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# Mortality risk stratification using artificial intelligence-augmented electrocardiogram in cardiac intensive care unit patients

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# Introduction

The degree of medical complexity and prevalence of critical care diagnoses are increasing in the cardiac intensive care unit (CICU) population over time.<sup>1,[2](#page-9-0)</sup> Intensive care unit (ICU) severity of illness scores have very good discrimination for hospital mortality in CICU cohorts but lack optimal calibration. $3-7$  Clinical measurements intended for other uses have been repurposed to predict mortality in CICU patients. The Braden Skin Score, which was developed to

predict pressure injuries in hospitalized patients, is a potent predictor of mortality in CICU patients and is included in a novel CICU-specific mortality risk prediction score.<sup>[8,9](#page-9-0)</sup>

Currently, available risk stratification algorithms do not integrate markers of cardiac function, which could further refine risk stratification in CICU patients. $3-6,9,10$  $3-6,9,10$  $3-6,9,10$  $3-6,9,10$  Left ventricular systolic dysfunction (LVSD), defined as a reduced left ventricular ejection fraction (LVEF) on transthoracic echocardiography (TTE), is a major determinant of outcomes in patients with cardiovascular disease.<sup>[11](#page-9-0)</sup> Unexpectedly,

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. <span id="page-1-0"></span>LVSD has not been consistently associated with outcomes in all CICU patient subgroups.<sup>12–15</sup> This highlights the limitations of LVEF as a definitive assessment of myocardial function.<sup>15,16</sup>

Artificial intelligence-augmented electrocardiogram (AI-ECG) algorithms can recognize patterns characteristic of underlying myocardial disease using a standard, 10-s, 12-lead electrocardiogram (ECG). $17-20$  One novel AI-ECG algorithm provided excellent discrimination for LVSD with an overall accuracy of >85% in more than 100 000 total patients.<sup>17–19</sup> Insofar as the AI-ECG identifies ECG correlates of underlying myocardial disease, AI-ECG algorithms can identify underlying subtle patterns associated with mortality risk. $21$ Although the AI-ECG algorithm retained very good discrimination for LVSD in a CICU population with a high prevalence of LVSD, the association between AI-ECG parameters and outcomes has not been described for CICU patients. The aim of this study was to evaluate the ability of the AI-ECG algorithm to predict mortality in CICU patients, and to determine if this mortality prediction was affected by the presence of LVSD on TTE.

### **Methods**

#### Study population

This retrospective database study was approved by the Institutional Review Board of Mayo Clinic Rochester under a waiver of informed consent as minimal risk to patients. Consecutive unique adults admitted to the CICU at Mayo Clinic Rochester from 1 January 2007 to 30 April 2018 were included in the database if they had not previously declined consent for their medical records to be used for research.<sup>1[,9](#page-9-0)</sup> The study population included patients with an ECG performed after CICU admission and during hospitalization.

#### Data sources

Demographic, clinical, vital sign, laboratory, outcome, and diagnosis data were extracted from the electronic medical record using the Multidisciplinary Epidemiology and Translational Research in Intensive Care (METRIC) Data Mart, along with data on critical care procedures and therapies. Admission diagnoses were defined as all ICD-9/-10 diagnosis codes recorded within 1 day before or after CICU admission.<sup>7,9</sup> The Charlson comorbidity index, individual comorbidities, and severity of illness scores, including the Sequential Organ Failure Assessment and APACHE-III and IV scores, were extracted from the electronic medical record using previously validated algorithms[.3](#page-9-0),[4,6,7](#page-9-0)

The Mayo Clinic Cardiovascular Data Mart was queried electronically for the TTE closest to CICU admission, and available LVEF data from this TTE were included if it was performed during or within 1 day before or after hospitalization. The LVEF value was determined hierarchically: calculated Simpson's biplane method was used preferentially; if this was not available, then other calculated LVEF methods were used; and finally, if LVEF could not be calculated, then visual LVEF estimation was used.<sup>[10,12](#page-9-0)</sup> The severity of LVSD was defined according to American Society of Echocardiography (ASE) guidelines: mild LVSD (LVEF 41–51% for males and 41–53% for females), moderate LVSD (LVEF 30–40%), and severe LVSD (LVEF < 30%).  $16$ 

