Impact of natural selection on global patterns of genetic variation, and association with clinical phenotypes, at genes involved in SARS-CoV-2 infection.

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1 Abstract

We investigated global patterns of genetic variation and signatures of natural selection at host genes relevant to SARS-CoV-2 infection (ACE2, TMPRSS2, DPP4, and LY6E). We analyzed novel data from 2,012 ethnically diverse Africans and 15,997 individuals of European and African ancestry with electronic health records, and integrated with global data from the 1000GP. At ACE2, we identified 41 non-synonymous variants that were rare in most populations, several of which impact protein function. However, three non-synonymous variants were common among Central African hunter-gatherers from Cameroon and are on haplotypes that exhibit signatures of positive selection. We identify strong signatures of selection impacting variation at regulatory regions influencing ACE2 expression in multiple African populations. At TMPRSS2, we identified 13 amino acid changes that are adaptive and specific to the human lineage. Genetic variants that are targets of natural selection are associated with clinical phenotypes common in patients with COVID-19. Keywords: SARS-COV-2; COVID-19; genetic variation; genetic association; global populations; Africans; natural selection; ACE2; TMPRSS2; DPP4; LY6E

1 Introduction

2

3 Coronavirus disease 2019 (COVID-19) is caused by severe acute respiratory syndrome 4 coronavirus 2 (SARS-CoV-2). Coronaviruses are enveloped, positive-sense, and single-stranded 5 RNA viruses, many of which are zoonotic pathogens that crossed over into humans. Seven 6 coronavirus species, including SARS-CoV-2, have been discovered that, depending on the virus 7 and host physiological condition, may cause mild or lethal respiratory disease. The novel SARS-CoV-2 virus was initially identified in Wuhan, China, in December 2019¹, and due to high 8 transmission rates, including from asymptomatic subjects², quickly spread globally causing a 9 pandemic of historic proportions. In the US, the crude fatality rate of COVID-19 is ~ 1%, and 10 mortality increases significantly with age, with 70% of deaths being among individuals 70 years 11 old and above^{3;4}. As is the case with other infectious diseases, COVID-19 progression appears to 12 13 exhibit sexual-dimorphism, with fatality rates 2-fold greater for men than women⁵. Patients with 14 COVID-19 can be clinically subdivided into three categories: asymptomatic/mild, severe (with 15 dyspnea, hypoxia), and critical (with respiratory failure, shock, or multiorgan dysfunction). The rate of asymptomatic infection of SARS-CoV-2 may be as high as 40-45% ⁶, and those who are 16 asymptomatic are unlikely to convert to acute symptoms even though they may transmit virus for 17 18 up to 2 weeks. Symptomatic patients may present dry cough, followed by sputum, hyposmia, 19 nasal congestion, nausea, diarrhea, fever and dyspnea, although initial presentation is known to 20 be variable (for example fever or dyspnea may be absent at admission in hospital)⁷. There is 21 considerable variation in disease prevalence and severity across populations and communities. 22 For example, in Chicago, more than 50% of COVID-19 cases and nearly 70% of COVID-19 23 deaths are in African Americans (who make up 30% of the population of Chicago)⁸. More 24 generally, minority populations in the US appear to have been disproportionally affected by COVID-19:^{8; 9}. In addition, adverse outcomes including death, have been associated with 25 26 underlying cardiometabolic comorbidities (e.g., hypertension, diabetes, cardiovascular disease, chronic kidney disease)¹⁰⁻¹³. Liver impairment is common in patients with COVID-19, and 27 28 elevated alanine aminotransferase (ALT) and aspartate aminotransferase (AST) levels are relatively frequent at presentation². The extent to which pre-existing chronic liver conditions 29 30 affect COVID-19 related complications remains to be elucidated. Smell and taste sensations as 31 well as increased incidence of ischemic stroke have been observed in individuals with COVID-19¹⁴⁻¹⁷ 32

Several host genes play a role in SARS-CoV-2 infection¹⁸. The ACE2 gene, encoding the 1 angiotensin-converting enzyme-2 protein, was reported to be a main binding site for SARS-CoV 2 3 during an outbreak in 2003, and evidence showed stronger binding affinity to SARS-CoV-2, which enters the target cells via ACE2 receptors^{18; 19}. The ACE2 gene is located on the X 4 chromosome, its expression level varies among populations²⁰, and it is ubiquitously expressed in 5 6 the lung, blood vessels, gut, kidney, testis, and brain, all organs that appear to be affected as part 7 of the COVID-19 clinical spectrum. SARS-CoV-2 infects cells through a membrane fusion mechanism, which, in the case of SARS-CoV, is known to induce down-regulation of ACE2²¹. 8 9 Such down-regulation has been shown to cause inefficient counteraction of angiotensin II effects, leading to enhanced pulmonary inflammation and intravascular coagulation²¹. Additionally, 10 11 altered expression of ACE2 has been associated with cardiovascular and cerebrovascular disease, which is highly relevant to COVID-19 as several cardiovascular conditions are associated with 12 13 severe disease. Type II transmembrane serine protease (TMPRSS2), located on the outer 14 membrane of host target cells, binds to and cleaves ACE2, resulting in activation of spike proteins on the viral envelope, and facilitating membrane fusion and endocytosis²². Two 15 16 additional genes, dipeptidyl peptidase (DPP4), and lymphocyte antigen 6 complex locus E 17 (LY6E), have been shown to play an important role in the entry of SARS-CoV2 virus into host 18 cells. DPP4 is a known functional receptor for the Middle East Respiratory Syndrome 19 coronavirus (MERS-CoV), causing a severe respiratory illness with high mortality²³. Lastly, 20 LY6E (lymphocyte antigen 6 complex, locus E) encodes a glycosylphosphatidylinositol (GPI)-21 anchored cell surface protein which is a critical antiviral immune effector that controls coronavirus infection and pathogenesis²⁴. Mice lacking LY6E in hematopoietic cells were 22 susceptible to murine coronavirus infection 24 . 23

24 In this study, we characterized genetic variation at ACE2, TMPRSS2, DPP4, and LY6E in 25 ethnically diverse human populations by analyzing 2,012 novel genomes from ethnically diverse 26 Africans (referred to as the "African Diversity" dataset), 2,504 genomes from the 1000 Genomes 27 project, and whole exome sequencing of 15,997 individuals of European and African ancestry 28 from the Penn Medicine BioBank (PMBB) dataset. The African diversity dataset includes 29 populations with diverse subsistence patterns (hunter-gatherers, pastoralists, agriculturalists) and 30 speaking languages belonging to the four major language families in Africa (Khoesan, Niger-31 Congo (of which Bantu is the largest subfamily), Afroasiatic, and Nilo-Saharan). We identify

1 functionally relevant variation, compare the patterns of variation across global populations, and 2 provide insight into the evolutionary forces underlying these patterns of genetic variation. In 3 addition, we perform an association study using the variants identified from whole-exome 4 sequencing at the four genes (ACE2, TMPRSS2, DPP4, and LY6E) and clinical traits derived 5 from electronic health record (EHR) data linked to the subjects enrolled in the Penn Medicine 6 BioBank (PMBB). The EHR data includes diseases related to organ dysfunctions associated with 7 severe COVID-19 such as respiratory, cardiovascular, liver and renal complications. Our study of genetic variation in SARS-CoV-2 receptors and their partners provides novel data to 8 9 investigate infection susceptibility within and between populations and indicates that variants in these genes may play a role in comorbidities relevant to COVID-19 severity. 10

11

12 **Results**

13 14 15

Coding variation at ACE2 among global populations

SARS-CoV-2 employs ACE2 as a receptor for cellular entry¹⁸. To systematically 16 17 characterize genetic variation in the coding region of ACE2 across global populations, we 18 analyzed whole-genome sequence data from 2,012 individuals from diverse African ethnic groups (referred to as "African diversity panel (ADP)"), 2,504 samples from the 1KG project ²⁵, 19 20 and whole exome sequence data from 15,977 individuals of European and African ancestry from 21 the Penn Medicine Biobank (PMBB) (Figure S1 and Table S1). In total, we identified 41 amino 22 acid changing variants (Figure 1A, and Table S2-3). Twenty-eight (69%), twenty (49%), 23 eighteen (44%), and sixteen (40%) of the nonsynonymous variants were predicted to be deleterious or likely deleterious by the CADD²⁶, SIFT ²⁷, PolyPhen ²⁸ and Condel prediction ²⁹ 24 25 methods (Table S3).

Among the 41 coding variants identified at *ACE2*, the majority are rare (minor allele frequency, MAF < 0.05) in the pooled global population dataset (Figure 1A and Table S3). However, there are variants that are common (MAFs \geq 0.05) in the Central African Hunter Gatherer (CAHG) population from Cameroon (often referred to as "pygmies") (Figure 1B). One of these variants, rs138390800 (Lys341Arg), is a deleterious non-synonymous variant, and present at high frequency (MAF = 0.164) in the CAHG, while it is rare in other African populations and absent in non-African populations (Figure 1C). Two other nonsynonymous

variants, rs147311723 (Leu731Phe) (MAF = 0.083) and rs145437639 (Asp597Glu) (MAF =
0.083), are also common only in the CAHG population (Figures 1B and Table S3). These three
non-synonymous variants are the only common coding variants found at *ACE2* in any of the
populations examined.

