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The Nucleolus as a Polarized Coaxial Cable in which the rDNA Axis is Surrounded by Dynamic Subunit-Specific Phases

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Summary

In ribosomal DNA (rDNA) repeats, sequences encoding small subunit (SSU) rRNA precede those encoding large subunit (LSU) rRNAs. Processing the composite transcript and subunit assembly requires >100 subunit-specific nucleolar assembly factors (AFs). To investigate the functional organization of the nucleolus, we localized AFs in S. cerevisiae in which the rDNA axis was "linearized" to reduce its dimensionality, thereby revealing its coaxial organization. In this situation rRNA synthesis and processing continue. The axis is embedded in an inner layer/phase of SSU AFs that is surrounded by an outer layer/phase of LSU AFs. When subunit production is inhibited, subsets of AFs differentially relocate between the inner and outer layers, as expected if there is a cycle of repeated relocation whereby "latent" AFs become "operative" when recruited to nascent subunits. Recognition of AF cycling and localization of segments of rRNA make it possible to infer the existence of assembly intermediates that span between the inner and outer layers, and to chart the cotranscriptional assembly of each subunit. AF cycling also can explain how having more than one protein phase in the nucleolus makes possible "vectorial 2-phase partitioning" as a driving force for relocation of nascent rRNPs. Since nucleoplasmic AFs are also present in the outer layer, we propose that critical surface remodeling occurs at this site, thereby partitioning subunit precursors into the nucleoplasm for post-transcriptional maturation. Comparison to observations on higher eukaryotes shows that the coaxial paradigm is likely to be applicable for the many other organisms that have rDNA repeats.

Declaration of Interests: The authors declare no competing interests.

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Author contributions

A.T. conceived the project, constructed most of the strains, did the microscopy and wrote the paper. J.W. helped plan the pulse-chase experiment and contributed to the writing. J.M. performed the pulse-chase experiments. G.M. performed preliminary experiments to evaluate the titer of rRNA. V.P. contributed to construction of some strains. L.C. prepared most of the figures and contributed to performing many experiments. C.P. prepared Figures 3B. The other co-authors contributed to earlier stages of figure preparation.

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Graphical Abstract



eTOC Blurb

Tartakoff *et al.* document the coaxial organization of the yeast nucleolus in which the rDNA axis is surrounded by two dynamic layers/phases of subunit assembly factors that alternate between latent and operative states during each transcription cycle.

Keywords

Nucleolus; yeast; rDNA; ribosomal subunit biogenesis; assembly factors; nucleolar subcompartments; nucleolar domain separation; 2-phase partitioning

Introduction

Transcription of the ~ 150 rDNA repeats on chromosome XII in *S. cerevisiae* produces the composite RNA precursor of ribosomal subunits (SSUs, LSUs). The primary transcript undergoes folding, modification, processing and compaction, along with assembly of ribosomal proteins, within the specialized environment of the nucleolus^{1–4}. The nascent transcript associates with many factors (AFs) that promote its assembly and - as we suggest - govern the phase compatibility and vectorial transport of maturing particles. The large majority of AFs are implicated in assembly of only one type of subunit (SSU, LSU). We therefore refer to SSU AFs and to LSU AFs.

knobs are precursors of the "processome" that includes selected SSU AFs and ribosomal proteins, as well as snoRNAs^{6–8}. Cleavage in ITS1 and release of the knobs are largely co-transcriptional in rapidly-growing yeast. Continued elongation, assembly into LSU intermediates, and cleavage within the 3' external transcribed spacer at site B_0 then releases LSU precursor particles (Figure 1B). Assembly intermediates have been purified and characterized at near-atomic resolution^{2, 4, 9}.

The intermixed subcompartments of nucleoli are thought to constitute distinct protein phases^{10, 11}. They have traditionally been referred to as the FC (fibrillar center), the surrounding DFC (dense fibrillar component), and the more peripheral GC (granular component). rDNA transcription occurs along the FC/DFC interface, and the DFC and GC are considered responsible for "RNA processing" and "subunit assembly" or "late processing," respectively. Prior to the localization of multiple AFs, these insightful conjectures have lacked an extensive biochemical counterpart^{12–17}.

In nucleoli that are minimally active in transcribing rDNA - e.g. extrachromosomal nucleoli of *Xenopus* oocytes - selected "marker" AFs, while remaining contiguous, become segregated from each other¹⁸. Segregation can also be detected upon inhibition of rRNA synthesis or treatment with chemotherapeutic agents. It is not known whether segregated domains have the same composition as subcompartments in cells that are making subunits. In yeast, some subregions of the nucleolus have also been detected, e.g.¹⁹.

We have used yeast AFs as potential markers of subcompartments, to ascribe functions to subcompartments, and to chart the itineraries of subunit precursors. We conclude that the axis is surrounded by an inner layer/phase and an outer layer/phase that are enriched in SSU AFs and LSU AFs, respectively. This coaxial model is based on observations that 1) Many AFs, processing nucleases, and successive segments of rRNA localize to distinct layers, 2) The distribution of many AFs between layers depends on whether new subunits are being assembled, and 3) Stratification of AFs is orthogonal to the polarity of rDNA.

Our approach takes into consideration much of the *in vivo* complexity of subunit production. We also integrate biochemical and structural studies of subunit maturation with the concept of "vectorial phase partitioning," according to which particulate intermediates can be stable only if their surface matches the surrounding milieu. Correspondingly, cotranscriptional events endow particles with surfaces that are densely-covered with AFs. As post-transcriptional steps in subunit genesis entail further remodeling, we hypothesize that the make-up of their surfaces is critical for entry into the nucleoplasm.

Results

The rDNA Axis is Colinear with Hmo1

To study the structure of the nucleolus, we arrested the cell cycle at metaphase using cells that carry a methionine-repressible *MET3-CDC20* chromosomal integrant. Such cells are

grown in methionine-free medium prior to reincubation in methionine-containing medium to deplete Cdc20 (Figure 1C). After 3 hr, DNA has replicated, the nuclear envelope (NE) extends across the bud neck, bulk chromatin transits between the mother and bud, and the bud is nearly as large as the mother²⁰. To detect rDNA, we used strains in which each of the > 100 rDNA repeats is adjacent to lacO repeats ("pan-lacO" rDNA). We also visualized fluorescent fusions of proteins implicated in rDNA organization, including the HMG-like protein, Hmo1, that associates with rDNA^{21–23}.

Nucleolar markers remain in the mother domain in arrested cells (Figure 1D)²⁴. Moreover, especially when chromatin (Htb2-mRFP) is in the bud, rDNA and Hmo1 form an elongated filament in the mother domain (Figure 1E), whose length appears comparable to the length of rDNA in cycling cells²⁵. Histones (Htb2-mRFP) can be detected along the gnarled Hmo1-GFP-positive filament, and occasionally highlights the end of the filament, presumably near the right telomere of chromosome XII (Figure 1A, F). The pan-lacO signal and Hmo1-Apple are nearly coincident (Figure 1G).

In arrested cells, Hmo1-Apple also aligns with other rDNAPs (rDNA-associated proteins): topoisomerases (Top1, Top2), proteins that contribute to rDNA recombination (Csm1, Lrs4), condensins (Smc4, Ycs4), a cohesin (Scc3), Fob1 and the rDNA transcription factors, Rrn6, Rrn7 and Rrn9 (Figure S1A and not shown).

