

A new needle-based confocal laser endomicroscopy pattern of malignant pancreatic mucinous cystic lesions (with video)

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ABSTRACT

Background and Objectives: The diagnosis of malignant pancreatic cystic lesions (PCLs) remains challenging. Needle-based confocal laser endomicroscopy (nCLE) is an emerging promising imaging technique capable of real-time *in vivo* microscopic imaging of the cyst wall. We aimed to develop and validate a new nCLE diagnostic criteria for malignant mucinous cystic lesions (MLs). **Methods:** Patients referred for EUS-FNA of indeterminate PCLs with at least one worrisome features according to Fukouka consensus were consecutively prospectively enrolled from July 2016 to July 2018. The final diagnosis was based on surgical histology, cytopathology, or committee consensus. Five investigators nonblindly reviewed nCLE features and identified potential diagnostic feature for malignant MLs, which was also reviewed in histology imaging accordingly. Furthermore, the nCLE diagnostic feature was evaluated with an independent nCLE dataset by two investigators in a double-blind manner. **Results:** A nCLE pattern of dark aggregates of neoplastic cells was identified as diagnostic for MLs, which was consistent with histological findings of irregular branching and budding in malignant MLs. An independent validation revealed that the accuracy, sensitivity, and specificity of this feature for the diagnosis of malignant MLs were 94%, 75%, and 100%, respectively. **Conclusion:** The new nCLE criterion is promising for diagnosis of malignant MLs which warrants further confirmation in large cohort.

Key words: diagnosis, malignancy, needle-based confocal laser endomicroscopy, pancreatic cystic lesion

INTRODUCTION

Pancreatic cystic lesions (PCLs) are increasingly diagnosed, with a prevalence of 2.1%–2.6% in general population and 13.5%–45% in computed tomography scans (CTs)^[1,2] and magnetic resonance imaging (MRI),^[3,4] respectively. PCLs have malignancy potential,^[5]

and surgical resection is recommended for malignant PCLs, therefore closely surveillance is required for lesions with malignant potential, including branch-duct intraductal papillary mucinous neoplasms (BD-IPMNs) and mucinous cystadenomas.

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However, it is challenging to differentiate between malignant and nonmalignant PCLs.^[6,7] Currently, only a few biomarkers are available to identify high-grade dysplasia or cancer;^[8,9] however, they are not easily obtained in clinical practice. The 2012 International Consensus Guidelines for the diagnosis of advanced pancreatic cystic neoplasms had a diagnostic sensitivity of 97% and low specificity of 58% in a surgical series of patients.^[10] EUS-FNA is recommended due to better performance for diagnosing PCLs. Although cytology is highly specific, it is relatively insensitive, resulting in low diagnostic yield (8%–59%).^[11] Because of the limited diagnostic accuracy of these methods, the management of patients with PCLs remains challenging, both for patients in terms of mortality and morbidity,^[12,13] and for health care systems supporting the costs of inappropriate treatments.

Confocal laser endomicroscopy is an emerging endoscopy technique for real-time *in vivo* microscopic imaging of luminal or ductal structures.^[14] Needle-based confocal laser endomicroscopy (nCLE) provides visualization of the inner wall of pancreatic cysts during EUS-FNA procedure.^[15,16] Recent studies have identified nCLE patterns as well as corresponding histological features of PCLs and have established comprehended nCLE criteria for the characterization of common PCLs, including serous and mucinous cystadenomas, BD-IPMN, neuroendocrine neoplasm (NEN), and pseudocysts.^[17–20] However, the characterization of malignant PCLs by nCLE remains poorly understood. An nCLE imaging pattern, namely dark round aggregated cells, had been proposed for suspected malignant PCLs in a pilot study^[16] and in one case report.^[21]

To evaluate and validate the diagnostic performance of this nCLE image pattern, we prospectively performed nCLE in patients with PCLs, identified the nCLE imaging pattern closely related with malignant mucinous PCL, and further validated the diagnostic potential of the nCLE imaging pattern for malignant mucinous PCL.

