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Comparing Specialty and Primary Palliative Care Interventions: Analysis of a Systematic Review

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Abstract

Background: Investigators have tested interventions delivered by specialty palliative care (SPC) clinicians, or by clinicians without palliative care specialization (primary palliative care, PPC).

Objective: To compare the characteristics and outcomes of randomized clinical trials (RCTs) of SPC and PPC interventions.

Design: Systematic review secondary analysis.

Setting/Subjects: RCTs of palliative care interventions.

Measurements: Interventions were classified SPC if delivered by palliative care board-certified or subspecialty trained clinicians, or those with extensive clinical experience; all others were PPC. We abstracted data for each intervention: delivery setting, delivery clinicians, outcomes measured, trial results, and Cochrane's Risk of Bias. We conducted narrative synthesis for quality of life, symptom burden, and survival.

Results: Of 43 RCTs, 27 tested SPC and 16 tested PPC interventions. SPC interventions were more comprehensive (4.2 elements of palliative care vs. 3.1 in PPC, p = 0.02). SPC interventions were delivered in inpatient (44%) or outpatient settings (52%) by specialty physicians (44%) and nurses (44%); PPC interventions were delivered in inpatient (38%) and home settings (38%) by nurses (75%). PPC trials were more often of high risk of bias than SPC trials. Improvements were demonstrated on quality of life by SPC and PPC trials and on physical symptoms by SPC trials.

Conclusions: Compared to PPC, SPC interventions were more comprehensive, were more often delivered in clinical settings, and demonstrated stronger evidence for improving physical symptoms. In the face of SPC workforce limitations, PPC interventions should be tested in more trials with low risk of bias, and may effectively meet some palliative care needs.

Keywords: randomized clinical trials; risk of bias; specialty palliative care

Introduction

PALLIATIVE CARE BENEFITS seriously ill patients and their caregivers by providing expert services in pain and symptom management, social and spiritual support, and guidance with advance care planning and goal setting. Despite demonstrated effectiveness of palliative care interventions, an international shortage and geographic maldistribution of specialty palliative care (SPC) clinicians means that many patients who could benefit from SPC lack access to it.^{2–7} One potential

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solution is to expand "primary palliative care" (PPC), defined as delivery of some elements of palliative care (e.g., basic symptom management, advance care planning, or goal setting) by clinicians who do not specialize in palliative care. In the context of the aging population and growing burden of serious illness, SPC and PPC may be required to meet all patients' palliative care needs. 9,10

Randomized clinical trials (RCTs) have tested SPC and PPC interventions; to date, no comparison of the two models exists. Differences between SPC and PPC are important to understand when considering interventions to meet patients' palliative care needs, both in settings with ready access to SPC teams and in settings with limited access to SPC services. 11,12 Groups have worked to define characteristics of effective PPC, including capitalizing on expert understanding of and ability to treat underlying illness. 13,14 Since SPC programs do not have adequate staffing to meet the needs of all patients with serious illness, it is important to understand when and how PPC interventions work, so as to allocate SPC most efficiently. 15

In 2016, Kavalieratos et al. published a systematic review that synthesized the evidence on palliative care interventions tested in RCTs. While some of the trials tested SPC interventions and others tested PPC interventions, no trial compared the two types of interventions head-to-head. PPC interventions may differ from those delivered by specialist counterparts, in terms of the elements of palliative care included in the intervention (e.g., symptom management, advance care planning), delivery setting, delivery clinicians, types of outcomes measured (e.g., symptom burden, utilization), and results. We therefore conducted a secondary analysis using all RCTs included in the aforementioned systematic review to highlight differential content and efficacy of SPC and PPC interventions, allowing future interventions to incorporate and test evidence-supported aspects of palliative care in different contexts. The aim of our analysis was to compare SPC versus PPC interventions for elements of palliative care, delivery setting, delivery clinicians, types of outcomes measured, and results.

Methods

Systematic review

We present a secondary analysis of data abstracted from 43 clinical trials reported across 56 articles included in our previous systematic review. The parent systematic review searched MEDLINE, EMBASE, CINAHL, and Cochrane Library's CENTRAL from inception to July 22, 2016. Interventions were included if they comprised at least two elements of palliative care, as defined by the National Consensus Project (NCP) for Quality Palliative Care. The eight NCP elements include structure and processes of care; physical, psychological, social, spiritual, or cultural aspects of care; care of the imminently dying; and ethical and legal aspects of care. 16 Interventions had to target adult patients (≥18 years) with life-threatening illness, and to report on at least one of nine patient-level outcomes: patient quality of life (QOL), symptom burden, mood, survival, advance care planning, site of death, resource utilization, health care expenditures, or satisfaction with care. 16

Data extraction and risk of bias

Two of four investigators independently used structured forms to extract data from trials' primary and secondary reports, and assessed risk of bias (high, low, unclear) using a modified version of the Cochrane Collaboration's tool. ¹⁷ For cases in which data fields could not be discerned from the original article, extractors referred to associated articles and supplementary materials. In rare cases, trial authors were contacted to provide additional detail necessary to article assessment.

