.1. Standard-of-care treatments

Conventional fractionated external beam RT is the principal treatment modality for DMG (Fig. 3). RT minimally extends patient survival and temporarily relieves symptoms [65]. The standard RT dose administered for DMG is 54 Gy that is delivered as 30 fractions of 1.8 Gy [66]. The rationale for RT being used as a treatment is based on studies reporting that DMG spreads congruently and tumor recurrence is most often local and within the fields of RT [67–69].

RT damages proliferating cancer cells and induces hypoxia [70]. Within the tumor microenvironment, hypoxia induces a cascade of events that lead to an increase in chemokine CXCL12 and subsequent recruitment of bone marrow-derived monocytes and hematopoietic progenitor cells that co-express CXCR4 and CXCR7 [70]. These stromal cells undergo differentiation to become tumor-promoting macrophages that mediate angiogenesis and tumor recurrence [71–73]. Accordingly, preclinical studies have found that blocking CXCL12 [74] and CXCR7 [75] impedes tumor recurrence upon irradiation. In addition to inhibiting cell proliferation and inducing tumor hypoxia, RT also upregulates PD-L1 at the surface of tumor-infiltrating myeloid cells [76]. Programmed death-ligand 1 (PD-L1) is a coinhibitory ligand expressed in many types of tumor cells. Inhibition of the PD-L1 and programmed cell death protein 1 (PD-1) checkpoint can serve as a potential immunotherapy [77]. The addition of immunotherapies and novel therapeutic inhibitors can have a concomitant effect when combined with RT to treat DMG.

Chemotherapy is also a primary mode of treatment for DMG despite having minimal impact on prognoses. Chemotherapy has the potential to mitigate RT-induced damage and improve neuro-cognitive outcomes when combined with RT [78]. However, chemotherapy damages the bone marrow and eventually impacts the number and activation state of resident immune cells [79]. The most common chemotherapy used to treat brain cancer is temozolomide (TMZ). TMZ directly targets cancer cells and has immunomodulatory effects [80]. TMZ induces lymphopenia, which interestingly can be harnessed to improve immunotherapy. This was confirmed by findings indicating that lymphoablative doses of TMZ increase tumor antigen-specific immune responses in GBM patients [81,82] and GBM-bearing

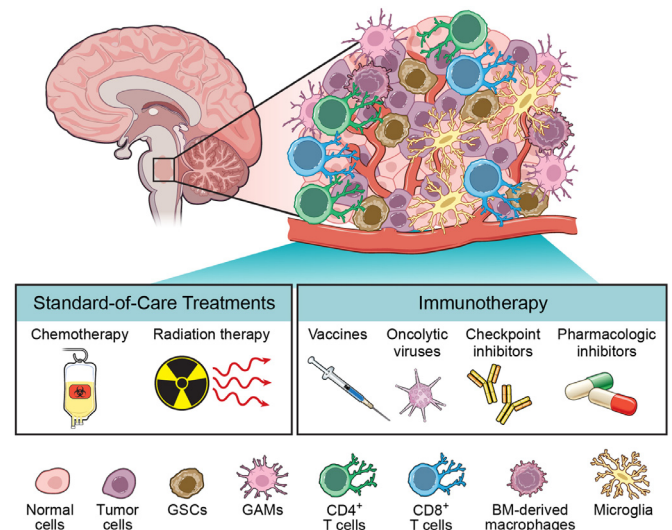


Fig. 3. DMG is a heterogeneous tumor with diverse treatment modalities. The mainstay of treatment for DMG is fractionated RT with intermittent chemotherapy. Emerging immunotherapies, such as vaccines, oncolytic viruses, checkpoint inhibitors, and pharmacologic inhibitors, have been met with preclinical success and are undergoing clinical trials.

mice [83]. The mechanism underpinning this synergistic effect is that upon administration of TMZ, there are compensatory homeostatic cytokines reducing the T-cell activation threshold and induction of proliferation which in turn heightens immune responses [83]. While TMZ has shown improved survival in GBM characterized by a O6-methylguanine-DNA methyl-transferase (MGMT) promoter methylation, this drug has not been successful in patients with DMG. In addition to TMZ, many clinical trials for DMG treatment have involved myelosuppressive chemotherapy, including a high-dose chemotherapy trial with stem-cell rescue [84,85]. These trials have not shown improvement in overall survival.

