

# Prospective Statewide Study of Universal Screening for Hereditary Colorectal Cancer: The Ohio Colorectal Cancer Prevention Initiative

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**PURPOSE** Hereditary cancer syndromes confer high cancer risks and require intensive surveillance. Identification of high-risk individuals among patients with colorectal cancer (CRC) needs improvement.

**METHODS** Three thousand three hundred ten unselected adults who underwent surgical resection for primary invasive CRC were prospectively accrued from 51 hospitals across Ohio between January 1, 2013, and December 31, 2016. Universal Tumor screening (UTS) for mismatch repair (MMR) deficiency was performed for all, and pathogenic germline variants (PGVs) were identified using multigene panel testing (MGPT) in those who met at least one inclusion criterion: MMR deficiency, diagnosed < 50 years, multiple primary tumors (CRC or endometrial cancer), or with a first-degree relative with CRC or endometrial cancer.

**RESULTS** Five hundred twenty-five patients (15.9%) had MMR deficiency. Two hundred thirty-four of 3,310 (7.1%; 16% of the 1,462 who received MGPT) had 248 PGVs in cancer susceptibility genes. One hundred forty-two (4.3%) had a PGV in an MMR gene, and 101 (3.1%) had a PGV in a non-MMR gene. Ten with Lynch syndrome (LS) also had a non-MMR PGV and were included in both groups. Two (0.06%) had constitutional *MLH1* hypermethylation. Of unexplained MMR-deficient patients, 88.4% (76 of 86) had double somatic MMR mutations. Testing for only MMR genes in MMR-deficient patients would have missed 18 non-MMR gene PGVs (7.3% of total PGVs identified). Had UTS been the only method used to screen for hereditary cancer syndromes, 38.6% (91 of 236) would have been missed, including 6.3% (9 of 144) of those with LS. These results have treatment implications as 5.3% (175 of 3,310) had PGVs in genes with therapeutic targets.

**CONCLUSION** UTS alone is insufficient for identifying a large proportion of CRC patients with hereditary syndromes, including some with LS. At a minimum, 7.1% of individuals with CRC have a PGV and pan-cancer MGPT should be considered for all patients with CRC.

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## INTRODUCTION

Lynch syndrome (LS) is the most common form of hereditary colorectal cancer (CRC). Prevalence of LS among patients with CRC has been estimated to be 2.8%-3.9%.<sup>1-4</sup> Previous Ohio studies proved that Universal Tumor Screening (UTS) for LS was feasible,<sup>1,2</sup> and professional societies now recommend this practice.<sup>5,6</sup> However, UTS is underutilized and only 15%-30% of patients are tested.<sup>7-9</sup> Implementation of UTS is important for LS identification and assessment for treatment options as the use of immunotherapy is US Food and Drug Administration–approved for microsatellite-unstable tumors.<sup>10</sup>

Germline testing for cancer predisposition was traditionally performed in high-risk families with striking cancer histories. The use of germline multigene panel testing (MGPT) among unselected patients with cancer has led to the identification of pathogenic germline variants (PGVs) causing highly penetrant cancer syndromes in seemingly low- or moderate-risk families. Additionally, PGVs are being found in families that do not fit the traditional phenotype of the associated syndrome.<sup>4,11,12</sup> Emerging data indicate that the prevalence of PGV among unselected patients with CRC is 9.9%-15.3%.<sup>4,13</sup>

## ASSOCIATED CONTENT

### Appendix

#### Data Supplement

Author affiliations and support information (if applicable) appear at the end of this article.

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## CONTEXT

### Key Objective

To improve identification of hereditary cancer syndromes in individuals with colorectal cancer (CRC), a statewide initiative was created to provide universal tumor screening for Lynch syndrome (LS) for 3,310 unselected patients with CRC (the largest cohort to date) and to provide germline pan-cancer multigene panel testing to selected patients with CRC to assess frequency and spectrum of cancer susceptibility gene mutations.

### Knowledge Generated

About 16% of patients with CRC had mismatch repair deficiency, and 7.1% had a germline pathogenic variant. Had universal tumor screening for LS been the only method used to screen for hereditary cancer syndromes, 38.6% of patients who tested positive would have been missed, including 6.3% of those found to have LS.

### Relevance

Pan-cancer multigene panel testing should be considered for all patients with CRC.

We formed a statewide initiative in Ohio to increase access to UTS and germline genetic testing for patients with CRC using MGPT.

## METHODS

Methods have previously been described in detail.<sup>11</sup> Fifty-one hospitals (Data Supplement) across Ohio participated in the Ohio Colorectal Cancer Prevention Initiative (ClinicalTrials.gov identifier: [NCT01850654](https://clinicaltrials.gov/ct2/show/study/NCT01850654)). This large-scale collaboration was led by The Ohio State University (OSU) Comprehensive Cancer Center. Participating hospitals were selected to include clinical centers with a high volume of patients with CRC, an affiliation with a high-volume hospital, or an interest in participation. Written informed consent was obtained from all participants. Institutional review board approval was obtained by the individual hospitals, National Cancer Institute Community Oncology Research Programs, or ceding review to the OSU Institutional Review Board (2012C0123). All study-related services were provided locally at no cost to participants.

### Participants

Three thousand four hundred seventy-one adults who underwent surgical resection for primary invasive colorectal adenocarcinoma in Ohio between January 1, 2013, and December 31, 2016, were prospectively enrolled. One hundred twenty-five patients were excluded (118 ineligible and seven withdrew). Ineligibility reasons included insufficient tumor material, ineligible pathology type, diagnosis made outside of the qualifying study period, and diagnosis made outside of Ohio. Tumor screening and germline testing were completed for 3,310 patients, representing 12.4% of CRC diagnosed in Ohio during the study period per the Ohio Incidence and Surveillance System (N = 26,692).

### Samples and Clinical Data

Blood and paraffin-embedded tumor block or unstained slides were obtained for each patient. Study pathologists confirmed tumor histology and marked areas with  $\geq 30\%$

tumor and normal adjacent tissue. DNA was prepared using standard methods.<sup>14</sup> Pathology reports were reviewed for all patients, and cancer history and first-degree relative cancer histories were provided. Three-generation pedigrees were obtained for patients with PGVs. Specific subsets of data from 963 patients have been previously described<sup>11,15-21</sup>; however, none of these reports included the complete UTS and germline results of the entire cohort.