METHODS

Study design

Patients

Patients with PCLs underwent EUS-FNA evaluation were prospectively and consecutively screened for eligibility from July 2016 to July 2018 in Peking Union Medical College Hospital (PUMCH), Beijing, China.

The inclusion criteria were: Age ≥ 18 years; CT- or MRI-confirmed pancreatic cyst with worrisome feature(s) defined by Fukouka consensus, such as history of pancreatitis, dilation of main pancreatic duct (MPD) 5–9 mm, enhanced and thickened cyst wall, size >3 cm, change in MPD caliber and distal atrophy, non-enhanced mural nodule; lymphadenopathy. The exclusion criteria were: Allergy to fluorescein contrast agent; pregnancy; EUS-FNA procedure performed within 3 months; contraindicated for EUS-FNA chronic calcifying pancreatitis; high-risk stigmata defined by Fukuoka consensus, including jaundice, dilation of MPD >10 mm, enhanced solid component, or criteria for malignancy (distant metastases, ascites, and vascular infiltration).

The study was approved by Institutional Review Board of PUMCH and was registered on ClinicalTrials.gov (NCT03182270). All patients provided written consent.

Pancreatic cystic lesions diagnosis

The diagnosis of PCLs was stringent when histological analysis of the surgical and/or FNA samples were undoubted. For the other patients, a committee of three endoscopist and two pathologists nonblindedly reviewed all available information to make a consensus diagnosis as previously described.^[16–19] The published validated nCLE criteria of serous cystadenoma (SCN), mucinous cystadenoma (MCN), IPMN, and pseudocyst were also implemented,^[20] and patients were diagnosed with mucinous lesion if the “epithelial border” or “papillae” nCLE criteria were met, whom were closely followed up for 6 months. Patients without a final consensus diagnosis were excluded from the study.

Pancreatic cystic lesion classification

PCLs were classified as follows: (1) malignant PCLs, including IPMN, MCN with high-grade dysplasia or invasive carcinoma, (2) nonmalignant PCLs: (a) benign PCLs, including SCN and pseudocyst; (b) premalignant PCLs, including mucinous cystadenoma, IPMN, cystic NEN, and cystic schwannoma.

EUS-FNA and needle-based confocal laser endomicroscopy procedures

All procedures were performed by the investigators (Y.F., A.Y., and X.W.) as follows. First, EUS examinations were performed using a linear echoendoscope (Olympus, GF-UCT240, Tokyo, Japan) after prophylactic antibiotic therapy. Second, a 19-G needle (Cook Medical EchoTip Ultra) preloaded with AQ-Flex 19 confocal

miniprobe (Cellvizio, Mauna Kea Technologies, Paris, France) was inserted into the cyst and securely positioned under EUS guidance through a transgastric or transduodenal approach. Third, an intravenous injection of fluorescein (2 ml, 10%) was administered and the video of the inner structure of cyst was simultaneously recorded in <10 min. Fourth, after the miniprobe was retrieved from the needle, the cyst was completely drained and the cyst wall was punctured if possible.

The cyst fluid and cyst wall specimen underwent cytopathological examination, and carcinoembryonic antigen (CEA) and amylase level were quantified. Data regarding clinical record, MRI, CT, EUS imaging, EUS-FNA sampling, and nCLE procedure, were prospectively recorded on a dedicated case report form.

Patients were closely monitored for 48 h after procedure. All adverse events including pancreatitis, bleeding, perforation, infection, and allergic reaction to fluorescein were recorded.

Development of diagnostic value of needle-based confocal laser endomicroscopy for malignant pancreatic cystic lesions

A two-phase analysis was performed [Figure 1]. In first discovery phase, we first summarized the nCLE findings of PCLs diagnosed with histology of surgical samples and/or cytopathology. We then correlated the nCLE findings with malignant PCLs to identify the CLE findings specific for malignant PCLs. In second validation phase, two independent nCLE experts were trained with 6 nCLE imaging sequences and blindly assessed 43 nCLE videos from all patients. The interobserver agreements (IOAs) and the diagnostic performance of nCLE findings were evaluated. The reviewers were

blinded to all clinical data and independently documented the type of cyst on a standardized sheet (if mucinous *vs.* nonmucinous, malignant *vs.* nonmalignant and then specific diagnosis of cyst type).