#### AI-ECG algorithm

The novel proprietary AI-ECG algorithm for detection of LVSD used in this analysis was derived and validated in nearly 100 000 patients from the Mayo Clinic with a paired ECG and echocardiogram.<sup>17-19</sup> A deep

convolutional neural network was trained to identify LVEF  $\leq$ 35% by echocardiography using digitized raw data from a standard 10-s, 12-lead ECGs sampled at 500 Hz from  ${\sim}$ 36 000 patients using the GE-Marquette (Marquette, WI, USA) platform.<sup>17,[18](#page-9-0)</sup> The AI-ECG algorithm used a neural network to transform and integrate raw ECG data using 2-s segments with 1-s overlap from each individual ECG lead to produce a single output variable. The output of this AI-ECG algorithm provides a probability (between 0 and 1) that LVSD (i.e. LVEF <\_35% by echocardiography) is present, without providing data about which ECG features contributed to this probability.<sup>17,18</sup> The AI-ECG data were obtained for the first ECG performed after CICU admission electronically, without manual ECG review.

#### AI-ECG and TTE LVSD groups

Among patients with available LVEF data from TTE, the optimal AI-ECG cut-off for LVSD was used to classify patients based on the presence of observed LVEF < 35% by TTE as the 'gold standard'. If the AI-ECG probability of LVSD was below the cut-off, a true negative (TN) AI-ECG was defined as LVEF >35% by TTE and false negative (FN) was defined as LVEF  $\leq$ 35% by TTE. If the AI-ECG probability of LVSD was above the cut-off, a true positive (TP) AI-ECG was defined as LVEF  $\leq$ 35% by TTE and false positive (FP) was defined as LVEF >35% by TTE ([Supplementary](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [material online,](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [Figure S1](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data)).

#### Statistical analysis

All-cause CICU, hospital, 1-year mortality were determined using electronic review of medical records for notification of patient death and last follow-up date. Mortality data were extracted from Mayo Clinic electronic databases, the state of Minnesota electronic death certificates, and the Rochester Epidemiology Project database. Data are reported as number (percent) for categorical variables and mean ± standard deviation for continuous variables. Patients were divided based on quintiles of the AI-ECG predicted probability of LVSD. Groups were compared using the Pearson  $\chi^2$  test for categorical variables and the Wilcoxon rank-sum test for continuous variables. To assess discrimination, receiver-operator characteristic (ROC) curves were generated using logistic regression, and the area under the ROC curve (AUC) value was determined with 95% confidence intervals (CIs) via 1000-sample bootstrapping. The optimal cut-off was defined as the highest value of Youden's  $|$  index = (sensitivity  $+$  specificity) – 1 on ROC analysis. Odds ratio (OR) and 95% CI values for hospital mortality were determined using logistic regression before and after adjustment for demographics, comorbidities, severity of illness scores, and CICU procedures and therapies ([Supplementary material on](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data)[line,](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [Table S1](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data)). One-year mortality was assessed using Kaplan–Meier survival analysis, and groups were compared by the log-rank test. Hazard ratio (HR) values for 1-year mortality were determined using Cox proportional-hazards analysis before and after adjustment for these same variables. Separate logistic regression and Cox proportional-hazards models were constructed including LVEF in the subgroup of patients with available TTE data. Two-tailed P-values <0.05 were considered significant. Statistical analysis was performed using JMP version 14.0 Pro (SAS Institute, Cary, NC, USA).

### **Results**

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### Study population

Using a pre-existing CICU database of 12 428 unique patients [\(Supplementary material online](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data), [Figure S1](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data)), we excluded 1162 patients: 424 without an ECG after CICU admission and 738 whose first ECG after CICU admission was not during hospitalization. The

final study population of 11 266 patients had a mean age of  $67.6 \pm 15.0$  years and included 37.3% females ([Table 1](#page-3-0)). Admission diagnoses included: acute coronary syndrome (ACS), 45.2%; heart failure (HF), 48.3%; cardiogenic shock, 12.5%; and cardiac arrest, 12.2% ([Table 1](#page-3-0)).

