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## Maximizing Remission from Cognitive-Behavioral Therapy in Medicated Adults with Obsessive-Compulsive Disorder

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## Abstract

Practice guidelines for adults with obsessive-compulsive disorder (OCD) recommend augmenting serotonin reuptake inhibitors (SRIs) with exposure and ritual prevention (EX/RP). However, fewer than half of patients remit after a standard 17-session EX/RP course. We studied whether extending the course increased overall remission rates and which patient factors predicted remission. Participants were 137 adults with clinically significant OCD (Yale-Brown Obsessive Compulsive Scale [Y-BOCS] score  $\geq 18$ ) despite an adequate SRI trial ( $\geq 12$  weeks). Continuing their SRI, patients received 17 sessions of twice-weekly EX/RP (standard course). Patients who did not remit (Y-BOCS  $\geq 12$ ) received up to 8 additional sessions (extended course). Of 137 entrants, 123 completed treatment: 49 (35.8%) remitted with the standard course and another 46 (33.6%) with the extended course. Poorer patient homework adherence, more Obsessive-Compulsive Personality Disorder (OCPD) traits, and the Brain-Derived Neurotrophic Factor (BDNF) Val66MET genotype were associated with lower odds of standard course remission. Only homework adherence differentiated non-remitters from extended course remitters. Extending the EX/RP course from 17 to 25 sessions enabled many (69.3%) OCD patients on SRIs to achieve remission. Although behavioral (patient homework adherence), psychological (OCPD traits), and biological (BDNF genotype) factors influenced odds of EX/RP remission, homework adherence was the most potent patient factor overall.

## Keywords

Obsessive-compulsive disorder; OCD; exposure and ritual prevention; EX/RP; cognitive-behavioral therapy; CBT

## INTRODUCTION

Cognitive-behavioral therapy (CBT) consisting of exposure and ritual prevention (EX/RP) is an established treatment for obsessive-compulsive disorder (OCD), both as monotherapy (Foa et al., 2005) and combined with serotonin reuptake inhibitors (SRIs) (Simpson et al., 2013, 2008). In randomized trials (Simpson et al., 2008; Simpson, Foa, et al., 2013; Simpson, Huppert, Petkova, Foa, & Liebowitz, 2006), fewer than half of patients who receive the 17-session EX/RP course achieve remission (defined as a Yale-Brown Obsessive Compulsive Scale [Y-BOCS; Goodman, Price, Rasmussen, Mazure, Delgado, et al., 1989; Goodman, Price, Rasmussen, Mazure, Fleischmann, et al., 1989] score  $\leq 12$  [Mataix-Cols et al., 2016; Simpson et al., 2006]). In clinical practice, clinicians often extend therapy when an initial course does not suffice, but little research has systematically evaluated the effects of continuing EX/RP (Foa et al., 2013; Foa et al., 2015). This paper presents data from a clinical trial that examined how extending the course of EX/RP affected overall remission rates in medicated adults with OCD, and which psychological, biological, and behavioral factors were associated with remission from a standard or extended EX/RP course.

The notion that individuals differ in the dose of EX/RP they need to achieve remission accords with a precision medicine approach, which seeks to provide each patient the right treatment at the right dose based on individual variables (Collins & Varmus, 2015). Developing a precision medicine approach for medicated patients with OCD requires identifying individual patient characteristics that define *who* benefits most from adding EX/RP to SRIs as well as *how much* (therapeutic dose) EX/RP they require. Identifying the range in EX/RP dose required for remission as well as which patient characteristics strongly predict remission is a first step.

Prior studies have investigated many factors that might predict EX/RP outcome (Knopp, Knowles, Bee, Lovell, & Bower, 2013; Maher et al., 2010, 2014; Olatunji, Davis, Powers, & Smits, 2013; Öst, Havnen, Hansen, & Kvale, 2015; Steketee, Siev, Yovel, Lit, & Wilhelm, 2019; Wheaton, Rosenfield, Foa, & Simpson, 2015), including: demographic characteristics; OCD severity; dysfunctional beliefs; degree of insight; comorbidity; treatment history; and functioning/quality of life. Some factors show small effects, but there is limited replication across studies. One notable exception has been reported: patient adherence to between-session homework strongly predicted EX/RP acute outcome in two trials (Simpson et al., 2011; Wheaton et al., 2016) as well as outcome six months later (Simpson, Marcus, Zuckoff, Franklin, & Foa, 2012).

Recent data suggest other factors may also influence EX/RP outcome. Comorbid obsessive-compulsive personality disorder (OCPD) predicted worse EX/RP outcome in one study of 49 patients with OCD (Pinto, Liebowitz, Foa, & Simpson, 2011). Change in metacognitions was associated with EX/RP response in another study of 83 OCD patients (Solem, Håland, Vogel, Hansen, & Wells, 2009; Wells, Myers, Simons, & Fisher, 2017), raising the question of whether severity of metacognitions predicts EX/RP outcome. Finally, several gene variants have been associated with abnormal fear processing in laboratory studies and with poorer outcomes in exposure-based CBT (like EX/RP). These include: 1) a polymorphism in human brain-derived neurotrophic factor (BDNF) gene rs6265 (a valine [Val] to methionine

[Met] substitution [Val66Met]), linked in rodents and healthy humans to poorer extinction learning (Soliman et al., 2010) and to poorer EX/RP outcomes in OCD (Fullana et al., 2012); 2) a COMT gene rs4680 polymorphism (a Val to Met substitution), linked to extinction learning deficits in healthy humans (Lonsdorf et al., 2009) and poorer CBT outcome in panic disorder (Lonsdorf et al., 2010); and 3) the 14-repeat short (S) variant in the promoter region of the serotonin transporter (5-HTT), which yields less transcriptional activity and lower serotonin uptake than the 16-repeat long (L) variant (5-HTTLPR), correlated with increased amygdala reactivity to fearful and angry faces in healthy humans (Munafò, Brown, & Hariri, 2008), and poorer CBT outcome in PTSD (Pierce et al., 2010). If these factors strongly predicted EX/RP outcome in OCD, the data could support testing a precision medicine approach to selecting particular treatments. No prior study has examined patient EX/RP adherence, the most potent predictor found to date, and these other factors within the same sample. Moreover, few studies have focused on predictors of remission, despite the fact that this is the outcome associated with good functioning and quality of life (Farris, McLean, Van Meter, Simpson, & Foa, 2013).