Statistical analysis and data management

Categorical and continuous variables were summarized as percentage and mean (standard deviation), respectively. Fisher's exact test was used to examine the association between nCLE features and malignant PCLs. A two-tailed $P < 0.05$ was considered statistically significant. The IOA were estimated using multirater Fleiss' kappa statistics, with a $\kappa = 0.61$ – 0.80 and 0.81 – 1.00 as substantial and excellent, respectively.

RESULTS

Patient population

A total of 50 patients with PCL was evaluated for eligibility. Three patients were excluded due to puncture failure ($n = 2$) and injection failure of fluorescein ($n = 1$). Of 47 patients underwent EUS-nCLE, seven patients had surgical histopathology, including 4 malignant mucinous lesions, 1 schwannoma, 1 mucinous cystadenoma with low-grade dysplasia, and 1 serous cystadenoma. The demographic and clinical features of the patients without surgical histopathology were listed in Supplementary Table 1. Eight patients were excluded due to no diagnosis consensus. Finally, 39 patients were enrolled, including 8 malignant mucinous lesions, 15 premalignant lesions including 13 mucinous lesions, 1 NENs, 1 schwannoma, 7 serous cystadenomas, and 9 pseudocysts. The demographic and clinical features of the patients were summarized in Table 1. Thirty cases of cyst fluid underwent CEA and amylase level examination.

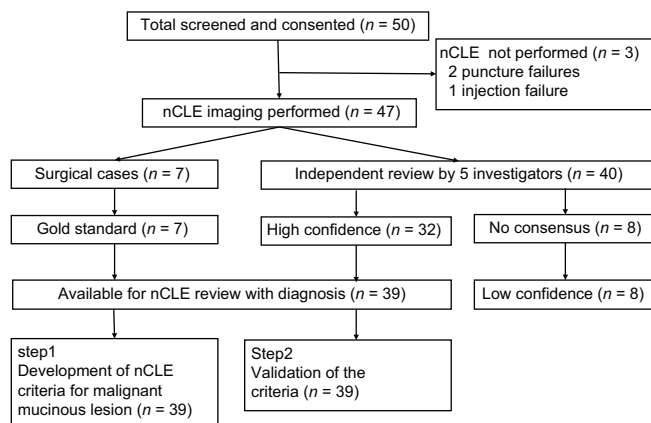


Figure 1. Study diagram

Step 1: The development of needle-based confocal laser endomicroscopy criteria for malignant mucinous lesion
Three gastroenterologists (Y.F., A.Y., and X.W.) and two gastrointestinal pathologists (Z.M. and X.C.) reviewed a total of 39 nCLE videos from 39 patients whose final diagnosis was based on a surgical specimen and/or positive cytopathology. Histology images [Figure 2] and nCLE imaging were reviewed side by side. Dark aggregates of cells, villous structures, floating black or bright particles, and superficial vascular network were frequently observed in nCLE imaging [Table 2]. Among them, dark aggregates of cells were the only feature closely related with malignant ML ($P < 0.001$). The dark aggregates of compact cells on nCLE were

surrounded by various quantities of irregular small vessels or gray tissue [Figure 3 and Video 1]. Therefore, we used the dark aggregates of cells as the nCLE criterion for malignant ML.

Step 2: Validation of the needle-based confocal laser endomicroscopy criteria for malignant mucinous lesion

We further validated the nCLE criterion in an independent set of 43 nCLE video sequences from 39 patients, which were not used in previous phase. Two independent nCLE experts (C.X. and N.Z.) underwent fundamental training based on six representative videos, one of which featured the newly defined findings, and then reviewed the nCLE videos in a randomized order. In 95% patients ($n = 37$), all reviewers provided a definite diagnosis. Furthermore, IOA of the new pattern for diagnosis of malignant ML was substantial ($\kappa = 0.75$), and global IOA was

substantial ($\kappa = 0.70$). Finally, a conclusive consensus diagnosis was obtained in 35 patients (90%).

