



RESEARCH ARTICLE

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Key Points:

- A modal version of the advanced aerosol chemistry module MOSAIC is developed and introduced in a climate model to simulate nitrate aerosol
- MOSAIC provides an accurate and efficient treatment for dynamically partitioning semivolatile gases over to entire aerosol size distribution
- The modeled global distribution of nitrate is in good agreement with observations and its impact on the radiative effects is quantified

Supporting Information:

Supporting Information may be found in the online version of this article.

Correspondence to:

R. A. Zaveri,
rahul.zaveri@pnnl.gov

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Development and Evaluation of Chemistry-Aerosol-Climate Model CAM5-Chem-MAM7-MOSAIC: Global Atmospheric Distribution and Radiative Effects of Nitrate Aerosol

Rahul A. Zaveri¹ , Richard C. Easter¹ , Balwinder Singh¹ , Hailong Wang¹ , Zheng Lu² , Simone Tilmes³ , Louisa K. Emmons³ , Francis Vitt³ , Rudong Zhang¹, Xiaohong Liu² , Steven J. Ghan¹ , and Philip J. Rasch¹

¹Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, WA, USA,

²Department of Atmospheric Sciences, Texas A&M University, College Station, TX, USA, ³Atmospheric Chemistry Observations and Modeling Laboratory, National Center for Atmospheric Research, Boulder, CO, USA

Abstract An advanced aerosol treatment, with a focus on semivolatile nitrate formation, is introduced into the Community Atmosphere Model version 5 with interactive chemistry (CAM5-chem) by coupling the Model for Simulating Aerosol Interactions and Chemistry (MOSAIC) with the 7-mode Modal Aerosol Module (MAM7). An important feature of MOSAIC is dynamic partitioning of all condensable gases to the different fine and coarse mode aerosols, as governed by mode-resolved thermodynamics and heterogeneous chemical reactions. Applied in the free-running mode from 1995 to 2005 with prescribed historical climatological conditions, the model simulates global distributions of sulfate, nitrate, and ammonium in good agreement with observations and previous studies. Inclusion of nitrate resulted in ~10% higher global average accumulation mode number concentrations, indicating enhanced growth of Aitken mode aerosols from nitrate formation. While the simulated accumulation mode nitrate burdens are high over the anthropogenic source regions, the sea-salt and dust modes respectively constitute about 74% and 17% of the annual global average nitrate burden. Regional clear-sky shortwave radiative cooling of up to -5 W m^{-2} due to nitrate is seen, with a much smaller global average cooling of -0.05 W m^{-2} . Significant enhancements in regional cloud condensation nuclei (at 0.1% supersaturation) and cloud droplet number concentrations are also attributed to nitrate, causing an additional global average shortwave cooling of -0.8 W m^{-2} . Taking into consideration of changes in both longwave and shortwave radiation under all-sky conditions, the net change in the top of the atmosphere radiative fluxes induced by including nitrate aerosol is -0.7 W m^{-2} .

Plain Language Summary Atmospheric aerosols and aerosol-cloud interactions continue to be a major source of uncertainty in global climate models that are used to assess the impacts of anthropogenic emissions on climate change. A notable fraction of aerosols is composed of ammonium nitrate, which forms in the atmosphere when ammonia combines with nitric acid produced from oxidation of nitrogen oxides. Both precursor gases are emitted in large amounts from anthropogenic activities as well as natural sources. However, a faithful numerical representation of nitrate aerosol in global models has been difficult owing to the semivolatile nature of ammonium nitrate. In this work, we introduce and evaluate an advanced and computationally efficient aerosol chemistry module in a state-of-the-science global climate model to properly simulate the dynamics of nitrate aerosol formation and its interactions with the naturally occurring sea-salt and dust aerosols. Inclusion of nitrate results in about 10% higher global average number concentrations of aerosols in the size range that efficiently interacts with solar radiation and acts as seeds upon which cloud droplets can form. Consequently, nitrate accounts for an additional radiative cooling, largely due to the changes in cloud formation.

1. Introduction

Atmospheric aerosols influence climate by scattering and absorbing solar radiation and by serving as nuclei for cloud formation (Boucher et al., 2013). Coarse aerosols (diameter larger than $1 \mu\text{m}$) such as wind-driven sea salt and mineral dust dominate the globally averaged particulate mass concentrations. However, it is the

much more numerous fine aerosols (smaller than $1\ \mu\text{m}$) that are crucial in modulating the Earth's radiative forcing. Fine aerosols include primary particles, such as soot directly emitted during fossil fuel combustion and biomass burning; and secondary particulate species such as sulfate (SO_4), nitrate (NO_3), ammonium (NH_4), and organics, respectively formed in the atmosphere via gas-to-particle conversion of sulfur dioxide (SO_2), nitrogen oxides (NO_x), ammonia (NH_3), and volatile organic compounds (VOCs). While the precursor gases for secondary aerosols are released from a variety of natural sources, human activity during the industrial era has added significantly to their emissions inventory in many regions around the world (Bouwman et al., 1997; Hoesly et al., 2018). Field observations and global modeling studies indicate that fine aerosol mass is presently dominated by SO_4 and organics, with NO_3 estimated at about a quarter of SO_4 in terms of the overall global burden (Myhre, Samset, et al., 2013). However, NO_3 competes with SO_4 for the available NH_3 to exist as stable ammonium nitrate in the fine mode since ammonium sulfate is thermodynamically favored due to its extremely low volatility. Consequently, the relative contribution of NO_3 is predicted to increase as anthropogenic SO_2 emissions and the resulting SO_4 aerosols are projected to decline faster than NO_x while anthropogenic NH_3 emissions are expected to rise through the 21st century (Bauer et al., 2007; Bellouin et al., 2011; Hauglustaine et al., 2014; Li et al., 2015; Myhre, Shindell, et al., 2013; Paulot et al., 2016).

The size distribution, mixing state, physicochemical properties, and atmospheric lifetimes of aerosols strongly depend on the formation and loss mechanisms of secondary species, which must be faithfully represented in global models for skillful predictions of aerosol climate impacts. Gas-phase oxidation of SO_2 by the hydroxyl radical (OH) forms extremely low volatile sulfuric acid (H_2SO_4) vapor that can nucleate to form nanometer-sized new particles as well as irreversibly condense on preexisting aerosols. In contrast, gas-phase oxidation of NO_x by OH during the day and heterogeneous oxidation of dinitrogen pentoxide (N_2O_5) at night forms nitric acid (HNO_3) vapor, which is semivolatile under typical atmospheric conditions, and the mechanisms leading to nitrate aerosol formation are rather complex. For instance, HNO_3 can combine with excess NH_3 (left after fully neutralizing sulfate) and reversibly condense on preexisting aerosols to form ammonium nitrate (NH_4NO_3). In deliquesced aerosols, dissociation of NH_4NO_3 into ammonium and nitrate ions greatly increases partitioning of HNO_3 and NH_3 to the particle phase as aerosol liquid water content increases with ambient relative humidity (RH). Increased aerosol water associated with NH_4NO_3 is also available for dissolving soluble semivolatile organic gases (SOAGs), some of which can react further to form low-volatility secondary organic aerosol (SOA; Ervens et al., 2011). The extent of HNO_3 and NH_3 partitioning also increases with decrease in temperature due to lowering of their volatilities. Recent laboratory experiments show that supersaturated HNO_3 and NH_3 vapors, sustained by source inhomogeneity, can rapidly grow freshly nucleated particles via nonequilibrium condensation at temperatures below 5°C (M. Wang et al., 2020). And at temperatures below -15°C , HNO_3 and NH_3 vapors can become supersaturated enough to even nucleate to form new NH_4NO_3 particles through an acid-base stabilization mechanism (M. Wang et al., 2020). The importance of fine mode NH_4NO_3 therefore generally increases with proximity to the precursor sources and with colder temperatures typically encountered at higher altitudes and during wintertime. NH_4NO_3 is more hygroscopic than sulfate salts of ammonium and deliquesce at lower relative humidity levels than pure ammonium sulfate, thus increasing the particle size, its scattering efficiency, and its ability to serve as cloud condensation nucleus.

However, particulate NH_4NO_3 is semivolatile and can evaporate back to HNO_3 and NH_3 as the polluted air mass undergoes dilution or experiences warmer temperatures. Complex chemical interactions occur when polluted air rich in NH_4NO_3 aerosol mixes with marine air containing sea-salt aerosol. In the case of deliquesced sea-salt aerosol, HNO_3 partially displaces particulate Cl as HCl gas until both gases reach an equilibrium with their particle-phase counterparts (Hildemann et al., 1984). In the case of solid sea-salt particles, HNO_3 can continue to condense until it completely displaces Cl from solid NaCl to form solid NaNO_3 . The resulting depletion of HNO_3 in the gas phase due to uptake by sea-salt aerosol causes particulate NO_3 aerosol to evaporate until it reaches a new equilibrium. However, because sea-salt aerosols typically constitute a much larger reservoir of particulate Cl compared to the total particulate NO_3 in Aitken and accumulation modes, it is thermodynamically favorable for most of the particulate NH_4NO_3 to evaporate and partition the resulting HNO_3 to sea-salt aerosol.

Similar chemical interactions occur when polluted air mixes with continental air containing soil dust aerosol containing insoluble calcium carbonate (CaCO_3). In this case, HNO_3 undergoes heterogeneous reaction on dust to completely displace carbonate as CO_2 gas to form particulate $\text{Ca}(\text{NO}_3)_2$ (Hanisch & Crowley, 2001; Hwang & Ro, 2006; Laskin et al., 2005; Tobo et al., 2010). Again, due to the relatively much larger reservoir of carbonates in fine and coarse mode dust aerosols, the NH_4NO_3 in the Aitken and accumulation modes continues to evaporate as the gas-phase HNO_3 gradually partitions to the dust aerosol (Fairlie et al., 2010). Moreover, in regions where polluted air mixes with both dust and sea-salt aerosols, such as polluted Asian outflow over the Pacific Ocean, the HCl displaced by HNO_3 from sea-salt aerosol can also react with dust to form particulate CaCl_2 . Both $\text{Ca}(\text{NO}_3)_2$ and CaCl_2 are highly soluble and can deliquesce at low RH, thus converting the relatively less hygroscopic dust aerosol into aqueous droplets with aging (Tobo et al., 2010). HCl can also combine with excess NH_3 to form ammonium chloride (NH_4Cl) in fine mode aerosols (Du et al., 2010).

The characteristic time for gas-phase HNO_3 to equilibrate with fine mode aerosols is on the order of a few seconds to 30 min (Dassios & Pandis, 1999; Fountoukis et al., 2009) and ranges from a few hours to days in the case of coarse sea-salt and dust aerosols (Fridlind & Jacobson, 2000; Meng & Seinfeld, 1996). Even when the gas-phase HNO_3 may appear to have equilibrated with the bulk of the fine mode aerosols, particles of different sizes within the fine mode may still be out of equilibrium when they grow from condensation of supersaturated HNO_3 and NH_3 vapors (M. Wang et al., 2020). A size-resolved dynamic mass transfer treatment is therefore necessary to reliably simulate the competitive partitioning of HNO_3 along with H_2SO_4 , HCl, and NH_3 to particles across the entire size distribution. However, simulating dynamic mass transfer of these gases to size-distributed aerosol is numerically challenging and computationally expensive due to the coupled stiff differential equations that govern the process. Consequently, many previous global modeling studies of inorganic aerosols have adopted various ad hoc bulk equilibrium partitioning treatments for nitrate for either the entire aerosol size distribution or for only the fine aerosol mode while ignoring the coarse mode dust and/or sea-salt aerosols (Adams et al., 1999, 2001; An et al., 2019; Bauer et al., 2007; Bellouin et al., 2011; Bian et al., 2017; Gong et al., 2003; Li et al., 2015; Liao & Seinfeld, 2005; Liao et al., 2009; Metzger & Lelieveld, 2007; Mezuman et al., 2016; Myhre et al., 2006; Pye et al., 2009; Zhou et al., 2012). Several hybrid approaches have also been used in global models to reduce the computational cost by assuming equilibrium partitioning for the fine mode NH_4NO_3 aerosol while using kinetic mass transfer for the coarse mode dust and/or sea-salt aerosols (Benduhn et al., 2016; Bian et al., 2017; Fairlie et al., 2010; Feng & Penner, 2007; Hauglustaine et al., 2014; Karydis et al., 2016; Pozzer et al., 2012; Pringle et al., 2010; Rodriguez & Dabdub, 2004; Xu & Penner, 2012). Although an improvement over the bulk equilibrium assumption, the hybrid approaches are still prone to significant errors and biases (Hu et al., 2008) and underestimate the contribution of HNO_3 to nanoparticle growth in inhomogeneous source environments (M. Wang et al., 2020). Due to the high computational cost, only a few previous global modeling studies have used a fully dynamic treatment for partitioning semivolatile gases over the entire size distribution (Jacobson, 2001; Matsui, 2017).