To address this gap, we used data from a clinical trial funded by the National Institute of Mental Health (NIMH) that recruited 137 adults with OCD who had received an adequate SRI trial but who still had clinically significant OCD symptoms. As the preparatory phase to a double-blind discontinuation trial, patients were continued on their SRI at a stable dose and provided a standard 17-session course of EX/RP; for patients who had not yet achieved remission (defined as a Y-BOCS  $\leq$  12 consistent with [Mataix-Cols et al., 2016; Simpson et al., 2006]), the therapist could extend this course for up to 8 more sessions. This design enabled us to examine whether extending the course of EX/RP improved overall remission rates, a clinically-important question since two prior studies indicated that time alone is not sufficient (Foa et al, 2013; Foa et al. 2015). It also enabled us to study which patient characteristics were associated with remission from the standard versus extended course. Based on the literature described above, we hypothesized that patient adherence to between-session homework, OCPD traits, and the BDNF polymorphism would be associated with EX/RP outcome; we also explored metacognitions and the COMT and 5-HTT variants.

## METHODS

### Overview

This study, registered at [clinicaltrials.gov](https://clinicaltrials.gov) (Identifier: [NCT01686087](https://clinicaltrials.gov/ct2/show/study/NCT01686087)), took place at two outpatient research clinics: The Center for OCD and Related Disorders in New York, NY and the Center for the Treatment and Study of Anxiety (CTSA) in Philadelphia, PA. It examined in a double-blind discontinuation trial whether adults with OCD on SRIs could discontinue their medication after successful EX/RP augmentation. This paper presents results from the preparatory phase, in which participants who still had clinically-significant symptoms despite an adequate SRI trial received up to 25 sessions of EX/RP. Each site's institutional review board (IRB) reviewed and approved the study. Patients were recruited via clinician referrals, community flyers, and a study-specific website. All patients provided written informed consent before entering the study.

## Participants

Eligible patients were adults (aged 18–75 years) with a principal diagnosis of OCD (1 year) who had received an adequately dosed (12 weeks) SRI but remained at least moderately symptomatic (Y-BOCS score  $\geq 18$  [Goodman, Price, Rasmussen, Mazure, Delgado, et al., 1989; Goodman, Price, Rasmussen, Mazure, Fleischmann, et al., 1989]). Adequate SRI dose was defined as: clomipramine 225 mg/d; fluoxetine 60 mg/d; paroxetine 60 mg/d; sertraline 200 mg/d; fluvoxamine 250 mg/d; citalopram 40 mg/d; and escitalopram 30 mg/d. Patients constrained by intolerable side effects to lower doses were also eligible; mean SRI doses appear in Table 1. Benzodiazepines (e.g., for sleep) and stimulants (for prior diagnosis of attention deficit hyperactivity disorder) were permitted as long as they were taken routinely.

Exclusion criteria included: (1) recurrent Major Depressive Disorder (MDD;  $\geq 3$  major depressive episodes [MDE]) and/or MDE with psychotic features;<sup>1</sup> (2) history of bipolar or psychotic disorder; (3) substance use disorder (abuse or dependence) in the past 3 months; (4) suicidal ideation; (5) medical or neurological condition requiring immediate intervention; (6) pregnancy or nursing; or (7) prior course of EX/RP ( $\geq 8$  sessions delivered within 2 months) in the past 5 years.

A psychiatric interview conducted by study clinicians determined eligibility. Trained raters using the Structured Clinical Interview for DSM-IV (SCID; [First, Spitzer, Gibbon, & Williams, 1995]) confirmed diagnoses; following publication of the DSM-5 in 2013, raters confirmed all participants met DSM-5 criteria for OCD as well. Treatment history was confirmed with the referring clinician and medical record when possible.

## Study Treatments

**Maintenance SRI:** Patients entered the study receiving a stable SRI dose. Their study psychiatrist, with whom the patient met every four weeks, maintained this dose throughout. The first visit lasted 60 minutes; subsequent visits were 30 minutes. During visits, the study psychiatrist confirmed current SRI dose, assessed side effects, but did not conduct psychotherapy including EX/RP. SRI blood levels were measured at baseline and at the end of EX/RP treatment to confirm SRI adherence (Foglia, Birder, & Perel, 1989; Øyehaug, Terje Østensen, & Salvesen, 1982; Suckow, Zhang, & Cooper, 1992).

**EX/RP Augmentation:** Study therapists provided patients manualized EX/RP (Foa, Yadin, Lichner, 2012), comprising an initial course of two introductory sessions (focused on psychoeducation and exposure planning) followed by 15 exposure sessions and between-session phone check-ins. Sessions were 90 minutes long, delivered twice-weekly. Exposure sessions included therapist-aided exposure (in which patients faced their obsessional fears for prolonged periods without ritualizing and discussed dysfunctional cognitions within the context of exposure), daily homework ( $\geq 1$  hour of self-directed exposures and instructions to stop ritualizing), and education about relapse prevention. Therapists made phone calls (each

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<sup>1</sup>These exclusions follow the American Psychiatric Association Practice Guidelines for Major Depression Disorder ([www.psych.org/guidelines/mdd2010](http://www.psych.org/guidelines/mdd2010)), which recommend continued antidepressant medication after an initial response for 4–9 months and recommend maintenance antidepressant medication in specific situations (e.g., history of psychotic depression or recurrent MDD).

lasting <20 minutes) between each session (e.g., 16 calls with the standard 17 session protocol, 24 calls for those receiving 25 sessions) to review homework progress and offer encouragement and support.

All patients were provided 17 EX/RP sessions (2 introductory, 15 exposure) over 8 weeks, the standard course used in prior randomized controlled trials (Simpson et al., 2008; Simpson, Foa et al. 2013). Patients who reported symptom improvement but had not remitted after 17 sessions (defined *a priori* as Y-BOCS score  $\geq 12$  based on the literature [Mataix-Cols et al., 2016; Simpson et al., 2006]) were offered 4 additional sessions; those still not remitted were offered an additional 4 sessions, for a total maximum of 25 sessions. The rationale was twofold: 1) to mimic clinical practice (in which degree of response determines treatment length); and 2) to maximize the number of patients eligible to enter the double-blind SRI discontinuation trial.

Doctoral-level therapists (Ph.D. or Psy.D) with EX/RP experience delivered EX/RP. EX/RP experts led group supervision in weekly conference calls. Sessions were audiotaped for independent review by trained raters (Master's Level or above) who had no contact with study patients. Therapist adherence to the EX/RP manual was assessed in 95 randomly-selected sessions: 91% of the manual-prescribed therapy elements (e.g., therapist designed an *in vivo* exposure that addressed the patient's identified obsessions) and 98% of the prescribed therapist factors (e.g., therapist exhibited nonjudgmental and supportive attitude) were used.