5 We then investigated the potential role of these 41 coding variants in the conformation of 6 the ACE2 protein. The 41 coding variants are distributed across the entire ACE2 protein (Figure 7 1D and Table S3), including its receptor-binding domain (RBD) region which binds to the 8 SARS-CoV-2 spike protein, dimerization interface, and transmembrane helix. In particular, two 9 novel non-synonymous variants Gly354Asp (chrX:15581230) and Ser43Asn (chrX:15600784) 10 are both found directly in the RBD binding region of ACE2 (Figure 1D and Table S3); the 11 former is only found in low frequency in one population, the Fulani from Cameroon (MAF = 12 0.008), and the latter is also an African specific variant that is at low frequency in only three East 13 African populations, two of which are Afroasiatic speaking populations from Kenya (MAF = 14 0.031) and Ethiopia (MAF = 0.012) (Table S3). The variant Arg708Trp (rs776995986) occurs in the region identified as the *TMPRSS2* cleavage site in $ACE2^{30}$ and is found only in the 15 16 Afroasiatic speaking populations from Ethiopia (MAF = 0.004). Importantly, the presence of Arginine residues has been shown to be important in "multibasic" cleavage sites¹⁸. Therefore, 17 18 due to the drastic change in physicochemical properties of the residue, this variation could be 19 expected to interfere in TMPRSS2 cleavage efficiency, though it warrants experimental 20 validation. Finally, two variants are located at glycosylation sites. Variant Asn546Ser (rs756905974, chrX:15572228), which causes the loss of a conserved glycosylation site on the 21 ACE2 protein, is found only in the SAS populations (MAF = 0.001). Variant Lys26Arg 22 23 (rs4646116), found in individuals from the European (EUR) (MAF = 0.005), African (AFR) 24 (MAF = 0.001) and South Asian (SAS) (MAF = 0.002) populations from the 1KG dataset (Table 25 S3), occurs near both the conserved ACE2 glycosylation site Asn90 and the RBD binding site. 26 The modification to a similarly positively charged positive residue could suggest a role for 27 electrostatic interactions, though no direct interference with RBD binding could be deduced 28 without further studies.

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30 Regulatory variation at *ACE2* among global populations

In contrast to coding variants which have direct effects on protein structure in all cells expressing a gene, the effects of regulatory genetic variants are relatively difficult to determine³¹. Expression quantitative trait locus (eQTL) analysis has been used to identify genetic variants associated with gene expression. We first extracted 2,053 eQTLs significantly associated with ACE2 gene expression (P < 0.001) from the GTEx database ³² (Table S4). To narrow down candidate functional variants, we focus on the eQTLs located in the promoter regions of target genes or in enhancers supported by chromatin interaction data³³.

8 We identified six eQTLs (rs4830977, rs4830978, rs5936010, rs4830979, rs4830980 and 9 rs5934263) located in a strong DNase peak at 73.3 kb upstream of ACE2 that have direct 10 interactions with ACE2 based on RNA Pol2 ChIA-PET data (Figure 1E, S2 and Table S4). All 11 six SNPs are eQTLs of ACE2 and all of them have positive normalized effect sizes (NES > 0.2) 12 and significant p-values (P < 0.00008) in brain, tibial nerve, tibial artery, pituitary and prostate cells (Figure S3 and Table S4). In non-African populations, these six eQTLs are in high LD (R^2 13 14 = 0.91 - 1.0 (Figure S4) and, thus there are two common haplotypes: "CCGGAT" and 15 "ATCATC". The frequency for the "ATCATC" haplotype ranges from 0.31 - 0.47 in all populations except the East Asian population, which has a frequency of 0.068 at all 6 SNPs 16 (Figure S5). In African populations, LD is lower ($R^2 > 0.5$; Figure S4), and there are three 17 18 common haplotypes: "CCGGAT" (0.564), "ATCATC" (0.308), and "CCCGAC" (0.116). Of 19 note, every allele in the haplotype "CCGGAT" is correlated with higher expression of ACE2 in the cortex of the brain while alleles in haplotype "ATCATC" are correlated with lower 20 21 expression of ACE2; other haplotypes have alleles with both positive and negative effect sizes in 22 different tissues (Table S4). Haplotype "CCCGAC" is only present in populations with African 23 ancestry and its frequency is highest in the Botswana Khoesan (0.38) and Cameroon CAHG 24 (0.38) populations. We also identified one variant (rs186029035) located in strong TF and DNase clusters (ENCODE) in the 16th intron of ACE2. This variant is only common in the 25 26 Cameroon CAHG population and, therefore, there is no eQTL data for this SNP in the GTEx 27 database (MAF = 0.153, Table S2).

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29 Signatures of natural selection at ACE2

As indicated above, most of the non-synonymous variants at *ACE2* are rare in global populations and many of them are predicted to be deleterious, indicating that this gene is under

1 strong purifying selection. To formally test for signatures of natural selection at ACE2, we first 2 examined the ratio of non-synonymous and synonymous variants at each gene using the dN/dS test³⁴. The dN/dS for all pooled samples was 0.77, indicating that ACE2 is under purifying 3 4 selection globally (Table S5 and Figure S6). However, in the East Asian population, we observed 5 seven non-synonymous variants (all of them are rare) and only one synonymous variant, and the 6 dN/dS value is 1.85, indicating an excess of non-synonymous variation. In other populations, the 7 dN/dS ratio ranges from 0 to 0.79 (Table S5 and Figure S6). Thus, ACE2 appears to be under 8 strong purifying selection in most populations but may be under weak purifying selection in the East Asian population. We next applied the MK-test³⁵ which compares the ratio of fixed non-9 10 synonymous sites between humans and chimpanzee (Dn = 8) and fixed synonymous sites (Ds = 8)11 6) to the ratio of polymorphic nonsynonymous sites among populations (Pn = 41) relative to 12 polymorphic synonymous sites (Ps = 14) and found that it is not significant (odds ratio (OR) = 13 0.45, P = 0.94, two-sided Fisher's exact test, Table S6 and Figure S7, S8).

14 Because the above-mentioned methods are more suitable for detecting signals of natural 15 selection acting over long time scales^{36; 37}, we then tested for signatures of recent positive selection at ACE2 in global populations using the iHS test³⁸ to detect extended haplotype 16 homozygosity (EHH)³⁹, which identifies regions of extended linkage disequilibrium (LD) 17 18 surrounding a positively selected locus. We first focused on the three common non-synonymous 19 variants in the CAHG population from Cameroon (rs138390800, rs147311723 and rs145437639; 20 MAF: 0.083 - .164), and a common putative regulatory variant (rs186029035, located in TF and DNase clusters in the 16^{th} intron of ACE2, MAF = 0.153). The derived alleles of these variants 21 22 exist on three different haplotype backgrounds: rs147311723 and rs145437639 are on the same 23 haplotype backgrounds, while rs138390800 and rs186029035 are on similar, but distinct, 24 haplotype background (Figure 2A). The derived alleles of the corresponding SNPs on each 25 haplotype background show EHH extending longer than 2 Mb, while the ancestral alleles of 26 these SNPs harbor haplotypes extending less than 0.3 Mb (Figure 2B). We then calculated the 27 integrated haplotype score (iHS) of each of these variants, to determine whether these extended 28 haplotypes are unusually long compared to other SNPs with a similar allele frequency; the iHS 29 values were not significant for any of these variants (Figure S9 and Table S7). However, if 30 selection were acting on multiple haplotypes simultaneously (as shown above), the EHH and iHS tests would not be well powered to detect selection⁴⁰. We also used the d_i statistic⁴¹ to measure if 31

allele frequencies at these candidate SNPs were strongly differentiated between Cameroon 1 2 CAHG and other populations. The d_i values of SNPs rs138390800 and rs186029035 were in the 3 top 1.4% and 1.7%, respectively, of d_i values for all SNPs examined, indicating that that allele 4 frequencies at these variants are amongst the most highly differentiated in the CAHG population. 5 However, it should be noted that in the CAHG these four variants (rs138390800, rs147311723, 6 rs145437639 and rs186029035) are in complete LD based on D' (D' = 1) with the 6 eOTLs 7 described above (Figure S10, S11), indicating that the alleles are on the same haplotype 8 background. Thus, it is not possible to distinguish if the non-synonymous variants are targets of 9 selection or if they are "hitchhiking" to high frequency due to selection on flanking regulatory 10 variants. Given the high LD in the region, it is possible that multiple functional variants on the 11 same haplotype backgrounds have been under selection.

12 We then investigated signatures of recent positive selection at candidate regulatory variants near ACE2 in the global datasets. In total, there are 234 variants that had high iHS 13 14 scores (|iHS| > 2) in at least one population extending over an ~200 kb region (Table S8), and 48% 15 (n=113) of these variants are either eQTLs or located at DNase hypersensitive regions which are 16 in high LD based on D' (Figure S10, S11, and Table S8). Among the region near the TSS (<10kb 17 from ACE2), there are two variants in high LD (D' = 1) that had high iHS scores in the San 18 population from Botswana (Figure 3A); rs150147953 is located in a DNase peak in multiple 19 tissues including lung, intestine and heart and rs2097723 is an eQTL of ACE2 in the brain 20 (Figure 1E, S12; Table S8). We also identified strong selection signals at the region 50 - 120 kb 21 upstream of ACE2 (chrX: 15650000-15720000) in the AFR, San from Botswana, and Niger-22 Congo-speaking populations from Cameroon, as well as Afroasiatic- and Nilo-Saharan-speaking 23 populations from Kenya (Figure 3A and S8). Two SNPs in this region (rs5936010 and 24 rs5934263) have elevated iHS scores (|iHS| > 2) in the San population from Botswana and the 25 Afroasiatic population from Kenya (Figure 3A) and are part of the 6 eQTLs described above, 26 located within a strong enhancer interacting with the promoter of ACE2 (Figure 1E). Two 27 additional eQTLs, that are in complete LD with the 6 eQTLs (D' = 1; Figure S11), rs4830984 28 and rs4830986, had high iHS scores in four of the five African populations listed above (all but 29 the Kenya Afroasiatic; Figure 3A).