In this paper, we introduce a computationally efficient dynamic gas-particle partitioning treatment into the Community Atmosphere Model version 5 with interactive chemistry (CAM5-chem) global chemistry-aerosol-climate model (Tilmes et al., 2015) by coupling the comprehensive aerosol chemistry module, Model for Simulating Aerosol Interactions and Chemistry (MOSAIC; Zaveri et al., 2008), with the 7-mode Modal Aerosol Module (MAM7) (Liu et al., 2012). We apply the model in the free-running climate mode with prescribed historical climatological forcing conditions to simulate global aerosol distributions with a focus on nitrate. We first evaluate the model against observations of key gas and aerosol species. We then evaluate the impact of nitrate aerosols on the direct and indirect radiative effects.

2. Model and Methods

2.1. Model Description

The CAM5, which is the atmosphere component of the Community Earth System Model (CESM) version 1.2, is used in this study. CAM5 includes updated treatments of several major physical processes in the atmosphere, particularly clouds and aerosols (Neale et al., 2012). Microphysical conversions among cloud liquid droplets, ice crystals, rain and snow are treated by the two-moment stratiform cloud microphysics

Table 1
List of Chemical Component Species in the MAM7 Aerosol Modes

Species	Accum. (a1)	Aitken (a2)	Primary carbon (a3)	Fine sea salt (a4)	Coarse sea salt (a6)	Fine dust (a5)	Coarse dust (a7)
BC	X		X				
POM	X		X				
SOA	X	X					
SO ₄	X	X		X	X	X	X
NH ₄	X	X		X	X	X	X
NO ₃	O	O		O	O	O	O
Cl	O	O		O	O	O	O
Na	X	X		X	X		
Dst	X	X				X	X
Ca	O	O				O	O
CO ₃	O	O				O	O
Total	7/11	5/9	2/2	3/5	3/5	3/7	3/7

Note. “X” indicates species that are present in both the default and MOSAIC model versions; “O” indicates additional species that are present only in the MOSAIC version. The Na species is bulk primary sea salt in the default model version but is sodium in the MOSAIC version. The Dst species is bulk primary soil dust in the default model version but is other inorganics in the MOSAIC version. (Note that the Liu et al. [2012] MAM7 did not have Dst in the accumulation and Aitken modes.) The last row is number of chemical species in each mode for the default and MOSAIC model versions.

with a prognostic precipitation scheme (Gettelman et al., 2015), interacting with aerosols predicted by the MAM (Liu et al., 2012, 2016) for the indirect effects through droplet activation (Abdul-Razzak & Ghan, 2000) and ice nucleation (Liu & Penner, 2005; Liu et al., 2007). The radiative effects of aerosol and clouds are taken into account by the Rapid Radiative Transfer Model for General Circulation Models radiation scheme (Iacono et al., 2008). A unified parameterization of boundary-layer turbulence, shallow convection, and cloud microphysics is provided by the Cloud Layers Unified by Binormals scheme (Bogenschutz et al., 2013; Larson et al., 2002). Deep convection in CAM5 is represented following a modified version of the Zhang-McFarlane (ZM) parameterization (G. J. Zhang & McFarlane, 1995). The other model components include the Community Land Model (CLM) version 4.5 (Lawrence et al., 2011) for terrestrial ecosystems, biogenic, and dust emissions, and the Community Ice Code version 4 for sea ice and snow (Hunke & Lipscomb, 2008).

2.1.1. Gas Chemistry Scheme

The CAM5-chem used in this study includes extensive trace-gas chemistry for both the troposphere and stratosphere, based on the MOZART (Model for Ozone and Related chemical Tracers) chemical mechanism (Emmons et al., 2010; Tilmes et al., 2016). The version of the chemical mechanism used here includes additional updates that have been released in CESM2.0, including expansion of aromatic oxidation, isoprene and terpene oxidation, and more detailed representation of organic nitrates, as described in Emmons et al. (2010). The MOZART-T1 tropospheric chemistry scheme includes 130 gas-phase species, with updated reaction rates following recommendations by Burkholder et al. (2015). Hydrolysis of N₂O₅ is treated in the mechanism as heterogeneous uptake on aerosols, with an uptake coefficient (gamma) of 0.1 and the surface area density calculated from the simulated sulfate, nitrate, hydrophilic

organic, and black carbon aerosols (Tilmes et al., 2016). This study uses the simple SOA scheme that is part of MAM (described in Section 2.1.2) and is not connected to the MOZART gas-phase chemistry.

2.1.2. Aerosol Scheme

The 7-mode version of MAM is used to predict the mass mixing ratios of major aerosol species (i.e., sulfate, black carbon, organic carbon [OC], dust, and sea salt) and number concentration of aerosols in each aerosol mode (i.e., Aitken, accumulation, primary carbon, fine sea salt, fine dust, coarse sea salt, and coarse dust). MAM represents the aerosol size distribution and internal/external mixing of aerosol species within aerosol modes and between modes (Liu et al., 2012, 2016). Aerosol processes include emission, transport, dry and wet removal, gas- and aqueous-phase chemistry, water uptake, and aerosol microphysics (nucleation, coagulation, and condensation). The transfer of aerosol mass and number between aerosol modes due to the growth of aerosol particles is also considered. Ammonium aerosol is explicitly treated, while nitrate is not included in the default MAM7. There are 33 transported aerosol component-mass and number species in the default MAM7 used in this study (Table 1).

The MOSAIC (Zaveri et al., 2008) is coupled with MAM7 to treat dynamic gas-particle partitioning of all condensable aerosol species, including sulfate, nitrate, chloride, ammonium, and secondary organics and the related aerosol thermodynamics. The standalone box model version of MOSAIC is a comprehensive aerosol chemistry and microphysics module that treats new particle formation, aerosol thermodynamics, dynamic gas-particle mass transfer, particle-phase chemistry, coagulation, and sectional growth dynamics. It includes all major inorganic salts and electrolytes composed of H⁺, NH₄⁺, Na⁺, Ca²⁺, SO₄²⁻, HSO₄⁻, CH₃SO₃⁻, NO₃⁻, Cl⁻, and CO₃²⁻ ions. Relatively minor ions such as K⁺ and Mg²⁺ are represented by equivalent amounts of Na⁺ while other unspecified inorganic species such as silica, other inert minerals, and trace metals found in soil dust aerosols are lumped together as “other inorganic mass” (OIN). MOSAIC also includes carbonaceous species such as black carbon, primary organics, and secondary organics. While

organic–inorganic interactions are not presently treated explicitly in MOSAIC, organics and OIN species can absorb water.

At a given time step within MOSAIC, the thermodynamics submodule MESA (Multicomponent Equilibrium Solver for Aerosols) (Zaveri, Easter, & Peters, 2005) first determines the equilibrium particle-phase state and water content in each size section as a function of particle composition, particle size (accounting for the Kelvin effect), relative humidity, and temperature. The dynamic gas-particle partitioning submodule ASTEM (Adaptive Step Time-split Euler Method) then calculates the driving forces for mass transfer of the gas-phase species over each size section and simultaneously integrates the set of coupled differential equations (Zaveri et al., 2008). The mean stoichiometric activity coefficients of electrolytes necessary for the equilibrium phase state and mass transfer driving force calculations are estimated using the Multicomponent Taylor Expansion Method (Zaveri, Easter, & Wexler, 2005). A sectional version of MOSAIC has been implemented and extensively evaluated in the chemistry version of the regional Weather Research and Forecasting model, WRF-Chem (Fast et al., 2006). Although MOSAIC has been used in the WRF-Chem model using distributed-memory parallelization software, significant refactorization of the MOSAIC code was required to use it within CAM and CESM, which allow a hybrid model supporting both distributed- and shared-memory parallelization. At the same time, CESM simulations exposed MOSAIC to a wider range of meteorological and chemical conditions that were not previously encountered in WRF-Chem simulations. Consequently, some numerical treatments in MOSAIC were revised slightly for robust performance and stability.

Many global models based on bulk equilibrium or hybrid partitioning approaches rely on aerosol thermodynamics modules such as ISORROPIA-I (Nenes et al., 1998), ISORROPIA II (Fountoukis & Nenes, 2007), and EQSAM3 (Metzger & Lelieveld, 2007). While MOSAIC and these thermodynamics modules are comparable in terms of chemical complexity, the gas-particle partitioning approach in MOSAIC is fundamentally different. The thermodynamics modules are designed with the assumption of instantaneous equilibrium between the gas and particle phases and are therefore ideally applicable for bulk equilibrium calculations. In contrast, the MESA module in MOSAIC performs equilibrium phase state calculations for the particle phase only, while the ASTEM module is designed for dynamic gas-particle mass transfer to reliably simulate the competitive partitioning of all semivolatile gases (HNO_3 , HCl , NH_3 , and SOA) to particles of different sizes and compositions while considering size-dependent processes such as the Kelvin effect.

In the version of MOSAIC in CAM5-chem, the ASTEM submodule for dynamic gas-particle mass transfer and the MESA submodule for phase state and aerosol water uptake replace the corresponding default-MAM treatments. The existing MAM code for renaming (intermode transfer due to particle growth), ageing, nucleation, coagulation, and other processes is unchanged except as noted. For computational efficiency, the MESA submodule in MOSAIC was constrained to the deliquesced metastable state at relative humidities greater than 40%. The MOSAIC SOA submodule was modified to use the simple one-species SOA scheme of MAM (Liu et al., 2012). The scheme utilizes a lumped condensable SOAG that is directly emitted at the surface, with emissions based on five precursor VOC categories and the following estimated mass yields applied without considering the intermediate gas-phase oxidation steps: 5% for BIGALK (lumped butanes and larger alkanes), 5% for BIGENE (lumped butenes and larger alkenes), 15% for aromatics, 4% for isoprene, and 25% for monoterpenes (Liu et al., 2012; Tilmes et al., 2019). SOAG is dynamically partitioned to the Aitken and accumulation modes of MAM according to the temperature-dependent volatility of SOAG and primary organic mass concentration.

Nitrate aerosol can exist in the form of ammonium nitrate in the accumulation and Aitken modes while it exists as sodium nitrate in sea salt and as calcium nitrate in dust particles. Partitioning of nitrate to sea salt and dust displaces chloride and carbonate, respectively. Thus, the MOSAIC implementation added five new aerosol chemical components (nitrate, chloride, sodium, calcium, and carbonate) and 20 transported aerosol tracers to MAM7 (see Table 1). The default-MAM bulk primary sea-salt chemical component is replaced by a mixture of sodium, chloride, and sulfate with emitted/primary mass fractions of 0.385441717, 0.537558283, and 0.077, respectively (Pilson, 1998). The sulfate value is based on experimental sea water composition, and the sodium and chloride value are obtained from electroneutrality. This three-species primary sea-salt treatment gives a somewhat more accurate estimate of chloride content with no added computational cost. The default-MAM bulk soil dust chemical component is replaced with a mixture of calcium,

carbonate, and “other inorganics” with emitted/primary mass fractions of 0.020021541, 0.029978459, and 0.95, respectively, following (Zaveri et al., 2008). The HNO_3 accommodation coefficient onto dust-containing particles is based on the parameterization used in Fairlie et al. (2010). Refractive indices and hygroscopicities (used only for aerosol activation calculations) of the new chemical components are set as follows: nitrate uses default-MAM sulfate values; sodium and chloride use default-MAM bulk sea-salt values; and calcium, carbonate, and other inorganics use default-MAM bulk soil dust values. The default-MAM aqueous chemistry routine was modified to treat reversible uptake of HNO_3 and HCl to cloud droplets. Also, the MAM code for gas-aerosol exchange, renaming, nucleation, coagulation, and aging was replaced with the newer version in the Energy Exascale Earth System Model Version 1 (H. Wang et al., 2020). This was used in all simulations, and its main impact is to increase nucleation and Aitken mode particle number.