## Study Assessments

**Clinical Symptoms:** Independent evaluators (IEs) having no other contact with study patients and blind to study aims and design used the Y-BOCS (Goodman, Price, Rasmussen, Mazure, Delgado, et al., 1989; Goodman, Price, Rasmussen, Mazure, Fleischmann, et al., 1989) and Hamilton Depression Rating Scale (HAM-D, 17-item version [Hamilton, 1960]) to assess OCD and depressive severity, respectively. Assessments occurred at week 0 (pre-EX/RP), week 4 (midway through the standard EX/RP protocol), week 8 (after the standard EX/RP protocol); and at weeks 10 and 12 for patients who received additional sessions. IE assessments were audiotaped, with random selections sent to the IE supervisor for review. IEs re-rated a random sample of tapes and ratings were discussed in group supervision during monthly conference calls. Intraclass correlations (ICCs) among IEs were high (Y-BOCS ICC=0.94; 95% CI=0.92,0.96).

Study patients completed self-report measures: the Pathological Obsessive-Compulsive Personality Scale (POPS; [Pinto, Ansell, & Wright, 2011; Sadri, McEvoy, Pinto, Anderson, & Egan, 2018]) to assess maladaptive OCPD traits, at baseline; the Metacognitions Questionnaire (MCQ-30; [Wells & Cartwright-Hatton, 2004]) to assess beliefs about cognitions and the Quality of Life Enjoyment and Satisfaction Questionnaire-Short Form (Q-LES-Q-SF, [Ritsner, Kurs, Gibel, Ratner, & Endicott, 2005; Wyrwich et al., 2009]), at each IE assessment.

**Patient EX/RP Adherence:** Therapists rated patient adherence to EX/RP homework using the Patient EX/RP Adherence Scale (PEAS), a 3-item scale designed to assess the



quantity of exposures attempted, the quality of exposures attempted, and the degree of ritual prevention achieved since the prior session (Simpson et al., 2011). Each item is rated on a scale from 1 to 7, with higher scores indicating better adherence; the three scores are then averaged. An average PEAS score of 5 is considered “good”, 6 “very good”, and 7 “excellent” adherence (Simpson et al., 2011). The therapist used the PEAS to evaluate homework adherence (session 4 onward) once having assigned homework (session 3 onward). The PEAS has good psychometric properties and predicts acute and 6-month EX/RP outcome (Simpson et al., 2011, 2012). Throughout the study, bi-monthly therapist group conference calls were conducted to review PEAS ratings. Therapists at both sites formally re-rated a random sample of 65 by listening to session audiotapes. Intraclass correlations (ICCs) among therapists were high (PEAS: ICC=0.86; 95% CI=0.80, 0.91). For patients who had 1 PEAS (n=132), model-estimated average PEAS scores were computed using a longitudinal linear mixed effects model (including patient-specific random intercepts and random slopes) that fit all available PEAS scores up to session 17 for each patient. This method was used in a prior study (Simpson et al., 2011) to allow for computing average PEAS over 17 sessions for all participants (including those with missing PEAS data) based on each person’s own individual PEAS trajectory.

**Genotyping:** A blood sample was taken from all participants and sent to the NIMH Center for Collaborative Genetic Studies in Mental Disorders at Rutgers University (<http://www.rucdr.org/>), a repository that supports the acquisition of genetic samples in NIMH-funded studies. DNA samples derived from whole blood or lymphoblastoid cell lines were provided by the repository to the New York site for analysis. For the BDNF and COMT genes, Taqman 5’ exonuclease assays (Assay on Demand, Life Technologies) were used to genotype DNA samples at BDNF Val66Met (rs6265) and COMT Val158Met (rs4680) sites. Genomic DNA (10 ng) was transferred to a 384-well polymerase chain reaction (PCR) plate and mixed with primer mix (containing locus-specific primers and allele-specific fluorescently labeled probes (C\_11592758\_10 for rs6265 and C\_25746809\_50 for rs4680, respectively) and a 2x TaqPath ProAmp Master Mix (containing PCR enzyme, buffer, and nucleotides). Assays performed on a Thermo Fisher QuantStudio7 Real-Time PCR System used its standardized cycling protocols, with genotype call conducted using QuantStudio Software.

The serotonin transporter gene (SLC6A4), the 5-HTTLPR insertion/deletion (“long” and “short” respectively) was analyzed following published procedures (Contreras-Sesvold, Abraham, Devaney, Harmon, & Deuster, 2015). Genotyping was performed by polymerase chain reaction (PCR) using 25 ng genomic DNA, 300 nmoles of each primer, forward 5’ GCGTTGCCGCTCTGAATGC 3’ and reverse 5’ GAGGGACTGAGCTGGACAACCAC 3’, and GoTaq master mix kit (Promega). Thermocycler (ABI 9700) conditions were initial denaturation at 95°C (5 min), followed by 40 cycles of 95°C (30 sec), 60°C (30 sec), and 72°C (40 sec), with a final elongation step at 72°C (7 min). All experiments used a positive control and “no template” negative control. This assay yielded amplicons of 485 bp for short allele, 528 bp for long allele (see Supplemental Material). Genotype was read manually.

## Statistical Analyses:

Descriptive statistics characterized demographic and clinical characteristics of the sample and EX/RP predictors. To assess change in Y-BOCS from baseline to session 17, both observed and weighted change scores were computed. Weights for each subject's change score were determined using inverse probability weighting based on baseline demographic and clinical factors to account for dropouts with missing session 17 data. Correlations between predictors were examined. A series of multinomial logistic regression models were utilized to examine which potential predictors were associated with remission (Y-BOCS 12). Outcome was categorized as 3 levels: 1) Y-BOCS 12 by session 17 (standard course); 2) Y-BOCS 12 by session 25 (extended course); and 3) never achieved Y-BOCS 12 (no-remission). Odds-ratios were computed for all possible remission outcome contrasts: extended course remission compared to standard course remission, no-remission compared to standard course remission, and no-remission compared to extended course remission. The first model included only baseline Y-BOCS. The second, third, and fourth models all included baseline Y-BOCS along with the following: patient homework adherence, measured by the model-estimated PEAS scores (model 2); clinical measures POPS and MCQ (model 3); or genetic measures (model 4) including BDNF, COMT, and SLC6A4 genotypes. The final model (model 5) included all predictors simultaneously. Area under the receiver operating characteristics curve (AUC) was reported as a measure of model fit. Sensitivity analyses were performed to assess whether additional clinical measures (depressive severity as measured by HAM-D and quality of life as measured by Q-LES-Q-SF) improved model fit.