Characterization of interventions

In the parent systematic review, we characterized each intervention three ways: (1) elements of palliative care, (2) delivery setting, (3) delivery clinicians, (4) types of outcomes measured, and (5) results. As a measure of intervention comprehensiveness, we summed and averaged the number of NCP elements of palliative care addressed in each intervention. Delivery setting was classified as inpatient, outpatient, home hospice, home without hospice, inpatient hospice, nursing home, rehab facility, and/or telehealth/other. Delivery clinicians who were involved in the intervention were classified as SPC or PPC physicians, advance practice providers (APP; nurse practitioners or physician assistants), and registered nurses. The types of patient and caregiver outcome measures were classified into nine major categories: QOL, symptom burden, mood, survival, advance care planning, place of death, health services utilization, health care expenditures, and satisfaction with care. Trial results were described for the most common outcome types: quality of life, symptom burden, and survival.

SPC and PPC interventions

For this analysis, investigators classified each palliative care intervention as SPC or PPC. SPC was defined as interventions primarily involving clinicians who were either palliative care board-certified or subspecialty trained. For U.S.-based trials that took place before Accreditation Council for Graduate Medical Education (ACGME) accreditation in 2012, SPC clinicians were those who were described as having extensive clinical experience in palliative care (e.g., in-depth communication skills training, ethics consultant, or extensive experience in hospice or palliative care consultation). 16,18 Interventions that were led by nonspecialtypalliative care trained clinicians or teams, but had access to SPC clinicians for difficult cases or coaching were considered PPC. All other trials were also considered PPC. Three authors, including one palliative care physician, classified interventions as SPC or PPC (N.C.E., M.B., L.C.H.).

Analysis

We present descriptive statistics for the elements of palliative care, delivery setting, delivery clinicians, and types of outcomes measured. We conducted two-tailed t-tests to assess differences between the number of SPC and PPC elements and types of outcomes measured. p < 0.05 was considered statistically significant for tests. Due to the small sample size and descriptive nature of the analysis, we do not present tests of statistical significance for each binary comparison (i.e., individual elements of palliative care, delivery settings, delivery clinicians, and individual types of outcomes measure).

Synthesis of results

We synthesized evidence for the effect of SPC and PPC interventions on three commonly reported outcome types, which, due to heterogeneity of outcome measures and small numbers when comparing the two groups (SPC and PPC), was limited to narrative summary. We include quality of life at one to three months, symptom burden at one to three months, and survival in SPC versus PPC interventions. ¹

Results

Of 43 palliative care RCTs included, 27 were classified as SPC and 16 were classified as PPC.

Risk of bias

Overall, only 7 (16%) of the 43 trials had a low risk of bias; most (n=25, 58%) had a high risk of bias, and 11 (26%) had unclear risk of bias (Table 1). Of the 27 SPC trials, 5 (19%) had a low risk of bias. Only 2 trials (13%) of the 16 PPC interventions had a low risk of bias.

TABLE 1. RISK OF BIAS

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Risk of bias n (%)	SPC interventions (n=27)	PPC interventions (n = 16)
Low	5	2
Trials	Bakitas et al. ³ Higginson et al. ¹⁹ Rummans et al. ²⁰ Temel et al. ² Zimmermann et al. ²¹	Lowther et al. ⁵³ Northouse et al. ⁵⁴
High	14	11
Trials	Aiken et al. ²⁸ Bakitas et al. ²² Brännström et al. ⁵⁵ Cheung et al. ⁵⁶ Clark et al. ²⁵ Farquhar et al. ⁵⁷ Farquhar et al. ⁵⁸ Hopp et al. ⁵⁸ Pantilat et al. ⁵⁹ Rabow et al. ⁶⁰ Sidebottom et al. ²⁶ Wallen et al. ⁶¹ Wong et al. ⁶⁷ Zimmer et al. ⁶²	Chapman et al. ⁶³ Dyar et al. ³² Engelhardt et al. ⁴¹ Given et al. ²⁹ Grande et al. ⁶⁴ Hughes et al. ⁴² McCorkle et al. ⁶⁵ McCorkle et al. ³⁰ Northouse et al. ³¹ Radwany et al. ³³ Steel et al. ⁶⁶
Unclear	8	3
Trials	Ahronheim et al. ⁶⁷ Bekelman et al. ²³ Brumley et al. ⁶⁸ Edmonds et al. ³⁶ Grudzen et al. ²⁴ Hanks et al. ⁶⁹ Jordhøy et al. ⁷⁰ Kane et al. ⁷¹	Gade et al. ³⁷ Northouse et al. ³⁴ The SUPPORT Investigators ³⁸