2.1.3. Emissions

The emissions used in these simulations are based on the anthropogenic and biomass burning inventories specified for CMIP6 (Coupled Model Intercomparison Project Phase 6). Anthropogenic emissions for 1750–2014 have been compiled with the Community Emissions Data System (CEDS) (Hoesly et al., 2018). Biomass burning emissions are described by van Marle et al. (2017). Additional emissions (e.g., oceanic, soil NO_x) are taken from the POET (Precursors of Ozone and their Effects in the Troposphere) inventory (Granier et al., 2005). Biogenic emissions are calculated online using the MEGANv2.1 algorithms incorporated in the CLM (Guenther et al., 2012). Continuous volcanic outgassing emissions of SO_2 , with 2.5% emitted as sulfate aerosols, are from the GEIA inventory (Andres & Kasgnoc, 1998). Dust (Zender et al., 2003) and sea-salt (Mårtensson et al., 2003; Monahan, 1986) emissions are calculated online in the model, dependent on surface wind speed, and are tuned to match satellite observations of aerosol optical depth (AOD; Mahowald, Lamarque, et al., 2006; Mahowald, Muhs, et al., 2006). Dust emission factor (the `dust_emis_fact` parameter) is set to 1.2 and the sea-salt emission scaling parameter is set to 1.6.

2.1.4. Simulations

As listed below, three simulations (i.e., Control, MOSAIC, and MOSAIC_no_nitrate) were conducted to study the sensitivity of aerosol properties and its radiative effects to the MOSAIC treatment and the role of nitrate, in particular. The simulations were run at $1.9^\circ \times 2.5^\circ$ horizontal resolution for 11 years (1995–2005) in the free-running mode with prescribed present-day climatological forcing conditions (i.e., sea surface temperature, sea ice concentration, and greenhouse gases). Monthly mean fields from the last 10 years are used for analyses.

- (a) Control: CAM5-chem-MAM7—base case with the MOZART default gas chemistry, MAM7 aerosol scheme, emissions, and other model parameters/configurations as described in the sections above.
- (b) MOSAIC: CAM5-chem-MAM7-MOSAIC—same as (a) but with MOSAIC aerosol chemistry (including HNO_3 partitioning to particles) and aerosol water content.
- (c) MOSAIC_no_nitrate: same as (b) but excluding HNO_3 partitioning to particles.

2.2. Observational Data Sets

2.2.1. Trace-Gas Observations

Measurements of reactive nitrogen species that are precursors of nitrate aerosols have been made in the troposphere from aircraft in many regions of the world, allowing for detailed model evaluation of gas-phase species. A climatology of these observations between 1995 and 2010 (Tilmes et al., 2015) is used to evaluate the simulations of ozone (O_3), NO_x , and the reactive nitrogen reservoirs, including peroxyacetyl nitrate (PAN) and nitric acid (HNO_3).

2.2.2. Aerosol Observations

Modeled aerosol species, including sulfate, nitrate, and ammonium (SNA), are validated against several ground-based observations of aerosol mass concentrations. For validating the SNA over United States, we adopt observations from IMPROVE (Interagency Monitoring of Protected Visual Environments), network data (<http://vista.cira.colostate.edu/Improve>) (Malm et al., 2004). The IMPROVE samplers collect 24-h aerosols every 3 days. The aerosol samples are further analyzed for $\text{PM}_{2.5}$ mass, elemental composition, ions,

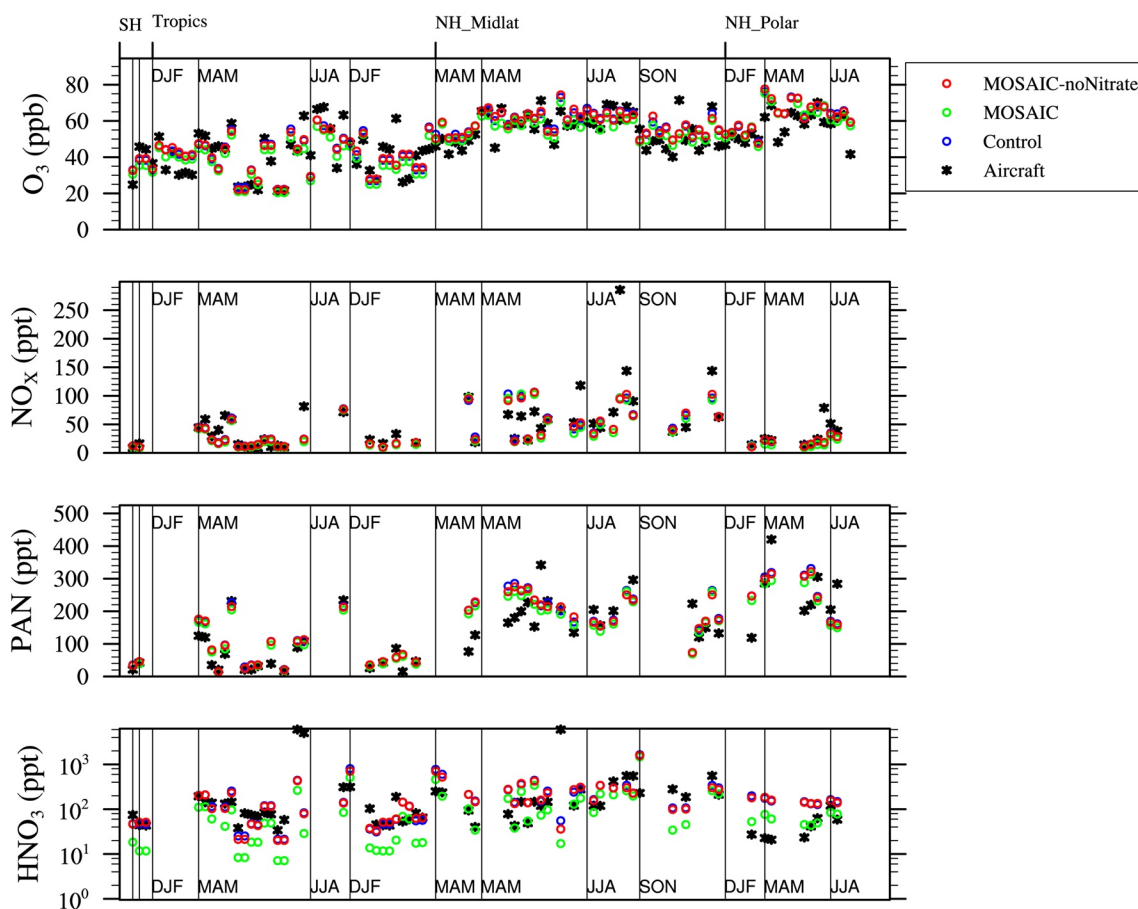


Figure 1. Comparison of O₃, NO_x, PAN, and HNO₃ simulated by the three model experiments against an aircraft climatology for the free troposphere for 2–7 km sorted by different regions (Southern Hemisphere [SH], Tropics, Northern Hemisphere [NH] midlatitudes, NH Polar) and the four seasons between 1995 and 2010.

and OC and elemental carbon (EC). In this study, SNA fine particle masses obtained from 162 sites are averaged and further compared against annual mean SNA fine particle mass. EMEP (The European Monitoring and Evaluation Programme, <http://www.emep.int>) network monitors the surface aerosol mass concentrations of SNA over Europe. The data from 40 sites of EMEP network are available for the simulation period for comparison. In order to validate the model simulation in East Asia and China specifically, we adopt observations from 37 sites of EANET (Acid Deposition Monitoring Network in East Asia) and 14 sites of CAWNET (China Atmosphere Watch Network) (X. Y. Zhang et al., 2008), respectively. It should be noted that, EMEP and EANET data sets are not quality assured across different countries in Europe and East Asia.

3. Results and Discussion

3.1. Evaluation Against Observations

3.1.1. Key Trace Gases

The three model experiments are evaluated against an aircraft climatology for the free troposphere for 2–7 km. The climatology has been compiled using various aircraft campaigns performed between 1995 and 2010, sorted by different regions (Southern Hemisphere [SH], Tropics, Northern Hemisphere [NH] midlatitudes, NH Polar) and the four seasons. Averages of profiles of high occurrence were produced for various chemical species and binned together over 1 km altitude bins, as further described in Tilmes et al. (2015). Figure 1 compares model results that have been interpolated to the location of each profile for the aircraft campaigns used and averaged over regions and season as for the climatology.

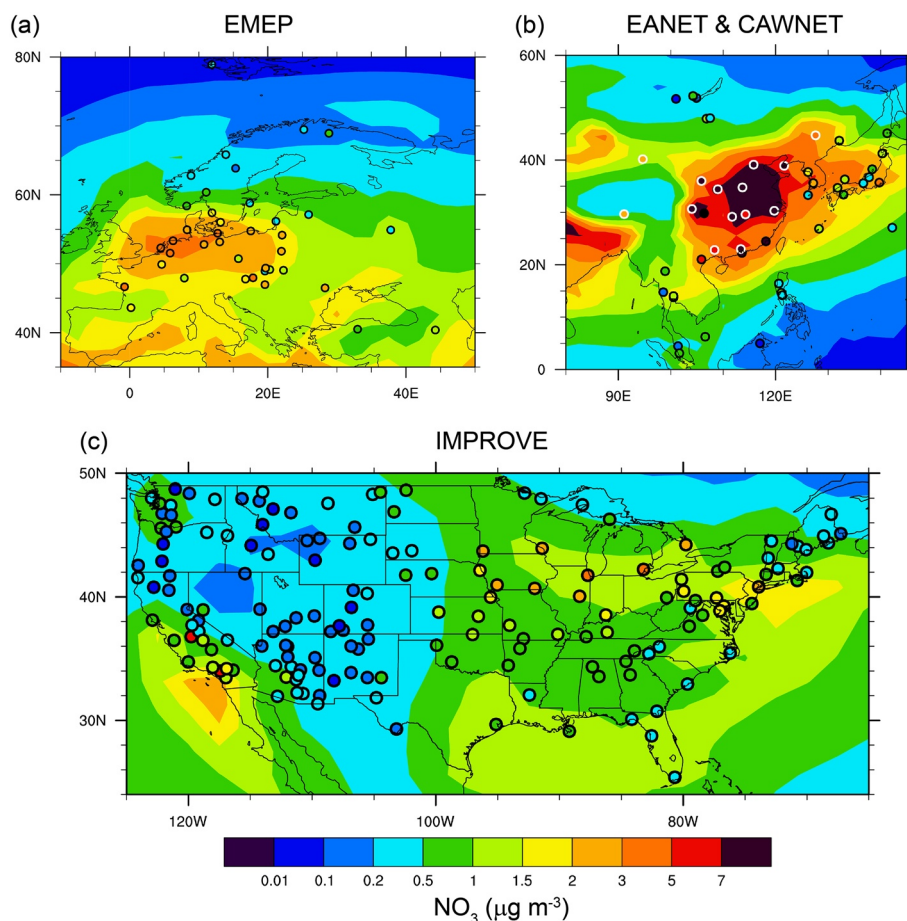


Figure 2. Simulated and observed (filled circles) spatial distributions of the annual mean aerosol NO_3 mass concentrations for (a) EMEP network in Europe; (b) EANET and CAWNET networks in East Asia and China, respectively; and (c) IMPROVE network in the United States.

Comparisons with ozone (Figure 1, top row) show a very good representation of ozone in the model compared to aircraft observations. The MOSAIC simulation shows a slight reduction of ozone, most pronounced in the NH polar region in spring and summer. The reduction in ozone goes along with a consistent reduction in ammonium nitrate in the MOSAIC simulation compared to the MOSAIC_no_nitrate simulation (Figure 1, panel 4). The MOSAIC simulation shows smaller HNO_3 mixing ratios, resulting in some improvement compared to observations in the NH polar region and a slight underestimation in the tropics. However, in general, HNO_3 , NO_x , and PAN in the model agree very well within the range of the observed values, and differences between the simulations are of similar range to the observational variability. Very small changes between the simulations occur for NO_x and only small reductions in PAN. There are almost no differences between the Control and the MOSAIC_no_nitrate case.

3.1.2. Key Aerosol Species

The simulated annual mean surface aerosol nitrate (NO_3), sulfate (SO_4), and ammonium (NH_4) are evaluated against the surface observations from the IMPROVE network in the U.S., the EMEP network in Europe, the EANET network in East Asia, and the CAWNET network in China. As shown in Figure 2, the model captures the spatial distribution patterns of NO_3 reasonably well, with relatively high concentrations over polluted regions across western Europe, northeastern China, and southern California in the U.S. However, the significant underprediction of NO_3 in the agricultural areas of the Midwestern U.S., despite the large amounts of NH_3 emitted there, is likely due to underestimated soil NO_x emissions in the POET inventory. The spatial distribution patterns of SO_4 and NH_4 are shown in Figures S1 and S2, respectively, in the supporting information.