Fourteen patients were excluded from the logistic regression models because they either received too few sessions to predict EX/RP outcome (dropped out prior to week 4) and/or had no Y-BOCS rating other than baseline. To examine the influence of missing data due to dropout on the logistic regression, we performed a sensitivity analysis, designating dropouts as non-remitters.

All analyses were run using SAS, version 9.4. Statistical tests were two-sided with a significance level of  $\alpha=0.05$ . All continuous measures were standardized to allow interpretation of estimates in standard deviation units.

## RESULTS

### Sample

As Figure 1 shows, 475 patients were assessed for eligibility, 219 were eligible, and 137 signed consent and were offered the standard 17-session EX/RP protocol. Of 123 who completed the protocol, all but four received between 15 and 17 sessions by week 8, and 49 remitted. Non-remitters ( $n=74$ ) were offered 4 additional sessions; those still not remitted ( $n=39$ ) were offered an additional 4 sessions, for a maximum of 25 total sessions. Baseline demographic and clinical characteristics did not differ between those that received up to 4 additional sessions in comparison to those that received up to 8 additional sessions.

Table 1 presents demographic and clinical characteristics of the 137 patients who began EX/RP. On average, patients had had OCD for over 15 years. Despite receiving a stable SRI



dose for 12 weeks (range 12-1128, mean weeks [SD]= 83.36 [157]), patients suffered from moderate to severe OCD symptoms (Y-BOCS mean [SD]= 26.6 [3.5]) and reported reduced quality of life (mean percent of maximum [SD]: 57.6 [15.5]); depressive severity, however, was low (7.0 [5.0]). The samples at each site were similar but one site enrolled more patients who identified as single and who were diagnosed with comorbid disorders.

Fourteen patients discontinued the study protocol (see Figure 1 for drop reasons), all but two by week 4. Baseline clinical measures did not significantly differ between the 123 patients who completed the study and the 14 who did not.

### Effects of Standard versus Extended Dose of EX/RP: Descriptive Data

Patients receiving the standard 17-session EX/RP protocol showed large reductions in OCD severity measured by Y-BOCS change over time (observed mean [SD]: baseline Y-BOCS=26.6 [3.5],  $n=137$ ; session 17 Y-BOCS=14.1 [5.7],  $n=123$ , mean change=-12.4 [6.1], Cohen's  $d=3.5$ ; inverse probability weighted mean session 17 Y-BOCS=14.4, weighted mean change=-11.7, Cohen's  $d=3.3$ ). After 17 EX/RP sessions, 49 (35.8%) achieved remission (Y-BOCS  $\leq 12$ ). Another 46 (33.6%) achieved remission with up to 8 additional sessions, based on lowest achieved Y-BOCS score. Twenty-eight (20.4%) who completed the study protocol did not achieve remission, despite receiving up to 25 sessions. Figure 2 presents observed mean Y-BOCS values at baseline and after the standard or extended course of the three remission groups (i.e., standard course remission, extended course remission, no-remission).

### Hypothesized Predictor Variables: Descriptive Data

Table 2 shows potential outcome predictors for the entire sample, for those achieving remission by Session 17 ( $n=49$ , 35.8% of entrants) or by Session 25 ( $n=46$ , 33.6% of entrants), for those not achieving remission during the study protocol ( $n=28$ , 20.4% of entrants), and for study dropouts ( $n=14$ , 10.2% of entrants). Average patient adherence to EX/RP during the standard EX/RP course was good (mean [SD]= 5.3 [0.6]) but individuals varied (median=5.3, mean range=3.1 to 6.6). There were small but significant correlations between clinical measures: baseline Y-BOCS negatively correlated with PEAS ( $r=-0.21$ ,  $p=0.013$ ), positively correlated with POPS ( $r=0.20$ ,  $p=0.022$ ), and positively correlated with MCQ ( $r=0.18$ ,  $p=0.040$ ). Baseline POPS was not significantly correlated with PEAS ( $r=-0.12$ ,  $p=0.169$ ). The distribution of BDNF, COMT, and SLC6A4 genotypes was consistent with descriptions in the dbSNP database (build 151) for the general population (National Center for Biotechnology Information, n.d.).

### Predicting Remission from Standard versus Extended Course

The multinomial logistic model that included all predictors simultaneously (model 5) had the best fit (AUC = 0.806, see Supplemental Table 1 for results from each model). As Figure 3 illustrates, patient homework adherence (on the PEAS), OCPD traits (on the POPS), and BDNF genotype each significantly estimated the course of EX/RP needed to achieve remission after a standard course. Specifically, compared to patients who remitted after the standard course, those remitting after the extended course had worse PEAS (OR = 0.26, 95% CI = [0.12,0.56],  $p < 0.001$ ) and more BDNF Met allele (OR = 3.45, 95% CI = [1.14, 10.39],

$p = 0.028$ , C/T vs C/C genotype group). Additionally, compared to patients who remitted after the standard course, non-remitters had worse PEAS (OR=0.12, 95% CI = [0.05, 0.31],  $p < 0.001$ ) and greater POPS (OR = 2.74, 95% CI = [1.27, 5.91],  $p = 0.010$ ). Finally, compared to patients who remitted with the extended course, non-remitters with the extended course had worse PEAS (OR = 0.48, 95% CI = [0.25, 0.90],  $p = 0.022$ ). Sensitivity analyses including the clinical measures HAM-D and QLESQ found neither measure to be significantly related to remission and led to only a minimal increase in model fit (AUC = 0.807). Sensitivity analyses including dropouts as non-remitters showed similar overall results (Supplemental Table 2).

## DISCUSSION

This paper examined whether extending the standard course of EX/RP improves overall remission rates in medicated adults with OCD and which psychological, biological, and behavioral factors are associated with the odds of remission from a standard or extended course. There were three main findings. First, after a standard 17-session EX/RP course, 36% of medicated patients remitted (Y-BOCS 12), consistent with data from two prior randomized trials that also recruited adults with OCD on SRIs and used the same EX/RP protocol (Simpson, Foa et al., 2013; Simpson et al. 2008). However, an additional 33.8% achieved remission with up to 8 additional EX/RP sessions, resulting in a total remission rate of 69.3%. Second, patient homework adherence, and the BDNF gene Val66Met polymorphism were significantly associated with the odds of remitting from a standard versus an extended EX/RP course, and patient homework adherence and OCPD traits were significantly associated with the odds of remitting from a standard EX/RP course versus non-remitting. Finally, the only factor that differentiated non-remitters and remitters after an extended EX/RP course was patient EX/RP homework adherence.