Diagnostic performance of needle-based confocal laser endomicroscopy and EUS-FNA for malignant mucinous lesion

The conclusive nCLE diagnoses were compared with the final diagnoses. The overall accuracy, sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) of the nCLE criterion for malignant ML were 94%, 75%, 100%, 100%, and 93%, respectively. Furthermore, we also compared the diagnostic performance of EUS-FNA and EUS-FNA combined with nCLE.

EUS-FNA was conclusive in 3 of 8 malignant ML cases (37%). The overall accuracy, sensitivity, specificity, PPV, and NPV of EUS-FNA for malignant ML were compared with nCLE performance. Surprisingly, the EUS-FNA combined with nCLE showed similar overall accuracy, sensitivity, specificity, PPV, and NPV with nCLE alone. The accuracy, sensitivity, and negative likelihood ratio (LR-) of nCLE for the diagnosis of malignant ML were significantly better than EUS-FNA [Table 3].

DISCUSSION

In this study, we found dark aggregates of compact cells surrounded by irregular small vessels on nCLE

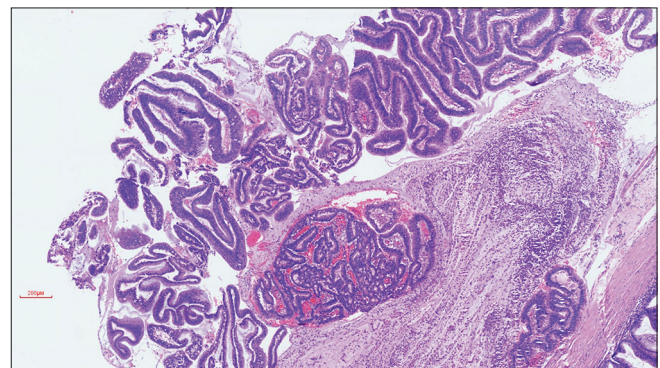


Figure 2. Representative H and E staining of malignant mucinous cystic lesions

Table 1. Characteristics of patients and cyst

Characteristic	Patients (n=39)
Age, mean (range), years	51 (27-75)
Male gender, n (%)	19 (49)
Symptoms, n (%)	
Abdominal pain	25 (64)
Asymptomatic	13 (33)
Cholestasis	1 (3)
Cyst location, n (%)	
Body	7 (20)
Head	17 (44)
Neck	3 (8)
Tail	10 (26)
Uncinate	2 (5)
Cyst size, mean (range), mm	33 (12-105)
Number of cavities, n (%)	
Single	19 (49)
Multiple	20 (51)
Cyst wall thickness (≥ 1 mm), n (%)	4 (10)
Cyst calcification, n (%)	6 (15)
Main pancreatic duct dilation, n (%)	7 (18)
Intracystic CEA, n/N (%)	
>192 ng/mL	7/30 (23)
<5 ng/mL	14/30 (47)

CEA: Carcinoembryonic antigen.

Table 2. Findings on needle-based confocal laser endomicroscopy and the association with malignant pancreatic mucinous cystic lesions (n=39)

nCLE finding	Malignant PCLs (n=8)	Non-malignant PCLs (n=29 ^b)	P ^a
Dark aggregates of cells	7	0	<0.001
Villous structures	1	13	0.218
Floating black or bright particles	0	9	0.160
Superficial vascular network	0	7	0.308

^aP value from Fisher's exact test, ^bNeuroendocrine neoplasm and schwannoma are not included. nCLE: Needle-based confocal laser endomicroscopy; Malignant PCLs: Including intraductal mucinous neoplasm or mucinous cystadenoma with high-grade dysplasia or with invasive carcinoma; PCLs: Pancreatic cystic lesions.