### AI-ECG and LVSD by TTE

The AI-ECG was performed during the CICU stay in 92.3% of patients, including the day of CICU admission in 77.9% of patients. The mean probability of LVSD by AI-ECG was  $0.352 \pm 0.373$ . LVEF data from TTE were available in 8242 patients (73.2% of the study population), and the mean LVEF was  $47.3 \pm 16.5$ %; LVEF was measured by the biplane method in 2095 (25.4%) patients. The AI-ECG and TTE were separated by a mean of  $0.8 \pm 5.2$  days, and 54.2% of patients had the TTE and AI-ECG on the same day. LVSD by ASE criteria was present in 53.3% of patients: mild LVSD, 18.3%; moderate LVSD, 17.8%; severe LVSD, 17.2%. The AI-ECG had an AUC of 0.83 (95% CI 0.82–0.84) for predicting LVEF <\_35% by TTE. At the optimal cut-off of 0.389, the AI-ECG had a sensitivity and specificity of 75.1% and 76.1% for LVEF < 35% by TTE, respectively; overall accuracy was 75.8% with a positive and negative predictive value of 54.5% and 88.9%, respectively. The AI-ECG predicted LVEF < 35% in 37.8% of patients, and 27.6% of patients had observed LVEF  $\leq$ 35% by TTE ([Table 1](#page-3-0)). Based on predicted vs. observed LVEF  $\leq$ 35% by TTE, patients were classified as TP, 20.7%; TN, 55.1%; FP, 17.3%; and FN, 6.9% [\(Supplementary material online,](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [Figure S1](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) and [Table 2](#page-5-0)). There were substantial differences in baseline characteristics across these predicted versus observed LVEF < 35% groups ([Table 2](#page-5-0)).

#### Hospital mortality

A total of 979 (8.7%) patients died in the hospital, including the 589 (5.2%) that died during the CICU stay. Hospital survivors differed substantially from inpatient deaths ([Table 1](#page-3-0)). Inpatient deaths had a higher AI-ECG probability of LVSD (0.490 ± 0.378 vs. 0.339 ± 0.370, P< 0.001) and a lower LVEF (40.4± 18.5% vs. 47.9± 16.1%, P< 0.001). LVEF was inversely associated with hospital mortality (unadjusted OR 0.88 per 5% higher, 95% CI 0.86–0.90, P< 0.001; AUC 0.62, 95% CI 0.59–0.64; optimal cut-off 40%). The AI-ECG probability of LVSD was directly associated with hospital mortality (unadjusted OR 1.11 per 0.1 higher, 95% CI 1.09–1.13, P < 0.001; AUC 0.63, 95% CI 0.61–0.65; optimal cut-off 0.075). Addition of the AI-ECG probability of LVSD to the LVEF increased the AUC for discrimination of hospital mortality (AUC 0.64 vs. 0.60, P < 0.001 by De Long test). Addition of the AI-ECG probability of LVSD to the APACHE-III score modestly increased the AUC value for discrimination of hospital mortality (AUC 0.83 vs. 0.82, P< 0.001 by De Long test). CICU and hospital mortality increased with the severity of LVSD ([Figure 1A\)](#page-6-0) and with increasing AI-ECG probability of LVSD ([Figure 1B](#page-6-0)) or higher AI-ECG probability of LVSD quintile [\(Supplementary material online,](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [Figure S2](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data)). Among patients with ACS, both AI-ECG probability of LVSD (unadjusted OR 1.07 per 0.1 higher, 95% CI 1.04–1.11, P< 0.001) and LVEF by TTE (unadjusted OR 0.82 per 5% higher, 95% CI 0.78–0.86, P < 0.001) were both associated with hospital mortality; associations were not significant among patients with HF ( $P = 0.05$  for LVEF by TTE and  $P = 0.09$  for AI-ECG probability of LVSD).

Hospital mortality was higher in patients with either LVEF  $\leq$ 35% predicted by AI-ECG (12.4% vs. 6.4%,  $P < 0.001$ ) or LVEF  $\leq$ 35% observed by TTE (14.3% vs. 6.2%, P< 0.001). CICU and hospital mortality varied based on the presence of predicted (by AI-ECG) or observed (by TTE) LVEF < 35% ([Figure 2](#page-7-0)). Patients with a TN AI-ECG had the lowest hospital mortality (all  $P < 0.001$ ). Patients with a TP AI-ECG had higher hospital mortality than patients with an FP AI-ECG ( $P < 0.001$ ) and similar hospital mortality to patients with an FN AI-ECG ( $P = 0.05$ ); patients with an FP or FN AI-ECG had similar hospital mortality ( $P = 0.10$ ). The AI-ECG probability of LVSD was incre-mentally associated with hospital mortality ([Figure 3](#page-7-0)) among patients with normal LVEF (unadjusted OR 1.13 per 10% higher, 95% CI 1.08–1.18, P< 0.001) or mild LVSD (unadjusted OR 1.08 per 10% higher,  $95\%$  CI 1.02–1.15,  $P = 0.005$ ), but not in patients with moderate or severe LVSD  $(P > 0.1)$ .