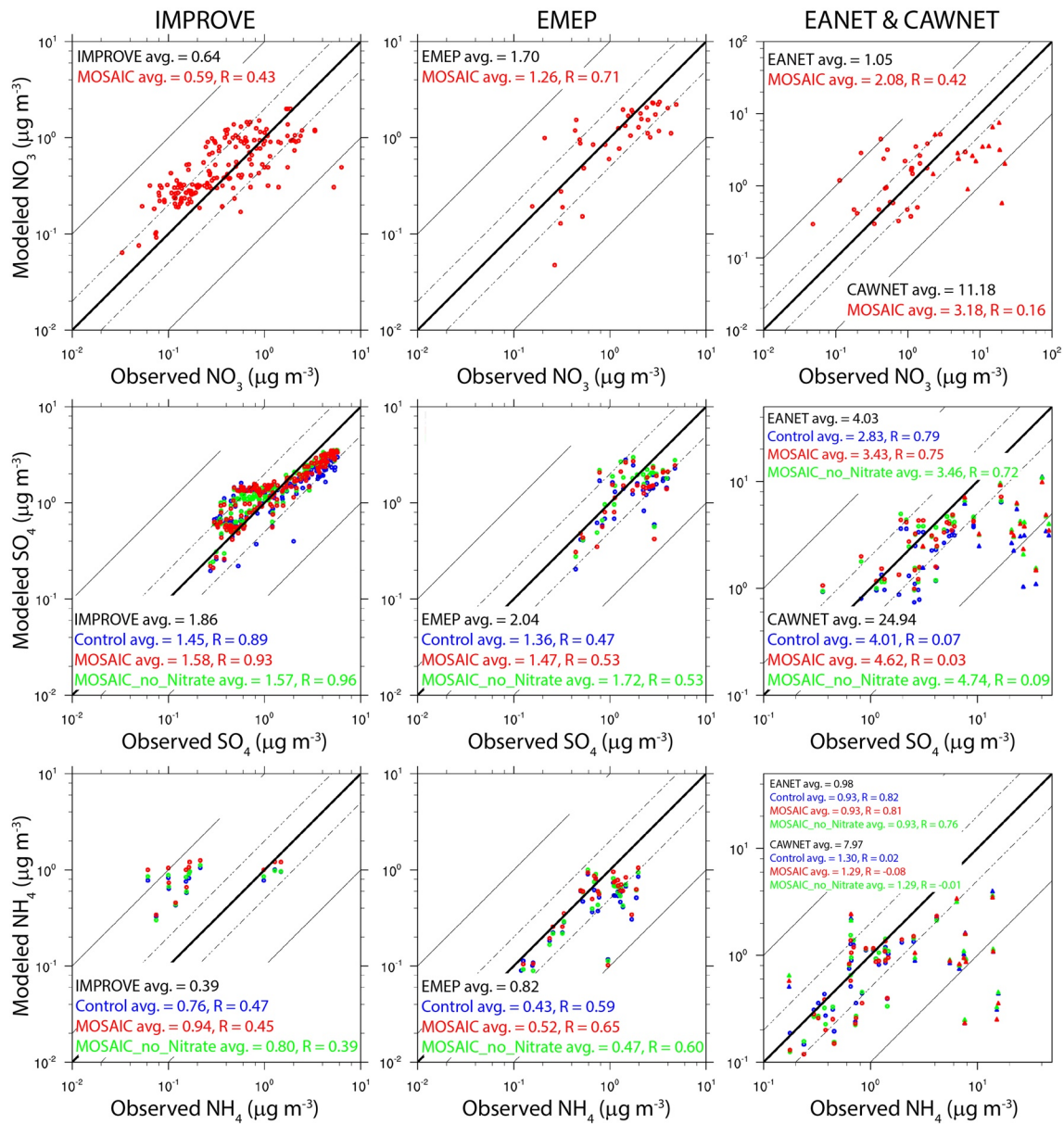


Figure 3. Scatter plots of modeled versus observed annual mean aerosol NO₃ (top row), SO₄ (middle row), and NH₄ (bottom row) surface mass concentrations at IMPROVE (left column), EMEP (middle column), and EANET and CAWNET (left column) network sites.

Figure 3 shows the scatter plots of the simulated versus observed annual mean surface mass concentrations of NO₃, SO₄, and NH₄. The simulated NO₃ agrees reasonably well with the observations over the U.S. (IMPROVE), with the model slightly underestimating the annual average fine aerosol NO₃ mass concentrations. The ratios between the modeled and observed NO₃ mass concentration fall within the 1:10 and 10:1 lines with the exception of the two observation sites in California, where the model's coarse horizontal resolution of 2° is unable to adequately resolve the emissions from polluted urban areas. Similar results are seen for Europe (EMEP) and China (CAWNET) where the model predicts lower average NO₃ mass concentration compared to observations, especially for China where the model underestimates by about 70%. Again, this discrepancy is due to the coarse model resolution, which is too large to resolve the differences between urban and rural areas in China. The model performance over Europe (EMEP) is relatively better as it underestimates NO₃ by about 25%. In contrast, the model overpredicts NO₃ by about 100% over East Asia (EANET). As shown in Figure 2, this is caused by stations over South Korean and Japan, which are influenced by the

outflow of NO_3 aerosols from China. It is worth mentioning that if CMIP5 emissions are used instead of CEDS emission, the model will tend to overestimate NO_3 mass concentrations over the U.S. and Europe and will perform even worse in China (CAWNET). This is because compared to CMIP5 emissions, CEDS has significantly lower NH_3 emissions in the U.S. and Europe but higher NH_3 emissions in China and produces higher NO_x emissions in all three regions (Hoesly et al., 2018).

Similar results were obtained with regards to aerosol SO_4 , wherein the MOSAIC simulation slightly underestimates the surface SO_4 mass concentrations by about 15%–28% over the U.S., Europe, and East Asia (Figure 3). In general, the SO_4 mass concentrations predicted by the MOSAIC and MOSAIC_no_nitrate simulations are much closer to observations than the Control case. However, all three simulations underestimate the SO_4 mass concentrations by about 80% over China (CAWNET). This discrepancy is likely due to biases in emission inventory in China or missing representation of chemical mechanisms in the model (Fan et al., 2018; G. Wang et al., 2016). As also shown in Figure 3, the simulated NH_4 mass concentrations are in a relatively reasonable agreement with the observations in Europe (EMEP) and East Asia (EANET), especially for the MOSAIC case. However, the model overestimates NH_4 mass concentrations over the U.S. (IMPROVE) and underestimates NH_4 over China (CAWNET). Note that only a few sites of the IMPROVE network monitor NH_4 mass concentrations in southeast United States.

Figure 4 shows comparison of mean vertical profiles of total (fine + coarse) aerosol NO_3 , SO_4 , and NH_4 between MOSAIC simulation and aircraft observations made between 0 and 12 km altitudes during three field campaigns: PEM-TROPICS B 1999 over the tropical Pacific Ocean (Raper et al., 2001); TRACE-P 2001 over the North Western Pacific Ocean (Jacob et al., 2003); and INTEX-A 2004 over the Eastern US and the Atlantic Ocean (Singh et al., 2006). Overall, MOSAIC captures the vertical distributions of all three aerosol species well, although it appears to systematically overpredict SO_4 and NH_4 above 6 km during INTEX-A 2004.

3.2. Global Distributions and Burdens

Figures 5–7 show the seasonal maps of NO_3 , SO_4 , and NH_4 column burdens in different modes, respectively. Most of the NO_3 mass exists in the coarse and fine sea-salt modes (~73% of the total annual burden), peaking in the JJA season, followed by NO_3 mass in the two soil dust modes. As shown in the top row of Figure 5, large burdens of accumulation mode NO_3 occur over heavily polluted regions, such as eastern United States, Europe, China, and India, peaking in the DJF season. These masses are formed from NO_x emissions from industrial, power, and transportation sectors. It is noticeable that during DJF, the accumulation mode NO_3 over China exceeds the burdens of NO_3 in other modes.

Figure 6 shows the seasonal map of non-sea-salt SO_4 mass burden. Unlike NO_3 , the majority of non-sea-salt SO_4 masses are in accumulation mode (88%) and Aitken mode (7.1%). The accumulation and Aitken mode SO_4 peak in JJA season, when the mass burdens predominate over the Sahara Desert, U.S., and China. As shown in Figure 7, the seasonality and spatial distributions of NH_4 mass burdens in different modes are very similar to those of SO_4 —almost all mass is in accumulation mode and Aitken mode, peaking in JJA.

In Figure 8, we show the surface mass mixing ratios, column burdens, and vertical zonal means of aerosol NO_3 , aerosol NH_4 , gaseous NH_3 , and gaseous HNO_3 . The contour levels and colors are similar to those in Figure 3 of Bian et al. (2017) to facilitate comparisons with AeroCom III results. The NO_3 aerosol fields (Figures 8a–8c) are very close to those from the EMEP chemical transport model (Figure 3a in Bian et al. [2017]). The differences are that our simulation produces higher nitrate surface mass mixing ratios and column burdens over oceans (especially over the Southern Ocean), lower nitrate surface mass mixing ratios and column burdens over dust source and outflow regions, lower nitrate concentrations over northern China and India, and lower nitrate aerosol concentrations around 30°N, compared to the EMEP model simulation.

As shown in Figure 8d, the surface mass mixing ratios of NH_4 over ocean are below $0.01 \mu\text{g kg}^{-1}$, about 1 order of magnitude lower compared to all AeroCom III models. In addition, the value is low over heavy

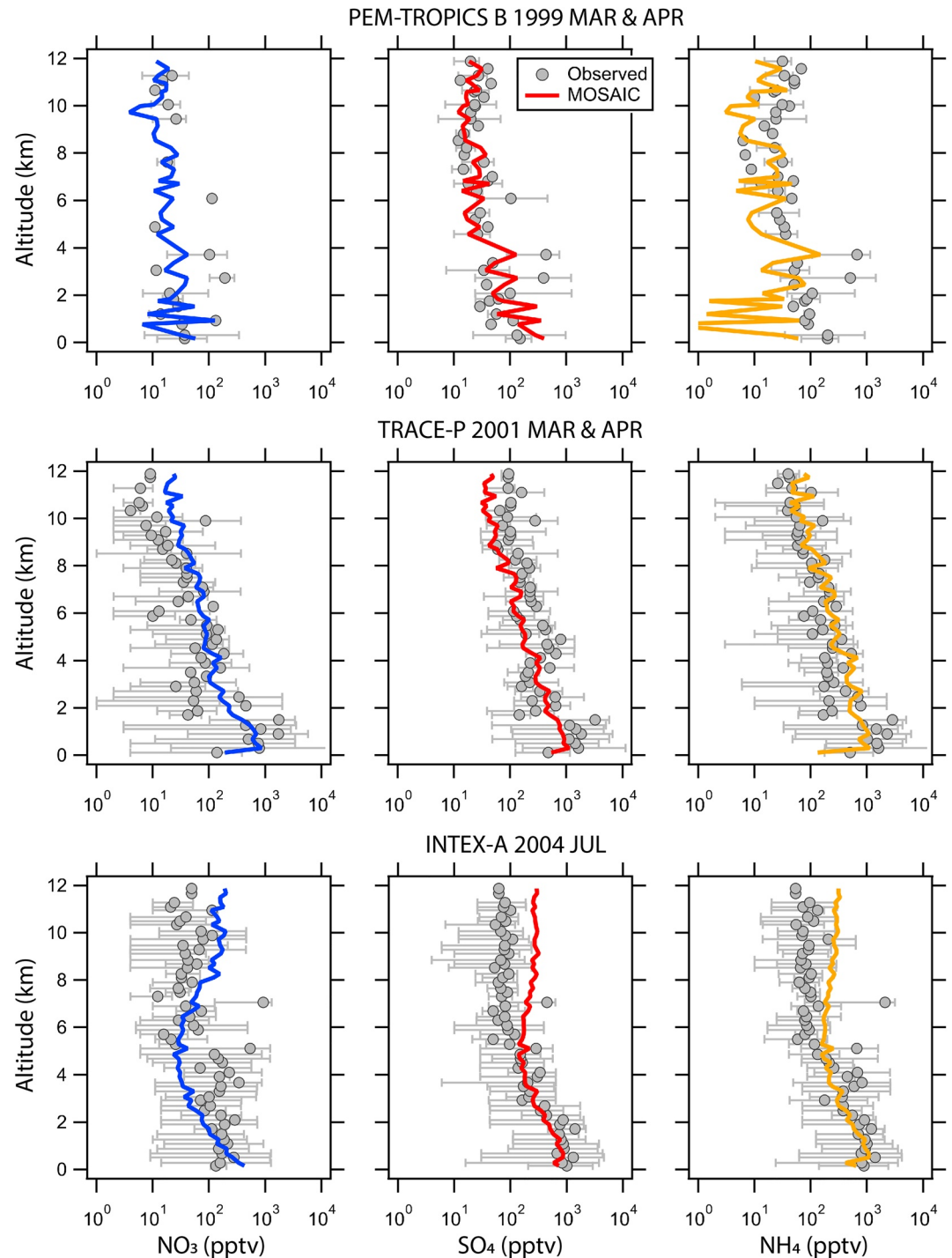


Figure 4. Comparison of modeled (colored lines) and observed (gray circles with error bars representing the range) mean vertical profiles of aerosol NO_3 (first column), SO_4 (middle column), and NH_4 (last column) during PEM-TROPICS B 1999 over the tropical Pacific Ocean, TRACE-P 2001 over the North Western Pacific Ocean, and INTEX-A 2004 over the Eastern U.S. The model results were sampled along the aircraft flight tracks and then the mean vertical profiles were calculated.

polluted region, such as China and India. By examining the column burden and vertical zonal mean fields as shown in Figures 8e and 8f, we found that the total amount of ammonium produced by MOSAIC case lies in the median of AeroCom III simulations as shown in Table 2, since ammonium aerosols in our simulation are located higher in altitude compared to most AeroCom III models.

