

# A Non-Linear Association of High-Density Lipoprotein Cholesterol with All-Cause and Cause-Specific Mortality in Diabetic Patients

Yu-qin Yan<sup>1,\*</sup>

Jun Chen<sup>1,\*</sup>

Yu-qing Huang<sup>2</sup>

<sup>1</sup>Department of Cardiology, People's Hospital of Shenzhen Baoan District, Shenzhen, 518100, People's Republic of China; <sup>2</sup>Department of Cardiology, Guangdong Provincial People's Hospital, Guangdong Academy of Medical Sciences, Guangzhou, 510080, People's Republic of China

\*These authors contributed equally to this work

**Background:** The association between high-density lipoprotein cholesterol (HDL-C) and the risk of death among people with diabetes remains to be verified.

**Methods:** This was a nationwide, population-based cohort study in United States. A total of 6549 diabetes patients were included from the National Health and Nutrition Examination Surveys (NHANES). HDL-C concentration was divided into quintiles, and the lowest risk group (Q4: 1.32 to 1.53 mmol/L) was used as reference. Multivariate Cox proportional hazards models and restrictive cubic curves were performed to estimate hazard ratios (HRs) with 95% confidence interval (CI) for all-cause and cause-specific mortality.

**Results:** During a median follow-up of  $82.36 \pm 50.11$  months, 1546 (23.61%) cases of all-cause, 389 (5.94%) cardiovascular and 262 (4.00%) cancer mortality have occurred, respectively. After adjusting for potential covariates, a U-shaped association was found between HDL-C and all-cause mortality (minimum mortality risk at 1.37 mmol/L); the risk for all-cause mortality was significantly higher in the groups with HDL-C concentration  $<0.96$  mmol/L (HR: 1.30; 95% CI: 1.09, 1.56;  $P=0.0046$ ) and with HDL-C concentration  $\geq 1.55$  mmol/L (HR: 1.20; 95% CI: 1.00, 1.44;  $P=0.0481$ ) than participants with HDL-C concentrations ranging from 1.32 to 1.53 mmol/L. Nonlinear associations of HDL-C levels with both cardiovascular and cancer mortality were also observed.

**Conclusion:** A non-linear association was observed association of HDL-C with all-cause, cardiovascular and cancer mortality among diabetic patients.

**Keywords:** high-density lipoprotein cholesterol, diabetes, mortality, all-cause mortality, cause-specific mortality, dose-dependent

## Introduction

Over the past few decades, a large number of cohort or clinical studies have demonstrated inverse association of high-density lipoprotein cholesterol (HDL-C) with cardiovascular events and mortality.<sup>1-4</sup> For this reason, many clinical guidelines recommended HDL-C as a protective factor that was beneficial for the prevention and treatment of cardiovascular events.<sup>5,6</sup> However, in recent years, studies have found that HDL-C concentration might not have dose-response benefit on cardiovascular health. For example, Stephen et al found that higher HDL-C levels did not associate with a reduced mortality risk in patients with reduced kidney function.<sup>7</sup> In the general population, a J-shaped or U-shaped association was observed between HDL-C and mortality.<sup>8-10</sup> In high-risk patients with type 2 diabetes, it was found that HDL-C at baseline was unexpectedly related to a higher risk for cardiovascular events

Correspondence: Yu-qing Huang  
Department of Cardiology, Guangdong Provincial People's Hospital, Guangdong Academy of Medical Sciences, No. 106, Zhongshan Second Road, Yuexiu District, Guangzhou, 510080, People's Republic of China  
Tel/Fax +86-20-83827812  
Email hyq513@126.com

and all-cause mortality.<sup>11</sup> In addition, a meta-analysis of randomized controlled trials of 117,411 patients concluded that increasing HDL-C concentration does not reduce the risk of coronary disease events.<sup>12</sup> In brief, the relationship between HDL-C and mortality was not entirely consistent and available data was limited among diabetic patients. The present study was therefore conducted to explore the association of HDL-C with all-cause and cause-specific mortality in diabetes patients and further determined the optimal threshold for the relationship between HDL-C and mortality.

## Materials and Methods

### Study Population

In the present study, all participants were included from the 1999–2014 National Health and Nutrition Examination Surveys (NHANES). Detailed information about the NHANES has been published elsewhere.<sup>13,14</sup> Briefly, the NHANES survey was a multistage, stratified sampling design on nationally representative civilian non-institutionalized US population.<sup>13,14</sup> We enrolled participants who were aged  $\geq 18$  years and with data on HDL-C measurement. Subjects who were missing data follow-up and without diagnosis of diabetes at baseline were excluded. After applying the inclusion criteria, our final sample size contained 6549 participants (Figure 1). All participants have provided informed consent before study. The survey was approved by

the National Center for Health Statistics/Centers for Disease Control and Prevention ethics review board and with the Helsinki Declaration of 1975, as revised in 2008.

### Lipids Measurement

Fasting samples were obtained from peripheral venous blood and stored under appropriate frozen conditions until they were shipped to Johns Hopkins University (Hitachi 717 and Hitachi 912, Roche Diagnostics, 9115 Hague Road, Indianapolis, IN 46,250) or Minnesota University (Roche Modular P, Roche Diagnostics, 9115 Hague Road, Indianapolis, IN 46,250) Lipoprotein Analytical Lab for testing. Blood lipid measurement including triglycerides (TG), total cholesterol (TC), HDL-C and low-density lipoprotein cholesterol (LDL-C). TC and TG were measured enzymatically, HDL-C was measured by using a heparin-manganese precipitation method or a direct immunoassay technique. LDL-C (where all values are expressed in mg/dL) was calculated according to the Friedewald calculation:  $LDL-C = TC - HDL-C - TG/5$  when TG was less than or equal to 400 mg/dL.<sup>15</sup> In addition, fasting blood glucose (FBG), glycohemoglobin (HbA1C), C-reactive protein and creatinine were also tested by using standard methods. The NHANES quality control and quality assurance protocols have met the 1988 Clinical Laboratory Improvement Act requirements.

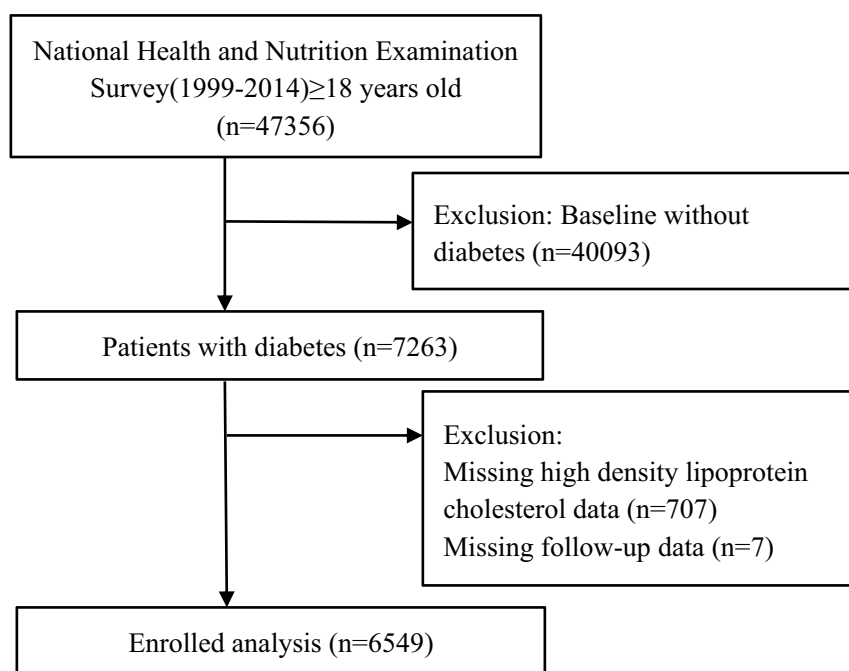


Figure 1 Research flow chart.