### Tumor Screening for Mismatch Repair Deficiency

All tumors were assessed for mismatch repair (MMR) deficiency by microsatellite instability (MSI) testing and/or immunohistochemical (IHC) analysis at OSU, if not already completed in a Clinical Laboratory Improvement Amendments (CLIA)-approved laboratory for routine care. MSI testing was performed in a CLIA-approved lab at OSU using the Promega MSI Analysis System (version 1.2), which includes five mononucleotide repeat markers (BAT-25, BAT-26, NR-21, NR-24, and MONO-27), with  $\geq 2$  of 5 unstable markers classified as MSI-high (MSI-H), 1 of 5 unstable markers classified as MSI-low (MSI-L), and 0 of 5 unstable markers classified as microsatellite stable. Immunohistochemistry of the MMR proteins was performed in a research lab at OSU using the two-stain method as previously described.<sup>22</sup> Staining for all four MMR proteins was done as routine care for some patients and attempted for all study participants if MSI could not be performed or if the MSI and two-stain IHC results were discordant. Antibodies included MLH-1 Clone: Leica ES05 (Mouse: NCL-L-MLH1), MSH-2 Clone: Calbiochem FE11 (Mouse: NA27), MSH-6 Clone: Epitomics EP49 (Rabbit: AC-0047), and PMS-2 Clone: BD Pharmingen A16-4 (Mouse: 556415). Proteins with convincing stain in  $> 1\%$  of cells were considered present. Equivocal and weak stains were treated as present. Hypermethylation of the *MLH1* promoter was assessed using pyrosequencing<sup>23</sup> at four CpG sites when tumors were MSI-H and/or absent MLH1 and

PMS2 proteins on IHC if not already done for routine care, with  $\geq 15\%$  methylation classified as hypermethylated.

### Genetic Testing

Patients meeting selection criteria underwent germline MGPT for 25-66 cancer genes (Data Supplement). Those with MMR deficiency without *MLH1* hypermethylation had either ColoSeq (January 1, 2013-July 31, 2016) or BROCA (August 1, 2016-December 31, 2016) MGPT through the University of Washington's Genetics and Solid Tumor Laboratory using methods previously described.<sup>24,25</sup> Those with unexplained MMR deficiency underwent tumor sequencing of the MMR genes with ColoSeqTumor including loss of heterozygosity (LOH) analysis as previously described.<sup>26</sup> Those with MMR-proficient tumors or *MLH1*-hypermethylated tumors meeting clinical inclusion criteria underwent myRisk MGPT through Myriad Genetics Inc using methods previously described.<sup>27</sup> Clinical inclusion criteria were CRC diagnosed under age 50 years, a personal history of synchronous or metachronous CRC and/or endometrial cancer (EC), or a family history of a first-degree relative with CRC or EC. Patients with *MLH1* hypermethylation in their tumor were assessed for constitutional *MLH1* hypermethylation at OSU using extracted DNA from blood by pyrosequencing if they were diagnosed under age 50 and/or reported multiple LS-associated tumors.

Genetic counseling was provided to all patients with a PGV, and genetic counseling or testing was offered to all at-risk relatives of those with LS. Counseling was provided in-person or via telehealth by a study genetic counselor or Informed DNA. Relatives were enrolled for an additional year (through December 31, 2017) to ensure that all families had sufficient opportunity for cascade testing.

### Classification of Mutations

The variant interpretation system for Myriad Genetics Inc and the University of Washington has been previously described.<sup>11,15,17,18,28-32</sup> For tumor sequencing, cases were considered double somatic if two pathogenic or likely pathogenic somatic variants were identified or if one pathogenic or likely pathogenic somatic variant was identified with LOH. For patients with MMR-deficient tumors and a germline MMR variant of uncertain significance (VUS), tumors were assessed for additional MMR mutations or LOH to attempt to clarify the pathogenicity of the variant. Variants were reclassified as likely pathogenic when the tumor screening results supported pathogenicity and one additional pathogenic mutation was identified in the tumor using methods previously described.<sup>15</sup>

### Statistics

Descriptive statistics were provided. Sensitivity and specificity for MSI and IHC were calculated using standard methods from all completed tests. Positive predictive values and negative predictive values were calculated using population prevalence via MEDCALC statistical software.

Wilson score intervals with continuity correction were used to compute CIs.

## RESULTS

### Patients

Patient characteristics are presented in Table 1. The mean age of diagnosis was 60 years (range, 17-89 years), and participants were 52% male. Self-reported race was consistent with that of the state of Ohio: 89% White, 8% Black, 2% Other, and 1% did not report. Clinical stage of the CRC was not ascertained. Fifteen percent had an additional malignancy. The most common cancers reported in first-degree relatives were colon (18.2%), breast (16.3%), and lung (14.8%).

### Overall Results

See Figure 1 for study schema and overall results. Patient characteristics and family history are provided in the Data Supplement for those with PGVs.

### Tumor Screening for MMR Deficiency

See Table 2 for tumor screening results. Overall, 15.9% (525 of 3,310) had an MMR-deficient tumor(s). Of those who received MSI testing, 17.2% (490 of 2,846) were MSI-H, 0.4% (11 of 2,846) were MSI-L, and 82.4% (2,345 of 2,846) were microsatellite stable. Fourteen percent (464 of 3,310) did not have sufficient tumor or normal DNA for MSI testing. Of those who received IHC testing, 15.4% (509 of 3,301) had abnormal staining. Nine patients (0.3%) did not receive IHC testing. Twenty-four cases (0.8%) had discordant tumor screening (Table 3). Of cases with absent *MLH1*/PMS2, 80.3% (301 of 375) had *MLH1* hypermethylation. Eight of those had insufficient tumor for hypermethylation but had a *BRAF* mutation so *MLH1* hypermethylation was inferred.

### Constitutional *MLH1* Hypermethylation

Two of 58 patients (3.5%) meeting criteria for germline hypermethylation analysis were found to have constitutional *MLH1* hypermethylation of normal tissue (one diagnosed under age 50 and one with two LS-associated tumors). They are included among the patients with LS ( $n = 144$ ), but not those with a PGV in an MMR gene ( $n = 142$ ).