In cycling cells that express the mRFP-tagged snoRNP protein, Sik1/Nop56, the pan-lacO signal forms a few dots throughout the nucleolar crescent (Figure 2A, panels 1–2). The dots are likely cross-sections of the folded rDNA filament^{11, 26}.

rRNA Synthesis and Processing Continue in Arrested Cells

To learn whether rRNA transcription continues in arrested cells, we performed ³H-uridine pulse-chase experiments. As shown in Figure 1J, synthesis and cleavage of rRNA do continue, as compared to controls. There is no indication of alternative cleavage at site A3, as occurs upon stress or other conditions leading to slow growth²⁷.

Nucleolar Assembly Factors and rRNA Segments Localize to Coaxial Layers

In the following sections we localize a physically and functionally diverse group of AFs, both when they are operative (when associated with nascent subunits) and also when they are latent (when they are not associated with nascent subunits) (Figures 2–3, Figure S2). Latency has seldom been investigated²⁸; however, we envisage it as a recurrent intermediate during each cycle of rDNA transcription. We have approximated this state by studying cells treated with cycloheximide. These binary distributions (Table 1) provide a basis for reasoning with regard to sequential AF engagement during rRNA transcription. We began by focusing on "nucleolar" AFs, i.e. GFP-tagged proteins that are concentrated in the nucleolar crescent and are much less evident in the nucleoplasm and cytoplasm.

In cycling cells that express both the LSU AF, Mak11-GFP, and Sik1-mRFP, the distribution of these AFs generally overlaps throughout the nucleolar crescent (Figure 2A panel 3), although inhomogeneities can be seen, perhaps due to lack of transcription of some rDNA

repeats^{29, 30}. Panels (3–6) of Figure 2A illustrate the distributions of additional AFs that localize to the crescent, the nucleoplasm, or to the cytoplasm.

To follow the distribution of GFP-tagged AFs more accurately we used arrested cells in which Sik1-mRFP in the mother domain is elongated (Figure 1H). As explained below, it is surrounded by an outer layer. A layered distribution of AFs can also be seen as cycling cells progress through metaphase (not shown).

Individual AFs that function in assembly of both 40S and 60S subunits localize to the inner layer (Figure 2B, Figure 3B). These AFs include all snoRNP proteins that we have examined (5/5), the two DExD/H-box proteins, Dbp3 and Prp43, and Rrp5, that binds ITS1³¹.

Surprisingly, subunit-specific AFs have either of two distinct localizations (inner *vs* outer layers) and these localizations correspond to the two subunits:

SSU AFs that bind the 5'-ETS or to SSU sequences (Table S1) overlap extensively with the inner layer that is highlighted by Sik1-mRFP (21/21 examined) (Figure 2C, Figure 3B, Figure S2, Tables 1A/1B).

LSU AFs that associate with each domain of 25S rRNA^{2, 32, 33} are along the elongated outer layer that flanks the inner layer (27/27 examined) (Figure 2D–E', Figure 3B, Figure S2). The characteristic gap in their fluorescent signal can be tortuous but is visible in > 75% of cells for which Sik1-mRFP (or Utp30) is elongated. In further affirmation of the importance of the outer layer for LSU assembly, the Rrb1 chaperone of a LSU ribosomal protein is also concentrated in the outer layer³⁴ (Figure S2). The outer layer aligns closely with the NE (Figure 2F).

The distributions of key nucleases are characteristically different from each other: Rcl1 (that can cut at site A2 *in vitro*³⁵) and Rrp17 (that resects sequences within ITS2³⁶) are along the outer layer. Rnt1 (that cleaves site B_0 as well as precursors of U3 and other snoRNAs) localizes to the inner layer (Figure 3B)³⁷. Utp24 cuts at A₁ and may cut at A₂^{38, 39}; however, we have not localized it (see STAR Methods).

There thus are four coaxial layers (Figure 2G/H).

In parallel *in situ* hybridization studies of arrested cells, we find that rRNA sequences upstream of A_2 in ITS1 localize primarily to the inner layer, while sequences from ITS2 localize to the outer layer - Figure 3C (1–3).

Organization of the Nucleolus When Subunits are not Produced

To investigate the importance of ongoing subunit assembly for rDNA organization and stratification of AFs, we eliminated synthesis of ribosomal proteins for 30 minutes using cycloheximide, an inhibitor of translation elongation whose impact is rapid and reversible. It seems likely that addition of cycloheximide to growing cells will perturb most stages of maturation of nascent rRNPs since ribosomal proteins are added during many steps of assembly^{2, 4, 40–42}. Correspondingly, 35S precursor rRNA is known to be present upon

treatment of cells with cycloheximide but rRNA processing intermediates appear to be absent^{40, 41, 43, 44}.

In arrested cells, cycloheximide causes the pan-lacO signal and multiple rDNAPs to condense within 30 min, generally forming a cluster (Figure S1B, C). Moreover, the condensed pan-lacO (and Hmo1) signal retains contact with the Sik1, which appears reduced in size (Figure S1). Deletion of topoisomerase 1 also condenses the pan-lacO signal (Figure S1D).

To identify the latent distributions of nucleolar AFs, we treated cycling cells that expressed the LSU AF, Mak11-GFP, and Sik1-mRFP with cycloheximide for 30 min. By contrast to their intermixing in cycling cells (Figure 2A panel 3), after treatment Mak11-GFP forms a massive arc outside the compacted inner mass of Sik1-mRFP (Figure 3A). Within 30 min ~ 80% of cells are affected and this distribution continues for at least 3 hr. Comparable outer *vs* inner domain separation is seen when cells are exposed to the translation elongation inhibitor, anisomycin⁴⁵ (Figure 3A) and in arrested cells exposed to cycloheximide (Figure S3).

Since AFs turn over slowly, we expect that cycloheximide has only minimal impact on their abundance⁴⁶. It therefore seems plausible to attribute the effects of cycloheximide primarily to the sudden absence of newly-synthesized ribosomal proteins. Inhibition of both translation and rRNA synthesis (by removal of sugar⁴⁷) also causes segregation of Mak11-GFP *vs* Sik1-mRFP, but without having the green signal enclose the red. Inhibition of synthesis of ribosomal proteins and rRNA synthesis (with rapamycin⁴⁰) or inhibition of RNA polymerase 1 (with BMH-21⁴⁸), causes lesser and less uniform color separation over 30–60 min. Similar observations were made using thiolutin, that inhibits RNA polymerases 1, 2 and 3⁴⁹ (not shown).

The following paragraphs describe the generality of the impact of cycloheximide on AFs, as summarized in Table 1. Two subsets of AFs are designated either with the suffix, - Ou (if they remain <u>outside/outer layer +/- cycloheximide</u>) or the suffix, - In (if they remain internal/inner layer +/- cycloheximide). The suffix -F (facultative) designates AFs that relocate from the inner to the outer layer or *vice versa* when cycloheximide is added. Images of the distributions of 43 AFs are in Figure 3B and Figure S2. In no case does treatment with cycloheximide cause AFs to relocate to the chromatin-filled nucleoplasm or cytoplasm.

Each of the snoRNP proteins, as well as Dbp3 and Prp43 - that also are required to produce both SSU and LSU - remains associated with the inner layer. For the snoRNPs, this could ensure their ability to modify nascent segments of SSU and LSU rRNA⁵⁰.

Two responses are seen for SSU AFs: Many of these factors relocate from the inner layer to the outer layer, while a limited subset (Dbp4, Efg1, Nop6, Nop9, Nsr1) remains along the inner layer.

An inverse pair of responses is seen for LSU AFs - a limited subset relocates to the inner layer (Dbp6, Npa1/Urb1, Npa2/Urb2, Rsa3), while most remain along the outer layer. The chaperone, Rrb1, also remains along the outer layer (Figure S2).

Rcl1 and Rrp17 remain along the outer layer, while Rnt1 remains in the inner layer. By contrast, Rrp5 is comparable to SSU-F AFs, relocating to the outer layer.