## Covariate Collection

Standard examinations and questionnaire were administered by trained health technicians, interviewers, and physicians. Participants have provided information on demographic (age, gender and race), socioeconomic (educational attainment and marriage), lifestyle and behavior factors (smoking) and health-related questions (hypertension, diabetes, cardiovascular disease, cerebrovascular disease and cancer), and have attended physical examinations (including body weight, height and blood pressure). Information on current medication such as antihypertensive, anti-diabetes, antiplatelet and lipid-lowering medications were collected. Body mass index (BMI, kg/m<sup>2</sup>) was calculated by using weight (kg)/height<sup>2</sup> (m<sup>2</sup>). Estimated glomerular filtration rate (eGFR) was calculated using Modification of Diet in Renal Disease formula. Subjects who had one or more of the following criteria were defined as hypertension: (1) self-reported hypertension previously diagnosed by a physician, (2) taking antihypertensive drugs, (3) systolic and/or diastolic blood pressure (SBP/DBP)  $\geq 140/90$  mmHg.<sup>16</sup> Diabetes was defined as having a history of diabetes, or taking anti-diabetes medications, or FBG  $\geq 7.0$  mmol/l (126 mg/dl), or HbA1C  $\geq 6.5\%$ .<sup>17</sup>

## Outcome Ascertainment

Study endpoints for the present study were all-cause, cardiovascular and cancer mortality. Mortality status of the NHANES was obtained from data from the National Death Index through December 31, 2014. We classified causes of mortality based on the codes of ICD-10 (international statistical classification of diseases, 10th revision). For instance, codes I00-I09, I11, I13, and I20-I51 for cardiovascular mortality, codes I60-I69 for death from cerebrovascular diseases, and codes C00-C97 for cancer mortality.<sup>18</sup>

## Statistical Analyses

Continuous variables are presented as mean  $\pm$  standard deviation and categorical variables are presented as percentage where appropriate. Subgroup differences were analyzed by one-way ANOVA or Chi-square tests depending on the types of variables. HDL-C were grouped into quintiles (Q1:  $\leq 0.96$  mmol/L (37.00 mg/dL), Q2: 0.97–1.11 mmol/L (38.00–43.00 mg/dL), Q3: 1.12–1.31 mmol/L (44.00–50.00 mg/dL), Q4: 1.32–1.53 mmol/L (51.00–59.00 mg/dL), Q5:  $\geq 1.54$  mmol/L (60.00 mg/dL), and we used the group with the lowest

risk (Q4) as the reference group according to previous studies.<sup>9,10,19,20</sup> The Cox proportional hazards model was used for exploring the association of HDL-C with all-cause, cardiovascular and cancer mortality. To further explore the relationship between HDL-C and mortality, multivariate adjusted restrictive cubic curves and generalized additive model were performed. The results were expressed as hazard ratios (HRs) and 95% confidence intervals (CI). Model I only included HDL-C, Model II was adjusted for age, gender and BMI. Model III was further adjusted for race, education level, smoking, SBP, eGFR, HbA1C, TC, comorbidities and medicines used. If a nonlinear relationship was detected, a two-piecewise Cox proportional hazards model on both sides of the inflection point, and log likelihood ratio test were performed. Survival analysis was performed using standardized Kaplan–Meier curves and Log rank test. In addition, the subgroup analysis including age ( $<65$  or  $\geq 65$  years), gender (male or female), race (White or non-White), hypoglycemic agents (yes or no) and taking lipid-lowering drugs (yes or no). All statistical analyses were performed using R version 3.3.2 (R Foundation for Statistical Computing, Vienna, Austria), and  $P < 0.05$  was considered as statistically significant.

## Results

### Baseline Characteristics

There were 6549 diabetic patients being included, and among them, 3372 (51.49%) were men. The mean concentration of HDL-C was 1.24 mmol/L. The baseline characteristics according to HDL-C level are summarized in Table 1. There were significant subgroup differences in age, gender, education level, BMI, HbA1C, TG, TC, taking lipid-lowering drugs (all  $P < 0.05$ ), but race, smoking, SBP, DBP, eGFR, FBG, LDL-C, hypertension, CVD, cancer, taking antihypertensive drugs, hypoglycemic agents and antiplatelet drugs have no significant differences (all  $P > 0.05$ ).

In addition, during a median follow-up of 82.36  $\pm$  50.11 months, 1546 (23.61%) cases of all-cause, 389 (5.94%) cardiovascular and 262 (4.00%) cancer mortality have occurred, respectively. From Q1 to Q5 group according to HDL-C level, there was a significant difference in the incidence of all-cause mortality, but there was no significant differences in the incidence of cardiovascular and cancer mortality (Table 1). The survival curve analysis