### Germline Genetic Testing

Of the entire cohort, 1,498 patients met criteria for germline testing. Testing was completed for 1,462 patients. Two hundred forty-eight PGVs or likely PGVs in cancer susceptibility genes were identified in 234 patients (16% of those tested [95% CI, 14.2 to 18.0] and 7.1% of the overall cohort [95% CI, 6.2 to 8.0]). The spectrum of PGVs is shown in Figures 2 and 3. One hundred forty-two patients (60.7% of those with a PGV [95% CI, 54.1 to 66.9], 9.7% of those tested [95% CI, 8.3 to 11.4], and 4.3% of the overall cohort [95% CI, 3.6 to 5.1]) had a PGV in an MMR gene (54 *MSH2*, 30 *MSH6*, 29 *MLH1*, 28 *PMS2*, and one *EPCAM*).

**TABLE 1.** Characteristics of the Cohort

Characteristic	No. (%)
No. of participants with complete testing	3,310
Sex	
Male	1,733 (52.4)
Female	1,577 (47.6)
Self-reported race	
Non-Hispanic White	2,955 (89.3)
Non-Hispanic Black	255 (7.7)
Hispanic or Latino	15 (0.5)
Asian	31 (0.9)
Native American or Alaskan Native	6 (0.2)
Others	24 (0.7)
Not reported	24 (0.7)
Age of current CRC diagnosis, years	
Diagnosed under age 29	33 (1)
Diagnosed between 30 and 39	157 (4.7)
Diagnosed between 40 and 49	529 (16)
Diagnosed between 50 and 59	877 (26.5)
Diagnosed between 60 and 69	916 (27.7)
Diagnosed between 70 and 79	561 (16.9)
Diagnosed between 80 and 89	216 (6.5)
Diagnosed over age 89	21 (0.6)
CRC site <sup>a</sup>	
Right colon	1,276 (38.5)
Left colon	923 (27.9)
Rectum or rectosigmoid	1,109 (33.5)
Unknown or not specified	111 (3.4)
MMR status	
MMR-proficient	2,784 (84.1)
MMR-deficient	525 (15.9)
Other self-reported malignancy	
Synchronous colon cancer	106 (3.2)
Metachronous colon cancer	39 (1.2)
Endometrial cancer	40 (2.5)
Female breast cancer	83 (5.1)
Ovarian cancer	12 (0.8)
Pancreatic cancer	2 (< 0.1)
Prostate cancer	65 (0.4)
Lung <sup>b</sup> cancer	36 (1.1)
Biliary cancer	2 (< 0.1)
Bladder cancer	25 (0.8)
Brain cancer	2 (< 0.1)
Kidney cancer	16 (0.5)
Small bowel cancer	10 (0.3)
Stomach cancer	1 (< 0.1)

(Continued in next column)

**TABLE 1.** Characteristics of the Cohort (Continued)

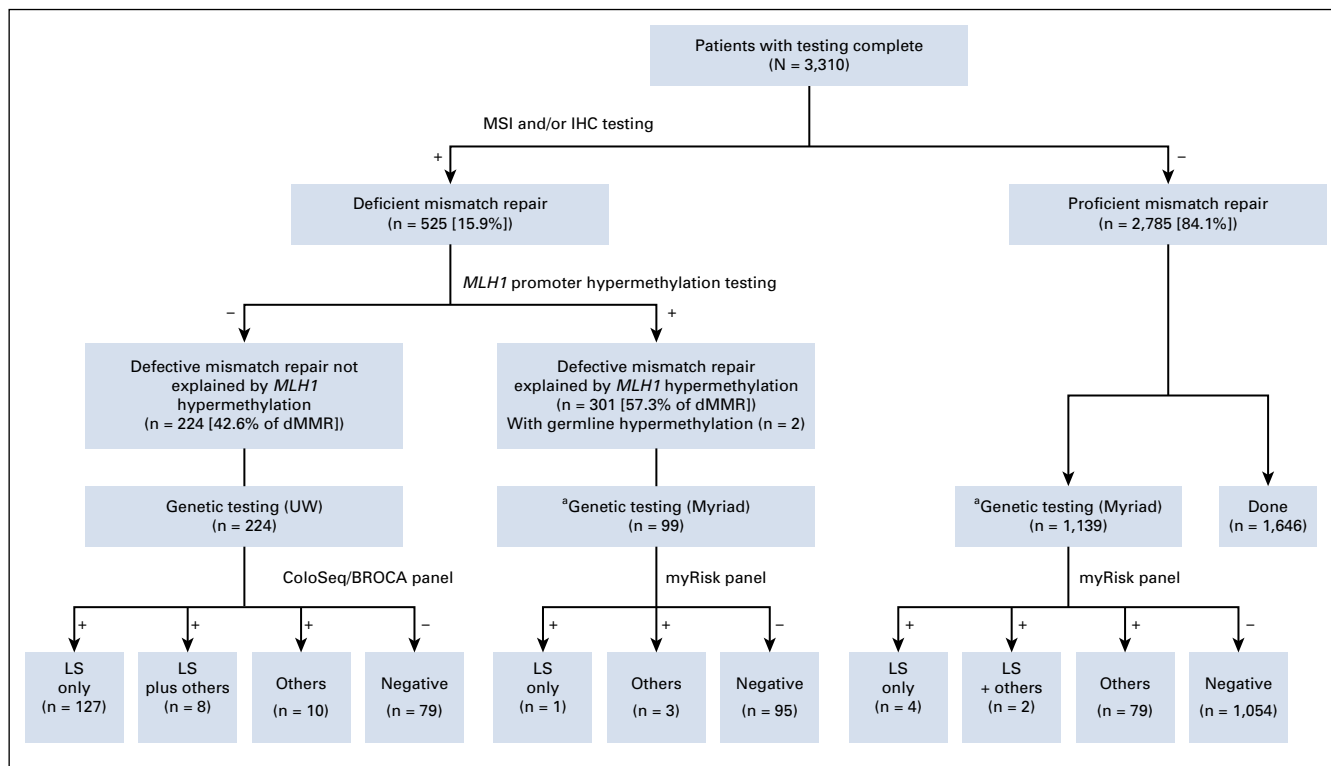
Characteristic	No. (%)
Ureter	4 (0.1)
None	2,800 (85)
Self-reported first-degree relative cancer history	
Colon cancer	602 (18.2)
Endometrial cancer	144 (4.4)
Breast cancer	541 (16.3)
Ovarian cancer	91 (2.7)
Pancreatic cancer	96 (2.9)
Prostate cancer	288 (8.7)
Lung cancer	489 (14.8)
Biliary cancer	12 (0.4)
Bladder cancer	72 (2.2)
Brain cancer	125 (3.7)
Kidney cancer	92 (2.8)
Small bowel cancer	4 (0.1)
Stomach cancer	75 (2.3)
Ureter	1 (< 0.1)

Abbreviations: CRC, colorectal cancer; MMR, mismatch repair.