30 We performed haplotype network analysis to examine phylogenetic relationships among 31 haplotypes at *ACE2* in global populations derived for SNPs showing signatures of natural

1 selection (Figure 3B and 3C). We identified two haplotype clades: one (clade 1) is nearly 2 specific to Africans and the other (clade 2) encompasses global populations (Figure 3B). In the 3 CAHG, haplotypes containing the rs138390800 (Lys341Arg) non-synonymous variant and the 4 rs186029035 regulatory variant are in clade 1, whereas haplotypes containing the rs147311723 5 (Leu731Phe) and rs145437639 (Asp597Glu) non-synonymous variants are located in clade 2 6 (Figure 3B). Haplotypes containing the two regulatory variants (rs5936010 and rs5934263) 7 located 50 - 120 kb upstream of ACE2 are shared in global populations, and the nearby 8 regulatory variants rs4830984 and rs4830986 are sub-lineages on those haplotype backgrounds 9 (Figure 3B and 3C).

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11 Associations between genetic variations in *ACE2* and clinical disease phenotypes

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We examined associations of genetic variation at *ACE2* with clinical phenotypes using the PMBB cohort that consists of exome-sequencing data from 15,977 participants between the ages of 19 and 89 years (52% female) with extensive clinical data available through their electronic health records (EHR). Of these, 7061 individuals were of European ancestry (42%) and 8916 were of African ancestry (55%) (Table S1).

18 To test for association between rare coding variants and clinical phenotypes, we applied a gene-based approach^{42; 43} and single variant analysis. First, we performed a gene-based analysis 19 20 by collapsing the coding region variants with MAF < 0.01 that are annotated as non-synonymous 21 or putative loss-of-function (pLOF) variants. We tested for association with 12 phenotypes, 22 encompassing COVID-relevant disease classes affecting different organ systems, defined by 23 EHR based diagnosis codes (Table S9). For the gene-based approach, we applied two statistical tests: a) a burden test (i.e. the cumulative effect of rare variants in a gene) that uses logistic 24 25 regression and b) a sequence kernel association test (SKAT)⁴⁴. Thus, it can compute effect 26 estimates but may suffer from loss of power when gene variants have effects in opposite 27 directions (i.e., protective and higher risk variants). This limitation can be overcome by parallel 28 analysis with SKAT, a powerful approach to model mixed effect variants. However, this 29 approach does not provide effect estimates. Therefore, we reported outcomes using both 30 methods. Ancestry specific analysis of gene-based tests identified seven associations in African ancestry (AA) and three associations in European ancestry (EA) populations that reached 31 statistical significance levels after multiple hypothesis correction ($p < 1 \ge 10^{-04}$) for the SKAT 32

model. None of the gene burden models reached a significance level of $p < 1 \ge 10^{-04}$. The effect 1 2 size from the logistic regression model was used to indicate a protective or increased risk effect 3 on disease phenotype. In the AA population, the most significant associations were with hepatic 4 encephalopathy and respiratory failure (Figure 1F and Table 1). The association with respiratory failure is interesting as it is one of the key severe clinical features reported for COVID-19^{10; 45-48}. 5 6 However, the same association was not significant in the EA population, which could be 7 explained by lack of power due to lower number of coding variants at ACE2 in EA. Within the 8 EA population, the most significant associations included hepatic coma, respiratory syncytial 9 virus infectious disease, and cirrhosis of the liver (Table 1).

10 We also examined an extended list of ~1800 phecodes derived from the EHR and 33 11 EHR-based quantitative lab measurements and performed a phenome-wide association study (PheWAS)^{42; 43; 49}. After multiple testing correction, we identified one association in the AA and 12 five associations in the EA populations reaching study-wide significance ($p < 1 \ge 10^{-5}$) (Table S9 13 14 and S5). Myocarditis, a rare cardiovascular disease caused by viral infection, was the top 15 PheWAS association in the AA population but not significant in the EA population. Although 16 the population difference for this specific association is unclear, recent studies have reported a link between SARS-CoV-2 induced cardiac injury among COVID-19 patients⁵⁰, which it was 17 18 suggested might be mediated by ACE2. This observation would be consistent with ACE2 expression in heart tissue, and its upregulation in cardiomyocytes⁵⁰⁻⁵². Among respiratory 19 diseases, cough and allergic rhinitis reached nominal significance (p < 0.01) in the AA 20 21 population (Table S9). In the EA population, we identified a nominal association with influenza, 22 asthma, emphysema, cough, and painful respiration (p-value<0.01). Our findings in the EA cohort are consistent with other studies of ACE2 in subjects derived from the UK biobank⁵³. 23 24 Among the median measure of 33 EHR-based quantitative lab measurements that we 25 investigated (see Methods), only the internationalized normalized ratio (INR) derived from the 26 prothrombin time test showed a nominal association with increase in INR above 1.11, potentially 27 relevant to blood clotting abnormalities observed in COVID patients (Table 2).

To further evaluate the individual effect of each rare coding variant in *ACE2*, we performed a single variant association analysis on the rare variants in the genes identified from gene-based tests. A Fishers exact test was used to account for the small sample size when testing the impact of rare single variants on phenotypes. The *ACE2* variant rs147311723, which is only

present in African populations, was most significantly associated with respiratory infection (p
<0.05, OR=1.95 [1.06 - 3.6]). Another African specific *ACE2* variant rs138390800 did not reach
statistical significance but showed modestly increased risk of respiratory failure (p=0.1;
OR=2.29 [0.83 - 6.33]).

5 For the six eQTLs identified near ACE2 (rs4830977, rs4830978, rs5936010, rs4830979, 6 rs4830980 and rs5934263), we performed a PheWAS with clinical data by ancestry. We found 7 that two of the six eQTLs (rs5936010 and rs5934263) (targets of positive selection in both 8 Afroasiatic populations from Kenya and Khoesan populations from Botswana) are significantly associated with type 2 diabetes (p=1.23 x 10^{-4} , OR=1.1) and hypertension (p=8.8 x 10^{-4} , 9 10 OR=1.13), respectively, in the AA population (Figure 1G, and Table S10). Among the 11 respiratory disorders, all six eQTLs had nominal associations (p < 0.01) with acute sinusitis and 12 dypnea (shortness of breath) in AA and bronchiectasis in EA. Further, we noticed a difference in 13 the effect of association among the six eQTLs with respiratory disorders examined in AA. 14 Variants rs5936010 (OR=1.11) and rs5934263 (OR = 1.19) were associated with increased risk 15 of respiratory disorder, whereas the rest of the four eQTL variants were associated with 16 decreased risk.

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18 Genetic variation at *TMPRSS2* and its potential role in SARS-COV-s2 infection 19 susceptibility

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21 The trans-membrane protease serine 2 (TMPRSS2) protein enhances the spike proteindriven viral entry of SARS-CoV-2 into cells¹⁸. At this gene, we identified forty-eight 22 23 nonsynonymous variants. Among the non-synonymous variants, only two (rs12329760 24 [Val197Met] and rs75603675 [Gly8Val]) have high MAF (> 0.05) in the pooled global dataset 25 (Figure 4A, and Table S11). While rs75603675 is highly variable in non-East-Asian populations 26 (AFR = 0.3, AMR = 0.27, EUR = 0.4, and SAS = 0.2), it is not highly variable in East Asians 27 (MAF = 0.02) (Figure 4B and 4C, and Table S11). In addition, some non-synonymous variants 28 were common and specific to African populations. Notably, the non-synonymous variant 29 rs61735795 (Pro375Ser) had a high MAF in the Khoesan-speaking population from Botswana 30 (MAF = 0.18). This variant is present at low frequency in populations from Cameroon (MAF < 31 (0.01) and Ethiopia (MAF < 0.03) and was absent in non-African populations. The non-32 synonymous variant rs367866934 (Leu403Phe) is common in the Cameroonian CAHG

population (MAF = 0.15) and has low frequency (MAF = 0.02) in other populations from Cameroon, but it is absent from non-Cameroonian populations (Figure 4B and Table S11). Another non-synonymous variant rs61735790 (His18Arg) is common in the CAHG populations from Cameroon (MAF = 0.12) and the Nilo-Saharan populations from Ethiopia (MAF = 0.12) but is rare in other populations (Figure 4B and Table S11).

6 We identified two regulatory SNPs (rs76833541 and rs4283504) in the promoter region 7 of the *TMPRSS2* gene that have been identified as eQTLs of *TMPRSS2* in testis (Figure 4D, S13, 8 and Table S4). The MAF of rs76833541 is higher in EUR (MAF = 0.16) than other populations 9 (EAS = 0.002, AFR = 0.006, AMR = 0.06 and SAS = 0.05) and the MAF of rs4283504 is more 10 common in EAS (MAF = 0.21) than other populations (EUR = 0.11, AFR = 0. 04, AMR = 0.12 11 and SAS = 0.14) (Figure S14 and Table S2).