In clinical practice, clinicians frequently extend therapy for patients who do not achieve good outcomes after an initial treatment course, despite little systematic research testing the benefits of this. Meta-analyses of CBT variants (typically ranging from 8-21 sessions) that include EX/RP OCD protocols have not found protocol duration to be associated with treatment effect size (Olatunji et al., 2013; Öst et al., 2015). The data here therefore yield important new results demonstrating that extending EX/RP from 17 to 25 sessions, when needed, can achieve up to 69.3% remission. Given that longitudinal follow-up of the standard 17 session protocol find that patients typically plateau after treatment has concluded (Foa et al., 2013; Foa et al., 2015), this finding suggests that adding additional EX/RP sessions may enable many more patients to achieve remission. Moreover, we showed that patient homework adherence during the standard 17-session course was significantly associated with remission during the extended course. These data illustrate the value of personalizing treatment recommendations to achieve best outcomes (Collins & Varmus, 2015).

That patient homework adherence strongly predicts EX/RP remission from a standard 17-session EX/RP course corroborates findings from two prior studies with smaller samples (Simpson et al., 2011; Wheaton et al., 2016). That patient adherence during the standard course predicts who will benefit most from an extended course is new. These findings likely

reflect that EX/RP is a skill-based treatment, providing new skills and recommending their use in daily life (Abramowitz, Franklin, & Cahill, 2003). Patient adherence to EX/RP homework displays motivation to learn and implement such new skills. That the PEAS, a 3-item measure of EX/RP adherence, predicts both acute (in this study) and long-term outcome (Simpson et al., 2012), underscores the value of this simple tool for tracking patient treatment progress. Indeed, since prior research found that PEAS ratings can forecast individual patient outcomes from a standard course even before mid-treatment (Wheaton et al., 2016) and herein we found that PEAS ratings during a standard course were associated with odds of remission from an extended course, we recommend its routine use in clinical practice. Important next steps include: identifying which patient characteristics predict poor adherence following the preliminary work of Maher et al. (2012); elucidating which aspects of the standard EX/RP protocol facilitate homework adherence (e.g., self-monitoring forms, between-session phone check-ins, frequency of sessions); and developing new methods that robustly enhance patient homework adherence and demonstrating that these causally maximize EX/RP outcome.

That more severe OCPD traits were associated with lower odds of remission from a standard EX/RP course ( $n=137$ ) is consistent with a prior study in 49 adults with OCD. That study utilized DSM-IV OCPD criteria and found that patients who had a greater number of baseline OCPD criteria had poorer outcomes (higher post-treatment severity) (Pinto, Liebowitz, et al., 2011). Using the POPS, developed to dimensionally capture pathological OCPD traits (Pinto, Ansell, et al., 2011; Sadri et al., 2018), we found that more severe OCPD traits was associated with higher odds of not remitting, independent of patient adherence. How OCPD traits interfere with EX/RP outcome remains unclear. We speculate that the rigidity and inflexibility of OCPD interferes with learning during EX/RP, a question deserving future study. Data from a large case series suggest that OCD patients with comorbid OCPD benefit when CBT targets not only OCD but also OCPD by including techniques to address maladaptive perfectionism, cognitive flexibility, and appraisals of responsibility and their impact on compulsive behavior (Gordon, Salkovskis, & Bream, 2016).

In our study, the BDNF Val66Met variant was significantly associated with greater odds of achieving remission after an extended versus standard EX/RP course. In a prior study, OCD patients with the BDNF Met allele had poorer outcomes in exposure-based CBT than patients without that allele (Fullana et al., 2012). Because rodent and healthy human studies found that Met allele carriers displayed poorer extinction learning in a laboratory paradigm (Soliman et al., 2010), and extinction learning may play a role in the mechanisms underlying exposure-based CBT like EX/RP (Dougherty et al., 2018), this raises the question of whether this BDNF variant is not only a marker for EX/RP outcome but also a factor that may relate to its mechanism. However, as our effect was only for Met/Val carriers ( $n=41$ ), our sample of Met/Met carriers was very small ( $n=6$ ), and other factors (i.e., patient homework adherence) were more robust and easier to measure, our data do not support using the BDNF variants in routine clinical practice. Replication in a larger cohort and further examination of potential causal connections between BDNF variants, extinction learning deficits, and EX/RP outcomes is first warranted.

Four other factors—baseline OCD severity, depressive severity, metacognitions, and quality of life—did not robustly predict remission with EX/RP above and beyond the factors described above. That initial OCD severity (baseline YBOCS) was not a robust indicator of whether patients achieved remission (with either a standard or extended dose of EX/RP) is consistent with prior findings that initial OCD severity is not always linked to EX/RP outcomes (Knopp et al., 2013). Moreover, our data illustrate that even those with severe OCD can achieve remission with EX/RP, thus OCD severity is not a contraindication on its own to EX/RP. Depression may only affect EX/RP outcome when severe (Abramowitz, Franklin, Street, Kozak, & Foa, 2000; Steketee et al., 2019). Because our patients were receiving SRI medication and recurrent MDD was an exclusion criterion, depressive severity was low in our sample. Moreover, although quality of life predicted EX/RP outcome in a prior study (Maher et al., 2010), the predictive power in that study (measured by the incremental  $R^2$ ) was very small. These null results are consistent with the broader literature on predictors of EX/RP outcomes, which include many mixed results and null findings (Knopp et al., 2013). Given this, it is important for future studies to standardize measures of both predictors and outcomes so that meta-analyses can synthesize this mixed literature. Based on our data, patient adherence and OCPD traits should be included in future studies as important factors to consider in relation to EX/RP outcomes.

Several limitations merit consideration. First, our EX/RP protocol offered only up to 25 sessions. Thus, it is unclear whether further extending EX/RP might have benefitted more patients, or if gains plateau at this point. Moreover, the study design (where those who achieved remission after the standard protocol were entered into a double-blind SRI discontinuation trial and the others offered up to 8 additional EX/RP sessions) precludes addressing other questions, such as the effect of continued homework practice without additional sessions. Second, all patients continued taking SRIs while receiving EX/RP. Whether these results also apply to EX/RP monotherapy needs further study. Third, the sample, while large for OCD clinical trials, is small for genetic studies and investigated only three gene variants. Finally, like many clinical OCD trials (Williams, Powers, Yun, & Foa, 2010), the sample was primarily Non-Hispanic White.