Upon treatment of arrested cells, the *in situ* hybridization signals for ITS1 and ITS2 become nearly coincident (Figure 3C (row 4, + CHX)) and are condensed, as for rDNAPs (Figure S3B). Such colocalization is expected since precursor 35S rRNA is not processed in this situation.

The challenge - which we address in the Discussion - is to fit these observations on the distributions of both AFs and rRNA into a model of the contranscriptional itinerary followed by subunit precursors.

Nucleoplasmic Assembly Factors are in the Outer Layer

In cycling cells, a subset of AFs (AF^{npl}s) is conspicuous throughout the nucleoplasm and is less evident in the nucleolar crescent. This group comprises AFs that associate with preribosomes in the nucleolus and travel with them to the nucleoplasm. For the LSU this group includes the GTPases, Nog2/Nug2 and Nug1, and the dynein-like ATPase, Rea1, as well as Alb1, Arx1, Bud20, Ipi1 and Rix1 (Figure 3D, Figure S5). Judging from the literature, Ipi3, Rsa4 and Sda1 are also present². The only SSU AF that we find to be conspicuous throughout the nucleoplasm is Slx9, that is also visible in the cytoplasm (Figure 3D - lower right).

The distributions of Ipi1, Nog2 and Rix1 in cycling cells are illustrated in Figure 3D (row a). In arrested cells (row c), these proteins are again visible throughout the nucleoplasm and, interestingly, along the outer layer. Indeed, all additional LSU AF^{npl}s that we have followed (Arx1, Bud20, Nug1, Rea1) are also present both in the nucleoplasm and along the outer layer in arrested cells (Figure 2I and not shown).

Cycloheximide treatment has little impact on the distribution of Ipi1, Nog2 and Rix1 between the nucleolar crescent and nucleoplasm, both in cycling cells and after arrest (Figure 3D row (b) *vs* A and row (d) *vs* row (c), Figure S5). Arx1, Bud20 and Rea1 also are not obviously affected (not shown).

Interestingly - although AF^{npl}s are both in the nucleoplasm and along the outer layer - the histone, Htb2, is not found along the outer layer (Figure 3D - panels (e), Figure 2I). Moreover, many AF's that localize primarily to the outer layer (e.g. LSU-Ou AFs) are not visible in the nucleoplasm (Figure 2 and 3B, Figure S2).

Cytoplasmic Assembly Factors Do Not Relocate Upon Inhibition of Subunit Assembly

In a survey of cytoplasmic GFP-tagged AFs [Drg1^L, Efl1^L, Jjj1^L, Lsg1^L, Ltv1^S, Ngl2^L, Nmd3^L, Nop8^L, Rei ^L, Rio2^S, Sdo1^L and Yvh ^L] we see no indication of relocation into the nucleus upon addition of cycloheximide (Figure S5). [Superscripts indicate subunit specificity.]

Nucleolar Assembly Factors and rDNAPs Leave the Nucleolus

Since many AFs redistribute when subunit assembly is inhibited and since they may normally relocate during each cycle of transcription (see below), we asked whether AFs can normally access the entire nucleus. For this purpose, we developed an <u>intra</u>nuclear shuttling assay based on the observation that when the nuclei of mating partners fuse, their nucleoli remain separate until the first anaphase (Figure S4)⁵¹. All AFs that we have tested (58 SSU and LSU AFs, 9 rDNAPs and 5 snoRNP proteins) do equilibrate between the nucleoli over the 2 hrs required for the assay (Table S2). This group includes several AFs that do not relocate between the inner and outer layers when cells are treated with cycloheximide. This mobility is critical for understanding the itinerary of AFs during each transcription cycle.

Discussion

Our goal has been to learn whether the repertoire of AFs can be used to identify subcompartments of the nucleolus, to attribute function to them, and to chart the itinerary of each subunit during its assembly. These observations show that AFs are distributed concentrically around the rDNA axis and that that the localization of distinct subsets of AFs depends on whether they are engaged in making subunits. It has therefore been possible to formulate a model according to which subunit assembly intermediates transfer between concentric layers and to rationalize the presence of distinct protein phases within the nucleolus.

Broad Features of Topography

The nucleolus and chromatin do not obviously intermix and may constitute distinct phases^{52, 53}. Indeed, AFs do not intermix with chromatin even in the absence of subunit assembly (Figure 3A/B, Figure S2). Immiscibility may also account for the traces of AFs along the entirety of the NE (Figure 2A panel 3, Figure 3, Figure S2). Moreover, selected AFs do bind NE proteins and nucleoporins^{54, 55}.

Dynamic Stratification of Assembly Factors

rDNA and rDNAPs form an axis that is surrounded by an inner layer that contributes to the construction of SSUs and an outer layer that includes AFs that are dedicated to assembling LSUs. Table 1 summarizes the distributions of AFs in cells that are making subunits and in cells that are not. Two assumptions underly our interpretations.

Since SSU-F and LSU-F AFs relocate when subunit assembly is interrupted, we assume that they relocate as part of their recycling during each transcription cycle, being primarily in the operative state in the layers where they normally are seen, and being in their latent state, transiently, when not associated with rRNPs (Figure 4). It seems reasonable to think that SSU-In and LSU-Ou AFs - although they do not visibly relocate - also cycle between operative and latent states.

The realization that AFs cycle calls attention to the significance of the colocalization of latent SSU-F and latent (as well as operative) LSU-Ou AFs in the outer layer. This suggests

that both groups of AFs are progressively recruited from this common reservoir when binding sites emerge along nascent rRNA.

Transcription begins within the inner layer, so one might expect that the SSU knobs would be removed within that compartment. If fact, it is only when transcription has reached well into sequences that encode the LSU RNAs that they are removed - (site (b) in Figure 5A)⁵. This could make sense if the nascent rRNP had relocated from the inner layer to the outer layer at this point, considering that the nuclease Rrp17, as well as many LSU AFs, localize to the outer layer (Figure 3B). (The nuclease, Rcl1, is also in the outer layer; however, there is dispute as to whether it normally cuts within ITS1.) These AFs could be added to nascent subunits either just before arrival or upon relocation. We correspondingly assume that a segment of the nascent transcript transfers ("lifts-off") from the inner to the outer layer prior to being cleaved and releasing the processome itself. Corresponding displacement is not seen in EM spreads, presumably because of the hypotonic alkaline conditions used for their preparation. In support of the proposed transfer event, *in situ* hybridization data document the shift from inner layer localization to outer layer localization using probes complementary to ITS1 *vs* ITS2 (Figure 3C).

Latent AFs along the inner layer must have characteristic(s) that ensure their proximity to the axis. A minimal hypothesis is that proximity reflects the relative off-rate of their association with the axis and that mutual affinities among these AFs become massively cooperative due to the juxtaposition of rDNA repeats⁵³. There are two such groups of AFs: SSU-In (Dbp4, Efg1, Nop6, Nop9, Nsr1) and LSU-F (Dbp6, Npa1, Npa2, Rsa3 - the "Npa1 complex"). Latent AFs along the outer layer accordingly lack affinity for the axis, yet cannot intermix with chromatin.

Given the invariant localization of SSU-In AFs to the inner layer, they must be along the inner layer prior to transcription of rRNA. They therefore could help retain the relatively 5' segments of transcripts with which they associate (Table S1). Indeed, Dbp4 and Efg1 bind nascent rRNA before formation of the processome and both they and the other members of this group are released from transcripts as elongation continues into the 3'-minor domain of 18S rRNA^{56–59}. LSU-F AFs are also found in the inner layer upon cycloheximide treatment and may help retain nascent LSU transcripts within the inner layer. Consistent with such a role, they form a complex that can be recovered in an early precursor of LSUs^{60, 61}.