<sup>a</sup>Cancers exceed participants because of synchronous primaries.<sup>b</sup>Cannot exclude primary from metastasis.

One hundred one patients (43.2% of those with a PGV [95% CI, 36.7 to 49.8], 6.9% of those tested [95% CI, 5.7 to 8.4], and 3.1% of the overall cohort [95% CI, 2.5 to 3.7]) had a PGV in a non-MMR gene (31 *MUTYH* [monoallelic], 11 *APC*, 10 *ATM*, 10 *CHEK2*, eight *MUTYH* [biallelic], six *APC* p.11307K, five *BRCA2*, four *BRIP1*, four *PALB2*, three *BRCA1*, three *CDKN2A*, two *NBN*, two *NTHL1* [monoallelic], one *BMPR1A*, one *GALNT12*, one *FANCM*, one *POT1*, one *RAD51D*, one *RPS20*, and one *SMAD4*), including four with two PGVs (one *ATM/BRIP1*, one *ATM/NBN*, one *ATM/CHEK2*, and one *RAD51D/MUTYH* [monoallelic]). Ten with LS had two PGVs (three *MSH2/CHEK2*, three *MSH2/MUTYH* [monoallelic], one *MSH2/FANCM*, one *MSH2/NTHL1* [monoallelic], one *MSH6/PALB2*, and one *PMS2/APC*) and were included among those with MMR PGVs and non-MMR PGVs. In the overall cohort, 15.9% (114 of 719 [95% CI, 13.3 to 18.8]) of patients diagnosed under age 50 had a PGV, consistent with our previously published data, which included a subset of these cases.<sup>11</sup>

Of patients with MMR-deficient tumors not explained by *MLH1* hypermethylation, 64.7% (145 of 224) had at least one PGV (93.1% [135 of 145] in the MMR genes). Of those with MMR-proficient tumors who underwent germline testing, 7.5% (85 of 1,139) had at least one PGV (95.3% [81 of 85] in non-MMR genes). Ninety-nine *MLH1*-hypermethylated cases underwent germline testing, and



**FIG 1.** Study schema. <sup>a</sup>Only patients who met the following clinical inclusion criteria: (1) diagnosed with CRC under age 50 or (2) personal history of synchronous or metachronous CRC or EC or (3) reported first-degree relative with CRC or EC. CRC, colorectal cancer; dMMR, deficient mismatch repair; EC, endometrial cancer; IHC, immunohistochemistry; LS, Lynch syndrome; MSI, microsatellite instability; UW, University of Washington.

four (4%) had a PGV (one *PMS2*, one *PALB2*, and two *MUTYH* [monoallelic]).

### Sensitivity and Specificity of MSI/IHC for LS Detection

Of those who found to have LS, 95.8% (138 of 144) had an MMR-deficient tumor. For MSI alone, the sensitivity was 92.9% (95% CI, 86.8 to 96.7), the specificity was 86.3% (95% CI, 84.9 to 87.6), the positive predictive value was 23.9% (95% CI, 22 to 25.9), and the negative predictive value was 99.6% (95% CI, 99.3 to 99.8). When equivocal stains were interpreted as intact, the sensitivity of IHC alone was 88.9% (95% CI, 82.6.8 to 93.5), the specificity was 87.9% (95% CI, 86.8 to 89.1), the positive predictive value was 25.2% (95% CI, 23.1 to 27.3), and the negative predictive value was 99.4% (95% CI, 99.1 to 99.6). When equivocal stains were interpreted as abnormal, the sensitivity of IHC alone was 92.4% (95% CI, 86.7 to 96.1), the specificity was 87.8% (95% CI, 86.7 to 89), the positive predictive value was 25.8% (95% CI, 23.8 to 27.8), and the negative predictive value was 99.6% (95% CI, 99.3 to 99.8).

### MMR Tumor Sequencing

Ninety-one patients had unexplained MMR deficiency (including two with LS who also had the absence of another MMR protein unrelated to their MMR PGV). Eighty-six patients had sufficient tumor for sequencing, and 88.4%

(76 of 86) were found to have double somatic MMR mutations. Fifteen patients' MMR-deficient tumor(s) remain unexplained including the five with insufficient tumor for sequencing. Clinical characteristics and tumor sequencing results for the patients with double somatic mutations and unexplained tumors were previously published.<sup>17</sup>

### Variants of Uncertain Significance

Five hundred twenty-eight (433 unique) VUSs were found in 28.9% (422 of 1,462) of patients who underwent germline testing (Data Supplement). The most common genes with VUS were *ATM* and *APC*. One hundred forty-four patients with 102 unique variants (23.6%; 144 of 422) had their VUS reclassified between 2013 and 2020. Fifteen patients had 13 unique variants that were upgraded to likely pathogenic or pathogenic (3% [13 of 433] of all variants and 10.4% [15 of 144] of all patients with reclassified variants), and 129 patients had 87 unique variants that were downgraded to likely benign or benign (20.1% [87 of 433] of all variants and 89.6% [129 of 144] of all patients with reclassified variants). Three patients with MMR-deficient tumors have a VUS in an MMR gene that could be pathogenic (Data Supplement).