After multivariable adjustment, the AI-ECG probability of LVSD remained directly associated with hospital mortality (adjusted OR 1.05 per 0.1 higher, 95% CI 1.03–1.08, P< 0.001). This persisted after adjustment for LVEF (adjusted OR 1.05 per 0.1 higher, 95% CI 1.02– 1.08,  $P = 0.003$ ); LVEF remained inversely associated with hospital mortality (adjusted OR 0.96 per 5% higher, 95% CI 0.93–0.99,  $P = 0.02$ ) ([Supplementary material online](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data), [Table S1](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data)). After multivariable adjustment, patients with a TP AI-ECG had higher hospital mortality than patients with either an FN AI-ECG (adjusted OR 1.79, 95% CI 1.24–2.59, P= 0.002) or FP AI-ECG (adjusted OR 1.56, 95% CI 1.18–2.06,  $P = 0.002$ ), whereas patients with an FP AI-ECG had similar adjusted hospital mortality to patients with an FN AI-ECG  $(P = 0.49)$  or TN AI-ECG  $(P = 0.25)$ .

#### One-year mortality

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A total of 2459 (21.8%) patients died within 1 year after CICU admission (including hospital deaths), and 1244 (11.0%) had a follow-up duration of less than 1 year but were alive at last follow-up. One-year survival was progressively lower as a function of increasing AI-ECG probability of LVSD quintile [\(Supplementary material online,](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [Figure](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [S3A](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data); P< 0.001 by log-rank). One-year survival was lower in patients with moderate or severe LVSD by TTE [\(Supplementary material on](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data)[line,](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [Figure S3B](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data); P< 0.001 by log-rank), although patients with normal LVEF and mild LVSD had similar 1-year mortality  $(P = 0.26)$ . Patients with either observed LVEF < 35% by TTE or predicted < 35% by Al-ECG had higher 1-year mortality ( $P < 0.001$  by log-rank). The association between AI-ECG predicted probability of LVSD and 1-year mortality was greater among patients with mild or no LVSD by TTE ([Supplementary material online,](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) [Figure S4](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data)). One-year mortality varied based on the presence of predicted (by AI-ECG) or observed (by TTE) LVEF  $\leq$ 35% ([Figure 4](#page-8-0)). Patients with TN AI-ECG had the lowest 1-year mortality, and patients with TP AI-ECG had the highest 1-year mortality, while patients with either FN or FP AI-ECG had similar 1 year mortality ( $P = 0.48$ ); the results did not change when the analysis was limited to hospital survivors.

After multivariable adjustment, AI-ECG probability of LVSD remained associated with higher 1-year mortality (adjusted HR 1.04 per 0.1 higher, 95% CI 1.03–1.05, P< 0.001), even after adjusting for LVEF in patients with available data (adjusted HR 1.03 per 0.1 higher, 95% CI 1.01-1.04, P = 0.003); LVEF remained inversely associated with 1-year mortality (adjusted HR 0.97 per 5% higher, 95% CI 0.95–



# <span id="page-3-0"></span>Table 1 Baseline characteristics of the final study population, hospital survivors, and inpatient deaths

#### <span id="page-4-0"></span>Table 1 Continued



Data displayed as n (%) for categorical variables or mean ± standard deviation for continuous variables. Reported P-value is for between-groups comparison using Pearson  $\chi^2$ test (categorical variables) or Wilcoxon rank-sum test (continuous variables) comparing hospital survivors and inpatient deaths.

ACS, acute coronary syndrome; AI-ECG, artificial intelligence-enhanced electrocardiogram; APACHE, Acute Physiology and Chronic Health Evaluation; CICU, cardiac intensive care unit; CRRT, continuous renal replacement therapy; ECG, electrocardiogram; IABP, intra-aortic balloon pump; LVEF, left ventricular ejection fraction; LVSD, left ventricular systolic dysfunction; PCI, percutaneous coronary intervention; SOFA, Sequential Organ Failure Assessment; TTE, transthoracic echocardiogram.