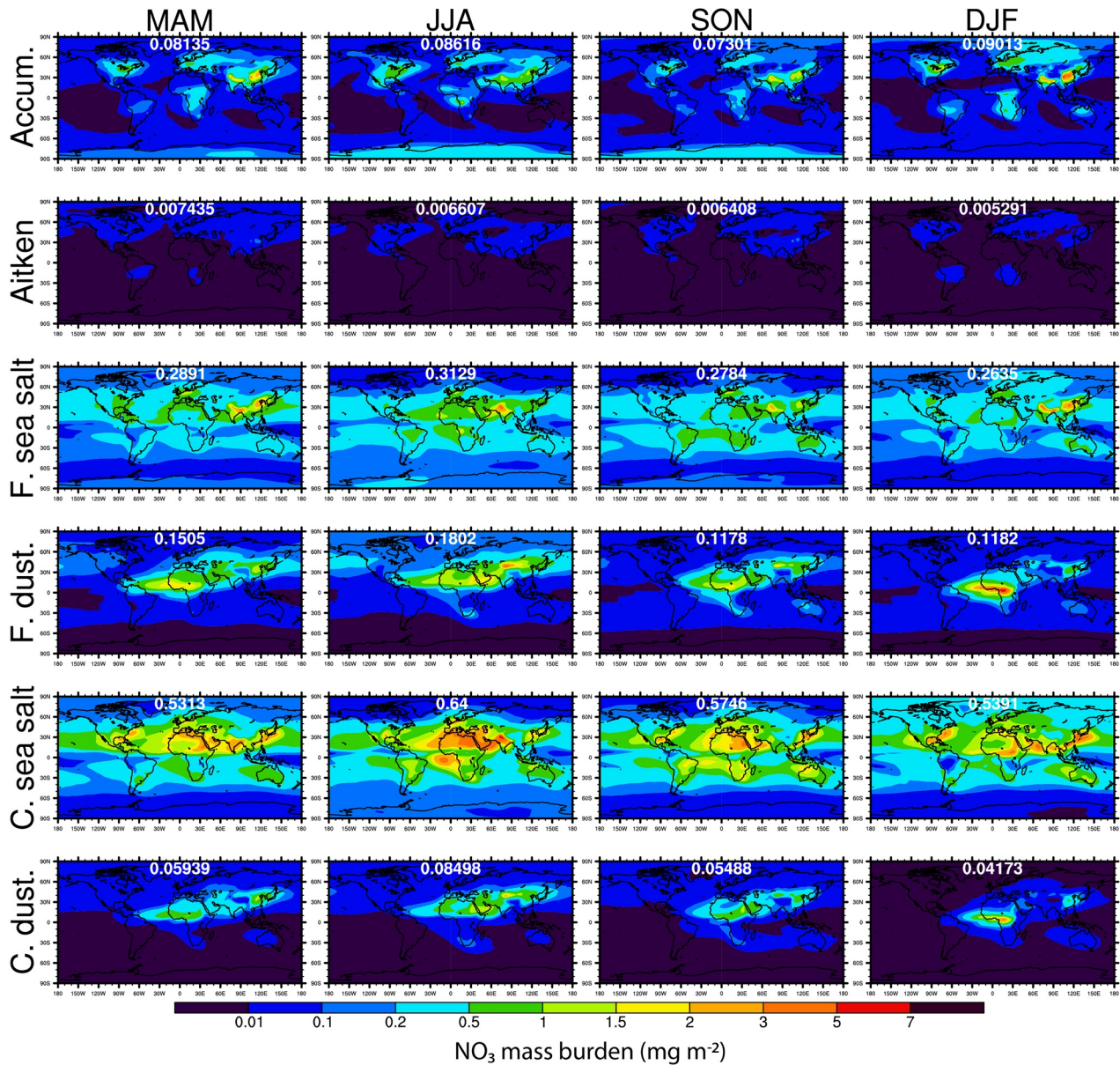


Figure 5. Seasonally averaged spatial distributions of NO₃ mass burdens in different aerosol modes. The global mean NO₃ burden in each mode and season is shown in white font.

Figures 8g–8i show the surface mass mixing ratio, column burden, and vertical zonal mean fields of NH₃ modeled by the MOSAIC case. The total burden produced by the MOSAIC case is lower compared to the median value of AeroCom III simulations as shown in Table 2 (0.07 TgN vs. 0.10 TgN). Surprisingly, NH₃ burden is even lower than the burdens predicted by two GISS models (GISS-Matrix and GISS-OMA), which used CMIP5 emissions, since CEDS emission data set used in the MOSAIC case tuned up NH₃ emissions globally compared to CMIP5 emission data set.

HNO₃ modeled in the MOSAIC case are very close to GMI and INCA models in AeroCom III simulations in terms of total burden and horizontal and vertical spatial patterns when comparing Figures 8j–8l with Figure 3d in Bian et al. (2017). However, compared to these two models, our simulation produces less HNO₃ over tropical ocean but more HNO₃ over mid latitude and high latitude in the Northern Hemisphere.

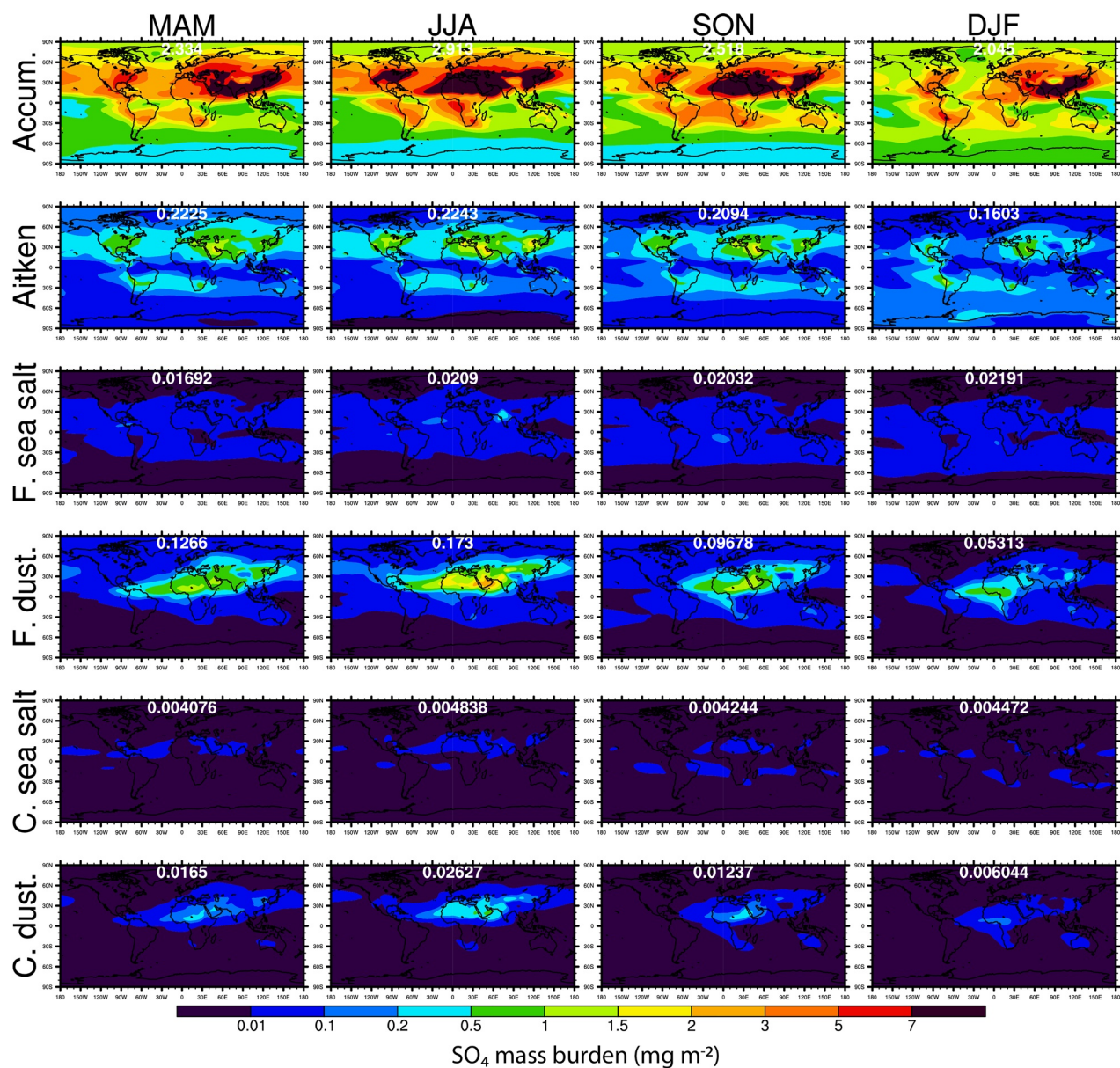


Figure 6. Seasonally averaged spatial distributions of SO₄ mass burdens in different aerosol modes. The global mean SO₄ burden in each mode and season is shown in white font.

Table 2 shows annual average global burdens for aerosol NO₃, NH₄, and SO₄ and gas HNO₃, NH₃, and SO₂ from this study's simulation, the AeroCom phase III global model intercomparison study (with nine models) (Bian et al., 2017), and 13 other modeling studies from the literature. For all these species, the burdens from the MOSAIC simulation are quite close to the medians for the AeroCom III study and for the 13 other studies. (The medians for the AeroCom III study and for the 13 other studies are also quite close.) The ranges of reported values from the AeroCom III and other studies is quite large. The spread of values for all the previous studies combined can be quantified with a geometric standard deviation, and these range from 2.6 and 1.8 for NO₃ and HNO₃, 1.7 and 1.8 for NH₄ and NH₃, and 1.5 for SO₄ and SO₂. The geometric standard deviation for NO₃ is noticeably larger than those for the other species, which is perhaps not surprising since aerosol NO₃ depends on NO_x sources and gas-phase chemistry, HNO₃ removal, aerosol chemistry/thermodynamics (which is sensitive to overall aerosol composition), and aerosol removal.