Steps of Cotranscriptional Assembly

The EM spread of nascent rRNA transcripts in yeast (Figure 5A, panel 1)⁵ and the author's interpretive diagram (panel 2) sketch the growth of the nascent rRNA. Terminal SSU knobs appear only when transcription has reached the end of SSU rRNA (arrow (a) in Figure 5A), consistent with recent structural studies⁶².

Based on the binary information summarized in Table 1 and published observations^{2, 4, 58, 63,} we propose step-wise coordination between elongation of transcripts, their association with distinct AFs, and their transfer between the inner and outer layers. In this cyclic process, we propose that AFs are recruited to nascent transcripts, thereby becoming operative, and ultimately are released to latent pools.

In the single cycle diagrammed in Figure 5B, all AFs begin in their latent states (as after cycloheximide) - frame (0). We have not included snoRNP proteins since they always localize to the inner layer. The key steps are as follows:

Transcription of the 5'-ETS (red horizonal line in frame (1)) leads to association with SSU-In AFs along the inner layer (small red circles in frame (2a)) as well as recruitment of UtpA and UtpB AFs (large red arrow in frames (1–2b)) from the outer layer.

These events and synthesis of ITS1 (frame (2a) are followed by synthesis of initial LSU sequences (frames (2a-b)). The presence of ITS1 allows recruitment of Rrp5 from the outer layer (frame (2a)). Rrp5 binds SSU precursors and is required for knob formation, thereby explaining why knobs do not appear during earlier steps of 18S transcription.

Further recruitment of SSU-F AFs from the outer layer, formation of the characteristic terminal knob (pink, then red in frames (2a-b)).

Binding of the Npa1 complex of latent LSU-F AFs (small green circle in frame (2b)) to nascent rRNA/rRNPs (green horizontal line in frame (2b)) generates early LSU intermediates.

After further elongation, sequences including ITS1 transfer to the outer layer (frame (3) and Figure 5A2/3) along with SSU-F AFs, whereupon ITS1 is cleaved, and the processome (including the 20S SSU rRNA) is severed from the 5'-ETS (frame (3))⁷. LSU-F AFs (small green circles) also may transfer to the outer layer at this time as indicated. AFs that dissociate from the 5'-ETS and from SSU precursors (SSU_I) in the outer layer can then be recruited to the inner layer. The driving force for transfer is discussed below.

The nascent LSU segment remains along the outer layer during further elongation, cleavage (by enzymes including Rrp17), and particle maturation through a sequence of states (frame (4))^{2, 3, 32}. In this process, the intermediates recruit latent LSU-Ou AFs from the outer layer. When transcripts extend far enough to include site B_o they are cut by Rnt1, in the inner layer (frame (4)). They then undergo surface remodeling along the outer layer (see below).

When no longer associated with nascent rRNPs, LSU-F AFs return to the inner layer and LSU-Ou AFs remain along the outer layer, thereby resetting the coaxial distribution of AFs as in frame (0).

An alternative schematic summarizing AF flux and assembly is in Figure S6.

Energy Considerations for Transfer

When transcription begins, the nascent rRNA that elongates in the inner layer is expected to recruit 5'-ETS AFs and SSU-F AFs whose latent forms are intrinsically stable along the outer layer. The potential energy characteristic of their (mis)localization to the inner layer (Figure 5C/D) therefore could subsequently promote return of the maturing SSU precursor particle to the outer layer. This consideration may rationalize the presence of the massive size of the 5'-ETS once it becomes laden with AFs. Correspondingly, if LSU-F AFs relocate from the inner layer to the outer layer, this mislocalization could drive their ultimate

downhill return to the inner layer. Such a "2-phase vectorial partitioning model" is the only model that rationalizes the importance of there being more than a single protein phase in the nucleolus.

Post-Transcriptional Steps of Assembly

Following cleavage at sites A₀, A₁, and A₂, the 90S processome precursor is converted to a pre-40S particle that arrives in the nucleoplasm^{7, 64}. For the LSU, upon termination of transcription and cleavage at B_o, precursors also are remodeled prior to exit from the nucleolus^{9, 33, 65, 66}. A key step is the removal of Ytm1 and other AFs by the AAA ATPase, Rea1³³. Subsequently, Alb1, Arx1, Nog2 and Rsa4 assemble on this intermediate, forming the Nog2 particle⁹. Based on the steady-state localization of these proteins (Figure 3D, Figure S5), these Nog2 particles appear to spend only a short time in the nucleolus and then exit to the nucleoplasm.

Remodeling by Rea1 alters the surface distribution of both AFs and rRNA domains of pre-60S particles. We therefore propose that these events allow LSU precursors to become compatible with the nucleoplasmic phase, into which they can be diluted. This remodeling seems likely to occur in the outer layer, where Ytm1 and multiple other AF^{npl}s are found. In this view, the importance of remodeling is analogous to the entry of exportins into nuclear pores⁶⁷. The overall transit of subunit precursors thus can be represented by four consecutive cycles (Figure 6).

Relevance to Other Organisms

In prokaryotes lacking rDNA repeats and in mitochondria, there are few AFs and a nucleolus is not evident⁶⁸⁻⁷⁰ It therefore seems plausible that the appearance of rDNA repeats in evolution cooperatively promoted mutual associations among AFs, that in turn constituted the nucleolus⁶⁸.

For yeast, we conclude that there are three coaxial nucleolar subcompartments. In higher eukaryotes only few proteins have been assigned to different subcompartments^{11, 14, 71, 72}. On the basis of their relative distance from rDNA and a few protein homologies, we propose that the classical FC, DFC and GC correspond roughly to the yeast axis, inner and outer layers (Tartakoff *et al.* in preparation).

Earlier studies have not addressed the possible subunit specificity of subcompartments. The present observations indicate that the functional significance of subcompartments pertains to the coordinated assembly of both nascent subunits, that each subunit is largely assembled in a distinct layer/phase, that assembly intermediates transfer between the layers/phases, and that latent AFs cycle between them.

In higher eukaryotes, extrusion of the 5' segment of nascent rRNA from the FC/DFC interface into the DFC requires the disordered domain of the Nop1 homolog, fibrillarin, in the DFC¹¹. The present analysis emphasizes vectorial 2-phase partitioning for transfer between layers, and a variant of this process for transfer into the nucleoplasm. This provides a framework for understanding the logic that governs genesis of ribosomal subunits in the context of the organization of the nucleolus.

In most higher eukaryotic cells, rDNA repeats are found on several chromosomes, cleavage of precursor rRNA is post-transcriptional, and nucleoli have little contact with the NE. There seems no reason to expect these considerations to interfere with coaxial organization.

RESOURCE AVAILABILITY

Lead Contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Alan Tartakoff (amt10@case.edu)

Materials Availability

To request strains, protocols, further data or any images generated in this study, please contact the lead contact.

Data and Code Availability

To request related data or any images generated in this study, please contact the lead author.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Cells and Cell Culture

Yeast cells were grown to A600 < 1 in complete synthetic medium (CSM) - or variants of CSM - with 2% glucose at 23°C with constant shaking unless indicated otherwise. They were studied with the OD^{600} as < 1.0. Strain constructions were by standard methods. All strains were from a S288C or W303 background.