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13 Signatures of natural selection at *TMPRSS2*

We applied the MK test at TMPRSS2 and observed that Dn/Ds (13/2) is significantly 15 16 larger than Pn/Ps (48/45) among pooled human samples (OR = 6.1, P-val = 0.009, Fisher's exact 17 test) (Figure 5A, and Table S6) as well as in individual ethnic groups (OR ranged from 5.0 - 17), 18 indicating positive selection in the hominin lineage after divergence from chimpanzee. Notably, 19 there are 13 non-synonymous and 2 synonymous variants at TMPRSS2 (ENST00000398585.7, 20 see Figure S15 for ENST00000332149.10) that were fixed in human populations. The non-21 synonymous variants are located in different structural domains of TMPRSS2: amino acid A3P, N10S, T46P, A70V, R103C, and M104T are located in the cytoplasmic region which may 22 function in intracellular signal transduction⁵⁴; L124I is located in the transmembrane region; 23 24 N144K is located in the extracellular region; S165N and S178G are located in the LDL-receptor 25 class A domain; E441O and T515M are located in the Peptidase S1 domain which is involved in the interaction with the SARS-CoV-2 spike protein¹⁸; S529G is located in the last amino acid 26 27 position of the protein (Figure 5B). In contrast to the MK test, the dN/dS ratio test was not 28 significant in any population, indicating no excess of non-synonymous to synonymous variation within populations. (Table S5 and Figure S6). 29

We also tested for recent positive selection at *TMPRSS2* in all ethnic groups using iHS (Figure S16 and Table S7). We found many SNPs (n = 153) with high iHS scores (|iHS| > 2) in different ethnic groups in a 78 kb region encompassing the *TMPRSS2* gene which show high

levels of LD (ChrX:41454000-41541000; Figure S16, S17). We identified a non-synonymous variant (rs150969307) that shows a signature of positive selection (iHS = 2.01) and is common only in the Chabu hunter gatherer population from Ethiopia (MAF = 0.079) (Table S11). We found that more than one third of SNPs with |iHS| scores >2.0 (62 of 153) are located in putative regulatory regions (Figure S18 and Table S8).

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- 7 8

Associations between genetic variations in *TMPRSS2* and clinical disease phenotypes

9 In the PMBB, gene-based analysis with 12 severe disease classes identified nominal 10 associations with respiratory failure, respiratory syncytial virus infectious disease, lower 11 respiratory tract infection and pneumonia in the AA population but no statistically significant 12 association in the EA population (Table 1, Figure 4E). As with ACE2, the clinical phenotype 13 associations with TMPRSS2 in AA may be driven by an excess of rare variants in that population 14 and, hence, more carriers in comparison to EA. Among the diseases in the respiratory disorder 15 category, we identified a nominal association (p<0.01) with allergic rhinitis in AA and with 16 obstructive bronchitis in EA populations (Table S9). Previously, a gene-based PheWAS with 17 EHR-derived disease codes in the UK biobank population, which consists of mostly individuals of European descent, showed no statistically significant associations with TMPRSS2⁵⁵. Among 18 19 clinical lab measures, we identified nominal association with urine bilirubin levels (p = 0.001). 20 The PheWAS of the two regulatory eQTLs (rs76833541 and rs4283504) of TMPRSS2 described 21 above identified association of rs76833541 with abnormal glucose ($p=8.9 \times 10^{-4}$, OR=1.5) in EA 22 and rs4283504 with glucocorticoid deficiency (p=0.001, OR=2.7) in AA (Figure 4F). We did 23 not identify any association between these two eQTLs and respiratory conditions (Figure 4F, and 24 Table S10).

25

26 **Patterns of variation at DPP4 and LY6E**

- 27
- 28 <u>DPP4</u>

DPP4 is a receptor for the Middle East Respiratory Coronavirus (MERS-Cov) and was reported to interact with SARS-CoV-2⁵⁶. At this gene, we identified 47 non-synonymous variants and one loss-of-function variant (Table S12). Among them, no variant was common in the pooled global dataset (Figure 6A), suggesting this gene is extremely conserved during human evolutionary history. Only one non-synonymous variant (rs1129599, Ser437Thr) was common in

the Fulani pastoralists from Cameroon (MAF = 0.081), was present at low frequency in other African populations, and was absent in non-African populations (Figure 6B and 6C). In addition to the nonsynonymous variants, one loss-of-function variant was identified at *DPP4*. The variant rs149291595 (Q170*) has low MAF in some African populations (MAF < 0.05) but is absent in non-African populations.

6 We identified four eOTLs (rs1861978, rs35128070, rs17574 and rs13015258) in the 7 promoter region of the DPP4 gene (Figure 6D). Three of the variants (rs1861978, rs35128070 8 and rs17574) are significant eQTLs in the transverse colon and rs13015258 is an eQTL in the 9 lung (P < 5.9e-6, Figure S20 and Table S4). The minor alleles of these three variants are rare in 10 EAS (MAF < 0.05) but common in all other populations (MAF > 0.15, Figure S21, and Table 11 S2). The fourth SNp, rs13015258, resides in the center of a cluster of DNase peaks identified in 12 ENCODE (Figure 6D) with MAF ranging from 0.38 in the AMR population to 0.6 in other 13 populations (Figure S21 and Table S2).

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15 Signatures of natural selection at DPP4

16 The MK-test result was not significant in either the pooled samples (Dn = 3, Ds = 5, Pn =17 45, Ps = 33 OR = 0.44, P = 0.9, two-sided Fisher's exact test) nor in each population separately 18 (Table S6 and Figure S8). For the the dN/dS test, we observed ratios ranging from 0 to 0.52 in 19 individual populations, indicating that *DPP4* is highly conserved (Table S5 and Figure S6) 20 within human populations. Using the iHS test, we identified 8 SNPs that had extreme high iHS 21 scores (|iHS| > 2) in the Khoesan populations from Botswana (Figure S22 and Table S7). Five of 22 these SNPs (rs10166124, rs2284872, rs2284870, rs7608798 and rs2160927) are in LD (D' >23 0.95) with each other (Figure S23). The SNP rs2284870 is located in a strong DNase peak in 24 heart tissue (Figure S24 and Table S8).

25

26 Associations between genetic variations in DPP4 and clinical disease phenotypes

In the gene-based analysis among AA PMBB participants, we identified significant associations (only in the SKAT model) with respiratory syncytial virus infectious disease and upper respiratory tract disease (Figure 6E, Table 1 and S9). None of the gene-based models were significant in the EA population. The PheWAS of four regulatory eQTLs identified the most significant association with malignant neoplasm of the rectum (commonly referred as colon cancer) for rs17574 ($p = 4.49 \times 10^{-04}$, OR = 1.8) and rs13015258 (p = 0.002, OR = 0.54) in AFR

only. Among respiratory disorders, rs35128070 had the most significant association with "abnormal results of function study of pulmonary system" (p=0.002, OR=1.6) in the AFR population and we observed a nominal association between rs17574 and "acute respiratory infections" (p<0.01, OR=1.22) in the EA population (Figure 6F, and Table S10).

- 5
- 6 <u>LY6E</u>

7 Studies show that mice lacking LY6E were highly susceptible to a usually nonlethal mouse coronavirus²⁴. At LY6E we observed twenty-eight non-synonymous variants and all of 8 9 them, except rs11547127 (MAF = 0.057), have MAF that are rare in the pooled global dataset 10 (Figure 7A, and Table S13). However, some of the non-synonymous variants are common in 11 specific populations (Figure 7B). For instance, the non-synonymous variant rs111560737 12 (Asp104Asn) was common in the southern African Khoesan population from Botswana (MAF = 13 (0.36) and the Chabu population from Ethiopia (MAF = 0.17) (Figure 7C). Three loss-of-function 14 variants (rs200177123 [stop gained, Ser59*], chr8:143020941, and chr8:143020946) were also 15 identified at LY6E, and all of them are rare. In the PMBB, only four pathogenic and likely 16 pathogenic variants were identified, and all were rare in both AA and European EA populations.

We identified three regulatory eQTLs (rs13252864, rs17061979 and rs114909654) located within 2 kb of the transcription start site of *LY6E* (Figure 7D), all of which are significant in esophageal mucosa (P < 1e-5, Figure S25 and Table S4), which has a high expression level of *LY6E* (TPM=108, GTEx). The minor alleles of rs13252864 and rs114909654 are common in African populations (MAF > 0.15) while very rare in other populations (MAF < 0.02, Figure S26), whereas the MAF of rs17061979 is relatively high in EAS (0.18) and SAS (0.13) and rare in other populations (MAF < 0.05, Figure S26).

24 Signatures of natural selection at *LY6E*

The MK-test result was not significant in either the pooled samples (Dn = 0, Ds = 4, Pn = 9, Ps = 9, OR = 0, P = 0.9, two-sided Fisher's exact test) nor in each population separately (OR ranging from 0 to 0.52; Table S6 and Figure S8), indicating that *LY6E* is highly conserved. We identified 19 variants that that had extreme high iHS scores (|iHS| > 2) (Table S7, Figure S27), some of which are in LD in specific populations (Figure S28). One variant (rs867069115) shows an extreme iHS score in the Hadza hunter-gatherer population from Tanzania (iHS = -2.94). This variant is located in a regulatory region ~1.9kb downstream of *LY6E*, within DNase and TF

peaks in the lung, intestine, kidney, heart, stomach, pancreas and skeletal muscle from ENCODE (Figure S29) and is common only in the Hadza population (MAF = 0.14), is rare in other African population (MAF < 0.05) and is absent in all non-African populations (Table S2). SNP rs10283236, which shows an extreme iHS value in the CEU population, is an eQTL of *LY6E* located within DNase and TF clusters identified in ENCODE (~4.14kb downstream of *LY6E*) active in many tissues including lung, kidney and small intestine.

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Associations between genetic variations in *LY6E* and clinical disease phenotypes

9 We identified a nominal association between LY6E with pneumonia in the AA population 10 only (p= 0.01, Figure 7E, Table 2 and Table S9). LY6E also has nominal association (p<0.01, 11 Table 2) with total cholesterol, prothrombin, and eosinophil levels among the AA population. 12 Association with prothrombin is not statistically significant in the EA population. The 13 association analysis of regulatory variants identified most significant association with "severe protein-calorie malnutrition" ($p = 2.35 \times 10^{-05}$, OR = 1.9) and "acute post hemorrhagic anemia" 14 $(p = 6.4 \times 10^{-04}, OR = 1.6)$ in the AA population. In the EA population, "chronic ulcer of skin" 15 16 with rs13252864 (p=0.001, OR=2.2) was the most significant association (Figure 7F, and Table 17 S10).