PPC, primary palliative care; SPC, specialty palliative care.

Elements of palliative care

Across all studies, interventions had an average 3.8 out of 8 NCP elements of palliative care. On average, SPC interventions were more comprehensive, incorporating 4.2 elements, whereas PPC intervention incorporated 3.1 elements (p = 0.02). In terms of NCP domains, interventions most frequently addressed structure and processes of care (reorganizing care delivery to include palliative care), physical symptom management, and psychological symptom management. A greater proportion of SPC interventions included structural (SPC: 23/27, 85% vs. PPC: 7/16, 44%) and physical (SPC: 26/27, 96% vs. PPC: 10/16, 63%) aspects of care (Fig. 1). No interventions explicitly incorporated cultural elements of care.

Delivery setting

SPC interventions tended to be delivered in inpatient (12/27; 44%) and/or outpatient settings (14/27, 52%). PPC interventions were often delivered in inpatient (6/16, 38%) and home settings (6/16, 38%). Interventions in outpatient settings were disproportionately more likely to be of SPC compared to PPC (52% vs. 19%, respectively; Fig. 1).

Delivery clinicians

SPC interventions tended to be delivered equally by physicians (12/27, 44%) or nurses (12/27, 44%). PPC interventions were often delivered by nurses (12/16, 75%). Taken in combination with delivery setting, SPC interventions were more likely to be delivered by physicians in clinical (inpatient or outpatient) settings than PPC interventions, which are more likely to be nurse-led in home-based settings.

Types of outcomes measured

Across all studies, trials assessed an average of 4.1 outcomes. On average, SPC interventions assessed 4.5 outcomes and PPC intervention assessed 3.5 outcomes (p=0.09). In both SPC and PPC trials, most studies assessed physical (respectively, n=20/27, 74%; 8/16, 50%) and psychological (respectively, n=19/26, 70%; 8/16, 50%) symptom burden outcomes. Patient quality of life was assessed in 14/27 (54%) of SPC trials and in 7/16 (41%) of PPC trials. Measurement of caregiver outcomes (quality of life, psychological symptoms, and burden) did not differ between SPC and PPC interventions. SPC trials assessed utilization more often than PPC trials (17/27, 63% vs. 5/16, 31%; Fig. 1). Overall, most interventions assessed patient quality of life, physical, and psychological outcomes, and trials of SPC were more likely to measure utilization outcomes than trials of PPC.

Narrative synthesis of results

Twenty-one trials assessed quality of life at one to three months follow-up. Of those, 15 were trials of SPC interventions and 6 of PPC. Five of the 15 SPC trials had low risk of bias^{3,19–21}; of the 5 SPC trials with low risk of bias, one of those studies indicated that SPC improved quality of life.² Among the 10 SPC trials with high or unclear risk of bias, ^{22–24} 4 indicated an improvement in quality of life.^{25–28} No PPC trials had a low risk of bias. Six PPC trials had a high risk of bias, ^{29–33} one of which indicated an improvement in quality

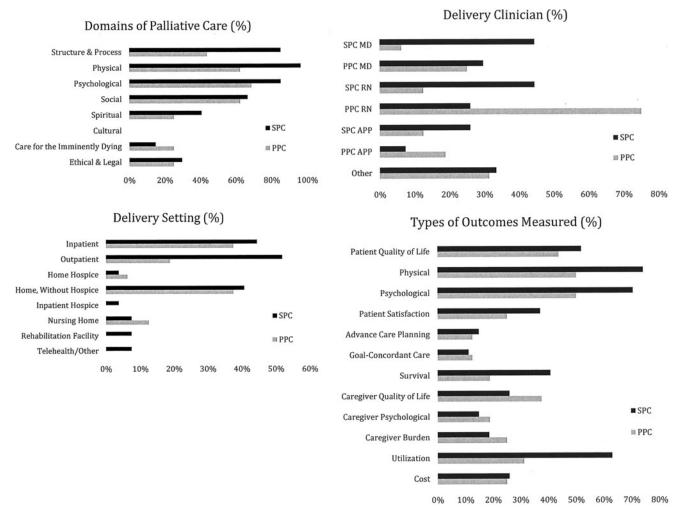


FIG. 1. Palliative care elements, delivery setting, delivery clinician, and types of outcomes measured in trials of SPC and PPC by percent. PPC, primary palliative care; SPC.