To localize nucleolar proteins, we used the Invitrogen/Life Technologies S288C-based strain collection in which each protein carries a C-terminal GFP(S65T)-tag. In nearly all cases the tagged proteins are unique chromosomal integrants, implying that - for the large majority that are essential - the tagged copies are functional. Some proteins could not be studied since corresponding GFP fusion strains are not in this collection or because the corresponding signals were too weak. Since this strain collection does not include a strain with tagged Nop1, we localized GFP-Nop1 by expressing it from a corresponding *URA3*/CEN plasmid. The Apple-tagged version of Hmo1 was generated by integrative transformation. The Invitrogen/Life Technologies strain collection does not include tagged Utp24 and we have not been able to generate such a strain. Many constructions required crosses of strains from the GFP collection with a partner that expressed Sik1-mRFP (ATY1513) to produce the diploid strains that were used for experiments with cycloheximide.

To study metaphase^{Cdc20} cells, GFP-tagged strains were crossed with a strain that expressed either Hmo1-Apple or Sik1-mRFP and carried the *MET3-CDC20* cassette (ATY10567, ATY10343). The resulting diploids were sporulated. Spores that arrested upon transfer to methionine-containing medium were grown in methionine-free medium and used for imaging after 3 hr in medium containing methionine (–/+ a further incubation for 30 min with addition of cycloheximide). Replating assays showed that survival was excellent upon subsequent return to medium without methionine.

Strains in which AFs were tagged at their C-terminae were from Invitrogen. They are not listed individually. Corresponding diploid strains were constructed by crossing with ATY1513 (MATa Sik1-mRFP), with ATY10567 (MATa Hmo1-Apple), ATY3847 (MATa Htb2-mRFP) or with ATY3196 (MATa mRFP-HDEL), or with equivalent strains in which p*MET3-CDC20* had been integrated by transformation with pAT1520. To generate metaphase^{Cdc20} strains, these diploids were sporulated.

To evaluate the reversibility of the change of AF distributions caused by cycloheximide, strain ATY8300 (Mak11-GFP, Sik1-mRFP) was exposed to cycloheximide for 30 min in growth medium (CSM-glucose) and then sedimented, washed twice in growth medium and reincubated with shaking in growth medium. Samples were removed over 3 hr and photographed. At the end of cycloheximide treatment > 90 % of cells (n = 200) showed the characteristic outer/inner layer distribution of Mak11-GFP and Sik1-mRFP. In representative experiments, the corresponding figures during the chase were 81 +/- 15% (1 hr), 31 +/- 16% (2 hr) and 15 +/- 13% (3 hr) (n = 3). In parallel experiments, the distribution of Utp30-GFP normalized over a comparable period of time.

To evaluate the impact of transferring cells to a medium without glucose, rapidly growing cells were sedimented, washed twice in growth medium lacking sugar and then examined on pads lacking sugar.

METHOD DETAILS

Imaging

0.5-1 µl samples of pellets of living cells were applied to 1.5% agarose pads on microscope slides including medium identical to that in which they had been incubated (e.g. with inclusion of 100 µg/ml cycloheximide). Through-focal series were examined in all cases. Superresolution imaging did not obviously improve the resolution of the inner layer vs outer layer distribution of AFs.

For imaging after cycloheximide treatment, at least 50 cells were examined in each of 3–5 replicate experiments. Typically, three independent fields of cells were scored by comparing the distribution of the GFP-tagged AF to the distribution of condensed inner layer marker, Sik1-mRFP. Independent blinded observers assigned images of the GFP-tagged proteins to categories: a) broadly overlapping with the condensed Sik1-mRFP, or b) showing a more cortical distribution (external to Sik1-mRFP). Each tagged AF had a characteristic localization (inner layer or outer layer) that was evident in >80% of cells examined (detailed tabulations can be provided upon request). The fluorescent signals that overlapped with the inner layer marker often had a complex internal structure, likely dictated by the compacted filament of rDNA.

To learn whether additional strategies could be used to stop addition of ribosomal proteins, we also examined the consequences of transferring yeast to 37°C, abruptly changing carbon source, deliberately overexpressing single AFs, or growing cells to near-saturation. Each of these conditions perturbs the distribution of Mak11 and Sik1 by comparison to their distribution under normal growth conditions (OD₆₀₀ < 1.0, 23°C, 2% glucose), but the end

point is much more variable than upon addition of cycloheximide. In no condition do these AFs relocate to the nucleoplasm.

After cell cycle arrest, we examined cells for which the elongated Sik1-mRFP signal in the mother had an axial ratio of at least 3 and independent blinded observers assigned images of the GFP-tagged proteins to categories: a) broadly overlapping with Sik1-mRFP, or b) showing a cortical distribution, i.e. two parallel faces separated by an obvious gap whose transverse dimension was comparable to the width of the Sik1-mRFP-positive domain. The contour of the elongated segment of the nucleolus was often tortuous and did not lie uniformly in a single plane of section.

We typically examined through-focal 0.2 μ stacks of images from three equivalent fields, each with >25 cells to make these assignments. 3–5 replicate experiments were performed and >75% of cells fell into the predominant category (detailed tabulations can be provided upon request). For GFP-tagged proteins that overlapped with Sik1-mRFP, the green/red match often showed internal inhomogeneity, but there was no indication that the green signal included two parallel faces separated by a gap.

Samples of living cells were examined in a Deltavision RT epifluorescence microscope with an automated stage (Applied Precision, Inc) and a 100x oil immersion objective (Olympus UPlanApo 100x/1.40; ∞ /0.17/FN26.5). z-stacks were captured at 0.2–0.5 μ intervals using a CCD digital camera (Photometrics CoolSnap HQ). Out-of-focus light was removed using the Softworks deconvolution software (20 cycles) (Applied Precision, Inc).

Pulse-Chase Experiments

Synthesis and turnover of pre-RNA and rRNA were measured by pulse-chase analysis, with the following modifications. MET-CDC20 strain was transformed with pRS316 to allow for growth in media lacking uracil. Cells were grown at room temperature in synthetic media lacking methionine and uracil to $OD_{600}=0.98$, or grown in the same media to $OD_{600}=0.75$, and shifted for 3 hours to synthetic media containing methionine and lacking uracil, to arrest cells in metaphase, Final OD_{600} after 3 hours of shift was 1.08, comparable to cycling cells. Cells were pulse-labeled with [5,6-³H]-uridine to a final concentration of 20 uCi/ml (Perkin Elmer, cat # NET367) for 20 minutes (cycling cells) or 10 minutes (arrested cells). Pulse times were previously determined for each culture. Chase was performed with excess of unlabeled uridine (Acros Organics) to a final concentration of 2 mM, at time points 2, 5, 10, 30, and 60 minutes. Cells for each time point were harvested and frozen in dry ice.

RNA was extracted by breaking cells with glass beads in the presence of RNA buffer (500 mM NaCl, 200 mM Tris-HCl pH7.5, 10 mM EDTA) and phenol:chloroform:isoamyl alcohol (50:49:1), precipitated with 100% ethanol, washed with 70% ethanol, resuspended in 15 ul of RNAse-free water, and mixed with two volumes of sample buffer (8% formaldehyde, $1.3 \times$ MOPS buffer, 65% formamide, 0.02% xylene cyanol, and 0.1% bromophenol blue). Samples were subjected to electrophoresis on a 23-cm 1.2% agarose gel containing 6% formaldehyde and 1X MOPS buffer [1 mM sodium EDTA, 20 mM 3–(N-morpholino propane sulfonic acid pH 7.5, 8 mM sodium acetate)] for 24 h at 55 V or 4.4–6 h at 150 V with continuous recirculation of 1X MOPS buffer. RNAs were visualized using ethidium

bromide (0.5 μ g/mL). Following electrophoresis, gels were washed in 1X TBE for 10 min, and RNA was transferred from the gel to a Zeta-Probe blotting membrane (Bio-rad) by capillary action. The RNA was cross-linked to the membrane using UV Stratalinker 2400 (Stratagene). The membrane was exposed to the Biomax MS film (Carestream) using BioMax Transcreen LE Intensifying screen (Kodak), at -80° C for 30 days.