Although chemotherapy has proven to be ineffective in the treatment of DMG, there are diverse perspectives about whether or not to use chemotherapy [86,87]. A recent survey found that 44% of physicians suggested that patients undergo adjuvant chemotherapy after RT [88]. Overall, the efficacy of chemotherapy is limited because DMG tumors characteristically have an intact BBB which makes CNS penetration difficult [89]. The administration of therapeutics that increase BBB permeability along with chemotherapy could enhance the efficacy of chemotherapy for DMG.

1.4.2. Immune factors involved in DMG tumor growth and development

Malignant brain cancers commonly undergo infiltration of immune cells. In the tumor, immune cells become polarized, acquire new properties that support tumor growth, and facilitate the secretion of a variety of growth factors and pro-angiogenic cytokines [90]. While interactions between brain tumor and immune cells tend to be diverse, secretory immune cells are not a part of the DMG milieu [91]. Hierarchical clustering analysis has demonstrated that DMG cultures resemble human neural precursor cells and secrete substantially fewer cytokines and chemokines than GBM cells [42]. On a transcriptional level, DMG cell cultures do not express cytokine genes and only express a limited number of chemokines and growth factors that may contribute to the immune infiltration in the tumor [42]. Interleukin-2 (IL2) is an example of a key cytokine that has decreased expression in DMG. Reduced IL2-mediated signaling is indicative of low levels of T lymphocytes that may have antitumorigenic properties. The immunosuppressive growth factor transforming growth factor beta (TGF- β) and the neutrophil chemotactic factor IL8 are two of the few factors readily expressed in DMG [40]. TGF- β contributes to the tumor's non-inflammatory nature, while IL2 strongly induces CXC chemokine receptor 2 (CXCR2).

Recently, it has been reported that DMGs have higher expressions of leukocyte-attracting chemokines CXCL1, CXCL2, CXCL5, and CXCL6 compared to other pediatric high-grade gliomas (pHGGs) [40]. These chemokines exert their biological effects by interacting with the CXCR2 receptor which is also overexpressed in DMGs [40]. Interestingly, although DMGs have been universally considered "immune

cold," DMG tumors express a subset of chemokines and growth factors. RNA sequencing and gene expression analysis has shown that patient-derived DMG cell cultures express high levels of CCL2, CCL5, CSF1, CXCL12, and PDGFA [42].

CCL2 and CCL5 are both chemoattractant proteins critical for monocyte and lymphocyte chemotaxis. CCL2 plays a key role in regulating the migration of TAMs, myeloid derived suppressor cells (MDSCs), and regulatory T cells (Tregs) to tumor sites [92]. Despite the ability for CCL2-expressing DMG cells to regulate migration, MDSCs and Tregs have not been reported to infiltrate DMG tumors which may be attributed to underlying tumor cell-intrinsic factors. Similarly, CCL5 has been associated with CD8+ T cell infiltration in various carcinomas; however, DMG tumors contain very few infiltrating T-cells [42].

CSF1 is a cytokine associated with M2 TAMs. M2 TAMs are characterized by a pro-tumorigenic phenotype that is immune regulatory and anti-inflammatory [42]. Despite DMG tumor cells producing CSF1, TAMs in DMG tumors cannot be distinguished by the M1 (classically activated) or M2 (alternatively activated) macrophage phenotype [93].