**Table 1** Baseline Characteristics According to High-Density Lipoprotein Cholesterol Levels

	Total	High Density Lipoprotein Cholesterol					P-value
		Q1	Q2	Q3	Q4	Q5	
Number	6549	1448	1346	1245	1212	1298	
Age, years	60.82 ± 14.36	58.74 ± 14.59	59.79 ± 14.84	60.99 ± 13.75	61.69 ± 13.93	63.21 ± 14.16	<0.001
Gender, n (%)							<0.001
Male	3372 (51.49)	1016 (70.17)	810 (60.18)	634 (50.92)	495 (40.84)	417 (32.13)	
Female	3177 (48.51)	432 (29.83)	536 (39.82)	611 (49.08)	717 (59.16)	881 (67.87)	
Race, n (%)							<0.001
Non-white	4005 (61.15)	773 (53.38)	819 (60.85)	760 (61.04)	800 (66.01)	853 (65.72)	
White	2544 (38.85)	675 (46.62)	527 (39.15)	485 (38.96)	412 (33.99)	445 (34.28)	
Smoking, n (%)							<0.001
No	3128 (48.10)	579 (40.18)	622 (46.70)	608 (49.27)	627 (51.95)	692 (53.69)	
Yes	3375 (51.90)	862 (59.82)	710 (53.30)	626 (50.73)	580 (48.05)	597 (46.31)	
Education level, n (%)							0.059
Less than high school	2576 (39.68)	600 (41.67)	549 (41.15)	479 (38.85)	479 (39.82)	469 (36.58)	
High school or above	3916 (60.32)	840 (58.33)	785 (58.85)	754 (61.15)	724 (60.18)	813 (63.42)	
Body mass index, kg/m <sup>2</sup>	32.01 ± 7.35	33.05 ± 6.92	32.46 ± 7.26	32.38 ± 7.42	31.79 ± 7.29	30.26 ± 7.59	<0.001
Systolic blood pressure, mmHg	132.50 ± 20.82	130.52 ± 19.26	131.84 ± 20.81	132.25 ± 20.60	133.37 ± 21.49	134.80 ± 21.81	<0.001
Diastolic blood pressure, mmHg	68.64 ± 15.32	69.90 ± 15.02	68.85 ± 15.60	68.73 ± 15.19	68.35 ± 15.32	67.20 ± 15.39	<0.001
eGFR, mg/min/1.73m <sup>2</sup>	79.65 ± 30.10	80.34 ± 31.38	79.78 ± 30.44	79.04 ± 27.60	80.17 ± 28.04	78.86 ± 32.37	0.636
Glycohemoglobin, %	7.22 ± 1.77	7.43 ± 1.82	7.29 ± 1.73	7.27 ± 1.81	7.14 ± 1.74	6.92 ± 1.72	<0.001
Fasting blood glucose, mg/dL	154.81 ± 64.58	165.32 ± 70.67	158.86 ± 68.06	156.33 ± 62.46	148.38 ± 57.03	145.67 ± 61.69	<0.001
Serum lipid level							
Triglycerides							<0.001
mg/dL	179.78 ± 183.04	282.30 ± 259.18	205.00 ± 252.62	166.35 ± 99.26	135.79 ± 69.09	113.06 ± 60.29	
mmol/L	2.03 ± 2.07	3.19 ± 2.93	2.31 ± 2.85	1.88 ± 1.12	1.53 ± 0.78	1.28 ± 0.68	
Low density lipoprotein							0.002
mg/dL	109.09 ± 37.17	103.10 ± 36.75	111.39 ± 36.95	110.21 ± 36.34	110.41 ± 37.82	109.37 ± 37.45	
mmol/L	2.82 ± 0.96	2.67 ± 0.95	2.88 ± 0.96	2.85 ± 0.94	2.86 ± 0.98	2.83 ± 0.97	
Total cholesterol							<0.001
mg/dL	193.09 ± 48.10	185.30 ± 50.10	192.35 ± 51.31	193.07 ± 46.68	193.05 ± 43.34	202.58 ± 46.34	
mmol/L	4.99 ± 1.24	4.79 ± 1.30	4.97 ± 1.33	4.99 ± 1.21	4.99 ± 1.12	5.24 ± 1.20	
High density lipoprotein							<0.001
mg/dL	48.12 ± 14.15	32.28 ± 4.12	40.65 ± 1.65	46.30 ± 1.68	53.69 ± 2.56	70.08 ± 11.27	
mmol/L	1.24 ± 0.37	0.84 ± 0.11	1.05 ± 0.04	1.20 ± 0.04	1.39 ± 0.07	1.81 ± 0.29	

(Continued)

Table I (Continued).

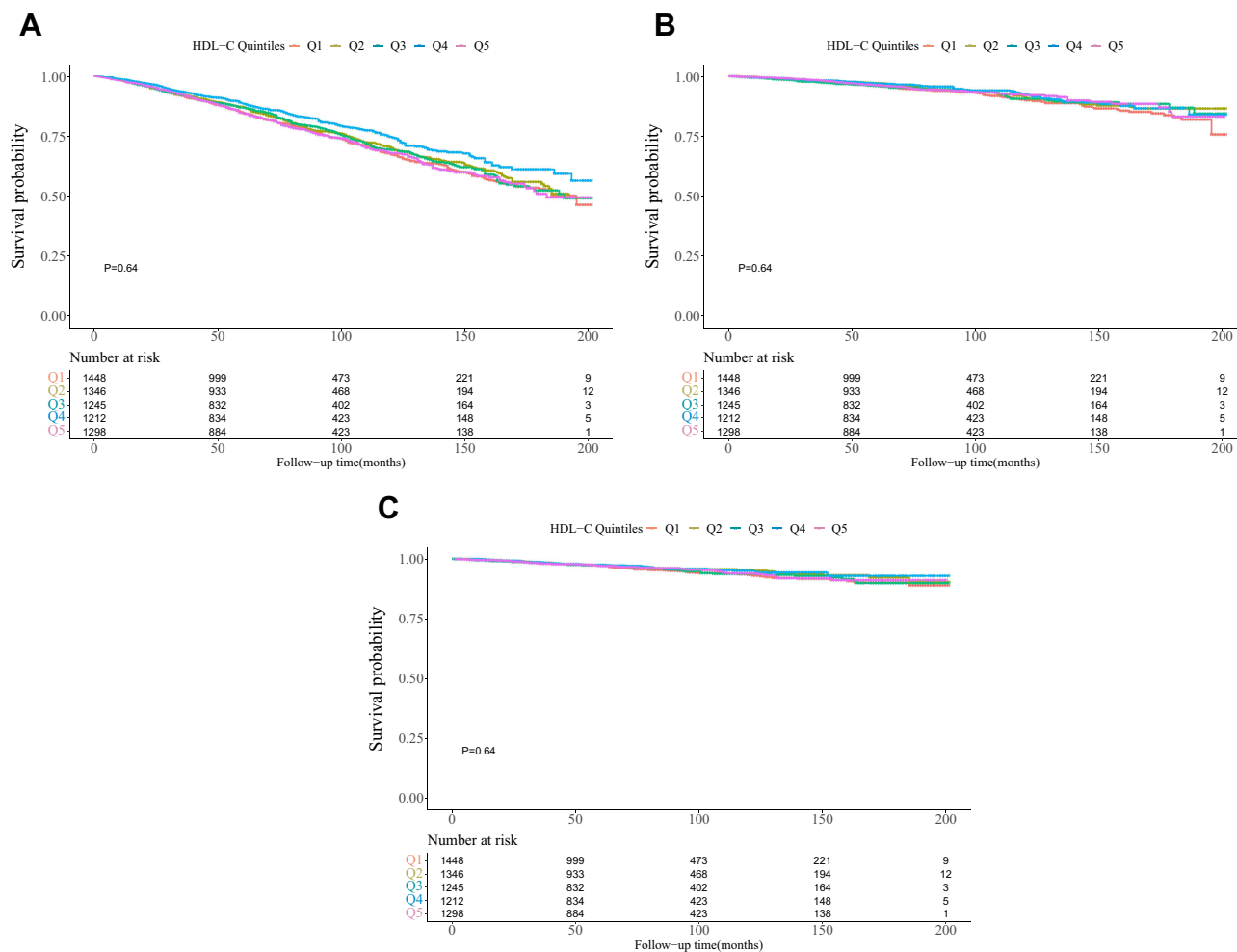
	Total	High Density Lipoprotein Cholesterol					P-value
		Q1	Q2	Q3	Q4	Q5	
Comorbidities, n (%)							
Hypertension							0.286
No	1457 (22.27)	340 (23.50)	306 (22.78)	288 (23.15)	255 (21.04)	268 (20.66)	
Yes	5086 (77.73)	1107 (76.50)	1037 (77.22)	956 (76.85)	957 (78.96)	1029 (79.34)	
Cardiovascular disease							<0.001
No	5008 (76.99)	1045 (72.47)	1013 (75.94)	956 (77.35)	967 (80.25)	1027 (79.74)	
Yes	1497 (23.01)	397 (27.53)	321 (24.06)	280 (22.65)	238 (19.75)	261 (20.26)	
Cancer							0.203
No	5636 (86.77)	1271 (88.26)	1161 (87.10)	1067 (86.61)	1042 (86.54)	1095 (85.15)	
Yes	859 (13.23)	169 (11.74)	172 (12.90)	165 (13.39)	162 (13.46)	191 (14.85)	
Treatment, n (%)							
Antihypertensive drugs							0.331
No	2704 (41.29)	618 (42.68)	562 (41.75)	528 (42.41)	480 (39.60)	516 (39.75)	
Yes	3845 (58.71)	830 (57.32)	784 (58.25)	717 (57.59)	732 (60.40)	782 (60.25)	
Hypoglycemic agents,							0.145
No	2980 (45.50)	676 (46.69)	612 (45.47)	577 (46.35)	512 (42.24)	603 (46.46)	
Yes	3569 (54.50)	772 (53.31)	734 (54.53)	668 (53.65)	700 (57.76)	695 (53.54)	
Lipid-lowering drugs							0.361
No	4275 (65.28)	966 (66.71)	893 (66.34)	797 (64.02)	771 (63.61)	848 (65.33)	
Yes	2274 (34.72)	482 (33.29)	453 (33.66)	448 (35.98)	441 (36.39)	450 (34.67)	
Antiplatelet drugs							0.014
No	6195 (94.59)	1357 (93.72)	1266 (94.06)	1167 (93.73)	1158 (95.54)	1247 (96.07)	
Yes	354 (5.41)	91 (6.28)	80 (5.94)	78 (6.27)	54 (4.46)	51 (3.93)	
Outcomes, n (%)							
Cancer mortality							0.651
No	6287 (96.00)	1382 (95.44)	1296 (96.29)	1193 (95.82)	1170 (96.53)	1246 (95.99)	
Yes	262 (4.00)	66 (4.56)	50 (3.71)	52 (4.18)	42 (3.47)	52 (4.01)	
Cardiovascular disease mortality							0.417
No	6160 (94.06)	1347 (93.02)	1268 (94.21)	1172 (94.14)	1147 (94.64)	1226 (94.45)	
Yes	389 (5.94)	101 (6.98)	78 (5.79)	73 (5.86)	65 (5.36)	72 (5.55)	
All-cause mortality							0.003
No	5003 (76.39)	1074 (74.17)	1023 (76.00)	953 (76.55)	975 (80.45)	978 (75.35)	
Yes	1546 (23.61)	374 (25.83)	323 (24.00)	292 (23.45)	237 (19.55)	320 (24.65)	