### Cascade Genetic Testing

Of the 144 LS probands from 142 families, 92 (64.7%) had at least one relative participate in cascade testing. In total,

**TABLE 2.** Immunohistochemical Staining Results by Outcome

Patients	MLH1	MSH2	MSH6	PMS2	MSI-H	MSI-L	MSS	MSI ND	MLH1-hm	LS	Double Somatic
2,784	Present	Present	Present	Present	11	10	2,327	436	1	10	3
367	<b>Absent</b>	Present	Present	<b>Absent</b>	355	0	3	9	295	30	43
1	<b>Absent</b>	Present	Present	Present	1	0	0	0	0	0	1
1	<b>Absent</b>	Present	Failed	Failed	1	0	0	0	1	0	0
4	Equivocal	Present	Present	<b>Absent</b>	3	0	1	0	2	0	1
66	Present	<b>Absent</b>	<b>Absent</b>	Present	56	0	0	10	0	47	15
2	Present	<b>Absent</b>	Equivocal	Present	1	0	0	1	0	1	1
9	Present	<b>Absent</b>	Present	Present	6	0	0	3	1	6	2
1	Present	Equivocal	Equivocal	Present	1	0	0	0	0	1	0
1	Present	Equivocal	Present	Present	0	0	0	1	0	0	0
25	Present	Present	<b>Absent</b>	Present	20	1	1	3	1	20	4
5	Present	Present	Equivocal	Present	4	0	1	0	0	4	0
28	Present	Present	Present	<b>Absent</b>	25	0	2	1	3	22	4
1	Present	Present	Present	Equivocal	0	0	1	0	0	0	0
3	<b>Absent</b>	<b>Absent</b>	<b>Absent</b>	<b>Absent</b>	3	0	0	0	3	2	1
3	<b>Absent</b>	Present	<b>Absent</b>	<b>Absent</b>	3	0	0	0	2	1	1
9	ND	ND	ND	ND	0	0	9	0	0	0	0

NOTE. Some patient are represented in multiple columns (eg, LS and double somatic).

Abbreviations: LS, Lynch syndrome; MLH1-hm, MLH1-hypermethylation; MSI-H, microsatellite instability high; MSI-L, microsatellite instability low; MSS, microsatellite stable; ND, not done.

596 relatives underwent genetic counseling and testing and 223 had LS as follows: 105 of 242 (43.4%) first-degree relatives, 46 of 120 (38.3%) second-degree relatives, and 72 of 234 (30.7%) third-degree relatives and beyond. Lack of participation in cascade testing was accounted for by the following: proband unable (deceased or adopted) or unwilling to disclose the results to relatives, proband refused genetic counseling or genetic test result, and family declined testing.

Of the 144 patients with LS, 142 (98.6%) did not know that they had LS before they were diagnosed with cancer. However, 19 (13.3%) of these patients had a family member with a known diagnosis of LS before they were diagnosed with cancer, and their cancers were potentially preventable. It is unclear how many of them were aware of the LS diagnosis in their family and had chosen not to be tested or were unaware of the prior diagnosis in their family.

## DISCUSSION

To our knowledge, this is the largest study of UTS to date, including 3,310 patients with CRC from 51 hospitals throughout Ohio. Although the sensitivity of MSI (92.9%) was slightly higher than that of IHC (88.9%-92.4%) for identifying LS, MSI failed more often (14%, 464 of 3,310) than IHC (0.3%, 9 of 3,310) and concordance between the two tests was high (99.1%). Tumors with equivocal IHC stains were more likely to be MSI-H (9 of 14), and many were in patients with LS (6 of 14). Therefore, we

recommend that equivocal stains should be treated as abnormal or at least prompt the addition of MSI to help clarify the meaning. Overall, we prefer IHC to MSI since it can be performed in any pathology department, does not require a molecular laboratory, uses less tumor, and indicates which gene is nonfunctioning.

This study indicates that minimally, 1 of 14 (7.1%, 234 of 3,310) patients with CRC has at least one PGV in a cancer predisposition gene. Identification of a PGV provides the opportunity to prevent future cancers and sometimes allows for targeted treatment for their current cancer (PARP inhibitor or immunotherapy).<sup>10,32</sup> Importantly, 5.3% (175 of 3,310) of patients had PGVs in genes with therapeutic targets: 4.1% (136 of 3,310) MMR PGVs and 1.2% (39 of 3,310) homologous recombination-deficient PGVs. It also provides information for family members by facilitating cascade genetic testing that can lead to life-saving surveillance and risk-reducing surgeries.

Although UTS is still important for identifying immunotherapy eligibility, it is insufficient for identifying the majority of CRC patients with hereditary syndromes, including some with LS. Had UTS been the only method used to screen patients with CRC for germline assessment, 38.6% (91 of 236) with a PGV in a cancer susceptibility gene or constitutional hypermethylation would have been missed, including 6.3% (9 of 144) of patients with LS; six had an MMR-proficient tumor (four *PMS2* and two *MSH6*), two had constitutional *MLH1* hypermethylation, and one was absent

**TABLE 3.** Mismatch Repair Tumor Screening Concordance Rates

MSI	IHC	Count		
High	Abnormal	474	Concordant	99.2% (2,813/2,837)
Stable or low	Equivocal	2		
Stable or low	Normal	2,337		
High	Normal	11	Discordant	0.8% (24/2,837)
Stable or low	Abnormal	8		
High	Equivocal	5		
Stable or low	Fail	9	IHC failure	0.3% (9/3,310)
Fail	Abnormal	27	MSI failure	14% (464/3,310)
Fail	Normal	437		