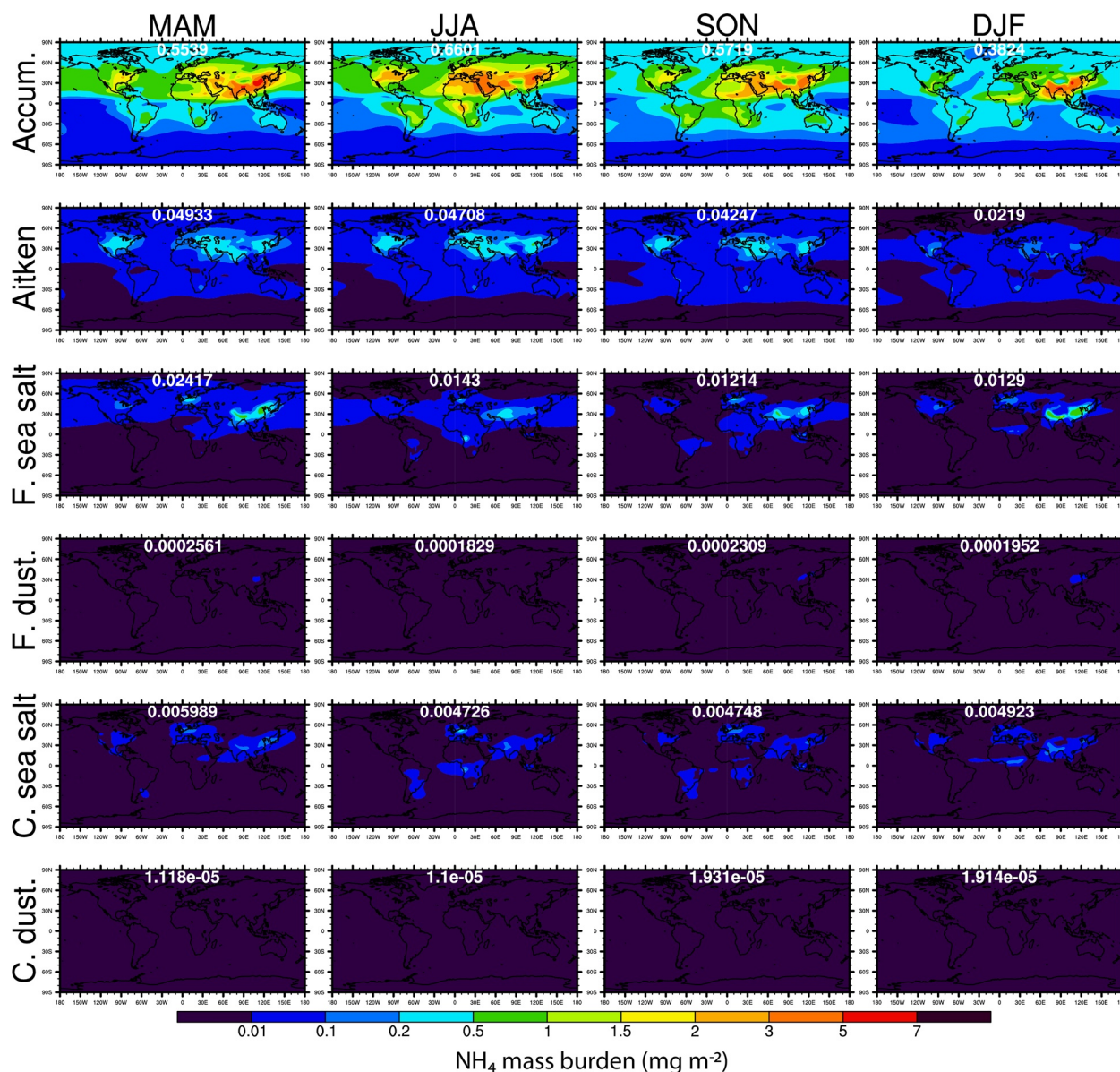


Figure 7. Seasonally averaged spatial distributions of NH₄ mass burdens in different aerosol modes. The global mean NH₄ burden in each mode and season is shown in white font.

Species burdens for the three simulations in this study are mostly very similar, except for HNO₃ being lower in the MOSAIC simulation because of its condensation onto aerosol particles. NH₃ burdens are small in all simulations, its higher value in MOSAIC_no_nitrate is due to absence of NO₃ affecting the NH₃ gas-aerosol partitioning, and its default-simulation value matches the MOSAIC simulation by chance.

Table 3 shows the tropospheric annual average mixing ratios for NO₃, Cl, NH₄, and non-sea-salt SO₄ in the seven aerosol modes. Note that NO₃ in the primary-carbon mode is not carried as a transported species in MAM; any NO₃ produced in that mode is immediately transferred to the accumulation mode through the MAM aging process. The sea-salt modes contain ~74% of the nitrate. The accumulation mode NO₃ accounts for only 9% globally, although it is higher over the pollution source regions, and it dominates in a few regions (e.g., over the Antarctic, and in the PBL over E. Asia and E. N. America). Dust mode NO₃ is 17% globally and dominates over Asian dust regions and the southern Sahara (and its outflow).

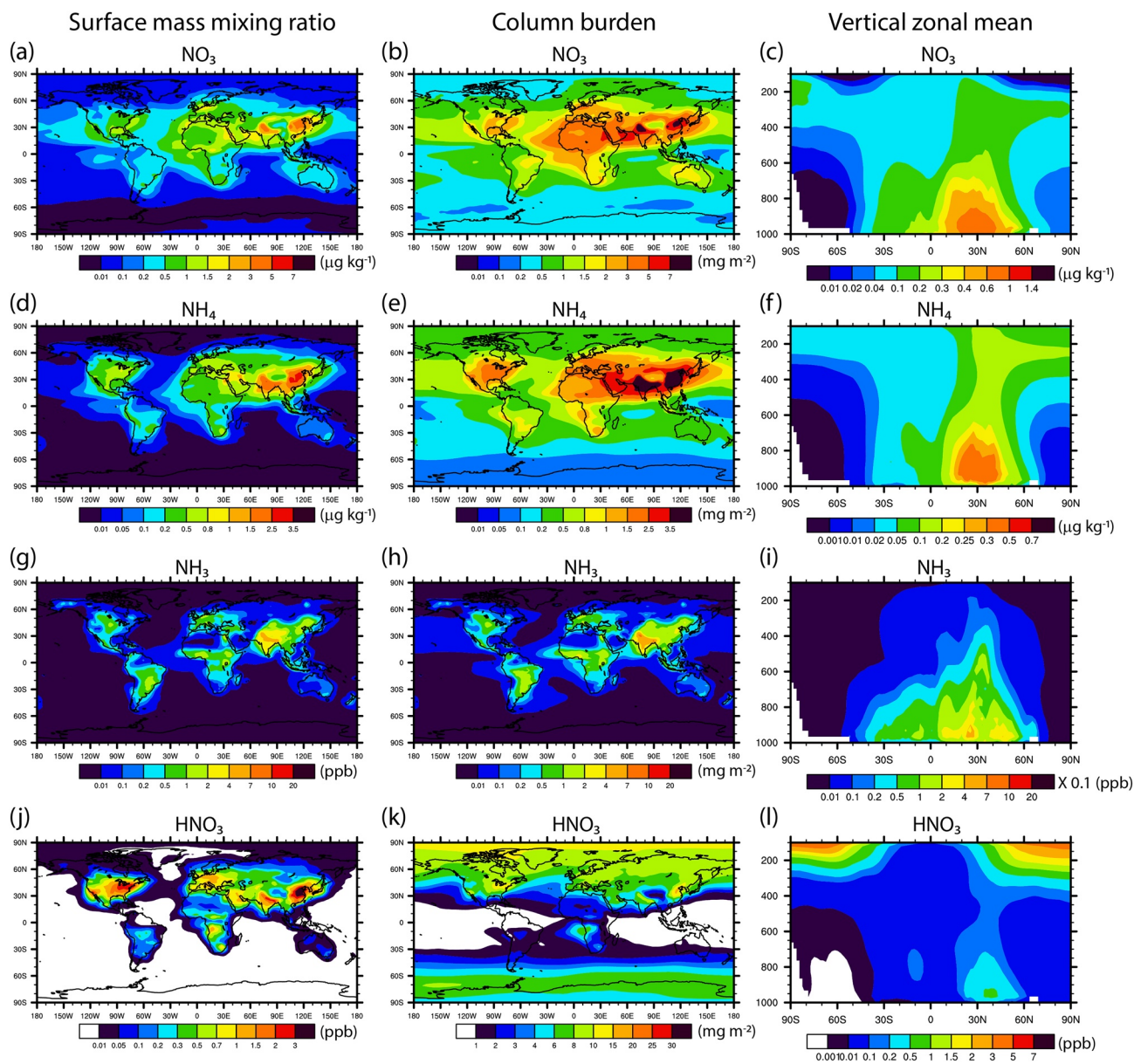


Figure 8. Annually averaged surface mass mixing ratios (left column), column burdens (middle column), and vertical zonal means (right column) of aerosol NO_3 (first row), aerosol NH_4 (second row), NH_3 (third row), and HNO_3 (bottom row).

Size-resolved aerosol measurements over the Atlantic Ocean (Huebert et al., 1996; Keene et al., 2009) found nearly all of the aerosol nitrate to be in particles with diameters $\geq 1 \mu\text{m}$. Model results are in agreement with this finding: 85% or more of the nitrate is in supermicrometer particles over most of the areas sampled in those studies. (Supermicrometer nitrate is calculated as that in the coarse sea-salt and dust modes, and $\sim 70\%$ of that in the fine dust mode, which gets dust emissions up to $2 \mu\text{m}$ diameter.) Sixty-four percent of the global annual nitrate burden is in supermicrometer particles. Submicrometer nitrate dominates in the upper atmosphere (above $\sim 350 \text{ hPa}$). The externally mixed treatment of submicrometer sea salt and dust in MAM7 appears to have a strong impact on the amount of submicrometer nitrate. Lu et al. (2021) implemented MOSAIC in CAM6-chem and performed simulations with both 4 and 7 mode versions of MAM. Their supermicrometer nitrate burdens were nearly identical for MAM4-MOSAIC and MAM7-MOSAIC, but the submicrometer nitrate was $\sim 40\%$ lower for MAM4-MOSAIC, where submicrometer sea salt and dust are internally mixed with other aerosol species.

Table 2
Comparison of Global Annual Atmospheric Burdens (TgN or TgS) From This and Previous Studies

Modeling study	HNO ₃	NO ₃	NH ₃	NH ₄	SO ₂	Nss-SO ₄
This study (MOSAIC)	(0.42)	0.14	0.070	0.24	0.35	0.49
This study (MOSAIC_no_nitrate)	(0.60)	–	0.082	0.24	0.33	0.50
This study (Control)	(0.60)	–	0.069	0.26	0.37	0.49
Bian et al. (2017)						
Median	(0.40)	0.13	0.10	0.23	–	0.52
Range	(0.15–1.3)	0.03–0.43	0.04–0.70	0.13–0.58	–	0.28–1.1
Median of the other studies below	(0.37)	0.14	0.16	0.22	0.48	0.50
Matsui (2017)	–	0.03	–	0.2	–	0.4
Karydis et al. (2016)	(0.37)	(0.10)	(0.68)	(0.13)	–	(0.59)
Pozzer et al. (2012)	1.1	0.1	0.1	0.1	–	0.3
Xu and Penner (2012)	(0.30)	0.17	0.07	0.26	–	–
Bellouin et al. (2011)	–	0.1	–	–	–	0.5
Pringle et al. (2010) (EQSAM)	(0.58)	0.11	0.19	0.20	0.55	0.51
Pringle et al. (2010) (ISORROPIA)	(0.55)	0.13	0.16	0.21	0.55	0.53
Pye et al. (2009)	–	0.35	–	0.24	–	0.28
Myhre et al. (2009)	–	0.013	–	–	–	0.33
Feng and Penner (2007)	(0.37)	0.16	0.084	0.29	–	–
Bauer et al. (2007)	3.88	0.52	0.17	0.27	0.48	0.56
Rodriguez and Dabdub (2004)	0.96	0.42	0.19	0.045	0.20	0.70
Liao et al. (2003)	0.32	0.15	0.17	0.33	0.26	0.62

Note. SO₄ is non-sea salt. Burdens are mostly for the entire model atmosphere. Because HNO₃ mixing ratios above the tropopause can be large, some studies have reported (quasi) tropospheric burdens for HNO₃. These values are shown in parentheses and were calculated as pressure > 200 hPa for Feng and Penner (2007) and Xu and Penner (2012); pressure > 150 hPa for Pringle et al. (2010); pressure > 100 hPa for Bian et al. (2017) and this study; and “troposphere” for Karydis et al. (2016) for all species.

The simulated non-sea-salt SO₄ partitioning is completely different from the nitrate partitioning, with 88% of it in the accumulation mode, 7.1% in the Aitken mode, 0.3% in the two sea-salt modes, and 4.4% in the two dust modes. The preferential partitioning of nitrate to the sea-salt and dust modes results in a shorter lifetime compared to sulfate and ammonium. The NO₃ lifetime, calculated as the ratio of global burden to global wet + dry removal rate, is 2.4 days, which is intermediate between those of sea salt (0.4 days) and SO₄ and NH₄ (4.4 and 5.3 days). The AeroCom III study reported a median NO₃ lifetime of 5.5 days, suggesting that NO₃ was predominantly in submicron aerosol particles in most of the participating models, although two of the seven models that reported lifetimes had NO₃ lifetimes of about 2 days.