18

19 **Discussion**

20 Investigating global patterns of genetic variation at genes that play a role in SARS-CoV-2 21 infection could provide insights into potential differences in susceptibility to COVID-19 among 22 diverse human populations. However, African populations are under-represented in the majority 23 of current genetic studies of COVID-19 susceptibility and severity, despite the fact that they have the highest genetic diversity among human populations^{57; 58}. In this study, we present a 24 25 comprehensive analysis of human genes which play a key role in SARS-CoV-2 host receptor 26 binding and cellular invasion, i.e., ACE2, TMPRSS2, DPP4, and LY6E. We characterized the 27 coding and non-coding variants in these candidate genes to examine population differences in 28 allele frequencies and signatures of natural selection in diverse ethnic populations. This included 29 novel sequence data from 2012 ethnically diverse African populations from five countries 30 (Cameroon, Ethiopia, Kenya, Botswana and Tanzania) in Africa practicing different lifestyles 31 (e.g. hunter-gatherers, agriculturalists, and pastoralists). Additionally, we analyzed the 32 correlation of common and rare genetic variants in these four genes with clinical traits derived

from the dataset of 15,997 individuals from the Penn Medicine BioBank (PMBB) with African and European ancestry. We included 12 "organ dysfunction" categories defined by phenotype algorithms (see Methods), ~1800 ICD diagnosis codes, and 33 laboratory test measures from the EHR. Our results highlight the importance of including genomes from diverse ethnic groups in human genetic studies.

6 At ACE2 we identified 41 non-synonymous variants, most of which are rare, suggesting 7 that they are under purifying selection. Tests based on dN/dS indicate that East Asians have an 8 excess of non-synonymous variation at ACE2, indicating weak purifying selection has influenced 9 patterns of variation in that population. However, there are some variants that are common in 10 specific ancestry groups. Notably, we identified three common non-synonymous variants 11 (rs138390800, rs147311723, and rs145437639) at ACE2 with MAF ranging from 0.083 to 0.164 12 in Central African hunter-gatherers (CAHG), which were the only common coding variants (defined here as MAF > 0.05) found in global populations studied here and by others^{20; 53; 59; 60}. 13 We observed that the derived alleles of the common non-synonymous SNPs (rs138390800, 14 15 rs147311723, rs145437639) and one putative regulatory variant (rs186029035) at ACE2 in 16 CAHG show evidence of EHH, with the extended haplotypes extending longer than 2 Mb, 17 though they did not show deviation from neutrality based on the iHS test. However, we do not 18 have much power to detect a selection signal using this test because the SNPs are on three 19 different haplotype backgrounds in CAHG, possibly due to selection on existing variation 20 (e.g. "soft selection") which decreases the power to detect significant iHS scores. Moreover, each 21 haplotype is at a relatively low frequency (0.083 to 0.164), which further reduces the power of 22 the iHS test. The CAHG are traditionally hunter-gatherers living in a rainforest ecosystem who 23 consume wild animals. They have high exposure to animal viruses and were reported to have relative resistance to viral infection⁶¹. Thus, it is possible that this locus is adaptive for protection 24 25 from infectious diseases in this population. Future *in vitro* or *in vivo* studies will be needed to 26 determine the functional significance of these variants.

At *TMPRSS2*, we identified forty-eight nonsynonymous variants, only two of which had a high MAF (>.05) in the pooled global dataset (rs12329760 and rs75603675). However, some variants have high MAF in two African hunter-gatherer populations. Notably, the nonsynonymous variant rs61735795 (Pro375Se) is only common in the Khoesan-speaking San population from Botswana (MAF = 0.18) and the non-synonymous variant rs367866934

18

1 (Leu403Phe) is only common in the Cameroonian CAHG populations (MAF = 0.15). At 2 TMPRSS2 we observed a strong signature of adaptive evolution in the human lineage after divergence from Chimpanzee ~ 6 MYA^{62} . In total, 13 non-synonymous variants located on 3 different structural domains of TMPRSS2 were fixed in human populations. Among them, 4 5 E441Q and T515M are located in the Peptidase S1 domain that plays an important role in acute respiratory syndrome (SARS)-like coronavirus (SARS-CoV-2) infection⁶³ and six (A3P, N10S, 6 7 T46P, A70V, R103C, and M104T) are at the cytoplasmic amino terminal domains of TMPRSS2 which plays an important role in signal transduction. These variants at TMPRSS2 could be 8 9 potential candidates for future studies to investigate their functional impact on susceptibility to 10 pathogens in humans compared to non-human primates.

SARS-CoV replication is significantly reduced in ACE2 knockout mice⁶⁴ and cells with 11 low expression of ACE2 were resistant to SARS-CoV2 infection⁶⁵. It has also been shown that 12 both SARS-CoV and SARS-CoV2 infection could down regulate ACE2 expression^{22; 64; 66}. The 13 14 expression of ACE2 and TMPRSS2 in nasal and bronchial epithelial cells is higher in adults than 15 children, and in healthy individuals compared with smokers or patients with chronic obstructive pulmonary disease⁵¹. Therefore, differences in expression levels of ACE2 and TMPRSS2 could 16 17 influence the susceptibility and host reactions to SARS-CoV-2. Regulatory eQTLs that differ in 18 frequency across ethnically diverse populations may play a role in local adaptation and disease 19 susceptibility⁶⁷. eQTL mapping has been used to identify population-specific regulatory variation and revealed the association of regulatory alleles with complex traits such as multiple sclerosis⁶⁸, 20 malaria⁵⁴ and immune response to infection⁶⁹. We identified regulatory eQTLs associated with 21 22 ACE2, TMPRSS2, DPP4, and LY6E gene expression and highlighted the eQTLs showing highly 23 differentiated MAF among populations and/or signatures of natural selection. These eQTLs are 24 located in ChIP-seq and DNase peaks and have the potential to influence transcription factor binding and, thus, change the promoter or enhancer activities in specific tissues^{70; 71}. 25 26 Interestingly, some of the eQTLs in the upstream regions of ACE2 were under selection in 27 African populations. For example, rs5936010 and rs5934263, which are located within a strong 28 enhancer interacting with the promoter of ACE2 as suggested by ChIA-PET, harbored significant 29 iHS scores (|iHS| > 2) in both Afroasiatic populations from Kenya and the San population from 30 Botswana. Further, PheWAS of these eQTLs in the PMBB populations identified association of 31 eQTLs at ACE2 with type 2 diabetes (rs5936010) and hypertension (rs5934263). These are

known pre-existing conditions that increases risk of severe illness due to COVID-19^{11; 72; 73}. 1 2 Among respiratory diseases, only one eQTL at ACE2 had nominal association (rs4830977) with 3 acute sinusitis. The association was only identified in the AA population and had a protective effect (OR = 0.78 [0.66-0.95]). The eQTLs we analyzed are from GTEx V8 database⁷⁴, and 4 5 84.6% of the donors are people of European and Western Eurasian descent. Therefore, it is 6 possible that we are missing some regulatory variants that are only present in specific ancestry 7 groups due to the lack of sample diversity. Further experimental testing of predicted regulatory 8 variants will provide insights into differences in gene expression regulation at ACE2, TMPRSS2, 9 DPP4, and LY6E among different populations. In the future, eQTL mapping in diverse 10 populations will be informative for identifying novel trait associations that may differ in 11 prevalence across ethnic groups⁷⁵.

12 The gene-based genetic association analyses of non-synonymous variants at ACE2, 13 TMPRSS2, DPP4 and LY6E identified several associations with clinical phenotypes. We 14 observed that respiratory failure has significant association with ACE2 and TMPRSS2 among the 15 PMBB AA population. That is a particularly interesting finding as respiratory failure is one of the clinical outcomes observed in some patients with COVID-19^{10; 45-48}. However, this 16 17 association was not significant in the EA population. This observation could be explained by the 18 low number of coding variants and carriers at ACE2 and TMPRSS2 among EA and, hence, low 19 power to detect an association. An association with myocarditis, a rare cardiovascular disease 20 caused by viral infection, was also observed in the AA population. Recent studies have reported a link between SARS-CoV-2 induced cardiac injury such as myocarditis among COVID-19 21 patients⁷⁶. Further, ACE2 has known expression in heart tissue, and it plays an important role in 22 transcriptional dysregulation in cardiomyocytes - cells that make up cardiac muscles⁵⁰⁻⁵². We 23 24 observed association between ACE2 and myocarditis only in the AA population but as noted 25 above, we may not have as much power to detect and association in EA. Blood clotting 26 abnormalities in lungs and other organs in COVID-19 patients have been reported by several studies⁷⁷. In autopsies of COVID-19 patients, thrombosis was found to be a prominent finding 27 28 across multiple organs, even in spite of extensive anticoagulation treatment and regardless of 29 timing of clinical progression, indicating that thrombosis might be at play in the early stages of disease⁷⁷. One hypothesis to explain this observation is that the dysfunction of endothelial cells 30 may play an important role in increased risk of thrombosis⁷⁸. We observed associations between 31

the internationalized normalized ratio (INR) derived from the prothrombin time test (PT) with ACE2 and LY6E in a gene-based association test. The INR test measures the time it takes blood to clot and is an important measure for individuals with blood clotting disorders or on blood thinners.

5 Characterizing the genetic variation and clinical phenotype associations at these four 6 genes that play a key role in SARS-CoV-2 infection could be relevant for understanding 7 individual and population differences in infection susceptibility. We performed evolutionary 8 analyses to dissect the forces underlying global patterns of genetic variation and identified 9 variants that may be targets of selection. It will be important to determine the functional effects 10 of these candidate adaptive variants using in vitro and in vivo approaches in future studies. 11 Additional studies will be needed to investigate the impact of genetic variation in modulating 12 susceptibility/resistance to SARS-CoV-2 infection and other coronaviruses across ethnically 13 diverse populations.