of life at one to three months follow-up. ³⁴ Overall, the evidence indicates that both SPC and PPC may improve quality of life, although most trials had high or unclear risk of bias, particularly those of PPC; only one of five trials that had a low risk of bias for either SPC or PPC indicated an association with improved quality of life.

Twenty trials assessed symptom burden at one to three months follow-up. Of those, 16 were trials of SPC interventions and 4 were PPC. Five SPC trials had a low risk of bias, ^{19,21} three of which showed a decrease in symptom burden at one to three months follow-up. ^{2,3,20} Among the 11 SPC trials with either a high or unclear risk of bias, ^{22,35} 4 indicated palliative care reduced symptom burden. ^{26–28,36} All four PPC trials were deemed to be at high or unclear risk of bias and did not show a difference in symptom burden (Table 1). ^{29,33,37,38} Overall, several SPC trials had both a low risk of bias and indicated an improvement in symptom burden. PPC trials were generally of a high or unclear risk of bias and did not indicate impacts on symptom burden.

Fifteen trials assessed survival. Of those, 11 were trials of SPC interventions and 4 of PPC. Two of the 11 SPC trials had low risk of bias³; one of those trials with low risk of bias

favored the SPC intervention versus control.¹⁹ Five SPC trials had a high risk of bias²⁶; one of which indicated SPC improved survival.² Four trials had an unclear risk of bias. Among the four SPC trials with unclear risk of bias, ^{24,39} one indicated that SPC improved survival.⁴⁰ Of the four PPC trials that assessed survival, two had unclear and two had high risk of bias; none showed an effect of the PPC intervention on survival.^{37,38,41,42} Overall, the body of evidence suggests that SPC interventions do not adversely affect survival, while this outcome is rarely assessed for PPC interventions, and the trials often have high or unclear risks of bias.

Discussion

Understanding differences between SPC and PPC interventions in terms of content and efficacy will allow future interventions to incorporate and test evidence-based aspects of palliative care in novel ways. Innovation in palliative care intervention development will be essential to address the needs of patients with serious illness in the face of the SPC workforce shortage. ^{8,43} We found notable differences in elements of palliative care, delivery setting, delivery clinicians, and types of outcomes measured between SPC and PPC

interventions. In terms of elements of palliative care, PPC were less likely than SPC interventions to incorporate structural or physical aspects of care. Of note, no PPC or SPC interventions addressed cultural aspects of care, an important element of palliative care for aligning culturally based preferences with treatments, especially in light of disparities in palliative care. A44,45 SPC and PPC trials differed in terms of delivery settings (respectively, often clinical settings, delivered by physicians vs. home-based settings with nurses). For example, based on existing access to and skills of delivering clinicians, PPC interventions may suit for support for the imminently dying (which was also more frequently addressed by PPC than SPC interventions) for whom travel outside of the home may be challenging.

Both SPC and PPC interventions were associated with improvements in outcomes, particularly quality of life, among patients with serious illness. The evidence indicates that neither form of palliative care is associated with shorter survival compared to control. SPC was associated more strongly with decreased symptom burden than PPC. Conducting additional PPC trials with low risk of bias that target and measure symptom burden as an outcome may be reasonable given the relatively high risk of bias among the PPC trials included in this review that captured symptom burden.