**Notes:** Values are mean  $\pm$  standardized differences or n (%). Continuous variables were tested using a one-way ANOVA, and count variables were tested using a Chi-square.

**Abbreviations:** Q, quintiles; n, number; eGFR, estimated glomerular filtration rate.

of HDL-C and all-cause (Figure 2A), cardiovascular (Figure 2B) and cancer (Figure 2C) mortality is presented in Figure 2.

**Relationship Between HDL-C and Mortality**  
HDL-C concentration and mortality risk is summarized in Table 2. After adjustment for the covariates, and using Q4



**Figure 2** Kaplan-Meier survival curves for all-cause (A), cardiovascular (B), and cancer (C) mortality by high density lipoprotein cholesterol groups.

(1.32 to 1.53 mmol/L) as a reference, the fully adjusted HRs of groups with the highest ( $\geq 1.54$  mmol/L) and lowest ( $\leq 0.96$  mmol/L) HDL-C level were 1.30 (95% CI: 1.09, 1.56;  $P=0.0046$ ) and 1.20 (95% CI: 1.00, 1.44;  $P=0.0481$ ), respectively, for all-cause mortality ( $P$  for trend = 0.113). However, there was no statistical significance for the association of HDL-C with cardiovascular and cancer mortality. Compared to the reference group (Q4), the fully adjusted HRs for cardiovascular mortality among the highest and lowest were 1.22 (95% CI: 0.86, 1.74;  $P=0.2686$ ) and 1.01 (95% CI: 0.70, 1.46;  $P=0.9640$ ) ( $P$  for trend = 0.327), respectively. Similarly, the fully adjusted HRs for cancer mortality among the highest and lowest were 1.15 (95% CI: 0.76, 1.75;  $P=0.5021$ ) and 1.05 (95% CI: 0.69, 1.61;  $P=0.8227$ ) ( $P$  for trend = 0.722), respectively.

## A Non-Linear Relationship Between HDL-C and Mortality

The nonlinear association of HDL-C and mortality were examined by using multivariate Cox proportional hazards

models with a generalized additive model (GAM) and penalized spline methods. The multivariate adjusted restrictive cubic curve showed that the relationship between HDL-C and all-cause mortality (Figure 3A) was in U-shaped, but similar non-linear relationship was not found for cardiovascular (Figure 3B) and cancer (Figure 3C) mortality. In addition, a two-piecewise Cox proportional hazards model was conducted to explore the minimum value of HDL-C and mortality risk. As shown in Table 3, a nonlinear relationship between HDL-C and all-cause mortality was found ( $P$  for log likelihood ratio test  $< 0.001$ ), but we did not find significant difference in cardiovascular ( $P$  for log likelihood ratio test = 0.218) and cancer ( $P$  for log likelihood ratio test = 0.009) mortality. The optimal cut-off point of HDL-C for all-cause, cardiovascular and cancer were 1.36 mmol/L (53 mg/dL), 1.28 mmol/L (49 mg/dL) and 1.89 mmol/L (73 mg/dL), respectively. When HDL-C was  $\geq 1.36$  mmol/L, 1.28 mmol/L and 1.89 mmol/L, increased HDL-C was significantly associated with an increased risk for all-cause (HR = 1.50, 95% CI: 1.16, 1.94;