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<sup>a</sup>Admission diagnoses were not mutually-exclusive and may sum to greater than 100%.

. 0.99, <sup>P</sup> < 0.001). After multivariable adjustment, patients with a TP AI-ECG had higher 1-year mortality than patients with either an FN AI-ECG (adjusted HR 1.29, 95% CI 1.07–1.55, P= 0.008) or FP AI-ECG (adjusted HR 1.35, 95% CI 1.18–1.55, P< 0.001), whereas patients with an FP AI-ECG had similar mortality to patients with an FN AI-ECG ( $P = 0.62$ ) or TN AI-ECG ( $P = 0.13$ ).

### **Discussion**

In this analysis of more than 11 000 CICU patients, we demonstrate that an AI-ECG algorithm designed to identify LVSD can also identify patients with an increased risk of dying during and after hospitalization. While the AI-ECG algorithm only identified echocardiographic LVSD with moderate accuracy, the mortality association of the AI-ECG probability of LVSD extended beyond what could be explained by reduced LVEF alone. Indeed, the AI-ECG prediction of LVSD had a stronger association with mortality in patients without significant LVSD, highlighting the prognostic importance of subclinical myocardial disease. Even after adjustment for relevant covariates and LVEF, a higher AI-ECG probability of LVSD remained associated with an increased risk of hospital and 1-year mortality. Our findings in patients with concordant versus discordant AI-ECG and TTE suggest that the ECG patterns recognized by the AI-ECG algorithm that can predict LVSD are reflective of underlying myocardial disease with prognostic relevance even in a critically-ill CICU cohort. This suggests that a myopathic process detected by the AI-ECG may be impacting cardiac electrical activity and outcomes prior to the development of overt mechanical dysfunction identified by imaging.<sup>[17](#page-9-0)–[19](#page-9-0)</sup> The AI-ECG may complement TTE for mortality risk stratification by evaluating components of myocardial electrical functioning that are not readily assessed (particularly for patients with mild or no LVSD).

A recent study by Raghunath et al.<sup>[21](#page-9-0)</sup> showed that an AI-ECG algorithm could be trained to predict death during follow-up in nearly 1.8 million unselected patients. As a model designed to predict mortality, their AI-ECG algorithm had substantially higher discrimination for 1year mortality (AUC 0.85) than we observed using our AI-ECG model, even among patients whose ECG was interpreted as 'normal' by a cardiologist.<sup>[21](#page-9-0)</sup> The AI-ECG can identify prognostically relevant ECG findings that may not be discernable to the human eye. Deriving and validating an AI-ECG model specifically for prediction of mortality might improve the mortality prediction performance in the CICU. A substantial limitation of most AI-ECG algorithms (including the one evaluated in this study) is the focus only on the ECG itself, without integrating other clinically relevant patient-level data that could improve prediction and risk-stratification; future iterations of AI-ECG algorithms ideally would include clinical information. While our study was built to further validate the utility and reproducibility of this ECG AI algorithm amongst CICU patients, as algorithms such as these reach routine clinical implementation, following standards being developed by consensus bodies will be important to ensure consistency and scientific reliability.<sup>22,23</sup>

Discrimination of hospital mortality by the AI-ECG probability of LVSD remained modest (AUC 0.64) albeit slightly superior to LVEF by TTE; neither of these measures alone is ideal for prediction of hospital mortality in CICU patients. More sophisticated TTE modalities including Doppler and strain imaging can improve mortality risk-stratification in critically-ill patients beyond standard 2D TTE measures such as LVEF, and it will be necessary for future studies to demonstrate additive prognostic value of the AI-ECG beyond of these advanced imaging techniques.<sup>[10,13,14,24](#page-9-0)</sup> The AI-ECG is expected to be less sensitive to image quality, which can preclude use of advanced TTE imaging modalities in some critically-ill patients.