Shuttling Assays

Equal volumes of actively growing cultures were mixed, sedimented, resuspended in CSMglucose and 50 µl samples were applied to the surface of Petri dishes containing solid 2% agar-CSM-glucose. After 2 hr at room temperature, cells were washed off using growth medium, sedimented and observed on agarose pads. In these protocols, cell encounters, fusion and karyogamy are asynchronous.

Intranuclear shuttling was judged by determining whether – when one parent initially expressed a tagged AF – this signal had become equally visible at both extremities of the elongated zygotic nucleus. In order to restrict attention to relatively early events, we examined zygotes which showed no evidence of budding. 2–3 experiments were performed for each GFP-tagged protein. The possible contribution of nucleocytoplasmic shuttling was judged by following GFP-tagged AFs in crosses with a *kar1 15* mutant that delays nuclear fusion. This partner also expressed mRFP-HDEL, that served as an indicator of fusion of the parental cells and the lack of fusion of their nuclei. In all crosses, cells were examined only if the HDEL signal had equilibrated. Judging from our earlier studies, nuclei fuse in [*kar1* × wt] zygotes with a $t_{1/2}$ of ≈ 5 hr⁵¹.

Drug Stocks

Drug stocks were 100–1000x concentrated. They were prepared in water (cycloheximide) or in DMSO (all other drugs).

in situ Hybridization

For *in situ* hybridization, $\approx 6 \times 10^7$ cells at A₆₀₀ < 0.3 were fixed by addition of 1/10 volume of 37% formaldehyde for 15 min with shaking, sedimented, and further fixed by addition of 4% paraformaldehyde in PBS for 1.5 hr at room temperature with shaking. They were then washed 2x in 0.1 M potassium phosphate, pH7.5 with 1.2M sorbitol (buffer A). For spheroplasting, they were resuspended in 1% β -mercaptoethanol and 80 µg/ml freshly dissolved zymolyase 20T in 250 µl buffer A for 1 hr at 37°C. After addition of 1/500 volume of vanadyl complex (protect RNA RNase inhibitor, Sigma R7397) two washes with buffer A, and resuspension in 20–200 µl of buffer A, they were spotted for 30 min onto ethanolcleaned slides (Carlson Scientific, Inc. Printed Microscope Slides) pretreated with 0.1% poly-L-lysine. After two washes in buffer A, they received 70% ethanol for >30 min. After air drying, they received 50 μ l of 2 × SSC/10% formamide (solution B) for 5 min at room temperature before being transferred to the hybridization solution ($2 \times SSC/10\%$ formamide/10% dextran sulfate, overnight at 37°C with probe(s)) in a water-tight chamber. After two washes with hybridization solution lacking probe and a 15 min incubation in this solution at 37°C, they were transferred to solution B, stained with DAPI in solution B for 5 min, washed with B, mounted and examined.

QUANTIFICATION AND STATISTICAL ANALYSIS

Experimental verification was obtained by repeating experiments at least three times using independent clones of cells. As needed, standard deviations were calculated. Although all GFP-tagged strains originated from unique samples in the Invitrogen strain collection, multiple strains were derived from them.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Highlights

• The yeast nucleolus is organized as a coaxial cable around an axis of rDNA.

- The axis is surrounded by two layers/phases of assembly factors.
- Nascent subunit precursors pass from the inner to outer layer.
- Subunit assembly factors cycle between latent and operative states.



Figure 1. In Arrested Cells the rDNA Axis is Colinear with Hmo1 and Processing of pre-rRNA Continues

A) Organization of chromosome XII.

B) A single rDNA repeat, indicating segments that code for SSU and LSU rRNAs and the sites of binding (Rrp5) or potential cleavage (Rcl1, Rrp17, Rnt1).

C) Left: Overview of the consequences of activation of the anaphase promoting complex. Right: Arrested cells. The rectangle encloses a typical mother/bud pair.

D) Diagram of the position of chromosome XII and classical markers in arrested cells²⁴. For all panels, M: mother; B: bud. The scale bar in all figures is 5 microns.

E) Projected image of arrested cells that express the histone, Htb2-mRFP, and Hmo1-GFP. Strain: ATY10569.

F) Single image plane of an arrested cell showing that the elongated rDNA segment has a Htb2-mRFP at the distal extremity (*). The bracket designates the Hmo1-GFP-positive segment. Strain: ATY10569.

G) Single image planes of arrested cells that express pan-lacO-tagged rDNA (green) and Hmo1-Apple (red). Strain: ATY10682.

H) Comparison of the filamentous Hmo1-Apple (H) and pan-lacO (H') with the broader Sik1/Nop56-mRFP signal. In each case, the Sik1-mRFP domain includes a non-fluorescent central element (arrows) that approximately coincides with rDNA. Strains: ATY10342, ATY10747.

I) Scheme indicating that rDNA and rDNAPs define an axis internal to snoRNP proteins. J) To compare the synthesis and turnover of pre-rRNAs in cycling cells and arrested cells, metaphase^{Cdc20} cells carrying the *URA3* plasmid, pRS316, were pre-grown in medium lacking methionine and uracil. Half of the culture was transferred to synthetic media including methionine for 3 hours. Both samples were pulse-labeled for 10 min with [5.6-3 H]-uridine and chased with an excess of unlabeled uridine for the indicated times. Pre-

rRNAs and mature rRNA species are labeled. Due to long pulse, the earliest pre-rRNA processing intermediates (35S, 32S) had already been processed to intermediates. Strain: ATY10402 [pRS316]. Related to Figure S1.

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Figure 2. Nucleolar Assembly Factors Localize to Coaxial Layers

A) Distributions of AFs in Cycling Cells. In panels (1–5), Sik1-mRFP is included to define the crescent. Panels (1–2): pan-lacO dots are throughout the crescent. Panels (3–5) illustrate AFs that localize either to the crescent with a weak signal along the NE and a weak signal throughout the nucleoplasm (Mak11), to the nucleoplasm (Rea1), or exclusively to the crescent (Rrp9). In (3), the small boxes illustrate the single colors. Panel (6) illustrates Nmd3, that localizes largely to the cytoplasm, co-expressed with a marker of the NE/ER: mRFP-HDEL. Strains: ATY10659, ATY8300, ATY7833, ATY7838, ATY8119.

B) In arrested cells, the snoRNP, GFP-Nop1, colocalizes with Sik1-mRFP. The panels show the two colors separately or combined. Strain: ATY10412.

C) In arrested cells, the SSU AF, Efg1-GFP, colocalizes with Sik1-mRFP. Strain: ATY10383.

D) In arrested cells, the LSU AF, Mak11-GFP, surrounds Sik1-mRFP. Strain: ATY8297.

E) Comparison of the LSU AF, Ytm1-Apple, to the SSU AF, Utp30-GFP. (E') comparison

of Mak11-GFP to Utp30-CFP, all in arrested cells. Strains: ATY10589, ATY10400.

F) Comparison of LSU AFs, Ytm1-Apple and Mak11-GFP, to markers of the NE/ER: GFP-

HDEL and mRFP-HDEL, all in arrested cells. Strains: ATY10717, ATY10722.

G) Scheme of the coaxial organization of the nucleolar cable.

H/I) Schematic of Distributions of AFs in Cells that are Making Subunits.