PDGFRA is a genetic alteration that is common in DMG. The contributions of PDGFRA to DMG tumor development has been described in preclinical models. PDGFRA activation induces multiple cellular activities including cell proliferation, migration, transformation, and survival [94]. PDGFRA activation and p53 loss have been found to induce neoplastic transformation in human embryonic stem cells and induce GEMMs of brainstem gliomas [21,43].

CXCL12 is a chemoattractant expressed in various tumors and its receptor, CXCR4, is overexpressed in at least 20 different cancers types, including breast cancer, ovarian cancer, and melanoma [95]. The CXCL12/CXCR4 interaction contributes to tumor cell growth, survival and angiogenesis in cancers and is critical for homing and metastatic mediation of secondary growth in organs [96]. However, the role of CXCL12/CXCR4 axis in tumor growth and organ development has often been debated [97,98].

1.4.3. Recent immunotherapeutic discoveries

Immunotherapy has become an emerging therapeutic option for DMG and several of these approaches have been implemented in clinical trials (Table 2). However, this specific treatment poses a number of challenges since the DMG tumor microenvironment is immunosuppressive and has impaired immune surveillance. When combined with additional therapeutic interventions, immunotherapies can enhance the endogenous immune response and activate the intrinsic antitumor response.

Peptide vaccines involving the injection of tumor-specific antigens stimulate immune response and have the potential to provide clinical benefit for tumors and produce an antitumor effect [99]. Ochs

Table 2
Recent and current immunotherapy clinical trials for DMG.

Intervention	Administration	Clinical Trial	Tumor Eligibility	Phase	Recruitment Status
C7R-GD2 CAR T cells	IV	NCT04099797	Newly diagnosed; recurrent/refractory	I	Recruiting
GD2 CAR T cells	IV	NCT04196413	Newly diagnosed	I	Recruiting
B7-H3 CAR T cells	Intratumoral; intraventricular	NCT04185038	Newly diagnosed	I	Recruiting
Autologous dendritic cell vaccines (ADCV)	Intradermal	NCT02840123	Newly diagnosed	I	Unknown
DNX-2401	Intratumoral	NCT03178032	Newly diagnosed	I	Active, not recruiting
DC vaccine/TMZ	IV	NCT03396575	Newly diagnosed	I	Recruiting
cemiplimab (REGN2810) + RT	IV	NCT03690869	Newly diagnosed; recurrent/refractory	I	Recruiting
H3K27M vaccine + nivolumab	IV	NCT02960230	Newly diagnosed	I	Recruiting
Pembrolizumab	IV	NCT02359565	Recurrent/refractory	I	Recruiting
APX005M [CD40 agonistic Ab]	IV	NCT03389802	Newly diagnosed; recurrent/refractory	I	Recruiting
indoximod + RT/TMZ	PO	NCT04049669	Newly diagnosed	II	Recruiting
IL12 adenovirus	Intratumoral	NCT03330197	Newly diagnosed	I/II	Recruiting

Abbreviations: IV (intravenous); PO (oral).

et al. designed a peptide vaccine (27-mer peptide) targeting the H3K27M mutation in a major histocompatibility complex-humanized DMG mouse model [100]. Administration of this vaccine resulted in a cytotoxic T cell and T helper (Th) cell-mediated immune response that was induced by interferon-gamma (IFN γ).

Dendritic cell (DC) vaccines have also been studied as a potential immunotherapy for DMG. Because DCs are robust antigen-presenting cells (APCs) situated at the interface between the innate and adaptive immune system, they are capable of inducing antigen-specific T cell responses and functioning as cellular adjuvants [101]. DC vaccines are made by leukapheresis of monocyte cells, exposing these cells to tumor-cell antigens, and administering the cells as a vaccine. DC vaccines have been reported as a potential therapy for DMG and have been shown to recruit tumor-specific T cells in several studies [101,102].

The effectiveness of peptide and DC vaccines may be countered by the lack of immune cell infiltration that is characteristic of DMG. As a result, the potential for DMG to stimulate T lymphocyte expansion and recruitment must be explored since very few T lymphocytes exist around the tumor site.