14

16

15 Material and Methods

17 Genomic data

18 The genomic data used in this study were from three sources: the Africa 6K project (referred to as the the "African Diversity" dataset) which is part of the TopMed consortium⁷⁹, the 19 1000 Genomes project (1KG)²⁵, and the Penn Medicine BioBank (PMBB). From the Africa 6K 20 21 project, a subset of 2012 high coverage (>30X) whole genome sequences of ethnically diverse 22 African populations (Figure S1) were included. The African samples were collected from individuals from five countries (Cameroon, Ethiopia, Kenya, Botswana and Tanzania), speak 23 24 languages belonging to four different language families spoken in Africa (Afroasiatic, Nilo-25 Saharan, Niger-Congo, and Khoesan) and have diverse subsistence practices (e.g., hunter-26 gatherers, agriculturalists, and pastoralists). IRB approval was obtained from the University of 27 Maryland and the University of Pennsylvania. Written informed consent was obtained from all 28 participants and research/ethics approval and permits were obtained from the following 29 institutions prior to sample collection: COSTECH, NIMR and Muhimbili University of Health 30 and Allied Sciences in Dar es Salaam, Tanzania; the University of Botswana and the Ministry of 31 Health in Gaborone, Botswana; the University of Addis Ababa and the Federal Democratic 32 Republic of Ethiopia Ministry of Science and Technology National Health Research Ethics

Review Committee; and the Cameroonian National Ethics Committee and the Cameroonian
 Ministry of Public Health. Whole genome sequencing (WGS) was performed to a median depth
 of 30X using DNA isolated from blood, PCR-free library construction and Illumina HiSeq X
 technology, as described elsewhere⁷⁹. In the 1KG data set, 2504 genome sequences from phase
 3²⁵ were included in our analysis.

6 The PMBB participants were recruited through the University of Pennsylvania Health 7 System by enrolling at the time of clinic visit. Patients participate by donating either blood or a 8 tissue sample and allowing researchers access to their EHR information. This academic biobank 9 has DNA extracted from blood that has been genotyped using an Illumina Infinium Global 10 Screening Array-24 Kit version 2 and whole exome sequencing (WES) using the IDT xgen 11 exome research panel v1.0. The study cohort consisted of 15,977 individuals total, with 7,061 of 12 European ancestry (EA) and 8,916 of African ancestry (AA) (Table S1). Genetic ancestry of 13 these samples was determined by performing quantitative discriminant analyses (QDA) on 14 eigenvectors. The 1000 Genomes datasets with super population ancestry labels (EUR, AFR, 15 EAS, SAS, Other) were used as QDA training datasets to determine the genetic ancestry labels 16 for the PMBB population. We identified and removed 117 related individuals using a kinship 17 coefficient of 0.25.

18

19 Variant annotations

We used Ensembl Variant Effect Predictor (VEP) for variant annotations⁸⁰. VEP 20 21 classifies variants into 36 types including non-synonymous, synonymous, and stop loss variants. For pathogenicity predictions, we used CADD²⁶, SIFT²⁷, PolyPhen²⁸, Condel²⁹, and REVEL 22 23 scores in Ensembl. For whole-genome sequencing datasets (African Diversity and 1KG), we 24 annotated genetic variants at ACE2 (chrX:15,561,033-15,602,158), TMPRSS2 25 (chr21:41,464,305-41,531,116), DPP4 (chr2:161,992,245-162,074,215) and LY6E26 (chr8:143,017,982-143,023,832), and 10 Mb flanking these genes (Table S2). For whole-exome 27 genomes from the PMBB dataset, annotations were restricted to coding regions only. For gene-28 based association analysis using the PMBB dataset, we collapsed all the predicted non-29 synonymous variants with REVEL score > 0.5 and putative loss of function variants (pLOFs) 30 with MAF < 0.01. We assigned variants as pLoFs if the variant was annotated as stop lost, 31 missense variant, start lost, splice_donor_variant, inframe deletion, frameshift variant,

1 splice_acceptor_variant, stop_gained, or inframe_insertion. All genome coordinates followed the

2 GRCh38 assembly.

3

4 Characterization of putative regulatory variation

5 We identified regulatory variants likely to impact the target genes. For all four genes (ACE2, 6 TMPRSS2, DPP4 or LY6E), we extracted the variants located within ± 10 kb distance to their TSS as well as enhancers supported by RNA Pol2 ChIA-PET data from ENCODE⁸¹. These variants 7 were further filtered by overlapping with DNase-seq and ChIP-seq peaks from Roadmap⁸², 8 9 ENCODE⁸¹, Remap2⁸³; or overlapping with significant single-tissue expression quantitative trait locus (eQTLs) (P-value<0.001) from the GTEx V8 database ³². We visualized the location of 10 these regulatory and eQTL variants using the UCSC genome browser and highlighted the 11 12 variants using Adobe Illustrator.

13

14 Electronic Health Record Phenotypes

15 In this analysis, we focused on the phenotypes characterized as primary organ 16 dysfunctions in the early studies on COVID-19. Broadly, we centered our analyses on these four 17 broad clinical conditions/phenotypes: respiratory injury/failure, acute liver injury/failure, acute 18 cardiac injury/failure, and acute kidney injury/failure. These disease classes are well 19 characterized in human disease ontologies such as Monarch Disease Ontology (MONDO). 20 MONDO merges multiple disease resources such as SNOMED, ICD-9, and ICD-10. We 21 leveraged the existing mappings between ICD-9/10 codes (which are how the data are coded in 22 the EHR) and the MONDO disease classes for the conditions described above. We identified 12 23 MONDO classes that are closely related to four conditions of interest (Table S1). By using ICD-24 9 and ICD-10 data from the EHR of the PMBB participants, we mapped the ICD codes to 12 25 MONDO disease classes. Details on the ICD code mapping to MONDO disease classes are 26 provided in Table S14. Individuals were defined as cases if they had at least one instance of any 27 ICD code mapped to a MONDO disease class or as controls if they had no instance of the code 28 in that disease class. A clinical expert on our team manually reviewed the MONDO and ICD-29 9/10 mappings.

We also used EHR phenotypes defined by groupings of ICD-9 and ICD-10 codes into clinically relevant groups, called phecodes, used in prior PheWAS studies⁸⁴. Individuals with

1 two or more instances of a phecode were defined as cases, whereas those with no instance of a 2 phecode were defined as controls. Individuals with only one instance were excluded for that 3 phecode. A total of 1860 phecodes were included in the study.

Additionally, we extracted data on 34 clinical laboratory measures for PMBB participants from the EHRs. We derived a median value for each laboratory measure based on all clinical tests ever done within the Penn Medicine health system. Any measurement value that falls more than three standard deviations from the normal were labeled as outliers and removed.

8

9 Association Testing

We used the R SKAT package for conducting a gene-based dispersion test and Biobin⁴²; 10 11 ⁸⁵ for gene burden analysis. Here, multiple genetic variations in a gene region were collapsed to 12 generate a gene burden/dispersion score and regression methods were used to test for association 13 between the genetic score and a phenotype or trait. We performed three separate burden analysis 14 for 12 MONDO disease classes (Table S14), 1860 phecode, and 34 clinical lab measures. Briefly, 15 the variants annotated as non-synonymous (REVEL score ≥ 0.5) and pLoFs within each of the 16 four candidate genes were collapsed into their respective gene regions (ACE2, TMPRSS2, DPP4 17 and LY6E). For both statistical dispersion and burden tests, models were adjusted by the first 18 four principal components of ancestry, sex, and decade of birth. For multiple hypothesis 19 correction, a conservative Bonferroni adjustment was used to derive a significant p-value 20 threshold (p-value < 0.0001). We also performed a univariate statistical test for each of the rare 21 variants from these four candidate gene regions to study the effects of each single nucleotide 22 variant (SNV) on the disease phenotype.

23

24 Structural analysis of nonsynonymous variations on ACE2-S protein binding interface

The fast response from the structural biology community to the COVID-19 pandemic led to the exceptionally fast determination and publication of over 900 as of Jan. 2021 (https://www.rcsb.org/news?year=2020&article=5e74d55d2d410731e9944f52&feature=true)

28 protein structures related to SARS-Cov-2. Using experimentally determined structures of the

29 ACE2 protein complexed with the receptor binding domain (RBD) of SARS-CoV-2 spike

30 glycoprotein, we assessed possible impacts of nonsynonymous coding variants on the ACE2-

31 binding interface with SARS-CoV-2-RBD. Among the multiple entries available in the Protein

1 Data Bank (PDB), we chose to focus on the structure of the full-length human ACE2 bound to RBD (PDB ID 6M17⁸⁶) determined with Cryo-Electron Microscopy (cryo-EM), as it presented 2 3 multiple advantages to our study. Unlike other PDB entries that only feature sections of ACE2, 4 usually focusing on the part of the enzymatic domain responsible for RBD binding, 6M17 5 presents the full length ACE2 in its dimeric form. This allowed us to identify the 3D protein 6 location of all nonsynonymous coding variants identified in this study. Moreover, ACE2 was 7 expressed in a human cell line, maintaining important glycosylation sites and allowing the cryo-EM structure to be used to identify their positions and compositions ⁸⁶. All structural analysis 8 9 and figures were prepared using VMD⁸⁷.

10

11

Detecting signatures of natural selection

We used two methods (the McDonald-Kreitman test ³⁵ and the Dn/Ds test ³⁴) to test for 12 13 signals of selection acting on the four candidate genes over long time scales, and two methods 14 (EHH and iHS) to detect recent (e.g. last ~10,000 years before present) signatures of positive 15 selection.