**Table 2** Multivariate Cox Regression Analysis of HDL-C Levels with Cause-Specific Mortality

	Model I HR (95% CI), P	Model II HR (95% CI), P	Model III HR (95% CI), P
All-cause mortality			
HDL-C (per 1mmol/L increment)	1.07 (0.94, 1.23) 0.3116	0.92 (0.79, 1.06) 0.2376	1.01 (0.86, 1.19) 0.8903
HDL-C groups			
Q1	1.30 (1.11, 1.53) 0.0015	1.43 (1.21, 1.70) <0.0001	1.30 (1.09, 1.56) 0.0046
Q2	1.20 (1.02, 1.42) 0.0322	1.26 (1.06, 1.49) 0.0084	1.19 (0.99, 1.43) 0.0612
Q3	1.22 (1.03, 1.45) 0.0218	1.20 (1.01, 1.42) 0.0380	1.14 (0.95, 1.37) 0.1628
Q4	1.0	1.0	1.0
Q5	1.30 (1.10, 1.54) 0.0020	1.20 (1.01, 1.42) 0.0370	1.20 (1.00, 1.44) 0.0481
P for trend	0.334	0.002	0.113
Cardiovascular mortality			
HDL-C (per 1mmol/L increment)	0.94 (0.71, 1.25) 0.6716	0.83 (0.61, 1.12) 0.2130	0.97 (0.70, 1.34) 0.8408
HDL-C groups			
Q1	1.28 (0.94, 1.75) 0.1207	1.38 (1.00, 1.89) 0.0503	1.22 (0.86, 1.74) 0.2686
Q2	1.06 (0.76, 1.47) 0.7445	1.08 (0.78, 1.51) 0.6438	1.02 (0.71, 1.45) 0.9347
Q3	1.11 (0.80, 1.56) 0.5259	1.08 (0.77, 1.51) 0.6608	0.99 (0.69, 1.43) 0.9746
Q4	1.0	1.0	1.0
Q5	1.07 (0.77, 1.50) 0.6892	1.00 (0.71, 1.39) 0.9802	1.01 (0.70, 1.46) 0.9460
P for trend	0.212	0.043	0.327
Cancer mortality			
HDL-C (per 1mmol/L increment)	1.13 (0.82, 1.58) 0.4542	1.11 (0.79, 1.57) 0.5465	1.18 (0.81, 1.72) 0.3955
HDL-C groups			
Q1	1.31 (0.89, 1.92) 0.1770	1.32 (0.89, 1.96) 0.1710	1.15 (0.76, 1.75) 0.5021
Q2	1.06 (0.70, 1.59) 0.7980	1.05 (0.69, 1.59) 0.8253	0.97 (0.63, 1.48) 0.8849
Q3	1.23 (0.82, 1.85) 0.3212	1.19 (0.79, 1.79) 0.4066	1.02 (0.67, 1.56) 0.9345
Q4	1.0	1.0	1.0
Q5	1.19 (0.79, 1.79) 0.4024	1.15 (0.77, 1.73) 0.4938	1.05 (0.69, 1.61) 0.8227
P for trend	0.550	0.459	0.722

**Notes:** Data are HR (95% CI). Model I adjust for none. Model II adjust for age, gender, and body mass index. Model III adjust for age, gender, body mass index, race, education level, smoking, systolic blood pressure, estimated glomerular filtration rate, glycohemoglobin, total cholesterol, comorbidities (hypertension, cardiovascular disease, and cancer), and medicine use (antihypertensive drugs, hypoglycemic agents, lipid-lowering drugs, and antiplatelet drugs). Multivariate Cox regression was performed.

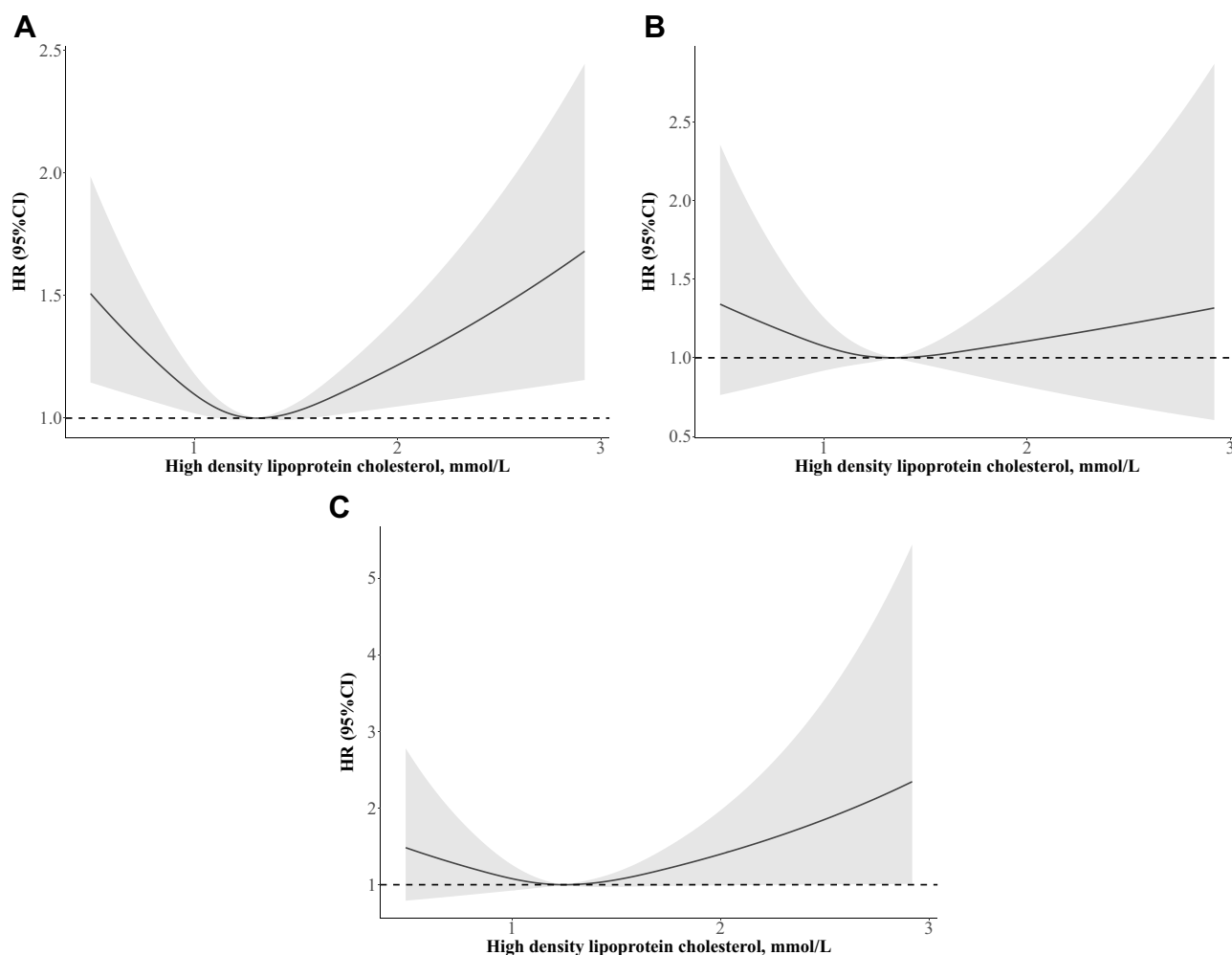
**Abbreviations:** HDL-C, high density lipoprotein cholesterol; HR, hazard ratio; CI, confidence interval; Q, quintiles.

P = 0.0018), cardiovascular (HR = 1.23, 95% CI: 0.76, 2.01; P = 0.4036) and cancer (HR = 3.83, 95% CI: 1.76, 8.34; P = 0.0007) mortality. However, when HDL-C was <1.36 mmol/L, 1.28 mmol/L and 1.89 mmol/L, HDL-C was inversely associated with the risk for all-cause (HR = 0.62, 95% CI: 0.38, 1.03; P = 0.066), cardiovascular (HR = 0.66, 95% CI: 0.33, 1.31; P = 0.2319) and cancer (HR = 0.83, 95% CI: 0.53, 1.30; P = 0.4159) mortality, respectively.