There are important gaps in palliative care research across trials, in both SPC and PPC. First, one area for strengthening palliative care trials is explicitly basing them in behavioral intervention and theories of palliative care delivery. Second, studies should be designed to identify the active ingredients of effective palliative care and their causal pathways in impacting outcomes. Although some studies have started to investigate the role of SPC, palliative care research as a field has not yet fully examined the mechanisms of SPC, which introduces difficulties in adapting existing models of SPC to PPC. 46-49 For example, additional research can shed light on how palliative care works to improve outcomes mechanistically and the core functions of palliative care. Then, additional trials can test those interventions designed to meet those core functions in different ways (e.g., PPC). For example, Hoerger et al. evaluated elements of palliative care that were associated with outcomes in an RCT using the NCP elements of palliative care. 46 Future RCTs can and should collect more nuanced data about those elements, including the elements of palliative care covered in consults, appointments, and visits. This approach would be very informative with respect to identifying elements of palliative care that can then be applied and tested across other settings. Third, after identifying core elements and functions of palliative care, interventions can adapt delivery setting and delivery clinicians, which can then be evaluated to determine the impacts of adaptation on effectiveness. Nurses are able to (and do) extend into the community in ways that physicians and APPs often cannot due to workforce constraints, payment structure, time of patient visits, and intensity of needs. Furthermore, researchers and clinicians can work to discover ways PPC and SPC can be integrated to work seamlessly together as one comprehensive model of care given the workforce and constraints of both.

Considering implementation, differences across SPC and PPC interventions in terms of delivery mechanisms may be reasonably attributed to differential provider availability, workloads, skillsets, and comfort levels. In PPC settings, nurses may be more feasible, particularly in home-based

and telephonic interventions.²² In our data, fewer than half (44%) of SPC interventions were delivered by nurses and that number increased to three-quarters for PPC interventions. This concept is interesting epidemiologically in the context of growing home-based patient populations and care models.^{42,50,51} PPC has potential to have a large impact on patient-centered outcomes at the population level given its scalability relative to SPC. Of note, interventions may be differently titrated for settings with some access to SPC (e.g., coaching, collaborative models of SPC, and PPC) versus no access (e.g., home-based serious-illness care models in rural areas).

Limitations

Extensive heterogeneity of interventions in terms of the elements of palliative care, delivery (setting and provider type), outcome assessment, and reporting of results made it difficult to compare across studies. Future interventions should clearly outline their theory-based proposed mechanisms of palliative care and use standardized, validated outcome measures with clear description of statistical methods.

Although SPC interventions were, on average, one domain more comprehensive than PPC interventions, we could not assess more nuanced indicators of intervention breadth and depth, such as the differential intensity with which the elements were delivered between the SPC and PPC interventions. Even within a domain of palliative care, specific intervention approaches to delivery can be quite diverse and have different levels of intensity, which we could not fully assess in this review. Therefore, we cannot yet determine if SPC and PPC are equally effective in improving patientcentered outcomes. Future head-to-head trials should compare relative effectiveness of SPC and PPC for meeting the needs of different populations. Real-world implementation and dissemination of SPC interventions will still be dependent on access to SPC clinicians. While many clinicians may be providing PPC, evidence suggests that current delivery in non-SPC settings can be greatly enhanced. 13,52 There are also likely existing interventions that could be considered PPC, but do not use the same palliative care language search used in the systematic review. This may be particularly Germaine when considering interventions that include, for example, disease-specific approaches to symptom management.

Our results are also based on a relatively small sample size (*N*=43 trials), which when combined with the heterogeneity of interventions and trial design, yielded small cell size when examining specific domain, settings, types of outcome measures, and results. Our narrative synthesis of results only included a subset of results at limited timepoints. A limitation of our analysis was not being able to directly compare the magnitude of improvement between trials of SPC and PPC. Future comparative effectiveness and pragmatic studies can include head-to-head comparisons of SPC and PPC interventions, allowing for the investigation of potential heterogeneity of treatment effects within specific subgroups. Trials of both SPC and PPC continue to be published, of which recent ones are not included in this study.

Conclusions

Compared to PPC, SPC interventions were more comprehensive, were more likely to be delivered in clinical

settings by specialty physicians, and were more likely to address physical and structural elements. Both SPC and PPC demonstrated improvements in quality of life; SPC trials also had stronger evidence for beneficial effects on physical symptoms. Testing models of palliative care is difficult in terms of both describing and measuring intervention components and designing behavioral trials to minimize risk of bias. SPC and PPC interventions with different elements of palliative care and delivery may be effective to meet different palliative care needs of seriously ill patients and their families. In addition, high-quality research is needed to fully understand the mechanisms of both SPC and PPC for improving outcomes. As models of palliative care develop, SPC and PPC may complement one another to close gaps in care for the population of patients with serious illness. Welldesigned interventions that capitalize on existing skillsets and workforce, and tested in trials with low risk of bias, may help to fill gaps in care for addressing the needs of patients with serious illness in both settings, which do and do not have any access to SPC services.

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Author Disclosure Statement

No competing financial interests exist.

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