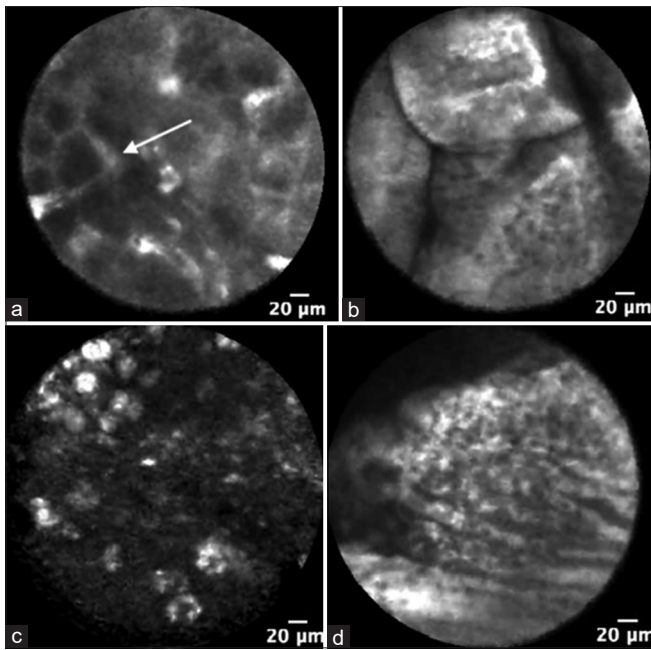


Figure 3. Needle-based confocal laser endomicroscopy images of mucinous cystic lesions. (a) Dark aggregates of cells (white arrow); (b) Villous structures; (c) Floating black or bright particles; (d) Superficial vascular network.

Table 3. Diagnostic value for malignant versus nonmalignant mucinous cystic lesions of needle-based confocal laser endomicroscopy, EUS-FNA and needle-based confocal laser endomicroscopy + EUS-FNA in 35 conclusive patients (per protocol analysis)

	EUS-FNA	nCLE ^a	nCLE+FNA
TP (n)	3	6	6
FP (n)	0	0	0
TN (n)	27	27	27
FN (n)	5	2	2
Accuracy	84 (67-95)	94 (80-99)	94 (80-99)
Sensitivity	38 (9-76)	75 (36-96)	75 (36-96)
Specificity	100 (86-100)	100 (84-100)	100 (84-100)
PPV	100 (100-100)	100 (52-100)	100 (52-100)
NPV	83 (74-89)	93 (76-99)	93 (76-99)
LR+	Infinity	Infinity	Infinity
LR-	62 (37-100)	25 (8-83)	25 (8-83)

^aDark aggregates of cells, Data are shown in percentage (95% CI) unless otherwise indicated. nCLE: Needle-based confocal laser endomicroscopy; PPV: Positive predictive value; NPV: Negative predictive value; CI: Confidence interval.

were a highly specific feature for malignant mucinous cystic lesions. We further demonstrated that these nCLE criteria were reliable and reproducible, with higher sensitive than traditional EUS-FNA. In addition, these nCLE criteria remained high specificity for malignant ML, suggesting it was a promising diagnostic tool for malignant ML.

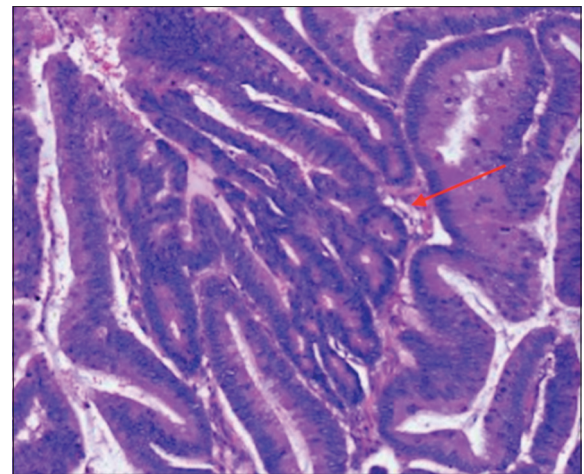


Figure 4. Representative H and E staining of malignant mucinous cystic lesions. Histology of cyst wall with papillary projections (red arrow)

Highly specific nCLE criteria for the classification of different pancreatic cyst types have been reported in several studies.^[17-20] However, these studies focused on differentiation mucinous lesions from nonmucinous lesions, or premalignant lesions from benign lesions. However, in this study, we aimed to develop a new nCLE criteria specifically for malignant MLs.