## Subgroup Analyses

The stratified analyses are shown in Table 4. When HDL-C ≤1.36 mmol/L, reduced HDL-C was significantly decreased the risk of all-cause mortality in subjects with

aged ≥65 years (HR=0.59, 95% CI: 0.41, 0.84; P=0.0036), female population (HR=0.46, 95% CI: 0.28, 0.76; P=0.0021), non-White population (HR=0.61, 95% CI: 0.39, 0.95; P=0.0286), without taking lipid-lowering drugs (HR=0.61, 95% CI: 0.40, 0.95; P=0.0283) and taking hypoglycemic agents (HR=0.59, 95% CI: 0.39, 0.90; P=0.0140); when HDL-C ≥1.36 mmol/L, increased HDL-C was significantly increased the risk of all-cause mortality in subjects with aged ≥65 years (HR =1.59, 95% CI: 1.19, 2.12; P=0.0018), male population (HR =2.08, 95% CI: 1.39, 3.12; P = 0.004), non-White population (HR=1.50, 95% CI: 1.07, 2.10; P=0.0174), without taking lipid-lowering drugs (HR=1.57, 95% CI: 1.19, 2.07;



**Figure 3** Adjusted spline curves analyze for the association of high density lipoprotein cholesterol with all-cause (A), cardiovascular (B), and cancer (C) mortality. Age, gender, body mass index, race, education level, smoking, systolic blood pressure, estimated glomerular filtration rate, glycohemoglobin, total cholesterol, comorbidities (hypertension, cardiovascular disease, and cancer), and medicine use (antihypertensive drugs, hypoglycemic agents, lipid-lowering drugs, and antiplatelet drugs) were adjusted.

$P=0.0013$ ) and without taking hypoglycemic agents (HR=1.55, 95% CI: 1.10, 2.18;  $P=0.0120$ ), respectively. Similar results were found when HDL-C was  $\geq 1.89$  mmol/L, increased HDL-C was significantly increased the risk of cancer mortality in subjects with aged  $\geq 65$  years, male and non-White population, without taking lipid-lowering drugs and hypoglycemic agents.

## Discussion

In this population-based cohort study, we found that both low and high HDL-C levels were significantly associated with increased risk of all-cause mortality among diabetic patients, and relationship was U-shaped in nature. We observed that the HDL-C level was linked with the lowest risk of all-cause, cardiovascular and cancer mortality at 1.36 mmol/L, 1.28 mmol/L and 1.89 mmol/L, respectively,

and that the optimal HDL-C concentration range was between 1.32 and 1.53 mmol/L (51 and 59 mg/dL) for a lower risk of all-cause death.

Our results were similar to previous studies. Over the past decade, there were extensive studies to find U-shaped relationship between HDL-C levels and mortality.<sup>9,10,19,20</sup> In addition, we also found there were nonlinear associations of HDL-C with cardiovascular and cancer mortality. Our results were consistent with a pooled analysis of 37 prospective cohort studies to show that HDL-C associated with mortality from cardiovascular and cancer in a nonlinear manner.<sup>8</sup> In our study, although both higher and lower HDL-C were associated with an increased risk of cardiovascular and cancer mortality compared to the lowest risk group (HDL-C: 1.32–1.53 mmol/L), the association was not statistically significant. The null



**Table 3** The Results of Two-Piecewise Linear Regression Model Between High-Density Lipoprotein Cholesterol and Cause-Specific Mortality

	<b>All-Cause Mortality HR (95% CI) P-value</b>	<b>Cardiovascular Disease Mortality HR (95% CI) P-value</b>	<b>Cancer Mortality HR (95% CI) P-value</b>
Cutoff value, mmol/L	1.36 (53 mg/dL)	1.28 (49 mg/dL)	1.89 (73 mg/dL)
<Cut-off value	0.62 (0.46, 0.85) 0.0025	0.66 (0.33, 1.31) 0.2319	0.83 (0.53, 1.30) 0.4159
≥Cut-off value	1.50 (1.16, 1.94) 0.0018	1.23 (0.76, 2.01) 0.4036	3.83 (1.76, 8.34) 0.0007
P for log likelihood ratio test	<0.001	0.218	0.009

**Notes:** Data are HR (95% CI). Age, gender, body mass index, race, education level, smoking, systolic blood pressure, estimated glomerular filtration rate, glycohemoglobin, total cholesterol, comorbidities (hypertension, cardiovascular disease, and cancer), and medicine use (antihypertensive drugs, hypoglycemic agents, lipid-lowering drugs, and antiplatelet drugs) were adjusted. Generalized additive model was performed.

**Abbreviations:** HR, hazard ratio; CI, confidence interval.

association being found for cardiovascular and cancer mortality was different from some recent data.<sup>21,22</sup> Indeed, a previous study demonstrated that higher HDL-C was related to increased risk of all-cause mortality only in high-risk patients with type 2 diabetes and for those with very low LDL-C levels.<sup>11</sup> Therefore, the non-linear association observed in our study needs to be validated by more studies with a larger sample size.

Subgroup analysis showed that the relationship between HDL-C and death was heterogeneous according to gender, age, race, and lipid-lowering drugs. We speculated that the main reason may be attributed by the small sample size. Li et al<sup>10</sup> found that higher HDL-C levels with an increased risk for all-cause mortality only in younger participants (<65 years old). Mazidi et al<sup>23</sup> revealed that there were racial differences between HDL-C and death. Another study demonstrated that lower HDL-C (<1.03 mmol/L) was associated with risk of higher all-cause, cardiovascular and cancer mortality in men and women, but higher HDL-C (>1.55 mmol/L) was associated with a lower risk of all-cause, cardiovascular and cancer mortality in women but not in men.<sup>22</sup> In addition, meta-analyses indicated HDL-C-elevating drugs such as niacin and cholesteryl ester transfer protein inhibitors did not influence the occurrence of mortality.<sup>12,24</sup> Therefore, whether higher HDL-C was beneficial still needed more researches.

The mechanisms between HDL-C levels and the risk of mortality are still not completely clear. Genetic variance may be a reason, and several genetic variants such as *ABCA1* and *CETP*, which have been found to relate to HDL-C levels and have adverse effects on health outcomes.<sup>25,26</sup> Mendelian randomisation studies demonstrated that some genetic mechanisms that raised plasma HDL-C did not relate to a lower risk of cardiovascular

diseases.<sup>27,28</sup> Besides, HDL-C is closely related to apoA1 metabolism. It has been shown that an increased HDL-C/Apo A-I ratio may be a shared risk factor for cardiovascular, cancer and all-cause mortality.<sup>29</sup> Indeed, diabetes was characterized not only by low HDL-C levels but also by defective HDL function, and functional HDL deficiency is intimately associated with alterations in intravascular HDL metabolism and structure.<sup>30</sup> Finally, the composition and particle size of HDL-C may also play a role.