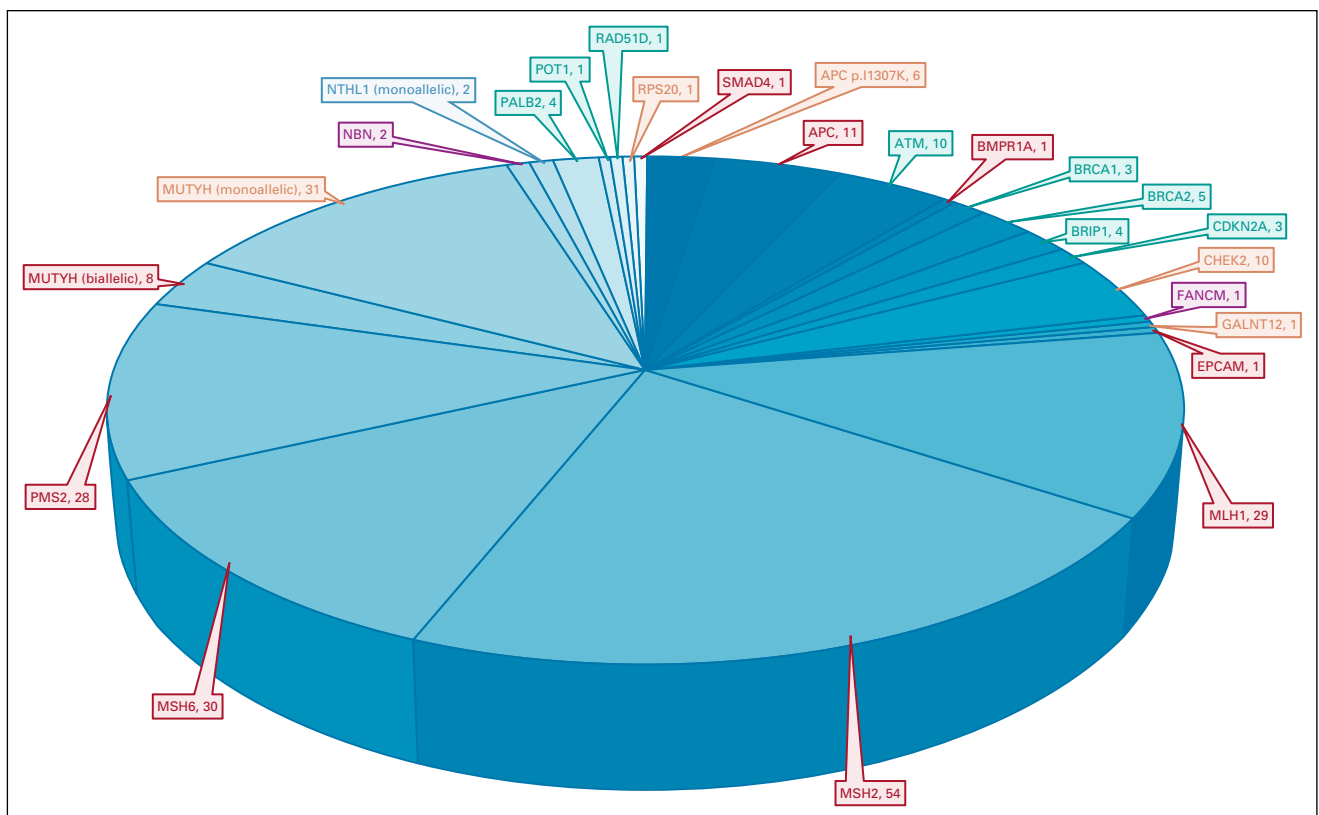
Abbreviations: IHC, immunohistochemistry; MSI, microsatellite instability.

MLH1/PMS2 with *MLH1* hypermethylation (*PMS2*). We recommend consideration of germline hypermethylation on all *MLH1*-hypermethylated patients under the age of 50 and those with a history of more than one LS-associated tumor given that 3.5% were found to have constitutional *MLH1* hypermethylation. Additionally, the use of broad pan-cancer MGPT instead of testing for only MMR genes is important even among the patients with MMR deficiency as 8% (18/224) with a nonhypermethylated MMR-deficient

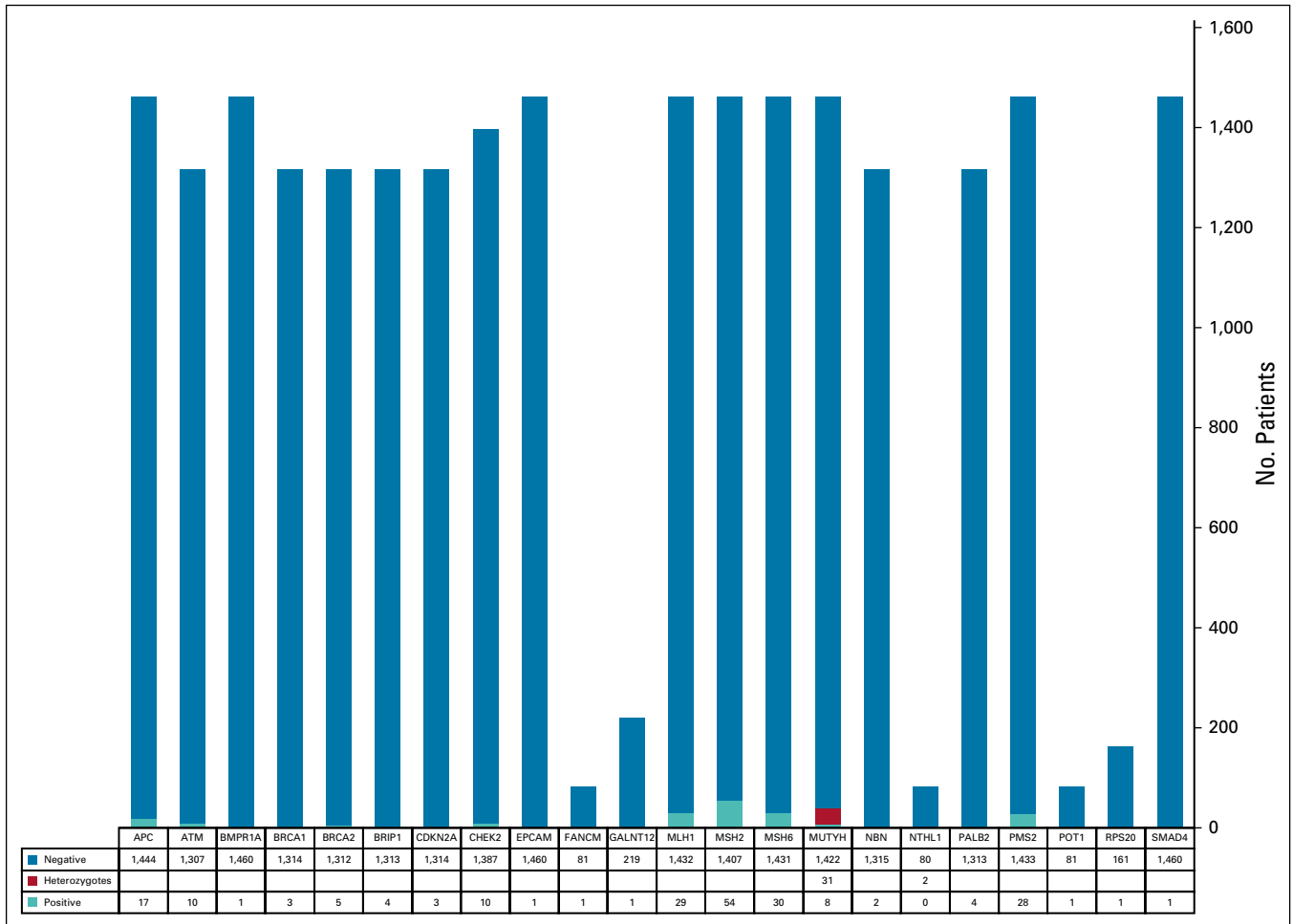
tumor were found to have a PGV in a non-MMR gene (10 in addition to LS).