Table 3 also shows the tropospheric annual average chemical production and loss of NO₃, Cl, NH₄, and non-sea-salt SO₄ for all modes except primary carbon: condensation to and evaporation from interstitial aerosol particles, and net production by uptake to cloud-borne aerosol particles (i.e., aqueous chemistry in cloud droplets that contain the cloud-borne particles). The total production of nitrate in cloud droplets is similar in magnitude to the total production by condensation. Nearly, all of this aqueous production is in the accumulation mode, because it accounts for most of the cloud condensation nuclei and cloud droplets. Most of the cloud-borne nitrate evaporates when cloud droplets evaporate and the cloud-borne aerosol material is resuspended, because the moderately acidic cloud droplets become highly acidic interstitial particles due to water loss. For the accumulation mode, the sum of evaporation and net aqueous production (–3.8 ng kg^{–1} day^{–1}) is 34% of the

Table 3
Tropospheric Annual Average Mixing Ratios, Percentage of All-Mode Total Mixing Ratio, and Production/Loss for NO₃, Cl, NH₄, and Non-Sea-Salt SO₄ in the MAM7 Aerosol Modes

Mode	Mixing ratio (ng kg ⁻¹)	Percentage of total mixing ratio	Condensation production (ng kg ⁻¹ day ⁻¹)	Evaporation loss (ng kg ⁻¹ day ⁻¹)	Net aqueous production (ng kg ⁻¹ day ⁻¹)	Overall net production (ng kg ⁻¹ day ⁻¹)
NO₃						
Accumulation	11.9	8.6	11.2	-53.5	49.7	7.4
Aitken	0.8	0.6	1.9	-2.9	3.2	2.2
F. sea salt	33.0	23.8	16.3	-7.6	0.2	8.9
C. sea salt	68.9	49.8	38.4	-2.1	0.0	36.3
Fine dust	16.7	12.0	2.9	-0.6	0.4	2.7
Coarse dust	7.2	5.2	2.3	-0.0	0.0	2.3
All	138.5	100.0	73.0	-66.8	53.7	59.9
Cl						
Accumulation	4.5	0.6	2.2	-23.8	19.0	-2.6
Aitken	0.3	0.0	0.9	-1.8	1.7	0.7
F. sea salt	54.8	7.4	4.0	-9.0	0.8	-4.2
C. sea salt	678.4	91.0	5.7	-25.1	0.2	-19.2
Fine dust	5.1	0.7	1.1	-0.4	0.2	0.9
Coarse dust	2.6	0.4	0.9	-0.0	0.0	0.9
All	745.8	100.0	14.9	-60.1	21.8	-23.4
NH₄						
Accumulation	58.9	89.2	19.5	-11.8	2.1	9.9
Aitken	4.6	7.0	4.3	-2.4	0.1	2.1
F. sea salt	1.9	2.8	2.2	-1.5	0.0	0.7
C. sea salt	0.6	1.0	2.1	-1.4	-0.0	0.7
Fine dust	0.0	0.0	0.1	-0.1	0.1	0.0
Coarse dust	0.0	0.0	0.0	-0.0	0.0	0.0
All	66.0	100.0	28.2	-17.1	2.3	13.3
Non-sea-salt SO₄						
Accumulation	273.6	86.9	14.2	0.0	42.4	56.6
Aitken	23.6	7.5	7.6	0.0	4.0	11.6
F. sea salt	2.5	0.8	0.4	0.0	0.8	1.1
C. sea salt	0.5	0.2	0.3	0.0	0.2	0.5
Fine dust	12.9	4.1	1.6	0.0	0.7	2.2
Coarse dust	1.8	0.6	0.5	0.0	0.0	0.5
All	314.9	100.0	24.6	0.0	48.1	72.7

Note. The production/loss columns are gas condensation (HNO₃, HCl, NH₃, and H₂SO₄) to interstitial aerosol particles, loss by evaporation from interstitial particles, and net aqueous production (net uptake of these gases to cloud-droplet-borne aerosol particles, plus uptake and aqueous oxidation of SO₂), and overall net production. Losses from cloud-droplet-borne particles are relatively small so are not shown. Single time step production/loss values from the aerosol and aqueous chemistry modules are saved separately to produce these results. Average mixing ratios of HNO₃, HCl, NH₃, SO₂, and H₂SO₄ gases are 219, 71.1, 19.6, 161, and 0.26 ng kg⁻¹.

condensation production, indicating significant evaporation of the interstitial-particle NO₃ produced by condensation. This cycling of nitrate into and out of particles is also strong for the fine sea-salt mode, where aqueous production is negligible, and evaporation is about 47% of condensation. There is a net loss of Cl from the two sea-salt modes and the accumulation mode, cause by displacement

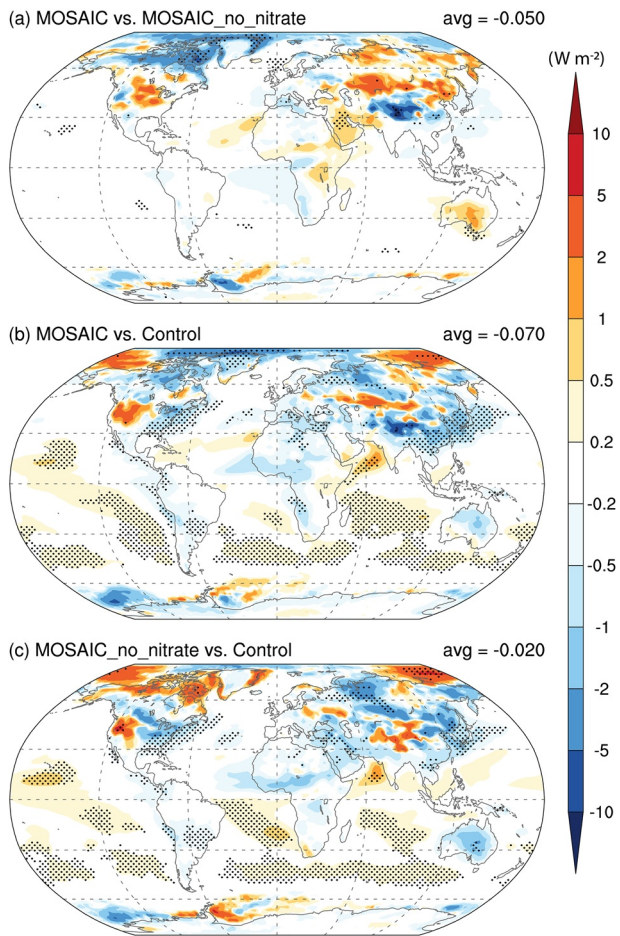


Figure 9. Global distribution of changes in the annual mean clear-sky shortwave fluxes at the top of the model atmosphere due to (a) including nitrate aerosol (MOSAIC vs. MOSAIC_no_nitrate), (b) all MOSAIC effects (MOSAIC vs. Control), and (c) MOSAIC effects other than nitrate aerosol (MOSAIC_no_nitrate vs. Control). Stippled areas indicate statistical significance (using *t* test based on the 10 individual annual means).

of primary sea-salt Cl by secondary SO₄ and NO₃, and Cl uptake to the accumulation and Aitken modes is primarily aqueous. NH₄ also has moderate evaporation loss (~50% of the condensation production) from many of the modes. SO₄ is treated as nonvolatile in this version of the model so has no evaporation loss, and its production is dominated by aqueous uptake and oxidation of SO₂. The chemical production and loss of NO₃, Cl, NH₄, and SO₄ have interesting horizontal and vertical spatial distributions, which are further discussed in supporting information S1.

3.3. Nitrate Aerosol Radiative Effects

As shown above, the CAM5-chem-MAM7-MOSAIC produces a significant amount of nitrate aerosol and changes in other aerosols, which in turn have an appreciable impact on aerosol-radiation interaction. Figure 9 shows the global distribution of changes in annual mean top of the atmosphere (TOA) clear-sky shortwave flux caused by the additional nitrate aerosol and/or the MOSAIC scheme itself. The inclusion of nitrate (MOSAIC vs. MOSAIC_no_nitrate) produces a small global mean shortwave radiative cooling effect (-0.05 W m^{-2}), which is smaller than the present-day nitrate direct radiative effect, -0.11 and -0.12 W m^{-2} , estimated by Bauer et al. (2007) and Xu and Penner (2012), respectively, but within the AeroCom II multimodel range from -0.12 to -0.02 W m^{-2} (Myhre, Samset, et al., 2013). With the experiment setup in the present study, the difference in TOA clear-sky shortwave flux between MOSAIC and MOSAIC_no_nitrate is due to a 0.4% increase in global mean AOD and a 2.0% decrease in global mean absorption AOD. The nitrate speeds up the aging of primary-carbon mode aerosol resulting in a lower BC burden, and dust emissions are slightly greater in the simulation with nitrate. The inclusion of nitrate exerts a discernible and statistically significant impact on the regional cooling (up to -5 W m^{-2}) in Asia and the Arctic and produces some areas of regional warming, which is more likely due to interactions with other species than the additional nitrate aerosol. The interactions with other aerosol species, shown in the bottom panel, also cause a regional cooling over continents, especially near major anthropogenic sources and outflow areas but a net warming effect over oceanic areas in the tropics and Southern Hemisphere. The latter is

due to a reduction in the sea-salt burden induced by the MOSAIC scheme (more aerosol water in sea-salt modes, which increases particle sizes and dry deposition rates, and reduces lifetimes and burdens).

Including nitrate aerosol in the model produces significant impacts on regional cloud condensation nuclei (CCN) (Figure 10), cloud droplet number (not shown), and liquid water path (LWP; not shown). Concentrations of tropospheric CCN (at 0.1% supersaturation), accumulation mode number, cloud droplet number, and liquid water path are 2.5%, 10.5%, 7.9%, and 2.5% greater on average in the MOSAIC simulation compared to MOSAIC_no_nitrate. The higher accumulation mode number concentration in the MOSAIC simulation with nitrate formation is due to a combination of somewhat more rapid growth of Aitken mode particles and aging of primary-carbon mode particles into the accumulation mode, and somewhat slower removal of the accumulation mode particles. These differences are compensated to some extent by other effects of the MOSAIC scheme (i.e., MOSAIC_no_nitrate vs. Control). The overall global mean net impact of MOSAIC (i.e., MOSAIC vs. Control) becomes small, but the impact over major source regions such as East Asia, Europe, and North America is enhanced. The increase in the boundary-layer CCN number concentrations is as high as $50\text{--}100 \text{ cm}^{-3}$. Simulated CCN number concentrations were compared to the aircraft campaign measurements in Figure 23 of Liu et al. (2012). For some of the campaigns, the MOSAIC results showed somewhat better agreement with measurements relative to MOSAIC_no_nitrate (not shown). However, the aircraft measurements were not in the CCN enhancement locations, so the comparison does

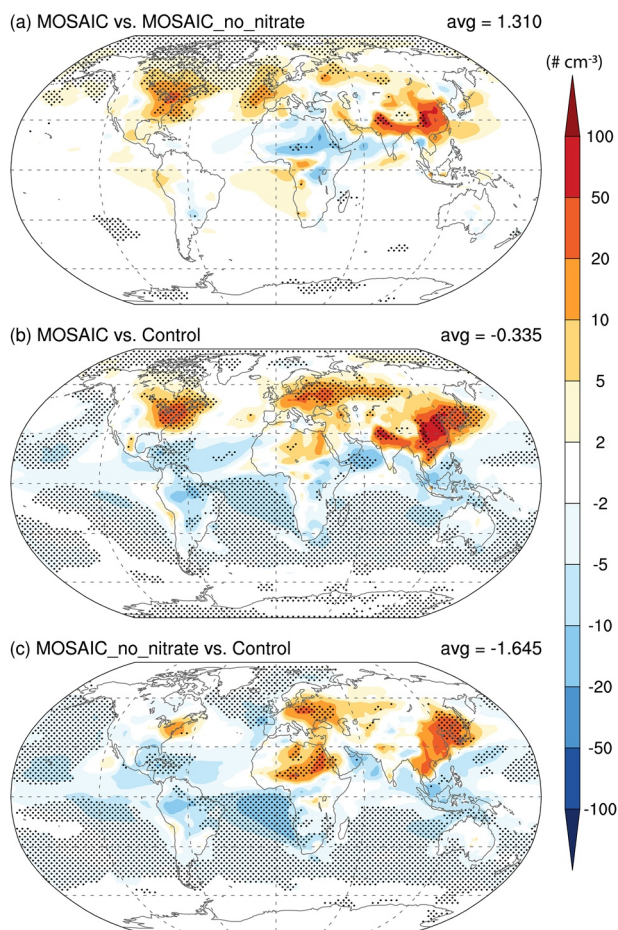


Figure 10. Same as Figure 9 but for changes in CCN (at 0.1% supersaturation) concentrations averaged below 850 hPa.

not support the regional CCN increases seen in Figure 10. A comprehensive evaluation of regional CCN changes and the connection to nitrate aerosol require further investigation.