Left) This two-phase diagram (T-diagram) depicts the inner and outer layers/phases as two separated horizontal bands (pink, green). Inner layer AFs are snoRNP proteins, Rpa subunits, Dbp3, Prp43, SSU AFs, Rrp5, and Rnt1. Among outer layer AFs are Rcl1 and many LSU AFs, including Rrp17. SSU AFs are red and LSU AFs are green. Proteins that contribute to both subunits have both colors.

Right) This related diagram includes the nucleoplasmic compartment in blue and the axis in yellow. As is described later in this text, multiple AFs that are conspicuous in the nucleoplasm (dark blue) are also detected in the outer layer for arrested cells. Htb2 is found both along the axis and throughout the nucleoplasm. By contrast, Hmo1 (green) is associated with the axis but is not detected in the nucleoplasm. Related to Figure S2.

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Figure 3. Localizations of Nucleolar Assembly Factors and rRNA

A) Cycling cells expressing Mak11-GFP and Sik1-mRFP were treated with cycloheximide or anisomycin for 30 min. Note the conspicuous domain separation of the two colors, with GFP surrounding the red signal. Before treatment, the two colors were extensively intermixed. Note that a faint GFP signal extends around the nucleus. Strain: ATY8112. B) Cells expressing the indicated AF-GFP fusions, as well as Sik1-mRFP, were either arrested (metaphase) or, when cycling, were treated with cycloheximide (+ CHX). In each case, we include both a 3-color image and an image of the GFP-tagged protein by itself. For the arrested cells, only the mother domain is included. The dotted circle indicates the cell perimeter in all figures. 16 further examples of SSU-F and LSU-Ou AFs are in Figure S2. C) To localize different portions of rRNA in arrested cells, we used fluorescent *in situ* hybridization. As illustrated for three representative cells (1–3), sequences upstream of cleavage site A2 in ITS1 emphasize the inner layer (green signal), while sequences within ITS2 have more external localization (red signal). The blue signal is DAPI. The final row of images (4) illustrates the impact of cycloheximide (30 min) before fixation. Note that the two signals have become coincident. Strain: ATY10402.

D) Nucleoplasmic AFs fill the outer layer but chromatin is absent. Rows (a-d): The distributions of three LSU $AF^{npl}s$ (Nog2, Ipi1, Rix1) are illustrated +/– Sik1-mRFP. Each protein is abundant in the nucleoplasm and can also be detected (weakly) in the nucleoplar crescent (bracket) where the interface with the nucleoplasm is often highlighted (row (a)).

The SSU AF, Slx9, that is also present in the cytoplasm, is included in the separate panel in the lower right. Upon arrest (row c), Nog2, Ipi1 and Rix1 continue to be visible throughout the nucleoplasm (asterisks) and along the outer layer (arrows). They do not coincide with Sik1-mRFP. When cycling cells are treated with cycloheximide (row (b) *vs* row (a)) these LSU AF^{npl}s still fill the nucleoplasm. It is unclear whether they become depleted from the region occupied by Sik1-mRFP (arrows).

In arrested cells, the nucleoplasmic signal persists, as does highlighting of the outer layer (arrows). It is not obvious that the intensity of this highlighting is accentuated by comparison to controls (row d *vs* row c). There is little or no overlap with the condensed Sik1-mRFP. Strains: ATY8105, ATY8126, ATY8280, ATY10770, ATY10774, ATY10785. Row (e). Cells expressing Mak11-GFP and Htb2-mRFP were arrested and imaged. Note the elongated domain (in the mother) in which the Htb2-mRFP-labeled axis is flanked by the GFP signal. Strain: ATY10157. Related to Figures S2 and S5.

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Figure 4. Differential Relocation of Subunit-Specific Assembly Factors

A) Three ovals at the left: In cells that are making subunits, both SSU-In and SSU-F AFs localize to the inner layer (first oval). When assembly is inhibited, SSU-F AFs relocate (red arrow) to the outer layer while SSU-In AFs remain in the inner layer. Second group of three ovals: LSU-Ou and LSU-F AFs concentrate along the outer layer when subunits are being produced. The LSU-F subset relocates (green arrow) to the inner layer when assembly is inhibited, while the LSU-Ou subset remains along the outer layer. The size of the symbols reflects the relative number of AFs in each group.

B) We hypothesize that each AF repeatedly cycles between an operative state and a latent state and that cycloheximide stops recruitment to the operative state.

C) We propose that relocation of SSU-F AFs from the inner layer to the outer layer upon addition of cycloheximide (and inverse relocation of LSU-F AFs) signifies that these AFs normally transit in the opposite directions during each cycle of transcription. Related to Figure S3 and Figure S2B.



Figure 5. Transcript Maturation, the Polarity of rDNA and Energy Relations

A) Transcript elongation. Panels (1–2) are modified from⁵. The rDNA and rRNA segments are color-coded: red for 5'ETS and SSU rRNA/DNA, green for LSU rRNA/DNA. Panel 1: EM of a spread of yeast rDNA during transcription. Note the horizontal rDNA axis and the lateral emergence of transcripts. A SSU terminal knob is circled in red, and a putative LSU knob is circled in green. ITS1 is indicated as (a). The removal of SSU knobs occurs at (b).

Panel 2: Once transcription reaches ITS1, SSU pre-knobs (pink) and knobs (red) appear. They persist until transcription has reached into LSU sequences.

Panel 3: Since cleavage at ITS1 is delayed well beyond the point at which the 3' extremity of the SSU rRNA coding sequences has been reached, we propose that removal requires transfer to the outer layer. This could allow them to undergo further maturation and, likely, to be cleaved by Rcl1 or Utp24. Further elongation, processing, and formation of particulate intermediates would also occur along the outer layer. Final cleavage occurs at site B_0 near the 3' extremity.

B) Suggested sequential processing of rRNA. See the text for a detailed description of these T-Diagrams. In frames (2a) and (3), the interruption of the perimeter of the large red circles designates progressive release of AFs. AFs that are required for both subunits are not included. The solid circular symbols imply that the indicated AFs are associated with maturing subunits. When not associated, the symbols have a white center.

C) Vectorial 2-Phase Partitioning. Schematic of the relocation of SSU-F AFs and LSU-F AFs. The upper two rows pertain to SSU maturation and the lower two rows pertain to LSU maturation. We consider the localization of these AFs after cycloheximide treatment to be an indication of the phase in which they are most stable (S). By contrast, once they become

associated with nascent transcripts, they localize to phases in which they are relatively unstable (U).

D) Energy Relations. During a single cycle, the present observations suggest that 5'-ETS AFs and SSU-F AFs begin in the outer layer and relocate to the inner layer as they load onto nascent rRNA. Phase transfer then allows them to return to the outer layer. This is energetically downhill. Reciprocally, the LSU-F AFs (green line) begin in the inner layer, but shift to the outer layer, perhaps in conjunction with transfer of SSU-Fs. At the end of the cycle, their downhill return to the inner layer resets the system for repeated use. The vertical arrows at the left designate the energy relations. Coupling of inner-to-outer flux of both SSU precursors and LSU-F AFs (states 2b/3) could make their reciprocal flux energetically neutral.

Related to Figure S6

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Figure 6. Proposed Steps of Translocation of Immature Subunits and Assembly Factors

Cycle I can be attributed to vectorial 2-phase partitioning. It is bidirectional since latent SSU-F and latent LSU-F AFs relocate in opposite directions between inner and outer layers. Only during this first cycle do the immature subunits include nascent rRNAs. Cycle II relies on surface AFs that make it possible for subunit precursors to intermix with chromatin. Cycle III involves binding to export factors (exportins, Mex67/Mtr2, Nmd3) that confer compatibility with the interior of the nuclear pore. Cycle IV corresponds to release into the cytoplasm and return of export factors. Related to Figure S2 and S6.