LS was more common (4.4%, 144 of 3,310) than previously reported.<sup>1-3</sup> This difference may be the result of ascertainment bias as some participating hospitals provided clinical UTS and might have made extra efforts to enroll patients with abnormal results. Constitutional *MLH1* hypermethylation is not a standard part of UTS so the two patients who were identified with this might have been missed in a clinical setting. Additionally, we had an excess of patients diagnosed under age 50. Since hereditary cancer syndromes are more common in younger patients with CRC, selection for younger patients might have also elevated the number of individuals with any hereditary cancer syndrome. As enrollment primarily occurred in oncology clinics and clinical stage was not collected, it is possible that there was enrichment for metastatic cases.

This study confirms that tumor testing for MMR genes is beneficial for patients with unexplained MMR deficiency. Eighty-eight percent of unexplained MMR-deficient tumors were found to have double somatic MMR mutations, the highest frequency reported to date. This is likely due to the tumor screening being centralized for quality control,



**FIG 2.** Overall spectrum and penetrance of pathogenic variants detected. Penetrance key: Red: high-risk cancer gene associated with colon cancer with or without other cancers. Orange: moderate- or low-risk cancer gene associated with colon cancer with or without other cancers. Green: high- or moderate-risk cancer gene not typically associated with colon cancer. Purple: low-risk cancer gene not typically associated with colon cancer. Blue: no increased cancer risk for monoallelic carriers.



**FIG 3.** Pathogenic germline variants detected per gene based on the number of patients tested for that gene.

limiting incorrect tumor screening results. Additionally, germline testing for MMR genes has improved, making it less likely to miss a germline mutation. Identifying double somatic MMR mutations that explain the MMR deficiency provides evidence that the individual's CRC was sporadic and they can be managed based on their personal and family history.<sup>33</sup>

Our findings of 7.1% prevalence of PGV are an underestimate of the true prevalence of PGV among all patients with CRC since 1,848 individuals in this cohort did not undergo germline testing. In the selected patients with CRC tested, 16% had a PGV. Additionally, if *CHEK2* p.I157T was included as a PGV, this would add 13 cases to the mutation frequency (increasing our total to 7.5%). However, it was not included as a PGV because Myriad classifies it as a VUS, whereas most other laboratories classify it as a low-penetrance PGV. Our protocol of testing selected patients

and number of genes tested likely accounts for the difference in our overall prevalence of mutations compared with two other similar studies (7.1% identified in our study versus 9.9%<sup>4</sup> identified by testing all CRC patients with a similar-sized MGPT versus 15.3%<sup>13</sup> identified by testing advanced-stage patients with a larger MGPT).

Minimally, patients with CRC should be referred for genetic counseling when they are diagnosed under age 50, have a personal history of multiple primaries, a family history of CRC or EC, or an MMR-deficient tumor. However, we believe that germline MGPT should be offered to all patients with CRC since 7.1%-15.3% have a PGV in a cancer susceptibility gene. This is similar to the 8.2%<sup>34</sup> PGV rate found in patients with pancreatic cancer and 11.8%<sup>35</sup> PGV rate found in patients with metastatic prostate cancer for whom the National Comprehensive Cancer Network recommends germline MGPT.<sup>36</sup>



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The following represents disclosure information provided by the authors of this manuscript. All relationships are considered compensated unless otherwise noted. Relationships are self-held unless noted. I = Immediate Family Member, Inst = My Institution. Relationships may not relate to the subject matter of this manuscript. For more information about ASCO's conflict of interest policy, please refer to [www.asco.org/rwc](http://www.asco.org/rwc) or [ascopubs.org/po/author-center](http://ascopubs.org/po/author-center).

Open Payments is a public database containing information reported by companies about payments made to US-licensed physicians ([Open Payments](http://OpenPayments)).

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## APPENDIX 1. GROUP INFORMATION

A full list of the OCCPI study group members can be found at <https://cancer.osu.edu/research-and-education/pelotonia-funded-research/statewide-colon-cancer-initiative/occpi-work-group>

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- Atrium Medical Center (Albert Malcolm, Sandy Fletcher, Caitlin Conaway, Ronald Hale, Nkeiruka Okoye, Radhika Rajsheker, Mridula Reddy, Cheryl Skinner, Ryan Steinmetz, Nandagopal Vrindavanam)
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Phillip Price, Jeffrey Sams, P. Kothai Sundaram, Charles Taylor, Joshua Braveman, Mark Stechschult, Mary Dillhoff, Samer Eldika, Adewale Fawole, Melinda Jack, Tasos Madhavan)