For the McDonald–Kreitman test (MK-test)³⁵, we set up a two-way contingency table to 16 17 statistically compare the number of nonsynonymous (Dn) and synonymous (Ds) fixed 18 differences between humans and chimpanzees with the number of nonsynonymous (Pn) and 19 synonymous (Ps) polymorphisms among individuals within a population. Based on neutral 20 theory, the ratio of nonsynonymous to synonymous changes should be constant throughout 21 evolutionary time, i.e. the ratio observed among individuals within species (Pn/Ps) should be 22 equal to the ratio observed between species (Dn/Ds). Under a hypothesis of positive selection in 23 the hominin lineage after divergence from our closest ancestor, the chimpanzee, the ratio of 24 nonsynonymous to synonymous variation within species is expected be larger than the ratio of 25 nonsynonymous to synonymous variation between species (i.e. Dn/Ds > Pn/Ps). If there is 26 positive diversifying selection among human populations but conservation of fixed differences 27 between species, the ratio of nonsynonymous to synonymous variation between species should 28 be lower than the ratio of nonsynonymous to synonymous variation within species (i.e. Dn/Ds < 29 Pn/Ps). The chimpanzee sequence (Clint_PTRv2/panTro6) used in the analysis was obtained 30 from the UCSC genome browser. We used Fisher's exact test to detect significance of the MK-31 test. We used transcripts ENST0000252519.8, ENST00000398585.7, ENST00000360534.8,

1 ENST00000521003.5 to calculate Dn, Ds, Pn and Ps for ACE2, TMPRSS2, DPP4 and LY6E, 2 respectively.

3 We also used the ratio of substitution rates at non-synonymous and synonymous sites 4 (dN/dS) to infer selection pressures on the four candidate genes, as the dN/dS ratio has more power to detect recurrent positive selection⁸⁸. This measure quantifies selection pressures by 5 6 comparing the rate of substitutions at synonymous sites (dS), which are neutral or close to 7 neutral, to the rate of substitutions at non-synonymous sites (dN), which are more likely to experience selection. The dN/dS estimation used here follows Nei et al³⁴. The number of 8 9 synonymous sites, s, for codon i in one protein is given by

$$s = \sum_{i=1}^{i=3} f_i$$

11 where f_i is defined as the proportion of synonymous changes at the *i*th position of a codon. For a

12 sequence of r codons, the total number of synonymous sites, S is given by

$$S = \sum_{j=1}^{r} s_{j}$$

14 where s_i is the value of s at the *j*th codon, and the total number of non-synonymous sites, N = 3r

15 - S. The total number of synonymous and non-synonymous differences between two sequences,

 S_d and N_d respectively, are given by 16

$$S_{d} = \sum_{j=1}^{r} S_{dj}$$

and
$$N_{d} = \sum_{j=1}^{r} n_{dj}$$

17

20 where s_{di} and n_{di} are the numbers of synonymous and non-synonymous differences between two 21 sequences for the *j*th codon, and r is the number of codons compared. The proportions of 22 synonymous (pS) and non-synonymous (pN) differences are estimated by the equations pS = Sd/23 S and pN = Nd / N. The numbers of synonymous (dS) and non-synonymous (dN) substitutions 24 per site are estimated using the Jukes-Cantor formula as below:

$$dS = \frac{-3\ln(1 - \frac{4pS}{3})}{4}$$

2

$$dN = \frac{-3\ln(1 - \frac{4pN}{3})}{4}$$

and

In our analysis, for each population, we estimated the total number of synonymous (S_d) and nonsynonymous (N_d) differences, and then calculated dN/dS. If dN/dS is larger than one, it suggests positive diversifying selection influencing variation at the gene. If dN/dS is less than one it suggests the gene is evolutionary conserved.

7 Genomic regions that have undergone recent positive selection are characterized by 8 extensive linkage disequilibrium (LD) on haplotypes containing the mutation under selection. We used the extended haplotype homozygosity (EHH)³⁹ and the integrated Haplotype Score 9 (iHS) methods³⁸ to identify regions with extended haplotype homozygosity greater than expected 10 11 under a neutral model. iHS is based on the differential levels of LD surrounding a positively 12 selected allele compared to the ancestral allele at the same position. For the iHS analyses, we 13 normalized scores with respect to all values observed at sites with a similar derived allele 14 frequency within 40Mb regions flanking the four target genes. SNPs with absolute values larger 15 than 2 are within the top 1% of observed values and are marked as extreme SNPs or candidate SNPs under positive selection. An extreme positive iHS score (iHS > 2) means that haplotypes 16 17 on the ancestral allele background are longer compared to the derived allele background. An 18 extreme negative iHS score (iHS < -2) means that the haplotypes on the derived allele 19 background are longer compared to the haplotypes associated with the ancestral allele. All of the above processes were performed with selscan⁸⁹. SNPs with predicted functional effects on 20 21 protein structure that are identified as potential targets of selection (stop_lost, missense_variant, 22 start lost, splice donor variant, inframe deletion, frameshift variant, splice acceptor variant, stop_gained, or inframe_insertion) are highlighted. Haplotypes were phased by Eagle V2.4.1⁹⁰. 23 24 The ancestral state of alleles was obtained from Ensembl.

To identify potential regulatory variants under selection, we overlapped SNPs showing signatures of selection using iHS with DNase I hypersensitivity peak clusters from ENCODE ⁸¹ and eQTLs from GTEx v8. ³². The overlapped SNPs were uploaded to the UCSC browser for visualization. The ChIP-seq density dataset was obtained from <u>http://remap.univ-amu.fr/</u> ⁸². DNase-seq and ChIP-seq clusters, layered H3K4Me3 (often found near Promoters), H3K4Me1 and H3K27Ac (often found near Regulatory Elements) data are from ENCODE⁸¹. The DNase-

¹

seq tracks of large intestine, small intestine, lung, kidney, heart, stomach, pancreas and skeletal
 muscle were from ENCODE ⁹¹.

We used d_i statistics to identify SNPs that are highly differentiated in allele frequency between populations based on unbiased estimates of pairwise F_{ST}^{41} . The d_i statistics were performed cross the 40Mb regions. If the candidate SNP was within the top 5% of the 40Mb regions in a specific population, the SNP was considered as a variant showing significant differentiation between the target population and other populations. These variants are candidate SNPs that show signals of local adaptation.

Haplotype networks were constructed by PopART⁹² using the built-in minimum spanning
 algorithm.

11 12

13 **Description of Supplemental Data**

- 14 Supplemental file 1: Supplemental figures S1-S29.
- 15 Table S1. Penn Medicine Biobank (PMBB) participant characteristics

16 Table S2. Genetic variants identified around the four genes. "N" denotes variants were not

17 identified or called in the corresponding dataset. "0" denotes variants were identified in the

18 corresponding dataset, but the minor allele frequency is 0.

19 Table S3. Coding variants identified at ACE2. "N" denotes variants were not identified or called

20 in the corresponding dataset. "0" denotes variants were identified in the corresponding dataset,

- 21 but the minor allele frequency is 0.
- 22 Table S4. Regulatory variants identified at the four candidate genes. eQTLs are extracted from
- 23 GTEx V8.

Table S5. Result of the dN/dS for four genes in both the pooled dataset and specific ethnic

25 groups.

26 Table S6. Results of the MK-test for four genes in both the pooled dataset and specific ethnic

27 groups.

- 28 Table S7. SNPs with significant selection signals in each ethnic group based on each method.
- 29 Table S8. Regulatory SNPs that overlap with significant selection signals at the four genes.
- 30 Table S9. Summary statistics from gene-based association results
- 31 Table S10. Summary statistics from PheWAS of eQTL variants

- 1 Table S11. Coding variants identified at *TMPRSS2*. "N" denotes variants were not identified or
- 2 called in the corresponding dataset. "0" denotes variants were identified in the corresponding
- 3 dataset, but the minor allele frequency is 0.
- 4 Table S12. Coding variants identified at DPP4. "N" denotes variants were not identified or
- 5 called in the corresponding dataset. "0" denotes variants were identified in the corresponding
- 6 dataset, but the minor allele frequency is 0.
- 7 Table S13. Coding variants identified at *LY6E*. "N" denotes variants were not identified or called
- 8 in the corresponding dataset. "0" denotes variants were identified in the corresponding dataset,
- 9 but the minor allele frequency is 0.
- 10 Table S14. ICD code mapping to MONDO disease classes.
- 11
- 12
- 13

14 **Declaration of Interests**

- 15 No conflict of interest
- 16

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29 Contribution: All authors contributed to the management and coordination of all research

- 30 activities, planning and execution. All authors contributed to the review process for the final 31 version of the manuscript.
- 32

33 Web Resources

- 34 Variation type descriptions in Variant Effect Predictor (VEP):
- 35 https://uswest.ensembl.org/info/genome/variation/prediction/predicted data.html
- 36 UCSC genome browser: https://genome.ucsc.edu/
- 37

38 **Data Availability**

- 39 Additional information for reproducing the results described in the article is available upon
- 40 reasonable request and subject to a data use agreement.
- 41
- 42 References

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Tables

Table 1. Associations of ACE2, DPP4, TMPRSS2, and LY6E with 12 disease classes derived from EHR data.