The long period of follow-up and rigorous procedure for sampling and data collection in the NHANES study have made the study findings reliable. Nevertheless, this study also has several limitations. First, the relatively small sample size was an important disadvantage. Second, it was an observational study rather than an intervention study; therefore, the quality of evidence might not be the highest. Third, the absence of many covariates may have a certain impact on the results. Fourth, some risk factors such as physical activity, types of lipid-lowering drugs, menopausal status, duration of diabetes, income and marriage status were missing in this study. Fifth, some covariates were self-reported and might lead to recall bias. Sixth, we cannot obtain details on lipid-lowering drug medication and type of anti-diabetic drugs, also cannot get information about menopausal status. In addition, the collection of HDL-C was only conducted at baseline. Finally, our study only focused on the association between HDL-C and mortality, but did not explore the relationship with cardiovascular events.

## Conclusions

In conclusion, our study indicated that the relationship of HDL-C with all-cause, cardiovascular and cancer mortality was non-linear among diabetic patients. Our results

**Table 4** Subgroups Analysis

	N	All-Cause Mortality HR (95% CI) P-value		P for Log Likelihood Ratio Test	Cardiovascular Disease Mortality HR (95% CI) P-value		P for Log Likelihood Ratio Test	Cancer Mortality HR (95% CI) P-value		P for Log Likelihood Ratio Test
		<1.36	≥1.36		<1.28	≥1.28		<1.89	≥1.89	
Cutoff value, mmol/L										
Age										
≥65	2605	0.59 (0.41, 0.84) 0.0036	1.59 (1.19, 2.12) 0.0018	<0.001	0.53 (0.24, 1.17) 0.1157	1.34 (0.77, 2.31) 0.2977	0.114	0.84 (0.48, 1.48) 0.5477	5.32 (2.39, 11.86) <0.0001	0.004
<65	3356	1.06 (0.61, 1.87) 0.8301	1.54 (0.88, 2.71) 0.1332	0.443	2.70 (0.72, 10.15) 0.1418	1.09 (0.34, 3.45) 0.8883	0.374	1.06 (0.49, 2.28) 0.8832	0.64 (0.02, 17.98) 0.7962	0.779
Gender										
Male	3082	0.72 (0.49, 1.06) 0.0997	2.08 (1.39, 3.12) 0.0004	0.002	0.53 (0.23, 1.22) 0.1336	1.90 (0.91, 3.95) 0.0853	0.063	1.04 (0.56, 1.92) 0.8956	8.83 (2.27, 34.29) 0.0016	0.025
Female	2879	0.46 (0.28, 0.76) 0.0021	1.34 (0.77, 2.31) 0.2977	0.114	1.09 (0.28, 4.31) 0.9025	0.89 (0.45, 1.77) 0.7405	0.823	0.59 (0.29, 1.17) 0.1314	2.83 (0.93, 8.62) 0.0678	0.066
Race										
Non-white	3633	0.61 (0.39, 0.95) 0.0286	1.50 (1.07, 2.10) 0.0174	0.009	0.99 (0.33, 2.91) 0.9799	0.95 (0.48, 1.86) 0.8791	0.960	0.81 (0.44, 1.50) 0.5102	3.07 (1.15, 8.17) 0.0252	0.072
White	2328	0.66 (0.43, 1.01) 0.0546	1.48 (1.00, 2.19) 0.0486	0.022	0.50 (0.20, 1.26) 0.1432	1.53 (0.74, 3.18) 0.2530	0.120	0.83 (0.42, 1.64) 0.5849	5.64 (1.33, 23.90) 0.0189	0.056
Lipid-lowering drugs										
No	3844	0.61 (0.40, 0.95) 0.0283	1.57 (1.19, 2.07) 0.0013	0.003	0.75 (0.30, 1.89) 0.5401	1.45 (0.82, 2.57) 0.2060	0.313	0.89 (0.52, 1.53) 0.6653	3.89 (1.72, 8.80) 0.0011	0.019
Yes	2117	0.60 (0.34, 1.06) 0.0783	0.98 (0.63, 1.53) 0.9260	0.265	0.65 (0.23, 1.88) 0.4289	0.65 (0.26, 1.63) 0.3559	0.991	0.70 (0.30, 1.64) 0.4178	3.14 (0.23, 43.43) 0.3939	0.373
Hypoglycemic agents										
No	2980	0.70 (0.45, 1.10) 0.1190	1.55 (1.10, 2.18) 0.0120	0.021	1.02 (0.37, 2.79) 0.9760	0.84 (0.40, 1.74) 0.6360	0.796	1.47 (0.79, 2.74) 0.2180	4.48 (2.03, 9.89) <0.001	0.071
Yes	3569	0.59 (0.39, 0.90) 0.0140	1.38 (0.93, 2.04) 0.1080	0.017	0.45 (0.17, 1.16) 0.0969	1.74 (0.87, 3.45) 0.1146	0.059	0.52 (0.26, 1.03) 0.0619	0.39 (0.01, 21.29) 0.6440	0.892

**Notes:** When analyzing a subgroup variable, age, gender, body mass index, race, education level, smoking, systolic blood pressure, estimated glomerular filtration rate, glycohemoglobin, total cholesterol, comorbidities (hypertension, cardiovascular disease, and cancer), and medicine use (antihypertensive drugs, hypoglycemic agents, lipid-lowering drugs, and antiplatelet drugs) were all adjusted except the variable itself.

suggested that both lower and higher levels were associated with an increased risk of mortality. The optimal HDL-C concentration for all-cause mortality was probably between 1.32 and 1.53 mmol/L (51 and 59 mg/dL).

## Data Sharing Statement

Data are available in a public, open access repository. Data availability in <https://www.cdc.gov/nchs/nhanes/Default.Aspix>.

## Funding

There is no funding to report.

## Disclosure

The authors of this paper reported no conflicts of interest.