Large increases are also seen in regional cloud droplet number concentrations, but the net effect on LWP is not as significant. The impact on CCN and clouds by including nitrate aerosol causes a decrease (i.e., additional cooling) in global mean shortwave cloud forcing by -0.8 W m^{-2} under the year-2000 conditions, which is however outweighed by the compensating effect through changes in other aerosol species, leading to an overall global mean net warming of $+0.2 \text{ W m}^{-2}$, mostly in the Southern Hemisphere. Taking into consideration of changes in both longwave and shortwave radiative fluxes under all-sky conditions, the radiative effect (induced by including nitrate aerosol) is -0.7 W m^{-2} , while the overall net change in TOA radiative fluxes caused by MOSAIC aerosols is $+0.15 \text{ W m}^{-2}$, with discernible amount of cooling (warming) in the Northern (Southern) Hemisphere, as shown in Figure 11. Note that the net change in TOA all-sky radiative fluxes (-0.7 W m^{-2}), calculated as the difference between the two experiments with (MOSAIC) and without (MOSAIC_no_nitrate) year-2000 nitrate aerosol, is not comparable to the traditional total anthropogenic nitrate aerosol forcing (i.e., difference between present-day and preindustrial aerosol conditions), so it is not surprising that the magnitude here is larger than those reported in previous studies (e.g., -0.21 W m^{-2} , Xu & Penner, 2012).

3.4. Computational Performance

Table 4 shows Simulated Years Per Day (SYPD) from the three simulations discussed above. Percentage slowdown for the test simulations (MOSAIC_no_nitrate and MOSAIC) is shown in parentheses. These simulations were performed on Environmental Molecular Sciences Laboratory's "Cascade" supercomputer. Cascade is a 3.4 Petaflop machine with 1440 Intel Xeon E52670 nodes. Each Cascade node has 16 CPU cores with 128 GB memory (8 GB per core). All simulations were performed using 528 CPU cores (33 nodes).

The major differences between the Control and the test simulations are the number of additional tracers and the additional chemistry computations in the MOSAIC module coupled with MAM7. These two factors directly impact the computational performance of the model. MOSAIC and MOSAIC_no_nitrate simulations have 20 additional advected tracers as compared with the Control simulation. MOSAIC simulation performs additional nitrate computations as compared with the MOSAIC_no_nitrate simulation. MOSAIC is about $\sim 21\%$ more expensive than the Control simulation. MOSAIC_no_nitrate is about $\sim 18\%$ more expensive than the Control simulation. Additional nitrate computations in MOSAIC simulation are about $\sim 3\%$ more expensive.

Tracer advection is accomplished by model dynamics while chemistry calculations take place in MOZART + MAM7 + MOSAIC modules. Table 5 presents a comparison between the time taken by the tracer transport and chemistry (includes advancing dust/sea-salt emissions and dry deposition of aerosols) calculations by the three simulations—Control, MOSAIC_no_nitrate, and MOSAIC. Percentage slowdown is shown for the test cases in parenthesis. As expected, the MOSAIC simulation is the most expensive among the three, taking $\sim 33\%$ more time for tracer transport and $\sim 73\%$ more time for the chemistry calculations as compared to the Control simulation. In comparison, the MOSAIC_no_nitrate simulation takes $\sim 28\%$ more time for the tracer transport and $\sim 66\%$ more time for the chemistry calculations.

It should be noted that the default CAM5 configuration uses the 4-mode version of MAM aerosol package (MAM4) (Liu et al., 2016). The Control simulation (CAM5 + MOZART + MAM7) is about 3 times more

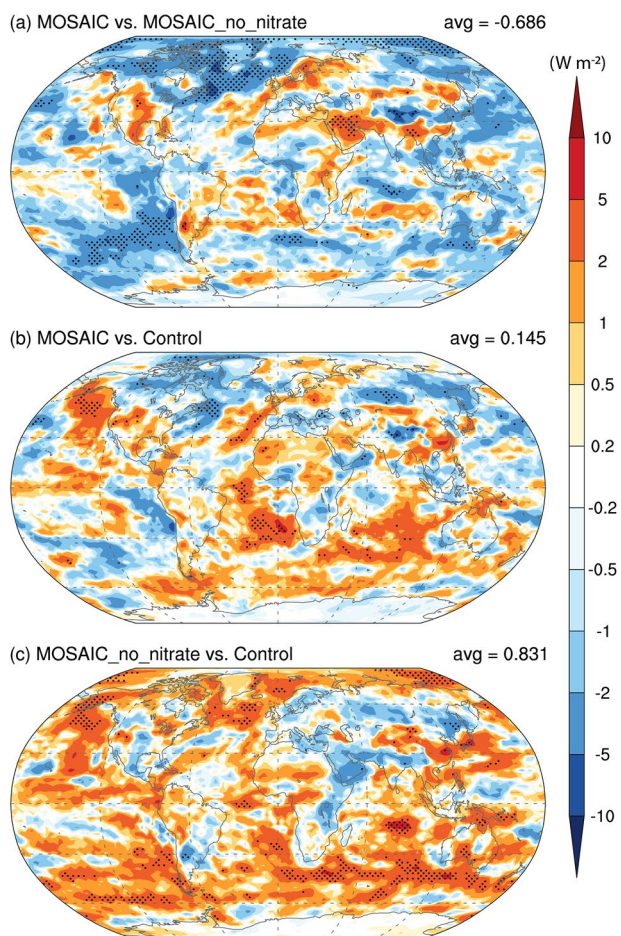


Figure 11. Same as Figure 9 but for changes in TOA net (longwave and shortwave) radiative fluxes. TOA, top of the atmosphere.

expensive than the default (CAM5 + MAM4) configuration, primarily because of the prescribed oxidants and very limited gas-phase chemistry in the default configuration.

4. Conclusions

We have introduced an advanced aerosol treatment into the CAM5-chem global chemistry-aerosol-climate model by coupling the MOSAIC aerosol chemistry module with the MAM7 aerosol dynamics module (with seven modes) and the MOZART atmospheric chemical mechanism. Unlike the traditional equilibrium and hybrid partitioning approaches, MOSAIC dynamically partitions condensable inorganic gases (H_2SO_4 , HNO_3 , HCl , and NH_3) to all the fine and coarse mode aerosols, as governed by mode-resolved thermodynamics and heterogeneous chemical reactions. We applied the model in the free-running mode from 1995 to 2005 with prescribed transient historical climatological forcing conditions. The simulated annual average global distributions of NO_3 , NH_4 , and SO_4 aerosol mass concentrations agreed well with surface observations from the IMPROVE network in the U.S., the EMEP network in Europe, and the EANET network in East Asia but were generally underpredicted compared to the CAWNET network in China. The model captured the spatial distribution patterns of NO_3 reasonably well, with relatively high concentrations over polluted regions across western Europe, northeastern China, and southern California in the U.S.

The simulated global burdens of NO_3 , NH_4 , and SO_4 aerosols, and also HNO_3 , NH_3 , and SO_2 gases, were close to the median values from the AeroCom III intercomparison (Bian et al., 2017) and a group of other previous global nitrate aerosol studies. The simulated accumulation mode NO_3 column burden was high over the heavily polluted regions in the U.S., Europe, China, and India, peaking during the winter season in the Northern Hemisphere. The accumulation mode NO_3 over China even exceeded the burdens of NO_3 in other aerosol modes during winter. These high NO_3 burdens resulted from its precursors emission of industrial and/or trans-

portation origins. Globally however, about 74% and 17% of the tropospheric annual average NO_3 burden was contained in the sea-salt and dust modes, respectively, with only about 9% in the accumulation mode. The accumulation mode particles were relatively more acidic as they contain 87% of the tropospheric non-sea-salt sulfate, while the sea-salt and dust mode particles are much less acidic and contain considerable amounts of primary Cl and CO_3 that can be displaced by NO_3 . Also, accumulation mode NO_3 formed over polluted regions tended to evaporate due to dilution and/or increase in temperature. Globally, 64% of the NO_3 aerosol was in supermicrometer particles ($D_{p,dry} > 1 \mu\text{m}$), in general agreement with measurements.

The nitrate partitioning was very different from the partitioning of non-sea-salt SO_4 and NH_4 , which were primarily in the accumulation mode.

About 69% of the submicrometer NO_3 mass was in the fine sea-salt mode, and the simulated submicrometer nitrate burden was quite sensitive to the model's treatment of submicrometer aerosol mixing state (Lu et al., 2021). NO_3 in the accumulation, Aitken, and fine sea-salt modes showed a strong dynamical behavior, with loss by evaporation equaling about half of the production by condensation and aqueous uptake. For the accumulation and Aitken modes, this was largely due to cycling into and out of clouds, with aqueous uptake when interstitial particles move into clouds and are activated, then evaporation loss when cloud-borne particles move out of clouds and are resuspended.

Table 4
Comparison of Computational Performance in Simulated Years per Day (SYPD)

Simulations	Number of tracers	SYPD (percentage slowdown)
Control	223	4.98
MOSAIC_no_nitrate	243	4.08 (18.09%)
MOSAIC	243	3.94 (20.97%)

Note. Percentage slowdown with respect to the Control simulation is shown in parentheses.

Table 5
Comparison of Time Taken by Tracer Advection and Aerosol Chemistry Computations for One Simulated Month

Simulations	Tracer transport time (s) (percentage slowdown)	Aerosol chemistry time (s) (percentage slowdown)
Control	441.11	384.1
MOSAIC_no_nitrate	562.76 (27.58)	636.61 (65.74%)
MOSAIC	585.07 (32.64)	664.37 (72.97%)

Note. Aerosol chemistry includes calculations performed within MOZART, MAM7, MOSAIC (if included) along with dust/sea-salt emissions and aerosol dry deposition. Percentage slowdown with respect to the Control simulation is shown in parentheses.

The inclusion of NO₃ aerosol significantly increased the accumulation mode number concentrations over the polluted regions due to enhanced growth of Aitken mode aerosols and resulted in about 10% higher global average accumulation mode number concentrations. Consequently, aerosol NO₃ exerted a discernible and statistically significant impact on the regional shortwave radiative cooling of up to -5 W m^{-2} in Asia and the Arctic but produced a much smaller global average shortwave radiative cooling of -0.05 W m^{-2} . The NO₃ induced growth of accumulation mode aerosols increased the regional boundary-layer CCN (at 0.1% supersaturation) number concentrations by as high as $50\text{--}100 \text{ cm}^{-3}$, with large increases in regional cloud droplet number concentrations as well, which resulted in an additional global average shortwave cooling of -0.8 W m^{-2} . However, the cloud-related cooling was outweighed by compensating effects via changes in other aerosol species, leading to an overall global average net warming of $+0.2 \text{ W m}^{-2}$, mostly in the Southern Hemisphere. Taking into consideration of changes in both longwave

and shortwave radiative fluxes under all-sky conditions, the net change in TOA radiative fluxes induced by including nitrate aerosol was -0.7 W m^{-2} .

Conflict of Interest

The authors declare no conflicts of interest relevant to this study.

Data Availability Statement

The IMPROVE data set is available at <http://vista.cira.colostate.edu/Improve/>. The EANET data set is available at <https://monitoring.eanet.asia/document/public/index>. The EMEP data set is available at <http://www.emep.int>. INTEX-A, PEM-TROPICS B, and TRACE-P data sets are available at the NASA GTE campaign data archive at <https://www-air.larc.nasa.gov/pub/>. The gas-phase species aircraft climatology data set is available as part of the CESM Atmospheric Diagnostics package at http://www.cesm.ucar.edu/working_groups/Atmosphere/amwg-diagnostics-package/. The model CAM5-chem-MAM7-MOSAIC is available at <https://github.com/razaveri/CAM5-MAM7-MOSAIC> and the simulations are available at <https://doi.org/10.25584/DH-172021/1756093>.

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