AI algorithms can predict death among hospitalized patients, including ICU patients and patients with HF by identifying patterns of vital sign and laboratory abnormalities.<sup>25,[26](#page-9-0)</sup> There is precedent for the use of prolonged ECG monitoring data to predict mortality in critically-ill patients.<sup>[27](#page-9-0)</sup> Our AI-ECG algorithm utilizes a standard 12lead ECG without the need for prolonged monitoring, leveraging a ubiquitous clinical test for mortality risk stratification without the need for additional cost or personnel time. The AI-ECG should be

#### .. Variables **True negative**  $(n = 4540)$ False positive  $(n = 1425)$ False negative  $(n = 567)$ True positive  $(n = 1710)$ P-value Demographics Age (years) 66.9 ± 15.0 71.1 ± 13.6 68.0 ± 15.2 68.0 ± 13.9 <0.001 Female, n (%) 1780 (39.2%) 546 (38.3%) 250 (44.1%) 441 (25.8%) <0.001 Caucasian, n (%) 4211 (92.8%) 1333 (93.5%) 521 (91.9%) 1552 (90.8%) 0.02 CICU length of stay (days)  $2.3 \pm 4.0$   $3.0 \pm 7.0$   $3.2 \pm 3.1$   $3.7 \pm 5.5$   $< 0.001$ Hospital length of stay (days) 6.9 ± 10.9 9.8 ± 20.2 9.7 ± 10.7 12.4 ± 16.5 <0.001 CICU mortality 131 (2.9%) 78 (5.5%) 39 (6.9%) 152 (8.9%) <0.001 Hospital mortality 240 (5.3%) 133 (9.3%) 67 (11.8%) 259 (15.2%) <0.001 One-year mortality 693 (15.3%) 371 (26.0%) 152 (26.8%) 597 (34.9%) <0.001 Comorbidities Charlson comorbidity index  $1.9 \pm 2.4$   $2.7 \pm 2.7$   $2.2 \pm 2.5$   $2.9 \pm 2.7$   $< 0.001$ Prior myocardial infarction 615 (13.6%) 308 (21.7%) 98 (17.3%) 489 (28.6%) <0.001 Prior heart failure 440 (9.7%) 349 (24.6%) 86 (15.2%) 597 (35.0%) <0.001 Prior stroke 423 (9.3%) 191 (13.5%) 69 (12.2%) 246 (14.4%) <0.001 Prior diabetes mellitus 1127 (24.9%) 482 (34.0%) 155 (27.4%) 597 (35.0%) <0.001 Prior lung disease 20.001 774 (17.1%) 26 (18.8%) 122 (21.6%) 333 (19.5%) 20.001 Prior chronic kidney disease 645 (14.2%) 355 (25.0%) 108 (19.1%) 459 (26.9%) <0.001 Prior dialysis 159 (3.5%) 101 (7.1%) 31 (5.5%) 119 (7.0%) <0.001 Admission diagnoses<sup>a</sup> Acute coronary syndrome 2534 (56.4%) 716 (50.5%) 347 (61.5%) 745 (43.8%) <0.001 Heart failure 1379 (30.7%) 819 (57.7%) 425 (75.6%) 1504 (88.3%) <0.001 Shock 466 (10.4%) 243 (17.1%) 186 (33.1%) 512 (30.1%) <0.001 Cardiogenic shock 339 (7.5%) 191 (13.5%) 163 (29.0%) 464 (27.2%) 469 (27.2%) Cardiac arrest 426 (9.5%) 182 (12.8%) 117 (20.8%) 300 (17.6%) <0.001 VF arrest 211 (4.7%) 87 (6.1%) 78 (13.9%) 174 (10.2%) <0.001 Respiratory failure 902 (20.1%) 453 (31.9%) 214 (38.1%) 628 (36.9%) 450.001 Sepsis 252 (5.6%) 115 (8.1%) 62 (11.0%) 164 (9.6%) <0.001 Severity of illness scores  $Day 1 SOFA score$  2.9  $\pm 2.9$   $4.0 \pm 3.4$   $4.6 \pm 3.7$   $4.7 \pm 3.5$   $< 0.001$ APACHE-III score 56.2 ± 22.9 66.1 ± 25.4 69.1 ± 28.1 69.1 ± 25.8 <0.001  $APACHE-IV predicted death (%)$   $13.8 \pm 17.3$   $20.8 \pm 21.7$   $22.9 \pm 23.2$   $23.2 \pm 22.7$  <0.001 Admission Braden Score 18.1 ± 3.2 17.1 ± 3.4 16.4 ± 3.6 16.9 ± 3.5 <0.001 Procedures and therapies Inpatient coronary angiogram 2947 (64.9%) 852 (59.8%) 405 (71.4%) 1097 (64.2%) <0.001 Inpatient PCI 2072 (45.6%) 532 (37.3%) 234 (41.3%) 503 (29.4%) <0.001 IABP in CICU 283 (6.2%) 133 (9.3%) 105 (18.5%) 338 (19.8%) <0.001 Pulmonary artery catheter 239 (5.3%) 118 (8.3%) 82 (14.5%) 345 (20.2%) <0.001 Red blood cell transfusion 461 (10.2%) 216 (15.2%) 102 (18.0%) 228 (13.3%) <0.001 Dialysis in CICU 136 (3.0%) 96 (6.7%) 35 (6.2%) 156 (9.1%) <0.001 CRRT 64 (1.4%) 40 (2.8%) 17 (3.0%) 73 (4.3%) <0.001 Non-invasive ventilator 588 (13.0%) 276 (19.4%) 108 (19.0%) 376 (22.0%) 376 (22.0%) Invasive ventilator 565 (12.4%) 294 (20.6%) 175 (30.9%) 455 (26.6%) <0.001 Vasoactive drugs 761 (16.8%) 372 (26.1%) 209 (36.9%) 768 (44.9%) <0.001 # vasoactive drugs 0.3 ± 0.8 0.5 ± 1.0 0.7 ± 1.2 0.9 ± 1.2 <0.001 In-hospital cardiac arrest 72 (1.6%) 41 (2.9%) 26 (4.6%) 64 (3.8%) <0.001 **Continued**