Despite these limitations, the study offers several clinical implications. First, although some adults with symptoms despite an adequate SRI trial will attain remission after the addition of 17 EX/RP sessions, many others may remit from an extended course of up to 25 sessions. Treating to remission matters, as it is associated with improved functioning, higher quality of life (Farris et al., 2013), and better maintenance of gains (Foa et al., 2013, 2015). Second, patient homework adherence predicts remission after a standard course as well as who benefits most from an extended course. Future studies can now be designed to assess whether patient adherence behavior can classify remission status at the individual level; if so, this could inform a precision medicine approach to EX/RP. Finally, diverse factors are associated with odds of remission. In this study, key factors included patient homework adherence, OCPD personality traits and the BDNF Val66Met genotype, with patient adherence being the strongest EX/RP predictor overall. These data illustrate the importance of taking a biopsychosocial approach to precision medicine to ensure consideration of all factors predicting treatment success, including those that are easily implemented, such as monitoring patient homework adherence.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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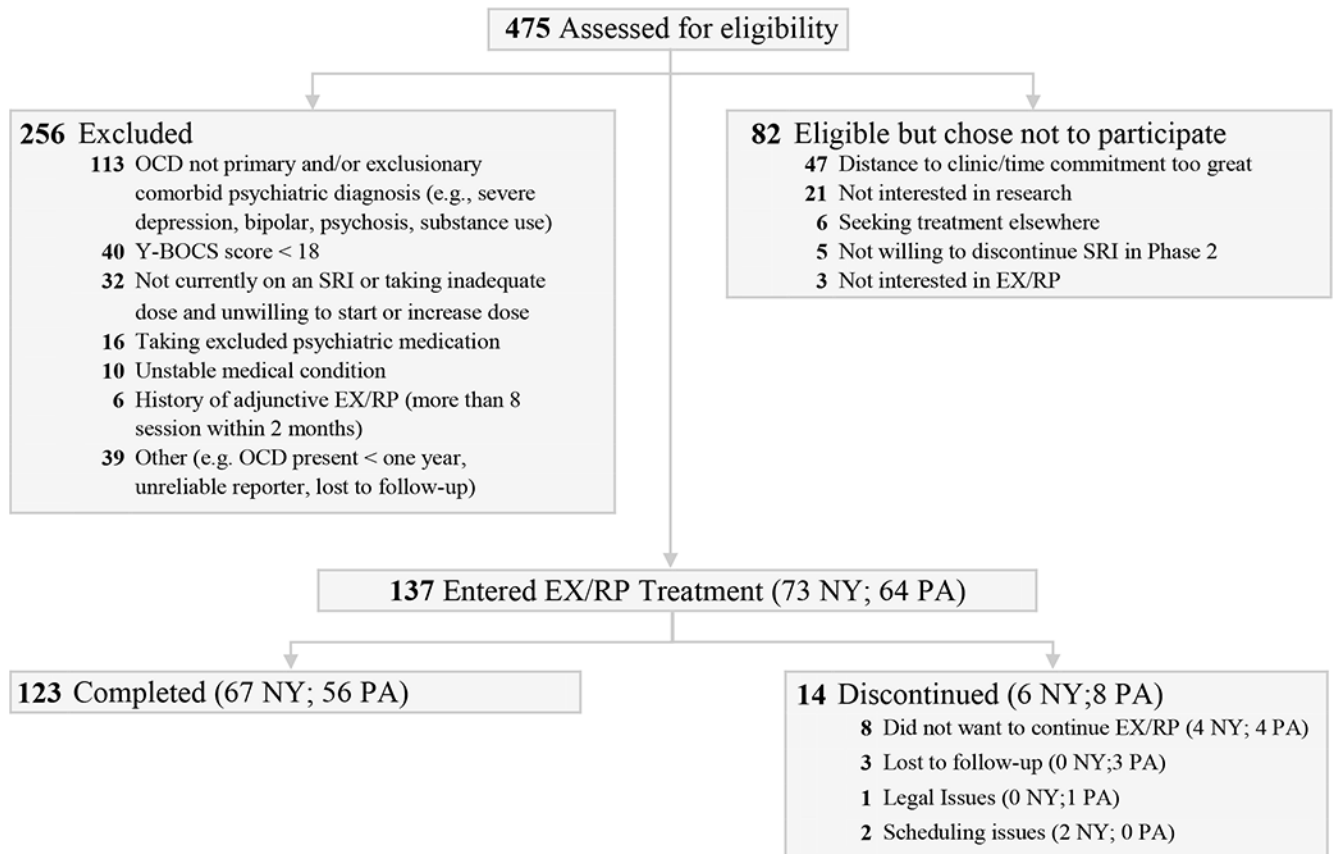