Recent studies have shown that oncolytic virus modification can be used to destroy tumorigenic cells. Martínez-Vélez et al. has reported that the administration of Delta-24-RGD (DNX-2401 in the clinic), a replicative oncolytic adenovirus, in the pons has a good safety profile and results in a significant increase in the survival of DMG mouse models [103]. Specifically, DNX-2401 administration induces T lymphocyte infiltration in the delineated tumor mass, leading to immune recognition of the tumor site. A preclinical study has explored the antitumor efficacy of DNX-2401 viral infection and replication *in vitro*. Intratumoral administration of DNX-2401 was non-toxic in both immunodeficient and immunocompetent mouse models of DMG and led to a significant increase in animal survival [102]. Additionally, DMG tumor cells have shown sensitivity to Newcastle disease virus (NDV) and infecting DMG cell lines with NDV decreases cell viability. Clinical studies where NDV was administered to patients with DMG showed an increase of IFN γ secreting T cells in the tumor microenvironment indicating that NDV could be used as a potential immunotherapy [104].

Delivery of CD40L-expressing adenovirus (Ad-CD40L) has been found to induce immune-mediated antitumor response [105]. Conventionally, CD40/CD40L interactions stimulate an immune response through Th cells, provide proliferation and differentiation signals to B cells, and facilitate APC maturation leading to the induction of cytotoxic T lymphocytes. Studies reported that Ad-CD40L induces both adaptive and humoral antitumor immune responses [106]. As a part of the adaptive immune response, Ad-CD40L induced infiltration of CD45⁺ cells composed of CD4⁺ and CD8⁺ T cells, CD19⁺ B cells, and NK cells. Increased IgG levels was indicative of an active humoral immune response upon Ad-CD40L delivery. Ad-CD40L administration also upregulated genes involved in signaling pathways of neuroinflammation, T and B cell signaling, Th activation, and DC saturation [105]. The study also explored the effects of replication competent adenovirus since the use of standard adenoviral vectors can be associated with proinflammatory off-target effects. The replication competent adenovirus proved to be a more effective cancer treatment and mitigated proinflammatory cytokine and chemokine production. Upon delivery, cure rates in patient-derived DMG xenograft mouse models were up to 50% and weight loss in these mice was minimal [105].

Identification of DMG neoantigens has spurred the development of adoptive T cell therapies and immune checkpoint inhibitors. A recent study found that DMGs express B7-H3, a checkpoint molecule, which can be targeted using chimeric antigen receptor (CAR) T cell therapy. CAR T cells directed at B7-H3 produce IFN γ , IL2, and tumor necrosis factor-alpha (TNF- α) to induce tumor cell killing [106]. CAR T cell therapy has also been developed for DMG tumors with mutated

H3K27M and high expression of GD2 (disialoganglioside-glycolipid antigen) devoid of neurotoxicity and deleterious side effects [107]. Similar to the B7-H3 CAR T cell therapy, CAR T cells directed at GD2 produce IFN γ , IL2, and TNF- α to induce tumor cell killing and cause inflammation in neuronal tissue [107]. This therapy has been approached with hesitance because of its adverse effects such as ventriculomegaly *in vivo* which is consistent with hydrocephalus in patients.

Pharmacologic inhibition of lysine specific demethylase (LSD1) is a potential immunotherapy for DMG because it is selectively cytotoxic and promotes an immune gene signature associated with NK cell killing [37]. LSD1 is a potential target in DMG because LSD1 regulates the histone mark H3K4me1 which is known to be enriched in intergenic regions of DMG and LSD1 may control access to enhancers of genes important in DMG pathology [37].