Malignant mucinous cystic lesions include IPMN and MCN with high-grade dysplasia or with invasive carcinoma. Histologically, the internal surface of nonmalignant MCN is typically smooth, whereas those of high-grade neoplasms frequently have papillary projections with irregular branching and budding [Figure 4]. The epithelium of nonmalignant IPMNs is usually flat or form papillae with fibrovascular cores, while those of IPMNs with high-grade dysplasia are characterized with the formation of irregular branching papillae and sometimes cribriform growth architecturally.^[22] Two studies found CLE has potential for grading dysplasia.^[23,24] The irregularity and fragmentation can be seen in the papillary structures on the confocal image of cases with high-grade dysplasia and invasive cancer.^[23] Krishna found the thickness and the darkness of the papillary epithelium were the variables with the highest diagnostic accuracy and IOA for IPMN with high-grade dysplasia and invasive cancer.^[24] However, qualitative image analysis among different endoscopists is prone to interobserver disagreement. Simple criteria are better for clinical practice. On CLE, the malignant papillary projection was presented as a dark aggregates of neoplastic cells surrounded with irregular white or gray

fibrotic vascular stroma, and it is easier for real-time evaluation. The nCLE analyses of cystic NEN revealed a similar pattern of dark spots of cell aggregates, surrounded by gray areas of fibrosis and vessels, the cell aggregates of NEN were not papillary projections but nesting, glandular or tubuloacinar arrangements of well-differentiated cells, which were not as round as the cluster of malignant mucinous cells. Moreover, given the nonuniform nature of the epithelium in malignant MLs, the characteristic of nonmalignant MLs may also be visualized by nCLE, which facilitated to differentiate malignant MLs from cystic NEN on endomicroscopy. Sometimes, walled off necrotic cavities or MCN with significant burden of inflammation can also reveal dark clumps of inflammatory cells; however, these clumps are floating and irregular compared to the malignant mucinous lesions, which can be differentiated by experienced nCLE endoscopists.

According to international consensus guidelines, further evaluation with EUS and/or cytology is recommended for patients with imaging findings of “worrisome features.”^[25] However, the accuracy of EUS without contrast-enhancing technique for malignant MLs were as low as 56%.^[26] Consistently, we found the sensitivity of EUS-FNA for malignant MLs was 38%, albeit high specificity. In contrast, our nCLE criteria showed significantly higher sensitivity and LR-than EUS-FNA for malignant MLs, and maintained high specificity, PPV, and accuracy. Moreover, EUS combined with nCLE easily detected malignant papillary projection 20–100 μ in diameter, an “earlier” change of malignancy, which was undetected with other conventional imaging modalities.

However, concerning about the nCLE-associated adverse events, the updated the European guidelines on pancreatic cystic neoplasms recommended against using nCLE for the diagnosis of PCLs.^[11] Of the two trials included in the European guideline, the postprocedure pancreatitis rate is 7% and 3% in one study without time limitation for nCLE imaging^[27] and one study with time limitation.^[16] A maximum time limit of 10 min in nCLE imaging might improve safety. In our study, we maintained a strict imaging time limitation of 10 min, and no procedure-related pancreatitis or bleeding after nCLE were observed.

Our study has limitations. First, a gold standard diagnosis of MLs, which was confirmation by histopathological analysis of surgical specimen or

histocytopathological analysis of cystic fluid or cystic wall, was not available for 11 mucinous PCLs patients. Therefore, inherent sampling error with the presence of mixed types of epithelium in mucinous lesions or variable placement of the probe is inevitable, and the sensitivity and NPV of the nCLE criteria for malignant MLs might be overestimated. A future study evaluating the performance of the new nCLE criteria with histopathological-confirmed ML is warranted. Second, only patients with worrisome features defined by Fukouka consensus were enrolled, and those cysts with high-risk features as displayed in the Fukouka consensus were excluded. Given the fact that, the specificity of Fukouka consensus was only about 58% and efforts had been made to improve the diagnostic performance,^[25] future studies might also aim to compare the performance of Fukouka consensus with or without the new nCLE criteria in a surgical cohort. However, to the best of our knowledge, this is the first prospective study on diagnostic finding of nCLE for malignant MLs, and we believe that our findings worth further prospective studies.