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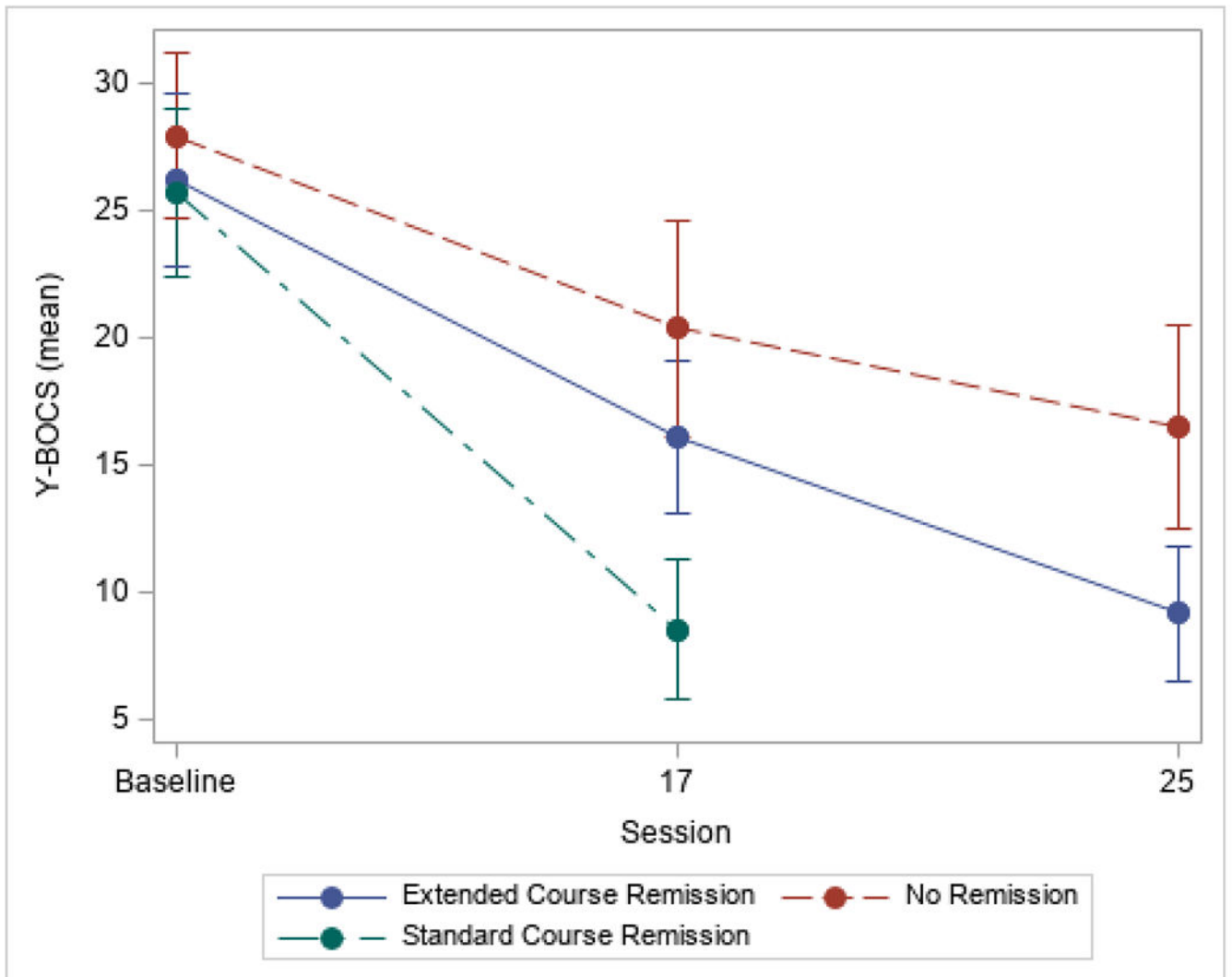
### Highlights

- We tested EX/RP as an SRI augmentation strategy for adults with OCD
- Extending EX/RP from 17 to 25 sessions of EX/RP enabled 69.3% of patients to achieve remission
- patient adherence, OCPD traits, and BDNF genotype influenced the odds and timing of remission



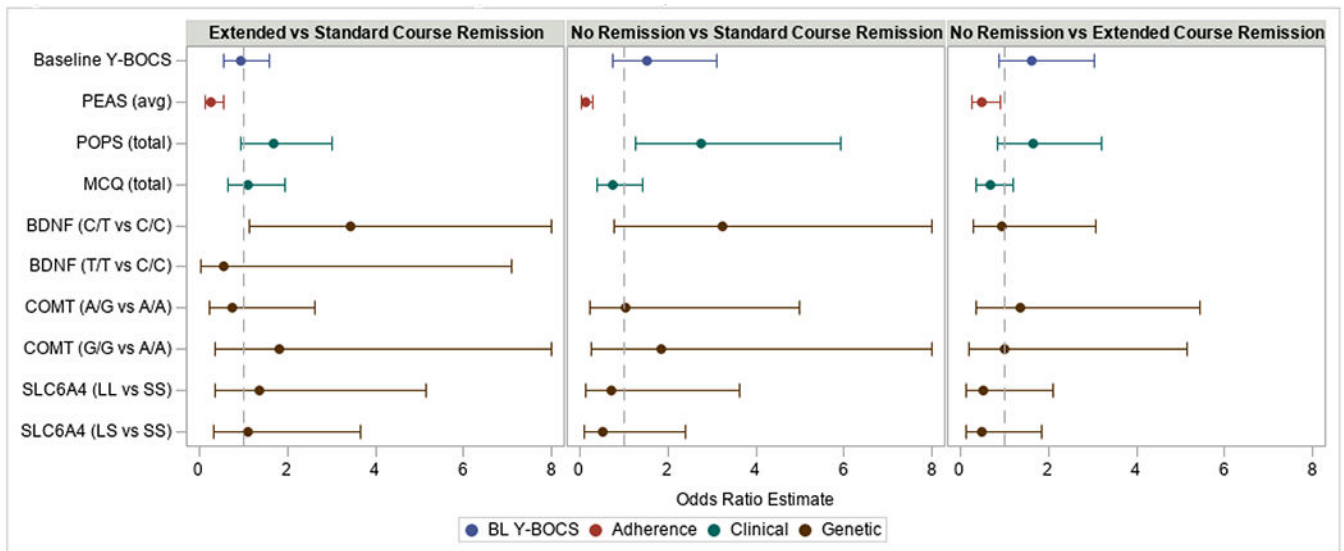
**Figure 1: Consort Diagram**

EX/RP = Exposure and Ritual Prevention; NY=New York site; OCD=Obsessive Compulsive Disorder; PA=Pennsylvania site; SRI = Serotonin Reuptake Inhibitor; Y-BOCS = Yale-Brown Obsessive Compulsive Scale



**Figure 2: Observed OCD Severity Across Time by Remission Status**

Observed OCD Severity (as measured by the Yale-Brown Obsessive Compulsive Scale [Y-BOCS]) is presented by remission status. Error bars are 1 standard deviation.



**Figure 3: Model-estimated Odds-ratios Predicting Remission Status after Standard or Extended Course<sup>a,b</sup>**

Multinomial logistic regression models examined which hypothesized predictors were associated with remission status. Odds-ratios were computed for all contrasts: extended course remission compared to standard course remission (left panel), no-remission compared to standard course remission (middle panel), and no-remission compared to extended course remission (right panel). As a result, odds-ratios greater than 1 indicate higher odds of extended course remission or no remission compared to those with standard course remission (left and middle panel, respectively) and higher odds of no remission compared to those with extended course remission (right panel) for every one standard deviation unit increase in the predictor. Hypothesized predictors included: baseline OCD severity (measured by the Yale-Brown Obsessive Compulsive Scale [Y-BOCS]), patient homework adherence (measured by the PEAS), psychological traits (measured by the POPS and MCQ), and specific genotypes (BDNF, COMT, SLC6A4). See text for details.

<sup>a</sup> Values greater than 8 are not shown. <sup>b</sup> The BDNF T/T vs C/C contrast is not estimated in the no-remission versus standard course and extended course remission comparisons because no subjects in the no-remission group had the T/T genotype. This contrast is estimated in the extended course versus standard course remission comparison, though only one subject in the extended course remission group had the T/T genotype.