While checkpoint inhibitors against PD-L1 and PD1 have shown great promise as a cancer immunotherapy, minimal efficacy has been demonstrated when using PD-L1 for DMG therapy since DMG is immunologically cold with low endogenous expression of PD-L1 [36]. In order for PD-L1 to be an effective treatment for DMG, it must be combined with adjuvant therapies to enhance systemic immune response. Previous retrospective studies involving children diagnosed with DMG who received a combination of RT and nivolumab (PD-1 inhibitor) showed that these patients experienced slightly improved prognoses with no off-targeting or mitigating factors compared to those patients only received RT [108].

Immune modulating monoclonal antibodies (mAbs) have become novel oncologic therapies. The efficacy of immune modulating antibody MDV9300 (pidilizumab) in pediatric hematological malignancies has prompted its effects to be evaluated on DMG [108]. Pidilizumab augments endogenous antitumor response by acting as an immune modulator for humanized IgG1 mAb and having secondary inhibitory effects on PD-1. A clinical study involving the administration of pidilizumab to nine DMG patients observed an improvement in survival by 6.3 months compared to patients who underwent RT alone [109]. It is important to note that additional clinical trials involving the safety, tolerability, and benefit of pidilizumab must be conducted in order to confirm the aforementioned findings.

1.4.4. Outstanding questions

While this review comprehensively highlights the immune microenvironment of DMG, advanced knowledge about immune cell subpopulations that modulate DMG progression is imperative. This knowledge can be acquired from studies exploring the interplay between immune cell subpopulations and the tumor microenvironment.

Given the intratumoral heterogeneity of DMG, there is a paucity of information on the immune microenvironment associated with different DMG subgroups. This lack of information raises the question: how does tumor location and molecular identity of DMG impact the immune landscape? The recent characterization of DMG subgroups is the tip of the iceberg and more work must be done to explore how DMG's epigenetic and genetic expression attunes the immune microenvironment [6]. These findings are critical since the development of novel immunotherapies hinges on understanding the ways in which tumor heterogeneity influences the immune landscape.

2. Conclusion

Despite our knowledge on cell intrinsic mechanisms driving tumor growth, there is a paucity of information on the immune landscape of pGGs, let alone DMG [110]. To date, there is insufficient research on the tumor immune microenvironment of DMG, yet this scope of research is imperative to developing effective therapeutic strategies. Current research has revealed that aggressive brain tumor subtypes, such as DMG, have high myeloid signatures, low expression

of immune modulatory factors, and minimal infiltration of lymphocytes and NK cells responsible for tumor elimination. The lack of inflammatory cells in the DMG tumor microenvironment has made immune surveillance nonexistent. Currently, immunotherapy approaches for DMG have limited success because DMG has a low mutation burden and immunosuppressive microenvironment. The absence of antigen presenting cells, downregulation of the major histocompatibility complex, and presence of BBB have dampened anti-tumor immune responses, rendering most DMG tumors immunologically 'cold' and unresponsive to the use of existing immunotherapies alone [35]. However, when combined with adjuvant therapies, immunotherapies have the potential to elicit an antitumor response. Immunotherapy in combination with a neoadjuvant therapy can potentially eliminate cancer cells while sparing critical structures within the brain. Continued research endeavors involving the immune microenvironment and the emergence of innovative immunotherapies provide a critical background on future studies related to DMG.

Search strategy and selection criteria

Data for this review were identified by searches of PubMed and Scopus, and references from relevant articles using the search terms "diffuse midline glioma", "diffuse intrinsic pontine glioma", "H3K27M", "immune microenvironment", "immunotherapies", "DIPG/DMG mouse models" and related terms. Searches were also formed based on investigator names. Abstracts and reports from meetings were excluded. Only articles published in English were included. Articles published in English between 1993 and 2021 were included. Articles were chosen according to their relevance to the theme as perceived by the authors.

Contributors

GP and CH conceptualized the review and were the primary authors revising the final manuscript. GP wrote the manuscript. DH and AB reviewed and edited the manuscript and provided insight on the figure design. All authors read and approved the final version of the manuscript.

Declaration of Competing Interest

All authors declare that they have no competing interests.

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Supplementary materials

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