- Myriad Genetics Inc (Brian Allen, Shelly Cummings)
- OSUMC—Colorectal (Heather Hampel, Albert de la Chapelle, Richard Goldberg, Electra Paskett, Peter Shields, Rachel Pearlman, Wendy Frankel, Wei Chen, Benjamin Swanson, Weiqiang Zhao, Ahmet Yilmaz, Kristin Miller, Jason Bacher, Christopher Bigley, Lori Nelsen, Michael Bigley, Thomas Prior, Daniel Jones, Debbie Knight, Cheryl Reeder, Amy Glaze, Peter Stanich, Ilene Lattimer, Thelma Asare, Mark Arnold, Sandya Liyanarachchi, Christina Wu, Anne Noonan, Tony Saab, Sigurdis Haraldsdottir, Sherif Abdel-Misih, Mark Bloomston, Kristen Ciombor, William Cirocco, Cassandra Grenade, Alan Harzman, John Hays, John Howard, Syed Husain, Sameh Mikhail, Kate Shane-Carson, Anterpreet Neki, Timothy Pawlik, Sameek Roychowdhury, Robert Rupert, Carl Schmidt, Jennifer Sipos, Judith Westman)
- OSUMC—Endometrial (Paul Goodfellow, Elizabeth Solinger, Molly Myers, David Cohn, David O'Malley, Adrian Suarez, Ritu Salani, Floor Backes, Larry Copeland, Jeff Fowler, Casey Cosgrove)
- ProMedica Health System—Flower and Toledo Hospital (Terry Gibbs, Carissa Jock, Kelly Morse Joyce Vernier, Julie Moon, Sarah Adelsperger, Sue Mayer, Abed Alo, Sanjiv Bais, Paul Bosio, Stephanie Cole, Mark Gretsinger, Douglas Hess, Peter Klein, Michael Mcphee, Jose Parodi, Brad Sachs, Joseph Sferra, Eilynn Sipe, John Stengle, Truman Wigand)
- St Luke's Hospital (participation ended December 31, 2015) (Ginger Schwyn, Abed Alo, Peter Klein, Douglas Lindsey, Asish Mukherjee, Raju Shah, Eilynn Sipe, Beth White)
- Riverside Methodist Hospital (J. Philip Kuebler, Kelly Reynolds, Megan Sisson, Kristen Johnson, Amanda Adams, Portia Schimming, Patti Dunn, Sonia Abuzakhm, Jeffrey Archer, Brent Behrens, Scott Blair, Stephanie Dunkle-Blatter, Christopher George, Andrew Grainger, Joseph Hofmeister, Peter Kourlas, Peter Lee, John Leff, Erin Macrae, Nse Ntukidem, Kwang Suh, Thomas Sweeney, Robert Toscano, William Wise)
- Southern Ohio Medical Center (Thomas Summers, Yinong Liu, Jamie Arnett, Murielle Brohez, Bruce Kerner, Thomas Khourg)
- Springfield Regional Medical Center (Ravi Khanna, Daljeet Singh, Linda Blosser, Chaundra Foss, Zaw Bo, Filix Kencana, Sandra Victor)
- St Rita's Medical Center (Chris Rhoades, Clarissa Alford, Lois Gerding, Charles Brunelle, Richard Capone, Henry Gerad, Todd Hixenbaugh, Paul Kalogerou, Chethana Kanapathi, Ewa Mrozek, Robert Neidich, David Powell, Tariq Sheikh, Abdulla Taja)
- Summa Health System—Akron City and St Thomas, Barberton, Robinson Memorial, Western Reserve (Sameer Mahesh, Nicole Buie, Annie Papik, Debbie Neal, Ally Kovach, Sarah Stanaszek, John Gusz, Lynn Wojtasik, Mark Arrendondo, Elizabeth Bender, Leo Claecilla, Bradley Clifford, Michael Cullado, Arthur Dalton, Adrian Dan, Anad Desai, Edward Esber, Rachel Jenkins, Ann Stone, John Fondran, Susan Hong, John Jakob, Joseph Koenig, Erica Laipply, Melanie Lynch, Fredrick Seefeldt, Dean Mayors, Leon Miller, Mehool Matel, Jennifer Payne, Joel Porter, Mark Pozsgay, Warren Rose, Frederick Slezak P. Torowski, Douglas Trochelman, Arjun Venkat, Darrell Widmer, Gary Williams, John Zografakis, Bradley Clifford, Andrew Haas, Dean Mayors)
- The Christ Hospital (Ian Paquette, Janice Rafferty, Bradley Davis, Marla Prues, Pam Manfresca, Robert Cody, William Crafton, Randy Drokick, Jon Snider, Slobodon Stanisic)
- Toledo Clinic Cancer Center (Pam Shoup, Jennifer Martinez, Jessica Ciesler, Sameh Almadani, Michael Bielefeld, Timothy Kasunic, Richard Phinney, Todd Tamlyn)
- TriHealth—Bethesda North and Good Samaritan Cincinnati (David Draper, Scott Kelley, Carolyn Lindeman, Kathleen Bieniek, Antoinette Dean, Courtney Rice, Karen Huelsman, Megan Shearouse, Meghan Caldwell, Alex Sympson, Marc Alexander, Susan Alcasid, Mark Andolina, Kevin Bundy, Robert Bennett, Arcot Bhaskar, Ranga Brahmamdam, Catherine Brown, Kevin Budke, Elena Caoili, Jessica Cassady, Michael Caudy, Suhail Chaudhry, Cynthia Chua, Stephen Cleves, Francis Collins, Richard Dammal, Erik Dunki-Jacobs, James Fidelholtz, Steven Grendel, Michael Handleton, Lynn Gronbach, Douglas Hawley, Jason Hoke, Jacon Jones, David Kirkpatrick, Robert Kindle, Gennaro Labella, Steven Langdon, Jay Logeman, Michelle Louis, Steven Lunz, Anjali Mahajan, James Maher, Arturo Maldonado, Jennifer Marklay, Gina Matacia, Apurva Mehta, John Merling, Huxley Miller, Thomas Mueller, Gerald Palermo, Andrew Parchman, Alan Putrus, Janice Rafferty, Robert Rechten, Raymond Reuss, Antonio Rojas, Mark Rudemiller, Paul Rupp, Alex Saba, Ronald Smith, Melanie Spaedy, Alan Tarshis, Kirubel Tefera, Christine Wallace, Cheryl Skinner, Christopher South, Fredrick Weber)
- University at Buffalo (Jo Freudenheim)
- University of Washington (Colin Pritchard, Brian Shirts, Angela Jacobson)
- Upper Valley Medical Center (Rajeev Kulkarni, Heather Penwell, Minia Hellan, L. Lowry, Mohan Nuthakki)
- Wayne Healthcare (Manish Sheth, Catherine Jill Brown, John Haluschak, Daniel Mckellar)
- Wright-Patterson Medical Center (Roger Wood, Joyce Russo, Susannah Cooper, Brandon Cutler, Alyssa Mcmanamon, Gary Peitzmeier)