CONCLUSION

We proposed a new nCLE criteria, namely dark round cluster of neoplastic cells surrounded with irregular white or gray fibrotic vascular stroma, is sensitive and specific for the diagnose of malignant ML, which is warranted to confirm in a larger prospective multi-center study.

Supplementary materials

Supplementary information is linked to the online version of the paper on the Endoscopic Ultrasound website.

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Conflicts of interest

There are no conflicts of interest.

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Supplementary Table 1. Demographic and clinical features of the patients without surgical histopathology

Patient	Age	Gender	Symptom	Intracystic CEA (ng/mL)	Cytology
1	47	Female	No symptom	2.46	Mucinous cells
2	67	Female	Abdominal pain and pancreatitis	N/A	Negative for neoplasm
3	57	Male	Abdominal pain and pancreatitis	661.4	Negative for neoplasm
4	43	Male	Abdominal pain	N/A	Negative for neoplasm
5	75	Male	No symptom	N/A	Mucinous cells
6	60	Male	Abdominal pain	43337	Suspicious malignant cells
7	56	Male	Abdominal pain and pancreatitis	3.4	Mucinous cells
8	34	Male	Abdominal pain and pancreatitis	<0.2	Negative for neoplasm
9	37	Female	Abdominal pain and jaundice	27.4	Negative for neoplasm
10	35	Male	Abdominal pain	1.1	Mucinous cells
11	36	Female	Abdominal pain and diarrhea	N/A	Neuroendocrine tumor cells
12	35	Female	No symptom	0.2	Negative for neoplasm
13	60	Male	No symptom	13040	Mucinous cells with dysplasia
14	54	Female	No symptom	2.4	Negative for neoplasm
15	68	Male	No symptom	86	Mucinous cells
16	35	Female	Abdominal pain	2.4	Negative for neoplasm
17	48	Male	Abdominal pain and pancreatitis	37.8	Negative for neoplasm
18	55	Female	No symptom	10.2	Mucinous cells
19	64	Female	No symptom	N/A	Negative for neoplasm
20	61	Male	No symptom	95.6	Negative for neoplasm
21	68	Female	Abdominal pain	N/A	Negative for neoplasm
22	52	Female	No symptom	305	Mucinous cells
23	43	Male	Abdominal pain and pancreatitis	1.2	Inflammatory cells
24	65	Female	No symptom	922	Negative for neoplasm
25	44	Female	No symptom	113	Inflammatory cells
26	37	Male	Abdominal pain	0.7	Negative for neoplasm
27	66	Male	No symptom	0.2	Negative for neoplasm
28	32	Female	Abdominal pain	2638	Suspicious malignant cells
29	62	Female	Abdominal pain	0.6	Negative for neoplasm
30	40	Male	Abdominal pain and pancreatitis	N/A	Inflammatory cells
31	61	Male	Abdominal pain	1.1	Negative for neoplasm
32	37	Female	Abdominal pain and pancreatitis	22.7	Negative for neoplasm
33	47	Female	Abdominal pain	0.6	Negative for neoplasm
34	51	Female	Abdominal pain	N/A	Negative for neoplasm
35	61	Male	Abdominal pain and jaundice	N/A	Negative for neoplasm
36	60	Male	No symptom	1.6	Atypical cells
37	67	Female	No symptom	0.2	Negative for neoplasm
38	63	Male	Jaundice	2	Atypical cells
39	27	Male	No symptom	1.1	Negative for neoplasm

CEA: Carcinoembryonic antigen; N/A: Not available.