#### <span id="page-5-0"></span>Table 2 Baseline characteristics of the 8242 patients with LVEF data from TTE based on the concordance or discordance between AI-ECG and TTE for LVEF  $\leq$ 35%

#### <span id="page-6-0"></span>Table 2 Continued



Data displayed as n (%) for categorical variables or mean ± standard deviation for continuous variables. Reported P-value is for between-groups comparison using Pearson  $\chi^2$ test (categorical variables) or Wilcoxon rank-sum test (continuous variables) across groups.

ACS, acute coronary syndrome; AI-ECG, artificial intelligence-enhanced electrocardiogram; APACHE, Acute Physiology and Chronic Health Evaluation; CICU, cardiac intensive care unit; CRRT, continuous renal replacement therapy; ECG, electrocardiogram; IABP, intra-aortic balloon pump; LVEF, left ventricular ejection fraction; LVSD, left ventricular systolic dysfunction; PCI, percutaneous coronary intervention; SOFA, Sequential Organ Failure Assessment; TTE, transthoracic echocardiogram; VF, ventricular fibrillation. <sup>a</sup>Admission diagnoses were not mutually-exclusive and may sum to greater than 100%.





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. thought of as a complementary modality to TTE, rather than a replacement.

Our AI-ECG algorithm was originally designed to identify patients with significant LVSD (defined as LVEF <35%) based on subtle and prognostically relevant ECG changes caused by underlying myocar-dial disease.<sup>17–[20](#page-9-0)</sup> We observed an incremental association between the AI-ECG predicted probability of LVSD and mortality beyond that conferred by LVEF, which is one of the best-established markers of mortality risk among patients with cardiovascular disease.<sup>[11](#page-9-0)</sup> We believe that the independent and additive associations of LVEF by TTE and AI-ECG probability of LVSD with mortality reflect their complementary abilities to characterize distinct aspects of myocardial disease that are associated with mortality.<sup>12,13,15,[16](#page-9-0)</sup> The overall accuracy of the AI-ECG for identifying LVSD was modest, with only 76% diagnostic accuracy for LVEF < 35% and a substantial number of FP and FN results. Patients with discordant AI-ECG and TTE for LVSD (FP or FN) had similar outcomes, providing further evidence of the clinical relevance of the ECG features identified by the AI-ECG algorithm; the prevalence of discrepant TTE and AI-ECG almost certainly would have differed if biplane LVEF measurements were uniformly available.