Table 1

Distributions of Assembly Factors

Specificity Identity	Property subset	Site of Accumulation			
		Operative*	Latent**	Names of Factors ^x	Suggested Function
Small Subunit AF	Constitutive SSU-In	Inner layer	Inner layer	Dbp4, Efg1, Nop6, Nop9, Nsr1	Retention in the inner layer
	Facultative SSU-F	Inner layer	Outer layer	Dbp8, Dhr2, Fyv7, Rrp7, Utp5 ^A , Utp6 ^B , Utp8 ^A , Utp9 ^A , Utp13 ^B , Utp15 ^A , Utp17 ^A /Nan1, Utp18 ^B , Utp19/Noc4, Utp21d ^B , Utp25, Utp30	SSU knob formation
Large Subunit AF	Constitutive LSU- Ou	Outer layer	Outer layer	Brx1, Cic1/Nsa3, Fpr3, Mak5, Mak11, Mrt4, Noc1, Noc2, Noc3, Nog1, Nop2, Nop4, Nop7, Nop13, Nop15, Nop16, Puf6, Rix7, Rrp1, Rrp17 ⁺ , Ssf1, Tif6, Ytm1	LSU knob formation
	Facultative LSU-F	Outer layer	Inner layer	Dbp6, Npa1/Urb1, Npa2/Urb2, Rsa3	Retention in the inner layer
Endo-nucleases	Constitutive	Outer layer	Outer layer	Rcl1	Release of SSU knobs ?
		Inner layer	Inner layer	Rnt1	Removal of full-length transcripts
Rrp5	Facultative	Inner layer	Outer layer	Rrp5	Binds ITS1
snoRNP	Constitutive	Inner layer	Inner layer	Gar1, Nop1, Nop5/Nop58, Nop10, Nop56/Sik1	Modify rRNA
Various	Constitutive	Inner layer	Inner layer	Dbp3, Prp43	Shared steps

This table summarizes the distributions of assembly factors, both in cells that are making subunits and in cells that have been treated with cycloheximide.

[#]The suffixes have the following meaning: -In (constitutively at the inner layer), -Ou (constitutively along the outer layer), -F (facultative, i.e. redistributes upon addition of cycloheximide).

*MetaphaseCdc20 cells. Cells were transferred into medium with methionine for 3 hr. By examining cells in which Sik1-mRFP was elongated, images were classified as to whether the GFP signal coincided with Sik1-mRFP.

** Cycling cells after treatment with cycloheximide for 30 min. Images were classified according to whether the GFP signal defined a cortical arc (outer layer) or overlapped extensively with the condensed Sik1-mRFP (inner layer).

^XThe superscripts designate SSU AFs that are part of the UTP-A or UTP-B subcomplexes.

⁺Rrp17 is an exonuclease that resects the 3' end of ITS2.

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER			
Chemicals					
Anisomycin	Sigma Chemical	A-9789			
BMH-21	MedChemExpress	HY-12484/CS-3557			
Cycloheximide	Sigma-Aldrich	C7698			
Rapamycin	Millipore	553211			
Thiolutin	N. Belcher, Pfizer	lot 1325-56-1			
Zymolyase 20T	MP Biochemicals	320921			
[5,6- ³ H]-uridine	Perkin-Elmer	cat # NET367			
dimethylsulfoxide (DMSO)	Fisher	D128			
glucose	Sigma	G8270			
NaCl	Sigma	\$7653			
EDTA	Sigma	E6511			
MOPS	Sigma	M1254			
formamide	Sigma-Aldrich	221198			
Agarose	Invitrogen	15510-027			
paraformaldehyde	Sigma-Aldrich	158127			
formaldehyde (37%)	Sigma-Aldrich	252549			
vanadate complex (protect RNA RNase inhibitor)	Sigma	R7397			
poly-L-lysine	Sigma-Aldrich	P4707			
dextran sulfate	Sigma	S8906			
xylene cyanol	Sigma-Aldrich	X4126			
bromphenol blue	Sigma-Aldrich	B0126			
Software and Algorithms					
SoftWorX deconvolution software	Applied Precision, Inc.	http://www.sussex.ac.uk/ gdsc/intranet/pdfs/ softWoRx%20user %20manual.pdf			
Excel (for calculating standard deviations)	Microsoft	N/A			
Experimental Models: Strains of S. cerevisiae					
S. cerevisiae panel of strains expressing single GFP-tagged proteins MATa His+ his3 1 leu2 0 ura3 0 met15 0	Invitrogen/Thermo Fisher	Yeast Deletion and Yeast GFP Collections			
MAT a Sik1-mRFP G418R	W-K. Huh	ATY1513			
MATa ss-mRFP-HDEL	this study	ATY3196			
MATa Htb2-mRFP	this study	ATY3847			
kar1 15 MAT a CAN1 RNR1 lys2 his3–11,15 ura3–1 trp1–1 leu2–3,112 RAD5 W303	R. Rothstein W2108–14C	ATY4263			
MAT a kar1 15 mRFP-HDEL	this study	ATY6618			
<i>MET3-CDC20</i> (SAC)	this study	ATY10402			
MAT a Hmol-Apple	this study	ATY10567			

REAGENT or RESOURCE	SOURCE	IDENTIFIER				
pan-lacO/rDNA MAT a leu2–3,112 trp1–1 can1–100 ura3–1 ade2–1 his3–11,15 ade2:: pAFS144-wtGFP (ADE2) rDNA::pTM-lacO50 (URA3) W303	T. Kobayashi, TMY3	ATY10658				
pan-lacO/rDNA Sik1-mCherry	As for ATY10658 Sik1- mCherry (KANr)	ATY10659				
MATa SAC Hmol-Apple pan-lacO	this study	ATY10682				
MATa SAC Hmo1-Apple pan-lacO	this study	ATY10688				
SAC Hmo1-Apple Utp15-CFP Mak11-GFP	this study	ATY10694				
SAC Ytm1-Apple mRFP-[pGFP-HDEL]	this study	ATY10717				
SAC Mak11-GFP mRFP-HDEL	this study	ATY10722				
MATa top1::HIS top4-2 can1-100 his3-11, 15 leu2-3, 112 trp1-1 ura3-1 W303	R. Rothstein, W1477–5B	ATY10730				
MATa SAC pan-lacO Sik1-mRFP	this study	ATY10747				
top1 top2-4 pan-lacO Sik1-mCherry	this study	ATY10795				
Oligonucleotides						
5'-CTTGCCCAGTAAAAGCTCTCATGCTCTTGC/3Cy3	IDT	ITS1 probe				
5'-CATTATACCTCAAGCACGCAGAGAAACCTC/3Cy5	IDT	ITS2 probe				
5'-AAAGAAGAAGAAGAAGAAGA AGAAGGATAAGA AGAAGGACAAATCCAACTCTTCTATTGGTGACGGT GCTGGTTTA-3'	Forward primer	for C-terminal tagging Hmo1 with Apple				
5'-TTATTATTATATTTATTTTAGAAAGACAGTAGAGT AATAGTAACGAGTTTGTCCGTCCATCGATGAATTCG AGCTCG-3'	Reverse primer	1				
Plasmids						
pKar2Frag-mRFP-HDEL (URA3/Yip)	N. Dean	pTi-kmRFP				
pSS-GFP-HDEL (URA3/CEN)	W. Prinz	PWP1055				
pMET3-CDC20 (LEU2/Yip22)	R. Uhlmann	p640				
pGFP-Nop1 (YCPLAC33 URA3/CEN)	C. Horigome	pNop1				
yoApple HIS3	K.Thorn/M.Garabedian	Apple tag				