Disease Phenotype	Gene	Cases	Controls	Carrier Controls	Carrier Cases	SKAT P	Burden P	Burden OR	Burden SE	95% CI	Dataset
Hepatic Encephalopathy	ACE2	97	8045	441	5	1.1E-12	0.0043	5.73	0.61	0.55 - 2.94	AA
Respiratory Syncytial Virus Infectious Disease	DPP4	56	6392	85	1	6.8E-07	0.1221	6.06	1.17	-0.48 - 4.09	AA
Respiratory Failure	TMPRSS2	199	6392	11	2	2.3E-06	0.0124	7.31	0.80	0.43 - 3.55	AA
Respiratory Failure	ACE2	199	6392	351	12	9.0E-05	0.0509	3.10	0.58	0 - 2.26	AA
Upper Respiratory Tract Disease	DPP4	144	6392	85	3	2.5E-04	0.0978	4.16	0.86	-0.26 - 3.11	AA
Respiratory Syncytial Virus Infectious Disease	TMPRSS2	56	6392	11	1	3.9E-04	0.0217	11.63	1.07	0.36 - 4.55	AA
Pneumonia	LY6E	1120	6392	7	5	1.0E-02	0.0108	6.09	0.71	0.42 - 3.19	AA
Respiratory Syncytial Virus Infectious Disease	ACE2	56	6392	351	7	1.3E-02	0.1857	3.85	1.02	-0.65 - 3.34	AA
Lower Respiratory Tract Disease	TMPRSS2	693	6392	7	1	3.4E-02	0.1541	2.59	0.67	-0.36 - 2.26	AA
Pneumonia	TMPRSS2	1120	6392	11	4	4.8E-02	0.2029	2.23	0.63	-0.43 - 2.04	AA
Hepatic Coma	ACE2	16	6817	318	1	4.3E-31	0.0019	10.45	0.76	0.87 - 3.83	EA
Respiratory Syncytial Virus Infectious Disease	ACE2	40	5859	274	3	2.3E-07	0.1650	3.61	0.92	-0.53 - 3.1	EA
Cirrhosis Of Liver	ACE2	10	6817	43	1	1.8E-04	0.0837	9.40	1.30	-0.3 - 4.78	EA
Acute Myocardial Infarction	LY6E	396	6494	8	1	1.8E-02	0.2936	3.65	1.23	-1.12 - 3.71	EA

Lab Name	Gene	Sample	Carriers	Beta	SE	Р	Dataset
		Size					
Urine Bilirubin	TMPRSS2	1410	2	3.13	0.95	0.001	EA
Total Cholesterol	LY6E	5800	8	41.11	15.29	0.007	AA
Prothrombin	LY6E	6220	10	3.47	1.31	0.008	AA
Eosinophil (%)	LY6E	7697	12	-1.34	0.56	0.016	AA
Eosinophil (THO/uL)	LY6E	7678	12	-0.09	0.04	0.022	AA
Prothrombin	LY6E	5944	7	5.17	2.52	0.040	EA
Prothrombin	ACE2	6220	330	1.11	0.55	0.045	AA

Table 2. Association of *ACE2*, *DPP4*, *TMPRSS2*, and *LY6E* with clinical laboratory measures derived from the EHR.

Figures

Figure 1. Genetic variation at ACE2 and its disease association.

(A) Location of coding variants and their minor allele frequency (MAF) at *ACE2* identified from the pooled dataset. (B) MAF of coding variants in diverse global ethnic groups. (C) The geographic distribution of the MAF for variants within rs138390800 at *ACE2* in diverse global ethnic groups is highlighted. Each pie denotes frequencies of alleles in the corresponding population. (D) Locations of identified non-synonymous variants within the secondary structure of the *ACE2* protein. (E) Six regulatory eQTLs located in an upstream enhancer of *ACE2*. RNA Pol2 ChIA-PET data and DNase-seq data of large intestine, small intestine, lung, kidney and heart are from ENCODE ⁸¹. (F) Gene-based association result between coding variants at *ACE2* and 12 disease classes. The disease severity is shown on the x-axis and the y-axis represents the p-values. EA, European Ancestry; AA, African American ancestry. (G) PheWAS plot of six eQTL associated with *ACE2* and ~1800 disease codes across 17 disease categories. The disease categories are shown on the x-axis and the y-axis represents the equivalent of the generation. The red dashed line denotes the 0.0001 cutoff, and the blue dashed line represent the 0.001 cutoff.

Figure 2. Natural selection signatures at ACE2 in the Cameroon CAHG populations.

(A) Haplotypes over 150kb flanking *ACE2* in CAHG populations. The X-axis denotes genetic variant position, and the y-axis represents haplotypes. Each haplotype (one horizontal line) is composed of the genetic variants (columns). Red dots indicate the derived allele, while green dots indicate the ancestral allele. Haplotypes surrounded by a top-left vertical black line suggest these haplotypes carry derived allele(s) of the labeled variant near the corresponding black line. For example, the first black line denotes all the haplotypes that have the derived allele at rs138390800 (dark red line). Haplotypes carrying rs138390800, rs147311723, rs145437639, and rs186029035 show more homozygosity than other haplotypes. 1, 2, 3, 4 at the top of the plot denotes positions for rs147311723, rs145437639 and rs138390800, respectively. (B) Extended haplotype homozygosity (EHH) of rs138390800, rs186029035 and rs147311723 (rs145437639 is in strong LD with rs147311723) at *ACE2* in CAHG populations.

Figure 3. Natural selection signatures at the upstream region of ACE2 in African populations

(A) iHS signals at the upstream region of *ACE2* (chrX:15650000-15720000) in African populations. Each dot represents a SNP. Red dots denote SNPs that are significant (|iHS|>2). The gray solid line denotes the gene body region of *ACE2*. Putatively causal tag SNPs were annotated in the plots. (B) Haplotype network over 150kb flanking *ACE2* in diverse ethnic populations. The network was constructed with SNPs that showed iHS signals in all populations and overlapped with DNase regions or eQTLs. The four functional candidates identified in Cameroon CAHG were also included in the networks. Each pie represents a haplotype, each color represents a geographical population, and the size of the pie is proportional to that haplotype frequency. In the left panel, dashed line denotes the boundary of clade 1 and clade 2. Black oval denotes haplotypes containing the corresponding variants. (C) Haplotype containing the derived allele of the corresponding variants, while green pie denotes haplotypes containing the ancestral allele of the corresponding variants.

Figure 4. Genetic variation at *TMPRSS2* and its disease association.

(A) Location of coding variants and their minor allele frequency (MAF) at *TMPRSS2* identified from the pooled dataset. (B) MAF of coding variants in diverse global ethnic groups. (C) The geographic distribution of MAF of variant within rs75603675 at *TMPRSS2* in diverse global ethnic groups. (D) Two regulatory eQTLs located in the promoter region of the *TMPRSS2* gene. RNA Pol2 ChIA-PET data and DNase-seq data of large intestine, small intestine, lung, kidney and heart are from ENCODE⁸¹. (E) Gene-based association result between coding variants at *TMPRSS2* and 12 disease classes. The disease classes are shown on the x-axis and the y-axis represents the p-values. EA, European Ancestry; AA, African American ancestry. (F) PheWAS plot of the two eQTLs associated with *TMPRSS2* and ~1800 disease codes across 17 disease categories. The disease categories are shown on the x-axis and the y-axis represents an eQTL and the direction of effect of the association. The red dashed line denotes the 0.0001 cutoff, and the blue dashed line represents the 0.001 cutoff.

Figure 5. Natural selection signatures of *TMPRSS2*.

(A) The result of the MK-test for *TMPRSS2* in the pooled dataset. Non-syn indicates non-synonymous variants; Syn indicates synonymous variants. "Fixed" denotes variants that were fixed between the human and the Chimpanzee; "Poly" represents polymorphic variants within human populations. OR, odds ratio. The transcript *ENST00000398585.7* was used for calculation. (B) Illustration of locations of variants that are divergent between the human and Chimpanzee lineages on the *TMPRSS2* protein domains. Boxes denote the protein domains of *TMPRSS2*. Red lines represent non-synonymous variants that occurred in the corresponding domains of *TMPRSS2*, with the amino acids and positions of the Human and the Chimpanzee annotated at the bottom of the lines. Blue lines denote synonymous variants. TM, transmembrane domain; LDLRA, LDL-receptor class A; SRCR, scavenger receptor cysteine-rich domain 2; Peptidase S1, Serine peptidase.

Figure 6. Genetic variation at DPP4 and its disease association.

(A) Location of coding variants and their minor allele frequency (MAF) at *DPP4* identified from the pooled dataset. (B) MAF of coding variants in diverse global ethnic groups. (C) The MAF of variant within rs129559 at *DPP4* in diverse global ethnic groups. (D) Regulatory eQTLs located in *DPP4*. RNA Pol2 ChIA-PET data and DNase-seq data of large intestine, small intestine, lung, kidney and heart are from ENCODE ⁸¹. (E) Gene-based association result between coding variants at *DPP4* and 12 disease classes. The disease classes are shown on the x-axis and the y-axis represents the p-values. EA, European

Ancestry; AA, African American ancestry. (F) PheWAS plot of the four eQTLs associated with *DPP4* and ~1800 disease codes across 17 disease categories. The disease categories are shown on the x-axis and the y-axis represents the -log10 of the p-values. The colored dot represents an eQTL and the direction of effect of the association. The red dashed line denotes the 0.0001 cutoff, and the blue dashed line represent the 0.001 cutoff.

Figure 7. Genetic variation at *LY6E* and its disease association.

(A) Location of coding variants and their minor allele frequency (MAF) at *LY6E* identified from the pooled dataset. (B) MAF of coding variants in diverse global ethnic groups. (C) The MAF of variant rs111560737 at *LY6E* in diverse global ethnic groups. Each pie denotes frequencies of alleles in the corresponding population. (D) Three regulatory eQTLs identified at *LY6E*. RNA Pol2 ChIA-PET data and DNase-seq data of large intestine, small intestine, lung, kidney and heart are from ENCODE ⁸¹. (E) Genebased association result between coding variants at *LY6E* and 12 disease classes. The disease classes are shown on the x-axis and the y-axis represents the p-values. EA, European Ancestry; AA, African American ancestry. (F) PheWAS plot of the three eQTL associated with *LY6E* and ~1800 disease codes across 17 disease categories. The disease categories are shown on the x-axis and the y-outer state and eqTL and the direction of effect of the association. The red dashed line denotes the 0.0001 cutoff, and the blue dashed line represents the 0.001 cutoff.

