**Table 1:**  
Demographic and Clinical Characteristics of the Study Sample

	All (n = 137)	NY (n = 73)	PENN (n = 64)
<b>Demographics</b>			
Age (in years), mean (SD)	31.8 (11.6)	33.8 (13.1)	29.5 (9.4)
Female, No. (%)	70 (51.1)	39 (53.4)	31 (48.4)
Race, White, No. (%)	114 (83.2)	64 (87.7)	50 (78.1)
Ethnicity, Hispanic, No. (%)	10 (7.3)	4 (5.5)	6 (9.4)
Education, y, mean (SD)	15.9 (2.2)	15.6 (2.3)	16.1 (2.0)
Marital status, No. (%)			
Single	96 (70.1)	42 (57.5)	54 (84.4)
Married/partnered	36 (26.3)	28 (38.4)	8 (12.5)
Divorced/separated	5 (3.6)	3 (4.1)	2 (3.1)
Employment, No. (%)			
Employed (full or part-time)	67 (48.9)	39 (53.4)	28 (43.8)
Student at least part-time enrollment	36 (26.3)	18 (24.7)	18 (28.1)
Other	34 (24.8)	16 (21.9)	18 (28.1)
<b>Clinical Characteristics</b>			
Yale-Brown Obsessive-Compulsive Scale, mean (SD)	26.6 (3.5)	26.0 (3.6)	27.2 (3.3)
Hamilton Depression Rating Scale, mean (SD)	7.0 (5.0)	6.9 (4.7)	7.1 (5.4)
Quality of Life Enjoyment and Satisfaction Questionnaire-SF mean of percent maximum (SD)	57.6 (15.5)	59.4 (13.9)	55.6 (17.1)
Age at OCD onset, y, mean (SD)	15.3 (8.6)	16.2 (9.2)	14.2 (7.8)
Duration of OCD, y, mean (SD)	16.0 (11.3)	17.1 (13.1)	14.8 (8.6)
Current psychiatric diagnoses, No. (%)			
OCD only	89 (65.0)	57 (78.1)	32 (50.0)
Depressive Disorder	23 (16.8)	7 (9.6)	16 (25.0)
Any anxiety disorder	26 (19.0)	10 (13.7)	16 (25.0)
Other	7 (5.1)	3 (4.1)	4 (6.3)
<b>Treatment History</b>			
Current SRI dose, mg/d, mean (SD)			
Citalopram hydrobromide (n = 10)	37.0 (10.6)	34.0 (8.9)	40.0 (12.2)
Clomipramine hydrochloride (n = 4)	150.0 (57.7)	100.0 (0.0)	166.7 (57.7)
Escitalopram oxalate (n = 25)	27.8 (7.6)	26.9 (6.0)	29.4 (10.1)
Fluoxetine (n = 40)	55.8 (17.2)	56.7 (14.9)	54.4 (20.6)
Fluvoxamine (n = 16)	234.4 (79.0)	264.3 (47.6)	211.1 (92.8)
Paroxetine hydrochloride (n = 8)	54.8 (14.5)	60.0 (20.0)	51.6 (11.5)
Sertraline hydrochloride (n = 34)	158.1 (49.9)	164.7 (43.4)	151.5 (56.2)
Weeks receiving SRI dose, mean (SD)	79.4 (156.1)	52.7 (113.2)	110.8 (191.0)
Participants receiving first SRI, No. (%)	56 (40.9)	29 (39.7)	27 (42.2)

	All (n = 137)	NY (n = 73)	PENN (n = 64)
Current adjunctive psychiatric medication, No. (%)			
SRI only	106 (77.4)	57 (78.1)	49 (76.6)
Benzodiazepines	19 (13.9)	12 (16.4)	7 (10.9)
Stimulants	11 (8.0)	3 (4.1)	8 (12.5)
Other	8 (5.8)	2 (2.7)	6 (9.4)
History of any prior EX/RP sessions, No. (%)			
History of prior EX/RP session while receiving SRI	18 (13.1)	11 (10.9)	7 (10.9)

*Abbreviations.* EX/RP = Exposure and Ritual Prevention; No=number; SD=standard deviation; SRI = Serotonin Reuptake Inhibitor; SF=Short form

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Table 2:

## Hypothesized Predictors of EX/RP Outcome

Measure	By Outcome													
	Total (n = 137)			Standard Course Remission (n = 49; 35.8%)			Extended Course Remission (n = 46; 33.6%)			No Remission (n = 28; 20.4%)			Dropout (n = 14; 10.2%)	
	n	% or M (SD)	n	% or M (SD)	n	% or M (SD)	n	% or M (SD)	n	% or M (SD)	n	% or M (SD)	n	% or M (SD)
Yale-Brown Obsessive-Compulsive Scale (Y-BOCS)	137	26.6 (3.5)	49	25.7 (3.3)	46	26.2 (3.4)	28	27.9 (3.3)	14	28.1 (4.1)				
Patient EX/RP Adherence Scale (PEAS)	132	5.3 (0.6)	49	5.7 (0.4)	46	5.2 (0.5)	28	4.8 (0.7)	9	4.9 (0.6)				
Pathological Obsessive-Compulsive Personality Scale (POPS)	134	149.0 (35.8)	47	138.1 (33.7)	46	151.8 (32.7)	28	166.7 (32.1)	13	140.2 (47.2)				
Metacognition Questionnaire (MCQ)	135	72.5 (13.9)	48	71.1 (11.8)	46	74.9 (11.2)	28	73.3 (18.1)	13	67.2 (18.7)				
Genetic Measures														
BDNF														
C/C (val/val)	85	64.4	35	72.9	23	53.5	17	63.0	10	71.4				
C/T (val/met)	41	31.1	8	16.7	19	44.2	10	37.0	4	28.6				
T/T (met/met)	6	4.5	5	10.4	1	2.3	0	0.0	0	0.0				
COMT														
A/A (met/met)	32	24.2	13	27.1	11	25.6	5	18.5	3	21.4				
A/G (met/val)	71	53.8	28	58.3	21	48.8	15	55.6	7	50.0				
G/G (val/val)	29	22.0	7	14.6	11	25.6	7	25.9	4	28.6				
SLC6A4														
LL	35	26.5	13	27.1	13	30.2	6	22.2	3	21.4				
LS	54	40.9	22	45.8	15	34.9	7	25.9	10	71.4				
SS	43	32.6	13	27.1	15	34.9	14	51.9	1	7.1				

Abbreviations. EX/RP = Exposure and Ritual Prevention; No=number; SD=standard deviation; SRI = Serotonin Reuptake Inhibitor