## References

- Gordon T, Castelli WP, Hjortland MC, et al. High density lipoprotein as a protective factor against coronary heart disease. The Framingham Study. *Am J Med.* 1977;62(5):707–714. doi:10.1016/0002-9343(77)90874-9
- Barter P, Gotto AM, LaRosa JC, et al. HDL cholesterol, very low levels of LDL cholesterol, and cardiovascular events. *N Engl J Med.* 2007;357(13):1301–1310. doi:10.1056/NEJMoa064278
- Kaysen GA, Ye X, Raimann JG, et al. Lipid levels are inversely associated with infectious and all-cause mortality: international MONDO study results. *J Lipid Res.* 2018;59(8):1519–1528. doi:10.1194/jlr.P084277
- Okamura T, Hayakawa T, Kadowaki T, et al. The inverse relationship between serum high-density lipoprotein cholesterol level and all-cause mortality in a 9.6-year follow-up study in the Japanese general population. *Atherosclerosis.* 2006;184(1):143–150. doi:10.1016/j.atherosclerosis.2005.03.042
- Kinoshita M, Yokote K, Arai H, et al. Japan Atherosclerosis Society (JAS) guidelines for prevention of atherosclerotic cardiovascular diseases 2017. *J Atheroscler Thromb.* 2018;25(9):846–984. doi:10.5551/jat.GL2017
- Arnett DK, Blumenthal RS, Albert MA, et al. 2019 ACC/AHA guideline on the primary prevention of cardiovascular disease: a report of the American College of Cardiology/American Heart Association Task Force on Clinical Practice Guidelines. *Circulation.* 2019;140(11):e596–e646. doi:10.1161/CIR.0000000000000678
- Zewinger S, Speer T, Kleber ME, et al. HDL cholesterol is not associated with lower mortality in patients with kidney dysfunction. *J Am Soc Nephrol.* 2014;25(5):1073–1082. doi:10.1681/ASN.2013050482
- Zhong G-C, Huang S-Q, Peng Y, et al. HDL-C is associated with mortality from all causes, cardiovascular disease and cancer in a J-shaped dose-response fashion: a pooled analysis of 37 prospective cohort studies. *Eur J Prev Cardiol.* 2020;27(11):2047487320914756. doi:10.1177/2047487320914756
- Hamer M, O'Donovan G, Stamatakis E. High-density lipoprotein cholesterol and mortality: too much of a good thing? *Arterioscler Thromb Vasc Biol.* 2018;38(3):669–672. doi:10.1161/ATVBAHA.117.310587
- Li X, Guan B, Wang Y, et al. Association between high-density lipoprotein cholesterol and all-cause mortality in the general population of northern China. *Sci Rep.* 2019;9(1):14426. doi:10.1038/s41598-019-50924-4
- Sharif S, van der Graaf Y, Nathoe HM, et al. HDL cholesterol as a residual risk factor for vascular events and all-cause mortality in patients with type 2 diabetes. *Diabetes Care.* 2016;39(8):1424–1430. doi:10.2337/dc16-0155
- Keene D, Price C, Shun-Shin MJ, et al. Effect on cardiovascular risk of high density lipoprotein targeted drug treatments niacin, fibrates, and CETP inhibitors: meta-analysis of randomised controlled trials including 117,411 patients. *BMJ.* 2014;349(jul18 2):g4379. doi:10.1136/bmj.g4379
- Ford ES, Giles WH, Dietz WH. Prevalence of the metabolic syndrome among US adults: findings from the third National Health and Nutrition Examination Survey. *JAMA.* 2002;287(3):356–359. doi:10.1001/jama.287.3.356
- Palmer MK, Toth PP. Trends in lipids, obesity, metabolic syndrome, and diabetes mellitus in the United States: an NHANES analysis (2003–2004 to 2013–2014). *Obesity.* 2019;27(2):309–314. doi:10.1002/oby.22370
- Friedewald WT, Levy RI, Fredrickson DS. Estimation of the concentration of low-density lipoprotein cholesterol in plasma, without use of the preparative ultracentrifuge. *Clin Chem.* 1972;18(6):499–502. doi:10.1093/clinchem/18.6.499
- James PA, Oparil S, Carter BL, et al. 2014 evidence-based guideline for the management of high blood pressure in adults: report from the panel members appointed to the Eighth Joint National Committee (JNC 8). *JAMA.* 2014;311(5):507–520. doi:10.1001/jama.2013.284427
- Association AD. Classification and diagnosis of diabetes: standards of medical care in diabetes-2018. *Diabetes Care.* 2018;41(Suppl 1):S13–S27. doi:10.2337/dc18-S002
- Chen C, Ye Y, Zhang Y, et al. Weight change across adulthood in relation to all cause and cause specific mortality: prospective cohort study. *BMJ.* 2019;367:15584. doi:10.1136/bmj.15584
- Oh IH, Hur JK, Ryoo JH, et al. Very high high-density lipoprotein cholesterol is associated with increased all-cause mortality in South Koreans. *Atherosclerosis.* 2019;283:43–51. doi:10.1016/j.atherosclerosis.2019.01.035
- Bowe B, Xie Y, Xian H, et al. High density lipoprotein cholesterol and the risk of all-cause mortality among U.S. veterans. *Clin J Am Soc Nephrol.* 2016;11(10):1784–1793. doi:10.2215/CJN.00730116
- Madsen CM, Varbo A, Nordestgaard BG. Extreme high high-density lipoprotein cholesterol is paradoxically associated with high mortality in men and women: two prospective cohort studies. *Eur Heart J.* 2017;38(32):2478–2486. doi:10.1093/eurheartj/ehx163
- Navaneethan SD, Schold JD, Walther CP, et al. High-density lipoprotein cholesterol and causes of death in chronic kidney disease. *J Clin Lipidol.* 2018;12(4):1061–1071.e7. doi:10.1016/j.jacl.2018.03.085
- Mazidi M, Mikhailidis DP, Banach M. Associations between risk of overall mortality, cause-specific mortality and level of inflammatory factors with extremely low and high high-density lipoprotein cholesterol levels among American adults. *Int J Cardiol.* 2019;276:242–247. doi:10.1016/j.ijcard.2018.11.095
- Verdoia M, Schaffer A, Suryapranata H, et al. Effects of HDL-modifiers on cardiovascular outcomes: a meta-analysis of randomized trials. *Nutr Metab Cardiovasc Dis.* 2015;25(1):9–23. doi:10.1016/j.numecd.2014.09.003
- Frikke-Schmidt R. Genetic variation in the ABCA1 gene, HDL cholesterol, and risk of ischemic heart disease in the general population. *Atherosclerosis.* 2010;208(2):305–316. doi:10.1016/j.atherosclerosis.2009.06.005
- Genga KR, Trinder M, Kong HJ, et al. CETP genetic variant rs1800777 (allele A) is associated with abnormally low HDL-C levels and increased risk of AKI during sepsis. *Sci Rep.* 2018;8(1):16764. doi:10.1038/s41598-018-35261-2
- Voight BF, Peloso GM, Orho-Melander M, et al. Plasma HDL cholesterol and risk of myocardial infarction: a Mendelian randomisation study. *Lancet.* 2012;380(9841):572–580. doi:10.1016/S0140-6736(12)60312-2

28. Holmes MV, Ala-Korpela M, Smith GD. Mendelian randomization in cardiometabolic disease: challenges in evaluating causality. *Nat Rev Cardiol.* 2017;14(10):577–590. doi:10.1038/nrcardio.2017.78
29. Sung K-C, Ryu S, Wild SH, et al. An increased high-density lipoprotein cholesterol/apolipoprotein A-I ratio is associated with increased cardiovascular and all-cause mortality. *Heart.* 2015;101(7):553–558. doi:10.1136/heartjnl-2014-306784
30. Kontush A, Chapman MJ. Functionally defective high-density lipoprotein: a new therapeutic target at the crossroads of dyslipidemia, inflammation, and atherosclerosis. *Pharmacol Rev.* 2006;58(3):342–374. doi:10.1124/pr.58.3.1

## Diabetes, Metabolic Syndrome and Obesity: Targets and Therapy

Dovepress

### Publish your work in this journal

Diabetes, Metabolic Syndrome and Obesity: Targets and Therapy is an international, peer-reviewed open-access journal committed to the rapid publication of the latest laboratory and clinical findings in the fields of diabetes, metabolic syndrome and obesity research. Original research, review, case reports, hypothesis formation, expert opinion

and commentaries are all considered for publication. The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit <http://www.dovepress.com/testimonials.php> to read real quotes from published authors.

Submit your manuscript here: <https://www.dovepress.com/diabetes-metabolic-syndrome-and-obesity-targets-and-therapy-journal>