#### Limitations

This retrospective cohort analysis has important limitations, including potential bias resulting from missing data and unmeasured confounding variables, and our results should be considered hypothesisgenerating rather than definitive. Our CICU population differs from other CICU cohorts, most notably due to the lower number of racial and ethnic minorities represented; external validation in a distinct CICU cohort would strengthen our findings. $^{28}$  Notably, this CICU population differs from the mixed inpatient/output populations used to derive and validate the AI-ECG for identification of LVSD, with

<span id="page-7-0"></span>

Figure 2 CICU and hospital mortality based on predicted (by AI-ECG) and observed (by TTE) LVEF  $\leq$ 35%. \*P < 0.001 compared with all other groups. <sup>#</sup>P<0.001 compared with false positive AI-ECG and P=0.05 compared with false-negative ECG. P=0.10 for comparison of false-positive and false-negative AI-ECG. AI-ECG, artificial intelligence-augmented electrocardiogram; CICU, cardiac intensive care unit; ECG, electrocardiogram; LVEF, left ventricular ejection fraction; TTE, transthoracic echocardiogram.



Figure 3 Heat map demonstrating hospital survival (A) and 1-year survival (B) as a function of LVSD on TTE based on current ASE guidelines<sup>16</sup> (Y axis) and AI-ECG probability of LVSD quintile (X axis). Darker colours represent a higher risk of hospital death. AI-ECG, artificial intelligenceaugmented electrocardiogram; LVSD, left ventricular systolic dysfunction; TTE, transthoracic echocardiogram.

<span id="page-8-0"></span>



higher patient acuity and a greater prevalence of LVSD.<sup>[17–19](#page-9-0)</sup> The automated AI-ECG algorithm provides a probability of LVSD without providing details regarding which ECG characteristics contributed to prediction, and our analysis was performed without manually over-reading of the ECG or TTE; likewise, we did not have available data on the heart rate, rhythm or clinical interpretation of the ECG itself. The LVEF cut-off  $\leq$ 35% used in the original derivation and validation studies for the AI-ECG algorithm was specifically chosen to identify patients with asymptomatic LVSD that might warrant further evaluation and initiation of evidence-based therapies, yet fails to capture a substantial number of patients with clin-ically significant LVSD of lesser severity.<sup>[17](#page-9-0)–[19](#page-9-0)</sup> Importantly, the AI-ECG and TTE were not simultaneous and performed on different days in almost half of patients, and it is conceivable that changes in either LVEF or ECG findings between the ECG and TTE could have led to misclassification of LVSD by the AI-ECG; serial AI-ECG data were not available to assess whether the association between the AI-ECG findings and mortality changes over time. Furthermore, various methods of LVEF assessment were used and only one in four patients had LVEF quantified using the biplane method—while this does reflect clinical practice in CICU patients who often have poor image quality precluding quantitative methods of LVEF measurement, this variability in LVEF measurement could have impacted our results. Given the limited use of biplane LVEF measurement, we cannot be sure that cases of discrepant TTE LVSD and AI-ECG LVSD are not due to misclassification of patients by TTE, or that the additive prognostic value of AI-ECG over TTE does not simply reflect identification of patients with inaccurate LVEF measurements. Finally, we did not adjudicate postdischarge deaths using national vital statistics, so our 1-year survival analysis should be considered exploratory due to potential bias from patients lost to follow-up.

# **Conclusions**

A novel AI-ECG algorithm developed for prediction of LVSD provided robust mortality risk stratification in a CICU population beyond that conferred by the echocardiographic LVEF. Automated integration of AI-ECG data into the electronic health record could leverage this technology to facilitate LVSD identification and mortality risk-stratification in CICU patients. Future research is needed to understand how best to prevent adverse events in patients with an abnormal AI-ECG in the absence of LVSD. Prospective validation studies are needed to confirm the association between AI-ECG and mortality.

### Supplementary material

[Supplementary material](https://academic.oup.com/ehjacc/article-lookup/doi/10.1093/ehjacc/zuaa021#supplementary-data) is available at European Heart Journal: Acute Cardiovascular Care online.

Conflict of interest: Mayo Clinic has licensed the underlying technology to EKO, a maker of digital stethoscopes with embedded ECG electrodes. Mayo Clinic may receive financial benefit from the use of this technology, but at no point will Mayo Clinic benefit financially from its use for the care of patients at Mayo Clinic. P.A.F., F.L.-J., S.K., and Z.I.A. may also receive financial benefit from this agreement. The other authors have no relevant disclosures. Data collection and statistical analysis was performed independently by J.C.J., who was not involved in the development or validation of the proprietary technology and does not have any financial stake in the technology.

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